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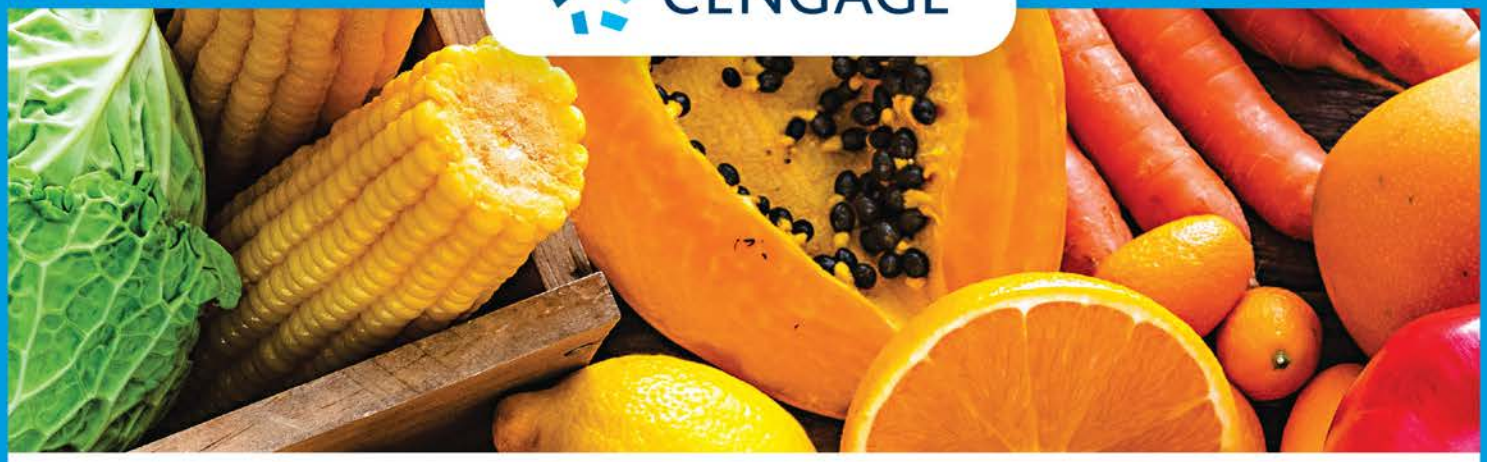

Nutrition

Concepts & Controversies 16e

Sizer | Whitney

Nutrition

Concepts & Controversies

16e

Frances Sienkiewicz Sizer | Ellie Whitney

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***Nutrition: Concepts & Controversies*, 16e**
Frances Sienkiewicz Sizer
and Ellie Whitney

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Library of Congress Control Number: 2021913180

Student Edition:
ISBN: 978-0-357-72761-4

Loose-leaf Edition:
ISBN: 978-0-357-72762-1

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Printed in the United States of America
Print Number: 01 Print Year: 2021

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For Cara and David, my alpha and omega, and for Philip.
–Fran

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To Max, Zoey, Emily, Rebecca, Kalijah, and Duchess with love.
–Ellie

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Body Mass Index-for-Age Percentiles: Boys and Girls, Age 2 to 20	E

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Preface

A billboard in Louisiana reads, "Come as you are. Leave different," meaning that once you've seen, smelled, tasted, and listened to Louisiana, you'll never be the same. This book extends the same invitation to its readers: come to nutrition science as you are, with all of the knowledge and enthusiasm you possess, with all of your unanswered questions and misconceptions, and with the habits and preferences that now dictate what you eat.

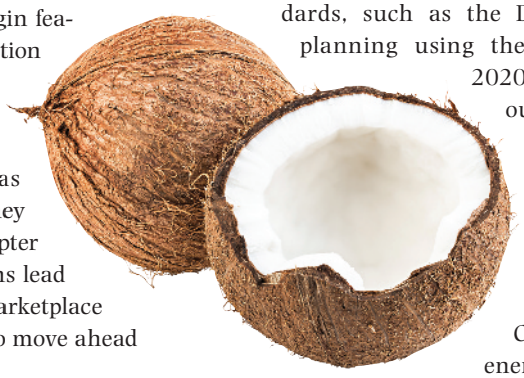
But leave different. Take with you from this study a more complete understanding of nutrition science. Take a greater ability to discern between nutrition truth and fiction, to ask sophisticated questions, and to find the answers. Finally, take with you a better sense of how to feed yourself in ways that not only please you and soothe your spirit but nourish your body as well.

For almost half a century, *Nutrition: Concepts and Controversies* has been a cornerstone of nutrition classes across North America, serving the needs of students and professors. In keeping with our tradition, in this, our 16th edition, we continue exploring the ever-changing frontier of nutrition science, confronting its mysteries through its scientific roots. We not only embrace the power of electronic media in education, but we also maintain our sense of personal connection with instructors and learners, writing for them in the clear, informal style that has always been our trademark.

Pedagogical Features

Throughout these chapters, features tickle the reader's interest and inform. For both verbal and visual learners, our logical presentation and our lively figures keep interest high and understanding at a peak. The photos that adorn many of our pages add pleasure to reading.

Many tried-and-true features return in this edition: Each chapter begins with What Do You Think? questions to pique interest. What Did You Decide? at the chapter's end asks readers to draw conclusions. A list of Learning Objectives (LO) offers a preview of the chapter's major goals, and the LO reappear under section headings to make clear the main take-away messages. Do the Math margin features challenge readers to solve nutrition problems, with examples provided. Think Fitness reminders alert readers to links among nutrition, fitness, and health. Food Feature sections act as bridges between theory and practice; they are practical applications of the chapter concepts. The Consumer's Guide sections lead readers through an often bewildering marketplace with scientific clarity, preparing them to move ahead with sound marketplace decisions. Each Consumer's Guide ends with review questions to improve recall of its main points.



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By popular demand, we have retained our Snapshots of vitamins and minerals. These concentrated capsules of information depict food sources of vitamins and minerals, present DRI values, and offer the chief functions of each nutrient along with deficiency and toxicity symptoms.

New or major terms are defined in the margins of chapter pages or in nearby tables, and they also appear in the Glossary at the end of the book. Terms defined in margins are printed in **blue** boldface type; terms in tables are in **black**. Readers who wish to locate any term can quickly do so by consulting the Index, which lists the page numbers of definitions in boldface type. Each chapter closes with an indispensible Self Check that provides study questions, with answers in Appendix G to provide immediate feedback to the learner.

Controversies

The Controversies of this book's title invite you to explore beyond the safe boundaries of established nutrition knowledge. These optional readings, which appear at the end of each chapter, delve into current research themes and ongoing debates among nutrition scientists. These fast-changing topics capture interest and demonstrate how scientific investigations both build nutrition knowledge and challenge it.

Chapter Contents

Chapter 1 begins the text with a personal challenge to students. It asks the question so many people ask of nutrition educators—"Why should people care about nutrition?" We answer with a lesson in the ways in which nutritious foods affect diseases, and present a continuum of diseases from purely genetic in origin to those almost totally preventable by nutrition. After presenting some beginning facts about genes, nutrients, bioactive food components, and motivations that drive people's food choices, the chapter concludes with a discussion of scientific research and quackery.

Chapter 2 brings together the concepts of nutrient standards, such as the Dietary Reference Intakes, and diet planning using the Dietary Guidelines for Americans 2020–2025. Chapter 3 presents a thorough, but brief, introduction to the workings of the human body from the genes to the organs, with major emphasis on the digestive system and its microbiota. It ends with a discussion of alcohol consumption and its effects on body systems. Chapters 4 through 6 are devoted to the energy-yielding nutrients: carbohydrates,

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lipids, and protein. Controversy 4 turns its focus to theories and fables surrounding the health effects of added sugars in the diet. Controversy 5 considers the scientific underpinnings of lipid guidelines.

Chapters 7 and 8 present the vitamins, minerals, and water. Chapter 9 relates energy balance to body composition, obesity, and underweight and provides guidance on lifelong weight maintenance. Chapter 10 describes relationships among physical activity, athletic performance, and nutrition, with some guidance about products marketed to athletes. Chapter 11 applies the essence of the first 10 chapters to chronic disease development and prevention. The chapter also points out the critical roles of nutrition in maintaining the body's immune defenses, and ends by explaining potential harms that can arise when nutrients interact with medical drugs

Chapter 12 delivers urgently important concepts of food safety and ends with practical pointers for applying them in real-life situations. It also addresses the usefulness and safety of food additives, including low-calorie and artificial sweeteners. Chapters 13 and 14 emphasize the importance of nutrition through the life span. Chapter 13 focuses on the critical roles of nutrition during pregnancy and infancy, while Chapter 14 includes nutrition advice for feeding preschoolers, schoolchildren, teens, and the elderly.

Chapter 15 devotes attention to hunger and malnutrition, both in the United States and worldwide. It also touches on the vast network of problems that threaten our future food supply, and explores potential paths to solutions.

Our Message to You

Our purpose in writing this text, as always, is to enhance our readers' understanding of nutrition science. We also hope the information on this book's pages will reach beyond the classroom into our readers' lives. Take the information you find inside this book home with you. Use it in your life: nourish yourself, educate your loved ones, and nurture the health of others. Stay up with the news, too—for despite all the conflicting messages, inflated claims, and even quackery that abound in the marketplace, true nutrition knowledge progresses with a genuine scientific spirit, and important new truths are constantly unfolding.

New to This Edition

Every section of each chapter of this text reflects advances in nutrition science occurring since the last edition. The changes range from subtle shifts of emphasis to entirely new sections that demand our attention. Appendix F supplies current references; older references may be viewed in previous editions, available from the publisher.

Notable Changes to the 16th edition

In addition to updated text material throughout, the following changes are notable.

Chapter 1
- Updated to Healthy People 2030.
- New section evaluating the reliability of research.

Chapter 2
- Introduced, defined, and discussed Chronic Disease Risk Reduction (CDRR).
- All text and figures updated to reflect the 2020–2025 Dietary Guidelines for Americans and food groups.
- Updated labeling figure and discussion.
- Reorganized and updated phytochemical Controversy.

Chapter 3
- Defined inflammation.
- Removed choking figure.
- New table of alcohol statistics.

Chapter 4
- New section and figure explaining glycemic response.
- New table of top U.S. sources of added sugars.

Chapter 5
- Improved figure of bile action.
- New figure of U.S. saturated fat sources.
- Explained fully hydrogenated versus partially hydrogenated fats.
- Expanded the Controversy discussion of arguments surrounding lipid guidelines.

Chapter 6
- Improved complementary protein figure.
- New figure comparing protein values on cereal labels.
- New emphasis on plant-based foods and diets.

Chapters 7 and 8
- New figure of valid quality testing symbols.
- Water safety information moved to Chapter 12.
- Sodium CDRR update.
- New discussion and table of plant-based calcium sources.

Chapter 9
- New obesity maps data.
- Expanded Do the Math activity.
- New "keto" and "paleo" diet discussions.
- New table of adverse effects of ketogenic diets.
- Moved prescription drug table to instructor's materials.
- New discussions and table of motivational interviewing and mindful eating.

Chapter 10
- Updated content and definitions to reflect the Physical Activity Guidelines for Americans, second edition.
- New figure of physical activity guidelines for adults.
- Discussion of lactate as fuel.
- Expanded Do the Math activity.
- Improved pregame meal figure.
- Added nitrate section to Controversy.

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Chapter 11

- Added information about COVID-19 and ranking among leading causes of death.
- Revised and simplified table of chronic disease risk factors.
- New Consumer's Guide, Nutrition and the Immune System.
- Introduced the American Heart Association's "My Life Check" and Life's Simple 7.
- Reflects the latest information from the World Cancer Research Fund and the American Institute for Cancer Research.
- Controversy update: Nutrient-Drug Interactions: Who Should Be Concerned?

Chapter 12

- Expanded coverage of the Food Safety and Modernization Act.
- Reorganized safe food temperature and thermometer figures.
- New section, Water Safety and Sources.
- Table of contaminants in foods moved to instructors' materials.
- New genetically engineered U.S. crop data.
- New figure of USDA bioengineering food labels.

Chapter 13

- New table of food and nutrient strategies to promote healthy pregnancy outcomes.
- Included new information about preeclampsia.
- Added a brief discussion of COVID-19 and breastfeeding.
- New table of key recommendations for infants and toddlers from the 2020–2025 Dietary Guidelines.
- Introduced and defined baby-led weaning.
- Added a brief discussion of possible heavy-metal contamination of some infant and toddler foods.
- Controversy update: Nutritional genomics.

Chapter 14

- New figure of U.S. diet quality through the lifespan.
- Updated childhood and older adult resources and recommendations in agreement with the 2020–2025 Dietary Guidelines.
- New discussion and table of beverage suggestions for children.
- New Consumer's Guide on acne and nutrition.
- New table of key activity guidelines for older adults.
- New table of fluid strategies for older adults.
- Aging and telomere length discussion, with new table of factors associated with telomere length.
- Controversy update: Childhood obesity and early chronic diseases.

Chapter 15

- Updated U.S. and world food security discussions, statistics, and figures.
- New section addressing links between poverty, obesity, and chronic disease.
- New figure on how poverty fosters malnutrition and obesity.
- New figure on the environmental costs of producing food.
- New figure depicting the ecological footprints of countries.
- New figure of greenhouse gas emissions associated with dietary protein sources.

Ancillary Materials

Students and instructors alike will appreciate the innovative teaching and learning materials that accompany this text.

MindTap: MindTap for Sizer/Whitney, *Nutrition: Concepts & Controversies*, 16e, today's most innovative online learning platform, powers your students from memorization to mastery. MindTap gives you complete control of your course to provide engaging content, challenge every individual and build students' confidence.

Instructor Companion Site: Everything you need for your course in one place! This collection of product-specific lecture and class tools is available online via www.cengage.com/login. Access and download PowerPoint presentations, images, instructors' manual, and more.

Test Bank with Cognero: Cengage Testing, powered by Cognero® is a flexible, online system that allows you to import, edit, and manipulate content from the text's test bank or elsewhere, including your own favorite test questions; create multiple test versions in an instant; and deliver tests from your LMS, your classroom, or wherever you want.

Diet & Wellness Plus: Diet & Wellness Plus helps you understand how nutrition relates to your personal health goals. Track your diet and activity, generate reports, and analyze the nutritional value of the food you eat. Diet & Wellness Plus includes over 75,000 foods as well as custom food and recipe features. Diet & Wellness Plus is also available as an app that can be accessed from the app dock in MindTap.

Acknowledgments

Our thanks to our partners Linda Kelly DeBruyne and Sharon Rady Rolfes for decades of support. Thank you, Lauren Fleischer, for your excellent graphic and photo review work.

We are also grateful to Linda DeBruyne, M.S., R.D.N. for her work in Chapters 11 and 13. Linda received her master's degree in nutrition from Florida State University and is a founding member of Nutrition and Health Associates. She also coauthors the college nutrition texts *Nutrition and Diet Therapy* and *Nutrition for Health and Health Care*.

Our special thanks to our publishing team—Courtney Heilman, Lori Hazzard, and Samantha Rundle—for their superb work and dedication to excellence.

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Reviewers of Recent Editions

As always, we are grateful for the instructors who so carefully reviewed our work in this revision. Your suggestions were invaluable in strengthening the book and suggesting new lines of thought. We hope you will continue to provide your comments and suggestions.

Jill Tarver, *Santa Rosa Junior College*
Lisa Sheldon, *Greenfield Community College*
Jennifer Weinberg, *Monmouth University*
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Carolyn Mentel, *Cowley County Community College, Butler County Community College*
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1 Food Choices and Human Health

Controversy 1 Sorting Impostors from Real Nutrition Experts

Learning Objectives

After reading this chapter, you should be able to accomplish the following:

LO 1.1 Describe the ways in which food choices impact a person's health.

LO 1.2 Describe the relationship between nutrition and genetics with regard to disease development.

LO 1.3 Name the six classes of nutrients.

LO 1.4 Give examples of challenges and solutions people face in choosing a health-promoting diet.

LO 1.5 Describe the science of nutrition.

LO 1.6 Describe the characteristics of the six stages of behavior change.

LO 1.7 Explain how the concept of nutrient density can facilitate diet planning.

LO 1.8 Evaluate the authenticity of any given nutrition information source.

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What do you think?

- Can your diet make a real difference between getting **sick** or staying **healthy**?
- Are **supplements** more powerful than food for ensuring good nutrition?
- What makes your favorite foods your **favorites**?
- Are **news and media nutrition reports** informative or confusing?

If you care about your body, and if you have strong feelings about **food**, then you have much to gain from learning about **nutrition**—the science of how food nourishes the body. Nutrition is a fascinating, much-talked-about subject. Each day, newspapers, websites, radio, and television present stories of new findings on nutrition and heart health or cancer prevention, and at the same time, advertisements and commercials bombard us with multicolored pictures of tempting foods—pizza, burgers, soft drinks, and chips. If you are like most people, when you eat you sometimes wonder, "Is this food good for me?" or you berate yourself, "I probably shouldn't be eating this."

When you study nutrition, you learn which foods serve you best, and you can work out ways of choosing foods, planning meals, and designing your **diet** wisely. Knowing the facts can enhance your health and your enjoyment of eating while relieving your feelings of guilt or worry that you aren't eating well.

This chapter addresses these "why," "what," and "how" questions about nutrition:

- *Why* care about nutrition? Why be concerned about the **nutrients** in your foods? Why not just take supplements?
- *What* are the nutrients in foods, and what roles do they play in the body? What are the differences between vitamins and minerals?
- *What* constitutes a nutritious diet? What factors motivate your food and beverage choices?
- *How* do we know what we know about nutrition? How does nutrition science work, and how can a person keep up with changing information?

Controversy 1 concludes the chapter by offering ways to distinguish between trustworthy sources of nutrition information and those that are less reliable.

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When you choose foods with nutrition in mind, you choose in favor of your health.

A Lifetime of Nourishment

LO 1.1 Describe the ways in which food choices impact a person's health.

If you live for 65 years or longer, you will have consumed more than 70,000 meals, and your remarkable body will have disposed of 50 tons of food. The foods you choose exert cumulative effects on your body.[1*] As you age, you will see and feel those effects—if you know what to look for.

Your body renews its structures continuously. Each day, it builds a little muscle, bone, skin, and blood, replacing old tissues with new. It may also add a little fat if you consume excess food energy (calories) or subtract a little if you consume less than you require. Some of the food you eat today becomes part of "you" tomorrow.

The best food for you, then, is the kind that supports the growth and maintenance of strong muscles, sound bones, healthy skin, and sufficient blood to cleanse and nourish all parts of your body. This means you need food that provides not only the right

food scientifically, materials, usually of plant or animal origin, that contain essential nutrients, such as carbohydrates, fats, proteins, vitamins, or minerals, and that are ingested and assimilated by an organism to produce energy, stimulate growth, and maintain life; socially, a more limited number of such materials defined as acceptable by a culture.

nutrition the study of the nutrients in foods and in the body; sometimes also the study of human behaviors related to food.

diet the foods (including beverages) a person usually eats and drinks.

nutrients components of food that are indispensable to the body's functioning. They provide energy, serve as building material, help maintain or repair body parts, and support growth. The nutrients include water, carbohydrate, fat, protein, vitamins, and minerals.

*Reference notes are in Appendix F.

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Table 1–1

Leading Causes of Death Linked with Diet and Alcohol

In 2020, the infectious disease COVID-19 ranked third among causes of U.S. deaths, behind heart disease and cancers.

	Percentage of Total Deaths
▪ Heart disease	20.5
▪ Cancers	17.8
▪ Accidents	5.5
▪ Strokes	5.2
▪ Diabetes	3.0

amount of energy but also sufficient nutrients—that is, enough water, carbohydrates, fats, protein, vitamins, and minerals. If the foods you eat provide too little or too much of any nutrient today, your health may suffer just a little today. If the foods you eat provide too little or too much of one or more nutrients every day for years, then in later life you may suffer severe disease effects.

A well-chosen diet supplies enough energy and enough of each nutrient to prevent **malnutrition**. Malnutrition includes deficiencies, imbalances, and excesses of nutrients, alone or in combination, any of which can take a toll on health over time.

Key Points

- The nutrients in food support growth, maintenance, and repair of the body.
- Deficiencies, excesses, and imbalances of energy and nutrients bring on the diseases of malnutrition.

The Diet–Health Connection

Your choice of diet profoundly affects your health, both today and in the future. Among the common lifestyle habits that alter people's development of serious diseases, only two are more influential than food habits: smoking and using other forms of tobacco and drinking alcohol in excess. Of the leading causes of death listed in Table 1–1, four—heart disease, cancers, strokes, and diabetes—are directly related to nutrition, and another—accidents—is related to drinking alcohol.

Many people suffer from debilitating conditions that could have been largely prevented had they applied the nutrition principles known today.[2] The major **chronic diseases**—heart disease, some kinds of cancer, strokes, and diabetes, along with dental disease, and adult bone loss—all have a connection to poor diet. These diseases cannot be prevented by a good diet alone; they are to some extent determined by a person's genetic constitution, activities, and lifestyle. Within the range set by your genetic inheritance, however, the likelihood of developing these diseases is strongly influenced by your daily choices.

Key Point

- Nutrition profoundly affects health.

Other Lifestyle Choices

Besides food choices, what other lifestyle choices affect people's health? Tobacco use and alcohol and other substance abuse can destroy health. Physical activity, sleep, emotional stress, and other environmental factors can also modify the severity of some diseases. Physical activity is so closely linked with nutrition in supporting health that most chapters of this book offer a feature called Think Fitness, such as the one near here.

Much of this text centers on the nutrients at the core of nutrition science. As your course of study progresses, the individual nutrients will become like old friends, revealing more and more about themselves as you move through the chapters.

malnutrition any condition caused by excess or deficient food energy or nutrient intake or by an imbalance of nutrients. Nutrient or energy deficiencies are forms of undernutrition; nutrient or energy excesses are forms of overnutrition.

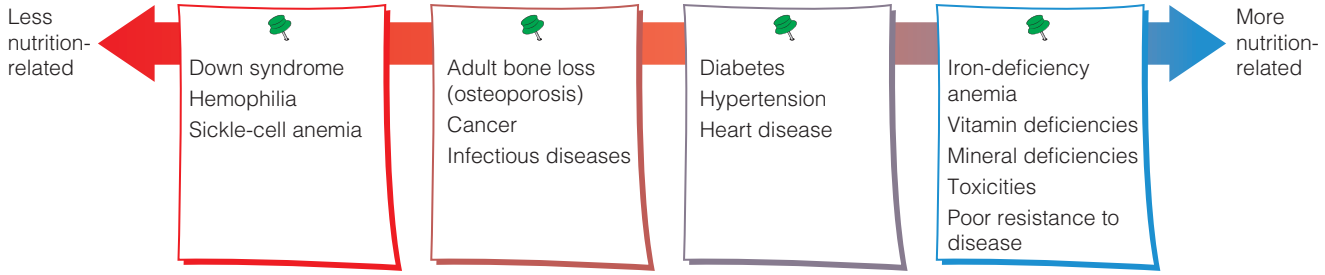
chronic diseases degenerative conditions or illnesses that progress slowly are long in duration, and lack an immediate cure. Chronic diseases limit functioning, productivity, and the quality and length of life. Examples include heart disease, cancer, and diabetes.

anemia a blood condition in which red blood cells, the body's oxygen carriers, are inadequate or impaired and so cannot meet the oxygen demands of the body.

Figure 1–1

Nutrition and Disease

Not all diseases are equally influenced by diet. Some, such as sickle-cell anemia, are almost purely genetic. Some, such as diabetes, may be inherited (or the tendency to develop them may be inherited in the genes) but may be influenced by diet. Some, such as vitamin-deficiency diseases, are purely dietary.



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Key Point

- Life choices, such as being physically active or using tobacco or alcohol, can improve or damage health.

Genetics, Nutrition, and Individuality

LO 1.2 Describe the relationship between nutrition and genetics with regard to disease development.

Figure 1–1 demonstrates that genetics and nutrition affect different diseases to varying degrees. The **anemia** caused by sickle-cell disease, for example, is purely hereditary and thus appears at the left of Figure 1–1 as a genetic condition largely unrelated to nutrition. Nothing a person eats affects the person's chances of contracting this anemia, although nutrition therapy may help ease its course. At the other end of the spectrum, iron-deficiency anemia most often results from undernutrition. Diseases and conditions of poor health appear all along this continuum, from almost entirely genetically based to purely nutritional in origin; the more nutrition-related a disease or health condition is, the more successfully sound nutrition can prevent it.

Furthermore, some diseases, such as heart disease and cancer, are not one disease but many. Two people may both have heart disease but not the same form; one person's cancer may be nutrition-related, but another's may not be. Individual people differ genetically from each other in thousands of subtle ways, so no simple statement can be made about the extent to which diet can help any one person avoid such diseases or slow their progress.

The human **genome** represents the entire sequence of the **genes** in human **DNA**. In essence, it constitutes the body's instructions for making all of the working parts of a human being. The human genome is 99.9 percent the same in all people; all of the normal variations such as differences in hair color, as well as variations that result in diseases such as sickle-cell anemia, lie in the 0.1 percent of the genome that varies.

genome (GEE-nome) the full complement of genetic information in the chromosomes of a cell. In human beings, the genome consists of about 35,000 genes and supporting materials. The study of genomes is *genomics*. Also defined in Controversy 13.

genes units of a cell's inheritance; sections of the larger genetic molecule DNA (deoxyribonucleic acid). Each gene directs the making of one or more of the body's proteins.

DNA an abbreviation for deoxyribonucleic (dee-OX-ee-RYE-bow-nu-CLAY-ick) acid, the thread-like molecule that encodes genetic information in its structure; DNA strands coil up densely to form the chromosomes (Chapter 3 provides more details).

personalized nutrition an emerging science-based approach to nutrition advice that employs an individual's genetic and other information to promote diet-related behaviors that result in measurable health outcomes.

Think Fitness — Why Be Physically Active?

Why should people bother to be physically active? A person's daily food choices can powerfully affect health, but the combination of nutrition and physical activity is more powerful still. People who combine regular physical activity with a nutritious diet can expect to receive at least some of these benefits:

- Reduced risks of cardiovascular diseases, diabetes, certain cancers, hypertension, and other diseases.
- Increased endurance, strength, and flexibility.
- More cheerful outlook and less likelihood of depression.
- Improved mental functioning.
- Feeling of vigor.
- Feeling of belonging—the companionship of sports.
- Stronger self-image.
- Reduced body fat and increased lean tissue.
- A more youthful appearance, healthy skin, and improved muscle tone.
- Greater bone density and lessened risk of adult bone loss in later life.
- Increased independence in the elderly.
- Sound, beneficial sleep.
- Faster wound healing.
- Reduced menstrual distress.
- Improved resistance to infection.

If even half of these benefits were yours for the asking, wouldn't you step up to claim them? In truth, they are yours to claim, at the price of including physical activity in your day. Chapter 10 lists the Physical Activity Guidelines for Americans, which specify activity amounts needed for health.

Start now! Ready to make a change? Keep track of your physical activities—all of them—for three days. (You may choose to use pencil and paper, an internet wellness program, a cell phone application, or any other method for tracking.) After you have recorded your activities, see how much time you spent exercising at a moderate to vigorous level (described in Table H-1 of Appendix H at the back of the book). Should you increase the intensity level or amount of your activity? If so, write down some realistic ways in which you might increase your activity, and then apply them. Notice and keep track of any benefits that your new level of activity brings.

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Today, scientists are working to apply this wealth of knowledge to benefit human health. New treatments for formerly untreatable conditions, including some forms of cancer, are emerging from genomics research. In the future, **personalized nutrition** may allow dietitians to take into account variations in a client's genome to more precisely meet the nutrient needs of the individual.[3] Later chapters expand on the emerging story of nutrition and the genes.

Key Points

- Diet influences long-term health within the range set by genetic inheritance.
- Nutrition exerts little influence on some diseases but strongly affects others.

The Human Body and Its Food

LO 1.3 Name the six classes of nutrients.

As your body moves and works each day, it must use **energy**. The energy that fuels the body's work comes indirectly from the sun by way of plants. Plants capture and store the sun's energy in their tissues as they grow. When you eat plant-derived foods such as fruit, grains, or vegetables, you obtain and use the solar energy they have stored. Plant-eating animals obtain their energy in the same way, so when you eat animal tissues, you are eating compounds containing energy that originally came from the sun.

The body requires six kinds of nutrients—families of molecules indispensable to its functioning—and foods deliver these. Table 1–2 lists the six classes of nutrients. Four of these are **organic**; that is, the nutrients contain the element carbon derived from living things.

Meet the Nutrients

The human body and foods are made of the same materials, arranged in different ways (see Figure 1–2). When considering quantities of foods and nutrients, scientists often measure them in **grams** or fractions of grams, units of weight.

The Energy-Yielding Nutrients Of the four organic nutrients, three are **energy-yielding nutrients**, meaning that the body can use the energy they contain. These are carbohydrate, fat, and protein, often referred to as the **macronutrients**, and they contribute to the calories you consume. Among them, protein stands out for doing double duty: it can yield energy, but it also provides materials that form

energy the capacity to do work. The energy in food is chemical energy; it can be converted to mechanical, electrical, thermal, or other forms of energy in the body. Food energy is measured in calories, defined on page 7.

organic carbon containing. Four of the six classes of nutrients are organic: carbohydrate, fat, protein, and vitamins. Organic compounds include only those made by living things and do not include compounds such as carbon dioxide, diamonds, and a few carbon salts.

grams (g) metric units of weight. About 28 grams equal an ounce. A *milligram* is one-thousandth of a gram. A *microgram* is one-millionth of a gram.

energy-yielding nutrients the nutrients the body can use for energy: carbohydrate, fat (also called *lipids*), and protein. These also may supply building blocks for body structures.

macronutrients another name for the energy-yielding nutrients: carbohydrate, fat, and protein.

Table 1–2

Elements in the Six Classes of Nutrients

The nutrients that contain carbon are organic.

	Carbon	Oxygen	Hydrogen	Nitrogen	Minerals
Carbohydrate	✓	✓	✓		
Fat	✓	✓	✓		
Protein	✓	✓	✓	✓	[b]
Vitamins	✓	✓	✓	✓[a]	[b]
Minerals					✓
Water		✓	✓		✓

[a] *All of the B vitamins contain nitrogen;* amine *means nitrogen.*

[b] *Protein and some vitamins contain the mineral sulfur; vitamin B_{12} contains the mineral cobalt.*

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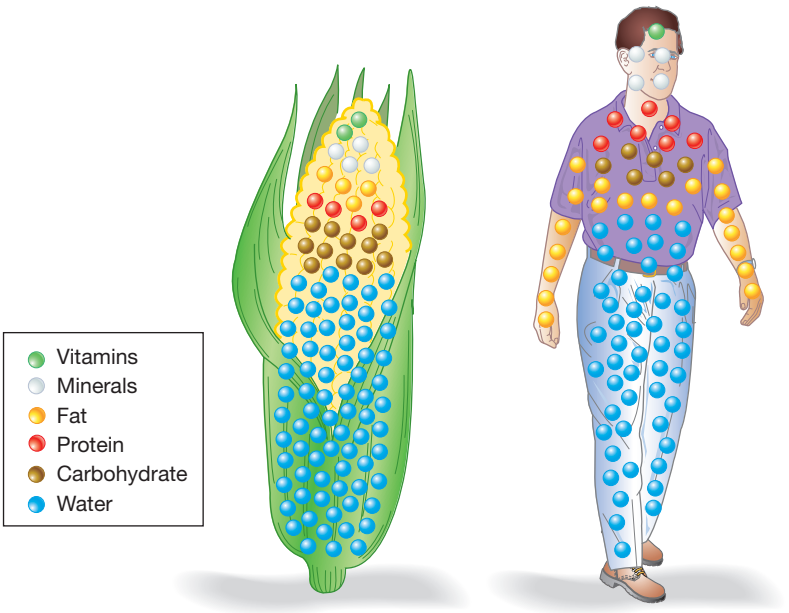
Figure 1–2

Components of Food and the Human Body

Foods and the human body are made of the same materials.



structures and working parts of body tissues. (Alcohol yields energy, too—see Table 1–3 comments.)

Vitamins and Minerals The fourth and fifth classes of nutrients are the vitamins and the minerals, most of which are known as **micronutrients** because they are present in tiny amounts in living tissues. These provide no energy to the body. A few minerals serve as parts of body structures (calcium and phosphorus, for example, are major constituents of bone), but all vitamins and minerals act as regulators. As regulators, the vitamins and minerals assist in all body processes: digesting food; moving muscles; disposing of wastes; growing new tissues; healing wounds; obtaining energy from carbohydrate, fat, and protein; and participating in every other process necessary to maintain life. Later chapters are devoted to these six classes of nutrients.

Water Although last on the list, water is foremost in quantity among the six classes of nutrients in the body. The body constantly loses water, mainly through sweat, breath, and urine, and that water must constantly be replaced. Without sufficient water, the body's cells cannot function.

The Concept of Essential Nutrients When you eat food, then, you are providing your body with energy and nutrients. Furthermore, some of the nutrients are **essential nutrients**, meaning that if you do not ingest them, you will develop deficiencies; the body cannot make these nutrients for itself. Essential nutrients are found in all six classes of nutrients. Water is an essential nutrient; so is a form of carbohydrate; so are some lipids, some parts of protein, all of the vitamins, and the minerals important in human nutrition.

You may wonder why **fiber**, famous for its beneficial health effects, is not listed among the essential nutrients. The reason is that most fiber passes through the body unabsorbed, and omitting it from the diet does not reliably cause a specific deficiency disease. Even so, in research, health benefits often follow eating a fiber-rich diet (Chapter 4 has details).

Calorie Values Food scientists measure food energy in kilocalories, units of heat. This book uses the common word **calories** to mean the same thing. It behooves the person who wishes to control food energy intake and excess body fat to study Table 1-3

Table 1–3

Energy-Yielding Nutrients

The energy a person consumes in a day's meals comes from these three energy-yielding nutrients; alcohol, if consumed, also contributes energy at a rate of about 7 calories per gram (see note).

Energy Nutrient	Energy
Carbohydrate	4 cal/g
Fat (lipid)	9 cal/g
Protein	4 cal/g

Note: Alcohol is not classed as a nutrient because it interferes with growth, maintenance, and repair of body tissues.

micronutrients nutrients required in very small amounts: the vitamins and most minerals.

essential nutrients the nutrients the body cannot make for itself (or cannot make fast enough) from other raw materials; nutrients that must be obtained from food to prevent deficiencies.

fiber a collective term for various indigestible plant materials, many of which bear links with human health. See also Chapter 4.

calories units of energy. In nutrition science, the unit used to measure the energy in foods is a kilocalorie (also called *kcalorie* or *Calorie*): it is the amount of heat energy necessary to raise the temperature of a kilogram (a liter) of water 1 degree Celsius. This book follows the common practice of using the lowercase term *calorie* (abbreviated *cal*) to mean the same thing.

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Do the Math:
Calculate calories from grams of energy nutrients.

The calories (cal) provided by a food equal the sum of its calories from carbohydrate, protein, and fat, measured in grams (g).

Reminder:

- carbohydrate = 4 cal per g
- protein = 4 cal per g
- fat = 9 cal per g

Look at any food label to find grams of these nutrients in a serving. Now, calculate the calories in a serving of the food.

1. Multiply grams of each energy nutrient by its calorie value.

   Example: 1 slice of toasted bread with 1 tablespoon of peanut butter provides 16 g carbohydrate, 7 g protein, and 9 g fat:

   16 g carbohydrate × 4 cal/g = 64 cal
   7 g protein × 4 cal/g = 28 cal
   9 g fat × 9 cal/g = 81 cal

2. Add the three calorie values:

   64 + 28 + 81 = 173 total calories

and learn the calorie values of the energy nutrients listed there. The most energy-rich of the nutrients is fat, which contains 9 calories in each gram. Carbohydrate and protein each contain only 4 calories in a gram. Weight, measure, and other conversion factors needed for the study of nutrition appear in Appendix C at the back of the book.

Scientists have worked out ways to measure the energy and nutrient contents of foods. They have also calculated the amounts of energy and nutrients various types of people need—by sex, age, life stage, and activity. Thus, after studying human nutrient requirements (in Chapter 2), you will be able to state with some accuracy just what your own body needs—this much water, that much carbohydrate, so much vitamin C, and so forth. So why not simply take pills or **dietary supplements** in place of food? Because, as it turns out, food offers more than just the six basic nutrients.

Key Points

- The energy-yielding nutrients are carbohydrate, fat (lipid), and protein.
- The regulator nutrients are vitamins and minerals.
- Foremost among the nutrients in food is water.
- Essential nutrients in the diet prevent deficiencies.
- Food energy is measured in calories; nutrient quantities are often measured in grams.

Can I Live on Just Supplements?

Nutrition science can state what nutrients human beings need to survive—at least for a time. Scientists are becoming skilled at making **elemental diets**—life-saving liquid diets of precise chemical composition for hospital patients and others who cannot eat ordinary food. These formulas, administered for days or weeks, support not only continued life but also recovery from nutrient deficiencies, infections, and wounds.

Liquid nutritional supplements, sometimes called formula diets, are essential to help sick people to survive, but they do not enable people to thrive over long periods. Even in hospitals, diet formulas do not support optimal growth and health. Lately, marketers have taken these liquid supplement formulas out of the medical setting and have advertised them heavily to healthy people of all ages as "meal replacers" or "insurance" against malnutrition. The truth is that real food is the superior source of nutrients and other food constituents needed by the body. Most healthy people who eat a nutritious diet need no dietary supplements at all.

Food Is Best Even if a person's basic nutrient needs are perfectly understood and met, concoctions of nutrients still lack something that foods provide. Hospitalized clients who are fed nutrient mixtures through a vein often improve dramatically when they can finally eat food. Something in real food is important to health—but what is it? What does food offer that cannot be provided through a needle or a tube? Science has some partial explanations, some physical and some psychological.

In the digestive tract, the stomach and intestine are dynamic, living organs, changing constantly in response to the foods they receive—even to just the sight, aroma, and taste of food. When a person is fed through a vein, the digestive organs, like unused muscles, weaken and grow smaller. The digestive organs also release hormones in response to food, and these send messages to the brain that bring the eater a feeling of satisfaction: "There, that was good. Now I'm full." Eating offers both physical and emotional comfort. Medical science now dictates that a person should be fed through a vein for as short a time as possible and that real food taken by mouth should be reintroduced as early as possible.

Complex Interactions Foods are chemically complex. In addition to their nutrients, foods contain **phytochemicals**, compounds that confer color, taste, and other characteristics to foods. Some may be **bioactive** food components that interact with metabolic processes in the body and may affect disease risks. Even an ordinary baked potato contains hundreds of different compounds. Nutrients and other food components interact with each other in the body and operate best in harmony with one another. In view of all this, it is not surprising that food gives us more than just nutrients. If it were otherwise, *that* would be surprising.

dietary supplements pills, liquids, or powders that contain purified nutrients or other ingredients (see Controversy 7).

elemental diets diets composed of purified ingredients of known chemical composition; intended to supply, to the greatest extent possible, all essential nutrients to people who cannot eat foods.

phytochemicals bioactive compounds in plant-derived foods (*phyto,* pronounced FYE-toe, means "plant").

bioactive having chemical or physical properties that affect the functions of the body tissues. See Controversy 2.

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Key Points

- Nutritious food is superior to supplements for maintaining optimal health.
- Most healthy people who eat a nutritious diet do not need supplements at all.

The Challenge of Choosing Foods

LO 1.4 Give examples of challenges and solutions people face in choosing a health-promoting diet.

Well-planned meals convey pleasure and are nutritious, too, fitting your tastes, personality, family and cultural traditions, lifestyle, and budget. Given the astounding numbers and varieties available, consumers can easily lose track of what individual foods contain and how to put them together into a health-promoting diet. Figure 1-3 illustrates the contrast between whole foods and foods that are ultra-processed. A few definitions and basic guidelines can help.

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Some foods offer phytochemicals in addition to the six classes of nutrients.

An Abundance of Foods

A list of the foods available 100 years ago would be relatively short. It would consist mostly of **whole foods**—foods that have been around for a long time, such as vegetables, fruits, meats, milk, and grains (Table 1–4 defines food types, p. 10; terms in tables are in black bold type, margin definitions are in blue). These foods have been called basic, unprocessed, natural, or farm foods. By any name, these foods form the basis of a nutritious diet. On a given day, however, well over 80 percent of our population consumes too few servings of fruit and vegetables each day.[4] And when people do eat a vegetable, the one they most often choose is potatoes, usually prepared as French fries. Such choices, repeated over time, make development of chronic diseases more likely.

The terms defined in Table 1–4 reveal that all types of foods and beverages—including **fast foods, processed foods**, and **ultra-processed foods and beverages**—offer various constituents to the eater, some more health-promoting than others.[5] Often deemed the least supportive of human nutrition and health, ultra-processed foods and beverages currently make up more than half of the nation's diet.[6] You may also hear about **functional foods**, a marketing term coined to identify foods containing

Figure 1–3

Grocery Options

Whole foods are foods in their natural state. Ultra-processed foods are manufactured to taste delicious, need little preparation, and compete for shoppers' attention.

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Table 1–4

Glossary of Food Types

- **enriched foods** and **fortified foods** foods to which nutrients have been added. If the starting material is a whole, basic food such as milk or whole grain, the result may be highly nutritious. If the starting material is a concentrated form of sugar or fat, the result is less nutritious.
- **fast foods** restaurant foods that are available within minutes after customers order them—traditionally, hamburgers, French fries, and milkshakes; more recently, salads and other vegetable dishes as well. These foods may or may not meet people's nutrient needs, depending on the selections provided and on the energy allowances and nutrient needs of the eaters.
- **functional foods** a marketing term for foods that contain bioactive food components believed to provide health benefits, such as reduced disease risks, beyond the benefits that their nutrients confer. However, all nutritious foods support health in some ways; Controversy 2 provides details.
- **medical foods** foods specially manufactured for use by people with medical disorders and administered on the advice of a physician.
- **natural foods** a term that has no legal definition but is often used to imply wholesomeness.
- **organic foods** understood to mean foods grown without synthetic pesticides or fertilizers. In chemistry, however, all foods are made mostly of organic (carbon-containing) compounds.
- **processed foods** foods subjected to any process, such as milling, alteration of texture, addition of additives, cooking, or others. Depending on the starting material and the process, a processed food may or may not be nutritious.
- **staple foods** foods used frequently or daily—for example, rice (in East and Southeast Asia) or potatoes (in Ireland). Many of these foods are sufficiently nutritious to provide a foundation for a healthful diet.
- **ultra-processed foods and beverages** highly palatable manufactured food and beverage products often high in industrial ingredients, such as sugars, refined starches, modified protein, hydrogenated fats, salt, and additives intended to disguise or improve undesirable sensory qualities of the final product. Additives may include colorants, flavorings, moisturizers, sweeteners, and many others. Examples of ultra-processed foods and beverages include, sugary refined breakfast cereals, candies, cookies, fried chicken nuggets, liquid nutritional supplements, potato "tots," snack chips and cakes, and soft drinks.
- **whole foods** dairy products; meats and similar foods such as fish and poultry; vegetables, including dried beans and peas; fruits; and grains. These foods are generally considered to form the basis of a nutritious diet. Also called *basic foods.*

substances, natural or added, that might lend protection against chronic diseases. The trouble with trying to single out the most health-promoting foods is that almost every naturally occurring food—even chocolate—is functional in some way with regard to human health.

The extent to which foods support good health depends on the calories, nutrients, and phytochemicals they contain. In short, to select well among foods, you need to know more than their names; you need to know the foods' inner qualities. Even more important, you need to know how to combine foods into nutritious diets. Foods are not nutritious by themselves; each is of value only insofar as it contributes to a nutritious diet. A key to wise diet planning is to make sure that the foods you eat daily, your **staple foods**, are especially nutritious.

Key Point

- Foods that form the basis of a nutritious diet are whole foods, such as ordinary dairy products; meats, fish, and poultry; vegetables and dried peas and beans; fruits; and grains.

How, Exactly, Can I Recognize a Nutritious Diet?

A nutritious diet is really a **dietary pattern**, a habitual way of choosing foods, with five characteristics. First is **adequacy**: the foods provide enough of each essential nutrient, fiber, and energy. Second is **balance**: the choices do not overemphasize one nutrient or food type at the expense of another. Third is **calorie control**: the foods provide the amount of energy you need to maintain appropriate weight—not more, not less. Fourth is **moderation**: the foods do not provide excess fat, salt, sugar, or other unwanted constituents. Fifth is **variety**: the foods chosen differ from one day to the next. In addition, to maintain a steady supply of nutrients, meals should occur with regular timing throughout the day. To recap, then, a nutritious diet is a dietary pattern that follows the A, B, C, M, V principles: Adequacy, Balance, Calorie control, Moderation, and Variety.

dietary pattern the combination of foods and beverages that constitute an individual's complete dietary intake over time; a person's usual diet. Also called *eating pattern*.

adequacy the dietary characteristic of providing all of the essential nutrients, fiber, and energy in amounts sufficient to maintain health and body weight.

balance the dietary characteristic of providing foods of a number of types in proportion to each other, such that foods rich in some nutrients do not crowd out the diet foods that are rich in other nutrients.

calorie control the dietary characteristic of controlling energy intake; a feature of a sound diet plan.

moderation the dietary characteristic of providing constituents within set limits, not to excess.

variety the dietary characteristic of providing a wide selection of foods—the opposite of monotony.

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Adequacy Any nutrient could be used to demonstrate the importance of dietary adequacy. Iron provides a familiar example. It is an essential nutrient: you lose some every day, so you have to keep replacing it, and you can get it into your body only by eating foods that contain it.* If you eat too few iron-containing foods, you can develop iron-deficiency anemia. With anemia, you may feel weak, tired, cold, sad, and unenthusiastic; you may have frequent headaches; and you can do very little muscular work without disabling fatigue. Some foods are rich in iron; others are notoriously poor. If you add iron-rich foods to your diet, you soon feel more energetic. Meat, fish, poultry, and **legumes** are rich in iron, and an easy way to obtain the needed iron is to include these foods regularly.

Maks Narodenko/Shutterstock.com

Balance To appreciate the importance of dietary balance, consider a second essential nutrient, calcium. A diet lacking calcium causes poor bone development during the growing years and increases a person's susceptibility to disabling bone loss in adult life. Most foods that are rich in iron are poor in calcium. Calcium's richest food sources are dairy products, which happen to be extraordinarily poor iron sources. Clearly, to obtain enough of both iron and calcium, people have to balance their food choices among the types of foods that provide both nutrients. Balancing the whole diet to provide enough of every one of the 40-odd nutrients the body needs for health requires considerable juggling, however. As you will see in Chapter 2, food group plans ease this task by clustering rich sources of nutrients into food groups that will help you achieve both dietary adequacy and balance within a dietary pattern that meets your needs.

Calorie Control Your intake of energy (calories) should not exceed or fall short of energy needs. Named *calorie control*, this characteristic ensures that energy intakes from food balance energy expenditures required for body functions and physical activity. Eating such a diet helps control body fat content and weight. You will read about many strategies that promote this goal in Chapter 9.

Moderation Your intakes of certain food constituents such as saturated fats, added sugars and salt should be limited for your health's sake. Some people take this to mean that they must never indulge in a delicious hot-fudge sundae or a hot dog with relish, but they are misinformed: moderation, not total abstinence, is the key. A steady diet of ice cream and hot dogs might be harmful, but once a week as part of an otherwise healthful dietary pattern, these foods may have little impact; as once-a-month treats, these foods would have practically no effect at all. Moderation also means that limits are necessary, even for desirable food constituents. For example, a certain amount of fiber in foods contributes to the health of the digestive system, but too much fiber leads to nutrient losses.

Variety As for variety, nutrition scientists agree that you should not eat the same foods, even highly nutritious ones, day after day, for a number of reasons. First, a varied diet is more likely to be adequate in nutrients. Second, some less-well-known nutrients and phytochemicals could be important to health, and some foods may be better sources of these than others. Third, a monotonous diet may deliver large amounts of toxins or contaminants. Such undesirable compounds in one food are diluted by all the other foods eaten with it and are diluted still further if the food is not eaten again for several days. Finally, variety adds interest—trying new foods can be a source of pleasure.

Variety applies to nutritious foods consumed within the context of all of the other dietary principles just discussed. Relying solely on the principle of variety to dictate food choices could easily result in a low-nutrient, high-calorie dietary pattern with a variety of nutrient-poor snack foods and sweets. If you establish the habit of using all of the principles just described, you will find that choosing a healthful diet becomes as automatic as brushing your teeth or falling asleep. Establishing the A, B, C, M, V habit may take some effort, but the payoff in terms of improved health is overwhelming

legumes (leg-GOOMS, LEG-yooms) beans, peas, and lentils, valued as inexpensive food sources of protein, vitamins, minerals, and fiber that contribute little fat to the diet. Also defined in Chapter 6.

*A person can also take supplements of iron, but as later discussions demonstrate, eating iron-rich foods is preferable.

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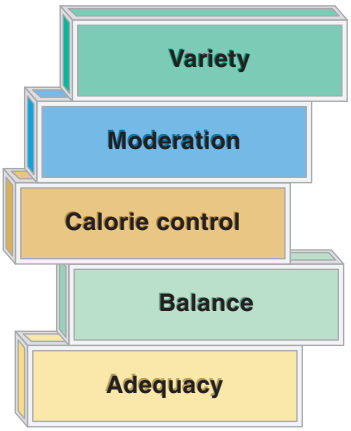
Figure 1–4

Components of a Nutritious Diet

All of these factors help to build a nutritious diet:



(Figure 1-4 sums up these principles). Table 1–5 takes an honest look at some common excuses for *not* eating well.

Key Point

- A well-planned diet is adequate, balanced, moderate in energy, and moderate in unwanted constituents and offers a variety of nutritious foods.

Why People Choose Foods

Eating is an intentional act. Each day, people choose from the available foods, prepare the foods, and decide where to eat, which customs to follow, and with whom to dine. Many factors influence food-related choices.

Cultural and Social Meanings Attached to Food Like wearing traditional clothing or speaking a native language, enjoying traditional **cuisines** and **foodways** can be a celebration of your own or a friend's heritage. Sharing **ethnic foods** can be symbolic: people offering foods are expressing a willingness to share cherished values with others. People accepting those foods are symbolically accepting not only the person doing the offering but also the person's culture. Developing **cultural competence** is particularly important for professionals who help others to achieve a nutritious diet.[7]

Cultural traditions regarding food are not inflexible; they keep evolving as people move about, learn about new foods, and teach each other. Today, some people are ceasing to be **omnivorous** and are becoming **vegetarians**. Vegetarians often choose this lifestyle because they honor the lives of animals or because they have discovered the health and other advantages associated with dietary patterns rich in beans, whole grains, fruit, nuts, and vegetables. Controversy 6 explores the strengths and weaknesses of both vegetarians' and meat eaters' diets.

Factors that Drive Food Choices Taste prevails as the number-one factor driving people's food choices, with price following closely behind.[8] Consumers also value convenience so highly that they are willing to spend almost half of their food budgets on meals prepared outside the home.

Fewer people are learning the skills needed to prepare nutritious meals at home. Instead, they frequently eat out, bring home ready-to-eat meals, or have food delivered. When they do cook, they want to prepare meals in 15 to 20 minutes, using only a few ingredients. Such convenience incurs a cost in terms of nutrition, however: eating away from home reduces intakes of fruit, vegetables, milk, and whole grains. It also increases intakes of calories, saturated fat, sodium, and added sugars. Convenience doesn't have to mean that nutrition flies out the window, however. This chapter's Food Feature (p. 20) explores the trade-offs of time, money, and nutrition that many busy people face today.

cuisines styles of cooking.

foodways the sum of a culture's habits, customs, beliefs, and preferences concerning food.

ethnic foods foods associated with particular cultural subgroups within a population.

cultural competence having an awareness and acceptance of one's own and others' cultures and abilities, leading to effective interactions with all kinds of people.

omnivorous people who eat foods of both plant and animal origin, including animal flesh.

vegetarians people who exclude from their diets animal flesh and possibly other animal products such as milk, cheese, and eggs.

Table 1–5

What's Today's Excuse for Not Eating Well?

If you find yourself saying, "I know I should eat well, but I'm too busy" (or too fond of fast food, or have too little money, or a dozen other excuses), take note:

- *No time to cook.* Everyone is busy. Convenience packages of fresh or frozen vegetables, jars of pasta sauce, and prepared meats and salads make nutritious meals in little time.
- *Not a high priority.* Priorities change drastically and instantly when illness strikes—better to spend a little effort now nourishing your body's defenses than to spend enormous resources later fighting illnesses.
- *Crave fast food and sweets.* Occasional fast-food meals and sweets in moderation are acceptable in a nutritious diet.
- *Too little money.* Eating right might cost a little more than eating poorly, but the cost of coping with a chronic illness is unimaginably high.
- *Take vitamins instead.* Vitamin pills or even advertised "nutritional drinks" cannot make up for consistently poor food choices.

Source: D. P. Reidlinger, T. A. Sanders, and L. M. Goff, How expensive is a cardioprotective diet? Analysis from the CRESSIDA study, Public Health Nutrition *(2017), epub ahead of print, doi: 10.1017/S1368980016003529.*

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Many other factors—psychological, physical, social, and philosophical—also influence people's food choices. College students, for instance, often choose to eat at restaurants to socialize, to get out, to save time, or to date; they are not always conscious of their bodies' needs for nutritious food. A list of other factors follows:

Kzenon/Shutterstock.com

Sharing traditional food is a way of sharing culture.

- *Advertising*. The media have persuaded you to consume these foods.
- *Availability*. They are present in the environment and accessible to you.
- *Cost*. They are within your financial means.[9]
- *Emotional comfort*. They can bring joy, or they can make you feel better for a while.
- *Habit*. They are familiar; you always eat them.
- *Personal preference and genetic inheritance*. You like the way these foods taste.
- *Positive or negative associations*. *Positive:* They are eaten by people you admire, or they indicate status, or they remind you of fun. *Negative*: They were forced on you, or you became ill while eating them.
- *Region of the country*. They are foods favored in your area.
- *Social norms*. Your companions or social media friends are eating them, or they are offered and you feel you cannot refuse them.[10]
- *Values or beliefs*. They fit your religious or cultural traditions, square with your political views, or honor an environmental ethic (see Chapter 15).
- *Weight*. You think they will help control body weight.

One other factor affects food choices:

- *Nutrition and health benefits*. You think they are good for you.[11]

The next section addresses one of the "how" questions posed earlier in this chapter: How do we know what we know about nutrition?

Key Points

- Cultural traditions and social values often revolve around foodways.
- Many factors other than nutrition drive food choices.

The Science of Nutrition

LO 1.5 Describe the science of nutrition.

Understanding nutrition depends on a firm base of scientific knowledge. This section describes the nature of such knowledge.

Unlike sciences such as astronomy and physics, nutrition is a relatively young science. Most nutrition research has been conducted since 1900. The first vitamin was identified in 1897, and the first protein structure was not fully described until the mid-1940s. Because nutrition science is an active, changing, growing body of knowledge, new findings often seem to contradict one another or are subject to conflicting interpretations. Bewildered consumers complain in frustration, "Those scientists don't know anything. If they don't know what's true, how am I supposed to know?"

Yet experimenters have confirmed many nutrition facts with great certainty through repeated testing. To understand why apparent contradictions exist, we need to look first at what scientists do.

The Scientific Approach

In truth, it is a scientist's business not to know. Scientists obtain facts by systematically asking honest, objective questions—that's their job. Following the scientific method (outlined in Figure 1–5, p. 14), researchers attempt to answer scientific questions.

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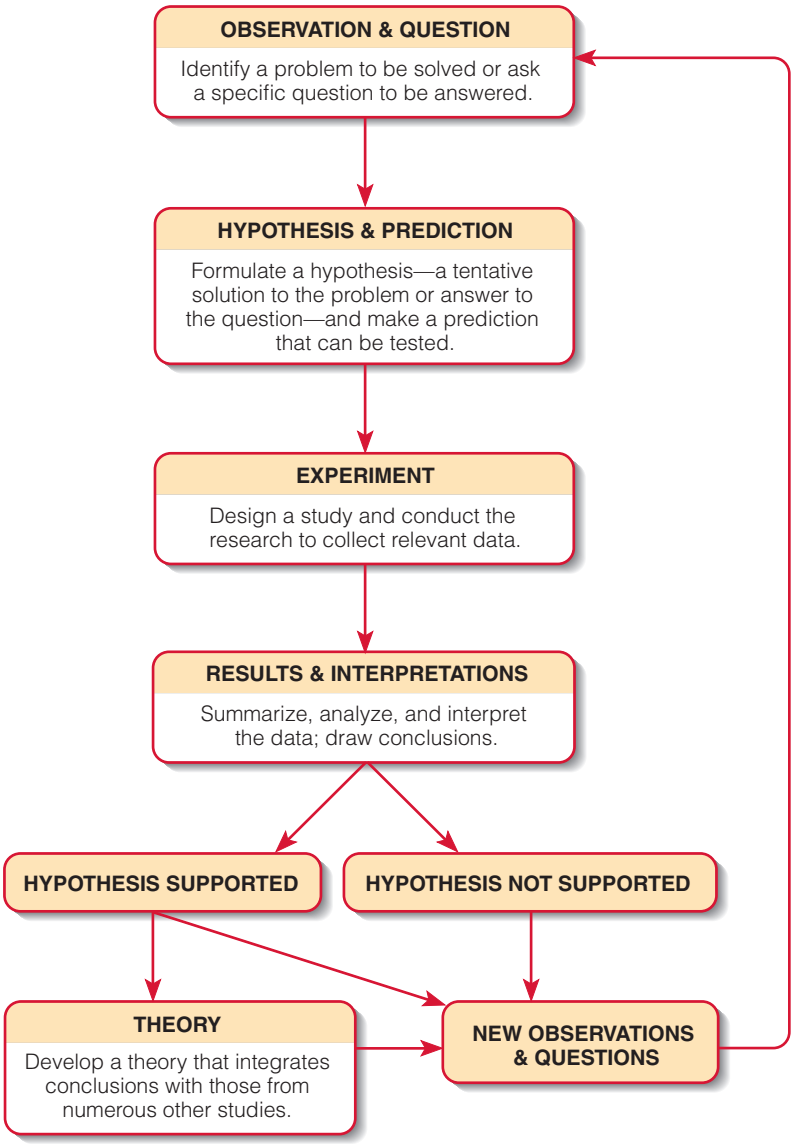
Figure 1–5
The Scientific Method

Research scientists follow the scientific method. Note that most research projects result in new questions, not final answers. Thus, research continues in a somewhat cyclical manner.



They design and conduct various experiments to test for possible answers (see Figure 1–6, and Table 1–6 on p. 16). When they have ruled out some possibilities and found evidence for others, they submit their findings not to the news media but to boards of reviewers composed of other scientists who try to pick apart the findings and may call for further evidence before approving publication. Finally, the work is published in scientific journals where still more scientists can read it. Then the news reporters read it and write about it, and the public can read about it, too. In time, other scientists replicate the experiments and report their own findings, which either support or refute earlier conclusions.

Key Points

- Nutrition is a young and fast-growing science.
- Scientists ask questions and then design research experiments to test possible answers.
- Researchers follow the scientific method and apply it to various research study designs.

Scientific Challenge

An important truth in science is that one experiment does not "prove" or "disprove" anything. When a finding has stood up to rigorous repeated testing in several kinds of experiments performed by several different researchers, it is finally considered confirmed. Even then, strictly speaking, science consists not of facts that are set in stone but of *theories* that can always be challenged and revised. Some findings, though, such as the theory that the earth revolves about the sun, are so well supported by observations and experimental findings that they are generally accepted as facts. What we "know" in nutrition is confirmed in the same way—through years of replicating study findings. This slow path of repeated studies stands in sharp contrast to the media's desire for today's latest news.

To repeat: the only source of valid nutrition information is slow, painstaking, well-designed, unbiased, repeatable scientific research. We believe a nutrition fact to be true because it has been supported, time and again, in experiments designed to rule out all other possibilities. For example, we know that eyesight depends partly on vitamin A because:

- In case studies, individuals with blindness report having consumed a steady diet devoid of vitamin A; and
- In epidemiological studies, populations with diets lacking in vitamin A are observed to suffer high rates of blindness; and
- In intervention studies (**controlled clinical trials**), vitamin A–rich foods provided to groups of people with vitamin A deficiency reduce their blindness rates dramatically; and
- In laboratory studies, animals deprived of vitamin A and only that vitamin begin to go blind; when it is restored soon enough in the diet, their eyesight returns; and

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Figure 1–6

Examples of Research Design

The type of study chosen for research depends on what sort of information the researchers require. Studies of individuals (**case studies**) yield observations that may lead to possible avenues of research. A study of a man who ate gumdrops and became a famous dancer might suggest that an experiment be done to see if gumdrops contain dance-enhancing power.

Studies of whole populations (**epidemiological studies**) provide another sort of information. Such a study can reveal a **correlation**. For example, an epidemiological study might find no worldwide correlation of gumdrop eating with fancy footwork but, unexpectedly, might reveal a correlation with tooth decay.

Studies in which researchers actively intervene to alter people's eating habits (**intervention studies**) go a step further. In such a study, one set of subjects (the **experimental group**) receives a treatment, and another set (the **control group**) goes untreated or receives a **placebo** or sham treatment. If the study is a **blind experiment**, the subjects do not know who among the members receives the treatment and who receives the sham. If the two groups experience different effects, then the treatment's effect can be pinpointed. For example, an intervention study might show that withholding gumdrops, together with other candies and confections, reduced the incidence of tooth decay in an experimental population compared to that in a control population.

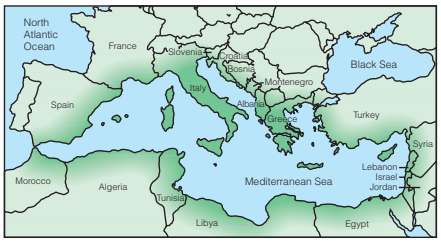
Laboratory studies can pinpoint the mechanisms by which nutrition acts. What is it about gumdrops that contributes to tooth decay: their size, shape, temperature, color, ingredients? Feeding various forms of gumdrops to rats might yield the information that sugar, in a gummy carrier, promotes tooth decay. In the laboratory, using animals or plants or cells, scientists can inoculate with diseases, induce deficiencies, and experiment with variations on treatments to obtain in-depth knowledge of the process under study. Intervention studies and laboratory experiments are among the most powerful tools in nutrition research because they show the effects of treatments.

Case Study

Bob Daemmrich/Alamy Stock Photo

"This person eats too little of nutrient X and has illness Y."

Epidemiological Study



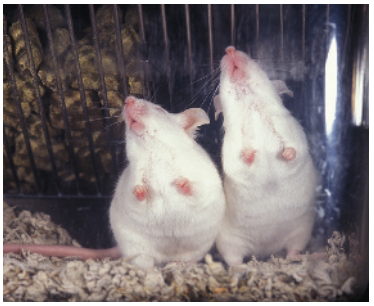
"This country's food supply contains more nutrient X, and these people suffer less illness Y."

Intervention Study

bokan/Shutterstock.com

"Let's add foods containing nutrient X to some people's food supply and compare their rates of illness Y with the rates of others who don't receive the nutrient."

Laboratory Study



Leslie Newman & Andrew Flowers/Science Source

"Now let's see if a nutrient X deficiency causes illness Y by inducing a deficiency in these rats."

- Further laboratory studies elucidate the molecular mechanisms for vitamin A activity in eye tissues; and
- Replication of these studies yields the same results.
- Later, a **meta-analysis** of previous studies also detects the effect.

Now we can say with certainty, "Eyesight depends on sufficient vitamin A."

Key Points

- Single studies must be replicated before their findings can be considered valid.
- A theory is strengthened when results from follow-up studies with a variety of research designs support it.

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Table 1–6

Research Design Terms

- **blind experiment** an experiment in which the subjects do not know whether they are members of the experimental group or the control group. In a *double-blind experiment*, neither the subjects nor the researchers know to which group the members belong until the end of the experiment.
- **case study** a study of a single individual. When in clinical settings, researchers can observe treatments and their apparent effects. To prove that a treatment has produced an effect requires simultaneous observation of an untreated similar subject (a *case control*).
- **control group** a group of individuals who are similar in all possible respects to the group being treated in an experiment but who receive a sham treatment instead of the real one. Also called *control subjects.*
- **controlled clinical trial** an experiment in which one group of subjects (the **experimental group**) receives a treatment and a comparable group (the **control group**) receives an imitation treatment and outcomes for the two are compared. Ideally, neither subjects nor researchers know who receives the treatment and who gets the placebo (a double-blind study).
- **meta-analysis** a computer-driven statistical summary of evidence gathered from multiple previous studies.
- **correlation** the simultaneous change of two factors, such as the increase of weight with increasing height (a *direct* or *positive* correlation) or the decrease of cancer incidence with increasing fiber intake (an *inverse* or *negative* correlation). A correlation between two factors suggests that one may cause the other but does not rule out the possibility that both may be caused by chance or by a third factor.
- **epidemiological studies** studies of populations; often used in nutrition to search for correlations between dietary habits and disease incidence; a first step in seeking nutrition-related causes of diseases.
- **experimental group** the people or animals participating in an experiment who receive the treatment under investigation. Also called *experimental subjects.*
- **intervention studies** studies of populations in which observation is accompanied by experimental manipulation of some population members—for example, a study in which half of the subjects (the *experimental subjects*) follow diet advice to reduce fat intakes, while the other half (the *control subjects*) do not, and both groups' heart health is monitored.
- **laboratory studies** studies that are performed under tightly controlled conditions and are designed to pinpoint causes and effects. Such studies often use animals as subjects.
- **placebo** a sham treatment often used in scientific studies; an inert, harmless medication. The *placebo effect* is the healing effect that the act of treatment, rather than the treatment itself, often has.

Can I Trust the Media for Nutrition Information?

The news media are hungry for new findings, and reporters often latch onto hypotheses from scientific laboratories before they have been fully tested. Also, a reporter who lacks a strong understanding of science may misunderstand or misreport complex scientific principles. To tell the truth, sometimes scientists get excited about their findings, too, and leak them to the press before they have been through a rigorous review by the scientists' peers. As a result, the public is often exposed to late-breaking nutrition news stories before the findings are fully confirmed. Then, when a hypothesis being tested fails to hold up to a later challenge, consumers feel betrayed by what is simply the normal course of science at work.

Real scientists are trend watchers. They evaluate the methods used in each study, assess each study in light of the evidence gleaned from other studies, and modify little by little their picture of what may be true. As evidence accumulates, the scientists become more and more confident about their ability to make recommendations that apply to people's health and lives.

Sometimes media sensationalism overrates the importance of even true, replicated findings. For example, the media eagerly report that oat products lower blood cholesterol, a lipid indicative of heart disease risk. Although the reports are true, they often fail to mention that eating a nutritious diet that is low in certain fats is still the major step toward lowering blood cholesterol. They also may skip over important questions: How much oatmeal must a person eat to produce the desired effect? Do little oat bran pills or powders meet the need? Do oat bran cookies? If so, how many cookies? For oatmeal, it takes a bowl and a half daily to affect blood lipids. A few pills or cookies do not provide nearly so much bran and certainly cannot undo damage from an ill-chosen diet.

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Today, the cholesterol-lowering effect of oats is well established. The whole process of discovery, challenge, and vindication took almost 10 years of research. Some other lines of research have taken much longer. In science, a single finding almost never makes a crucial difference to our knowledge, but like each individual frame in a movie, it contributes a little to the big picture. Many such frames are needed to tell the whole story. The Consumer's Guide section (p. 19) offers some tips for evaluating news stories about nutrition.

Key Point

- News media often sensationalize single-study findings and so may not be trustworthy sources.

National Nutrition Research

As you study nutrition, you are likely to hear of findings based on ongoing nationwide nutrition and health research projects. A national food and nutrient intake survey, called *What We Eat in America*, reveals what we know about the population's food and supplement intakes. It is conducted as part of a larger research effort, the **National Health and Nutrition Examination Surveys (NHANES)**, which also conducts physical examinations and measurements and laboratory tests.[12] Boiled down to its essence, NHANES involves:

- Asking people what they have eaten and
- Recording measures of their health status.

Past NHANES results have provided important data for developing growth charts for children, guiding food fortification efforts, developing national guidelines for reducing chronic diseases, and many other beneficial programs. Some agencies involved with these efforts are listed in Table 1–7.

Key Point

- National nutrition research projects, such as NHANES, provide data on U.S. food consumption and nutrient status.

Healthy People Objectives for the Nation

Envisioning a future when every person in our society can lead a long and healthy life, the U.S. Department of Health and Human Services releases its science-based *Healthy People* nutrition and health objectives for the nation. These standards, updated every decade, are used by federal programs and others to set priorities and measure improvements in behaviors and health outcomes.*

Progress toward meeting the *Healthy People 2030* objectives is mixed. More U.S. adults report spending more of their leisure time in physical activity; at the same time, most people's diets still lack vegetables, and obesity rates are climbing.[13] To fully meet the *Healthy People* nutrition goals, our nation must change its habits.

Key Point

- Each decade, the U.S. Department of Health and Human Services sets health and nutrition objectives for the nation.

Changing Behaviors

LO 1.6 Describe the characteristics of the six stages of behavior change.

Nutrition knowledge is of little value if it only helps people to make A's on tests. The value comes when people use it to improve their diets. To act on knowledge, people must change their behaviors, and although this may sound simple enough, behavior change often takes substantial effort.

*You can read the current nutrition and other health objectives at www.healthypeople.gov.

Table 1–7

Nutrition Research and Policy Agencies

These agencies are actively engaged in nutrition policy development, research, and monitoring:

- Centers for Disease Control and Prevention (CDC)
- U.S. Department of Agriculture (USDA)
- U.S. Department of Health and Human Services (DHHS)
- U.S. Food and Drug Administration (FDA)

SDI Productions/E+/Getty Images

The aim of Healthy People 2030 *is to help people live long, healthy lives.*

National Health and Nutrition Examination Surveys (NHANES) a program of studies designed to assess the health and nutritional status of adults and children in the United States by way of interviews and physical examinations.

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Photographee.eu/Shutterstock.com

Many people need to change their daily habits to protect their health.

The Process of Change

Psychologists often describe the six stages of behavior change, offered in Table 1–8. Knowing where you stand in relation to these stages may help you move along the path toward achieving your goals. When offering diet help to others, keep in mind that their stages of change can influence their reaction to your message.

Taking Stock and Setting Goals

Once aware of a problem, you can plan to make a change. Some problems, such as *never* consuming a vegetable, are easy to spot. More subtle dietary problems, such as failing to meet your need for calcium, may be hidden but can exert serious repercussions on health. Tracking food and beverage intakes over several days' time and then comparing intakes to standards (see Chapter 2) can reveal all sorts of interesting tidbits about strengths and weaknesses of your dietary pattern.

Once a weakness is identified, setting small, achievable goals to correct it becomes the next step to making improvements. The most successful goals are set for specific behaviors, not overall outcomes. For example, if losing 10 pounds is the desired outcome, goals should be set in terms of food intakes and physical activity to help achieve weight loss. After goals are set and changes are under way, a means of tracking progress increases the likelihood of success.

Start Now

As you progress through this text, you may want to change some of your own habits. To help you, little reminders titled "Start Now" and "Moving Ahead" close each chapter's Think Fitness and Consumer's Guide sections. They invite you to take inventory of your current behaviors, set goals, track progress, and practice new behaviors until they become as comfortable and familiar as the old ones were.

Key Points

- Behavior change follows a multistep pattern.
- Setting goals and monitoring progress facilitate behavior change.

Table 1–8

The Stages of Behavior Change

Stage	Characteristics	Actions
Precontemplation	Not considering a change; have no intention of changing; see no problems with current behavior.	Collect information about health effects of current behavior and potential benefits of change.
Contemplation	Admit that change may be needed; weigh pros and cons of changing and not changing.	Commit to making a change and set a date to start.
Preparation	Preparing to change a specific behavior, taking initial steps, and setting some goals.	Write an action plan, spelling out specific parts of the change. Set small-step goals; tell others about the plan.
Action	Committing time and energy to making a change; following a plan set for a specific behavior change.	Perform the new behavior. Manage emotional and physical reactions to the change.
Maintenance	Striving to integrate the new behavior into daily life and striving to make it permanent.	Persevere through lapses. Teach others and help them achieve their own goals. (This stage can last for years.)
Adoption/Moving On	The former behavior is gone, and the new behavior is routine.	After months or a year of maintenance without lapses, move on to other goals.

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A Consumer's Guide to . . . Reading Nutrition News

At a coffee shop, Nick, a health-conscious consumer, sets his cup down on the Lifestyle section of the newspaper. He glances at the headline—"Eating Fat OK for Heart Health!"—and jumps to a wrong conclusion: "Do you mean to say that I could have been eating burgers and butter all this time? I can't keep up! As soon as I change my diet, the scientists change their story." Nick's frustration is understandable. Like many others, he feels betrayed when, after working for years to make diet changes for his health's sake, headlines seem to turn dietary advice upside down. He shouldn't blame science, however.

Tricks and Traps

The trouble started when Nick was "hooked" by a catchy headline. Media headlines often seem to reverse current scientific thought because new "breakthrough" studies are exciting; they grab readers' attention and make them want to buy a newspaper, book, or magazine. (By the way, you can read the true story behind changing lipid intake guidelines in Controversy 5.) Even if Nick had read the entire newspaper article, he could have still been led astray by phrases like "Now we know" or "The truth is." Journalists use such phrases to imply finality, the last word. In contrast, scientists use tentative language, such as "may" or "might," because they know that the conclusions from one study will be challenged, refined, and even refuted by others that follow.

Markers of Authentic Reporting

To approach nutrition news with a trained eye, look for these signs of a scientific approach:

- When an article describes a scientific study, that study should have been published in a peer-reviewed journal, such as the *American Journal of Clinical Nutrition* (see Figure 1–7). An unpublished study may or may not be valid; readers have no way of knowing because the study lacks scrutiny by other experts (the authors' peers).
- The news item should describe the researchers' methods. In truth, few popular reports provide these details. For example, it matters whether the study participants numbered 8 or 80,000 or whether researchers personally observed participants' behaviors or relied on self-reports given over the telephone.
- The report should define the study subjects—were they single cells, animals, or human beings? If they were human beings, the more you have in common with them (age and sex, for example), the more applicable the findings may be for you.
- Valid reports also present new findings in the context of previous research. Some reporters in popular media regularly follow developments in a research area and thus acquire the background knowledge needed to report meaningfully. They strive for adequacy, balance, and completeness, and they cover such things as cost of a treatment, potential harms and benefits, strength of evidence, and who might stand to gain from potential sales relating to the finding.*
- For a helpful *scientific* overview of current topics in nutrition, look for review articles written by experts. They regularly appear in scholarly journals such as *Nutrition Reviews*.

The most credible sources of scientific nutrition information are scientific journals. Controversy 1, which follows this chapter, addresses other sources of nutrition information and misinformation.

** An organization that promotes valid health-care reporting is HealthNewsReview.org, available at www.healthnewsreview.org/.*

Figure 1–7

Peer-Reviewed Journals

For the whole story on a nutrition topic, read articles from peer-reviewed journals such as these. A review journal examines all available evidence on major topics. Other journals report details of the methods, results, and conclusions of single studies.

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Moving Ahead

Develop a critical eye, and let scientific principles guide you as you read nutrition news. When a headline touts a shocking new "answer" to a nutrition question, approach it with caution. It may indeed be a carefully researched report that respects the gradual nature of scientific discovery and refinement, but more often it is a sensational news flash intended to grab your attention.

Review Questions†

1. To keep up with nutrition science, consumers should _____.
   a. seek out the health and fitness sections of newspapers and magazines and read them with a trained eye
   b. read studies published in peer-reviewed journals, such as the

(continued)

† Answers to Consumer's Guide review questions are in Appendix G.

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American Journal of Clinical Nutrition

c. look for review articles published in peer-reviewed journals, such as *Nutrition Reviews*

d. all of the above

2. To answer nutrition questions, _____.

a. rely on articles that include phrases such as "Now we know" or "The answer is," which appear to provide conclusive answers to nutrition questions

b. look to science for answers, with the expectation that scientists will continually revise their understandings

c. realize that problems in nutrition are probably too complex for consumers to understand

d. a and c

3. Scholarly review journals such as *Nutrition Reviews* _____.

a. are behind the times when it comes to nutrition news

b. discuss all available research findings on a topic in nutrition

c. are filled with medical jargon

d. are intended for use by practitioners only, not students

Food Feature

Nutrient Density: How to Get Enough Nutrients without Too Many Calories

LO 1.7 Explain how the concept of nutrient density can facilitate diet planning.

In the United States, only a tiny percentage of adults manage to choose a dietary pattern that achieves both adequacy and calorie control. The foods that can help in doing so are foods richly endowed with nutrients relative to their energy contents; that is, they are foods with high **nutrient density**.[14] Figure 1–8 is a simple depiction of this concept. Consider calcium sources, for example. Ice cream and fat-free milk both supply calcium, but a cup of rich ice cream contributes more than 350 calories, whereas a cup of fat-free milk has only 85—and almost double the calcium. Most people cannot, for their health's sake, afford to choose foods without regard to their energy contents. Those who do very often exceed calorie allowances while leaving nutrient needs unmet.

Among foods that often rank high in nutrient density are the vegetables, particularly the nonstarchy vegetables such as dark leafy greens (cooked and raw), red bell peppers, broccoli, carrots, mushrooms, and tomatoes.These inexpensive foods take time to prepare, but time invested in this way pays off in

nutrient density a measure of nutrients provided per calorie of food. A *nutrient-dense food* provides needed nutrients with relatively few calories.

Figure 1–8

A Way to Judge Which Foods Are Most Nutritious

These two breakfasts provide about 500 calories each, but they differ greatly in the nutrients they provide per calorie. Note that the sausage in the larger breakfast is lower-calorie turkey sausage, not the high-calorie pork variety. Making small choices like this at each meal can add up to large calorie savings, making room in the diet for more servings of nutritious foods and even some treats.

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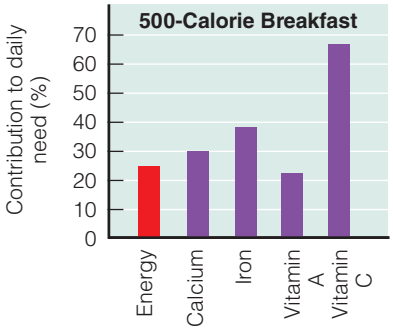
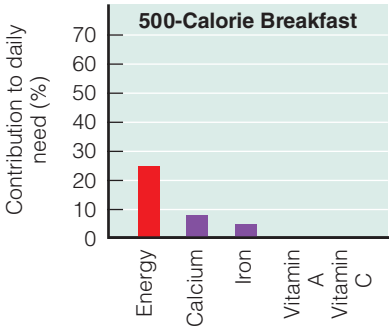


Higher Nutrient Density



Lower Nutrient Density

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nutritional health. Twenty minutes spent peeling and slicing vegetables for a salad is a better investment in nutrition than 20 minutes spent fixing a fancy, high-fat, high-sugar dessert. Besides, the dessert ingredients often cost more money and strain the calorie budget, too.

Time, however, is a concern to many people. Today's working families, college students, and active people of all ages may have little time to devote to food preparation. Busy cooks should seek out convenience foods that are nutrient-dense, such as bags of ready-to-serve salads, ready-to-cook fresh vegetables, refrigerated prepared low-fat meats and poultry, canned beans, and frozen vegetables. A tip for lower-cost convenience is to double the amount of whole vegetables for a recipe; wash, peel, and chop them; and then refrigerate or freeze the extra to use on another day. Dried fruit and dry-roasted nuts require only that they be kept on hand and make a tasty, nutritious topper for salads and other foods. To round out a meal, fat-free milk or yogurt is both nutritious and convenient. Other convenience selections, such as most potpies, many frozen pizzas, ramen noodles, and "pocket"-style pastry sandwiches, are less nutritious overall because they contain too few vegetables and too many calories, making them low in nutrient density. The Food Features of later chapters offer many more tips for choosing convenient and nutritious foods.

All of this discussion leads to a principle that is central to achieving nutritional health: no particular foods must be included or excluded in the diet. Instead, your dietary pattern—the way you combine foods into meals and the way you arrange meals to follow one another over days and weeks—determines how well you are nourishing yourself. Nutrition is a science, not an art, but it can be used artfully to create a pleasing, nourishing diet. The rest of this book is dedicated to helping you make informed choices and combine them artfully to meet all the body's nutrition needs.

What did you decide?

- Can your diet make a real difference between getting **sick** or staying **healthy**?
- Are **supplements** more powerful than food for ensuring good nutrition?
- What makes your favorite foods your **favorites**?
- Are **news and media nutrition reports** informative or confusing?

Self Check

1. (LO 1.1) Both heart disease and cancer are due to genetic causes, and diet cannot influence whether they occur.
   T F
2. (LO 1.1) Some conditions, such as _____, are almost entirely nutrition related.
   a. cancer
   b. Down syndrome
   c. iron-deficiency anemia
   d. sickle-cell anemia
3. (LO 1.2) Human diseases are all equally influenced by diet.
   T F
4. (LO 1.3) Energy-yielding nutrients include all of the following except _____.
   a. vitamins
   b. carbohydrates
   c. fat
   d. protein

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5. (LO 1.3) Organic nutrients include all of the following except _____.
   a. minerals
   b. fat
   c. carbohydrates
   d. protein
6. (LO 1.3) Both carbohydrates and protein have 4 calories per gram.
   T F
7. (LO 1.4) One of the characteristics of a nutritious diet is that the diet provides no constituent in excess. This principle of diet planning is called _____.
   a. adequacy
   b. balance
   c. moderation
   d. variety
8. (LO 1.4) Which of the following is an example of a processed food?
   a. carrots
   b. bread
   c. nuts
   d. watermelon
9. (LO 1.4) People most often choose foods for the nutrients they provide.
   T F
10. (LO 1.5) Studies of populations in which observation is accompanied by experimental manipulation of some population members are referred to as _____.
   a. case studies
   b. intervention studies
   c. laboratory studies
   d. epidemiological studies
11. (LO 1.5) An important national food and nutrient intake survey, called *What We Eat in America*, is part of _____.
   a. NHANES
   b. FDA
   c. USDA
   d. none of the above
12. (LO 1.5) The nutrition objectives for the nation, as part of *Healthy People 2030*
   a. envision a society in which all people live long, healthy lives.
   b. track and identify cancers as a major killer of people in the United States.
   c. set U.S. nutrition- and weight-related goals, one decade at a time.
   d. a and c.
13. (LO 1.5) According to a national health report,
   a. most people's diets lacked enough fruit, vegetables, and whole grains.
   b. fewer adults reported being sufficiently physically active.
   c. the number of overweight people was declining.
   d. the nation had fully met the previous *Healthy People* objectives.
14. (LO 1.6) Behavior change is a process that takes place in stages.
   T F
15. (LO 1.6) A person who is setting goals in preparation for a behavior change is in a stage called *precontemplation*.
   T F
16. (LO 1.7) A slice of peach pie supplies 357 calories with 48 units of vitamin A; one large peach provides 42 calories and 53 units of vitamin A. This is an example of _____.
   a. calorie control
   b. nutrient density
   c. variety
   d. essential nutrients
17. (LO 1.7) A person who wishes to meet nutrient needs while not overconsuming calories is wise to master
   a. the concept of nutrient density.
   b. the concept of carbohydrate reduction.
   c. the concept of nutrients per dollar.
   d. French cooking.
18. (LO 1.8) "Red flags" that can help identify nutrition quackery include
   a. enticingly quick and simple answers to complex problems.
   b. efforts to cast suspicion on the regular food supply.
   c. solid support and praise from users.
   d. all of the above.
19. (LO 1.8) In this nation, stringent controls make it difficult to obtain a bogus nutrition credential.
   T F

Answers to these Self Check questions are in Appendix G.

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Controversy 1 Sorting Impostors from Real Nutrition Experts

LO 1.8 Evaluate the authenticity of any given nutrition information source.

From the time of snake oil salesmen in horse-drawn wagons to today's internet sales schemes, nutrition **quackery** has been a problem that often escapes government regulation and enforcement. To avoid being sitting ducks for quacks, consumers themselves must distinguish between authentic, useful nutrition products or services and a vast array of faulty advice and outright scams.

Each year, consumers spend a deluge of dollars on nutrition-related services and products from both legitimate and fraudulent businesses. Each year, nutrition and other health **fraud** diverts tens of *billions* of consumer dollars from legitimate health care.

More than Money at Stake

When scam products are garden tools or stain removers, hoodwinked consumers may lose a few dollars and some pride. When the products are ineffective, untested, or even hazardous "dietary supplements" or "medical devices," consumers stand to lose the very thing they are seeking: good health. When a sick person wastes time with quack treatments, serious problems can advance while proper treatment is delayed. And ill-advised "dietary supplements" have inflicted dire outcomes, even liver failure, on previously well people who took them in hopes of *improving* their health.

Information Sources

When questions about nutrition arise, most people consult the internet, a popular book or magazine, or television for the answer.[1]* Sometimes these sources provide sound, scientific, trustworthy information. More often, though, **infomercials**, **advertorials**, and **urban legends** (defined in Table C1–1) pretend to inform but in fact aim primarily to sell products by making fantastic promises of health or weight loss with minimal effort and at bargain prices.

How can people learn to distinguish valid nutrition information from misinformation? Some quackery is easy to identify—like the claims of the salesman in Figure C1–1 (p. 24)—whereas other types are more subtle. Between the extremes of accurate scientific data and intentional quackery lies an abundance of nutrition misinformation.† An instructor at a gym, a physician, a health-food store clerk, an author of books, or an advocate for a "cleansing diet" product or weight-loss gadget may sincerely believe that the recommended nutrition regimen is beneficial. But what qualifies these people to give nutrition advice? Would following their advice be helpful or harmful? To sift

** Reference notes are in Appendix F*

Table C1–1
Quackery Terms

- **advertorials** lengthy advertisements in newspapers and magazines that read like feature articles but are written for the purpose of touting the virtues of products and may or may not be accurate.
- **anecdotal evidence** information based on interesting and entertaining, but not scientific, personal stories.
- **critical thinking** the mental activity of rationally and skillfully analyzing, synthesizing, and evaluating information.
- **fraud** or **quackery** the promotion, for financial gain, of devices, treatments, services, plans, or products (including diets and supplements) claimed to improve health, well-being, or appearance without proof of safety or effectiveness. (The word *quackery* comes from the term *quacksalver*, meaning a person who quacks loudly about a miracle product—a lotion or a salve.)
- **infomercials** feature-length television commercials that follow the format of regular programs but are intended to convince viewers to buy products and not to educate or entertain them.
- **urban legends** stories, usually false, that may travel rapidly throughout the world via the internet, gaining the appearance of validity solely on the basis of repetition.

Suthichai Hantraku/Shutterstock.com

Who speaks on nutrition?

† Reliable information on quackery is available. Search for the National Council Against Health Fraud or the Food and Drug Administration on the internet, or call (888) INFO-FDA.

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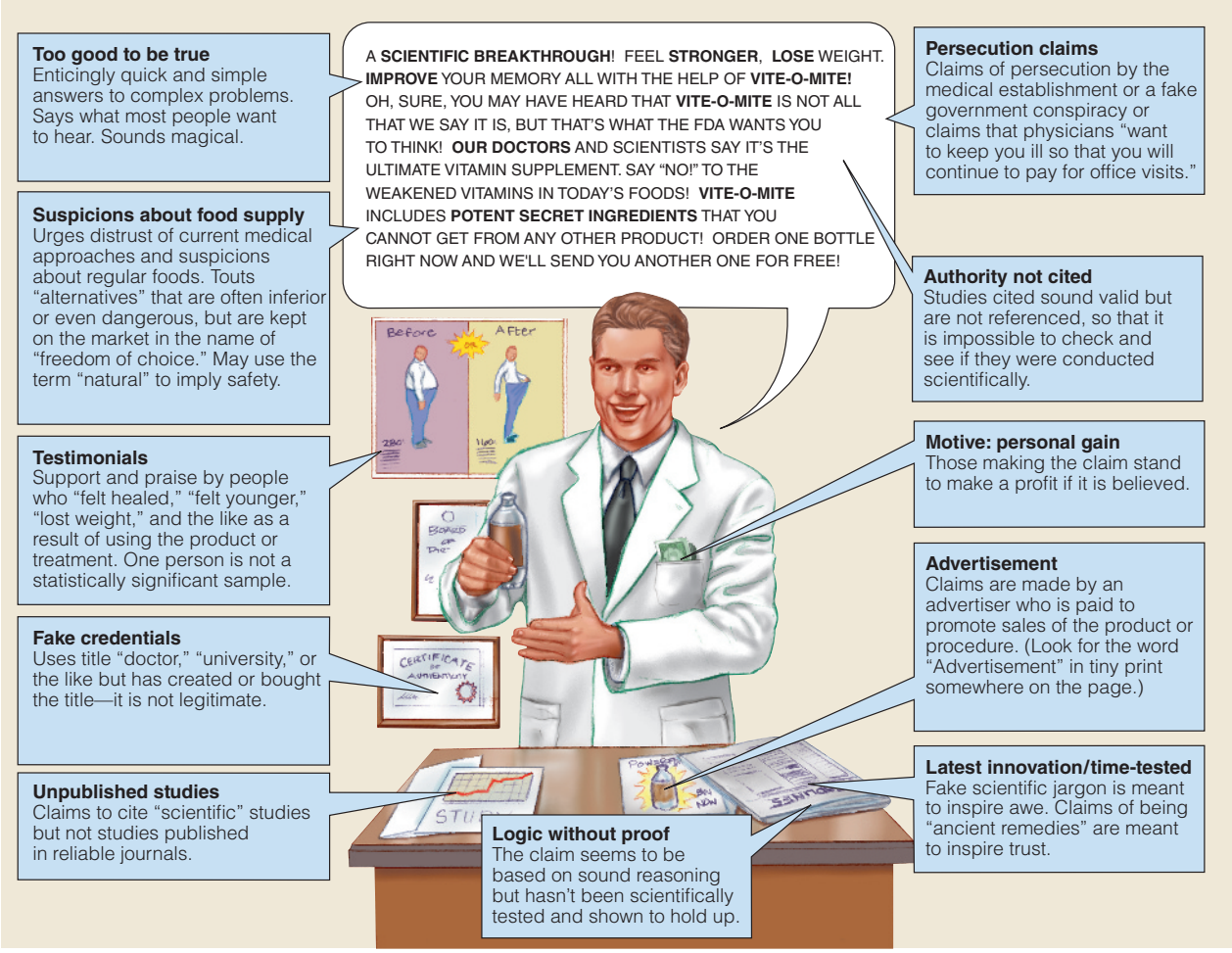
Figure C1–1

Earmarks of Nutrition Quackery



meaningful nutrition information from rubbish, you must learn to identify both.

Chapter 1 explained that valid nutrition information arises from scientific research and does not rely on **anecdotal evidence** or testimonials. Table C1–2 lists some sources of such authentic nutrition information.

Identifying nutrition misinformation requires more than simply gathering accurate information, though. It also requires you to develop skills in **critical thinking**. Critical thinking allows a person who has gathered information to:

- Understand how concepts are related.
- Evaluate the pros and cons of an argument.
- Detect inconsistencies and errors in thinking.
- Solve problems.
- Judge the relevance of new information.

This book's Controversy sections are dedicated to helping you to develop your critical thinking skills.

Nutrition on the Net

If you have a question, the internet has an answer. It offers convenient access to reliable reports of scientific research published in refereed journals. It also delivers an abundance of incomplete, misleading, or inaccurate information. Simply put: anyone can publish anything online. For example, popular self-governed internet "encyclopedia" websites allow anyone to post information or change others' postings on all topics. Information on the sites may be correct, but it may not be—readers must evaluate it for themselves. Table C1–3 provides some clues to judging the reliability of nutrition information websites.

Blogs, YouTube videos, and podcasts contain the authors' personal opinions and are often not reviewed by experts before posting. In addition, email and social media messages often circulate hoaxes and scare stories. Be suspicious when:

- Someone other than the sender or some authority you know wrote the contents.
- A phrase like "Forward this to everyone you know" appears anywhere in the piece.

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Table C1–2

Credible Sources of Nutrition Information

Government agencies, volunteer associations, consumer groups, and professional organizations provide consumers with reliable health and nutrition information. Credible sources of nutrition information include:

- Nutrition and food science departments at a university or community college
- Local agencies such as the health department or County Cooperative Extension Service
- Government resources such as:
  - Centers for Disease Control and Prevention (CDC) — **www.cdc.gov**
  - Department of Agriculture (USDA) — **www.usda.gov**
  - Department of Health and Human Services (DHHS) — **www.hhs.gov**
  - Dietary Guidelines for Americans — **www.dietaryguidelines.gov/**
  - Food and Drug Administration (FDA) — **www.fda.gov**
  - Healthy People — **www.healthypeople.gov**
  - MyPlate — **www.choosemyplate.gov**
  - National Institutes of Health (NIH) — **www.nih.gov**
  - Physical Activity Guidelines for Americans — **www.health.gov/paguidelines**
- Volunteer health agencies such as:
  - American Cancer Society — **www.cancer.org**
  - American Diabetes Association — **www.diabetes.org**
- International authorities such as:
  - Food and Agriculture Organization of the United Nations (FAO) — **http://www.fao.org/home/en/**
  - World Health Organization (WHO) — **https://www.who.int/**
  - American Heart Association — **www.heart.org/**
- Reputable consumer groups such as:
  - American Council on Science and Health — **www.acsh.org**
  - International Food Information Council — **www.foodinsight.org**
- Professional health organizations such as:
  - Academy of Nutrition and Dietetics — **www.eatright.org**
  - American Medical Association — **www.ama-assn.org**
- Journals such as:
  - *American Journal of Clinical Nutrition* — **https://academic.oup.com/ajcn**
  - *Journal of the Academy of Nutrition and Dietetics* — **www.andjrnl.org**
  - *New England Journal of Medicine* — **www.nejm.org**
  - *Nutrition Reviews* — **www.ilsi.org**

- The piece states, "This is not a hoax"; chances are it is.
- The information seems shocking or something that you've never heard from legitimate sources.
- The language is overly emphatic or sprinkled with capitalized words or exclamation marks.
- No references are offered or, if present, prove to be of questionable validity when examined.
- Websites such as www.quackwatch.org have debunked the message.

In contrast, one of the most trustworthy sites for scientific investigation is the National Library of Medicine's PubMed website, which provides free access to over 10 million abstracts (short descriptions) of research papers published in scientific journals around the world. Many abstracts provide

Table C1–3

Is This Site Reliable?

To judge whether a website offers reliable nutrition information, answer the following questions.

Who? Who is responsible for the site? Is it staffed by qualified professionals? Look for the authors' names and credentials. Have experts reviewed the content for accuracy?
When? When was the site last updated? Because nutrition is an ever-changing science, sites need to be dated and updated frequently.
Where? Where is the information coming from? The three letters following the dot in a Web address identify the site's affiliation. Addresses ending in "gov" (government), "edu" (educational institute), and "org" (organization) generally provide reliable information; "com" (commercial) sites represent businesses and, depending on their qualifications and integrity, may or may not offer dependable information. Many reliable sites provide links to other sites to facilitate your quest for knowledge, but this provision alone does not guarantee a reputable intention. Be aware that any site can link to any other site without permission.
Why? Why is the site giving you this information? Is the site providing a public service or selling a product? Many commercial sites provide accurate information, but some do not. When money is the prime motivation, be aware that the information may be biased.
What? What is the message, and is it in line with other reliable sources? Information that contradicts common knowledge should be questioned.

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links to full articles posted on other sites. The site is easy to use and offers instructions for beginners. Figure C1–2 introduces this resource.

Challenges to Scientific Journals

By far, most scientific journal articles are reliable because publishers of these journals spend considerable resources weeding out plagiarism and fabricated or falsified data in papers submitted for publication. In recent years, increasingly sophisticated assaults on scientific integrity have been brought by rogue groups attempting to publish unscientific data.[2] Their aim is often to legitimize misconceptions and create "evidence" to help advance goals unrelated to science.[3] A few such frauds evade even the strictest editorial controls, gain publication, and must later be retracted.[4]

Luckily, the scientific method itself stands guard, defending its own scientific integrity. A phony study is readily exposed when real scientists scrutinize it for use in their subsequent work on a topic. All readers must learn to turn on their critical thinking skills when reading nutrition information from any source, even in a scientific journal.

Who Are the True Nutrition Experts?

Most people turn to their physicians for dietary advice, but physicians vary in their knowledge of nutrition. Physicians have extensive training in human biochemistry and physiology, the bedrocks of nutrition science, but typical medical schools in the United States do not require students to take a comprehensive nutrition course, such as the class taken by students reading this text.[5]

An exceptional physician has a specialty area in clinical nutrition and is highly qualified to advise on nutrition. Membership in the **Academy of Nutrition and Dietetics (AND)** or the Society for Clinical Nutrition, whose journals are cited many times throughout this text, can be a clue to a physician's nutrition knowledge.

Fortunately, a credential that indicates a qualified nutrition expert is easy to spot—you can confidently call on a **registered dietitian nutritionist (RDN)**. To become an RDN, a person must earn a bachelor's or master's of science degree from an **accredited** college or university based on course work that typically includes biochemistry, chemistry, human anatomy and physiology, microbiology, and food and nutrition sciences, along with food service systems management, business, statistics, economics, computer science, sociology, and counseling or education courses. Then the person must complete an accredited and supervised practice program and, finally, pass a national examination administered by AND. Once credentialed, the expert must maintain **registration** by participating in required continuing education activities.

Additionally, some states require that **nutritionists** and **dietitians** obtain a **license to practice**. Meeting state-established criteria in addition to **registration** with **AND** certifies that an expert is the genuine article. Table C1–4 defines nutrition specialists along with other relevant terms.

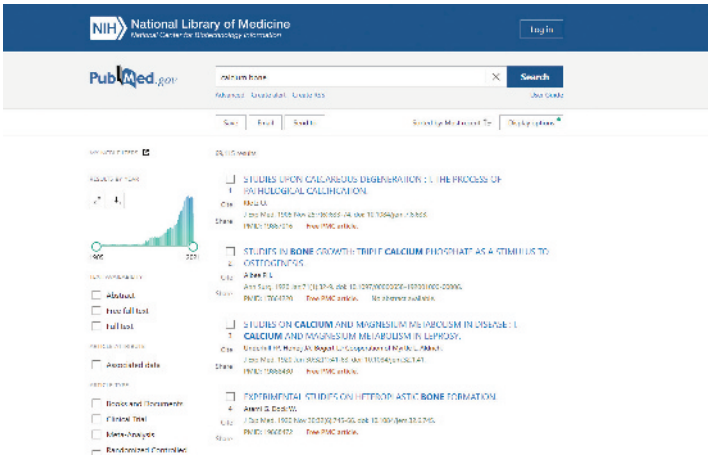
RDNs are easy to find in most communities because they perform a multitude of duties in a variety of settings (see Table C1–5). They work in food service operations, pharmaceutical companies, sports nutrition programs, corporate wellness programs, the food industry, home health agencies, long-term care institutions, private practice, community and public health settings, cooperative extension offices,* research centers, universities, hospitals, health maintenance organizations (HMO), and other facilities. In hospitals, they may offer **medical nutrition therapy** as part of patient care, or they may run the food service operation, or they may specialize as **certified diabetes educators (CDE)** to help people with diabetes manage the disease. **Public health nutritionists** take leadership roles in government agencies as expert consultants and advocates or in direct service delivery.

Figure C1–2

PubMed (https://pubmed.ncbi.nlm.nih.gov/): Internet Resource for Scientific Nutrition References

The U.S. National Library of Medicine's PubMed website offers tutorials to help teach beginners to use the search system effectively. Often, simply visiting the site, typing a query in the search box, and clicking *Search* will yield satisfactory results.

For example, to find research concerning calcium and bone health, typing in "calcium bone" nets almost 3,000 results. To refine the search, try setting limits on dates, types of articles, languages, and other criteria to obtain a more manageable number of abstracts to peruse.



Courtesy of National Center for Biotechnology Information

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Table C1–4

Terms Associated with Nutrition Advice

- **Academy of Nutrition and Dietetics (AND)** the professional organization of dietitians in the United States (formerly the American Dietetic Association). The Canadian equivalent is the Dietitians of Canada (DC), which operates similarly.
- **accredited** approved; in the case of medical centers or universities, certified by an agency recognized by the U.S. Department of Education.
- **certified diabetes educator (CDE)** a health-care professional who has completed an intensive professional training program and examination to earn a certificate attesting to the attainment of knowledge and skill in educating people with diabetes to help them manage their disease through medical and lifestyle means. Professional certifications in many other practice areas also exist.
- **certified specialist in sports dietetics (CSSD)** a Registered Dietitian Nutritionist with special credentials and expertise to deliver safe, effective, evidence-based nutrition assessments and guidance for health and performance to athletes and other physically active people.
- **dietitian** a person trained in the science of nutrition and dietetics. See also *Registered Dietitian Nutritionist*.
- **diploma mill** an organization that awards meaningless degrees without requiring students to meet educational standards. Diploma mills are not the same as diploma forgers (providing fake diplomas and certificates bearing the names of real, respected institutions). Although visually indistinguishable from authentic diplomas, forgeries can be unveiled by checking directly with the institution.
- **Fellow of the Academy of Nutrition and Dietetics (FAND)** members of the academy who are recognized for their outstanding service and integrity in the dietetics profession.
- **license to practice** permission under state or federal law, granted on meeting specified criteria, to use a certain title (such as *dietitian*) and to offer certain services. Licensed dietitians may use the initials LD after their names.
- **medical nutrition therapy (MNT)** evidence-based nutrition services administered by registered dietitian nutritionists in the treatment of injury, illness, or other conditions; includes assessment of nutrition status and dietary intake and corrective applications of diet, counseling, and other nutrition services.
- **nutrition and dietetics technician, registered (NDTR)** a dietetics professional who has completed an academic degree from an accredited college or university and an approved dietetic technician program. This professional has also passed a national examination and maintains registration through continuing professional education.
- **nutritionist** someone who studies or advises others on nutrition, and who may or may not have an academic degree in nutrition. In states with responsible legislation, the term applies only to people who have master of science (MS) or doctor of philosophy (PhD) degrees from properly accredited institutions.
- **public health nutritionist** a dietitian or other person with an advanced degree in nutrition who specializes in public health nutrition.
- **registered dietitian nutritionist (RDN)** food and nutrition expert who has earned at least a bachelor's degree from an accredited college or university with a program approved by the Academy of Nutrition and Dietetics. The dietitian must also serve in an approved internship or coordinated program, pass the registration examination, and maintain professional competency through continuing education. Many states also require licensing of practicing dietitians. Also called *registered dietitian (RD)*.
- **registration** listing with a professional organization that requires specific course work, experience, and passing of an examination.

A **certified specialist in sports dietitics (CSSD)** counsels people who must perform physically for sports, emergency response, military defense, and the like.[6] The roles are so diverse that many pages would be required to cover them thoroughly.

In some facilities, a dietetic technician assists a registered dietitian nutritionist in administrative and clinical responsibilities. A dietetic technician has been educated in nutrition and trained to perform practical tasks in patient care, food service, and other areas of dietetics.[7] Upon passing a national examination, the technician earns the title **nutrition and dietetics technician, registered (NDTR).**

Detecting Fake Credentials

In contrast to RDNs and other credentialed nutrition professionals, thousands of people possess fake nutrition degrees and claim to be nutrition counselors, nutritionists, or "dietists." These and other such titles may sound meaningful, but most of these people lack the established credentials of AND–sanctioned dietitians. If you look closely, you can see signs that their declared expertise is fake.

Educational Background

A fake nutrition expert may display a degree from a 6-week course of study; such a degree is simply not the same as the extensive requirements for legitimate nutrition credentials. In some cases, schools posing as legitimate institutions are actually **diploma mills**—fraudulent businesses that sell certificates of competency to anyone who pays the fees, from under a thousand dollars for a bachelor's degree to several thousand for a doctorate. To obtain these "degrees," a candidate need not read any books or pass any examinations, and the only written work is a signature on a check. Here are a few red flags to identify these scams:

- A degree is awarded in a very short time—sometimes just a few days.
- A degree can be based entirely on work or life experience.
- An institution provides only an email address, with vague information on physical location.
- It provides sample styles of certificates and diplomas for choosing.
- It offers a choice of graduation dates to appear on a diploma.

** Cooperative extension agencies are associated with land grant colleges and universities and may be found in the telephone book's government listings or on the internet.*

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Table C1–5

Professional Responsibilities of Registered Dietitian Nutritionists

Registered Dietitian Nutritionists perform varied and important roles in the workforce. This table lists just a few responsibilities of just a few specialties.

Specialty	Sample Responsibilities
Education	▪ Write curricula to deliver to students nutrition knowledge that is appropriate for their goals and that meets criteria of accrediting agencies and professional groups. ▪ Teach and evaluate student progress; research, write, and publish.
Food Service Management	▪ Plan and direct an institution's food service system, from kitchen to delivery. ▪ Plan and manage budgets; develop products; market services.
Health and Wellness	▪ Design and implement research-based programs for individuals or populations to improve nutrition, health, and physical fitness.
Hospital Health Care/Clinical Care	▪ Design and implement disease prevention services. ▪ Order therapeutic diets independently. ▪ Coordinate patient care with other health-care professionals. ▪ Assess client nutrient status and requirements. ▪ Provide client care and diet plan counseling.
Laboratory Research	▪ Design, execute, and interpret food and nutrition research. ▪ Write and publish research articles in peer-reviewed journals and lay publications. ▪ Provide science-based guidance to nutrition practitioners. ▪ Write and manage grants.
Public Health Nutrition	▪ Influence nutrition policy, regulations, and legislation. ▪ Plan, coordinate, administer, and evaluate food assistance programs. ▪ Consult with agencies; plan and manage budgets.
Sports Team Nutrition	▪ Provide individual and group/team nutrition counseling and education to enhance the performance of competitive and recreational athletes, on-site and during travel. ▪ Perform assessments of body composition. ▪ Track and document performance and other outcomes. ▪ Manage budgets, dining facilities, and personnel.

Source: Academy Quality Management Committee, Academy of Nutrition and Dietetics: Revised 2017 Scope of Practice for the Registered Dietitian Nutritionist, *Journal of the Academy of Nutrition and Dietetics* 118 (2018): 141–165.

Selling degrees is big business; networks of many bogus institutions are often owned by a single entity. In 2011, more than 2,600 such diploma and accreditation mills were identified, and 2,000 more were under investigation.

Accreditation and Licensure

Lack of proper accreditation is the identifying sign of a fake educational institution. To guard educational quality, an accrediting agency recognized by the U.S. Department of Education certifies those schools that meet the criteria defining a complete and accurate schooling, but in the case of nutrition, quack accrediting agencies cloud the picture. Fake nutrition degrees are available from schools "accredited" by more than 30 phony accrediting agencies.*

State laws do not necessarily help consumers distinguish experts from fakes; some states allow anyone to use the title *dietitian* or *nutritionist*. But other states have responded to the need by allowing only RDNs or people with certain graduate degrees and state licenses to call themselves dietitians. Licensing provides a way to identify people who have met minimum standards of education and experience.

** To find out whether an online school is accredited, write the Distance Education and Training Council, Accrediting Commission, 1601 Eighteenth Street, NW, Washington, DC 20009; call 202-234-5100; or visit their website (www.detc.org).*

To find out whether a school is properly accredited for a dietetics degree, visit the U.S. Department of Education's Database of Accredited Postsecondary Institutions and Programs at https://ope.ed.gov/accreditation. You can also write the Academy of Nutrition and Dietetics, Division of Education and Research, 120 South Riverside Plaza, Suite 2000, Chicago, IL 60606–6995: call 800-877-1600; or visit their website (www.eatright.org).

The American Council on Education publishes Accredited Institutions of Postsecondary Education Programs, *a directory of accredited institutions, professionally accredited programs, and candidates for accreditation that is available at many libraries. For additional information, write the American Council on Education, One Dupont Circle NW, Suite 800, Washington, DC 20036; call 202-939-9382; or visit their website (www.acenet.edu).*

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A Failed Attempt to Fail

To dramatize the ease with which anyone can obtain a fake nutrition degree, one writer paid $82 to enroll in a nutrition diploma mill that billed itself as a correspondence school. She made every attempt to fail, intentionally giving all wrong answers to the examination questions. Even so, she received a "nutritionist" certificate at the end of the course, together with a letter from the "school" officials explaining that they were sure she must have misread the test.

Would You Trust a Nutritionist Who Eats Dog Food?

In a similar stunt, Mr. Eddie Diekman was named a "professional member" of an association of nutrition "experts" (see Figure C1–3). For his efforts, Eddie received a diploma suitable for framing and displaying. Eddie is a cocker spaniel. His owner, Connie B. Diekman, then president of AND, paid Eddie's tuition to prove that he could be awarded the title "nutritionist" merely by sending in his name.

Staying Ahead of the Scammers

In summary, to stay one step ahead of the nutrition quacks, check a provider's qualifications. First, look for the degrees and credentials listed after the person's name (such as MD, RDN, MS, PhD, or LD). Then, find out what you can about the reputations of institutions that are affiliated with the provider. If the person objects, or if your findings raise suspicions, look for someone better qualified to offer nutrition advice. Your health is your most precious asset, and protecting it is well worth the time and effort it takes to do so.

Figure C1–3

A "Professional Member" of a Fake Association

Eddie displays his professional credentials.

© Courtesy of eatright.org

Critical Thinking

1. Describe how you would respond to the following situation:

   A friend has started taking ginseng, a supplement that claims to help with weight loss. You are thinking of trying ginseng, but you want to learn more about the herb and its effects before deciding. What research would you do, and what questions would you ask your friend to determine if ginseng is a legitimate weight loss product?

2. Recognizing a nutrition authority that you can consult for reliable nutrition information can be difficult because it is so easy to acquire questionable nutrition credentials. Read the education and experience of the "nutrition experts" described as follows and put them in order, beginning with the person with the strongest and most trustworthy nutrition expertise and ending with the person with the weakest and least trustworthy nutrition expertise:

   1. A nutrition and dietetics technician, registered (NDTR) working in a clinic
   2. A highly successful athlete/coach who has a small business as a nutrition counselor and sells a line of nutrition supplements
   3. An individual who has completed 30 hours of nutrition training through the American Association of Nutrition Counseling
   4. A Registered Dietitian Nutritionist (RDN) associated with a hospital

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2 Nutrition Tools—Standards and Guidelines

Controversy 2 Are Some Foods "Superfoods" for Health?

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 2.1 State the significance of Dietary Reference Intakes (DRI) and Daily Values as nutrient standards.

LO 2.2 Define the role of the Dietary Guidelines as part of the overall U.S. dietary guidance system.

LO 2.3 Describe how the U.S. Department of Agriculture (USDA) Dietary Patterns support the planning of a nutritious diet.

LO 2.4 Given a specified number of calories, create a healthful diet plan using the USDA Dietary Patterns.

LO 2.5 Describe the information that appears on food labels.

LO 2.6 Compare one day's nutrient-dense meals with meals not planned for nutrient density.

LO 2.7 Summarize the potential health effects of phytochemicals from both food sources and supplements.

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What do you think?

- How can you tell **how much of each nutrient** you need to consume daily?
- Can we trust the **government's dietary recommendations**?
- Are the health claims on food labels **accurate and reliable**?
- Can certain "**superfoods**" boost your health with more than just nutrients?

Eating well is easy in theory—just choose foods that supply appropriate amounts of the essential nutrients, fiber, phytochemicals, and energy without excess intakes of fat, sugar, and salt, and be sure to get enough physical activity to help balance the foods you eat. In practice, eating well proves harder to do. Many people are overweight, or are undernourished, or suffer from nutrient excesses or deficiencies that impair their health—that is, they are malnourished. You may not think that this statement applies to you, but you may already have less than optimal nutrient intakes without knowing it. Accumulated over years, the effects of your habits can seriously impair the quality of your life.

Putting it positively, you can enjoy the best possible vim, vigor, and vitality throughout your life if you learn now to nourish yourself optimally. To learn how, you first need some general guidelines and the answers to several basic questions. How much of each nutrient and how many calories should you consume? Which types of foods supply which nutrients? How much of each type of food do you have to eat to get enough? And how can you eat all these foods without gaining excess weight? This chapter begins by identifying some ideals for nutrient and energy intakes and ends by showing how to achieve them.

Nutrient Recommendations

LO 2.1 State the significance of Dietary Reference Intakes (DRI) and Daily Values as nutrient standards.

Nutrient recommendations are sets of standards against which people's nutrient and energy intakes can be measured. Nutrition experts use the recommendations to assess intakes and to offer advice on amounts to consume. Individuals may use them to decide how much of a nutrient they need and how much is too much.

Two Sets of Standards

Two sets of standards are important for students of nutrition: one for people's nutrient intakes and one for food labels. The first set are the **Dietary Reference Intakes (DRI)**. A committee of nutrition experts from the United States and Canada develops, publishes, and updates the DRI.* The DRI committee has set recommended intakes and limits for all of the vitamins and minerals, as well as for carbohydrates, fiber, lipids, protein, water, and energy.

The other standards, the **Daily Values**, are familiar to anyone who has read a food label. Nutrient standards—the DRI and Daily Values—are used and referred to so often that they

Dietary Reference Intakes (DRI) a set of five lists of values for measuring the nutrient intakes of healthy people in the United States and Canada. The lists are Estimated Average Requirements (EAR), Recommended Dietary Allowances (RDA), Adequate Intakes (AI), Tolerable Upper Intake Levels (UL), and Acceptable Macronutrient Distribution Ranges (AMDR).

Daily Values nutrient standards used on food labels and on grocery store and restaurant signs.

* This is a committee of the Food and Nutrition Board of the National Academy of Sciences' Institute of Medicine.

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Figure 2–1
Alphabet Soup?

Don't let the "alphabet soup" of nutrient intake standards confuse you. Their names make sense when you learn their purposes.

Photodisc/Getty Images

are printed in full on the very last group of pages of this book, pp. A–D. Nutritionists refer to these values by their acronyms, and this book does, too (see Figure 2–1).

Key Points

- The Dietary Reference Intakes are U.S. and Canadian nutrient intake standards.
- The Daily Values are U.S. standards used on food labels.

The DRI Lists and Purposes

For each nutrient, the DRI establish a number of values, each serving a different purpose. The values that most people find useful are those that set goals for nutrient intakes (RDA, AI, CDRR, and AMDR, described next) and those that describe nutrient safety (UL, addressed later). In total, the DRI include five sets of values:

1. **Recommended Dietary Allowances (RDA)**—adequacy
2. **Adequate Intakes (AI)**—adequacy
3. **Chronic Disease Risk Reduction Intakes (CDRR)**—risk reduction
4. **Tolerable Upper Intake Levels (UL)**—safety
5. **Estimated Average Requirements (EAR)**—research and policy
6. **Acceptable Macronutrient Distribution Ranges (AMDR)**—healthful ranges for energy-yielding nutrients

RDA and AI—Recommended Nutrient Intakes A great advantage of the DRI values lies in their applicability to the diets of individuals.[1*] People may adopt the RDA and AI as their own nutrient intake goals. The AI values are not the scientific equivalent of the RDA, however.

The RDA form the indisputable bedrock of the DRI recommended intakes because they derive from solid experimental evidence and reliable observations—they are expected to meet the needs of almost all healthy people. AI values, in contrast, are based as far as possible not only on the available scientific evidence but also on some educated guesswork. Whenever the DRI committee members find insufficient evidence to generate an RDA, they establish an AI value instead. This book refers to the RDA and AI values collectively as the DRI.

CDRR—Chronic Disease Risk Reduction The newest of the DRI values, the CDRR, identify nutrient intake levels associated with lowered risks of chronic diseases. This sets the CDRR apart from all other DRI categories, which focus on nutrient deficiency or toxicity. The CDRR, in contrast, reflect a level of nutrient intake that can be expected to reduce the risk of a chronic disease in a healthy population, taking into account that such risks vary among individuals in ways unrelated to nutrition.[2]

Currently, a CDRR for sodium is established in relation to heart disease and hypertension. High-quality scientific evidence demonstrates that increasing sodium intakes beyond this level incurs a higher risk of these life-threatening diseases in healthy people. People who currently consume more than the CDRR of sodium can expect to reduce their risks by reducing their intakes.[3]

EAR—Nutrition Research and Policy The EAR, also set by the DRI committee, establish the average nutrient requirements that researchers and nutrition policy makers use in their work. Public health officials may also use them to assess the prevalence of inadequate intakes in populations and make recommendations. The EAR values form the scientific basis upon which the RDA values are set (a later section explains how).

UL—Safety Beyond a certain point, it is unwise to consume large amounts of any nutrient, so the DRI committee sets the UL to identify potentially toxic levels of nutrient intake. Usual intakes of a nutrient below its UL pose a low risk of causing illness; chronic

Recommended Dietary Allowances (RDA) nutrient intake goals for individuals; the average daily nutrient intake level that meets the needs of nearly all (97 to 98 percent) healthy people in a particular sex and life stage group.

Adequate Intakes (AI) nutrient intake goals for individuals set when scientific data are insufficient to allow establishment of an RDA value and assumed to be adequate for healthy people.

Chronic Disease Risk Reduction Intakes (CDRR) levels of nutrient intake associated with low risks of chronic diseases. For sodium, the level above which intake reduction is expected to reduce chronic disease risk within an apparently healthy population.

Tolerable Upper Intake Levels (UL) the highest average daily nutrient intake levels that are likely to pose no risk of toxicity to almost all healthy individuals of a particular population group.

Estimated Average Requirements (EAR) nutrient values used in nutrition research and policy making and the basis upon which RDA values are set; the average daily nutrient intake estimated to meet the requirement of half of the healthy individuals in a particular sex and life stage group.

Acceptable Macronutrient Distribution Ranges (AMDR) values for carbohydrate, fat, and protein expressed as percentages of total daily caloric intake; ranges of intakes set for the energy-yielding nutrients that are sufficient to provide adequate total energy and nutrients while minimizing the risk of chronic diseases.

*Reference notes are in Appendix F.

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intakes above the UL pose increasing risks. The UL are indispensable to consumers who take supplements or consume foods and beverages to which vitamins or minerals have been added—a group that includes almost everyone. Public health officials also rely on UL values to set safe upper limits for nutrients added to our food and water supplies.

The DRI numbers for nutrients do not mark a rigid line dividing safe and hazardous intakes (as Figure 2–2 illustrates). Instead, nutrient needs fall within a range, and a danger zone exists both below and above that range. People's tolerances for high doses of nutrients vary, so caution is in order when nutrient intakes approach the UL values (listed at the back of the book, p. C).

Some nutrients lack UL values. The absence of a UL for a nutrient does not imply that it is safe to consume it in any amount, however. It means only that insufficient data exist to establish a value.

AMDR—Calorie Percentage Ranges The DRI committee also sets healthy ranges of intake for carbohydrate, fat, and protein known as Acceptable Macronutrient Distribution Ranges. Each of these three energy-yielding nutrients contributes to the day's total calorie intake, and their contributions can be expressed as a percentage of the total. According to the committee, a diet that provides adequate energy in the following proportions can provide adequate nutrients while minimizing the risk of chronic diseases:

- 45 to 65 percent of calories from carbohydrate.
- 20 to 35 percent of calories from fat.
- 10 to 35 percent of calories from protein.

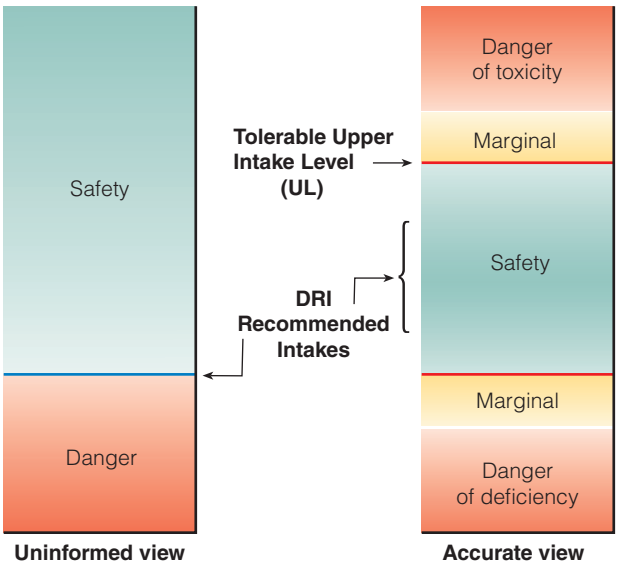
The chapters on the energy-yielding nutrients revisit these ranges.

Fortunately, you don't have to calculate these percentages for yourself when planning nutritious meals. The sample calculation in the margin shows how the math is done, but policy makers have translated these guidelines into a pattern of food groups that relieves the meal planner of this task. (See "Dietary Guidelines for Americans," beginning on page 36.).

Figure 2–2

The Uninformed View versus the Accurate View of Optimal Nutrient Intakes

A common but naïve belief is that consuming *less* than the DRI amount of a nutrient is dangerous, but that consuming any amount more is safe. The accurate view, shown on the right, is that DRI values fall within a safety range, with the UL marking tolerable upper levels.



Do the Math:

Calculate percentages of energy nutrient calories.

Calculate the percentage of calories from an energy nutrient in a day's meals by using this general formula:

(A nutrient's calorie amount ÷ Total calories) × 100

Calculate the percentage of calories from protein in a day's meals:

A day's meals provide 50 grams of protein and 1,754 total calories.

1. Convert the protein *grams* to protein *calories* (protein provides 4 calories per gram):

   50 g protein × 4 cal per g = ____ cal from protein

2. Using this answer, apply the general formula:

   (Protein calorie amount ÷ Total calories) × 100

   (___ ÷ 1,754) × 100 = ___ percent calories from protein.

Follow the same procedure when considering carbohydrate (4 cal per g) and fat (9 cal per g).

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Key Points

- The DRI include nutrient intake goals for individuals, standards for researchers and public policy makers, and tolerable upper limits.
- RDA, AI, CDRR, EAR, and UL are all DRI standards, along with AMDR ranges for energy-yielding nutrients.

Understanding the DRI

Nutrient recommendations have been much misunderstood. One young woman posed this question: "Do you mean that some bureaucrat says that I need exactly the same amount of vitamin D as everyone else? Do they really think that 'one size fits all'?" In fact, the opposite is true.

DRI for Population Groups The DRI committee acknowledges differences among individuals and takes them into account when setting nutrient values. It has made separate recommendations for specific groups of people—men, women, pregnant women, lactating women, infants, and children—and for specific age ranges. Children aged 4 to 8 years, for example, have their own DRI. Each individual can look up the recommendations for his or her own sex and age group. Within each group, the committee advises adjusting nutrient intakes in special circumstances that may increase or decrease nutrient needs, such as illness or smoking. Later chapters provide details about who may need to adjust intakes of which nutrients.

For almost all healthy people, a diet that consistently provides the RDA or AI amount for a specific nutrient is very likely to be adequate in that nutrient. To make your diet nutritionally adequate, aim for nutrient intakes that, over time, average 100 percent of your DRI.

Other Characteristics of the DRI The following facts will help put the DRI into perspective:

- The values reflect daily intakes to be achieved on average, over time. They assume that intakes will vary from day to day and are set high enough to ensure that the body's nutrient stores will meet nutrient needs during periods of inadequate intakes lasting several days to several months, depending on the nutrient.
- The values are based on available scientific research to the greatest extent possible and are updated to reflect current scientific knowledge.
- The values are based on the concepts of probability and risk. The DRI are associated with a low probability of deficiency for people of a given sex and life stage group, and they pose almost no risk of toxicity for that group.
- The values are intended to ensure optimal intakes, not minimum requirements. They include a generous safety margin and meet the needs of virtually all healthy people in a specific sex and age group.
- The values are set in reference to certain indicators of nutrient adequacy, such as blood nutrient concentrations, normal growth, or reduction of certain chronic diseases or other disorders, rather than prevention of deficiency symptoms alone.

The DRI Apply to Healthy People Only The DRI are designed for health maintenance and disease prevention in healthy people, not for the restoration of health or repletion of nutrients in those with deficiencies. Under the stress of serious illness or malnutrition, a person may require a much higher intake of certain nutrients or may not be able to handle even the DRI amount. Therapeutic diets take into account the increased nutrient needs imposed by certain medical conditions, such as recovery from surgery, burns, fractures, illnesses, malnutrition, or addictions.

balance study a laboratory study in which a subject is fed a controlled diet and the intake and excretion of a nutrient are measured. Balance studies are valid only for nutrients such as calcium (chemical elements) that do not change while they are in the body.

Key Points

- The DRI set separate recommendations for specific groups of people at different ages.
- The DRI intake recommendations (RDA and AI) are up-to-date, optimal, and safe nutrient intakes for healthy people in the United States and Canada.

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How the Committee Establishes DRI Values—An RDA Example

A theoretical discussion will help to explain how the DRI committee goes about setting DRI values. Suppose we are the DRI committee members with the task of setting an RDA for nutrient X (an essential nutrient).* Ideally, our first step will be to find out how much of that nutrient various healthy individuals need. To do so, we review studies of deficiency states, nutrient stores and their depletion, and the factors influencing them. We then select the most valid data for use in our work. Serious science goes into setting all of the five nutrient standards that comprise the DRI, but setting the RDA demands the most rigorous science and tolerates the least guesswork.

Determining Individual Requirements

One experiment we would review or conduct is a **balance study**. In this type of study, scientists measure the body's intake and excretion of a nutrient to find out how much intake is required to balance excretion. For each individual subject, we can determine a **requirement** to achieve balance for nutrient X. With an intake below the requirement, a person will slip into negative balance or experience declining stores that could, over time, lead to deficiency of the nutrient.

We find that different individuals, even of the same age and sex, have different requirements. Mr. A needs 40 units of the nutrient each day to maintain balance; Mr. B needs 35; Mr. C needs 57. If we look at enough individuals, we find that their requirements are distributed, as shown in Figure 2–3—with most requirements near the midpoint (here, 45) and only a few at the extremes.

Accounting for the Needs of the Population To set the value, we have to decide what intake to recommend for everybody. Should we set it at the mean (45 units in Figure 2–3)? This is the Estimated Average Requirement for nutrient X, mentioned earlier as valuable to scientists and policy makers but not appropriate as an individual's nutrient goal. The EAR value is probably close to everyone's minimum need, assuming the distribution shown in Figure 2–3. (Actually, the data for most nutrients indicate a distribution that is much less symmetrical.) But if people took us literally and consumed exactly this amount of nutrient X each day, half the population would begin to develop nutrient deficiencies and, in time, even observable symptoms of deficiency diseases. Mr. C (at 57 units) would be one of those people.

Perhaps we should set the recommendation for nutrient X at or above the extreme—say, at 70 units a day—so that everyone will be covered. (Actually, we didn't study everyone, and some individual we didn't happen to test might have an even higher requirement.) This might be a good idea in theory, but what about a person like Mr. B who requires only 35 units a day? The recommendation would be twice his requirement, and to follow it, he might spend money needlessly on foods containing nutrient X to the exclusion of foods containing other vital nutrients.

The Decision The decision we finally make is to set the value high enough so that 97 to 98 percent of the population will be covered but not so high as to be excessive (Figure 2–4 illustrates such a value). In this example, a reasonable choice might be 63 units a day. Moving the value farther toward the extreme would pick up a few additional people, but it would inflate the recommendation for most people, including Mr. A and Mr. B. The committee makes judgments of this kind when setting the DRI for many nutrients. Relatively few healthy people have requirements that are not covered by the DRI.

Key Point

- The DRI are based on scientific data and generously cover the needs of virtually all healthy people in the United States and Canada.

*This discussion describes how an RDA value is set. To set an AI value, the committee would use some educated guesswork, as well as scientific research results, to determine an approximate amount of the nutrient most likely to support health.

Figure 2–3

Individuality of Nutrient Requirements

Each square represents a person. A, B, and C are Mr. A, Mr. B, and Mr. C. Each has a different requirement.

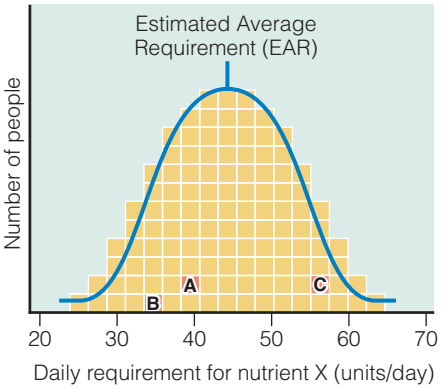
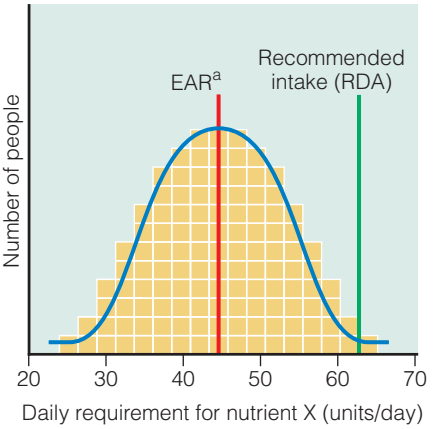


Figure 2–4

Nutrient Recommended Intake: Example

Intake recommendations for most vitamins and minerals are set so that they will meet the requirements of nearly all people.



[a]Estimated Average Requirement

requirement the amount of a nutrient that will just prevent the development of specific deficiency signs; distinguished from the DRI value, which is a generous allowance with a margin of safety.

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Setting Energy Requirements

In contrast to the recommendations for nutrients, the value set for energy, the **Estimated Energy Requirement (EER)**, is not generous; instead, it is set at a level predicted to maintain body weight for an individual of a particular age, sex, height, weight, and physical activity level consistent with good health. The energy DRI values reflect a balancing act: enough food energy is critical to support health and life, but too much energy causes unhealthy weight gain. Because even small amounts of excess energy consumed day after day cause unneeded weight gain and increase chronic disease risks, the DRI committee did not set a Tolerable Upper Intake Level for energy.

Key Point

- Estimated Energy Requirements are predicted to maintain body weight and to discourage unhealthy weight gain.

Why Are Daily Values Used on Labels?

On learning about the Daily Values, many people ask why yet another set of nutrient standards is needed for food labels—why not use the DRI? The reason they are not used is that DRI values for a nutrient vary, sometimes widely, to address the different nutrient needs of different population groups. Food labels, in contrast, must list a single value for each nutrient that may be used by anyone who picks up a package of food and reads the label.[4]

The Daily Values reflect the highest level of nutrient need among all population groups, from children of age 4 years through aging adults; for example, the Daily Value for iron is 18 milligrams (mg), an amount that far exceeds a man's RDA of 8 mg (but that meets a young woman's high need precisely). Thus, the Daily Values are ideal for allowing general comparisons among *foods*, but they cannot serve as nutrient intake goals for individuals. The recently updated Daily Values are listed in the back of the book, p. D.

Key Point

- The Daily Values are standards used solely on food labels to enable consumers to compare the nutrient values of foods.

Dietary Guidelines for Americans

LO 2.2 Define the role of the Dietary Guidelines as part of the overall U.S. dietary guidance system.

Appendix B offers World Health Organization (WHO) guidelines.

Many countries set dietary guidelines to answer the question, "What should I eat to stay healthy?" In this country, the U.S. Department of Agriculture publishes its *Dietary Guidelines for Americans* as part of a national nutrition guidance system. Although the DRI values set nutrient intake goals, the *Dietary Guidelines for Americans* offer food-based strategies for achieving them. If everyone followed their advice, people's energy intakes and most of their nutrient needs would easily be met.[5]* Table 2–1 lists the key recommendations of the 2020–2025 Dietary Guidelines.

The Guidelines Promote Health People who follow the Dietary Guidelines—that is, those who stay within their calorie needs, who take in enough of a variety of nutrient-dense foods and beverages, and who make physical activity a habit—often enjoy the best possible health. Only a few people in this country fit this description, however. Instead, well over half of American adults suffer from one or more *preventable* chronic diseases related to poor diets and sedentary lifestyles.[6]

Estimated Energy Requirement (EER) the average dietary energy intake predicted to maintain energy balance in a healthy adult of a certain age, sex, weight, height, and level of physical activity consistent with good health.

*The USDA Dietary Patterns may not meet the DRI for vitamin D or potassium.

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Table 2–1

Four Key Dietary Guidelines for Americans 2020–2025

Guideline 1 Follow a healthy dietary pattern at every life stage.

At every life stage—infancy, toddlerhood, childhood, adolescence, adulthood, pregnancy, lactation, and older adulthood—it is never too early or too late to eat healthfully.

- For about the first 6 months of life, exclusively feed infants human milk. If human milk is unavailable, feed infants an iron-fortified commercial infant formula. (Details are in Chapter 13.)
- At about 6 months, introduce infants to nutrient-dense complementary foods. Include foods rich in iron and zinc, particularly for infants fed human milk. (Details are in Chapter 13.)
- From 12 months through older adulthood, follow a healthy dietary pattern across the lifespan to meet nutrient needs, help achieve a healthy body weight, and reduce the risk of chronic disease.

Guideline 2 Customize and enjoy nutrient-dense food and beverage choices to reflect personal preferences, cultural traditions, and budgetary considerations.

A healthy dietary pattern can benefit all individuals regardless of age, race, or ethnicity, or current health status. The *Dietary Guidelines* provides a framework intended to be customized to individual needs and preferences, as well as the foodways of the diverse cultures in the United States.

Guideline 3 Focus on meeting food group needs with nutrient-dense foods and beverages, and stay within calorie limits.

A healthy dietary pattern consists of nutrient-dense forms of foods and beverages across all food groups, in recommended amounts, and within calorie limits. (The food groups and their application are explained in the next section.)

Guideline 4 Limit foods and beverages higher in added sugars, saturated fat, and sodium, and limit alcoholic beverages.

At every life stage, meeting food group recommendations with nutrient-dense choices requires most of a person's daily calorie needs and sodium limits. A healthy dietary pattern doesn't have much room for extra added sugars, saturated fat, or sodium—or for alcoholic beverages. A small amount of added sugar, fat, or sodium can be added to nutrient-dense choices to help meet food group recommendations. Limits are:

- *Added sugars*—Less than 10 percent of calories per day starting at age 2. Avoid foods and beverages with added sugars for those younger than age 2.
- *Saturated fat*—Less than 10 percent of calories per day, starting at age 2.
- *Sodium*—Less than 2,300 milligrams per day—and even less for children younger than age 14.
- *Alcoholic beverages*—Adults of legal drinking age can choose not to drink or to drink in moderation by limiting intake to 2 drinks or less in a day for men and 1 drink or less in a day for women, when alcohol is consumed. Drinking less is better for health than drinking more. Some adults, such as those who are pregnant and people with certain medical conditions, should not drink alcohol.

In previous editions, the Dietary Guidelines applied only to people 2 years of age and older—children, adolescents, and adults. The *2020–2025 Dietary Guidelines for Americans* expanded this scope to include the special needs of people during pregnancy, lactation, and infancy. This change highlights the importance of early nutrition and dietary patterns on later food choices and well-being.

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The Dietary Guidelines answer the question, "What should I eat to stay healthy?"

How Does the U.S. Diet Compare with the Guidelines? The Dietary Guidelines committee reviewed nationwide survey results reflecting current nutrient intakes, along with biochemical assessments and other forms of evidence. The results are clear: important needed nutrients are undersupplied by the current U.S. diet, while other, less healthful nutrients are oversupplied (see Table 2–2, p. 38).[7] Figure 2–5 (p. 38) shows that, typically, people take in far too few nutritious foods from most food groups when compared with the ideals of the Dietary Guidelines for Americans (discussed fully in the next section). They also take in too many calories and too much red and processed meat, refined grain, added sugar, sodium, and saturated fat. Figure 2–6 (p. 39) displays strategies for applying the guidelines to remedy these problems.

Note that the Dietary Guidelines for Americans do not require that you give up your favorite foods or eat strange, unappealing foods. They advocate achieving a healthy dietary pattern through careful food and beverage choices and not by way of supplements except when medically necessary. With a little planning and a few adjustments, almost anyone's diet can contribute to health instead of disease. Part of the plan must

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Table 2–2

Shortfall Nutrients and Overconsumed Nutrients

These nutrients are chronically under- or overconsumed in relation to their DRI recommendations, indicating a need for change in U.S. eating habits.

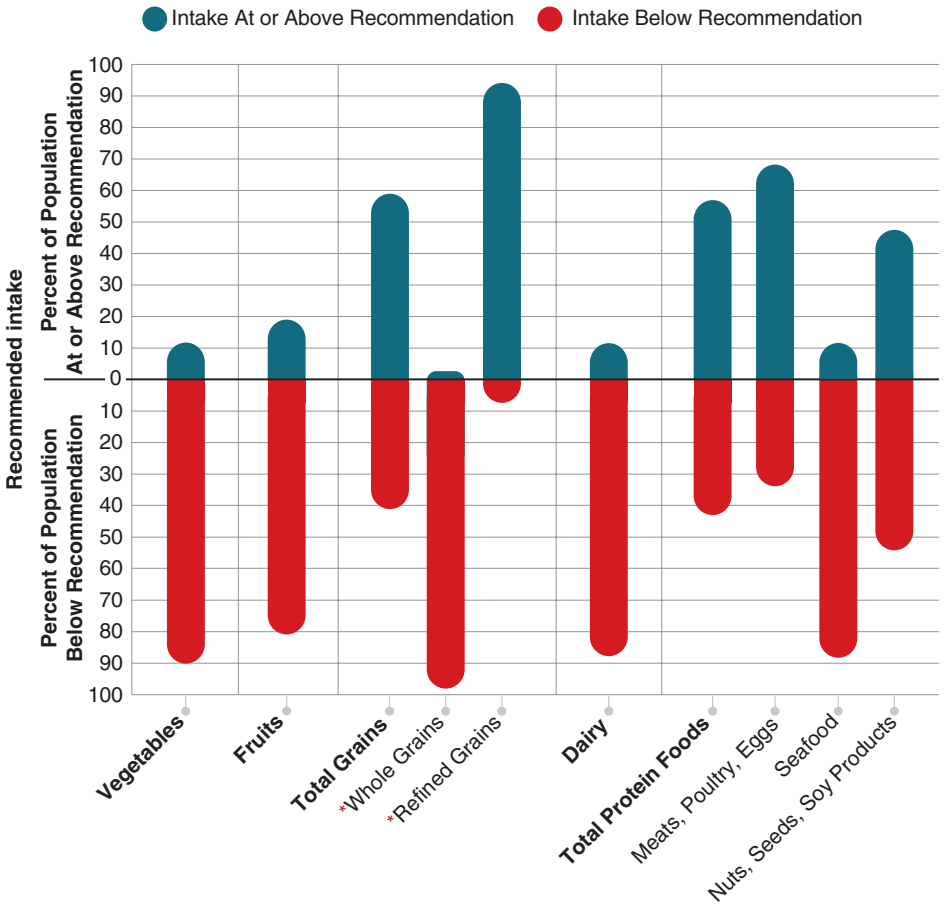
Chronically undersupplied in U.S. diets	
▪ Vitamin A ▪ Vitamin D ▪ Folate ▪ Calcium	▪ Iron (for some girls and women; see Chapter 8) ▪ Iodine ▪ Fiber ▪ Potassium
Chronically oversupplied in U.S. diets	
▪ Saturated fat ▪ Added sugars	▪ Sodium

Source: U.S. Department of Agriculture and U.S. Department of Health and Human Services, Scientific Report of the 2020–2025 Dietary Guidelines Advisory Committee, *D-1:92, available at www.health.gov.*

Figure 2–5

How Do U.S. Food Intakes Measure Up?

The red center line of the graph marks the intake goal or limit set by the Dietary Guidelines. People represented in the red area of the bars can improve their diet quality by shifting their intakes closer to the center.



***NOTE:** At least half of the total grains consumed should be whole grains. Refined grains should be limited to no more than half of total grain consumption.

Source: Adapted from U.S. Department of Agriculture and U.S. Department of Health and Human Services. Dietary Guidelines for Americans, 2020–2025, 9th Ed., 2020, dietaryguidelines.gov.

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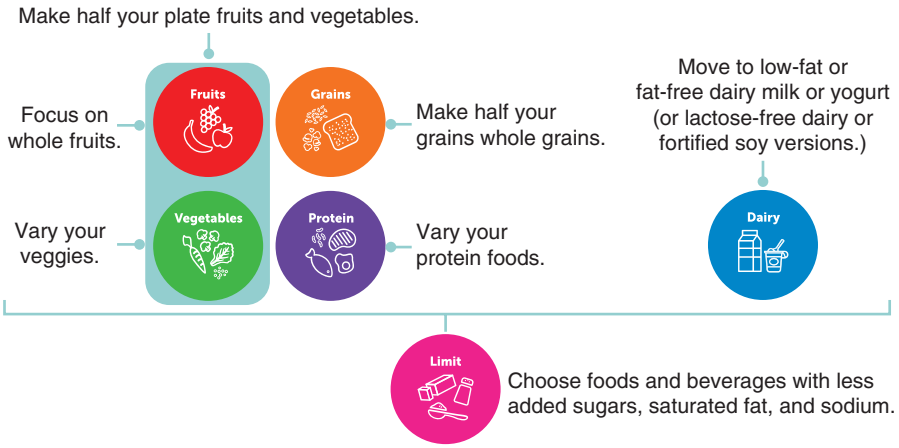
Figure 2–6

Applying the Dietary Guidelines

These strategies can help most people improve their diets and their health.



also be to exercise optimally to help achieve and sustain a healthy body weight, and this chapter's Think Fitness box offers some guidelines, while Chapter 10 provides details.

Our Two Cents' Worth If the experts who develop the Dietary Guidelines for Americans were to ask us, we would add one more recommendation: remember to enjoy your food. The joys of eating are physically beneficial to the body because they trigger health-promoting changes in the nervous, hormonal, and immune systems. When the food is nutritious as well as enjoyable, then the eater obtains all the nutrients needed to support proper body functioning, as well as for the healthy skin, glossy hair, and robust health.

Key Points

- The Dietary Guidelines for Americans provide guidance toward choosing a health-promoting diet at every life stage.
- They recommend following a healthful dietary pattern.
- Key nutrients of concern are lacking in many U.S. diets; others are oversupplied.

Think Fitness — Recommendations for Daily Physical Activity

The USDA's Physical Activity Guidelines for Americans suggest that to maintain good health, adults should engage in at least 2½ hours of moderate physical activity each week.[8] A brisk walk at a pace of about 100 steps per minute (1,000 steps over 10 minutes) constitutes "moderate" activity. In addition:

- Physical activity can be intermittent, a few minutes here and there, throughout the week.
- Resistance activity (such as weight-lifting) can be a valuable part of the exercise total for the week.
- Small increases in moderate activity bring health benefits. There is no threshold that must be exceeded before benefits begin.

For weight control or additional health benefits, more than the minimum amount of physical activity is required. Details can be found in later chapters.

Start now! Ready to make a change? Set a goal of 30 minutes per day of physical activity (walking, jogging, biking, weight training, etc.), and then track your actual activity for 5 days. You can do this with pencil and paper or your preferred fitness tracker.

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Diet Planning Using the USDA Dietary Patterns

LO 2.3 Describe how the the USDA Dietary Patterns support the planning of a nutritious diet.

Diet planning connects nutrition theory with the food on the table. To help people achieve the goals of the Dietary Guidelines for Americans, the USDA employs a **food group plan** known as the USDA Dietary Patterns.* Figure 2–7 (pp. 41–42) displays the food groups used in this plan. By using the plan wisely and by learning about the energy-yielding nutrients, vitamins, and minerals in various foods (as you will in coming chapters), you can achieve the goals of a nutritious diet first mentioned in Chapter 1: adequacy, balance, calorie control, moderation, and variety.

Phytochemicals and their potential biological actions are explained in **Controversy 2**.

If you design your diet around this plan, it is assumed that you will obtain adequate and balanced amounts of the two dozen or so essential nutrients and hundreds of potentially beneficial phytochemicals because all of these compounds are distributed among the same foods. It can also help you to limit calories and potentially harmful food constituents.

The Food Groups and Subgroups

Figure 2–7 defines the major food groups and their subgroups. The USDA specifies portions of various foods within each group (left column of Figure 2–7) that constitute **nutritional equivalents** and thus can be treated interchangeably in diet planning. It also lists the key nutrients provided by foods within each group, information worth noting and remembering. The foods in each group are well-known contributors of the key nutrients listed, but you can count on these foods to supply many other nutrients as well. Note also that the figure sorts foods within each group by **nutrient density**.

Vegetable Subgroups and Protein Food Subgroups Not every vegetable supplies every key nutrient attributed to the Vegetables group, so the vegetables are sorted into subgroups by their nutrient contents. All vegetables provide valuable fiber and the mineral potassium, but many from the "red and orange vegetables" subgroup are known for their vitamin A content; those from the "dark green vegetables" provide a wealth of folate; "starchy vegetables" provide abundant carbohydrate; and "legumes" supply substantial iron and protein.

The term *legumes* was defined in Chapter 1, p. 11.

The Protein Foods group falls into subgroups, too. All protein foods dependably supply iron and protein, but their fats vary widely. "Meats" tend to be higher in saturated fats that should be limited. "Seafood" and "nuts, seeds, and soy products" tend to be low in saturated fats while providing essential fats that the body requires.

Grain Subgroups and Other Foods Among the grains, the foods of the "Whole Grain" subgroup supply fiber and a wide variety of nutrients. Refined grains lack many of these beneficial compounds but provide abundant energy. The Dietary Guidelines suggest that at least half of the grains in a day's meals be whole grains or that at least three servings of whole-grain foods be included in the diet each day. (Grain serving sizes in 1-ounce equivalents are listed in Figure 2–7.)

Spices, herbs, coffee, and tea provide few, if any, nutrients but can add flavor and pleasure to meals. Some, such as tea and spices, are particularly rich in potentially beneficial phytochemicals—see this chapter's Controversy section.

Variety among and within Food Groups Varying food choices, both among the food groups and within each group, helps ensure adequate nutrient intakes and also

food group plan a diet-planning tool that sorts foods into groups based on their nutrient content and then specifies that people should eat certain minimum numbers of servings of foods from each group.

nutritional equivalents the portion sizes of various foods needed to deliver similar amounts of any of the nutrients that characterize a particular food group. For example, in the vegetable group, 1 cup cooked kale and 2 cups raw kale are nutritional equivalents because both contain similar amounts of the mineral iron.

nutrient density a measure of nutrients provided per calorie of food. A *nutrient dense food* provides vitamins, minerals, and other nutrients with little or no added fats, added sugars, refined starches, or sodium.

*USDA Dietary Patterns may also be called USDA Eating Patterns.

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Figure 2–7

USDA Food Groups and Subgroups

Fruit contributes folate, vitamin A, vitamin C, potassium, and fiber.

Consume a variety of fruits, and choose whole or cut-up fruit more often than fruit juice.

Apples, apricots, Asian pears, avocados, bananas, blueberries, cantaloupe, cherries, grapefruit, grapes, guava, honeydew, jackfruit, kiwi, lychee, mango, nectarines, oranges, papaya, peaches, pears, pineapples, plums, pomegranates, raspberries, rhubarb, sapote (mamey), soursop (custard apple, guanabana, paw paw), strawberries, tangerines, watermelon; dried fruit (dates, figs, prunes, raisins); 100% fruit juices

Limit fruit choices that contain saturated fats and/or added sugars:
Canned or frozen fruit in syrup; juices, punches, ades, and fruit drinks with added sugars; fried plantains

1 c fruit =
- **1 c fresh, frozen, or canned fruit**
- **½ c dried fruit**
- **1 c 100% fruit juice**

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Vegetables contribute folate, vitamin A, vitamin C, vitamin K, vitamin E, magnesium, potassium, and fiber.

Consume a variety of vegetables each day, and choose from all five subgroups several times a week.

Dark-green vegetables: Amaranth leaves (callaloo), broccoli and leafy greens such as arugula, beet greens, cham-namul (Korean greens), bok choy, collard greens, kale, mustard greens, poke greens, romaine lettuce, spinach, taro leaves, turnip greens, watercress

Red and orange vegetables: Calabaza (pumpkin), carrots, carrot juice, pumpkin, red bell peppers, sweet potatoes, tomatoes, tomato juice, vegetable juice, winter squash (acorn, butternut)

Legumes: Black beans, black-eyed peas, fava beans, garbanzo beans (chickpeas), kidney beans, lentils, mung beans, navy beans, pigeon peas, pinto beans, soybeans and soy products such as tofu, split peas, white beans. Does not include green beans or green peas

Starchy vegetables: Breadfruit, burdock root, cassava, corn, green peas, hominy, jicama, lima beans, lotus root, plantains, potatoes, salsify, taro root, water chestnuts, yam, yucca

Other vegetables: Artichokes, asparagus, bamboo shoots, bean sprouts, beets, bitter melon, brussels sprouts, cabbages, cactus, cauliflower, celery, chayote (mirliton), cucumbers, eggplant, green beans, green bell peppers, iceberg lettuce, kohlrabi, mushrooms, okra, onions, rutabagas, seaweed, turnip roots, snow peas, tomatillo, zucchini

Limit vegetable choices that contain saturated fats and/or added sugars:
Baked beans, candied sweet potatoes, coleslaw, french fries, fried green tomatoes, fried okra, fried plantains, fried yucca, potato salad, refried beans, scalloped potatoes, tempura vegetables

1 c vegetables =
- **1 c cut-up raw or cooked vegetables**
- **1 c cooked legumes**
- **1 c vegetable juice**
- **2 c raw, leafy greens**

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Grains contribute folate, niacin, riboflavin, thiamin, iron, magnesium, selenium, and fiber.

Make most (at least half) of the grain selections whole grains.

Whole grains: Amaranth, barley (not pearled), brown rice, buckwheat, bulgur, whole-wheat chapati, whole-grain cornmeal, millet, oats, quinoa, rye, wheat, wild rice, and whole-grain products such as breads, cereals, crackers, pastas, popcorn, whole-grain tortillas

Refined grain products: Bagels, breads, cereals, cream of rice, cream of wheat, dumplings, gyoza, masa harina (cornflour), pastas (couscous, macaroni, rice noodles, spaghetti), pretzels, steamed buns, white rice, rolls, cornflour or flour tortillas

Limit grain choices that contain saturated fats and/or added sugars:
Biscuits, cakes, cookies, cornbread, crackers, croissants, doughnuts, empanadas, fried rice, fry bread, granola, muffins, pastries, pies, presweetened cereals, ramen noodles, taco shells

1 oz grains =
- **1 slice bread**
- **½ c cooked rice, pasta, or cereal**
- **1 oz dry pasta or rice**
- **1 c ready-to-eat cereal**
- **3 c popped popcorn**

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(Continued on next page)

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Figure 2–7

USDA Food Groups and Subgroups *(continued)*

Protein foods contribute protein, essential fatty acids, niacin, thiamin, vitamin B_6, vitamin B_{12}, iron, magnesium, potassium, and zinc.

Choose a variety of protein foods from the three subgroups, including seafood in place of meat or poultry twice a week.

Seafood: Fish (catfish, cod, flounder, haddock, halibut, herring, mackerel, pollock, salmon, sardines, sea bass, snapper, trout, tuna), shellfish (clams, crab, lobster, mussels, oysters, scallops, shrimp)

Meats, poultry, eggs: Lean or low-fat meats (fat-trimmed beef, game, goat, ham, lamb, pork, veal), organ meats (chitterlings, gizzards, liver, sweetbreads, tongue, tripe), poultry (all types, no skin), eggs

Nuts, seeds, soy products: Unsalted nuts (almonds, cashews, filberts, pecans, pistachios, walnuts), seeds (chia, flax, pumpkin, sesame, sunflower), seed butters (sesame or tahini, sunflower), soy products (textured vegetable protein, soy isolate or concentrate, soy flour, tofu, tempeh), peanut butter, peanuts

Limit protein foods that contain saturated fats and/or added sugars:
Bacon, baked or refried beans, fried meat (fish and seafood, poultry, pork chops), fried eggs or tofu, glazed ham, ground beef, hot dogs, luncheon meats, marbled steaks, poultry with skin, sausages, spare ribs, sweet and sour pork or chicken

1 oz protein foods =
1 oz cooked lean meat, poultry, or seafood
1 egg
¼ c cooked legumes or tofu
1 tbs peanut butter
½ oz nuts or seeds

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Dairy products contribute protein, riboflavin, vitamin B_{12}, calcium, potassium, and, when fortified, vitamin A and vitamin D.[a]

Make fat-free or low-fat choices. Choose other calcium-rich foods if you do not consume milk.

Fat-free or 1% low-fat milk and fat-free or 1% low-fat milk products such as buttermilk, cheeses, cottage cheese, yogurt; fat-free fortified soy milk or pea milk

Limit dairy products that contain saturated fats and/or added sugars:
2% reduced-fat milk and whole milk; 2% reduced-fat and whole-milk products such as cheeses, cottage cheese, and yogurt; flavored milk with added sugars such as chocolate milk, custard, frozen yogurt, ice cream, milk shakes, pudding, sherbet; fortified soy milk

1 c dairy product =
1 c milk, yogurt, or fortified soy milk
1½ oz natural cheese
2 oz processed cheese

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Oils are not a food group, but are featured here because they contribute vitamin E and essential fatty acids.

Use oils instead of more saturated fats, when possible.

Liquid vegetable oils such as canola, corn, flaxseed, nut, olive, peanut, safflower, sesame, soybean, sunflower oils; mayonnaise, oil-based salad dressing, soft *trans*-fat-free margarine; unsaturated oils that occur naturally in foods such as avocados, fatty fish, nuts, olives, seeds (flaxseeds, sesame seeds), shellfish

Limit these saturated fat sources:
Butter, animal fats, stick margarine, shortening

1 tsp oil =
1 tsp vegetable oil
1 tsp soft margarine
1 tbs low-fat mayonnaise
2 tbs light salad dressing

© Angel Tucker/Cengage

[a]Dairy products include all fluid, dry, or evaporated milk, including lactose-free and lactose-reduced products and fortified soy beverages (soy milk), buttermilk, yogurt, kefir, frozen yogurt, dairy desserts, and cheeses (e.g., brie, camembert, cheddar, cottage cheese, colby, edam, feta, fontina, goat, gouda, gruyere, limburger, Mexican cheeses, monterey, mozzarella, muenster, parmesan, provolone, ricotta, and Swiss). Most choices should be fat-free or low-fat. Cream (whipped cream, fluid cream), sour cream, and cream cheese are not included due to their low calcium content.

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protects against consuming large amounts of toxins or contaminants from any one food. Achieving variety may require some effort, but knowing which foods fall into which food groups eases the task.

Key Points

- The USDA Dietary Patterns divide foods into food groups based on key nutrient contents.
- People who consume the specified amounts of foods from each group and subgroup support their nutrition and health.

Choosing Nutrient-Dense Foods

To help people control calories and achieve and sustain a healthy body weight, the Dietary Guidelines instruct consumers to base their diets on the most nutrient-dense foods from each group. Unprocessed or lightly processed foods are generally best because many processes strip foods of beneficial nutrients and fiber and others add salt, sugar, or fat. Highly processed foods often have low nutrient density, and so must be minimized to meet the Dietary Guidelines.[9]

Uncooked (raw) oil is worth notice in this regard. Oil is pure, calorie-rich fat and is therefore low in nutrient density, but a small amount of raw oil from sources such as avocados, olives, nuts, and fish, or even raw vegetable oil, provides vitamin E and essential lipids that other foods lack. High temperatures used in frying destroy these nutrients, however, so the recommendation specifies *raw* oil.

Nutrient density Saturated was explained in **Chapter 1**, page 20.

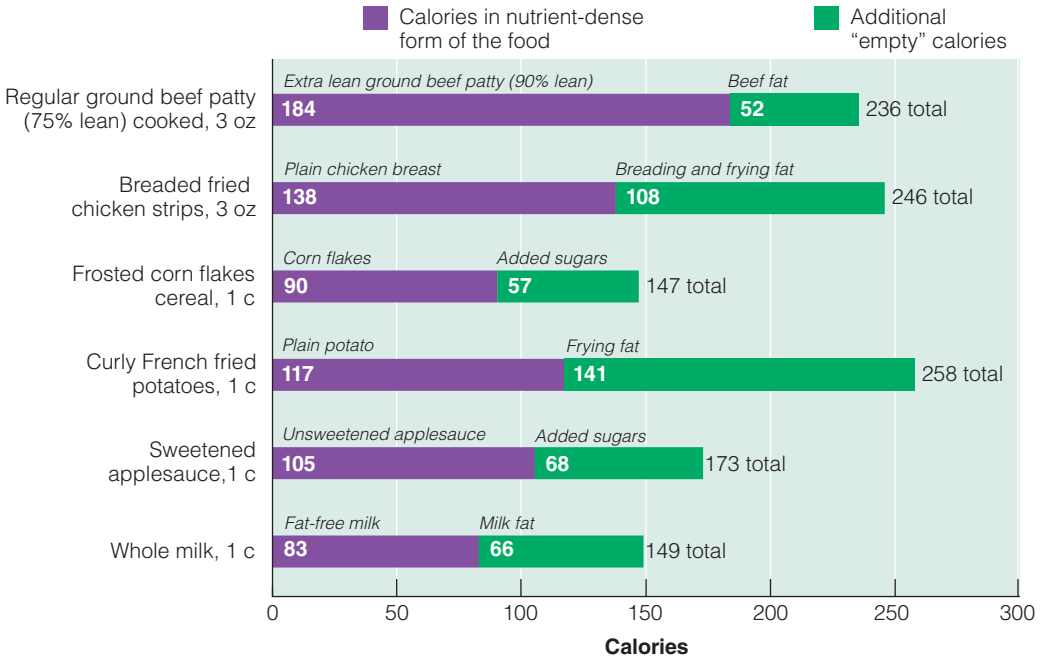
Fats, Sugars, and Alcohol Reduce Nutrient Density Saturated fat and trans fat are terms that will become familiar after reading Chapter 5. Sugars in all their forms (described in Chapter 4) deliver carbohydrate calories. Figure 2–8 demonstrates that fats and added sugars add **empty calories** to foods, reducing their nutrient density. Fats that present saturated fats in addition to calories include:

empty calories calories provided by added sugars and fats with few or no other nutrients. Other empty calorie sources include alcohol, and highly refined starches, such as corn starch or potato starch, often found in ultra-processed foods.

Figure 2–8

How Added Sugars and Fats Add Empty Calories to Nutrient-Dense Foods

The purple bars show the calorie counts of the most nutrient-dense forms of selected foods; the green bars show how many empty calories are contributed by sugars and fats.



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- Naturally occurring fats, such as milk fat and meat fats.
- Added fats, such as butter, cream cheese, hard margarine, lard, sour cream, and shortening.

Added sugars include:

- All caloric sweeteners, such as brown sugar, candy, honey, jelly, molasses, soft drinks, sugar, and syrups.

The USDA suggests that intakes of these items should be limited.

Alcoholic beverages are a top contributor of empty calories to the diets of many U.S. adults, but they provide few nutrients. People who drink alcohol should monitor and moderate their intakes, not to exceed one drink a day for women and two for men. People in many circumstances should never drink alcohol (see Controversy 3).

Key Points

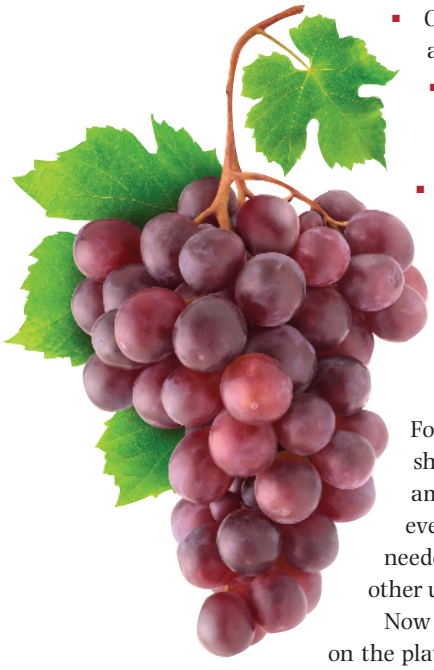
- Following the USDA Dietary Patterns requires choosing nutrient-dense foods most often.
- Sources of saturated fats, added sugars, and alcohol should be limited.

Diet Planning in Action

LO 2.4 Given a specified number of calories, create a healthful diet plan using the USDA Dietary Patterns.

The USDA Dietary Patterns specify the amounts of foods needed from each food group to create a healthful diet for a given number of calories. In this chapter, we explore this system using a 2,000-calorie diet as an example (see Table 2–3, p. 45). Of course, people's energy needs vary widely with age, sex, and activity level, so to find your own pattern (or anyone else's), you first must obtain an approximation of how many calories are needed per day, and then select an appropriate dietary pattern. Here's how:

- Start by flipping to Appendix H at the back of the book (blue bars on its page margins help distinguish it).
- Once there, study Table H–1 to decide how active you are, a critical variable for determining calorie need.
  - Then, turn to Table H–2. Look at the top line and find yourself among the people described there. Look at the column of numbers below and find your estimated energy need.
- Armed with your calorie need, turn to Appendix E (purple bars), and choose a dietary pattern that appeals to you—Healthy U.S.-style, DASH, Healthy Vegetarian, or Healthy Mediterranean-style. All of the dietary patterns of Appendix E are effective for planning a nutritious diet. Find your calorie level on the top of your chosen pattern, and follow the column to find out how much of each food group will meet your needs. Use these numbers as we do in Table 2–3.



Anna Kucherova/Shutterstock.com

For vegetables and protein foods, notice in Table 2–3 that the daily intakes should be divided among all the subgroups over a week's time. The weekly amounts are listed under the daily goal. It is not necessary to eat foods from every subgroup each day. With judicious selections, the diet can supply all the needed nutrients and provide some luxury items as well (termed "calories for other uses" on the table).

Now the diet planner can begin to translate the USDA Dietary Patterns into foods on the plate by assigning each of the food groups to meals and snacks, as shown in Table 2–4 (p. 46). Then the plan can be filled in with real foods to create a menu. For

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Table 2–3

Healthy U.S.-Style Dietary Pattern at the 2,000-Calorie Level

Notice that the recommended amounts of food from each major food group are needed *per day;* amounts from the subgroups are needed *per week*.

Food Group[a]	Daily Amounts[b]
Fruit	**2 c/day**
Vegetables	**2½ c/day**
Dark-green vegetables	1½ c/week
Red and orange vegetables	5½ c/week
Legumes (beans and peas)	1½ c/week
Starchy vegetables	5 c/week
Other vegetables	4 c/week
Grains	**6 oz/day**
Whole grains	3 oz
Refined grains	3 oz
Dairy Products	**3 c/day**
Protein Foods	**5½ oz/day**
Seafood	8 oz/week
Meats, poultry, eggs	26 oz/week
Nuts seeds, soy products	5 oz/week
Oils	**6 tsp/day**
Limit on calories for other uses[c]	240 cal/day

[a] *All foods are assumed to be in nutrient-dense forms, lean or low-fat and prepared without added fats, sugars, refined starches, or salt.*

[b] *Food group amounts are in cup-equivalents (c-eq) or ounce-equivalents (oz-eq); these equivalents are listed under the food photos of Figure 2–7, (pp. 41–42). Oils are shown in grams (g).*

[c] *If all food choices are in nutrient-dense forms, a few calories remain unmet by the Dietary Pattern ("limit on calories for other uses.") Calories up to the specified limit can be used for added sugars, added refined starches, fats, alcohol, or to eat more than the recommended amount of food in a food group.*

Source: U.S. Department of Health and Human Services and U.S. Department of Agriculture, 2015–2020 Dietary Guidelines for Americans, *8th edition (2015), available at https://health.gov/dietaryguidelines/2015/guidelines/appendix-3/.*

example, the breakfast in Table 2–4 calls for 1 ounce of grains, 1 cup of milk, and 1/2 cup of fruit. Here's one possibility for this meal:

1 cup ready-to-eat cereal = 1 ounce grains

1 cup fat-free milk = 1 cup milk

1 medium banana = 1/2 cup fruit

Our completed diet plan is shown in Figure 2–16 (p. 57) in the Food Feature of this chapter. We chose healthy U.S.-style foods, but many other choices are possible, so long as they adhere to the principles of the Dietary Guidelines for Americans.

Note that our plan meets nutrient needs with calories to spare—enough for some extra servings of nutritious foods, or one small treat, such as a 6-ounce serving of plain frozen yogurt or a 12-ounce sugar-sweetened soda. Alternatively, the diet planner endeavoring to lose weight can choose to skip such additions to create the desired calorie deficit.

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Table 2–4

A Sample Day's Plan, 2,000 Calories

This diet plan is one of many possibilities for our day's meals. Figure 2–16, Monday's Meals (p. 57), illustrates the completed diet plan.

Food Group	Recommended Amounts	Breakfast	Lunch	Snack	Dinner	Snack
Fruit	2 c	½ c		½ c	1 c	
Vegetables	2½ c		1 c		2 c	
Grains	6 oz	1 oz	2 oz	½ oz	2 oz	½ oz
Protein Foods	5½ oz		2 oz		3½ oz	
Milk	3 c	1 c		1 c		1 c
Oils	6 tsp		2 tsp		4 tsp	

Key Point

- The USDA Dietary Patterns provide templates for diet planning at various calorie levels.

MyPlate Educational Tool

For consumers with internet access, the USDA's MyPlate online suite of educational tools eases the use of the USDA Dietary Patterns. Figure 2–9 displays its logo. Computer-savvy consumers will find an abundance of MyPlate support materials and diet assessment tools on the website (www.choosemyplate.gov). Those without computer access can meet the same diet-planning goals by following this chapter's principles and working with pencil and paper, as illustrated later.

Key Point

- The concepts of the USDA Dietary Patterns are demonstrated in the MyPlate online educational tools.

Flexibility of the USDA Dietary Patterns

The USDA Dietary Patterns can be surprisingly flexible. For example, users can substitute fat-free yogurt for fat-free milk because both supply the key nutrients for the Dairy Products group. Legumes—dry beans and seeds such as soybeans, pinto beans, chickpeas, and ... —an extraordinarily nutrient-rich food, provide many of the nutrients that characterize the Protein Foods group, but they also constitute a Vegetable subgroup, so legumes in a meal can count as a serving of either meat or vegetables. Consumers can adapt the plan to mixed dishes such as casseroles and to national and cultural foods as well, as Figure 2–10 illustrates.

See **Appendix E** for vegetarian and Mediterranean dietary patterns, and **Controversy 6** for vegetarian diet planning.

Vegetarians can use adaptations of the USDA Dietary Patterns in making sound plant-based food choices, too. The food group that includes the meats also includes nuts, seeds, and products made from soybeans. The Vegetable group includes legumes, counted as protein foods for vegetarians. In the food group that includes milk, soy milk and pea milk (beverages made from legumes) can fill the same nutrient needs, provided that they are fortified with calcium, riboflavin, vitamin A, vitamin D, and vitamin B_{12}. Therefore, for all sorts of careful diet planners, the USDA Dietary Patterns provide road maps for all sorts of healthful diets.

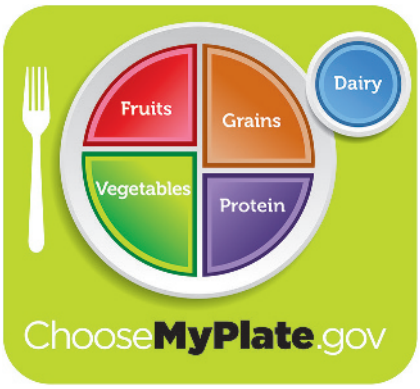
Key Point

- People with a wide variety of eating styles can use the USDA Dietary Patterns to plan pleasing, nutritious diets.

Figure 2–9

USDA MyPlate

Note that vegetables and fruit occupy half the plate and that the grains portion is slightly larger than the portion of protein foods. A diet that follows the USDA Dietary Patterns reflects these ideals.



Source: U.S. Department of Agriculture

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Figure 2–10

Asian, Mediterranean, and Mexican Food Choices

	Grains	Vegetables	Fruits	Protein Foods	Milk
Asian Brent Hofacker/Shutterstock.com	Rice, wheat or rice noodles, millet, wheat or rice wrappers and crepes	Baby corn, bamboo shoots, bok choy, green onions, leafy greens (such as amaranth), mung bean sprouts, snow peas, mushrooms, water chestnuts, kelp	Carambola, guava, kumquat, loquat, lychee, melons, mandarin orange, persimmon	Soybeans and soy products such as miso and tofu, duck and other poultry, eggs, fish, octopus, pork, sea urchin, squid and other seafood, cashews, peanuts	Soy milk
Mediterranean Photodisc/Getty Images	Pita bread, pastas, rice, couscous, polenta, bulgur, focaccia, Italian bread	Artichokes, eggplant, tomatoes, peppers, cucumbers, fennel, grape leaves, leafy greens, leeks, onions	Berries, dates, figs, grapes, lemons, olives, oranges, pomegranates	Fish and other seafood, gyros, lamb, chicken, pork, sausage, lentils, fava beans, tree nuts (almonds, walnuts)	Ricotta, provolone, Parmesan, feta, mozzarella, and goat cheeses; yogurt and yogurt beverages
Mexican Mitch Hrdlicka/Photodisc/Getty Images	Hominy, masa (corn flour dough), rice, tortillas (corn or wheat flour)	Bell peppers, cactus, cassava, chayote, chili peppers, corn, jicama, onions, summer squash, tomatoes, winter squash, yams	Avocado, banana, guava, lime, mango, orange, papaya, plantain	Beans, refried beans, beef, chicken, chorizo, eggs, fish, goat, pork	Cheese, custard, milk in beverages

Food Lists for Weight Management

A special set of lists to help people manage their calorie intakes are the Food Lists for Diabetes and Weight Management. The lists, created by the American Diabetes Association and the Academy of Nutrition and Dietetics, were originally developed for use by people with diabetes but also make a valuable tool for anyone concerned about calories. These lists are shown in Table 2–5 (p. 48). Notice that they emphasize two characteristics of foods: their portion sizes and their calorie amounts.

Of course, individual foods vary from the examples shown in the table, but these averages are useful. (Appendix D provides details on individual foods.)* A dieter who has memorized the average values of Table 2–5 may survey any plate of food and quickly calculate, "Let's see: I've got two breads here, one fruit, one vegetable, one protein food . . . yes, this meal will give me about 320 calories—just what I'm shooting for."

Unlike the USDA Dietary Patterns (presented earlier), which sort foods primarily by their vitamin and mineral contents, these lists group foods primarily by their energy-nutrient contents—carbohydrate, fat, and protein. Consequently, foods do not always appear where you might expect to find them on the lists in Appendix D. For example, cheeses are grouped with meats on the "Proteins" list because, like meats, cheeses contribute negligible carbohydrate, but abundant fat and protein. The USDA groups cheeses with milk because they are similar to other milk products in terms of the vitamins and minerals they provide. Another difference is that starchy vegetables such as corn, green peas, and potatoes are listed with grains on the "Starch" list in the food list system, rather than with the vegetables as in the USDA patterns. The carbohydrate content of starchy vegetables is more like that of cereal than celery.

*These lists were formerly known as the Exchange Lists.

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Table 2–5

Estimating Calories with Food Lists for Diabetes and Weight Management

These calorie values are estimates for average portions of foods within various categories. Appendix D provides details about the calorie values and energy nutrient contents of individual foods on these lists.

Food Lists	Average Calories
Starch	**80**
1 slice bread	
½ c cooked cereals, most grains, legumes, and starchy vegetables	
⅓ c pasta or rice	
1 oz low-fat crackers	
Fruits	**60**
Milk and Milk Substitutes[a]	
1 c fat-free, low-fat milk (0–1%)	**100**
1 c reduced-fat milk (2%)	**120**
1 c whole milk	**160**
Sweets[b]	**70**
1 tbs sugar	
1 tbs syrup	
1 frozen juice bar	
Nonstarchy Vegetables	**25**
Proteins[c]	
1 oz lean	**45**
1 oz medium-fat	**75**
1 oz high-fat	**100**
Fats	**45**
1 tsp oil or fat	
1 tbs salad dressing	
Alcohol (½ ounce ethanol without mixers; details in Controversy 3)	**100**

[a]*This category is called Dairy in the USDA Food Groups.*

[b]*Sweets, desserts, baked goods, and beverages vary widely in calorie contents; see Appendix D for details.*

[c]*Plant-based proteins vary in calorie contents.*

Source: Adapted from American Diabetes Association and Academy of Nutrition and Dietetics, Choose Your Foods: Food Lists for Diabetes *(2019).*

Key Points

- The Food Lists for Diabetes and Weight Management assign foods to groups based on their carbohydrate, fat, protein, and calorie contents.
- The lists facilitate control of energy nutrient and calorie consumption.

The Last Word on Diet Planning

All of the dietary changes required to improve nutrition may seem daunting or even insurmountable at first, and taken all at once, they may be. However, small steps taken each day can add up to substantial dietary changes over time. If everyone would begin,

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A Consumer's Guide to . . . Controlling Portion Sizes at Home and Away

"May I take your order, please?" Put on the spot when eating out, a diner must quickly choose from a large, visually exciting menu. No one brings a scale to a restaurant to weigh portions, and physical cues used at home, such as measuring cups, are, well, at home. Restaurant portions have no standards. When ordering "a burger," for example, the sandwich may arrive resembling a 2-ounce kids' sandwich or a ¾-pound behemoth. Complicating matters, restaurant portion sizes have changed with time, often growing much larger (Figure 2-11). Even at home, portion sizes can be mystifying—how much spaghetti is enough?

How Big Is Your Bagel?

When college students are asked to bring "medium-sized" foods to class, they reliably bring bagels weighing from 2 to 5 ounces, muffins from 2 to 8 ounces, baked potatoes from 4 to 9 ounces, and so forth. Knowledge of appropriate daily amounts of food is crucial to controlling calorie intakes, but consumers need help to estimate portion sizes, whether preparing meals at home or choosing from restaurant menus.

Practice with Weights and Measures

At home, practice measuring foods. To estimate the size of food portions, remember these common objects:

- 3 ounces of meat = the size of the palm of a woman's hand or a deck of cards
- 1 medium potato or piece of fruit = the size of a tennis ball
- 1½ ounces cheese = the size of a 9-volt battery
- 1 ounce lunch meat or cheese = 1 slice
- 1 cup cooked pasta = the size of a baseball
- 1 pat (1 tsp) butter or margarine = a slice from a quarter-pound stick of butter about as thick as 150 pages of this book (pressed together).
- Most ice cream scoops hold ¼ cup = a lump about the size of a golf ball. (Test the size of your scoop—fill it with water and pour the water into a measuring cup. Now you have a handy device to measure portions

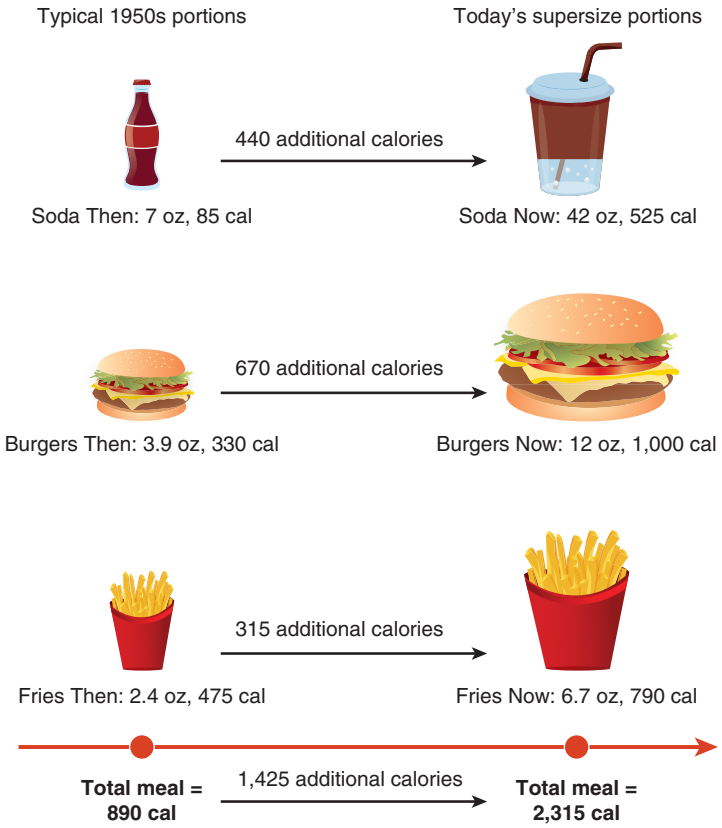
littlenySTOCK/Shutterstock.com

How much does your bagel weigh?

Figure 2–11

A Shift toward Colossal Cuisine

The portion sizes of many foods have increased dramatically over past decades, and so have people's body sizes. Fast foods, steaks, candy bars, baked potatoes, pasta servings, and even popcorn servings are much larger today than those typically consumed in the past.



Sources: *CDC, National Health Statistics, Report No. 10, Oct. 22, 2008; Young, L. & Nestle, M, [2007]. Portion sizes and obesity: Responses of fast food companies.* JPHP, *28(2), 238–48; CDC, Advance Data,No.347, Oct. 27, 2004; Young, L. & Nestle, M, [2002], The contribution of expanding portion sizes to the US obesity epidemic.* AJPH, *92(2), 246–49.*

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(continued)

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at home—use the scoop to serve mashed potatoes, pasta, vegetables, rice, and cereals.)

Among volumetric measures, 1 "cup" refers to an 8-ounce measuring cup (not a teacup or drinking glass) filled to level (not heaped up, or shaken, or pressed down). Tablespoons and teaspoons refer to measuring spoons (not flatware), filled to level (not rounded or heaping). For dry foods, cheeses, and other foods measured by weight, "ounces" signify weight and cannot be equated to volume. An ounce of cereal (such as Rice Krispies) may fill a whole cup but an ounce of granola fills only a quarter cup.

Buy New Bowls

Take a moment to consider the size of your plates, bowls, utensils, and other tableware. Tableware seems to function as a sort of visual gauge for sizing up food portions. In research, people eating from large containers often eat more per sitting than those eating from smaller ones. Thus, if your dinnerware looks more like serving platters than plates, try using luncheon-sized plates instead. The same holds true for bowls and spoons; if yours are giant-sized, invest in smaller ones.

Colossal Cuisine in Restaurants

Figure 9–13 of Chapter 9 illustrates calorie information on a restaurant menu.

Figure 2–12 shows that consumers spend almost 40 percent of their average annual food budgets on foods prepared and eaten away from home, a much higher percentage than in the past. Greater use of food services accompanies two related trends: food portions and therefore calories are greater (look again at Figure 2–11, p. 49), and people's body weights are increasing to higher, less healthy levels.[1]*

Large chain restaurants, including fast-food restaurants, post calorie information on menus and menu boards for each standard food item. Without such a gauge readily at hand, consumers most often underestimate the calories in restaurant foods.

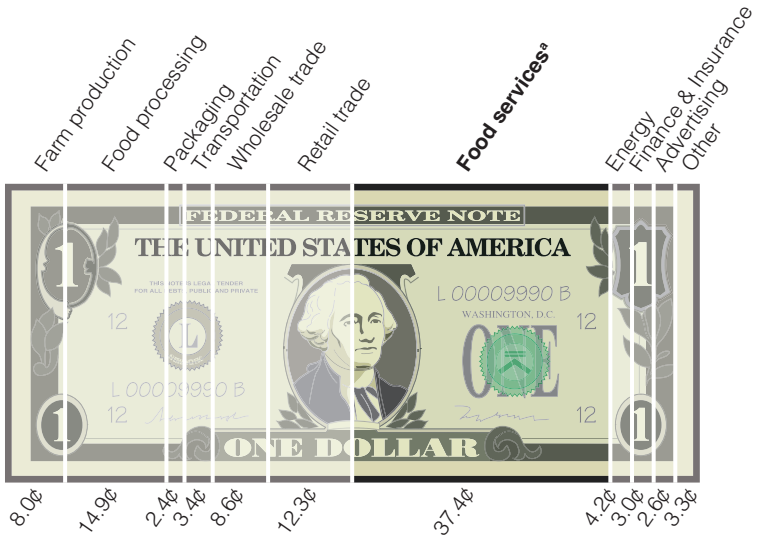
When portions seem excessively large or calorie-rich, use creative solutions to cut them down to size: order a half portion, ask that half of a regular portion be packaged for a later meal, order a child's portion, or split an entrée with a friend. Another proven strategy is to cook at home more often. People who do so control their own portions and often comply better with the Dietary Guidelines, while saving substantial money as a bonus.[2]

* *Reference notes are in Appendix F.*

Figure 2–12

Where Do You Spend Your Food Dollars?

Food services consume almost 40 percent of the average household's food budget each year. Food services include any meals prepared and eaten away from home.



[a]Food services include fast-food, quick service, and full service restaurants; take out and delivery services; school meals; and other sources of meals not prepared at home.

Source: USDA, Economic Research Service, Food Dollar Series, 2020. Public Domain www.ers.usda.gov/data-products/chart-gallery/gallery/chart-detail/?chartId=58354.

Moving Ahead

Portion control is a habit—and a way to defend against overeating. When cooking at home, have measuring tools at the ready. When dining out, your tools are your practiced abilities to judge portion sizes. Then, when the waiter asks, "Are you ready to order?" the savvy consumer, armed with portion size know-how, answers confidently, "Yes."

Review Questions†

1. American restaurant portions are stable and consistent; you can rely on them as a guide when choosing portion sizes. T F
2. Experimenting with portion sizes at home is a valuable exercise in self-education. T F
3. When consumers guess at the calorie values in restaurant food portions, they generally overestimate. T F

† *Answers to Consumer's Guide questions are in Appendix G.*

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today, to take such steps, the rewards in terms of lower risks of diabetes, obesity, heart disease, and cancer along with a greater quality of life with better health would prove well worth the effort.

Checking Out Food Labels

LO 2.5 Describe the information that appears on food labels.

A potato is a potato and needs no label to tell you so. But what can a package of potato chips tell you about its contents? By law, its label must list the chips' ingredients—potatoes, oil, and salt—and its **Nutrition Facts** panel must also reveal details about their nutrient composition. If the oil is high in saturated fat, the label will reveal it (more about fats in Chapter 5). In addition to required information, labels may make optional statements about the food being delicious, or good for you in some way, or a great value. Some of these comments, especially some that are regulated by the Food and Drug Administration (FDA), are reliable. Many others are marketing tools, based more on salesmanship than science.

What Food Labels Must Include

The Nutrition Education and Labeling Act of 1990 set the requirements for certain label information to ensure that food labels truthfully inform consumers about the nutrients and ingredients in the package. Every packaged food must state the following:

- The common or usual name of the product.
- The name and address of the manufacturer, packer, or distributor.
- The net contents in terms of weight, measure, or count.
- The nutrient contents of the product (Nutrition Facts panel).
- The ingredients in descending order of predominance by weight and in ordinary language.
- Essential warnings, such as alerts about ingredients that often cause allergic reactions or other problems.

Not every package need display information about every vitamin and mineral. A large package, such as a box of cereal, must provide all of the information just listed. A smaller label, such as the label on a can of tuna, provides some of the information in abbreviated form. The tiniest of labels, such as on a roll of candy rings, provides only a phone number to call or a website to visit for nutrient information.

The Nutrition Facts Panel Most shoppers read food labels, and when they do, they often rely on a Nutrition Facts panel, as shown in Figure 2–13 (p. 52).[10] In addition to food labels, grocers also voluntarily post placards or offer handouts in produce and other departments to provide consumers with similar nutrition information for the most popular fresh fruits, vegetables, and seafoods.

The following information is located on the Nutrition Facts panel:

- *Serving size*. A common household and metric measure of a single serving that provides the calorie and nutrient amounts listed. A serving of chips may be 10 chips, so if you eat 50 chips, you will have consumed five times the calorie and nutrient amounts listed on the label. Keep in mind that label serving sizes are not recommendations. They simply reflect amounts that people typically consume in a serving.
- *Servings per container*. Number of servings per box, can, or package.
- *Calories*. Total food energy per serving.

Nutrition Facts on a food label, the panel of nutrition information required to appear on almost every packaged food. Grocers may also provide the information for fresh produce, meats, poultry, and seafood.

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Figure 2–13

What's on a Food Label?

This food label illustrates the information needed to make wise food purchases. The colored bands are added to help focus attention on each label section.

Serving size: A typical U.S. serving size (not a recommended serving size.) Nutrient values in g (grams), mg (milligrams), or mcg (micrograms) reflect one serving.

Servings per container: The number of servings in the package.

Calories per serving

Limit these nutrients.

% Daily Value The nutrient contribution of one serving of a food toward a daily goal: 10-19% = good source 20% or more = excellent source

Aim for enough of these nutrients.

Footnote explains Daily Values.

Nutrition Facts

8 servings per container

Serving size **2/3 cup (55g)**

Amount per serving

Calories **230**

	% Daily Value*
Total Fat 8g	**10%**
Saturated Fat 1g	**5%**
Trans Fat 0g	
Cholesterol 0mg	**0%**
Sodium 160mg	**7%**
Total Carbohydrate 37g	**13%**
Dietary Fiber 4g	**14%**
Total Sugars 12g	
Includes 10g Added Sugars	**20%**
Protein 3g	
Vitamin D 2mcg	10%
Calcium 260mg	20%
Iron 8mg	45%
Potassium 235mg	5%

* The % Daily Value (DV) tells you how much a nutrient in a serving of food contributes to a daily diet. 2,000 calories a day is used for general nutrition advice.

- *Nutrient amounts and percentages of Daily Values*, including:
  - *Total fat*. Grams of fat per serving with a breakdown showing grams of *saturated fat* and *trans fat* per serving.
  - *Cholesterol*. Milligrams of cholesterol per serving.
  - *Sodium*. Milligrams of sodium per serving.
  - *Total carbohydrate*. Grams of carbohydrate per serving with a breakdown showing grams of *dietary fiber, total sugars*, and *added sugars*. *Dietary fiber* includes only those fibers known to benefit human health. The sugars section of the label specifies how much of the sugar is added sugar.[11]
  - *Protein*. Grams of protein per serving.

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Other nutrients present in significant amounts in the food may also be listed on the label.

- *Daily Values explanation.* The footnote at the bottom explains in consumer-friendly language the meaning of the % *Daily Value column*.

More about Percentages of Daily Values The nutrient percentages of Daily Values ("% Daily Value") on labels are for a single serving of food, and they are based on the Daily Values set for a 2,000-calorie diet, listed at the back of the book, page D. For example, if a food contributes 4 milligrams of iron per serving and the Daily Value is 18 milligrams, then a serving of that food provides 22 percent of the Daily Value for iron.

Of course, though the Daily Values are based on a 2,000-calorie diet, people's actual calorie and nutrient needs vary widely. This makes the Daily Values most useful for comparing one food with another and less useful as nutrient intake targets for individuals. Still, by examining a food's general nutrient profile, you can determine whether the food contributes "a little" or "a lot" of a nutrient and whether it contributes "more" or "less" than another food.

Ingredients List An often neglected but highly valuable body of information is the list of ingredients. The product's ingredients must be listed in descending order of predominance by weight, and in ordinary language.

Knowing how to read an ingredients list puts you many steps ahead of naïve buyers. Anyone diagnosed with a food allergy quickly learns to use these lists for spotting "off-limits" ingredients in foods. In addition, you can glean clues about the nature of the food. For example, consider the ingredients list on an orange drink powder whose first three entries are "sugar, citric acid, orange flavor." You can tell that sugar is the chief ingredient. Now consider a canned juice whose ingredients list begins with "water, orange juice concentrate, pineapple juice concentrate." This product is clearly made of reconstituted juice. Water is first on the label because it is the main constituent of juice. Sugar is nowhere to be found among the ingredients because no sugar has been added. Sugar occurs naturally in juice, though, so the label does specify sugar grams; details are in Chapter 4.

Now consider a cereal whose entire list contains just one item: "100 percent shredded wheat." No question, this is a whole-grain food with nothing added. Finally, consider a cereal whose first six ingredients are "puffed milled corn, corn syrup, sucrose, honey, dextrose, salt." If you recognize that corn syrup, sucrose, honey, and dextrose are all different versions of sugar (and you will after Chapter 4), you might guess that this product contains close to half its weight as added sugar.

Key Points

- By law, food labels must include certain essential information.
- The Nutrition Facts Panel delivers calorie and nutrient information about the product.
- The ingredients list must state the product's ingredients in descending order of predominance by weight, and in ordinary language.

What Food Labels *May* Include

So far, this section has presented the accurate and reliable food label facts. Another group of reliable statements are the **nutrient claims**.

Nutrient Claims: Reliable Information A food that meets specified criteria may display certain approved nutrient claims on its label. These claims—for example, that a food is "low in cholesterol" or a "good source of vitamin A"—are based on the Daily Values. Table 2–6 (p. 54) provides a list of these regulated, valid label terms along with their definitions.

nutrient claims FDA-approved food label statements that describe the nutrient levels in food. Examples: "fat free" or "less sodium."

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Table 2–6

Some Scientifically Valid Nutrient Claims on Food Labels

Energy Terms

- **low calorie** 40 calories or fewer per serving.
- **reduced calorie** at least 25% lower in calories than a "regular," or reference, food.
- **calorie free** fewer than 5 calories per serving.

Fat Terms (Meat and Poultry Products)

- **extra lean**[a]
  less than 5 g of total fat *and*
  less than 2 g of saturated fat and trans fat combined, *and*
  less than 95 mg of cholesterol per serving.
- **lean**[a]
  less than 10 g of total fat *and*
  less than 4.5 g of saturated fat and trans fat combined, *and*
  less than 95 mg of cholesterol per serving.

Fat Terms (All Products)

- **fat free** less than 0.5 g of fat per serving.
- **less saturated fat** 25% or less saturated fat and trans fat combined than the comparison food.
- **low fat** 3 g or less of total fat per serving.[a]
- **low saturated fat** 1 g or less of saturated fat and less than 0.5 g of trans fat per serving.
- **reduced saturated fat**
  at least 25% less saturated fat *and*
  reduced by more than 1 g of saturated fat per serving compared with a reference food.
- **saturated fat free or trans fat free**
  less than 0.5 g of saturated fat *and*
  less than 0.5 g of trans fat per serving.

Fiber Terms

- **high fiber** 5 g or more per serving. (Foods making high-fiber claims must fit the definition of low fat, or the level of total fat must appear next to the high-fiber claim.)
- **good source of fiber** 2.5 g to 4.9 g per serving.
- **more** or **added fiber** at least 2.5 g more per serving than a reference food.

Sodium Terms

- **low sodium** 140 mg or less of sodium per serving.
- **reduced sodium** at least 25% lower in sodium than the regular product.
- **sodium free** less than 5 mg per serving.
- **very low sodium** 35 mg or less of sodium per serving.

Other Terms

- **good source** 10 to 19% of the Daily Value per serving.
- **high in** 20% or more of the Daily Value for a given nutrient per serving; synonyms include "rich in" and "excellent source."
- **less, fewer, reduced** containing at least 25% less of a nutrient or calories than a reference food. This may occur naturally or as a result of altering the food. For example, pretzels, which are usually low in fat, can claim to provide less fat than potato chips, a comparable food.
- **light** this descriptor has three meanings on labels:
  1. A serving provides one-third fewer calories or half the fat of the regular product.
  2. A serving of a low-calorie, low-fat food provides half the sodium normally present.
  3. The product is light in color and texture, so long as the label makes this intent clear, as in "light brown sugar."

[a] *The word* lean *as part of the brand name (as in "Lean Supreme") indicates that the product contains fewer than 10 g of total fat per serving.*

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Health Claims: Reliable and Not So Reliable In the past, the FDA held manufacturers to the highest standards of scientific evidence before allowing them to place **health claims** on food labels. A health claim describes a relationship between a food or its components and a disease or health condition. When a label stated "Diets low in sodium may reduce the risk of high blood pressure," for example, consumers could be sure that the FDA had substantial scientific support for the claim.

Today, however, the FDA also allows similar-sounding health claims that are backed by weaker evidence. These are "qualified" claims in the sense that labels bearing them must also state the strength of the scientific evidence backing them up. Unfortunately, consumers cannot distinguish between scientifically valid claims and those that are less so.

health claims FDA-approved food label statements that link food constituents with disease or health-related conditions. Examples: "Soluble fiber from daily oatmeal in a diet low in saturated fat and trans fat may reduce the risk of heart disease" or "A diet low in total fat may reduce the risk of some cancers."

Structure-Function Claims: Best Ignored Even less reliable are **structure-function claims**. A label-reading consumer is much more likely to encounter this kind of claim on a food or supplement label than the more regulated health claims just described. For food manufacturers, printing a *health claim* involves acquiring FDA permission, a time-consuming and expensive process. Instead, manufacturers can print a similar-looking structure-function claim that requires only FDA notification and no prior approval. Figure 2–14 compares claims on food labels.

structure-function claims legal but largely unregulated statements permitted on labels of foods and dietary supplements, describing the effect of a substance on the structure or function of the body, but that omit references to diseases. Examples: "Supports immunity and digestive health" or "Builds strong bones."

A problem is that, to reasonable consumers, the two kinds of claims may appear identical:

- "Lowers cholesterol" (FDA-approved health claim)
- "Helps maintain normal cholesterol levels" (less-regulated structure-function claim)

Such valid-appearing but unreliable structure-function claims diminish the credibility of all health-related claims on labels. In the world of marketing, current label laws put the consumer on notice: "Let the buyer beware."

Front-of-Package Shortcuts Some consumers find the detailed Nutrition Facts panels on food labels to be daunting. For them, easy-to-read nutrient information icons posted on the fronts of packages can speed comparisons among packaged foods.[12] For example, one major grocery association developed Facts Up Front icons, as shown in Figure 2–15 (p. 56), but many others also exist.[13] FDA is currently developing a single icon to help consumers identify healthy foods.[14]

iStock.com/Kanawa_Studio

Food labels provide clues for nutrition sleuths.

Figure 2–14

Label Claims

Keith Homan/Shutterstock.com

Nutrient claim

Michael Neelon(misc)/Alamy Stock Photo

Health claim

Kristoffer Tripplaar/Alamy Stock Photo

Structure-function claim

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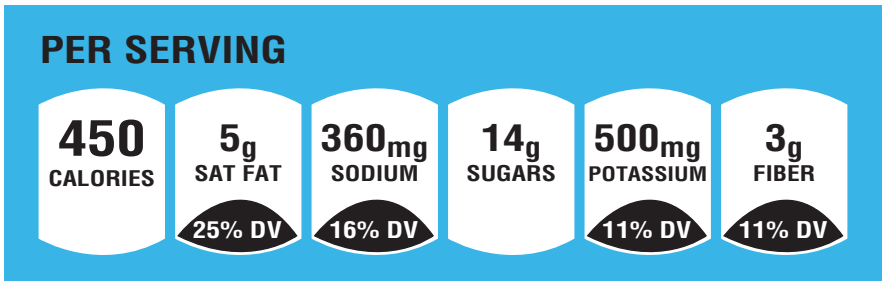
Figure 2–15
Facts Up Front

Facts Up Front is a voluntary labeling initiative, developed by food manufacturing and marketing groups.



Source: FactsUpFront/GMA

Front-of-package labels promise to be a time-saver, but today's labels often inflate the perception of a food's health-promoting qualities. Feeling assured by icons that seem to indicate that a product supports health, busy consumers may skip evaluating the factual data of the Nutrition Facts panel for themselves. Overall, current front-of-package labels do a better job of selling products than informing consumers.

Key Points

- Food labels may include reliable nutrient claims and approved health claims but may also contain structure-function claims of varying reliability.
- Front-of-package icons speed consumers' comprehension of nutrient information.

Food Feature

Getting a Feel for the Nutrients in Foods

LO 2.6 Compare one day's nutrient-dense meals with meals not planned for nutrient density.

Figures 2–16 and 2–17 (pp. 57–58) illustrate a playful contrast between two days' meals. Monday's meals were selected according to the recommendations of this chapter and follow the sample menu of Table 2–4, shown earlier, p. 46. Tuesday's meals were chosen more for convenience and familiarity than out of concern for nutrition.

Comparing the Nutrients

How can a person compare the nutrients that these sets of meals provide? One way is to look up each food in a table of food composition, write down the food's nutrient values, and compare each one to a standard such as the DRI, as we've done in Figures 2–16 and 2–17. By this measure, Monday's meals are the clear winners in terms of meeting nutrient needs within a calorie budget. Tuesday's meals oversupply calories and saturated fat while undersupplying fiber and critical vitamins and minerals.

Another useful exercise is to compare the total amounts of foods provided by a day's meals with the recommended amounts from each food group. A tally of the cups and ounces of foods consumed is provided in both Figures 2–16 and 2–17. The totals are then compared with USDA Dietary Patterns in the tabular portion of the figures.

Monday's Meals in Detail

Monday's meals provide the necessary servings from each food group along with a small amount of oil needed for health. The energy provided falls well within the 2,000-calorie allowance. A closer look at Monday's foods reveals that the whole-grain cereal at breakfast, whole-grain sandwich roll at lunch, and whole-grain crackers at snack time meet the recommendation to obtain at least half of the day's grain servings from whole grains.

For the Vegetable subgroups, dark green vegetables, orange vegetables, and legumes are represented in the

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Figure 2–16

Monday's Meals—Nutrient-Dense Choices

Breakfast

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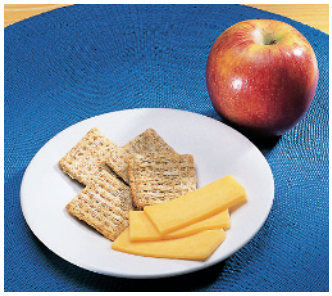
Lunch

© Polara Studios, Inc.

Afternoon snack



© Polara Studios, Inc.

Dinner

© Matthew Farruggio

Bedtime snack

© Quest Photographic, Inc.

Foods	Food Group Amounts	Energy (cal)	Saturated Fat (g)	Fiber (g)	Vitamin C (mg)	Calcium (mg)
Before heading off to class, a student eats breakfast:						
1 c whole-grain cold cereal	1 oz grains	108	—	3	14	95
1 c fat-free milk	1 c milk	100	—	—	2	306
1 medium banana (sliced)	1/2 c fruit	105	—	3	10	6
Then goes home for a quick lunch:						
1 roasted turkey sandwich on 2-oz whole-grain roll with 1 1/2 tsp low-fat mayonnaise	2 oz meat 2 oz grains 1 1/2 tsp oils	343	4	2	—	89
1 c low-salt vegetable juice	1 c vegetables	50	—	1	60	27
While studying in the afternoon, the student eats a snack:						
4 whole-wheat reduced-fat crackers	1/2 oz grains	86	1	2	—	—
1 1/2 oz low-fat cheddar cheese	1 c milk	74	2	—	—	176
1 medium apple	1/2 c fruit	72	—	3	6	8
That night, the student makes dinner:						
A salad:						
1 3/4 c raw spinach leaves						
1/4 c shredded carrots	1 c vegetables	19	—	2	18	61
1/4 c garbanzo beans	1 oz legumes	71	—	3	2	19
5 lg olives and 2 tbs oil-based salad dressing	2 tsp oils	76	1	1	—	2
A main course:						
1 c spaghetti with meat and tomato sauce	2 oz grains 2 1/2 oz meat	425	3	5	15	56
1/2 c green beans	1 c vegetables	22	—	2	6	29
2 tsp soft margarine	2 tsp oils	67	1	—	—	—
And for dessert:						
1 c strawberries	1 c fruit	49	—	3	89	24
Later that evening, the student enjoys a bedtime snack:						
3 graham crackers	1/2 oz grains	90	—	—	—	—
1 c fat-free milk	1 c milk	100	—	—	2	306
Totals:		**1,857**	**12**	**30**	**224**	**1,204**
DRI:[a]		2,000	<20[b]	25	75	1,000
Percentage of DRI:		93%	60%	120%	299%	120%

Intakes Compared with Recommended Amounts

Food Group	Breakfast	Lunch	Snack	Dinner	Snack	Monday's Totals	Recommended Amounts
Fruit	1/2 c		1/2 c	1 c		2 c	2 c
Vegetables		1 c		2 c		3 c	2 1/2 c
Grains	1 oz	2 oz	1/2 oz	2 oz	1/2 oz	6 oz	6 oz
Protein foods		2 oz		3 1/2 oz		5 1/2 oz	5 1/2 oz
Dairy	1 c		1 c		1 c	3 c	3 c
Oils		1 1/2 tsp		4 tsp		5 1/2 tsp	6 tsp
Calories						1,857 cal	2,000 cal

[a]DRI values for a sedentary woman, age 19–30.

[b]The 20-g value listed is the maximum allowable saturated fat for a 2,000-cal diet. The DRI recommends consuming less than 10% of calories from saturated fat.

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Figure 2–17

Tuesday's Meals—Less-Nutrient-Dense Choices

Breakfast

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Lunch

© Quest Photographic, Inc.

Afternoon snack

© Polara Studios, Inc.

Dinner

© Quest Photographic, Inc.

Bedtime snack

© Charles Knowles/Shutterstock.com

Foods	Food Group Amounts	Energy (cal)	Saturated Fat (g)	Fiber (g)	Vitamin C (mg)	Calcium (mg)
Today, the student starts the day with a fast-food breakfast:						
1 c coffee	2 oz grains	5	—	—	—	—
1 English muffin with egg, cheese, and bacon	2 oz protein foods 1 c milk	436	9	2	—	266
Between classes, the student returns home for a quick lunch:						
1 peanut butter and jelly sandwich on white bread	2 oz grains 1 oz protein foods	426	4	3	—	93
1 c whole milk	1 c milk	156	6	—	4	290
While studying, the student has:						
12 oz diet cola		—	—	—	—	—
Bag of chips (14 chips)[a]		105	2	—	4	—
That night for dinner, the student eats:						
A salad:						
1c lettuce						
1 tbs blue cheese dressing	1/2 c vegetables	84	2	1	2	23
A main course:						
6 oz steak	6 oz protein foods	349	6	—	—	27
1/2 baked potato	1/2 c vegetables	161	—	4	17	26
1 tbs butter		102	7	—	—	3
1 tbs sour cream[b]		31	2	—	—	17
12 oz diet cola		—	—	—	—	—
And for dessert:						
4 sandwich-type cookies	1 oz grains	158	2	1	—	—
Later on, a bedtime snack:						
2 cream-filled snack cakes	2 oz grains	250	2	2	—	20
1 c herbal tea		—	—	—	—	—
Totals:		**2,263**	**42**	**13**	**27**	**765**
DRI:[c]		2,000	<20[d]	25	75	1,000
Percentage of DRI:		113%	210%	52%	36%	77%

Intakes Compared with Recommended Amounts

Food Group	Breakfast	Lunch	Snack	Dinner	Snack	Tuesday's Totals	Recommended Amounts
Fruit						0 c	2 c
Vegetables			[a]	1 c		1 c	2 1/2 c
Grains	2 oz	2 oz		1 oz	2 oz	7 oz	6 oz
Protein foods	2 oz	1 oz		6 oz		9 oz	5 1/2 oz
Dairy	1 c	1 c				2 c	3 c
Oils						7 1/2 tsp[b]	6 tsp
Calories						2,263 cal	2,000 cal

[a]The potato in 14 potato chips provides less than 1/2 c vegetables.

[b]The fats of steak, butter, and sour cream are saturated fats and do not qualify as oils.

[c]DRI values for a sedentary woman, age 19–30.

[d]The 20-g value listed is the maximum allowable saturated fat for a 2,000-cal diet. The DRI recommends consuming less than 10% of calories from saturated fat.

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dinner salad, and "other vegetables" are prominent throughout. To repeat: it isn't necessary to choose vegetables from each subgroup every day, and people eating this day's meals will need to include vegetables from other subgroups throughout the week. In addition, Monday's eating plan has room to spare for additional servings of favorite foods or for some sweets or fats.

Tuesday's Meals in Detail

Tuesday's meals completely lack fruit and whole grains and are too low in vegetables and milk to provide adequate nutrients. In addition, they supply too much saturated fat and sugar, as well as excessive meats, oils, and refined grains, pushing the calorie total well above the day's allowance. A single day of such fare poses little threat to eaters, but a steady diet of Tuesday's meals presents a high probability of nutrient deficiencies and weight gain and greatly increases the risk of developing chronic diseases in later life.

Using Programs and Apps—or Not

If you have access to a computer or a "smart" cellular phone with a diet-planning application, it can be a time saver. Diet analysis programs and apps perform all of these calculations at lightning speed. Working them out for yourself, using paper and a sharp pencil with a big eraser, may seem a bit old-fashioned. But there are times when using electronic gadgets may not be practical—such as when hurrying to make decisions in the cafeteria or at a fast-food counter—where real-life food decisions must be made quickly.

People who work out diet analyses for themselves on paper and those who put extra time into studying, changing, and reviewing their computer diet analysis often learn to "see" the nutrients in foods. (This is a skill you can develop by the time you reach Chapter 10). They can quickly assess their food options and make informed choices at mealtimes, without electronic assistance.

What did you decide?

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- How can you tell **how much of each nutrient** you need to consume daily?
- Can we trust the **government's dietary recommendations**?
- Are the health claims on food labels **accurate and reliable**?
- Can certain "**superfoods**" boost your health with more than just nutrients?

Self Check

1. (LO 2.1) The nutrient standards in use today include all of the following *except* _____.
   a. Adequate Intakes (AI)
   b. Daily Minimum Requirements (DMR)
   c. Daily Values (DV)
   d. a and c

2. (LO 2.1) The Dietary Reference Intakes (DRI) were devised for which of the following purposes?
   a. to set nutrient goals for individuals
   b. to suggest upper limits of intakes, above which toxicity is likely
   c. to set average nutrient requirements for use in research
   d. all of the above

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3. (LO 2.1) The energy intake recommendation is set at a level predicted to maintain body weight.
T F

4. (LO 2.1) The DRI are for all people, regardless of their medical history.
T F

5. (LO 2.2) Which of the following is *not* an action that could help meet the ideals of the Dietary Guidelines for Americans?
   a. increase intakes of vegetables
   b. increase intakes of nutrient-dense foods
   c. reduce intakes of artificial ingredients
   d. increase intakes of whole grains

6. (LO 2.2) Following the Dietary Guidelines for Americans does not require that you give up your favorite foods.
T F

7. (LO 2.3) According to the USDA Dietary Patterns, which of the following vegetables should be limited?
   a. carrots
   b. avocados
   c. baked beans
   d. potatoes

8. (LO 2.3) The USDA Dietary Patterns recommend a small amount of daily oil from which of these sources?
   a. olives
   b. nuts
   c. vegetable oil
   d. all of the above

9. (LO 2.3) People who choose not to eat meat or animal products need to find an alternative to the USDA Dietary Patterns when planning their diets.
T F

10. (LO 2.4) To plan a healthy diet that correctly assigns the needed amounts of food from each food group, diet planners should start by consulting
   a. USDA Dietary Patterns.
   b. Dietary Reference Intakes.
   c. sample menus.
   d. none of the above.

11. (LO 2.4) A properly planned diet controls calories by excluding snacks.
T F

12. (LO 2.5) Which of the following values is found on food labels?
   a. Recommended Dietary Allowances
   b. Dietary Reference Intakes
   c. Daily Values
   d. Estimated Average Requirements

13. (LO 2.5) By law, food labels must name the ingredients in descending order of predominance by weight and in ordinary language.
T F

14. (LO 2.5) To be labeled "low fat," a food must contain 3 grams of fat or less per serving.
T F

15. (LO 2.6) One way to evaluate any diet is to compare the total food servings that it provides from each food group with those recommended by the USDA Dietary Patterns.
T F

16. (LO 2.6) A carefully planned diet has which of these characteristics?
   a. It contains sufficient raw oil.
   b. It contains no added fats or added sugars.
   c. It contains all of the Vegetable subgroups.
   d. a and c

17. (LO 2.7) Various whole foods contain so many different phytochemicals that consumers should focus on eating a wide variety of foods instead of seeking out a particular phytochemical.
T F

18. (LO 2.7) Because they arise as natural constituents of foods, phytochemicals are safe to consume in large amounts as supplements.
T F

Answers to these Self Check questions are in Appendix G.

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Controversy 2 Are Some Foods "Superfoods" for Health?

LO 2.7 Summarize the potential health effects of phytochemicals from both food sources and supplements.

Are some foods "superfoods" for health, as headlines and advertisements claim? "Forgetful? Blueberries sharpen brain function!" "Too many colds? Supercharge your immune system with soybeans!" "Worried about cancer? Eat tomatoes!" Can the produce aisle double as a medicine chest? Scientists rarely use the term "superfood" but acknowledge that most foods from plants supply **phytochemicals**—nonnutrient components of plants, some of which show promise for their potential to influence human health and disease. (Relevant terms are defined in Table C2–1.)

On average, the U.S. intake of phytochemicals is low, reflecting low intakes of fruit, vegetables, nuts, legumes, and whole grains.[1]* In contrast, individuals who follow the advice of the Dietary Guidelines routinely take in a wide variety of phytochemicals present in these foods.[2] A lack of phytochemicals produces no identifiable symptoms or diseases, as would, say, the lack of a mineral or a vitamin. Instead, the presence of phytochemicals in the diet correlates with, and may contribute to, good health by opposing some of the forces that underlie chronic diseases.[3]

A Scientist's View of Phytochemicals

At one time, phytochemicals were known only for their sensory properties in foods, such as taste, aroma, texture, and color. Thank phytochemicals for the burning sensation of hot peppers, the pungent flavors of onions and garlic, the bitter tang of chocolate, the aromatic qualities of herbs, and the beautiful colors of tomatoes, spinach, pink grapefruit, and watermelon.

Today, many phytochemicals are believed to be **bioactive food components**—food constituents other than the nutrients (defined in Chapter 1) that alter body processes. Researchers have identified potential roles of phytochemicals in human health.[4] Various phytochemicals may:

- *Protect tissues from oxidation.* Many phytochemicals are **antioxidants**. Although their absorption rates are often low, those that do get in may help protect vulnerable structures, such as DNA and brain cells, from damage by oxidation.
- *Protect nutrients in the digestive tract.* Even without absorption, antioxidant phytochemicals may protect sensitive nutrients, such as vitamin E and certain lipids, from destruction.
- *Improve bacterial colonies in the digestive tract.* (More about these colonies in Chapter 3.)
- *Lower blood cholesterol.* Certain phytochemicals may affect blood cholesterol levels.
- *Interact with genes.* A few phytochemicals may affect genetic activity.
- *Mimic hormones.* A few others may act similarly to the body's own hormones.
- *Regulate body functions.* Some types may help lower blood pressure and improve artery functioning.

Of the tens of thousands of phytochemicals estimated to exist, few have been studied for health effects, and only a sampling are mentioned in this Controversy—enough to illustrate the wide array of foods that supply them and their potential roles in human health.[5] People eat foods, not individual phytochemicals, so this section focuses on a few well-known suppliers of these interesting compounds.

Blueberries and Other Berries

When researchers fed chow rich in blueberry powder to a group of rats, they exhibited fewer age-related mental declines than rats on plain chow, a result that has been replicated in research. This finding set off a flurry of excitement about blueberries as a potential superfood for the brain. To explain their results, the researchers suggest that the phytochemicals of blueberries, belonging to the large chemical group known as **polyphenols**, may act as antioxidants in the brain and thus limit damage to brain cells by oxidation.[6]

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Some human studies support the idea that eating a diet high in fruit and vegetables, and blueberries in particular, may help people stay mentally sharper as they age.[7] When researchers evaluated the mental status of groups of older women, they found that higher polyphenol intakes, especially from berries, accompanied less cognitive decline. Further, when healthy older adults were given a blueberry concentrate for 12 weeks, the blood flow through their brains increased and they scored better on a test of memory.

Berries, including blueberries, cranberries, and strawberries, may help improve blood vessel functioning, a potential benefit for both brain and heart health.[8] Some (but not all) studies report that consumption of fresh or cooked berries increases blood flow through the arteries, an effect lasting for up to 6 hours.

Is it safe to say that blueberries are a true superfood? Currently, scientists lack the standardized methods and formulations to allow meaningful comparison of study results, so no conclusions about the effects of berries are yet possible. If future research supports their effectiveness, additional questions arise. How many blueberries might be enough? Can a steady diet of fast-food hamburgers, French fries, and colas be offset by a handful of blueberries? (Probably not.) Would a pill made of blueberries suffice?

* *Reference notes are in Appendix F.*

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Table C2–1

Phytochemical Terms

- **antioxidants** (anti-OX-ih-dants) compounds that protect other compounds from damaging reactions involving oxygen by themselves reacting with oxygen (anti means "against"; oxy means "oxygen"). Oxidation is a potentially damaging effect of normal cell chemistry involving oxygen (see details in Chapters 5 and 7).
- **bioactive food components** compounds in foods, either nutrients or phytochemicals, that alter physiological processes.
- **broccoli sprouts** the sprouted seed of *Brassica italica*, or the common broccoli plant with a high phytochemical content.
- **edamame** fresh green soybeans, a source of phytoestrogens.
- **functional foods** a marketing term for whole or modified foods that contain bioactive food components believed to provide health benefits beyond the benefits that their nutrients confer. Also called *superfoods*.
- **genistein** (GEN-ih-steen) a phytoestrogen found primarily in soybeans that both mimics and blocks the action of estrogen in the body.
- **kefir** (KEE-fur) a liquid form of yogurt, based on milk, probiotic microorganisms, and flavorings.
- **miso** fermented soybean paste used in Japanese cooking. Soy products contain phytoestrogens.
- **nutraceutical** a term with no legal or scientific meaning but used to refer to foods, nutrients, or dietary supplements believed to have medicinal effects.
- **phytochemicals** (FYE-toe-KEM-ih-cals) compounds in plants that confer color, taste, and other characteristics. Often, the bioactive food components in plants. (Also defined in Chapter 1.) *Phyto* means "plant."
- **phytoestrogens** (FYE-toe-ESS-troh-gens) phytochemicals structurally similar to the female sex hormone estrogen. Phytoestrogens weakly mimic estrogen or modulate hormone activity in the human body.
- **plant sterols** phytochemicals that resemble cholesterol in structure but that lower blood cholesterol, possibly by interfering with cholesterol absorption in the intestine.
- **polyphenols** (polly-FEEN-ols) the largest phytochemical group. In foods, polyphenols contribute bitterness, astringency, color, flavor, odor, or oxidative stability. In the body, they may have health effects but their absorption is limited. *Poly* means "many"; *phenol* refers to "ring structure." Other phytochemical groups include carotenoids, isothiocyanates, and alkaloids.
- **prebiotic** a substance that may not be digestible by the host, such as fiber, but that serves as food for probiotic bacteria and thus promotes their growth.
- **probiotic** a live microorganism that, when administered in adequate amounts, alters the bacterial colonies of the body in ways believed to confer a health benefit on the host.
- **resveratrol** (rez-VER-ah-trol) a polyphenol in grapes under study for potential health benefits.
- **soy milk** a milklike beverage made from soybeans.

People seem to benefit most from a variety of phytochemical sources: apples, artichokes, bananas, beans, berries, cherries, citrus, celery, coffee, pears, pomegranates, spinach, and in fact most fruits, vegetables, whole grains, and nuts.[9] By focusing only on blueberries, a person could miss out on potential benefits from a variety of foods. Blueberries, of course, make a delicious contribution.

Chocolate, Heart, and Mood

Imagine the delight of young research subjects who were paid to eat 3 ounces of dark chocolate for an experiment. Less appealingly, researchers then drew blood from the subjects to test whether an antioxidant compound in chocolate could be absorbed into the bloodstream. The tests were positive: the compound had been absorbed, and at the same time, potentially harmful oxidizing compounds in the blood decreased.

The story of chocolate and health is complex, but some evidence suggests that polyphenols in chocolate may improve blood vessel functioning, but only when eaten in moderation. Associations with heart health vanish in those consuming more than one serving of chocolate per day.[10]

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Weak study designs and other problems lead researchers to conclude that only low to very low quality evidence supports a role for chocolate in heart health.[11] Higher quality research is needed to find out whether the world's favorite treat is truly good for the heart.

People often believe that eating chocolate lifts their spirits—it makes them happy. Scientific inquiry into this idea often leaves chocolate lovers disappointed, however. Most studies report little or no effect of chocolate intake on mood.[12]

One proven medical use of chocolate is weight gain. Each 3-ounce piece of chocolate candy offers 400 calories of sugar and fat, calories that most people can little afford to consume. Most people are better off obtaining phytochemicals from nutrient-dense, low-calorie fruits and vegetables—and savoring chocolate as an occasional treat.

Soybeans and Soy Products

People who eat soy foods often believe that soy can protect the heart, and some evidence exists to back them up. Soy foods, such as **edamame, miso, soy milk, tofu,** or meat replacers, contain cholesterol-like **plant sterols** that may inhibit cholesterol absorption, thus lowering blood cholesterol (an indicator of heart disease risk).[13] Other phytochemicals of soy foods may oppose oxidation or alter lipid metabolism, and these, too, may benefit the heart.[14]

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A recent analysis of data from over 90,000 women and 40,000 men supports these ideas.[15] People with higher intakes of phytoestrogens from soy, particularly tofu (but not soy milk), had lower risks of developing heart disease or having a heart attack. Keep in mind that this study was observational—it lacked the power to draw cause-and-effect conclusions. Such findings need confirmation but research on vegetarian diets lends futher support—vegetarians typically eat more soy than average and often enjoy better heart health on average, too (see Controversy 6). For most people, a nutritious plant-based diet that includes tofu or other soy products a few times a week may be part of an overall lifestyle strategy to reduce the risk of heart disease.

Certain cancers, including breast, colon, and prostate cancers, can be estrogen-sensitive, meaning that they grow when exposed to the hormone estrogen. Soy phytochemicals include **phytoestrogens,** compounds that have two opposing effects: they either mimic or oppose estrogen's activities in the body. Many factors, such as dosage, age and ethnicity of subjects, and the form of the phytoestrogen may affect its actions in ways that are not yet fully understood.[16] The American Cancer Society concludes that the potential benefits of including soy foods in the diet appear to outweigh any risks, but specifically advises against taking soy-derived supplements.[17]

Tea—Green and Black

People in Asia who drink 2 cups or more of green tea each day have lower risks of dying from digestive tract cancers and heart disease than nondrinkers, possibly due to the antioxidant activity of polyphenols found in green tea.[18] Black tea, the type most U.S. consumers drink, is also a major source of polyphenols and may also contribute to longevity and chronic disease resistance.[19] A recent meta-analysis of previous studies offers some support for a protective effect of tea but the authors note that only weak evidence is so far available.[20] It's too early to conclude that everyone should put down their coffee mugs and pick up tea cups instead—coffee itself is a major contributor of potentially beneficial polyphenols in the U.S. diet.[21]

A cautionary note about green tea pills is in order. Often sold with promises of weight loss and improved health, these may be among the most hazardous of supplements. To warn others away, a middle-aged British man made his personal story known.[22] Although healthy at the time, he decided to take green tea pills as part of a push lose weight and prevent a heart attack, the fate that had cut his father's life short.[23] Instead of improving his health, however, the pills damaged his liver so severely that he required a liver transplant to save his life.

At issue is EGCG, a compound that occurs naturally in green tea and is linked with liver toxicity in laboratory animals.* Even strong brewed green tea can cause problems if consumed in huge quantities, but supplements pose the greater threat by far because manufacturers concentrate EGCG in doses well beyond those found in brewed tea.[24] Takers of green tea pills should stop and have their blood tested for liver damage to prevent serious illness.

Grapes and Wine

Purple grape juice and red wine contain a number of polyphenols, and among them is a small amount of **resveratrol**. Other resveratrol sources include berries and peanuts with skins. Resveratrol shows promise in research as a bioactive food component.[25] In test tubes, resveratrol demonstrates the potential to reduce harmful tissue inflammation that often accompanies cancer, diabetes, obesity, and heart disease. Also to its credit are studies in which resveratrol seemed to extend the life of fish and worms.[26] In people, however, resveratrol is poorly absorbed, and evidence is lacking to conclude that any of these effects occur in human beings. As for wine, most authorities agree that the known risks of drinking alcohol outweigh any theoretical possibility of a benefit (see Controversy 3).

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** EGCG is the abbreviation for epigallocatechin-3-gallate.*

Yogurt

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Yogurt is a special case because as a milk product, yogurt lacks the typical phytochemicals of plants. Instead, yogurt is a fermented food with living *Lactobacillus* or other bacterial strains that turn milk into yogurt, buttermilk, or **kefir**. Such microorganisms, called **probiotics**, can set up residence in the digestive tract and alter its functioning. *Lactobacillus* and other bacteria can correct the diarrhea that can follow the use of antibiotic drugs. Researchers are investigating whether probiotics may oppose colon cancer, ulcers, and other digestive tract problems; reduce allergies; foster resistance of colon tissues to infections; or to oppose diabetes or obesity development.[27]

Probiotic supplements may be safe for most healthy adults, but patients with pancreatic diseases or weakened immunity have contracted serious infections after consuming them. Among hospitalized children who had dangerous blood infections, genomic testing confirmed that probiotic supplements administered by well-meaning adults were the source of the infecting bacterium.[28] As probiotics become more widely available in foods and supplements, research is urgently needed to identify such risks.

Microbes need food, and **prebiotics**—that is, nondigestible carbohydrates (fibers) or other nutrients—provide substrates upon which bacteria in the colon can feed.[29] With sufficient quantities of the right foods, a beneficial colony multiplies rapidly. The converse is also true—starved microbial communities die out and other, potentially less beneficial colonies rapidly take their place. A high-quality plant-based diet provides a steady supply of substrates required for beneficial colonies to thrive, making supplements of prebiotics unnecessary.

Phytochemical Supplements

No doubt exists that diets rich in legumes, vegetables, fruit, and other whole foods reduce the risks of many

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diseases, but isolating the responsible food, nutrient, or phytochemical has proved difficult. Foods deliver thousands of bioactive food components, all within a food matrix that maximizes their availability and effectiveness. Broccoli, and particularly **broccoli sprouts**, may contain as many as 10,000 different phytochemicals—each with the potential to influence some action in the body.

Even if it were known with certainty which foods protect against which diseases, most isolated supplements, even the most promising ones, fail to actually prevent diseases when they are administered in research. Worse, some may be injurious, as in the case of green tea pills mentioned earlier. In addition, some such supplements can interfere with and reduce the effectiveness of medications given to people with serious illnesses. Such food and drug interactions are of critical importance, and Controversy 11 is devoted to them.

Users and sellers of phytochemical supplements argue that people have been consuming foods containing phytochemicals for tens of thousands of years and because the body can handle phytochemicals in foods, it stands to reason that supplements of those phytochemicals are safe as well. Such thinking raises concerns among scientists, though. They point out that the body is equipped to handle the dilute phytochemicals of whole foods but not concentrated supplement doses. As often proves true in nutrition, it may be that too much is as harmful as too little.

Are "Superfoods" the Best Foods?

Virtually all whole foods have some special value in supporting health and are "superfoods" in their own way. In contrast, manufactured and ultra-processed foods that make claims in this regard may be fortified with a bioactive food component (often from an herb or vegetable), but they generally lack sound evidence for their claimed benefits. They also often contain unneeded salt, sugar, or calories, making them inferior to whole foods for health (some examples are depicted in Figure C2–1).

A recent study offers an insight into the potential of ordinary foods to promote health.[30] Researchers studied records of over 135,000 people in 18 high-, low-, and middle-income countries, and compared their diets with rates of diseases and mortality. Around the world, adequate intakes of fruits and nonstarchy vegetables correlate with reduced risks of death from all causes. Raw fruits and vegetables had the strongest associations, but cooked vegetables counted, too.

A Whole Diet Approach

People who eat abundant and varied fruits and vegetables each day, as recommended by the Dietary Guidelines, may cut their risk for many diseases by as much as half. Table C2–2 offers some tips for doing so.

A piece of advice: don't try to single out a few superfoods or phytochemicals for their magical health effects, and ignore the hype about packaged products—no evidence exists to support their use. Instead, take a no-nonsense approach and choose a wide variety of whole grains, legumes, nuts, fruits, and vegetables in the context of an adequate, balanced, and varied diet to receive all of the health benefits these foods can offer.

Figure C2–1

Which Are the Real Superfoods for Health?

The foods on the left present a complex matrix of nutrients, phytochemicals, and fiber, and they consistently confer substantial health benefits on the eater. The products on the right contain added sugar, salt, or fat, along with a few phytochemicals added by the manufacturer, often without solid scientific support.

Angel Tucker

Angel Tucker

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Table C2–2

Tips for Consuming Phytochemicals

- Eat more fruit. The average U.S. diet provides little more than ½ cup of fruit a day. Remember to choose juices and raw, dried, or cooked fruit at mealtimes, as well as for snacks. Choose dried fruit in place of candy.
- Increase vegetable portions. Double the normal portion of cooked plain, nonstarchy vegetables. Dip cut, raw vegetables into yogurt-based dips for snacks. Start meals with salad.
- Use herbs and spices. Cookbooks offer ways to include parsley, basil, garlic, hot peppers, oregano, turmeric, and other phytochemical-rich seasonings.
- Replace some meat in the diet with whole grains, legumes, vegetables, or nuts. Oatmeal, soy meat replacer, or grated carrots mixed with ground meat and seasonings make a luscious, nutritious meatloaf, for example.
- Add grated vegetables. Carrots in chili or meatballs, celery and squash in spaghetti sauce, and similar combinations add phytochemicals add a fresh taste to the food.
- Try new foods. Try a new fruit, vegetable, or whole grain each week. Walk through vegetable aisles and visit farmers' markets and ask for suggestions about using unfamiliar fruits and vegetables. Read recipes. Try a new soy food: tofu, fortified soy milk, or edamame.

Critical Thinking

1. Divide into two groups. One group will argue in support of using superfoods, and one group will argue against the use of superfoods. During the debate, be sure to answer the following questions:
   - What is a superfood, and is it appropriate to classify a given food as a superfood?
   - Are there foods that you can reliably say have the characteristics of a superfood? Describe the research you have consulted to support the classification of a food as a superfood.
2. Describe a situation when the intake of a phytochemical supplement or a "superfood" might be considered. Give reasons for using one of these products, and also give reasons against its use.

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3 The Remarkable Body

Controversy 3 Alcohol

Learning Objectives

After reading this chapter, you should be able to accomplish the following:

LO 3.1 Name the basic needs of the body's cells.

LO 3.2 Summarize the exchange of materials that takes place as body fluids circulate around the tissues.

LO 3.3 Summarize the interactions between the hormonal and nervous systems and nutrition.

LO 3.4 Summarize how the digestive system provides nutrients to the body tissues.

LO 3.5 Outline the symptoms of nine common digestive problems related to nutrition.

LO 3.6 Specify the excretory functions of the lungs, liver, kidneys, and bladder.

LO 3.7 Explain how body tissues store excess nutrients.

LO 3.8 Compare the effects of moderate and heavy alcohol consumption.

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What do you think?

- Is it true that "**you are what you eat**"?
- How does food on the plate become **nourishment** for your body?
- What does **bacteria in the intestine** have to do with nutrition?
- Should you take antacids to relieve **heartburn**?

At the moment of conception, you received genes in the form of DNA from your mother and father, who, in turn, had inherited them from their parents, and so on into ancient history. Since that moment, your genes have been working invisibly, directing your body's development and functioning. Many of your genes are ancient in origin and are little changed from genes of thousands of centuries ago, but here you are—living with the food, luxuries, smog, contaminants, and all the other pleasures and problems of the 21st century. There is no guarantee that a diet haphazardly chosen from today's foods will meet the needs of your "prehistoric" body. Unlike your ancestors, who nourished themselves from the wild plants and animals surrounding them, you must learn how your body works, what it needs, and how to select foods to meet its needs.

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All the body's cells live in water.

The Body's Cells

LO 3.1 Name the basic needs of the body's cells.

The human body is composed of trillions of **cells**, and none of them knows anything about food. *You* may get hungry for fruit, milk, or bread, but each cell of your body needs nutrients—the vital components of foods. The ways in which the body's cells cooperate to obtain and use nutrients are the subjects of this chapter.

Each of the body's cells is a self-contained, living entity (see Figure 3–1, p. 68), but at the same time, it depends on the rest of the body's cells to supply its needs. Among the cells' most basic needs are energy and the oxygen with which to burn it. Cells also need water to maintain the environment in which they live. They need building blocks and control systems. They especially need the nutrients they cannot make for themselves—the essential nutrients first described in Chapter 1—which must be supplied from food. The first principle of diet planning is that the foods we choose must provide energy and the essential nutrients, including water.

Being living things, cells also die off, although at varying rates. Some skin cells and red blood cells must replace themselves every 10 to 120 days, respectively. Cells lining the digestive tract replace themselves every 3 days. Under ordinary conditions, many muscle cells reproduce themselves only once every few years. Liver cells have the ability to reproduce quickly and do so whenever repairs to the organ are needed. Certain brain cells do not reproduce at all; if damaged by injury or disease, they are lost forever.

The cells work in cooperation with each other to support the whole body. Gene activity within each cell determines the nature of that work.

Genes Control Functions

Each gene is a blueprint that directs the production of one or more proteins, such as **enzymes** that performs cellular work and **structural proteins** that provide the architecture of the cells. Genes also provide the instructions for all of the structural components cells need to survive (see Figure 3–2, p. 69). Each cell contains a complete set of genes, located in the **chromosomes**, but different ones are active in

Connections between nutrition and gene activities are emerging in the field of nutritional genomics, described in **Controversy 13**.

cells the smallest units in which independent life can exist. All living things are single cells or organisms made of cells.

enzymes working proteins that speed up specific chemical reactions, such as releasing energy from nutrient molecules, without themselves being altered in the process. Enzymes and their actions are described in Chapter 6.

structural proteins nonenzyme proteins of cells, such as the proteins of the cell membrane and of its interior structures.

chromosomes structures of mostly coiled DNA and proteins, housed in the nucleus of every cell. The DNA carries the genes for making cellular proteins; the protein and other constituents influence the configuration and functioning of the DNA.

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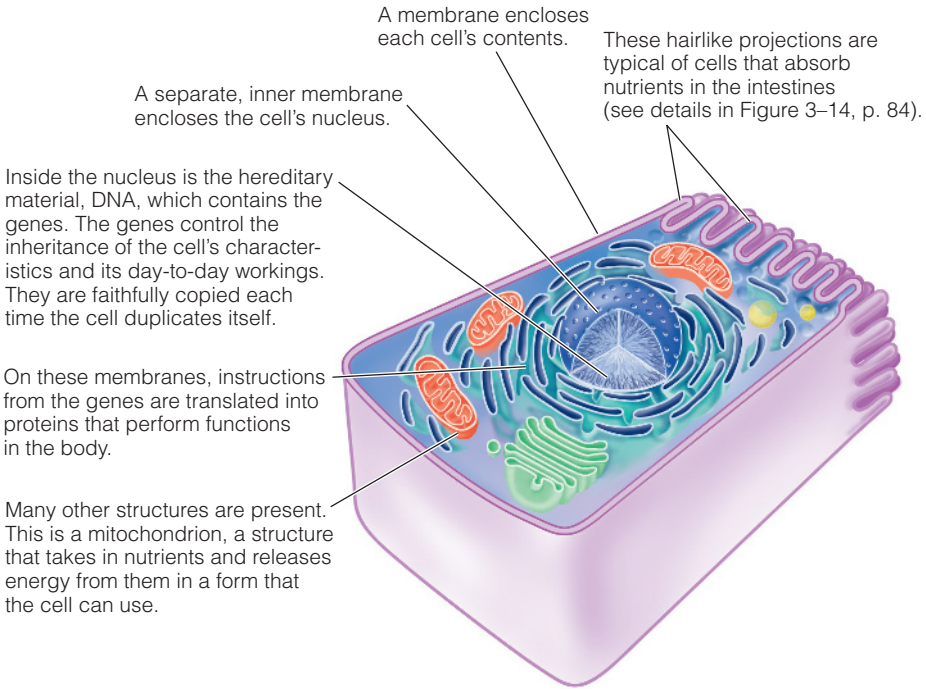
Figure 3–1

A Cell (Simplified Diagram)

This cell has been greatly enlarged; real cells are so tiny that 10,000 can fit on the head of a pin.



different types of cells. For example, in some intestinal cells, the genes for making digestive enzymes are active, but the genes for making keratin in nails and hair are silent; in some of the body's **fat cells**, the genes for making enzymes that metabolize fat are active, but the digestive enzyme genes are silent. Certain nutrients are involved in activating and silencing genes in ways that are just starting to be revealed.

Genes affect the way the body handles its nutrients. Certain variations in some of the genes alter the way the body absorbs, metabolizes, or excretes nutrients from the body. Occasionally, a gene variation can cause a lifelong malady—that is, an **inborn error of metabolism**—in which the gene for a critical piece of cellular machinery, usually an enzyme, is defective or missing. As a result, the body's chemistry is disrupted. The disorder **phenylketonuria (PKU)** is one such inborn error. A defective gene produces a defective enzyme that cannot handle the substance phenylalanine (which comes from dietary protein) in the normal way. Toxic products accumulate in the body and cause a host of symptoms, including seizures, tremors, stunted growth, eczema, and mental retardation. A special diet that is free of phenylalanine must be provided beginning in infancy to prevent damage from this malady that, once present, cannot be reversed. To help facilitate treatment, food manufacturers are required to print warning labels on foods, such as certain low-calorie sweeteners, that contain phenylalanine.

fat cells cells that specialize in the storage of fat and form the fat tissue. Fat cells also produce fat-metabolizing enzymes; they also produce hormones involved in appetite and energy balance (see Chapter 9).

inborn error of metabolism a genetic variation present from birth that may result in disease.

phenylketonuria (PKU) an inborn error of metabolism that interferes with the body's handling of phenylalanine (from dietary protein) and, left untreated, results in serious harm to the brain and nervous system.

tissues groups of cells working together to perform specialized tasks. Examples are muscles, nerves, blood, and bone.

organs discrete structural units made of tissues that perform specific jobs. Examples are the heart, liver, and brain.

body system a group of related organs that work together to perform a function. Examples are the circulatory system, respiratory system, and nervous system.

Key Points

- The body's cells need energy, oxygen, and nutrients, including water, to remain healthy and do their work.
- Genes direct the making of each cell's protein machinery, including enzymes.

Cells, Tissues, Organs, and Systems

Cells are organized into **tissues** that perform specialized tasks. For example, individual muscle cells are joined together to form muscle tissue, which can contract. Tissues, in turn, are grouped together to form whole **organs**. In the organ we call the heart, for

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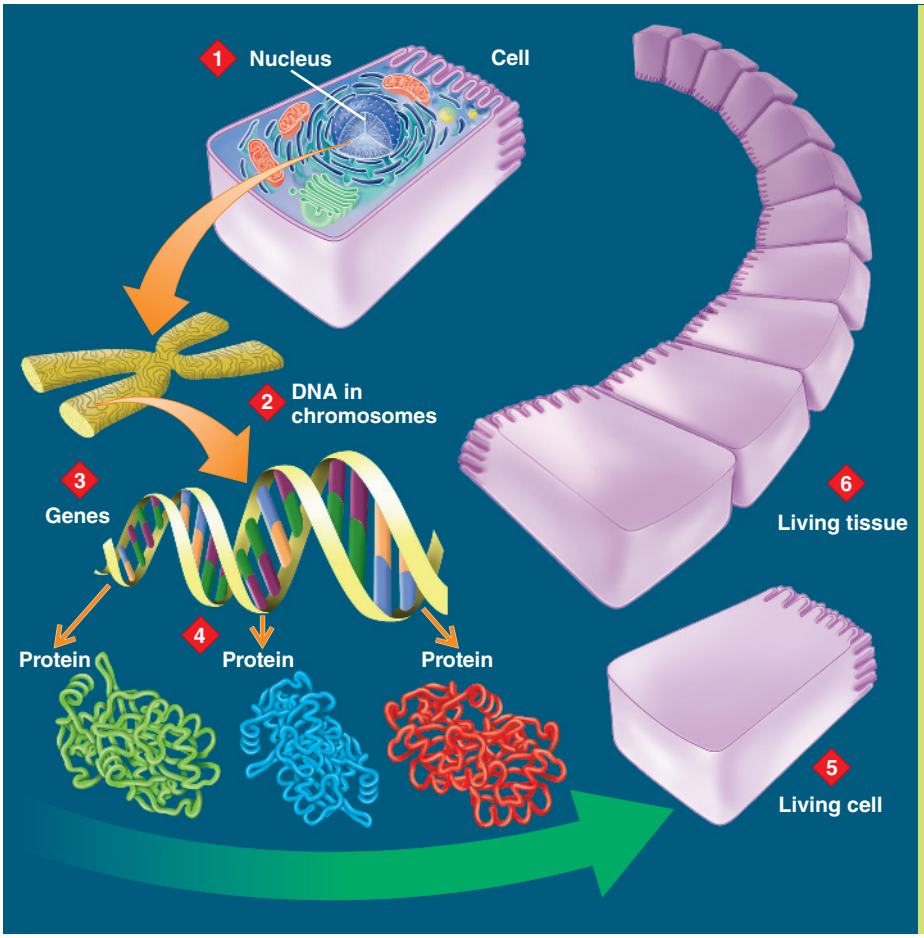
Figure 3–2

From DNA to Living Cells

DNA is the large molecule that encodes all genetic information in its structure; genes are units of a cell's inheritance situated along the DNA strands.



1. Each cell's nucleus contains DNA—the material of heredity in all living things.
2. Long strands of human DNA coil into 23 pairs of chromosomes. If the strands of DNA in all the body's cells were uncoiled and laid end to end, they would stretch to the sun and back 400 times. Yet DNA strands are so tiny that about 5 million of them could be threaded at once through the eye of a needle.
3. Genes contain instructions for making proteins. Genes are sections along the strands of DNA that serve as templates for the building of proteins. Some genes are involved in building just one protein; others are involved in building more than one.
4. Many other steps are required to make a protein. See Figure 6–6 of Chapter 6.
5. Proteins do the work of living cells. Cells employ proteins to perform essential functions and provide structures.
6. Communities of functioning cells make up the living tissue.

example, muscle tissues, nerve tissues, connective tissues, and others all work together to pump blood. Some body functions are performed by several related organs working together as part of a **body system**. For example, the heart, lungs, and blood vessels cooperate as parts of the cardiorespiratory system to deliver oxygen to all the body's cells. The next few sections present the body systems with special significance to nutrition.

Key Point

- Specialized cells are grouped together to form tissues and organs; organs work together in body systems.

The Body Fluids and the Circulatory System

LO 3.2 Summarize the exchange of materials that takes place as body fluids circulate around the tissues.

Body fluids supply the tissues continuously with energy, oxygen, and nutrients, including water. The fluids constantly circulate to pick up fresh supplies and deliver wastes to points of disposal. Every cell continuously draws oxygen and nutrients from those fluids and releases carbon dioxide and other waste products into them.

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blood the fluid of the cardiovascular system; composed of water, red and white blood cells, other formed particles, nutrients, oxygen, and other constituents.

The Body's Fluids The body's circulating fluids are the **blood** and the **lymph**. Blood travels within the **arteries**, **veins**, and **capillaries**, as well as within the heart's chambers (see Figure 3–3). Lymph travels in separate vessels of its own.

Circulating around the cells are other fluids such as the **plasma** of the blood, which surrounds the white and red blood cells, and the fluid surrounding muscle cells (see Figure 3–4). The fluid surrounding cells (**extracellular fluid**) is derived from the

Figure 3–3

Blood Flow in the Cardiovascular System

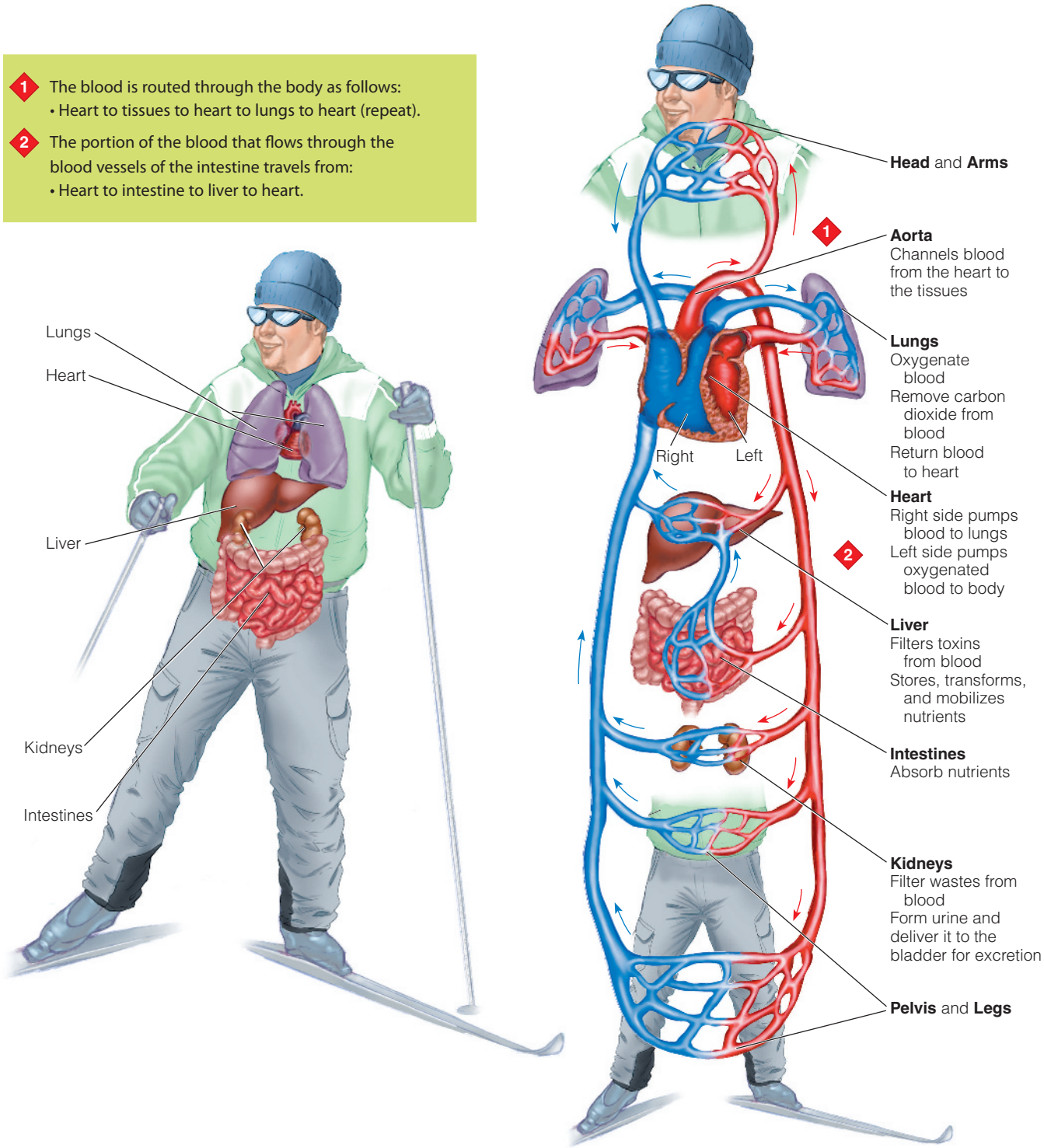

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Figure 3–4

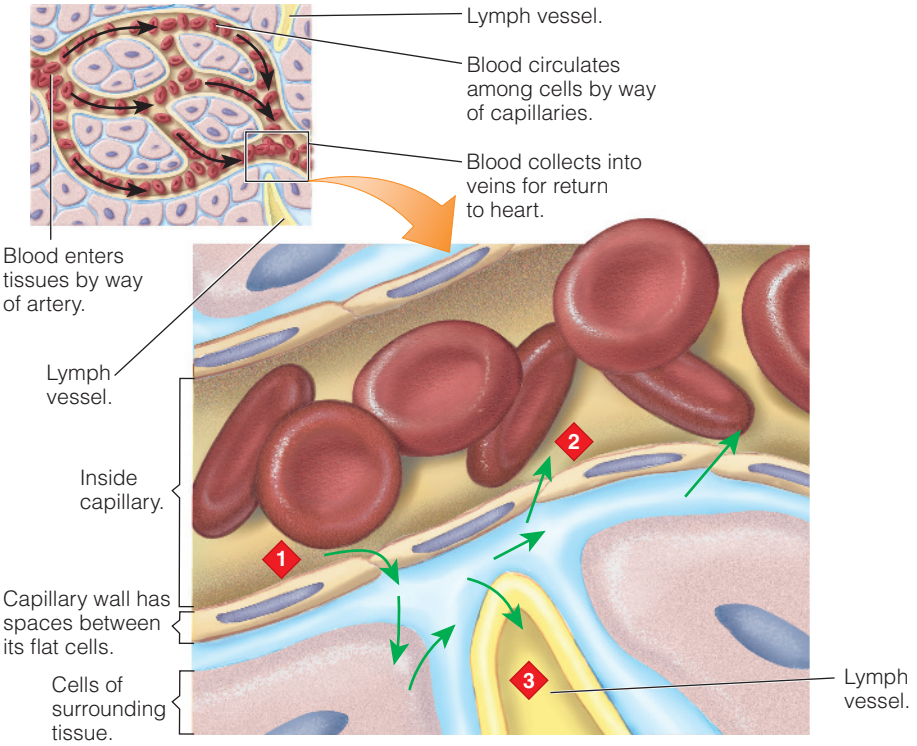
How the Body Fluids Circulate around Cells

The upper box shows a tiny portion of tissue with blood flowing through its network of capillaries (greatly enlarged). The lower box illustrates the movement of the extracellular fluid. Exchange of materials also takes place between cell fluid and extracellular fluid.

1 Fluid filters out of blood through the capillary, whose walls are made of cells with small spaces between them.

2 Fluid may enter a capillary and rejoin the bloodstream.

3 Fluid may enter a lymph vessel to join the lymphatic fluids. Lymph flows through the vessels and ultimately into the bloodstream through a large lymph vessel that empties into a large vein.



blood in the capillaries; it squeezes out through the capillary walls and flows around the outsides of cells, permitting exchange of materials.

Some of the extracellular fluid returns directly to the bloodstream by reentering the capillaries. The fluid remaining outside the capillaries forms lymph, which travels around the body by way of lymph vessels. The lymph eventually returns to the bloodstream near the heart where a large lymph vessel empties into a large vein. In this way, all cells are served by the cardiovascular system.

The fluid inside cells (**intracellular fluid**) provides a medium in which all cell reactions take place. Its pressure also helps the cells hold their shape. The intracellular fluid is drawn from the extracellular fluid that bathes the outsides of the cells.

Blood and Lymph Circulation All the blood circulates to the lungs, where it picks up oxygen and releases carbon dioxide wastes from the cells. Then the blood returns to the heart, where the pumping heartbeats push this freshly oxygenated blood through the **aorta**, the large artery leading from the heart, and then out to all body tissues.

lymph (LIMF) the fluid that moves from the bloodstream into tissue spaces and then travels in its own vessels, which eventually drain back into the bloodstream.

arteries blood vessels that carry blood containing fresh oxygen supplies from the heart to the tissues (see Figure 3–3).

veins blood vessels that carry blood, with the carbon dioxide it has collected, from the tissues back to the heart (see Figure 3–3).

capillaries minute, weblike blood vessels that connect arteries to veins and permit transfer of materials between blood and tissues (see Figures 3–3 and 3–4).

plasma the cell-free fluid part of blood and lymph.

extracellular fluid fluid residing outside the cells that transports materials to and from the cells.

intracellular fluid fluid residing inside the cells that provides the medium for cellular reactions.

aorta the large artery that conducts oxygenated blood away from the heart to the rest of the circulatory system.

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As the blood travels through the rest of the cardiovascular system, it delivers materials cells need and picks up their wastes.

As it passes through the digestive system, the blood delivers oxygen to the cells there and picks up most nutrients, with the exceptions of fats and their relatives, from the **intestine** for distribution elsewhere.

Blood leaving the digestive system is routed directly to the **liver**, which has the special task of chemically altering the absorbed materials to make them better suited for use by other tissues. Later, in passing through the **kidneys**, the blood is cleansed of wastes (look again at Figure 3–3). Note that the blood carries nutrients from the intestine to the liver, which releases them to the heart, which pumps them to the waiting body tissues.

As for lymph, it takes a one-way ride through its own set of vessels that originate in the tissues and end at a duct in a large blood vein near the heart. Lymph vessels in the intestine pick up most of the fats present in a meal and conduct them along the lymph vessel route to the bloodstream. In addition, the lymphatic system plays critical roles in the body's extensive **immune system**. The lymphatic system transports and helps activate **lymphocytes**, white blood cells that defend against invading **microbes**. The digestive tract employs a large network of lymphatic cells and tissues to quash infections that could otherwise occur from among the millions of microbes that normally reside there (you will learn later that most of these microbes pose no threat).

Keeping the System Healthy To ensure efficient circulation of fluids to and from all your cells, you need an ample fluid intake. This means consuming sufficient water to replace the water lost each day. Cardiovascular fitness is essential, too, and it requires attention to both nutrition and physical activity. Healthy red blood cells also play a role, for they carry oxygen to all the other cells, enabling them to use fuels for energy. Because red blood cells arise, live, and die within about four months, your body replaces them constantly, a manufacturing process that requires many essential nutrients from food. Consequently, the blood is very sensitive to malnutrition and often serves as an indicator of disorders caused by dietary deficiencies or imbalances of vitamins or minerals.

Key Points

- Blood and lymph deliver needed materials to all the body's cells and carry waste materials away from them.
- The cardiovascular system ensures that these fluids circulate properly among all tissues.

intestine the body's long, tubular organ of digestion and the site of nutrient absorption.

liver a large, lobed organ that lies just under the ribs. It filters the blood, removes and processes nutrients, manufactures materials for export to other parts of the body, and destroys toxins or stores them to keep them out of the circulatory system.

kidneys a pair of organs that filter wastes from the blood, make urine, and release it to the bladder for excretion from the body.

immune system a large system of tissues and organs that defend the body against microbes or foreign materials that have penetrated the skin or body linings.

lymphocytes (LIM-foh-sites) white blood cells that participate in the immune response.

microbes bacteria, viruses, fungi, or other organisms invisible to the naked eye, some of which cause diseases. Also called *microorganisms*.

hormones chemicals that are secreted by glands into the blood in response to conditions in the body that require regulation. These chemicals serve as messengers, acting on other organs to maintain appropriate conditions.

glands body organs that produce and release needed compounds, such as sweat, saliva, and hormones.

The Hormonal and Nervous Systems

LO 3.3 Summarize the interactions between the hormonal and nervous systems and nutrition.

In addition to fluid, blood cells, nutrients, oxygen, and wastes, the blood also carries chemical messengers, **hormones**, from one system of cells to another. Hormones communicate changing conditions that demand responses from the body's organs.

What Do Hormones Have to Do with Nutrition?

Hormones are secreted and released directly into the blood by organs known as **glands**. Glands and hormones abound in the body. Each gland monitors a condition and produces one or more hormones to regulate it. Each hormone acts as a messenger that stimulates various organs to take appropriate actions.

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For example, hormones are produced to regulate the body's blood **glucose** concentration, a condition that is vitally important to the functioning of many other organs, including the brain. The **pancreas**, a gland, produces two hormones: **insulin**, which lowers the blood glucose level when it is too high, and **glucagon**, which raises blood glucose when it is too low. The pancreas, together with the liver and other major organs are diagrammed in a later figure (see Figure 3–7, p. 77).

Nutrition affects the hormonal system. In people who become very thin, for example, an altered hormonal balance causes their bones to lose minerals and weaken. Underweight women may also cease to menstruate, a process regulated by hormones.

The hormonal system also affects nutrition. Hormones:

- Carry messages to regulate the digestive system in response to meals or fasting.
- Help to regulate hunger and appetite.
- Influence appetite changes during a woman's menstrual cycle and in pregnancy.
- Regulate the body's reaction to stress, suppressing hunger and digestion.

In addition, an altered hormonal state contributes to the loss of appetite that sick people often experience. When there are questions about a person's nutrition or health, the state of that person's hormones is often part of the answer.

Key Point

- Glands secrete hormones that act as messengers to help regulate body processes.

How Does the Nervous System Interact with Nutrition?

The body's other major communication system is, of course, the nervous system. With the brain and spinal cord as central controllers, the nervous system receives and integrates information from sensory receptors all over the body—sight, hearing, touch, smell, taste, and others—which communicate to the brain the state of both the outer and the inner worlds, including the availability of food and the appetite for it. The nervous system also sends instructions to the muscles and glands, telling them what to do.

The nervous system's role in hunger regulation is coordinated by the brain. The sensations of hunger and appetite are perceived by the brain's **cortex**, the thinking, outer layer. Deep inside the brain, the **hypothalamus** (see Figure 3–5, p. 74) monitors many body conditions, including the availability of nutrients and water. To signal hunger, the physiological need for food, the digestive tract sends messages to the hypothalamus by way of hormones and nerves. The signals also stimulate the stomach to intensify its contractions and secretions, causing hunger pangs (and gurgling sounds). When your brain's cortex perceives these hunger sensations, you want to eat. The conscious mind of the cortex, however, can override such signals, and a person can choose to delay eating despite hunger or to eat when hunger is absent.

In a marvelous adaptation of the human body, the hormonal and nervous systems work together to enable a person to respond to physical danger. Known as the **fight-or-flight reaction**, or the *stress response*, this adaptation is present with only minor variations in all animals, showing how universally important it is to survival. When danger is detected, nerves release **neurotransmitters**, and glands supply the compounds **epinephrine** and **norepinephrine**. Every organ of the body responds, and **metabolism** speeds up. The pupils of the eyes widen so that you can see better; the muscles tense up so that you can jump, run, or struggle with maximum strength; breathing quickens and deepens to provide more oxygen. The heart races to rush the oxygen to the muscles, and the blood pressure rises so that the fuel the muscles need for energy can be delivered efficiently. The liver

glucose a carbohydrate fuel present in the bloodstream. For optimal functioning and health, the blood glucose concentration must be maintained within a range neither too high nor too low. Also defined in Chapter 4.

pancreas a gland that produces the hormones insulin and glucagon, which regulate blood glucose concentrations. It also produces digestive enzymes, which it releases through a duct into the small intestine.

insulin a hormone from the pancreas that prompts cells to withdraw glucose from the blood (see details in Chapter 4).

glucagon a hormone from the pancreas that stimulates the liver to release glucose into the blood when necessary to raise its concentration.

cortex the outermost layer of something. The brain's cortex is the part of the brain where conscious thought takes place.

hypothalamus (high-poh-THAL-uh-mus) a part of the brain that senses a variety of conditions in the body, such as temperature, glucose content, salt content, and others. It signals other parts of the brain or body to adjust those conditions when necessary.

fight-or-flight reaction the body's instinctive hormone- and nerve-mediated reaction to danger. Also known as the *stress response*.

neurotransmitters chemicals that are released at the end of a nerve cell when a nerve impulse arrives there. They diffuse across the gap to the next cell and alter the membrane of that second cell to either inhibit or excite it.

epinephrine (EP-ih-NEFF-rin) the major hormone that elicits the stress response. Also called *adrenaline*.

norepinephrine (NOR-EP-ih-NEFF-rin) a compound related to epinephrine that helps elicit the stress response.

metabolism the sum of all physical and chemical changes taking place in living cells; includes all reactions by which the body obtains and spends the energy from food.

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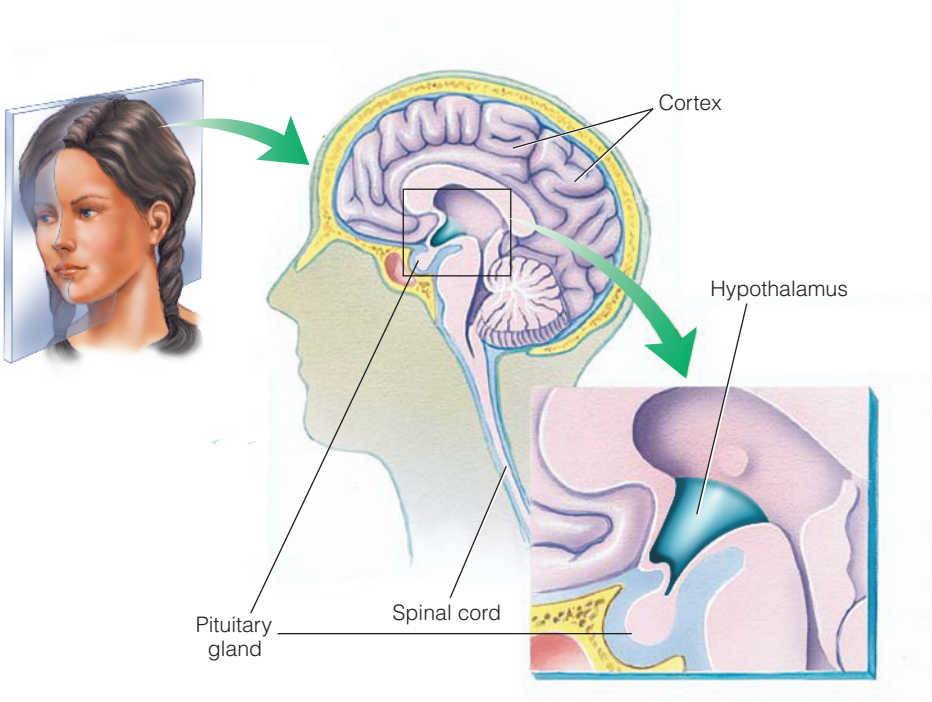
Figure 3–5

Cutaway Side View of the Brain Showing the Hypothalamus and Cortex

The hypothalamus monitors the body's conditions and sends signals to the brain's thinking portion, the cortex, which decides on actions. The pituitary gland is called the body's master gland, referring to its roles in regulating the activities of other glands and organs of the body.



pours forth glucose from its stores, and the fat cells release fat. The digestive system shuts down to permit all the body's systems to serve the muscles and nerves. With all action systems at peak efficiency, the body can respond with amazing speed and strength to whatever threatens it.

In ancient times, stress usually involved physical danger, and the response to it was violent physical exertion. Today, stress is less often caused by an immediate threat of bodily harm, but the body reacts the same way. What stresses you today might be a high credit card bill or a teacher who suddenly announces a pop quiz. Under these stresses, you are not supposed to fight or run, as your ancient ancestors did. You paste on a fake smile and suppress your fear. But your heart races, you feel it pounding, and hormones still flood your bloodstream with glucose and fat.

Your number-one enemy today is not a saber-toothed tiger prowling outside your cave but a disease of modern civilization: heart disease. Years of fat and other constituents accumulating in the arteries and stresses that strain the heart often lead to heart attacks, especially when a body accustomed to chronic underexertion experiences sudden high blood pressure. Daily exercise as part of a healthy lifestyle releases pent-up stress and helps to protect the heart.

Key Point

- The nervous system and hormonal system regulate body processes, respond to the need for food, govern the act of eating, regulate digestion, and call for the stress response when needed.

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The Digestive System

LO 3.4 Summarize how the digestive system provides nutrients to the body tissues.

When your body needs food, your brain and hormones alert your conscious mind by producing the sensation of hunger. Then, when you eat, your taste buds guide you in judging whether foods are acceptable.

Taste buds on the tongue contain surface structures that detect five basic chemical tastes: sweet, sour, bitter, salty, and umami (ooh-MOM-ee), the Asian name for *savory*. These basic tastes, along with aroma, texture, temperature, and other flavor elements, affect a person's experience of a food's flavor. In fact, the human ability to detect a food's aroma is thousands of times more sensitive than the sense of taste. The nose can detect just a few molecules responsible for the aroma of frying bacon, for example, even when they are diluted in several rooms full of air.

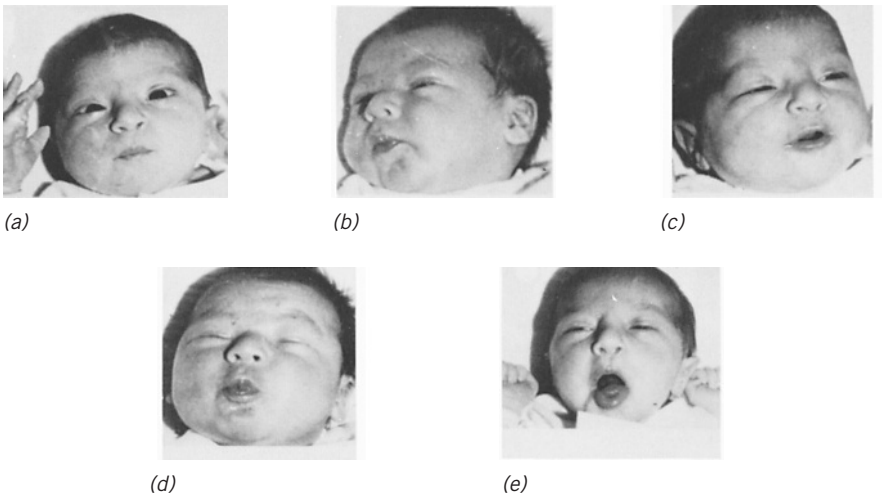
Why Do People Like Sugar, Salt, and Fat?

Sweet, salty, and fatty foods are almost universally desired, but most people are born with aversions to bitter and sour tastes (see Figure 3–6). The enjoyment of sugars is inborn and encourages people to consume ample energy, especially in the form of foods containing carbohydrates, which provide energy fuel for the brain.[1*] The pleasure of a salty taste prompts eaters to consume sufficient amounts of two very important minerals—sodium and chloride. Likewise, foods containing fats provide concentrated energy and essential nutrients needed by all body tissues. The aversion to bitterness, universally displayed in infants, discourages consumption of potentially dangerous substances containing bitter toxins. Unfortunately, an aversion to bitter tastes in infancy may persist in later life as an aversion to health-promoting vegetables with only slightly bitter flavors, such as turnips and broccoli.

Figure 3–6

The Innate Preference for Sweet Taste

This newborn baby is (a) resting; (b) tasting distilled water; (c) tasting sugar; (d) tasting something sour; and (e) tasting something bitter



Source: Courtesy of Classic studies of J. E. Steiner, in Taste and Development: The Genesis of Sweet Preference, *ed. J. M. Weiffenbach, HHS publication no. NIH 77-1068 (Bethesda, MD: U.S. Department of Health and Human Services, 1977), pp. 173–189, with permission of the author.*

* Reference notes are in Appendix F.

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The instinctive liking for sugar, salt, and fat can lead to drastic overeating of these substances. Sugar has become widely available in pure form only in the last hundred years, so it is relatively new to the human diet. Salt and fat have been around for much longer, but our tastes have not evolved to resist any of the three. Today, all three substances are added liberally to foods by manufacturers to tempt us to eat their products.

Key Point

- The preference for sweet, salty, and fatty tastes is inborn and can lead to overconsumption of foods that offer them.

The Digestive Tract Structures

Once you have eaten, your nervous system and hormones direct the many organs of the **digestive system** to **digest** and **absorb** the complex mixture of chewed and swallowed food. A diagram showing the digestive tract and associated organs appears in Figure 3–7. The tract itself is a flexible, muscular tube extending from the mouth through the throat, esophagus, stomach, small intestine, large intestine, and rectum to the anus, for a total length of about 26 feet. The human body surrounds this digestive canal. When you swallow something, it still is not inside your body—it is only inside the inner bore of this tube. Only when a nutrient or other substance passes through the wall of the digestive tract does it actually enter the body's tissues. Many things pass into the digestive tract and out again, unabsorbed. A baby playing with beads may swallow one, but the bead will not really enter the body. It will emerge from the digestive tract within a day or two.

The digestive system's job is to digest food to its components and then to absorb the nutrients and some nonnutrients, leaving behind the substances, such as fiber, that are appropriate to excrete. To do this, the system works at two levels: mechanical and chemical.

Key Points

- The digestive tract is a flexible, muscular tube that digests food and absorbs its nutrients and some nonnutrients.
- Ancillary digestive organs, such as the pancreas and gallbladder, aid digestion.

The Mechanical Aspect of Digestion

The job of mechanical digestion begins in the mouth, where large, solid food pieces such as bites of meat are torn into shreds that can be swallowed without choking. Chewing also adds water in the form of saliva to soften rough or sharp foods, such as fried tortilla chips, to prevent them from injuring the esophagus. Saliva also moistens and coats each bite of food, making it slippery so that it can pass easily down the esophagus.

Nutrients trapped inside indigestible skins, such as the hulls of seeds, must be liberated by breaking these skins before they can be digested. Chewing bursts open kernels of corn, for example, which would otherwise traverse the tract and exit undigested. Once food has been mashed and moistened for comfortable swallowing, longer chewing times provide no additional advantages to digestion. In fact, for digestion's sake, a relaxed, peaceful attitude during a meal aids digestion much more than chewing for an extended time.

The stomach and intestines then take up the task of liquefying foods through various mashing and squeezing actions. The best known of these actions is **peristalsis**, a series of squeezing waves that start with the tongue's movement during a swallow and pass all the way down the esophagus (see Figure 3–8, p. 78). The stomach and intestines also push food through the tract by waves of peristalsis. Besides these actions, the **stomach** holds swallowed food for a while and mashes it into a fine paste; the stomach and intestines also add water so that the paste becomes more fluid as it moves along.

digestive system the body system composed of organs that break down complex food particles into smaller, absorbable products. The *digestive tract* and *alimentary canal* are names for the tubular organs that extend from the mouth to the anus. The whole system, including the pancreas, liver, and gallbladder, is sometimes called the *gastrointestinal*, or *GI*, system.

digest to break molecules into smaller molecules; a main function of the digestive tract with respect to food.

absorb to take in, as nutrients are taken into the intestinal cells after digestion; the main function of the digestive tract with respect to nutrients.

peristalsis (perri-STALL-sis) the wavelike muscular squeezing of the esophagus, stomach, and small intestine that pushes their contents along.

stomach a muscular, elastic, pouchlike organ of the digestive tract that grinds and churns swallowed food and mixes it with acid and enzymes, forming chyme.

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Figure 3–7

The Digestive System

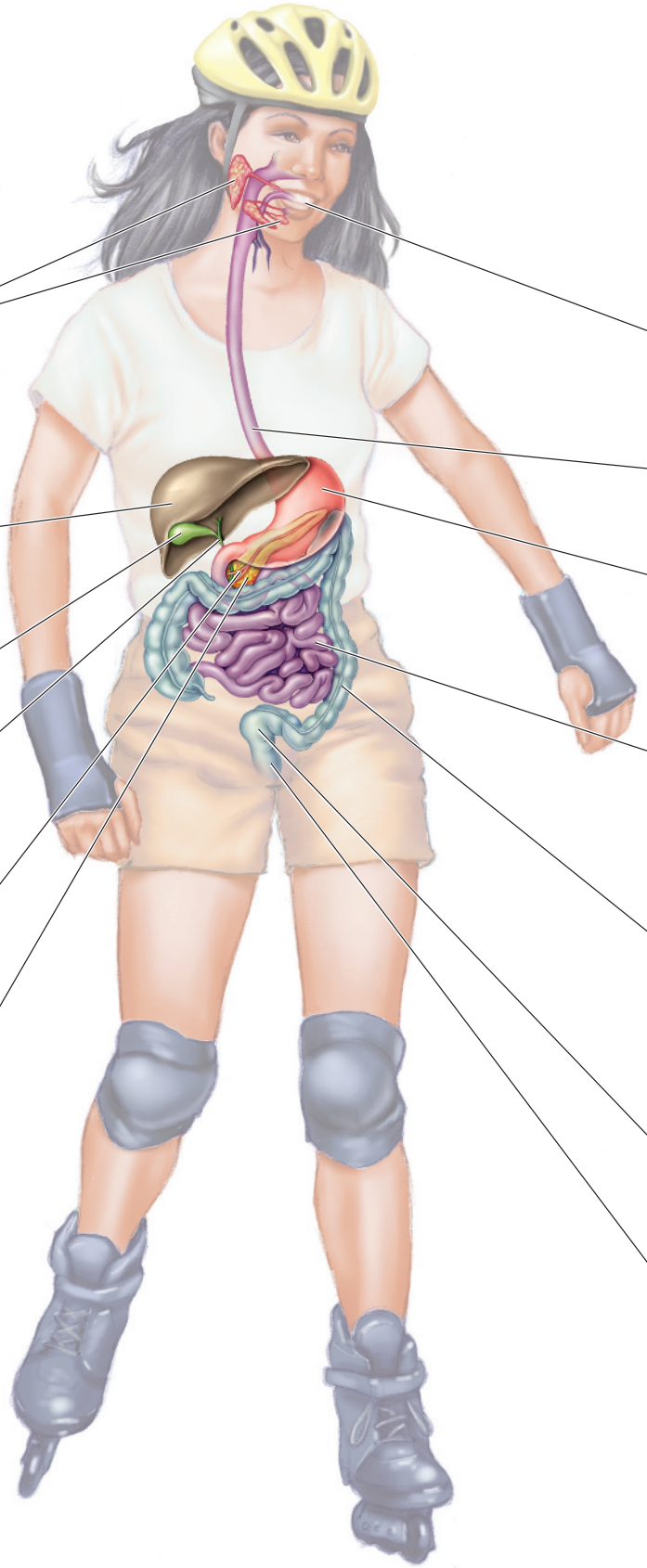

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Figure 3–8

Peristaltic Wave Passing Down the Esophagus and Beyond

Peristalsis moves the digestive tract contents.

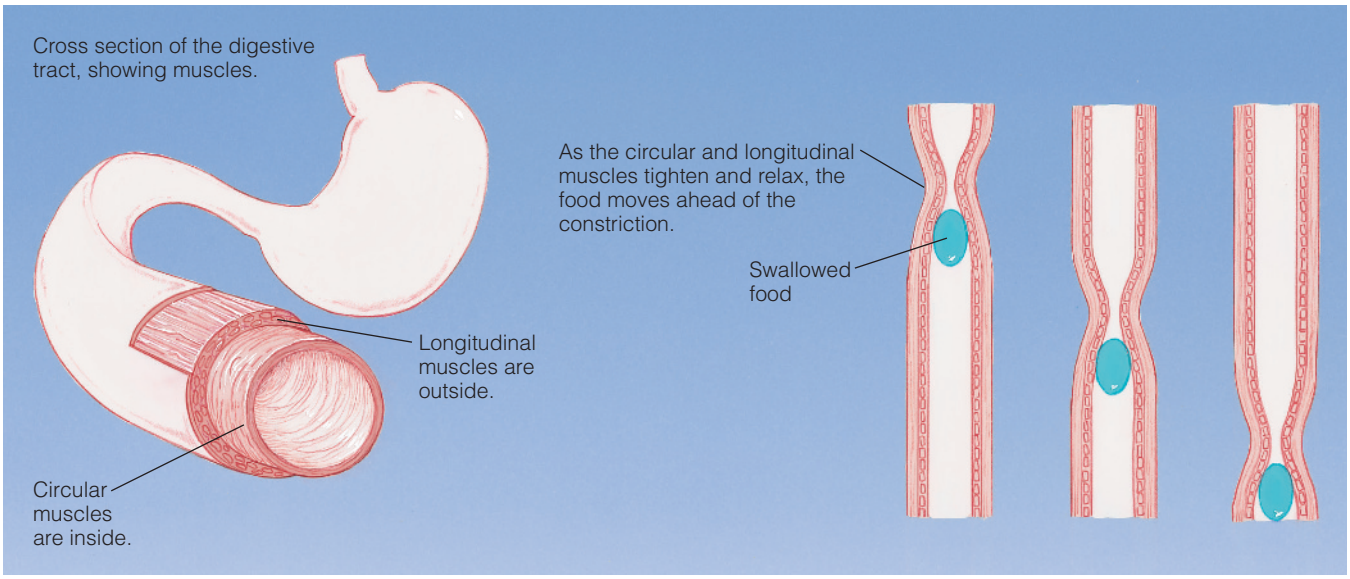

Figure 3–9 shows the muscles of the stomach. Notice the circular **sphincter** muscle at the base of the esophagus. It squeezes the opening at the entrance to the stomach to narrow it and prevent the stomach's contents from creeping back up the esophagus as the stomach contracts. Swallowed food remains in a lump in the stomach's upper portion, squeezed little by little to its lower portion. There the food is ground and churned thoroughly, ensuring that digestive chemicals mix with the entire thick, liquid mass, now called **chyme**. Chyme bears no resemblance to the original food. The starches have been partly split, proteins have been uncoiled and clipped, and fat has separated from the mass.

The stomach also acts as a holding tank. The muscular **pyloric valve** at the stomach's lower end (look again at Figure 3–9) controls the exit of the chyme, allowing only a little at a time to be squirted forcefully into the **small intestine**. Within a few hours after a meal, the stomach empties itself by means of these powerful squirts. The small intestine contracts rhythmically to move the contents along its length.

By the time the intestinal contents have arrived in the **large intestine** (also called the **colon**), digestion and absorption are nearly complete. The colon's task is mostly to reabsorb the water donated earlier by digestive organs and to absorb minerals, leaving a paste of fiber and other undigested materials, the **feces**, suitable for excretion. The fiber provides bulk against which the muscles of the colon can work. The rectum stores this fecal material to be excreted at intervals. From mouth to rectum, the transit of a meal is accomplished in as short a time as a single day or as long as three days.

Some people wonder whether the digestive tract works best at certain hours in the day and whether the timing of meals can affect how a person feels. Timing of meals is important to feeling well, not because the digestive tract is unable to digest food at certain times but because the body requires nutrients to be replenished every few hours. Digestion is virtually continuous, slowing only during sleep and exercise. For some people, eating late may interfere with normal sleep. As for exercise, it is best pursued a few hours after eating because digestion can inhibit physical work (see Chapter 10 for details).

sphincter (SFINK-ter) a circular muscle surrounding, and able to constrict, a body opening.

chyme (KIME) the fluid resulting from the actions of the stomach upon a meal.

pyloric (pye-LORE-ick) **valve** the flap of muscle tissues of the lower stomach that regulates the flow of partly digested food into the small intestine and prevents backflow. Also called *pyloric sphincter*.

small intestine the 20-foot length of small-diameter intestine, below the stomach and above the large intestine, which is the major site of food digestion and nutrient absorption.

large intestine the portion of the intestine that completes the absorption process.

colon the large intestine.

feces waste material remaining after digestion and absorption are complete; eventually discharged from the body.

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Figure 3–9

The Muscular Stomach

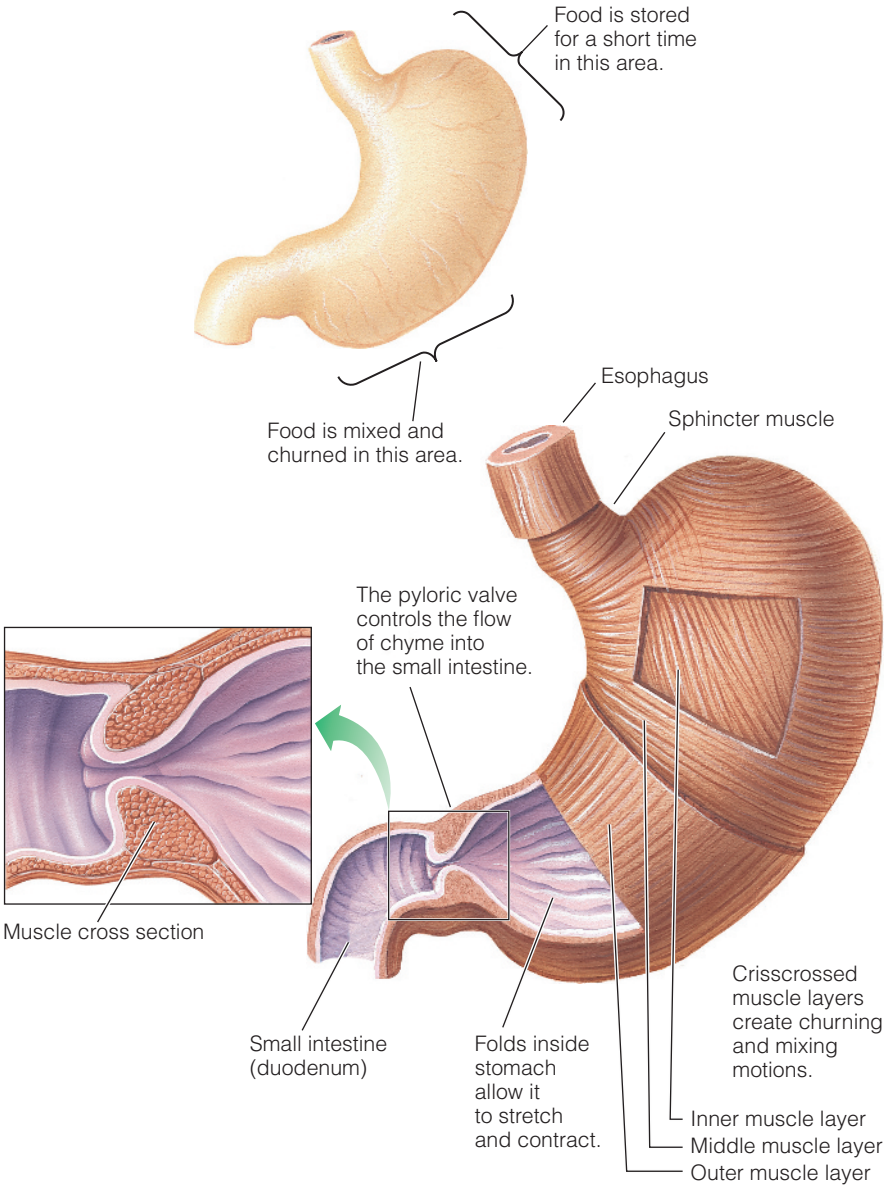

Table 3–1

Digestive Enzyme Terms

Over 30 digestive enzymes reduce food in the human digestive tract into nutrients that can be absorbed. Naming them all is beyond the scope of this book, but some general enzyme terms may prove useful.

- **-ase** (ACE) a suffix meaning *enzyme.* Categories of digestive and other enzymes and individual enzyme names often contain this suffix.
- **carbohydrase** (car-boh-HIGH-drace) any of a number of enzymes that break the chemical bonds of carbohydrates.
- **lipase** (LYE-pace) any of a number of enzymes that break the chemical bonds of fats (lipids).
- **protease** (PRO-tee-ace) any of a number of enzymes that break the chemical bonds of proteins.

Key Points

- The mechanical digestive actions include chewing, mixing by the stomach, adding fluid, and moving the tract's contents by peristalsis.
- After digestion and absorption, wastes are excreted.

The Chemical Aspect of Digestion

Several organs of the digestive system secrete special digestive juices that perform the complex chemical processes of digestion. Digestive juices contain enzymes that break down nutrients into their component parts. (Table 3–1 presents some enzyme terms.) The digestive organs that release digestive juices are the salivary glands, the stomach, the pancreas, the liver, and the small intestine. Their secretions were listed in Figure 3–7 (p. 77).

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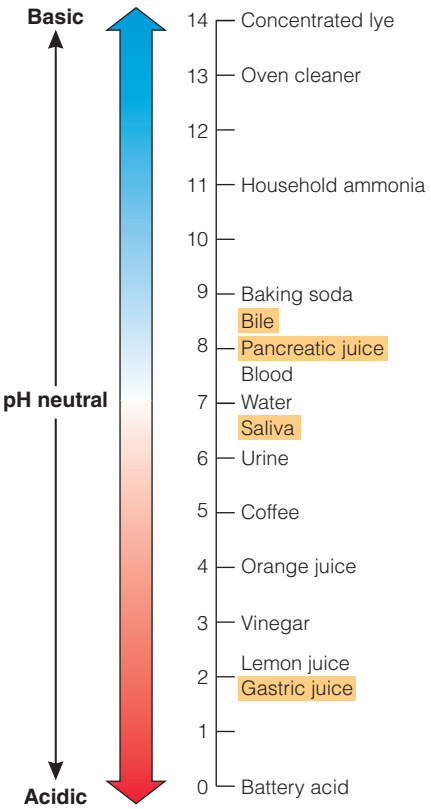
Figure 3–10

pH Values of Digestive Juices and Other Common Fluids

A substance's acidity or alkalinity is measured in pH units. Each step down the scale indicates a tenfold increase in concentration of hydrogen particles, which determine acidity. For example, a pH of 2 is 1,000 times stronger than a pH of 5.



gastric juice the digestive secretion of the stomach.

hydrochloric acid a strong, corrosive acid of hydrogen and chloride atoms, produced by the stomach to assist in digestion.

pH a measure of acidity on a point scale. A solution with a pH of 1 is a strong acid; a solution with a pH of 7 is neutral; a solution with a pH of 14 is a strong base.

mucus (MYOO-cus) a slippery coating of the digestive tract lining (and other body linings) that protects the cells from exposure to digestive juices (and other destructive agents). The adjective form is *mucous* (same pronunciation). The digestive tract lining is a *mucous membrane*.

bile a digestive fluid made by the liver, stored in the gallbladder, and released into the small intestine when needed. It emulsifies fats and oils to ready them for enzymatic digestion (described in Chapter 5).

In the Mouth Digestion begins in the mouth. An enzyme in saliva starts rapidly breaking down starch, and another enzyme initiates a little digestion of fat, especially the digestion of milk fat (important in infants). Saliva also helps maintain the health of the teeth in two ways: by washing away food particles that would otherwise create decay and by neutralizing decay-promoting acids produced by bacteria in the mouth.

In the Stomach In the stomach, protein digestion begins. Cells in the stomach release **gastric juice**, a mixture of water, enzymes, and **hydrochloric acid**. This strong acid mixture is needed to activate a protein-digesting enzyme and to initiate digestion of protein—protein digestion is the stomach's main function. The strength of an acid solution is expressed as its **pH**. The lower the pH number, the more acidic the solution; solutions with higher pH numbers are more basic. As Figure 3–10 demonstrates, saliva is only weakly acidic; the stomach's gastric juice is much more strongly acidic.

Upon learning of the powerful digestive juices and enzymes within the digestive tract, students often wonder how the tract's own cellular lining escapes being digested along with the food. The answer: specialized cells secrete a thick, viscous substance known as **mucus**, which coats and protects the digestive tract lining.

In the Intestine In the small intestine, the digestive process gets under way in earnest. The small intestine is *the* organ of digestion and absorption; it finishes what the mouth and stomach have started. The small intestine works with the precision of a laboratory chemist. As the thoroughly liquefied and partially digested nutrient mixture arrives there, hormonal messengers signal the gallbladder to contract and to squirt the right amount of **bile**, an **emulsifier**, into the intestine. Hormones notify the pancreas to release **pancreatic juice**, containing the alkaline compound **bicarbonate**, in amounts precisely adjusted to neutralize the stomach acid that has reached the small intestine. All these actions adjust the intestinal environment to perfectly support the work of the digestive enzymes.

Meanwhile, as the pancreatic and intestinal enzymes act on the chemical bonds that hold the large nutrients together, smaller and smaller pieces are released into the intestinal fluids. The cells of the intestinal wall also hold some digestive enzymes on their surfaces; these enzymes perform last-minute breakdown reactions required before nutrients can be absorbed. Finally, the digestive process releases pieces small enough for the cells to absorb and use. Digestion by human enzymes and absorption of carbohydrate, fat, and protein are essentially complete by the time the intestinal contents enter the colon. Water, fiber, and some minerals, however, remain in the tract. And the bead swallowed by the baby mentioned earlier? It's in the colon, awaiting excretion with the feces.

Key Points

- Chemical digestion begins in the mouth, where food is mixed with an enzyme in saliva that acts on carbohydrates.
- Digestion continues in the stomach, where stomach enzymes and acid break down protein.
- Digestion progresses in the small intestine, where the liver and gallbladder contribute bile that emulsifies fat, and the pancreas and small intestine donate enzymes that break down food to nutrients.

Microbes in the Digestive Tract

Certain remnants of food, largely fibers, not digested by human enzymes in the small intestine are often broken down by billions of living inhabitants in the colon, collectively called the **microbiota** (Table 3–2 defines microbe terms). A healthy digestive tract is home to *trillions* of microbes of many species (Figure 3–11, shows one of them). The bacteria alone outnumber the cells of the body tenfold. Bacteria in the colon are so efficient at fermenting and breaking down substances from food that they have been likened to

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a body organ specializing in nutrient salvage. Table 3–3 (p. 82) presents a summary of digestion, including the actions of the bacteria.

Bacterial Activities Digestive tract bacteria harvest energy from undigested food substances and use it to sustain themselves and to proliferate. In the process, they yield smaller molecules that the body can absorb and use. For example, bacteria:

- Ferment many indigestible fibers, producing short fatty acids that many cells of the colon rely on for energy.
- Break down any undigested protein or unabsorbed amino acids that reach the colon, producing ammonia and other compounds.*
- Break down and help to recycle components of bile.
- Chemically alter certain drugs and phytochemicals, changing their effects on the body.

Bacteria produce several vitamins, too, but in amounts insufficient to meet the body's needs, so these vitamins must be obtained from the diet.

Good or Bad Bacteria? The intestinal bacteria may affect the health and functioning of many body systems.[2] Microbes generate compounds that communicate with such diverse tissues as muscle, **adipose tissue** (see Chapter 9), and even the brain.[3] They also deliver messages to the immune system that may facilitate immune defenses. Research suggests that, when the mix of bacterial species falls out of balance, potentially harmful bacteria proliferate, producing substances that increase **inflammation** and that are associated with obesity, diabetes, several intestinal conditions, **fatty liver** disease, certain cancers, and even asthma.

Food intake can influence the mix of species in intestinal bacteria. A steady diet of meats, fats, and ultra-processed foods (defined in Chapter 1) lacks the beneficial bacterial hitchhikers that ride into the digestive system in yogurt or other foods that contain live cultures. Such a diet is also stripped of the fibers upon which beneficial bacteria feed. These conditions may promote proliferation of less helpful and even harmful species. Conversely, a diet with generous amounts of whole plant-based foods provides the fiber upon which beneficial bacteria can thrive.[4]

Chapter 4 lists fiber-containing foods that support intestinal health.

Key Points

- A substantial population of intestinal bacteria scavenges and breaks down fibers and other undigested compounds.
- The colon absorbs and uses products of bacterial metabolism; the bacteria and their products also interact with other organs and tissues.
- Diet strongly influences the composition and metabolism of the intestinal bacteria.

Are Some Food Combinations More Easily Digested than Others?

People sometimes wonder if the digestive tract has trouble digesting certain foods in combination—for example, fruit and meat. Proponents of fad "food-combining" diets claim that the digestive tract cannot perform more than one digestive task at a time, but this is a gross underestimation of the tract's capabilities. The digestive system adjusts to whatever mixture of foods is presented to it. The truth is that all foods, regardless of identity, are broken down by enzymes into the basic molecules that make them up. The next section reviews the major processes of digestion by showing how the nutrients in a mixture of foods are handled.

Key Point

- The healthy digestive system can adjust to almost any diet and handle any combination of foods with ease.

*Bacterial action on lipid is insignificant.

Table 3–2

Microbe Terms

intestinal flora intestinal bacteria.

microbiome the collective genes of a specific bacterial sample; for example, the particular array of bacterial species present in an individual's fecal sample.

microbiota any collection of microbes; for example, all of the bacteria, fungi, and viruses present in a person's digestive tract.

Figure 3–11

A Bacterium of the Digestive Tract

Enterococcus faecalis, one of the hundreds of bacterial species living in the human digestive tract.

Pasieka/Science Source

emulsifier (ee-MULL-sih-fire) a compound with both water-soluble and fat-soluble portions that can attract fats and oils into water, dispersing them.

pancreatic juice fluid secreted by the pancreas that contains both enzymes to digest carbohydrates, fats, and proteins and sodium bicarbonate, an acid-neutralizing agent.

bicarbonate a common alkaline chemical; a secretion of the pancreas. (Sodium bicarbonate is baking soda.)

adipose tissue the body's fat tissue, consisting of masses of fat-storing cells and blood vessels to nourish them.

inflammation an immune response to cellular injury that produces an increase in white blood cells, redness, heat, pain, and swelling. Inflammation accompanies many chronic diseases.

fatty liver an early stage of liver deterioration seen in several diseases, including nonalcoholic and alcoholic liver diseases, in which fat accumulates in the liver cells.

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Table 3–3

Summary of Digestion

Food Constituent	Mouth	Stomach	Small Intestine, Pancreas, Liver, and Gallbladder	Large Intestine (Colon)
Sugar and Starch	The salivary glands secrete saliva to moisten and lubricate food; chewing crushes and mixes it with a salivary enzyme that initiates starch digestion.	Digestion of starch continues while food remains in the upper storage area of the stomach. In the lower digesting area of the stomach, hydrochloric acid and an enzyme in the stomach's juices halt starch digestion.	The pancreas produces a starch-digesting enzyme and releases it into the small intestine. Cells in the intestinal lining possess enzymes on their surfaces that break sugars and starch fragments into simple sugars, which then are absorbed.	Undigested carbohydrates reach the large intestine and are partly broken down by intestinal bacteria.
Fiber	The teeth crush fiber and mix it with saliva to moisten it for swallowing.	No action.	Fiber binds cholesterol and some minerals.	Most fiber is excreted with the feces; some fiber is digested by bacteria in the large intestine.
Fat	Fat-rich foods are mixed with saliva. The tongue produces traces of a fat-digesting enzyme that accomplishes some breakdown, especially of milk fats. The enzyme is stable at low pH and is important to digestion in nursing infants.	Fat tends to rise from the watery stomach fluid and foods and float on top of the mixture. Only a small amount of fat is digested. Fat is last to leave the stomach.	The liver secretes bile; the gallbladder stores it and releases it into the small intestine. Bile emulsifies the fat and readies it for enzyme action. The pancreas produces fat-digesting enzymes and releases them into the small intestine to split fats into their component parts (primarily fatty acids), which then are absorbed.	Some fatty materials escape absorption and are carried out of the body with other wastes.
Protein	Chewing crushes and softens protein-rich foods and mixes them with saliva.	Stomach acid (hydrochloric acid) works to uncoil protein strands and to activate the stomach's protein-digesting enzyme. Then the enzyme breaks the protein strands into smaller fragments.	Enzymes of the small intestine and pancreas split protein fragments into smaller fragments or free amino acids. Enzymes on the cells of the intestinal lining break some protein fragments into free amino acids, which then are absorbed. Some protein fragments are also absorbed.	Resident bacteria break down small amounts of undigested protein and amino acids; any remaining residue is carried out of the body with the feces. Normally, almost all food protein is digested and absorbed.
Water	The mouth donates watery, enzyme-containing saliva.	The stomach donates acidic, watery, enzyme-containing gastric juice.	The liver donates a watery juice containing bile. The pancreas and small intestine add watery, enzyme-containing juices.	The large intestine reabsorbs water and some minerals.

villi (VILL-ee, VILL-eye) fingerlike projections of the sheets of cells lining the intestinal tract. The villi make the surface area much greater than it would otherwise be (*singular*: villus).

microvilli (MY-croh-VILL-ee, MY-croh-VILL-eye) tiny, hairlike projections on each cell of every villus that greatly expand the surface area available to trap nutrient particles and absorb them into the cells (*singular*: microvillus).

If "I Am What I Eat," Then How Does a Peanut Butter Sandwich Become "Me"?

The process of rendering foods into nutrients and absorbing them into the body fluids is remarkably efficient. Within about 24 to 48 hours of eating, a healthy body digests and absorbs about 90 percent of the carbohydrate, fat, and protein in a meal. Figure 3–12 illustrates a typical 24-hour transit time through the digestive system. Next, we follow a peanut butter and banana sandwich on whole-wheat sesame-seed bread through the tract.

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In the Mouth In each bite, the teeth and tongue crush, mash, and mix food components with saliva. The sesame seeds are crushed and torn open by the teeth, which break through the indigestible fiber coating so that digestive enzymes can reach the nutrients inside the seeds. The peanut butter is the "extra crunchy" type, but the teeth grind the chunks to a paste before swallowing. The carbohydrate-digesting enzyme of saliva begins to break down the starch of the bread, banana, and peanut butter to sugars. Each swallow triggers a peristaltic wave that travels the length of the esophagus and carries one chewed bite of sandwich to the stomach.

In the Stomach The stomach collects bite after swallowed bite in its upper storage area, where starch continues to be digested until the gastric juice mixes with the salivary enzymes and halts their action. Small portions of the mashed sandwich are pushed into the digesting area of the stomach, where gastric juice mixes with the mass. Acid in the gastric juice unwinds proteins from the bread, seeds, and peanut butter; then an enzyme clips the protein strands into pieces. The sandwich has now become chyme. The watery, carbohydrate- and protein-rich part of the chyme enters the small intestine first; a layer of fat follows closely behind.

In the Small Intestine Some of the sweet sugars in the banana require so little digesting that they begin to cross the linings of the small intestine immediately on contact. Nearby, the liver donates bile through a duct into the small intestine. The bile blends the fat from the peanut butter and seeds with the watery, enzyme-containing digestive fluids. The nearby pancreas squirts enzymes into the small intestine to break down the fat, protein, and starch in the chemical soup that just an hour ago was a sandwich. The cells of the small intestine itself produce enzymes that complete these processes. As the enzymes do their work, smaller and smaller chemical fragments are liberated from the chemical soup and are absorbed into the blood and lymph through the cells of the small intestine's wall. Vitamins and minerals are absorbed here, too. They all eventually enter the bloodstream to nourish the tissues.

In the Large Intestine (Colon) Only fiber fragments, fluid, and some minerals are absorbed in the large intestine. The fibers from the seeds, whole-wheat bread, peanut butter, and banana are partly digested by the bacteria living in the colon, and some of the products are absorbed. Most fiber is not digested, however, and it passes out of the colon along with some other components, excreted as feces.

Key Point

- The mechanical and chemical actions of the digestive tract efficiently break down foods to nutrients and then large nutrients to their smaller building blocks.

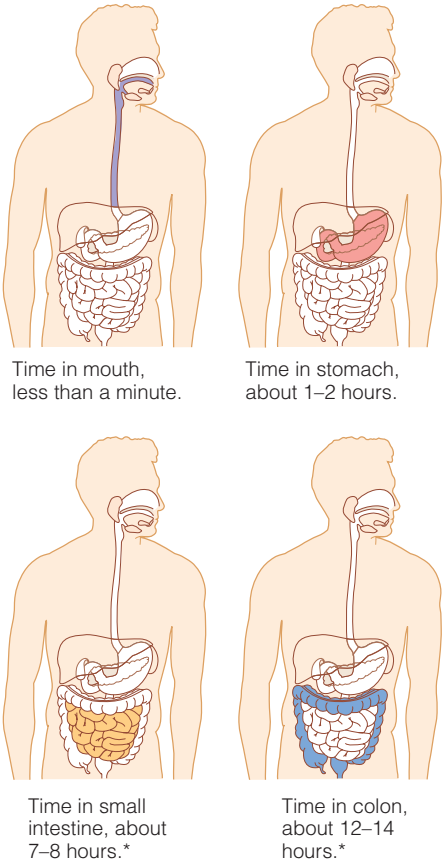
Absorption and Transport of Nutrients

Once the digestive system has broken down food to its nutrient components, the rest of the body awaits their delivery. First, though, every molecule of nutrient must traverse one of the cells of the intestinal lining. These cells absorb nutrients from the mixture within the intestine and deposit the water-soluble compounds in the blood and the fat-soluble ones in the lymph. The cells are selective: they recognize that some nutrients may be in short supply in the diet. Take the mineral calcium, for example. The less calcium in the diet, the greater the percentage of calcium the intestinal cells absorb from the intestinal contents. The cells are also extraordinarily efficient: they absorb enough nutrients to nourish all the body's other cells.

The Intestine's Absorbing Surface The cells of the intestinal tract lining are arranged in sheets that poke out into millions of finger-shaped projections (**villi**). Every cell on every villus has a brushlike covering of tiny hairlike projections (**microvilli**) that entrap the nutrient particles. Each villus (projection) has its own capillary network and a lymph vessel, so that as nutrients move across the cells, they can immediately mingle with the body fluids. Figure 3–13 provides a

Figure 3–12

Typical Digestive System Transit Times



*Based on a 24-hour transit time. Actual times vary widely.

Figure 3–13

Microvilli on an Intestinal Villus Cell

This photomicrograph shows the microvilli on a single cell of an intestinal villus.

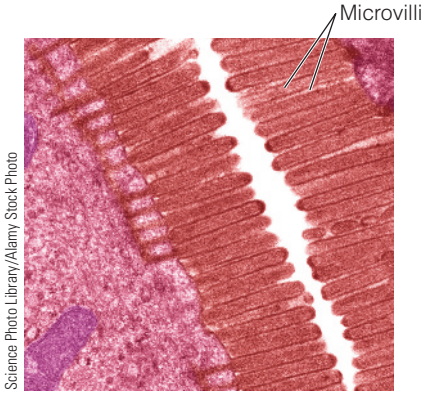


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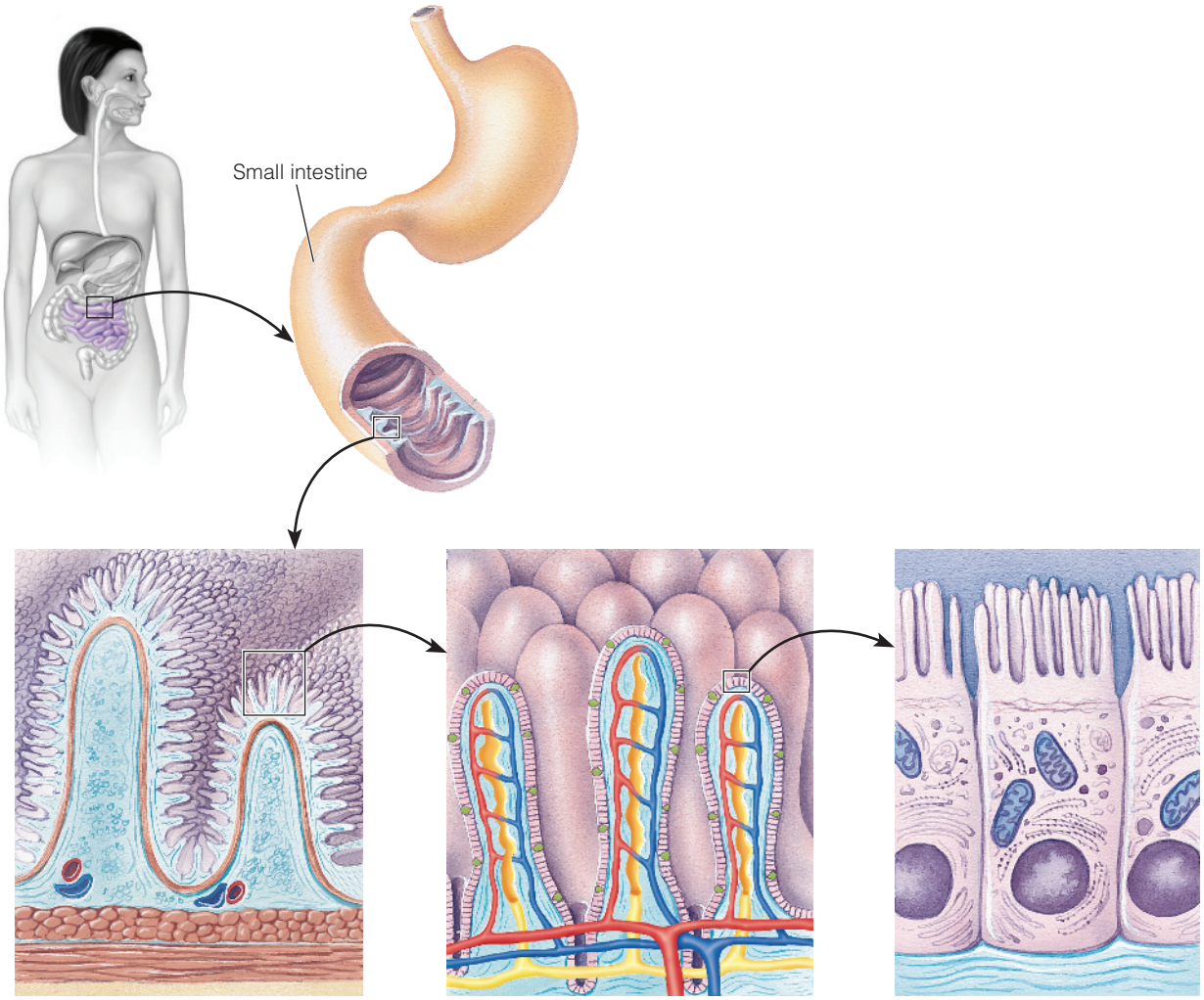
Figure 3–14

Details of the Small Intestinal Lining



The walls of the small intestine are wrinkled into thousands of folds covered with villi.

Each villus contains a network of capillaries and lymphatic vessels for transporting nutrients out of the intestinal cells.

Each villus is made of absorptive cells that are covered with even smaller projections—the microvilli—that trap and absorb the nutrients.

Bill Crew/Superstock

close look at a single cell's microvilli, and Figure 3–14 gives an overview of the whole system.

The small intestine's lining, villi and all, is wrinkled into thousands of folds, so its absorbing surface is enormous. If the folds, and the villi that poke out from them, were spread out flat, they would cover a third of a football field. The billions of cells of that surface weigh only 4 to 5 pounds, yet they absorb enough nutrients to nourish the other 150 or so pounds of body tissues.

Nutrient Transport in the Blood and Lymph Vessels After the nutrients pass through the cells of the villi, the blood and lymph vessels transport the nutrients to their ultimate consumers, the body's cells. The lymph vessels initially transport most of the products of fat digestion and the fat-soluble vitamins, ultimately conveying them into a large blood vessel near the heart, as illustrated in Figure 3–15. The blood vessels directly transport the products of carbohydrate and protein digestion, most vitamins, and the minerals from the digestive tract to the liver. Thanks to these two transportation systems, every nutrient soon arrives at the place where it is needed.

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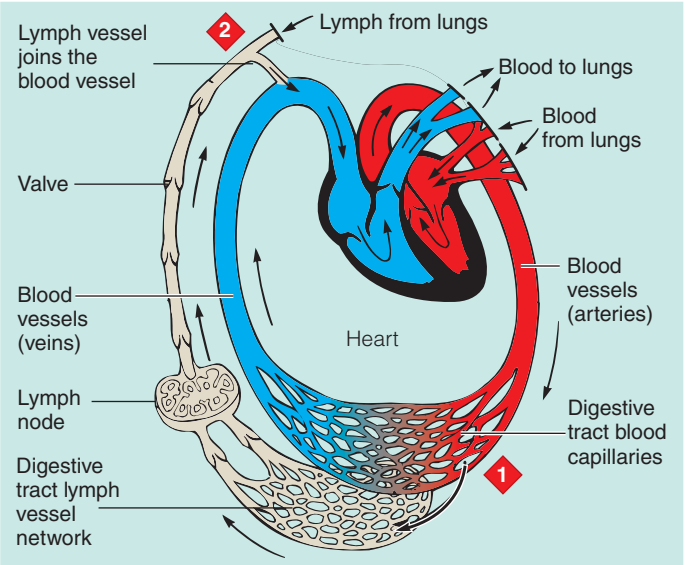
Figure 3–15

Lymph Vessels and the Bloodstream—Nutrient Flow through the Body



1. Nutrients are absorbed via two kinds of vessels in the intestines: blood capillaries and small lymph vessels. The capillaries lead to larger blood vessels that lead to the liver.

2. The lymph in the lymph vessels carries most of the absorbed dietary fat to the large vein near the heart. From there, the fat-laden lymph flows into the bloodstream.

Nourishment of the Digestive Tract The digestive system's millions of specialized cells are themselves highly sensitive to an undersupply of energy, nutrients, or dietary fiber. In cases of severe undernutrition with too little energy and nutrients, the absorptive surface of the small intestine shrinks. The surface may be reduced to a tenth of its normal area, preventing it from absorbing what few nutrients a limited food supply may provide. Without sufficient fiber to provide an undigested bulk for the tract's muscles to push against, the muscles become weak from lack of exercise. Malnutrition that impairs digestion is self-perpetuating because impaired digestion makes malnutrition worse.

The digestive system's needs are few, but important. The body has much to say to the attentive listener, stated in a language of symptoms and feelings that you would be wise to study. The next section takes a lighthearted look at what your digestive tract might be trying to tell you.

Key Points

- The digestive system feeds the rest of the body and is itself sensitive to malnutrition.
- The folds and villi of the small intestine enlarge its surface area to facilitate nutrient absorption through countless cells to the blood and lymph, which deliver nutrients to all the body's cells.

A Letter from Your Digestive Tract

LO 3.5 Outline the symptoms of nine common digestive problems related to nutrition.

To My Owner,

You and I are so close; I hope that I can speak frankly without offending you. I know that sometimes I *do* offend with my gurgling noises and belching at quiet times and, oh yes, the gas. But, as you can read for yourself in Table 3–4, p. 86, when you chew gum, drink carbonated beverages, or eat hastily, you gulp air with each swallow. I can't help making some noise as I move the air along my length or release it upward in a noisy belch. And if you eat or drink too fast, I can't help getting **hiccups** (definitions of common

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Table 3–4

Foods and Intestinal Gas

Recent experiments have shed light on the causes and prevention of intestinal gas. Here are some recent findings.

Problem	Solution
Milk intake causes gas in those who cannot digest the milk sugar lactose. Most people, however, can consume up to a cup of milk without producing excessive gas.	Limit intake to 8 ounces or less of fluid milk at a sitting, or substitute reduced-fat cheeses or yogurt without added milk solids. Use lactose-reduced milk, or treat regular milk with lactose-reducing enzyme products. Plant-based "milk" products are lactose-free, but their nutrients vary widely; choose carefully (see Controversy 6).
Beans cause gas because some of their carbohydrates are indigestible by human enzymes, but are broken down by intestinal bacteria. Gas production often diminishes with frequent bean consumption.	Use rinsed canned beans or dried beans that are well cooked, because cooked carbohydrates are more readily digestible. Try enzyme drops or pills that can help break down the carbohydrate before it reaches the intestine.
Air swallowed during eating, drinking, or chewing gum can cause gas, as does the gas of carbonated beverages. Each swallow of a beverage can carry three times as much gas as fluid.	Slow down during eating and drinking, and don't chew gum or suck on hard candies that may cause you to swallow air. Limit carbonated beverages.
Vegetables may or may not cause gas in some people, but research is lacking.	If you feel that certain vegetables cause gas, try eating small portions, cooked. Try the vegetable again: maybe the gas came from something else.

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What is your digestive tract trying to tell you?

digestive problems appear in Table 3–5). Please sit and relax while you dine. You will ease my task, and we'll both be happier.

Also, when someone offers you a new food, you gobble away, trusting me to do my job. I try. It would make my life easier, and yours less gassy, if you would start with small amounts of new foods, especially those high in fiber. The breakdown of fiber by bacteria produces gas, so introduce fiber-rich foods slowly. But, please, if you do notice more gas than normal from a specific food, avoid it. If the gas becomes excessive, check with a physician. The problem could be something simple—or serious.

When you eat or drink too much, it just burns me up. Overeating causes **heartburn** because the acidic juice from my stomach backs up into my esophagus. Acid poses no problem to my healthy stomach, whose walls are coated with thick mucus to protect them. But when my too-full stomach squeezes some of its contents back up into the esophagus, the acid burns its unprotected surface. Also, those tight jeans you wear constrict my stomach, squeezing the contents up into the esophagus. Just leaning over or lying down after a meal may do the same thing because the muscular sphincter separating the two spaces is much looser than other sphincters. And if we need to lose a few pounds, let's get at it—excess body fat can squeeze my stomach, too. When heartburn is a problem, do me a favor: try to eat smaller meals; drink liquids an hour before or after, but not during, meals; wear reasonably loose clothing; and relax after eating, but sit up (don't lie down). Don't smoke, and go easy on the alcohol, coffee, tea, and carbonated beverages, too—they all make heartburn likely.

Sometimes your food choices irritate me. Specifically, chemical irritants in foods, such as the "hot" component of chili peppers, as well as fat, and chocolate may worsen heartburn in some people. Avoid the ones that cause trouble. Above all, do not smoke.

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Table 3–5

Definitions of Selected Common Digestive Problems

These nine conditions occur frequently in the U.S. population.

constipation infrequent, difficult bowel movements, generally fewer than three per week, often caused by diet, inactivity, dehydration, or medication. Also defined in Chapter 4.

diarrhea frequent, watery bowel movements usually caused by diet, stress, or irritation of the colon. Severe, prolonged diarrhea robs the body of fluid and certain minerals, causing dehydration and imbalances that can be dangerous if left untreated.

gastroesophageal (GAS-tro-eh-SOFF-ahjeel) **reflux disease (GERD)** severe and chronic splashing of stomach acid and enzymes into the esophagus, throat, mouth, or airway that causes injury to those organs. Untreated GERD may increase the risk of esophageal cancer; treatment may require surgery or management with medication.

heartburn a burning sensation in the chest (in the area of the heart) caused by backflow of stomach acid into the esophagus.

hemorrhoids (HEM-or-oids) swollen, hardened (varicose) veins in the rectum, usually caused by pressure resulting from constipation.

hernia a protrusion of an organ or part of an organ through the wall of the body chamber that normally contains the organ. An example is a *hiatal* (high-AY-tal) *hernia*, in which part of the stomach protrudes up through the diaphragm into the chest cavity, which contains the esophagus, heart, and lungs.

hiccups spasms of both the vocal cords and the diaphragm, causing periodic, audible, short, inhaled coughs. These can result from irritation of the diaphragm, indigestion, or other causes. Hiccups usually resolve in a few minutes but can have serious effects if prolonged. Breathing into a paper bag (inhaling carbon dioxide) or dissolving a teaspoon of sugar in the mouth may stop them.

irritable bowel syndrome (IBS) intermittent disturbance of bowel function, especially diarrhea or alternating diarrhea and constipation, often with abdominal cramping or bloating; managed with diet, physical activity, or relief from psychological stress. The cause is uncertain, but inflammation is often involved, and a role for an altered intestinal microbiome is suspected. IBS does not permanently harm the intestines or lead to serious diseases.

ulcer an eroded spot in the topmost, and sometimes underlying, layers of cells that form a lining. Ulcers of the digestive tract commonly form in the esophagus, stomach, or upper small intestine.

Note: Other conditions, such as celiac disease and diverticulosis, are defined in later chapters—check the index at the back of the book.

Smoking makes my heartburn worse—and you should hear your lungs bellyache about it.

By the way, I can tell you've been taking heartburn medicines again. You need to know that **antacids** are designed only to temporarily relieve pain caused by heartburn by neutralizing stomach acid for a while. But when the antacids reduce my normal stomach acidity, I respond by producing *more* acid to restore the normal acid condition. Also, the ingredients in antacids can interfere with my ability to absorb nutrients. Please check with our doctor if heartburn occurs more than just occasionally and certainly before you decide that we need to take the heavily advertised **acid reducers**; these restrict my normal ability to produce acid so much that my job of digesting food becomes harder.

Given a chance, my powerful stomach acid helps fight off many bacterial infections—most disease-causing bacteria won't survive a bath in my caustic juices. Acid-reducing drugs reduce acid (I'll bet you knew that), so they allow more bacteria to pass through.[5] And, even worse, self-prescribed heartburn medicine can mask the symptoms of **ulcer**, **hernia**, or the destructive form of chronic heartburn known as **gastroesophageal reflux disease (GERD)**. This can be serious; the bacterium *H. pylori* that causes most ulcers responds to antibiotic drugs, but some ulcers have

antacids medications that react directly and immediately with the acid of the stomach, neutralizing it. Antacids are most suitable for treating occasional heartburn.

acid reducers prescription and over-the-counter drugs that reduce the acid output of the stomach; effective for treating severe, persistent forms of heartburn but not for neutralizing acid already present. Side effects are frequent and include diarrhea, other gastrointestinal complaints, and reduction of the stomach's capacity to destroy alcohol, thereby producing higher-than-expected blood alcohol levels from each drink (see this chapter's Controversy section). Also called *acid controllers*.

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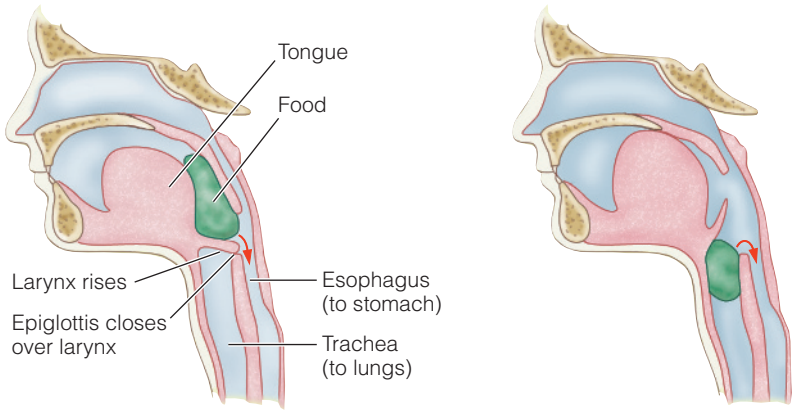
Figure 3–16

Normal Swallowing and Choking



A normal swallow. The epiglottis acts as a flap to seal the entrance to the lungs (trachea) and direct food to the stomach via the esophagus.

Choking. A choking person cannot speak or gasp because food lodged in the airway (trachea) shuts off airflow. The red arrow points to where the food should have gone to prevent choking.

other causes, such as frequent use of certain painkillers—the *cause* of the ulcer must be treated, as well as its symptoms. A hernia can cause food to back up into the esophagus, so it can feel like heartburn, but many times hernias require corrective treatment by a physician, not antacids. GERD can feel like heartburn, too, but requires the correct drug therapy to prevent respiratory problems or damage to the esophagus that can lead to cancer.[6] So please don't wait too long to get medical help for chronic or severe heartburn—it may not be simple indigestion.

When you eat too quickly, I worry about choking (see Figure 3–16). Please take time to cut your food into small pieces and chew it until it is crushed and moistened with saliva. Also, refrain from talking or laughing before swallowing, and never attempt to eat when you are breathing hard. Also, for our sake and the sake of others, learn first aid for choking.

When I'm suffering, you suffer, too, and when **constipation** or **diarrhea** strikes, neither of us is having fun. Slow, hard, dry bowel movements can be painful, and failing to have a movement for too long brings on headaches, backaches, stomachaches, and other ills. If chronic, constipation may cause **hemorrhoids**.[7] Most people suffer occasional harmless constipation, and laxatives may help, but too frequent use of laxatives and enemas can lead to dependency; can upset our fluid, salt, and mineral balances; and, in the case of mineral oil laxatives, can interfere with the absorption of fat-soluble vitamins. (Mineral oil, which is not absorbed, dissolves the vitamins and carries them out of the body with it.)

Instead of relying on laxatives, listen carefully for my signal that it is time to defecate, and make time for it even if you are busy. The longer you ignore my signal, the more time the colon has to extract water from the feces, hardening them. Also, please choose foods that provide enough fiber (some high-fiber foods are listed in Chapter 4, p. 131).* Fiber attracts water, creating softer, bulkier stools that stimulate my muscles to contract, pushing the contents along. Fiber helps my muscles to stay fit, too, making elimination easier. Be sure to drink enough water because dehydration causes the colon to absorb all the water it can get from the feces. And please make time to be physically active; exercise strengthens not just the muscles of arms, legs, and torso but those of the colon, too.

*Rarely, a spastic, constricted bowel causes constipation; this condition requires medical attention, not fiber.

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When I have the opposite problem, diarrhea, my system will rob you of water and salts. In diarrhea, my intestinal contents have moved too quickly, drawing water and minerals from your tissues into the contents. When this happens, please rest a while and drink fluids (I prefer clear juices and broths). However, if diarrhea is bloody, or if it worsens or persists, call our doctor—severe diarrhea can be life-threatening.

To avoid diarrhea, try not to change my diet too drastically or quickly. I'm willing to work with you and learn to digest new foods, but if you suddenly change your diet, we're both in for it. I hate even to think of it, but one likely cause of diarrhea is foodborne illness. (*Please* read, and use, the tips in Chapter 12 to keep us safe.) Also, if diarrhea and abdominal pain occur more often than once a week, or if diarrhea alternates with constipation, it may signify **irritable bowel syndrome (IBS)**, and you should see a physician. In IBS, strong contractions speed up the intestinal contents, causing gas, bloating, diarrhea, and frequent or severe abdominal pain.[8] Weakened and slowed contractions may then follow, causing constipation. When you're stressed out, so am I, and stress may contribute to IBS. Try eating smaller meals, avoiding onions or other irritating foods, and using relaxation techniques or physical activity to relieve mental stress; guidance from a registered dietitian nutritionist can help.[9]* If symptoms persist or get worse, by all means, call our doctor—IBS may respond to antibiotics or antispasmodic drugs.[10]

By the way, I trust you not to believe false claims that health troubles can be solved by washing the colon with a powerful enema machine—in fact, this "colonic irrigation" is unnecessary and has caused illness and even some deaths from equipment contamination, electrolyte depletion, and intestinal perforation.

Thank you for listening. I know we'll both benefit from communicating like this because you and I are in this together for the long haul.

Affectionately,

Your Digestive Tract

Key Point

- Maintenance of a healthy digestive tract requires preventing or responding to symptoms with a carefully chosen diet and, when problems arise, sound medical care.

The Excretory System

LO 3.6 Specify the excretory functions of the lungs, liver, kidneys, and bladder.

Cells generate a number of wastes, and all of them must be eliminated. Many of the body's organs play roles in removing wastes. Carbon dioxide waste from the cells travels in the blood to the lungs, which exchange it for oxygen. Other wastes are pulled out of the bloodstream by the liver. The liver processes these wastes and either tosses them out into the digestive tract with bile, to leave the body with the feces, or prepares them to be sent to the kidneys for disposal in the urine. Organ systems work together to dispose of the body's wastes, but the kidneys are waste- and water-removal specialists.

The kidneys straddle the cardiovascular system and filter the passing blood. Waste materials, dissolved in water, are collected by the kidneys' working units, the **nephrons**. These wastes become concentrated as urine, which travels through tubes to the urinary **bladder**. The bladder collects the urine continuously and empties periodically, removing wastes from the body. Thus, the blood is purified continuously throughout the day, and dissolved materials are excreted as necessary. One dissolved mineral, sodium, helps regulate blood pressure, and its excretion or retention by the kidneys is a vital part of the body's blood pressure–controlling mechanism.

nephrons (NEFF-rons) the working units of the kidneys, consisting of intermeshed blood vessels and tubules.

bladder the sac that holds urine until time for elimination.

*Onions and certain other foods, along with sugar alcohols, contribute poorly digested carbohydrates known by the abbreviation FODMAP (fermentable oligosaccharides, disaccharides, monosaccharides, and polyols), which have been associated with IBS symptoms in some people.

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Though they account for just 0.5 percent of the body's total weight, the kidneys use up 10 percent of the body's oxygen supply, indicating intense metabolic activity. The kidney's waste-excreting function rivals breathing in its importance to life, but the kidneys act in other ways as well. By sorting among dissolved substances, retaining some while excreting others, the kidneys regulate the fluid volume and concentrations of substances in the blood and extracellular fluid with great precision. Through these mechanisms, the kidneys help regulate blood pressure (see Chapter 11 for details). As you might expect, the kidneys' work is regulated by hormones secreted by glands that respond to conditions in the blood (such as the sodium concentration). The kidneys also release certain hormones.

Because the kidneys remove toxins that could otherwise damage body tissues, whatever supports the health of the kidneys supports the health of the whole body. A strong cardiovascular system and an abundant supply of water are important to keep blood flushing swiftly through the kidneys. In addition, the kidneys need sufficient energy to do their complex sifting and sorting job, and many vitamins and minerals serve as the cogs of their machinery. Exercise and nutrition are vital to healthy kidney function.

Key Point

- The kidneys adjust the blood's composition in response to the body's needs, disposing of everyday wastes and helping remove toxins.

Storage Systems

LO 3.7 Explain how body tissues store excess nutrients.

The human body is designed to eat at intervals of about four to six hours, but cells need nutrients around the clock. Providing the cells with a constant flow of the needed nutrients requires the cooperation of many body systems. These systems store and release nutrients to meet the cells' needs between meals. Among the major storage sites are the liver and muscles, which store carbohydrate, and the fat cells, which store fat and other related substances.

When I Eat More than My Body Needs, What Happens to the Extra Nutrients?

Nutrients collected from the digestive system sooner or later all move through a vast network of capillaries that weave among the liver cells. This arrangement ensures that liver cells have access to newly arriving nutrients for processing.

The liver collects excess energy-yielding nutrients and converts them into two storage forms—**glycogen** (a form of carbohydrate) and several kinds of lipids, or fats (details follow in later chapters). The liver stores a supply of glycogen, which it can release to sustain the body's cellular activities when the intervals between meals become long. Should no food be available, the liver's glycogen supply dwindles; it can be effectively depleted within as few as three to six hours. Muscle cells take up glucose and make glycogen, too, but reserve it for their own use.

As for the fats, the liver packages these to be shipped out to other parts of the body (see details in Chapter 5.) All body cells may withdraw the fat they need from these packages, and the cells of adipose tissue pick up the remainder and store it to meet long-term energy needs. Unlike the liver, fat tissue can store virtually infinite quantities of fat. It can continue to supply the body's cells with fat for days, weeks, or possibly even months when no food is eaten.

These storage systems for carbohydrate and fat ensure that the body's cells will not go without energy even if the body is hungry for food. Body stores also exist for many other nutrients, each with a characteristic capacity. For example, liver and fat cells store many vitamins, and bones provide reserves of calcium and other minerals. Stores of nutrients are available to keep the blood levels constant and to meet cellular demands.

glycogen a storage form of carbohydrate energy (glucose); described in more detail in Chapter 4.

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Variations in Nutrient Stores

Some nutrients are stored in the body in much larger quantities than others. For example, certain vitamins are stored without limit, even if they reach toxic levels. Other nutrients are stored in only small amounts, regardless of the amount taken in, and these can readily be depleted. As you learn how the body handles various nutrients, pay particular attention to their storage so that you can know your tolerance limits. For example, you needn't eat fat at every meal because fat is stored abundantly. On the other hand, you normally do need to have a source of carbohydrate at intervals throughout the day because the liver stores less than one day's supply of glycogen.

Key Points

- The body stores limited amounts of carbohydrate as glycogen in muscle and liver cells.
- The body stores large quantities of fat in fat cells.
- Various nutrients are stored by the body in differing quantities.

Conclusion

In addition to the systems just described, the body has many more: bones, muscles, and reproductive organs, among others. All of these cooperate, enabling each cell to carry on its own life. For example, the skin and body linings defend other tissues against microbial invaders while being nourished and cleansed by tissues specializing in these tasks. Each system needs a continuous supply of many specific nutrients to maintain itself and carry out its work. Calcium is particularly important for bones, for example; iron for muscles; and glucose for the brain. But all systems need all nutrients, and every system is impaired by an undersupply or oversupply of them.

While external events clamor and vie for attention, the body quietly continues its life-sustaining work. Most of the body's work is directed automatically by the unconscious portions of the brain and nervous system, and this work is finely regulated to achieve a state of well-being. But you need to involve your brain's cortex—your conscious, thinking brain—to cultivate an understanding and appreciation of your body's needs. In doing so, attend to nutrition first. The rewards are liberating—ample energy to tackle life's tasks, a robust attitude, and the glowing appearance that comes from the best of health. Read on, and learn to let nutrition principles guide your food choices.

Key Point

- To nourish a body's systems, nutrients from outside must be supplied through a human being's conscious food choices.

What did you decide?

- Is it true that "**you are what you eat**"?
- How does food on the plate become **nourishment** for your body?
- What does **bacteria in the intestine** have to do with nutrition?
- Should you take antacids to relieve **heartburn**?

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Self Check

1. (LO 3.1) Cells
   a. are self-contained, living units.
   b. serve the body's needs but have few needs of their own.
   c. remain alive throughout a person's lifetime.
   d. b and c.
2. (LO 3.1) Each gene is a blueprint that directs the production of one or more of the body's organs.
   T F
3. (LO 3.2) After circulating around the cells of the tissues, all extracellular fluid then
   a. evaporates from the body.
   b. becomes urine.
   c. returns to the bloodstream.
   d. a and b.
4. (LO 3.2) Blood carries nutrients absorbed from food
   a. from the intestine to the liver.
   b. from the lungs to the extremities.
   c. from the kidneys to the liver.
   d. Nutrients do not travel in blood.
5. (LO 3.3) Hormones
   a. are rarely involved in disease processes.
   b. are chemical messengers that travel from one system of cells to affect another.
   c. are produced and remain inside single cells for intracellular communications.
   d. are unaffected by nutrition status of the body.
6. (LO 3.3) The nervous system sends messages to the glands, telling them what to do.
   T F
7. (LO 3.4) Chemical digestion of all nutrients mainly occurs in which organ?
   a. mouth
   b. stomach
   c. small intestine
   d. large intestine
8. (LO 3.4) Which of the following passes through the large intestine mostly unabsorbed?
   a. starch
   b. vitamins
   c. minerals
   d. fiber
9. (LO 3.4) Absorption of the majority of nutrients takes place across the mucus-coated lining of the stomach.
   T F
10. (LO 3.5) Which of the following increases the production of intestinal gas?
    a. chewing gum
    b. drinking carbonated beverages
    c. eating or drinking hastily
    d. all of the above
11. (LO 3.5) Concerning ulcers, which of the following statements is *not* correct:
    a. They usually occur in the large intestine.
    b. Some are caused by a bacterium.
    c. If not treated correctly, they can lead to stomach cancer.
    d. Their symptoms can be masked by using antacids regularly.
12. (LO 3.6) The kidneys' working units are _____.
    a. photons
    b. genes
    c. nephrons
    d. villi
13. (LO 3.6) The bladder straddles the cardiovascular system and filters the blood.
    T F
14. (LO 3.7) The body's stores of _____can sustain cellular activities when the intervals between meals become long.
    a. vitamins
    b. fat
    c. phytochemicals
    d. minerals
15. (LO 3.7) The body's adipose tissue has a virtually infinite capacity to store fats.
    T F
16. (LO 3.8) A drinker may delay intoxication somewhat by
    a. eating plenty of snacks.
    b. quickly finishing drinks.
    c. drinking on an empty stomach.
    d. drinking undiluted drinks.
17. (LO 3.8) Alcohol is a natural substance and therefore does no real damage to body tissues.
    T F

Answers to these Self Check questions are in Appendix G.

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Controversy 3 Alcohol

LO 3.8 Compare the effects of moderate and heavy alcohol consumption.

In the United States, alcohol-related deaths top 95,000 each year, making alcohol a top contributor to illness and mortality.[1]* Yet, many people have seen media reports touting health benefits from drinking alcohol. This Controversy explores alcohol's physical and behavioral effects. Then, it presents scientific evidence to clarify whether nondrinkers should take up drinking for their health's sake, or whether current drinkers should stop now to avoid problems.

U.S. Alcohol Consumption

On a given day, an average adult drinker consumes about 16 percent of total calories from alcoholic beverages, with men drinking more than women by far. Users of alcohol come in all stripes: some people drink no alcohol, many take a glass of wine with meals, many others drink mainly at social functions, and still others take in large quantities of **hard liquor** or other alcoholic beverages daily because of a life-shattering **addiction**.

Both **heavy drinking** and **binge drinking** are common drinking patterns, particularly among people aged 18 to 35 years. These patterns cause serious health and social consequences for drinkers and nondrinkers alike.[2] Among U.S. adults, one in six is a binge drinker, a pattern accounting for more than half of the annual deaths attributed to alcohol consumption. In contrast, people who engage in **moderate drinking** limit their alcohol intakes to one drink each day for women and two for men—no more—and therefore reduce their risks. Some authorities recommend even less—a maximum of one drink a day for both men and women.[3] Table C3–1 defines "a drink" and drinking terms; Figure C3–1 depicts servings of alcoholic beverages that equal one drink. Be aware, however, that most wine glasses hold 6 to 8 rather than 5 ounces of wine; wine coolers may come packaged 12 rather than 10 ounces to a bottle; a large beer stein can hold 20 or more rather than 12 ounces; and a strong liquor drink may contain 2 or 3 ounces of various liquors rather than the standard 1½ ounces total.

*Reference notes are in Appendix F.

Table C3–1

Drinks and Drinking Terms

- **a drink** any alcoholic beverage that delivers 0.6 ounce of pure ethanol. See also *proof*.
- **addiction** a chronic, relapsing brain disease that is characterized by compulsive drug seeking and use, despite harmful consequences; addiction is classified as a brain disease because addictive drugs change the brain's structure and functioning.
- **alcohols** chemical compounds that consist of a carbon atom or chain of carbons to which a hydroxyl (oxygen-hydrogen) group is attached. The alcohol of alcoholic beverages is *ethanol*, which has two carbon atoms.
- **alcohol abuse** *see problem drinking*.
- **alcoholism** dependency on alcohol characterized by compulsive, uncontrollable drinking with negative effects on physical health, family relationships, and social health.
- **Antabuse** a drug that increases acetaldehyde, which produces such misery in combination with alcohol that a drinker will refrain from drinking after taking it. (Acetaldehyde is a product formed during alcohol metabolism.) The generic form is *disulfiram*.
- **antidiuretic** (AN-tee-dye-you-RET-ick) **hormone** a hormone of the brain that signals the kidneys to conserve water; alcohol suppresses this hormone, increasing urination.
- **binge drinking** consuming five or more drinks on the same occasion for men, or four or more drinks on the same occasion for women. Also called *heavy episodic drinking*.
- **cirrhosis** (seer-OH-sis) advanced liver disease, often associated with alcoholism, in which liver cells have died, hardened, turned an orange color, and permanently lost their function. An earlier stage is fatty liver, defined on p. 81.
- **drug** any substance that, when taken into a living organism, modifies one or more of its functions. Also defined in Controversy 2.
- **ethanol** the alcohol of alcoholic beverages, often called simply "alcohol"; a drug.
- **euphoria** (you-FOR-ee-uh): a state of intense happiness induced by an extremely pleasurable experience or by a drug such as ethanol.
- **hangover** a delayed, usually morning-after, reaction to drinking too much alcohol too fast the night before, characterized by a headache and sometimes nausea.
- **hard liquor** a beverage that is made by distilling a product such as wine or beer, which arose from fermentation; one that contains a higher percentage of alcohol. Examples are brandy, gin, rum, vodka, and whiskey.
- **heavy drinking** drinking five or more drinks on each of five or more days per month.
- **intoxication** a condition of diminished mental and physical ability, hyperexcitability, or stupor induced by intake of alcohol or other drug.
- **moderate drinking** drinking no more than one drink per day (for a woman) or no more than two drinks per day (for a man) and behaving normally while drinking.
- **problem drinking** (**alcohol abuse**) drinking behavior that causes social, emotional, family, job-related, or other problems because of alcohol overuse; a step on the way to alcoholism.
- **proof** the percentage of alcohol in a beverage; a term used on labels. Water is the main ingredient in alcoholic beverages; proof equals twice the percentage of alcohol. Examples: Most beers and malt beverages are about 5 to 10 percent ethanol (10 to 20 proof). Most wines contain about 13 to 15 percent (26 to 30 proof), whereas "hard" liquors (whiskey, vodka, rum, and brandy) have about 50 percent (100 proof).

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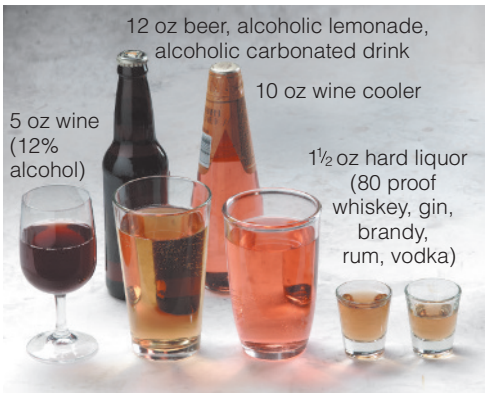
Figure C3–1

Servings of Alcoholic Beverages that Equal One Drink

Each of these beverage servings is one standard drink, containing 0.6 oz of pure ethanol.



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Alcohol's Chemistry and Handling by the Body

The **alcohols** are a set of compounds, all of which have the same reactive chemical group at one end.* The smallest alcohol is methanol, which has one carbon atom; the next-larger one is **ethanol** (two carbons), the alcohol of alcoholic beverages (you can see its structure on the first page of Appendix A). Glycerol (three carbons) is next, and shows up again in Chapter 5 in regard to fats. The suffix *-ol* identifies the alcohols.

Alcohols affect living things profoundly, partly because they dissolve lipids. Most kinds are toxins that can injure or kill cells. Alcohol can easily penetrate a cell's outer lipid membrane and, once inside, disrupt the cell's structures and kill the cell. Because some alcohols kill microbial cells, they make useful disinfectants and antiseptics.

The ethanol of alcoholic beverages is somewhat less toxic than other alcohols. Sufficiently diluted, taken slowly and in moderation, its action in the brain eases social interactions and produces **euphoria**, a pleasant sensation that people seek. Because it can be used this way, alcohol can be considered a **drug**, and like many drugs, it presents both benefits and hazards to users.

From the moment one starts to drink an alcoholic beverage, the body gives it special attention. Unlike food, which requires digestion before it can be absorbed, ethanol starts diffusing right through the stomach walls into the bloodstream. When the stomach is full of food, molecules of alcohol are less readily absorbed into the bloodstream; also food delays the flow of alcohol into the small intestine. Drinkers who want to drink socially and not become intoxicated eat snacks both before and during drinking.

The stomach possesses an enzyme (*alcohol dehydrogenase*, abbreviated *ADH*) that alters some of the alcohol consumed, leaving its major breakdown product, acetaldehyde (ASS-set-AL-deh-hyde). Liver ADH also produces acetaldehyde from alcohol that is absorbed. Acetaldehyde is even more toxic than alcohol, but a second enzyme (*acetaldehyde dehydrogenase*, found in the liver) can break it down further to a nontoxic substance that ultimately becomes harmless water and carbon dioxide. Most of the body's ADH occurs in the liver, and the liver metabolizes the most alcohol by far.

Women make less stomach ADH than men do, and therefore absorb more alcohol from each drink than do men of equal weight. Experts often warn that women should not try to keep up drink for drink with males for this reason.

Alcohol's next stop beyond the stomach is the small intestine. There, absorption into the blood takes place promptly. The capillaries that surround the small intestine merge into veins that carry the alcohol-laden blood to the liver. The liver, with its large quantities of ADH, is the major site for alcohol breakdown. If a person drinks slowly enough, the liver will collect nearly all of the alcohol available from the passing blood and process it without much affecting the other parts of the body.

The liver can process about half an ounce of blood ethanol (about one standard drink's worth) per hour, depending on the person's body size, previous drinking experience, sex, general health, and food intake. Going without food for as little as one day causes degradation of body proteins, including ADH enzymes, and this cuts the rate of alcohol metabolism by half, hence the maxim "Don't drink on an empty stomach."

Drinking Patterns

Drinking patterns influence alcohol's effects on the body. Occasional drinkers who take a glass of wine or two, perhaps once or twice a month, may not be affected at all. A moderate daily drinker, that is, a woman taking a single drink or a man two drinks a day, may be affected by this choice, for better or worse. People who drink more than this often suffer the consequences of dehydration (alcohol suppresses **antidiuretic hormone**), and the famous **hangover** of the morning after. Those who drink excessively suffer significant harm to all the body's organs. Alcohol is an addictive drug, and an alcohol addiction is **alcoholism**.

Abstinence from Drinking

People who abstain from alcohol may make this choice for cultural, religious, or health reasons. Some people should not drink because it poses special risks to them. You shouldn't drink at all if:

- You are under the legal drinking age limit. Drowning, car accidents, and traumatic injuries are common causes of death in children and teens, and alcohol use intensifies these risks.
- You are pregnant or may be pregnant. No safe level of alcohol consumption during pregnancy has been established.
- You are breastfeeding (you may consume one drink if you then wait four hours before breastfeeding).
- You are taking medications that interact with alcohol. Such medications come with labels that warn you of the risks.
- You have liver disease, high blood lipids, pancreatitis, or other conditions

**The chemical group at the reactive end of an alcohol is a hydroxyl group (oxygen and hydrogen).*

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Table C3–2

Drinking Behaviors of Moderate and Problem Drinkers

Moderate Drinkers Typically	Problem Drinkers Typically
▪ Drink slowly, casually.	▪ Gulp or "chug" drinks.
▪ Eat food while drinking or beforehand.	▪ Drink on an empty stomach.
▪ Don't binge drink; know when to stop.	▪ Binge-drink; drink to get drunk.
▪ Respect nondrinkers.	▪ Pressure others to drink.
▪ Avoid drinking when solving problems or making decisions.	▪ Turn to alcohol when facing problems or decisions.
▪ Do not admire or encourage drunkenness.	▪ Consider drunks to be funny or admirable.
▪ Remain peaceful, calm, and unchanged by drinking.	▪ Become loud, angry, violent, or silent when drinking.
▪ Cause no problems to others or themselves by drinking.	▪ Physically or emotionally harm themselves, family members, or others when drinking.

that amplify the harmful effects of alcohol.

- You plan to drive, operate machinery, or take part in other activities that require attention, skill, or coordination such as swimming, biking, or boating.
- You cannot limit your drinking to moderate levels.

Nonalcoholic drinks can also produce some of the pleasant sensations that drinkers seek (these contain, at most, 0.5 percent alcohol). Nonalcoholic beers and wines that elevate mood and ease social interactions are available—as are coffee and sodas. People who don't drink alcohol can drink these beverages instead.

Moderate Drinking

Many people drink moderately, sticking within defined limits. Moderate drinkers will not present the liver with more than it can handle, and a night of restful sleep after a pleasant social evening is all that is needed to restore the original healthy state. The key to achieving this result, of course, is to stop before drinking too much. It is worth repeating that alcohol intake shown to do no immediate damage is:

- One standard drink a day for women.
- Two standard drinks a day for men.

The left column of Table C3–2 shows how moderate drinkers manage alcohol. You will be invited to visit the right column in a later section.

Excessive Drinking

Excessive drinkers drink more than half an ounce of alcohol per hour. The euphoria that comes on at first is transient and is soon superseded by alcohol's large-dose effects of impeding social interactions and diminishing euphoria. Rapid drinkers will quickly manifest **intoxication**, especially when drinking on an empty stomach.

If a person drinks more than can be metabolized by the stomach and liver, the excess flows in to the bloodstream to the brain and the rest of the body. The lungs and kidneys then excrete some 10 percent of the blood alcohol in the breath and urine. The alcohol in the breath is directly proportional to that in the blood, so a breathalyzer test administered by law enforcement officers can accurately determine the degree of intoxication.

There is no way to hasten the liver's rate of alcohol clearance: only time restores sobriety. Walking around will not help because muscles cannot metabolize alcohol. Nor will drinking coffee: caffeine is a stimulant, but it won't speed up the metabolism of alcohol. The police say that a cup of coffee only makes a sleepy drunk into a wide-awake drunk. Table C3–3 presents other alcohol myths.

Table C3–3

Myths and Truths Concerning Alcohol

Myth:	A shot of alcohol warms you up.
Truth:	Alcohol diverts blood flow to the skin, making you feel warmer, but it actually cools the body.
Myth:	Wine and beer are mild; they do not lead to addiction.
Truth:	Wine and beer drinkers worldwide have high rates of death from alcohol-related illnesses. It's not what you drink but how much that makes the difference.
Myth:	Mixing drinks is what gives you a hangover.
Truth:	Too much alcohol in any form produces a hangover.
Myth:	Alcohol is a stimulant.
Truth:	Alcohol depresses the brain's activity.
Myth:	Alcohol is legal; therefore, it is not a drug.
Truth:	Alcohol is legal, but it alters body functions and is medically defined as a depressant drug.

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Binge Drinking

Binge drinking, also known as *heavy episodic drinking*, is a problematic drinking style for a large and growing number of people.[4] Young adults enjoy parties, sports events, and other social occasions, but these settings often encourage binge drinking. Binge drinking skews national statistics, making alcohol use on college campuses appear to be more common than it is. The median number of drinks consumed by all college students is 1.5 per week, but for binge drinkers it is 14.5 per week. This destructive drinking pattern is observed in the greatest numbers among people 18 to 34 and is responsible for most of this group's alcohol-related accidents and illnesses (see Table C3–4).

The harms grow worse with age. In the United States, six binge drinkers die per day—mostly men aged 35 to 64. Compared with nondrinkers and moderate drinkers, binge drinkers are also more likely to damage property, assault other people, or cause fatal accidents. They are also more likely to engage in unprotected sex, resulting in sexually transmitted diseases and unplanned pregnancies. Female binge drinkers are more likely to be victims of rape. Binge drinkers on and off campus may not recognize themselves as problem drinkers until their drinking behavior causes a crisis such as a car crash, or until enough years have passed to cause substantial, potentially irreversible, damage to their health.

Table C3–4

Sobering Statistics

In the United States, alcohol use is involved in:

- 55 percent of all domestic violence incidents
- 50 percent of all sexual assaults
- 40 percent of all homicides
- 29 percent of all traffic fatalities
- 29 percent of all suicides
- 19 percent of all boating fatalities
- 17 percent of all residential fire fatalities

College-aged people are likely to suffer injury when using alcohol. Each year among college-aged people, alcohol use is involved in:

- 696,000 assaults (other than sexual assaults)
- 97,000 sexual assaults
- 1,519 deaths

Alcohol use an important risk factor for:

- Child abuse and neglect
- Nuisance violations
- Psychological depression
- Vandalism and other property crimes

Short-Term Effects of Too Much Alcohol

A person who drinks too much experiences negative effects on the body, some transient, others more damaging. The short-term effects of alcohol toxicity are often reversible, but the long-term effects may not be.

Dehydration

Excess alcohol exerts impacts on every other body organ. It penetrates all the tissues and dehydrates them—an effect familiar to anyone who drinks too much. Alcohol depresses the brain's production of a hormone (*antidiuretic hormone*) that curbs excretion of body water—so urine output increases. The resulting dehydration leads to thirst, and unwary drinkers who respond by drinking more alcohol only make matters worse. The only fluid that relieves thirst is water.

Water lost due to dehydration takes with it important minerals, such as magnesium, potassium, calcium, and zinc, depleting the body's reserves. These minerals are vital to nerve and muscle coordination and fluid balance. When drinking incurs mineral losses, minerals must be made up in subsequent meals to prevent deficiencies.

Hangover

The hangover—the next morning's miserable headache and nausea—is the result of drinking too much. Dehydration of the brain is a major cause of a hangover. Alcohol depletes the brain's cells of water; when they rehydrate, they swell and cause pain.

In addition, several chemicals in the body contribute to the hangover. Recall that the stomach and liver are busy converting alcohol to acetaldehyde, a toxic compound that accumulates in the body for a while, awaiting further breakdown. The later breakdown steps require the participation of still other liver compounds (*glutathione*, an important antioxidant, and *cysteine*, an amino acid), and these run out before the job is done. Time alone can clear the hangover-producing toxins from the body.

Paradoxically, because it is toxic, acetaldehyde offers a benefit to drinkers who want to quit. It is the active ingredient in a drug known as **Antabuse**, and it produces aldehyde toxicity symptoms so severe that people addicted to alcohol choose not to drink when they have it in their systems.

Heart and Brain

So long as excess alcohol is in the body, toxic effects are felt by every organ. The heart, stomach, and brain are examples. Emergency room nurses describe a condition in intoxicated people called "holiday heart syndrome," marked by life-threatening, irregular heartbeats.[5] This syndrome can occur in people of any age who take more than a few drinks in too short a time. But the stomach may come to the drinker's rescue, because a major overdose triggers the vomiting

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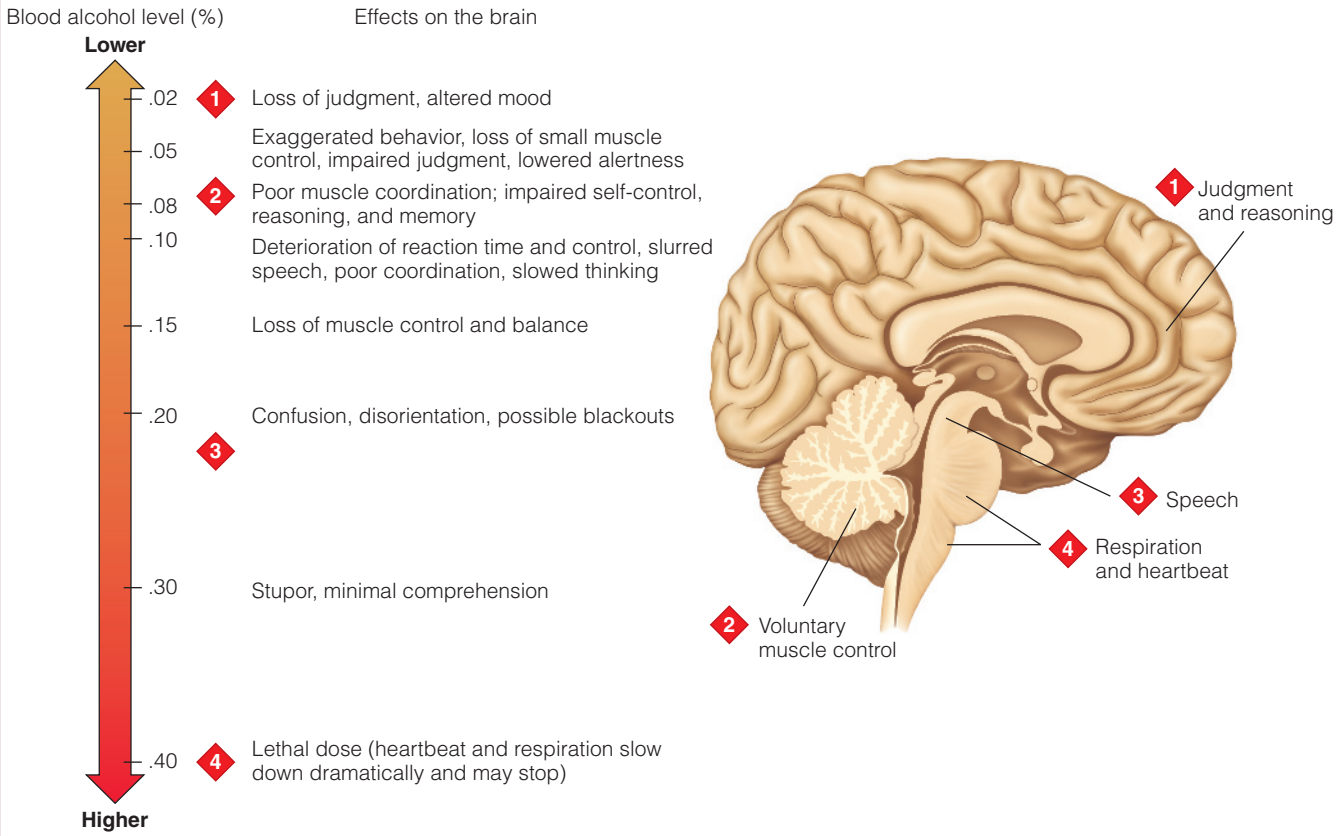
Figure C3–2

Effects of Rising Blood Alcohol Levels on the Brain

The higher the blood alcohol, the more severe its effect on brain tissues. This is a typical progression, but individual responses vary to some degree.



Source: Centers for Disease Control and Prevention.

reflex, one of the body's primary defenses against ingested poisons. Even moderate drinkers who suffer from chronic irregular heartbeat conditions may notice improvement when they stop drinking.[6]

In the brain, small quantities of alcohol selectively sedate inhibitory nerves, producing a false impression of stimulation. Some people use alcohol to achieve this "high," believing that it helps relieve anxiety and enables them to relax, but additional alcohol counteracts the high and then, in many people, produces tension and stress. When the blood alcohol concentration rises high enough, it sedates all of the nerve cells.

Figure C3–2 displays the impacts on the brain of progressively higher blood alcohol concentrations. At 0.08 percent, judgment, reasoning, and emotional control are impaired. At 0.1 percent, speech centers in the midbrain are sedated. At 0.15 percent, control of muscles and reflexes becomes impaired. At higher levels still, unconsciousness ensues and, if the person has drunk fast enough to ingest a lethal dose before vomiting or passing out, respiration and heartbeat cease. Most highway safety ordinances set the legal limit for intoxication at 0.08 percent, but driving ability may be impaired at lower concentrations.

Abstinence from alcohol, together with good nutrition, reverses some of the brain damage caused by heavy drinking if it has not continued for too many years. Prolonged drinking beyond a person's capacity to recover, however, can severely and irreversibly damage vision, memory, learning, reasoning, speech, and other brain functions.

Clearly, there is a marked contrast in risk between moderate drinking and excessive drinking. Studies show that although the former may in some ways benefit drinkers, the latter is extremely harmful.

Is Moderate Drinking Good for the Heart?

Some studies of populations seem to show a correlation between moderate drinking and improved health, but these studies are tricky to interpret.[7] Population studies are suggestive, but they cannot yield proof of cause. They reveal only correlations. Simply stated, if "A" often goes with "B," this doesn't prove that "A" causes "B" or vice versa. It may be that a third factor, "C," causes both "A" and "B."

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In observational research, risk factors for cardiovascular disease (CVD) must be controlled to yield meaningful results. Broad studies that compare alcohol drinkers with abstainers in populations often suggest drinkers less often develop or die from CVD.[8] However, when other researchers perform similar studies but eliminate the impact of CVD risk factors, such as older age, previous heavy drinking, or other chronic diseases, the correlation between heart health and alcohol intake vanishes.[9]

Today, a sophisticated genomics technique that virtually eliminates confounding factors allows researchers to draw conclusions about alcohol's effects on the health of the heart and arteries with greater confidence.* Such study results provide evidence against the idea that alcohol consumption may protect against CVD or stroke.[10] In fact, they indicate the opposite—that CVD risks increase steadily with greater alcohol intakes, and that alcohol is the likely cause.[11]

What about red wine? Anyone you ask will probably still tell you that red wine is good for health. Labels on red wines sold in the United States often make statements such as "We encourage you to consult your family doctor about the health effects of wine consumption." Such statements seem to promise some good news about wine and health, but medical evidence refutes the idea. For example, alcohol in large amounts, even from red wine, raises blood pressure and increases inflammation, effects detrimental to the heart.

In addition, the ethanol of alcoholic beverages is listed among known carcinogens by the American Cancer Society.[12] Consuming alcohol regularly, even in amounts of less than one drink per day, can cause or contribute to cancers of the the breast, colon, esophagus, liver, pancreas, stomach, and throat; the more frequent the exposure, the greater the risk.[13]

The Dietary Guidelines committee 2020–2025 cautions that no one should begin drinking or drink more frequently in hopes of benefiting their health.

Long-Term Consequences of Excessive Drinking

A term that describes self-destructive, excessive drinking is **problem drinking**, also known as **alcohol abuse**. Typical behaviors of problem drinkers can be recognized by looking at the *right* side of Table C3–2, on page 95, which provides a kind of mirror for problem drinking behavior.

Alcoholism is the most severe form of self-destructive drinking. Many people who start out drinking moderately find that they cannot sustain a moderate drinking pattern but slide into harmful and dangerous excess. For people with alcoholism, drinking leads to many forms of irrational and dangerous behavior, including driving while intoxicated, arguments and violence, and unplanned and risky sexual behavior. With continued drinking, such people face psychological depression, physical illness, severe malnutrition, and demoralizing erosion of self-esteem. Making matters worse, because alcohol is an addictive drug, denial accompanies the addiction. "I'm not an alcoholic," say many with an alcohol addiction, and the first step toward recovery is admitting the problem. If you are wondering about the possibility that you may have a problem with alcohol, refer to Table C3–5. If you conclude that you do, you should seek a professional evaluation right away.†

Prolonged, excessive drinking produces cumulative, irreversible damage to the brain, the liver, kidneys, heart, and all other body systems. The

** The technique is Mendelian randomization (MR), an epidemiological method that uses genetic variants associated with a risk factor to determine its causal role for disease risk.*

† If you need to talk with someone right away, call (24 hours a day) the federal Substances Abuse and Mental Health Services Administration (SAMHSA): (800) 662-4357.

Table C3–5

Symptoms of Problem Drinking and Alcoholism

A health professional can diagnose and evaluate problem drinking or alcohol addiction with the answers to these questions. In the past year, have you:

- Ever ended up drinking more or for longer than you intended?
- Wanted to cut down or stop drinking, or tried to, but couldn't on more than one occasion?
- Felt a strong urge or craving for a drink?
- Endangered yourself more than once while or after drinking (such as driving, swimming, using machinery, walking in a dangerous area, or having unsafe sex)?
- Noticed that you need more than your regular number of drinks to feel the effect?
- Continued to drink even though it made you feel depressed, anxious, or physically ill?
- Spent a lot of time drinking, or being sick, or getting over other aftereffects?
- Continued to drink even though it was causing trouble with your family or friends?
- Found that drinking—or being sick from drinking—often interfered with taking care of your home or family? Or caused job troubles? Or school problems?
- Given up or cut back on activities that were important or interesting to you or that gave pleasure in order to drink?
- Found that, when the effects of alcohol were wearing off, you had withdrawal symptoms, such as trouble sleeping, shakiness, restlessness, nausea, sweating, racing heartbeat, or seizure? Or sensed things that were not there?
- Found yourself drinking to hold off withdrawal symptoms?

If you have any of these symptoms, or if people close to you are concerned about your drinking, then alcohol may be a cause for concern. The more symptoms you have and the more often you have them, the more urgent the need for change. See a health professional.

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Figure C3–3

Alcohol Damage to the Liver

Left, normal liver; center, fatty liver; right, cirrhosis

Arthur Glauberman/Science Source

progression of liver damage is shown in Figure C3–3. The figure presents a classic photo of the stages of liver degeneration associated with prolonged excessive drinking, demonstrating the potential severity of the damage. Many more details about the harms from excessive alcohol intakes are known, and many people die of them each year.

Nutrition and Alcohol Use

Nutrition and alcohol interact in many ways, some beneficial, some harmful. Among the beneficial ones, a small dose of alcohol (such as a small glass of wine) may stimulate the appetite in a person who is too anxious to eat or an elderly person who has lost interest in food. Research shows that moderate use of wine in later life also improves morale, stimulates social interactions, and promotes restful sleep among people who drink.

On the negative side, alcohol may burden the body with unwanted body fat. Alcohol itself is caloric, and alcoholic beverages can be very high in calories. Ethanol yields 7 calories of energy per gram (compared with 4 for carbohydrate, 4 for protein, and 9 for fat). Only a small percentage of the calories of ingested ethanol escape from the body in breath and urine. Also, drink mixers, such as piña colada mix, often present many additional calories. Table C3–6 offers some examples of the calories in alcoholic beverages and mixers.

Fat and alcohol interact in the body. Presented with both fat and alcohol, the body stores the comparatively harmless fat and rids itself of the toxic alcohol by using it preferentially for energy. As a result, alcohol promotes fat storage, often in the central abdominal area—the "beer belly" often seen in drinkers.

The use of alcohol increases the likelihood of developing vitamin and mineral imbalances. Like sugar, alcohol constitutes "empty calories"—that is, it delivers energy without bringing any nutrients along. A 2,000-calorie diet consisting of nutritious foods, is bound to deliver more nutrients than one in which 500 calories of food have been displaced by alcohol. In addition, alcohol alters the metabolism or promotes the excretion of several important vitamins.[14] When minerals become unbalanced, the blood's sensitive acid–base balance can falter, creating a medical emergency.[15]

Alcohol abuse also disrupts every tissue's metabolism of nutrients. In the presence of alcohol, stomach cells oversecrete both acid and histamine, the latter an agent of the immune system that produces inflammation. Intestinal cells fail to absorb thiamin, folate, vitamin B_{12}, and other vitamins. Liver cells lose efficiency in activating vitamin D. Cells of the eye's retina, which normally process the alcohol form of vitamin A (retinol) to the form needed in vision (retinal), must process ethanol instead. Liver cells, too, suffer a reduced capacity to process and use vitamin A. The kidneys excrete needed minerals: magnesium, calcium, potassium, and zinc.

The inadequate food intake and impaired nutrient absorption of alcohol abuse lead to a deficiency of the B vitamin thiamin in about 80 percent of people with alcohol addiction. In

Table C3–6

Calories in Alcoholic Beverages and Mixers

Labels of alcoholic beverage containers need not list calorie amounts, but calories in alcoholic drinks, such as cocktails, may soon appear on many restaurant menus.

Beverage	Amount (oz)	Energy (cal)
Malt beverage (sweetened, such as hard lemonade)	16[a]	350
Malt beverage (unsweetened)	16	175
Wine cooler	12	170
Pina colada mix (no alcohol)	4	160
Beer	12	150
Dessert wine	3½	140
Fruit-flavored soda, Tom Collins mix	8	115
Gin, rum, vodka, whiskey (86 proof)	1½	105
Cola, root beer, tonic, ginger ale	8	100
Margarita mix (no alcohol)	4	100
Light beer	12	100
Table wine	3½	85
Tomato juice, Bloody Mary mix (no alcohol)	8	45
Club soda, plain seltzer, diet drinks	8	1

[a] *Typical container size, but up to 32-oz containers are common.*

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fact, the cluster of thiamin-deficiency symptoms commonly seen in chronic alcoholism has its own name—the *Wernicke-Korsakoff syndrome*. This syndrome is characterized by paralysis of the eye muscles, poor muscle coordination, impaired memory, and damaged nerves. Thiamin supplements may help repair some of the damage, especially if the person stops drinking.

Another dramatic example is alcohol's effect on folate. When excess alcohol is present, the body actively expels folate from its sites of action and storage. The liver, which normally contains enough folate to meet all needs, leaks its folate into the blood. As blood folate rises, the kidneys excrete it, as if it were in excess. The intestine normally releases and retrieves folate continuously, but it becomes so damaged by folate deficiency and alcohol toxicity that it fails to absorb folate. Alcohol also interferes with the action of what little folate is left. This interference inhibits the production of new cells, especially the rapidly dividing cells of the intestine and the blood.

Nutrient deficiencies and imbalances are thus an inevitable consequence of alcohol abuse not only because alcohol displaces food but also because alcohol interferes directly with the body's use of nutrients. People treated for alcohol addiction also need nutrition therapy to reverse deficiencies and to treat deficiency diseases rarely seen in others: night blindness, beriberi, pellagra, scurvy, and acute malnutrition.

The Final Word

In the end, each person must decide individually whether or not to consume alcohol, a decision that can change at any time. Table C3–7 sums up both sides of selected issues.

As for drinking wine or other alcoholic beverages for health's sake, most researchers conclude that, although people who drink moderately may gain some small benefits, far greater benefits come from engaging in regular physical activity and maintaining a healthy body weight. Alcohol also poses some serious risks, so nondrinkers should not start drinking with the thought of improving their health. If you do choose to drink, do so with care and strictly in moderation.

Critical Thinking

1. Moderate alcohol use has been credited with providing possible health benefits. Construct an argument for why moderate alcohol use to provide protection from heart disease or other health problems may not be a good idea.
2. Your daughter is leaving for college in the fall. Recently, there has been disturbing news about the excessive drinking on college campuses and even a report about the death of one student who had been drinking excessively at the college your daughter is planning to attend. Form a group of four or five people. Each group has an imaginary daughter who

Table C3–7

Moderate Drinking: Point, Counterpoint

Many people debate the merits and demerits of drinking alcohol on many levels. This table outlines some of the arguments made for and against drinking.

Point: Arguments in Favor of Drinking Alcohol	Counterpoint: Arguments against Drinking Alcohol
1. *Ease social interactions.* Alcohol removes inhibitions, making it easier to interact socially.	1. *Removes social inhibitions.* Alcohol removes inhibitions, permitting socially unacceptable behaviors and interactions.
2. *Relieve stress.* Drinking alcohol relieves stress and produces euphoria.	2. *Increased depression and anxiety.* Regular drinking can deepen depression and cause anxiety. Removing the source of stress provides more lasting relief.
3. *Popular belief that drinking is good for health.*	3. Current research does not support health benefits from alcoholic beverages that would outweigh its substantial risks. Drinking for health is not recommended.
4. *Natural equals harmless.* Alcoholic beverages have been used for centuries as natural tonics to "fix what ails you."	4. *Natural toxin.* Alcohol is a toxin that can be lethal when overconsumed. Safe, effective medications achieve the same things with less risk.
5. *Taste.* Many people perceive alcoholic beverages to taste good; they like the flavors.	5. *Taste.* Safer beverages are equally tasty. Alcohol is addictive and toxic in large doses.
6. *Thirst quencher.* Cold beer, coolers, or malt beverages are thirst quenchers.	6. *Diuretic.* Alcohol is a diuretic that causes water loss. Other beverages hydrate more efficiently.
7. *Ubiquitous.* Everyone drinks.	7. *Not everyone.* More than a third of U.S. adults do not drink alcohol.
8. *Nutrient source.* Alcoholic beverages are claimed to "provide B vitamins and minerals."	8. *Nutrient poor.* Alcoholic beverages are generally poor nutrient sources, and alcohol in large doses causes nutrient losses and interferes with nutrient metabolism.

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is leaving for college. Each member of the group will choose one of the following topics and prepare a short (1-minute) speech that attempts to educate your daughter on the dangers of excessive drinking. To facilitate the speaker's delivery, a group member takes on the role of "daughter," rotating the role with each speaker. Be sure to emphasize facts as much as possible with your argument.

- Explain the physiology of the hangover.
- Describe alcohol's effect on vitamins.
- Describe the effect of alcohol on the heart and brain.
- Describe alcohol's effect on the liver and other organs.
- Discuss the relationships among alcohol use, assaults, and violence.

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4 The Carbohydrates: Sugar, Starch, Glycogen, and Fiber

Controversy 4 Are Added Sugars "Bad" for You?

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 4.1 Explain how plants synthesize carbohydrates.

LO 4.2 Explain why carbohydrates are needed in the diet.

LO 4.3 Describe how carbohydrates are converted to glucose in the human body.

LO 4.4 Describe the body's handling of glucose.

LO 4.5 Briefly summarize the differences among type 1 diabetes, type 2 diabetes, and hypoglycemia.

LO 4.6 Identify foods that are rich in carbohydrates.

LO 4.7 Itemize the effects of added sugars on health.

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What do you think?

- Do carbohydrates provide only **unneeded calories** to the body?
- Why do nutrition authorities unanimously recommend **whole grains**?
- Are **low-carbohydrate diets** the best way to lose weight?
- Should people with **diabetes** stop eating sugar?

Carbohydrates are ideal nutrients to meet your body's energy needs, to feed your brain and nervous system, to keep your digestive system fit, and, within calorie limits, to help fuel physical activity and keep your body lean. Digestible carbohydrates, together with fats and protein, add bulk to foods and provide energy and other benefits for the body. Indigestible carbohydrates, which include most of the fibers in foods, yield little or no energy but provide other important benefits.

All carbohydrates are not equal in terms of nutrition. This chapter invites you to learn the differences between foods containing **complex carbohydrates** (starch and fiber) and those made of **simple carbohydrates** (sugars) and to consider the effects of both on the body. Controversy 4 goes on to explore current theories about how consumption of certain carbohydrates may affect human health.

This chapter on the carbohydrates is the first of three on the energy-yielding nutrients. Chapter 5 deals with the fats and Chapter 6 with protein. Controversy 3 already addressed one other contributor of energy to the human diet, alcohol.

A Close Look at Carbohydrates

LO 4.1 Explain how plants synthesize carbohydrates.

Carbohydrates contain the sun's radiant energy, captured in a form that living things can use to drive the processes of life. Green plants make carbohydrate through **photosynthesis** in the presence of **chlorophyll** and sunlight. In this process, water (H_2O) absorbed by the plant's roots donates hydrogen and oxygen. Carbon dioxide gas (CO_2) absorbed into its leaves donates carbon and oxygen. Water and carbon dioxide combine to yield the most common of the **sugars**, the single sugar **glucose** (see Figure 4–1, p. 104).

Light energy from the sun drives the photosynthesis reaction. The light energy becomes the chemical energy of the bonds that hold six atoms of carbon together in the sugar glucose. Glucose provides energy for the work of all the cells of the stem, roots, flowers, and fruit of the plant. For example, in the roots, far from the energy-giving rays of the sun, each cell draws upon some of the glucose made in the leaves, breaks it down (to carbon dioxide and water), and uses the energy thus released to fuel its own growth and water-gathering activities.

Plants do not use all of the energy stored in their sugars, so it remains available for use by the animals or human beings that consume the plants. Thus, carbohydrates form the first link in the food chain that supports all life on Earth. Carbohydrate-rich foods come almost exclusively from plants; milk is the only animal-derived food that contains significant amounts of carbohydrate. The next few sections describe the forms assumed by carbohydrates: sugars, starch, glycogen, and fibers.

Key Points

- Through photosynthesis, plants combine carbon dioxide, water, and the sun's energy to form glucose.
- Carbohydrates are made of carbon, hydrogen, and oxygen held together by energy-containing bonds: *carbo* means "carbon"; *hydrate* means "water."

carbohydrates compounds composed of single or multiple sugars. The name means "carbon and water," and a chemical shorthand for carbohydrate is CHO, signifying carbon (C), hydrogen (H), and oxygen (O).

complex carbohydrates long chains of sugar units arranged to form starch or fiber; also called *polysaccharides*.

simple carbohydrates sugars, including both single sugar units and linked pairs of sugar units. The basic sugar unit is a molecule containing six carbon atoms, together with oxygen and hydrogen atoms.

photosynthesis the process by which green plants make carbohydrates from carbon dioxide and water using the green pigment chlorophyll to capture the sun's energy (*photo* means "light"; *synthesis* means "making").

chlorophyll the green pigment of plants that captures energy from sunlight for use in photosynthesis.

sugars simple carbohydrates; that is, molecules of either single sugar units or pairs of those sugar units bonded together. By common usage, *sugar* most often refers to sucrose.

glucose (GLOO-cose) a single sugar used in both plant and animal tissues for energy; sometimes known as blood sugar or *dextrose*. Also defined in Chapter 3.

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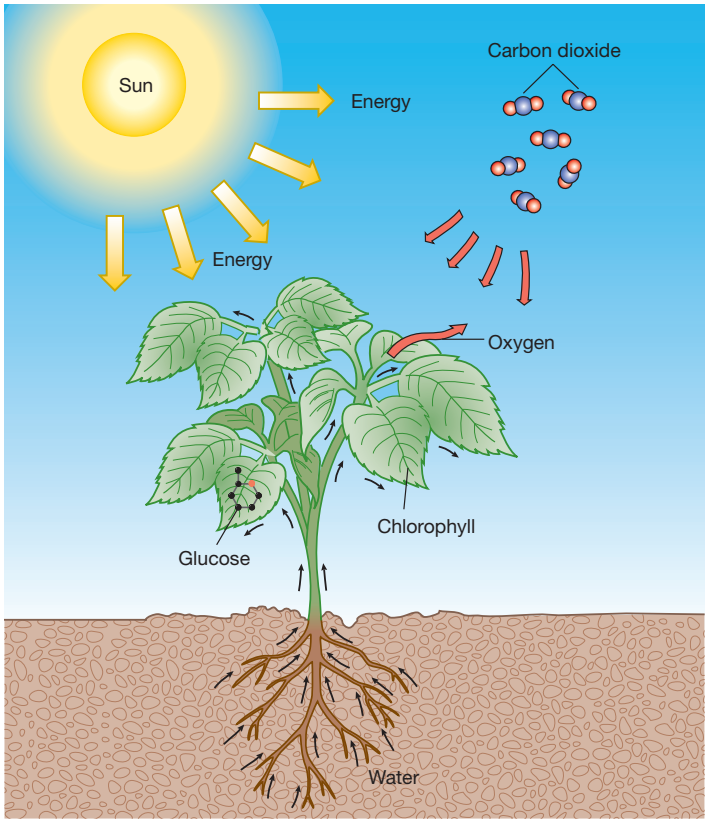
Figure 4–1

Carbohydrate Is Made by Photosynthesis

The sun's energy becomes part of the glucose molecule—its calories, in a sense. In the molecule of glucose on the leaf here, black dots represent the carbon atoms; bars represent the chemical bonds that contain energy.



monosaccharides (mon-oh-SACK-ah-rides) single sugar units (*mono* means "one"; *saccharide* means "sugar unit").

disaccharides pairs of single sugars linked together (*di* means "two").

fructose (FROOK-tose) a monosaccharide; sometimes known as fruit sugar (*fruct* means "fruit"; *ose* means "sugar").

galactose (ga-LACK-tose) a monosaccharide; part of the disaccharide lactose (milk sugar).

added sugars sugars and syrups added to a food for any purpose, such as to add sweetness or bulk or to aid in browning (baked goods). Also called *carbohydrate sweeteners*, they include concentrated fruit juice, glucose, fructose, high-fructose corn syrup, sucrose, and other sweet carbohydrates. Also defined in Chapter 2.

lactose a disaccharide composed of glucose and galactose; sometimes known as milk sugar (*lact* means "milk"; *ose* means "sugar").

maltose a disaccharide composed of two glucose units; sometimes known as malt sugar.

sucrose (SOO-crose) a disaccharide composed of glucose and fructose; sometimes known as table, beet, or cane sugar and, often, as simply *sugar*.

Sugars

Six sugar molecules are important in nutrition. Three of these are single sugars, or **monosaccharides**. The other three are double sugars, or **disaccharides**. All of their chemical names end in *ose*, which means "sugar." Although they all sound alike at first, they exhibit distinct characteristics once you get to know them as individuals. Figure 4–2 shows the relationships among the sugars.

Monosaccharides The three monosaccharides are glucose, **fructose**, and **galactose**. Fructose or fruit sugar, the intensely sweet sugar of fruit, is made by rearranging the atoms in glucose molecules. Fructose occurs naturally in fruit, in honey, and as part of table sugar. However, most fructose is consumed in sweet beverages, desserts, and other foods sweetened with **added sugars**. Glucose and fructose are the most common monosaccharides in nature.

The other monosaccharide, galactose, has the same number and kind of atoms as glucose and fructose but in another arrangement. Galactose is one of two single sugars that are bound together to make up the sugar of milk. Galactose rarely occurs free in nature but is tied up in milk sugar until it is freed during digestion.

Disaccharides The three other sugars important in nutrition are disaccharides, which are linked pairs of single sugars. The disaccharides are **lactose**, **maltose**, and **sucrose**. All three contain glucose. In lactose, the milk sugar just mentioned, glucose is linked to galactose. Malt sugar, or maltose, has two glucose units. Maltose appears wherever starch is being broken down. It occurs in germinating seeds and arises during the digestion of starch in the human body.

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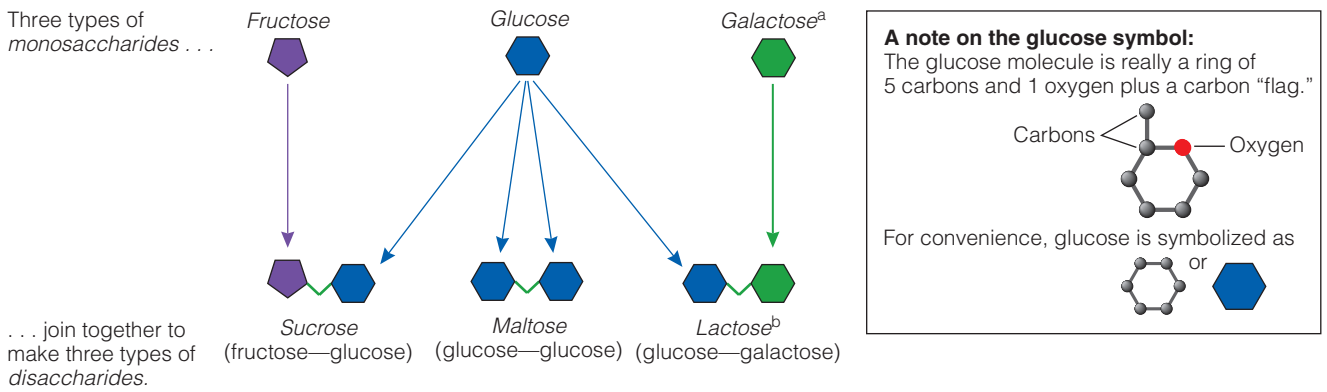
Figure 4–2

How Monosaccharides Join to Form Disaccharides

Single sugars are monosaccharides, while pairs of sugars are disaccharides.



[a]*Galactose does not occur in foods singly but only as part of lactose.*

[b]*The chemical bond that joins the monosaccharides of lactose differs from those of other sugars and makes lactose hard for some people to digest—lactose intolerance (see later section, p. 122). Appendix A presents more detailed structures.*

The last of the six sugars, sucrose, is familiar table sugar, the product most people think of when they refer to *sugar*. In sucrose, fructose and glucose are bonded together. Table sugar is obtained by refining the juice from sugar beets or sugar cane, but sucrose also occurs naturally in many vegetables and fruits. It tastes sweet because it contains the sweetest of the monosaccharides, fructose.

When you eat a food containing monosaccharides, you can absorb them directly into your blood. When you eat disaccharides, though, you must digest them first. Enzymes in your intestinal cells must split the disaccharides into separate monosaccharides so that they can enter the bloodstream. The blood delivers all products of digestion first to the liver, which possesses enzymes to modify nutrients, making them useful to the body. Glucose is the monosaccharide used for energy by all the body's tissues, so the liver releases abundant glucose into the bloodstream for delivery to all of the body's cells. Galactose can be converted into glucose by the liver, adding to the body's supply. Fructose, however, is normally used for fuel by the liver or broken down to building blocks for fat or other needed molecules.

Although it is true that the energy of fruit and many vegetables comes from sugars, this doesn't mean that eating them is the same as eating concentrated sweets such as candy or drinking cola beverages. From the body's point of view, fruits are vastly different from purified sugars (as later sections make clear) except that both provide glucose in abundance.

Key Points

- Glucose is the most important monosaccharide in the human body.
- Monosaccharides can be converted by the liver to other needed molecules.

Starch

In addition to occurring in sugars, the glucose in food occurs in long strands of thousands of glucose units. These are the **polysaccharides** (see Figure 4–3, p. 106). **Starch** is a polysaccharide, as are glycogen and most of the fibers.

Starch is a plant's storage form of glucose. As a plant matures, it not only provides energy for its own needs but also stores energy in its seeds for the next generation. For example, after a corn plant reaches its full growth and has many leaves manufacturing glucose, it links glucose together to form starch, stores packed clusters of starch molecules in **granules**, and packs the granules into its seeds. These giant starch clusters are packed side by side in the kernels of corn. For the plant, starch is useful because it is an insoluble substance that will stay with the seed in the ground and nourish it until it forms shoots with

polysaccharides another term for complex carbohydrates; compounds composed of long strands of glucose units linked together (*poly* means "many").

starch a plant polysaccharide composed of glucose. After cooking, starch is highly digestible by human beings; raw starch often resists digestion.

granules small grains. Starch granules are packages of starch molecules. Various plant species make starch granules of varying shapes.

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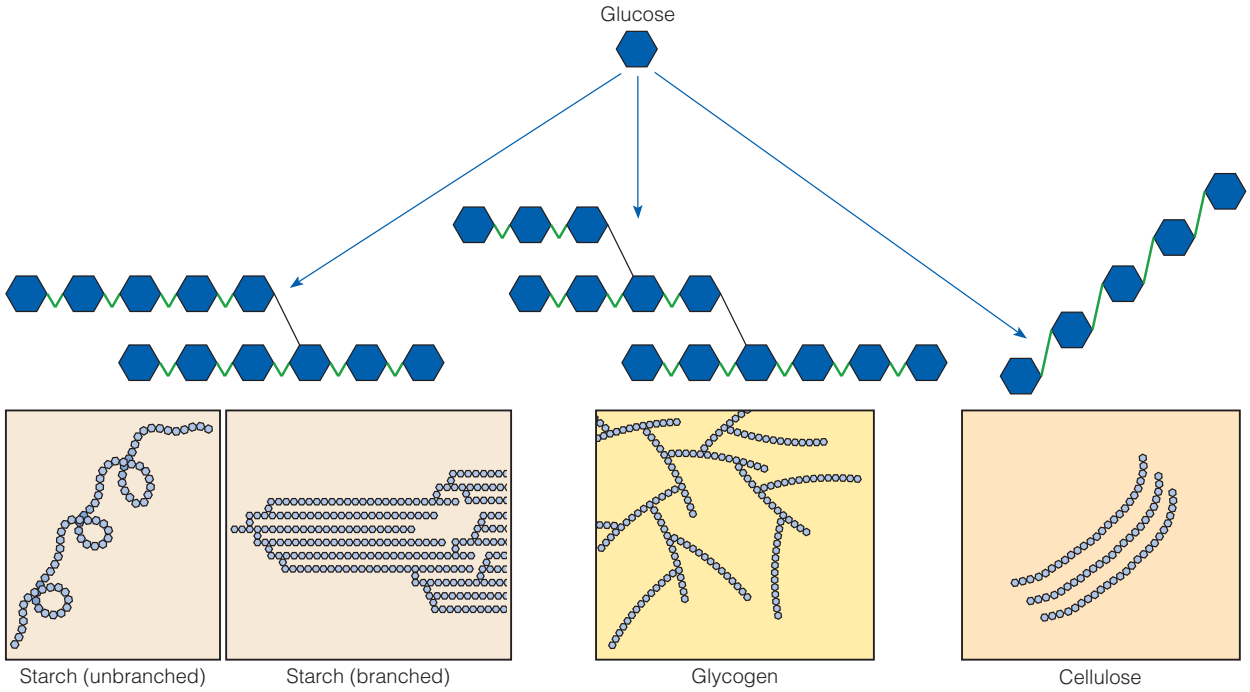
Figure 4–3

How Glucose Molecules Join to Form Polysaccharides

Each tiny ball shown in the images of this figure represents a glucose unit. Real glucose units are so tiny that you cannot see them, even with the highest-power microscope.



Starch Glucose units are linked in long, occasionally branched chains to make starch. Human digestive enzymes can digest these bonds, retrieving glucose.

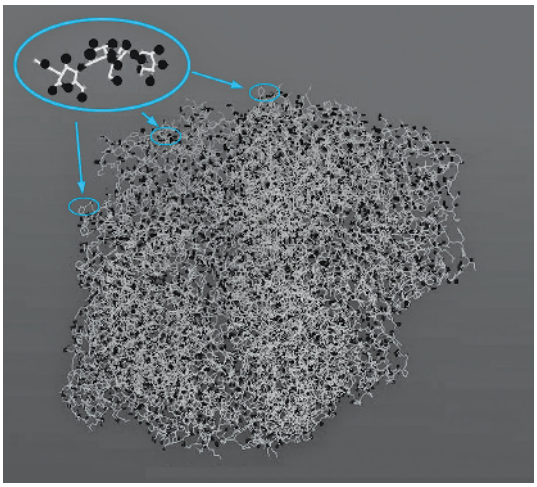
Glycogen Glycogen resembles starch in that the bonds between its glucose units can be broken by human enzymes, but the chains of glycogen are more highly branched.

Cellulose (fiber) The bonds that link glucose units together in cellulose are different from the bonds in starch or glycogen. Human enzymes cannot digest them.

Figure 4–4

Model of A Glycogen Molecule

One glycogen molecule stores tens of thousands of glucose units nested in an easy-to-retrieve form. In this photo, individual glucose molecules are depicted as black dots linked together with white sticks.



Jon Lomberg/Science Source

leaves that can catch the sun's rays. Glucose, in contrast, is soluble in water and would be washed away by the rains while the seed lay in the soil. The starch of corn and other plant foods is nutritive for people, too, because people can digest the starch to glucose and extract the sun's energy stored in its chemical bonds. A later section describes starch digestion in detail.

Key Point

- Starch is the storage form of glucose in plants and also yields glucose for the body's use.

Glycogen

Just as plant tissues store glucose in long chains of starch, animal liver and muscle tissues store glucose in long chains that clump together to form **glycogen** (depicted in Figure 4–3). Glycogen resembles starch in that it consists of glucose molecules linked together to form chains, but its chains are longer and more highly branched (see Figure 4–4). Unlike starch, which is abundant in grains, potatoes, and other foods from plants, glycogen is nearly undetectable in meats because it breaks down rapidly when the animal is slaughtered. A later section describes how the human body handles its own packages of stored glucose.

Key Point

- Glycogen is the storage form of glucose in the body.

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Fibers

Some of the **fibers** of a plant form the supporting structures of its leaves, stems, and seeds. Other fibers play other roles; for example, they retain water and thus protect seeds from drying out. Like starch, most fibers are polysaccharides—chains of sugars—but they differ from starch in that the sugar units are held together by bonds that human digestive enzymes cannot break. Most fibers therefore pass through the human body intact, without providing energy for its use. A little energy arises, however, when certain fibers encounter the colon's bacterial colonies, which do possess fiber-digesting enzymes. This digestion involves **fermentation**, a form of breakdown that produces tiny products, mainly fat fragments, which the human colon absorbs. Many animals, such as cattle, depend heavily on their digestive-system bacteria to make the energy of glucose available from the abundant cellulose, a form of fiber, in their fodder. Thus, when we eat beef, we indirectly receive some of the sun's energy that was originally stored in the fiber of the plants. Beef itself, like other animal products, contains no fiber.

Key Points

- Fibers lend structure to plants and perform other functions.
- Human digestive enzymes cannot break the chemical bonds of fibers.
- Some fiber is susceptible to fermentation by bacteria in the colon.

Summary

Plants combine carbon dioxide, water, and the sun's energy to form glucose, which they can store as the polysaccharide starch. Then animals or people eat the plants and retrieve the glucose. In the body, the liver and muscles may store the glucose as the polysaccharide glycogen, but ultimately it yields glucose again. Thus, glucose delivers the sun's energy to fuel the body's activities. In the process, glucose breaks down to the waste products carbon dioxide and water, which are excreted. Later, plants use these compounds again as raw materials to make carbohydrate. Fibers are plant constituents that are not digested directly by human enzymes, but intestinal bacteria ferment some fibers, and dietary fiber contributes to the health of the body.

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The brain uses glucose as its primary fuel.

The Need for Carbohydrates

LO 4.2 Explain why carbohydrates are needed in the diet.

Glucose from carbohydrate is an important fuel for most body functions. Only two other nutrients provide energy to the body: protein and fats.* Protein-rich foods are usually expensive and, when used to make fuel for the body, provide no advantage over carbohydrates. Moreover, excess dietary protein has disadvantages, as Chapter 6 explains. Fats normally are not used as fuel by the brain and central nervous system; these tissues prefer glucose, and red blood cells use glucose exclusively. Thus, glucose is a critical energy source, and whole foods that supply carbohydrates—particularly the fiber-rich ones—are the preferred source of glucose in the diet.

Carbohydrates also play vital roles in the functioning of body tissues. For example, sugars that dangle from protein molecules, once thought to be mere hitchhikers, are now known to dramatically alter the shape and function of certain proteins. Such a sugar-protein complex is responsible for the slipperiness of mucus, the watery lubricant that coats and protects the body's internal linings and membranes.† Sugars also bind to the outsides of cell membranes, where they facilitate cell-to-cell communication and nerve and brain cell functioning. Clearly, the body needs carbohydrates for more than just energy.

*Ethanol, the alcohol in alcoholic beverages, also supplies calories, but alcohol is toxic to body tissues.

†Such combination molecules are known as *glycoproteins*.

glycogen (GLY-co-gen) a highly branched polysaccharide that is made and held in liver and muscle tissues as a storage form of glucose. Glycogen is not a significant food source of carbohydrate and is not counted as one of the complex carbohydrates in foods.

fibers the indigestible parts of plant foods, largely nonstarch polysaccharides that are not digested by human digestive enzymes, although some are digested by resident bacteria of the colon. Fibers include cellulose, hemicelluloses, pectins, gums, mucilages, and a few non-polysaccharides such as lignin.

fermentation (FUR-mun-TAY-shun) the anaerobic (without oxygen) breakdown of carbohydrates by microorganisms that releases small organic compounds along with carbon dioxide and energy.

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If I Want to Lose Weight and Stay Healthy, Should I Avoid Carbohydrates?

Carbohydrates have been wrongly accused of being the "fattening" ingredient of foods, thereby misleading millions of weight-conscious people into eliminating nutritious carbohydrate-rich foods from their diets. In truth, people who wish to lose fat, maintain lean tissue, and stay healthy can do no better than to monitor portion sizes and calorie intakes, and to design an eating plan around fiber-rich carbohydrate-rich fruit, legumes, vegetables, and **whole grains**.[1*]

Lower in Calories Gram for gram, carbohydrates donate fewer calories than do dietary fats, and converting excess glucose into fat for storage is inefficient, costing many calories. Still, it is possible to consume enough calories of carbohydrate to exceed the need for energy, and this reliably leads to weight *gain*. To lose weight, dieters must plan to consume fewer total calories from all foods and beverages each day.

Empty Calories of Added Sugars Purified, refined sugars (mostly sucrose or fructose) contain no other nutrients—no protein, vitamins, minerals, or fiber—and thus are low in nutrient density. A person choosing 400 calories of sugar in place of 400 calories of whole-grain bread loses the nutrients, phytochemicals, and fiber of the bread. You can afford to do this only if you have already met all of your nutrient needs for the day and still have calories to spend.

Overuse of added sugars may have other effects as well. The Controversy section of this chapter considers evidence concerning added sugars, blood lipids, and chronic disease risks.

Guidelines For health's sake, then, most people should increase their intakes of fiber-rich whole-food sources of carbohydrates and reduce their intakes of foods high in refined grains and added sugars. Table 4–1 presents carbohydrate recommendations and guidelines from several authorities.

Note that recommendations for total carbohydrate and added sugars may be given as "percentages of total calories," a concept introduced in the Do the Math feature of Chapter 2 (p. 33). Percentages make sense in this regard because they apply proportionally to all calorie intakes, and individuals' calorie needs vary widely. For example, the recommended range of total carbohydrate intakes is from 45 to 65 percent of daily calories. This amounts to 900 to 1,300 calories of carbohydrate in a 2,000-calorie diet, but for a person needing just 1,200 calories a day, carbohydrate should provide only 540 to 780 calories. Likewise, the limit on grams of added sugars fluctuates with a person's daily calorie needs.

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Unlike the added sugars in concentrated sweets, the sugars in fruit are diluted with water and naturally packaged with vitamins, minerals, phytochemicals, and fiber.

The recommended range for total carbohydrate intake is one of three AMDR values set by the DRI committee for energy nutrients (see pp. 32–33). These ranges ensure adequate intakes and are associated with low risks for developing chronic diseases. Figure 4–5 illustrates that when the contribution of one energy nutrient (for example, carbohydrate, shown by the blue bar) changes in a calorie-controlled diet, the other energy nutrients must increase or decrease proportionally if calories are to remain constant.

For weight loss, authorities do not recommend omitting carbohydrates. In fact, the opposite is true. This chapter's Consumer's Guide describes various whole-grain foods, and the Food Feature helps you "see" the carbohydrates in foods.

Key Points

- The body tissues use carbohydrate for energy and other critical functions.
- The brain and nerve tissues prefer carbohydrate as fuel, and red blood cells can use nothing else.
- Intakes of refined carbohydrates should be limited.

whole grains grains or foods made from them that contain all the parts and naturally occurring nutrients of the entire grain seed, except the inedible husk.

*Reference notes are in Appendix F.

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Table 4–1

Recommendations for Carbohydrate Intakes

1. Total carbohydrate

Dietary Reference Intakes (DRI)

- At a minimum, adults and children need 130 g/day to provide glucose to the brain.
- For optimal health, the Acceptable Macronutrient Distribution Range (AMDR) is set between 45 and 65% of total calories from carbohydrate.

Dietary Guidelines for Americans

- Choose nutrient-dense grains, fruit, starchy vegetables, legumes, and milk to meet the day's total carbohydrate intake.

2. Added sugars

Dietary Guidelines for Americans

- Limit intakes of added sugars to a maximum of 10% of total calories.

American Heart Association

- A prudent daily upper limit is not more than 100 cal (about 6 teaspoons) of added sugars for most women and children or 150 cal for most men.

World Health Organization (WHO)

- *Strong recommendation*[a] Both adults and children should reduce the intake of added sugars to less than 10% of total energy intake.
- *Conditional recommendation*[b] Both children and adults should further reduce the intake of added sugars to below 5% of total energy intake.

3. Whole grains

Dietary Guidelines for Americans

- A healthy dietary pattern includes grains, at least half of which are whole grains.

4. Fiber

Dietary Reference Intakes (DRI)

- 38 g of total fiber per day for men through age 50; 30 g for men 51 and older.
- 25 g of total fiber per day for women through age 50; 21 g for women 51 and older.

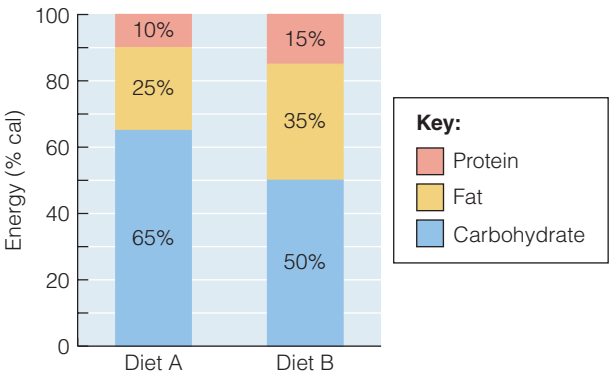
[a]*Strong recommendations indicate that desirable effects of adherence to the recommendation outweigh undesirable consequences. The recommendation can be applied in most situations.*

[b]*Conditional recommendations are made with less certainty, but with some scientific support.*

Figure 4–5

Percentages of Energy Nutrients

The three energy nutrients—carbohydrate, fat, and protein—all contribute to the total energy (calorie) intake. Whenever the percentage of one energy nutrient increases or decreases, the percentages from the others must change as well to keep calories constant.



Why Do Nutrition Experts Recommend Fiber-Rich Foods?

People who regularly eat fiber-rich fruit, legumes, vegetables, nuts, seeds, and whole grains often stay healthier than those who do not, and the fibers in those foods deserve some of the credit.[2] Researchers often classify dietary fibers according to their solubility in water, a characteristic that helps explain their health various effects.[3]

Soluble Fibers Fibers that readily dissolve in water are the **soluble fibers**. In foods, soluble fibers add a pleasing consistency. Examples are pectin that puts the gel

soluble fibers food components that readily dissolve in water, become viscous, and often impart gummy or gel-like characteristics to foods. An example is pectin from fruit, which is used to thicken jellies.

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Beans and other vegetables supply fiber. A single bean burrito offers 11 grams, well over a third of the fiber Daily Value of 28 grams.

in jelly and gums that make bottled salad dressings **viscous**. Soluble fibers are naturally abundant in oats, barley, legumes, okra, and citrus fruit. In addition to food sources, extracted single soluble fiber preparations are used as medications or as food additives.

In the body, soluble fibers are best known for their ability to modulate blood glucose and insulin levels.[4] They also lower blood cholesterol and promote the health of the colon.[5] Many kinds are readily fermented by colonic bacteria, and products of their fermentation:

- nourish cells of the colon and promote resistance to colon cancer,
- reduce inflammation.[6]
- support immunity.[7]

Clearly, a dietary pattern that supplies ample soluble fibers helps maintain the body's health.

Key Points

- Soluble fibers dissolve in water, form viscous gels, and many are readily fermented by colonic bacteria.
- Soluble fibers and products of their fermentation play roles in maintaining the body's health.

Insoluble Fibers Other fibers are **insoluble fibers**. These do not dissolve in water, do not form gels, are not viscous, and resist fermentation. Insoluble fibers, such as cellulose, form structures of plants, such as the outer layers of whole grains (bran), the strings of celery, the hulls of seeds, and the skins of corn kernels. These fibers retain their shape and rough texture even after hours of cooking. In the digestive system, they ease elimination, as described later.

Figure 4–6 shows the diverse effects of different fibers, and generally where they are found in foods. Most unrefined plant foods contain a mix of fiber types.

Key Points

- Insoluble fibers do not dissolve in water; they form structural parts of plants and resist fermentation by colonic bacteria.
- Insoluble fibers support digestive tract health.

Heart Disease and Stroke Evidence suggests that diets rich in fruit, legumes, vegetables, nuts, seeds, and whole grains—and therefore rich in fibers and other complex carbohydrates—are protective against heart disease and stroke.[8] Such diets are also generally low in added sugars, saturated fat, and trans fat, and are high in nutrients and phytochemicals—all factors associated with a reduced risk of heart disease. In contrast, diets high in refined grains and added sugars may push blood lipids toward elevated heart disease risks.

Soluble, gel-forming fibers, such as those of apples, barley, carrots, oatmeal, and legumes, lower blood cholesterol by binding bile, a digestive juice that contains cholesterol compounds.[9] Bile is made by the liver and secreted into the intestine (see Chapter 3). Normally, much of bile's cholesterol would be reabsorbed from the intestine for reuse, but soluble fiber carries some of it out with the feces (Figure 4–7, p. 112 illustrates this effect). These bile compounds are needed in digestion, so the liver responds to a lack of them by drawing on the body's cholesterol stocks to synthesize more.

Key Point

- Foods rich in soluble fibers help control blood cholesterol.

Blood Glucose Control The soluble fibers of foods such as oats and legumes help regulate blood glucose following a carbohydrate-rich meal. Soluble fibers delay digestion of nutrients, thus slowing glucose absorption from the digestive tract. People with **diabetes** are urged to consume fiber-rich foods to help improve their blood glucose control.

Diabetes is a topic of **Chapter 11**.

viscous (VISS-cuss) having a sticky, gummy, or gel-like consistency that flows relatively slowly.

insoluble fibers the tough, fibrous structures of fruit, vegetables, and grains; indigestible food components that do not dissolve in water.

diabetes (dye-uh-BEET-eez) metabolic diseases that impair a person's ability to regulate blood glucose.

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Figure 4–6

Characteristics, Sources, and Health Effects of Fibers

Most plant-derived foods provide a mixture of soluble and insoluble fibers.

People who eat these foods . . .		obtain these types of fibers . . .	with these actions in the body . . .	and receive these probable health benefits.
		Soluble, viscous, often fermentable and gel-forming		
bitt24/Shutterstock.com	• Barley, oats, oat bran, rye, fruit (apples, citrus), pears, legumes (especially young green peas and black-eyed peas), seaweeds, seeds, many vegetables, fibers used as food additives[b]	• Beta-glucans • Gums • Inulin[a] • Pectins • Psyllium[b] • Some hemicellulose	• Reduce blood cholesterol by binding bile • Slow glucose absorption • Slow transit of food through upper GI tract; delay nutrient absorption • Hold moisture in stools, softening them • Nourish beneficial bacterial colonies in the colon • Yield small fat molecules after fermentation that the colon can use for energy • Increase satiety	• Alleviate constipation (less fermentable soluble fibers) • Lower risk of heart disease • Lower risk of diabetes • Lower risk of colon and rectal cancer • Increase satiety (improve weight management)
		Insoluble, nonviscous, mostly unfermentable		
Stockbyte/Getty Images	• Brown rice, fruit, legumes, seeds, vegetables (cabbage, carrots, Brussels sprouts), wheat bran, whole grains, extracted fibers used as food additives	• Cellulose • Lignins • Resistant starch • Hemicellulose	• Stimulate colon lining, increase fecal weight, and speed fecal passage through colon • Provide bulk and feelings of fullness	• Alleviate constipation • Lower risk of hemorrhoids and appendicitis • May reduce complications from diverticulosis • Lower risk of colon and rectal cancer

[a]Inulin, a soluble and fermentable but nonviscous fiber, is found naturally in a few vegetables, but is also purified from chicory root for use as a food additive.
[b]Psyllium, a soluble fiber derived from seed husks, resists fermentation and is used as a laxative and food additive.

Key Point

- Foods rich in soluble fibers help to modulate the rate of glucose absorption.

The microbiota of the digestive tract is described in **Chapter 3**.

Digestive Tract Health Soluble and insoluble fibers, along with an ample fluid intake, support the colon's health and proper function. Fermentable soluble fibers of whole foods are of special importance in these roles. Although human enzymes cannot digest these fibers, colonic bacteria readily ferment them, deriving sustenance that allows beneficial colonies to multiply and flourish.[10]

People who suffer occasional constipation often find relief by including more fiber-rich foods in their diets or by taking fiber supplements. Specially manufactured soluble fiber in supplements resists fermentation by the colon's bacteria and remains intact in the digestive tract.* This fiber cannot nourish beneficial bacteria but swells with water, softening and giving weight to fecal matter, easing its passage from the system. Coarse insoluble fibers also relieve constipation by stimulating the colon lining to secrete mucus and water that enlarge and soften the stools.

Large, soft stools ease the task of elimination. Pressure is then reduced in the lower bowel (colon), helping to prevent swelling of the rectal veins (**hemorrhoids**). Fiber prevents compaction of the intestinal contents, which could obstruct the appendix and

hemorrhoids (HEM-or-oids) swollen, hardened (varicose) veins in the rectum, usually caused by pressure resulting from constipation.

*The unfermentable manufactured fibers are methylcellulose (from wood pulp) and psyllium (from seed husk).

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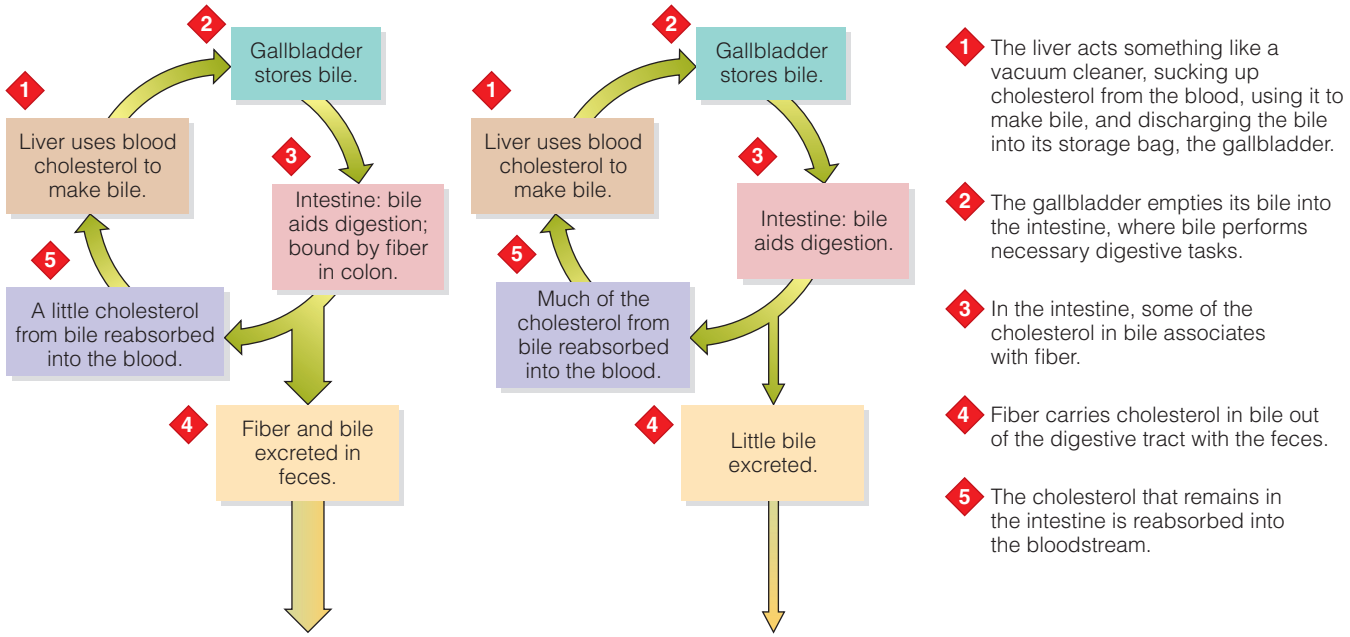
Figure 4–7

One Way Fiber in Food May Lower Cholesterol in the Blood



permit bacteria to invade and infect it (**appendicitis**). In addition, many people suffer from weaknesses in the wall of the large intestine that leads portions of the wall to bulge out into pouches known as **diverticula**. Ample dietary fiber may help reduce complications of diverticula, but whether it keeps them from forming is unknown.[11]

Key Points

- Soluble fibers help to sustain colonies of beneficial bacteria in the intestine.
- Both soluble and insoluble fibers ease elimination by enlarging and softening stools, and maintain digestive tract health.

Digestive Tract Cancers Cancers of the colon and rectum claim tens of thousands of lives each year. The risks of these cancers are highest among people with low dietary fiber intakes. Evidence supports an inverse association between dietary fiber and cancers of the colon and rectum.[12] Subjects in one study who ate the most fiber (28 or more grams per day) had risks of colon and rectal cancer that were 17 percent lower than in subjects who ate the least. This study and others with similar results focus on fiber from grains, fruit, and vegetables and not from supplements. Fiber supplements lack the nutrients and phytochemicals of whole foods, which may also help protect against cancers.

All plant foods have attributes that may reduce the risks of colon and rectal cancers but researchers are still working out these relationships.[13] Fibers dilute, bind, and rapidly remove potential cancer-causing agents from the colon. In addition, small fat molecules arising in the colon from the bacterial fermentation of fiber may activate cancer-destroying mechanisms and inhibit inflammation. (Many other daily choices influence colon cancer risks, and you can read about them in Chapter 11.)

Key Points

- Adequate dietary fiber may reduce the risks of colon and rectal cancers.
- Plant foods supply fiber, nutrients, and phytochemicals that oppose cancers in many ways.

appendicitis inflammation and/or infection of the appendix. (The appendix is a sac about 4 inches long, protruding from the large intestine. It may become infected if fragments of the intestinal contents become trapped within it.)

diverticula (dye-ver-TIC-you-la) sacs or pouches that balloon out of the intestinal wall, caused by weakening of the muscle layers that encase the intestine. The painful inflammation of one or more of the diverticula is known as *diverticulitis*.

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Healthy Weight Management Foods rich in fibers tend to be low in fats, added sugars, and calories and can therefore help to prevent weight gain and promote weight loss by delivering less energy per bite. Such foods take longer to chew and are slow to empty from the stomach, so they prolong eating and digestion times. In addition, fibers absorb water from the digestive juices; as they swell, distending the stomach and triggering feelings of fullness. The small fat molecules formed during fermentation of soluble fibers may shift the body's hormones in ways that promote feelings of fullness, but no one yet knows if daily food intake is reduced by this mechanism.[14] The opposite is certainly true of low fiber intakes: as populations eat more refined low-fiber grains and concentrated sweets, body fat stores expand.

To achieve the fiber intakes that are best for you, follow the dietary patterns of the Dietary Guidelines for Americans. Choose the recommended servings of whole, nutrient-dense fruit and vegetables, make at least half the grain choices whole grains, and choose legumes several times per week. That way, you'll obtain all of the benefits that these plant foods have to offer. Eating a diet of highly refined foods and adding a fiber supplement is simply not the same.

Key Point

- A diet with adequate fiber-rich whole foods may help to manage body weight.

Fiber Intakes and Excesses

Few people in the United States consume sufficient fiber. The DRI value for fiber is 14 grams per 1,000 calories, or 25 grams per day for most women and 38 grams for most men—almost twice the average current intake of about 15 grams (women) and 18 grams (men).[15] Fiber recommendations (in the back pages, p. A) are made in terms of total fiber with no distinction among fiber types because most fiber-rich foods supply a mixture of fibers.

An effective way to add fiber is to substitute plant sources of protein (legumes) for some of the animal sources of protein (meats and cheeses) in the diet. Another way is to focus on consuming the recommended amounts of fruit, vegetables, legumes, and whole grains each day. You can make a quick approximation of your day's fiber intake by following the instructions in Table 4–2. (Figure 4–16 in the Food Feature later on provides some tips for increasing fiber intake.) People choosing high-fiber foods are also wise to drink extra fluids to help the fiber do its job.

Table 4–2

A Quick Method for Estimating Fiber Intake

1. Multiply servings (½ c cut up or 1 medium piece) of any fruit or vegetable (excluding juice) by 1.5 g.[a] *Example:* 5 servings of fruit and vegetables × 1.5 = 7.5 g fiber
2. Multiply ½ c servings of refined grains by 1.0 g. *Example:* 4 servings of refined grains × 1.0 = 4.0 g fiber
3. Multiply ½ c servings of whole grains by 2.5 g. *Example:* 3 servings of whole grains × 2.5 = 7.5 g fiber
4. Add fiber values for servings of legumes, nuts, seeds, and high-fiber cereals and breads; look these up online.[b] *Example:* ½ c navy beans = 6.0 g fiber
5. Add up the grams of fiber from the previous lines. *Example:* 7.5 + 4.0 + 7.5 + 6.0 = 25 g fiber

Day's total fiber = 25 g fiber

[a]*Most cooked and canned fruits and vegetables contain about this amount, while whole raw fruit and some vegetables contain more.*

[b]*Find fiber values of foods in USDA's What's in the Foods You Eat Search Tool, available at https://ndb.nal.usda.gov/ndb/.*

Can My Diet Have Too Much Fiber? No Tolerable Upper Intake Level has been established for fiber, but consuming purified fiber added to foods or supplements can be taken to extremes. One overly enthusiastic eater of oat bran muffins required emergency surgery for a blocked intestine; too much oat bran and too little fluid overwhelmed his digestive system. Use bran and other purified fibers with moderation, and remember to drink an extra beverage with them.

Fiber makes food bulky and takes up space in the stomach, so a person who eats only small amounts of food at a time may not meet energy or nutrient needs when the diet presents too much high-fiber food. The malnourished, the elderly, and young children adhering to all-plant (vegan) diets are especially vulnerable to this problem.

A byproduct of fiber fermentation can be any of several odorous gases, an effect most noticeable with sudden increases in fiber intake. Don't give up on high-fiber foods if they cause gas. Instead, start with small servings and gradually increase the serving size over several weeks to allow the digestive system time to adapt; chew foods thoroughly to break up hard-to-digest lumps that can ferment in the intestine; and try a variety of fiber-rich foods until you find some that do not cause the problem. Some people also find relief from excessive gas by using commercial enzyme preparations sold for use with beans. Such products contain enzymes that help break down some of the indigestible fibers in foods before they reach the colon.

Binders in Fiber Binders in some fibers act as **chelating agents**. This means that they link chemically with important nutrient minerals (iron, zinc, calcium, and others)

chelating agents (KEY-late-ing) molecules that attract or bind with other molecules and are therefore useful in either preventing or promoting movement of substances from place to place.

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Table 4–3

Usefulness of Carbohydrates

Carbohydrates in the Body	Carbohydrates in Foods
▪ *Energy source.* Sugars and starch from the diet provide energy for many body functions; they provide glucose, the preferred fuel for the brain and nerves. ▪ *Glucose storage.* Muscle and liver glycogen store glucose. ▪ *Raw material.* Sugars can be partly broken down to fragments that are used in making other compounds, such as certain amino acids (the building blocks of proteins), as needed. ▪ *Structures and functions.* Sugars interact with protein molecules, affecting their structures and functions. ▪ *Digestive tract health.* Fibers help maintain healthy bowel function, reduce risk of bowel diseases, and nourish beneficial bacteria. ▪ *Blood cholesterol.* Fibers promote normal blood cholesterol concentrations (reduce risk of heart disease). ▪ *Blood glucose.* Fibers modulate blood glucose concentrations (help control diabetes). ▪ *Satiety.* Dietary fibers and blood glucose contribute to feelings of fullness. ▪ *Body weight.* A fiber-rich diet is conducive to a healthy body weight.	▪ *Flavor.* Sugars provide sweetness. ▪ *Browning.* When exposed to heat, sugars undergo browning reactions, lending appealing color, aroma, and taste. ▪ *Texture.* Sugars help make foods tender. Cooked starch lends a smooth, pleasing texture. ▪ *Gel formation.* Starch molecules expand when heated and trap water molecules, forming gels. The fiber pectin forms the gel of jellies when cooked with sugar and acid from fruit. ▪ *Bulk and viscosity (thickness).* Carbohydrates lend bulk and increased viscosity to foods. Soluble, viscous fibers lend thickness to foods such as salad dressings. ▪ *Moisture.* Sugars attract water and keep foods moist. ▪ *Preservative.* Sugar in high concentrations dehydrates bacteria and preserves the food. ▪ *Fermentation.* Carbohydrates are fermented by yeast, a process that causes bread dough to rise and beer to brew.

Ljupco Smokovski/Shutterstock.com

and then carry them out of the body. The mineral iron is mostly absorbed at the top of the intestinal tract, and excess insoluble fibers may limit its absorption by speeding foods along the upper part of the tract. Chelating agents are often sold by supplement vendors to "remove toxins" from the body. Some valid medical uses exist, such as the treatment of lead poisoning, but most chelating agents sold over the counter are unnecessary.

A later section focuses on the handling of carbohydrates by the digestive system. Table 4–3 sums up the points made so far concerning the functions of carbohydrates in the body and in foods.

Key Points

- Few people consume sufficient fiber.
- The best fiber sources are whole foods from plants.
- Fluid intake should increase along with fiber.
- Very-high-fiber all-plant diets can pose nutritional risks for people who are old or malnourished, and for young children.

Whole Grains

The Dietary Guidelines for Americans urge everyone to make at least half of their daily grain choices *whole* grains, an amount equal to at least three 1-ounce servings of whole grains a day. To do this, you must distinguish among grain foods that are **refined**, **enriched**, **fortified**, and whole grain (see Table 4–4). This chapter's Consumer's Guide (p. 118) explains how to find whole-grain foods.

Flour Types The part of a typical grain plant, such as wheat, that is made into flour (and then into bread, cereals, and pasta) is the seed, or kernel. The kernel has four main parts: the **germ**, the **endosperm**, the **bran**, and the **husk**, as shown in Figure 4–8. The germ is the part that grows into a new plant, in this case wheat, and therefore contains concentrated food to support the new life—it is especially rich in oils, vitamins, and minerals. The endosperm is the soft, white inside portion of the kernel, containing starch and proteins that help nourish the seed as it sprouts. The kernel is encased in the bran, a protective coating that is similar in function to the shell of a nut;

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Table 4–4

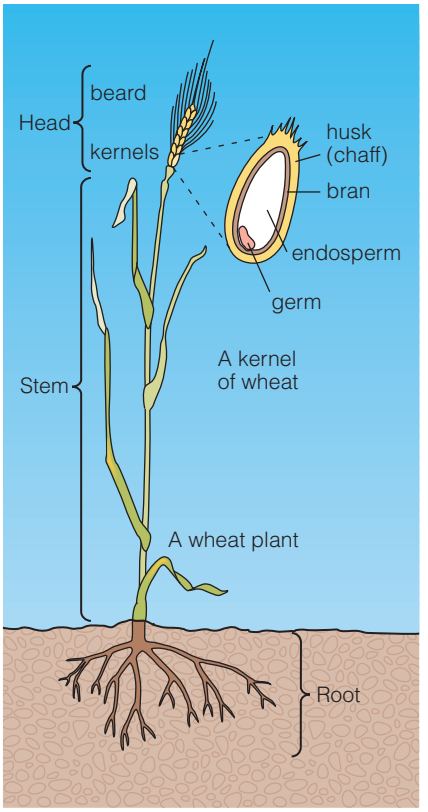
Terms that Describe Grain Foods

- **bran** the protective fibrous coating around a grain; the chief fiber constituent of a grain.
- **brown bread** bread containing ingredients such as molasses that lend a brown color; these breads may be made with any kind of flour, including white flour.
- **endosperm** the bulk of the edible part of a grain, the starchy part.
- **enriched, fortified** refers to the addition of nutrients to a refined food product. As defined by U.S. law, these terms mean that specified levels of thiamin, riboflavin, niacin, folate, and iron have been added to refined grains and grain products. The terms *enriched* and *fortified* can refer to the addition of more nutrients than just these five; read the label.[a]
- **germ** the nutrient-rich inner part of a grain.
- **husk** the outer, inedible part of a grain.
- **multigrain** a term used on food labels to indicate a food made with more than one kind of grain. Not an indicator of a whole-grain food.
- **refined** refers to the process by which the coarse parts of food products are removed. For example, the refining of wheat into white enriched flour involves removing three of the four parts of the kernel—the chaff, the bran, and the germ—leaving only the endosperm, which is composed mainly of starch and a little protein.
- **refined grains** grains and grain products from which the bran, germ, or other edible parts of whole grains have been removed; not a whole grain. Many refined grains are low in fiber and are enriched with vitamins, as required by U.S. regulations.
- **stone-ground** refers to a milling process using limestone to grind any grain, including refined grains, into flour.
- **unbleached flour** a beige-colored refined endosperm flour with texture and nutritive qualities that approximate those of regular white flour.
- **wheat bread** bread made with any wheat flour, including refined enriched white flour.
- **wheat flour** any flour made from wheat, including refined white flour.
- **white flour** an endosperm flour that has been refined and bleached for maximum softness and whiteness.
- **white wheat** a wheat variety developed to be paler in color than common red wheat (most familiar flours are made from red wheat). White wheat is similar to red wheat in carbohydrate, protein, and other nutrients, but it lacks the dark and bitter, although potentially beneficial, phytochemicals of red wheat.
- **100% whole grain** a label term for food in which the grain is entirely whole grain, with no added refined grains.
- **whole-wheat flour** flour made from intact wheat kernels; a whole-grain flour. Also called *graham flour.*

[a]*Formerly,* enriched *and* fortified *carried distinct meanings with regard to the nutrient amounts added to foods, but a change in the law has made these terms virtually synonymous.*

Figure 4–8

A Wheat Plant and a Single Kernel of Wheat



the bran is also rich in nutrients and fiber. The husk, commonly called chaff, is the dry outermost layer that is inedible by human beings but can be consumed and digested by many plant-eating animals, so it is used in animal feed.

In earlier times, people milled wheat by grinding it between two stones, blowing or sifting out the tough outer chaff, but retaining all the nutrient-rich bran and germ, as well as the endosperm. With advances in milling machinery, it became possible to remove the dark, heavy bran and germ, leaving a whiter, smoother-textured flour with a higher starch content and far less fiber. An advantage of this flour, besides producing soft, white baked goods, is its durability—white flour "keeps" much longer than whole-grain flour because the nutrient-rich, oily germ of whole grains turns rancid over time. As food production became more industrialized, suppliers realized that customers also favored this refined, soft, white flour over the crunchy, dark brown, "old-fashioned" flour.

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Key Point

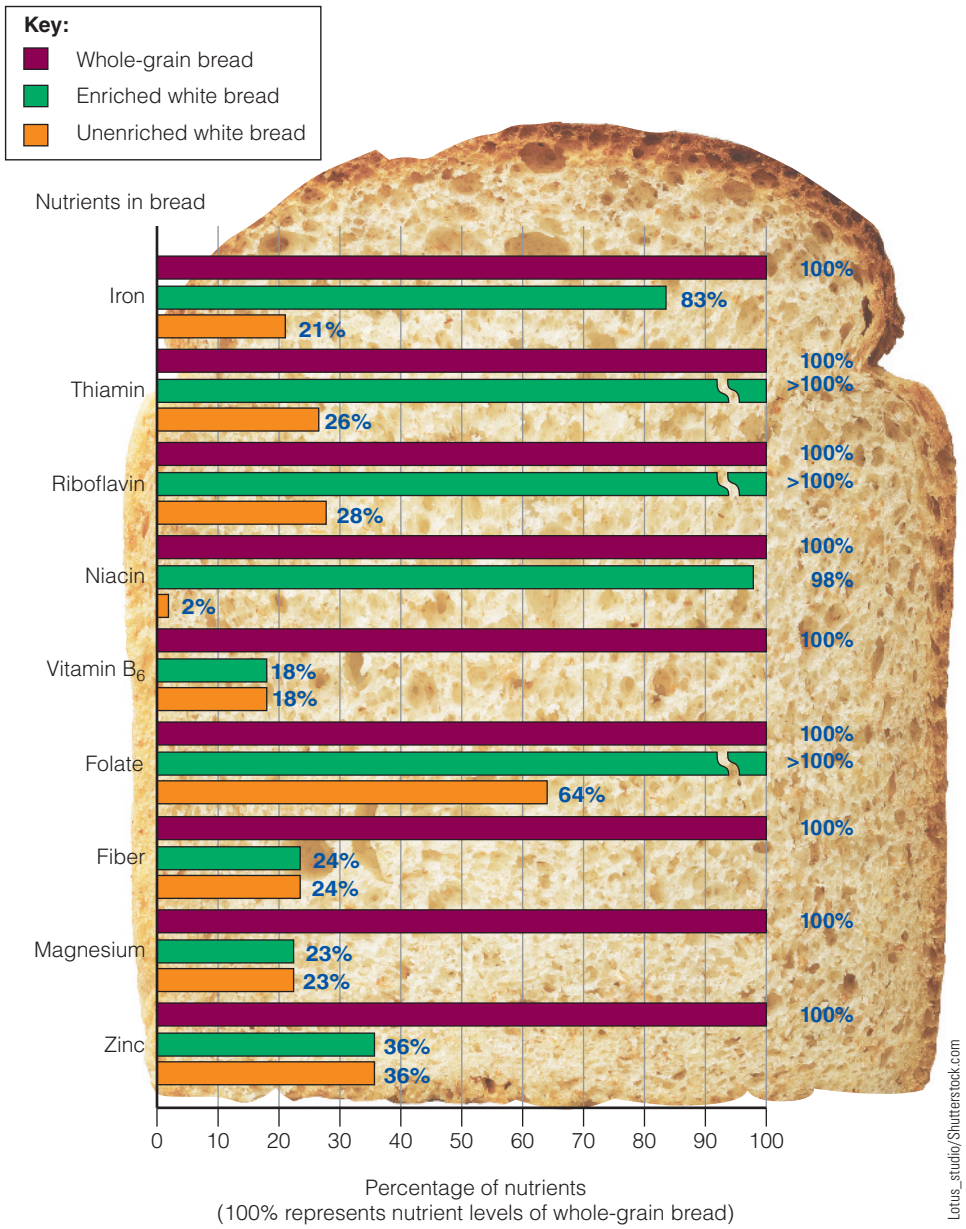
- Whole-grain flours retain all edible parts of grain kernels.

Enrichment of Refined Grains In turning to highly refined grains, many people suffered serious deficiency diseases from too little iron, thiamin, riboflavin, and niacin—nutrients formerly obtained from whole grains. To reverse this tragic loss of nutrients, Congress passed the U.S. Enrichment Act of 1942, requiring that iron, niacin, thiamin, and riboflavin be added to all refined grain products before they were sold. In 1996, the vitamin folate (often called *folic acid* on labels) was added to the list. Today, all refined grain products are enriched with at least the nutrients mandated by the act.

A single serving of enriched grain food is not "rich" in the enrichment nutrients, but people who eat several servings a day obtain significantly more of these nutrients than they would from unenriched refined products, as the bread example of Figure 4–9 shows.

Figure 4–9

Nutrients in Whole-Grain, Enriched White, and Unenriched White Breads



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Enriched grain foods are nutritionally comparable to whole-grain foods only with respect to their added nutrients; whole grains provide greater amounts of vitamin B_6 and the minerals magnesium and zinc that refined grains lack. Whole grains also provide substantial fiber (see Table 4–5), along with a wide array of potentially beneficial phytochemicals in the bran and the essential oils of the germ.

Key Point

- Refined grain products are less nutritious than whole grains.

Health Effects of Whole Grains Whole-grain intakes provide health benefits beyond just nutrients and fiber. People who take in just three daily servings of whole grains often have healthier body weights and less body fat than other people. It could be that whole grains fill up the stomach, slow down digestion, or promote longer-lasting feelings of fullness than refined grains. Intake of whole grains may also reduce the risks of several chronic diseases. In clinical trials, when researchers substitute whole grains for refined grains in people's diets, they note significant improvements in blood lipids, blood glucose control, and in a marker of inflammation.[16] Blood pressure and risk of heart disease also improve with greater intake of whole grains. Finally, people who make a habit of eating whole grains may have lower than average risks of certain cancers, particularly of the colon.

Refined grains in amounts of up to one-half of the daily grain intake (without added sugars, fats, or sodium) may pose little risk to health, but around the world, people whose diets center on refined grains are observed to have an elevated risk of mortality.[17] Clearly, those who choose to ignore the Dietary Guidelines for Americans recommendation to consume sufficient whole grains do so at their peril.

Key Point

- A diet rich in whole grains is associated with reduced risks of overweight and certain chronic diseases.

Table 4–5
Grams of Fiber in One Cup of Flour

Dark rye	31 g
Barley	15 g
Whole wheat	13 g
Buckwheat	12 g
Oat	9 g
Whole-grain masa harina (yellow corn flour or cornmeal)	9 g
Light rye	8 g
Enriched white	3 g
Rice flour	0 g

From Carbohydrates to Glucose

LO 4.3 Describe how carbohydrates are converted to glucose in the human body.

You may eat bread or a baked potato, but the body's cells cannot use foods or even whole molecules of lactose, sucrose, or starch for energy. They need the glucose in those molecules. The various body systems must make glucose available to the cells, not all at once when it is eaten but at a steady rate all day.

Digestion and Absorption of Carbohydrates

To obtain glucose from newly eaten food, the digestive system must first render the starch and disaccharides from the food into monosaccharides that can be absorbed through the cells lining the small intestine. The largest of the digestible carbohydrate molecules, starch, requires the most extensive breakdown. Disaccharides, in contrast, need be split only once before they can be absorbed.

Starch Digestion of most starch begins in the mouth, where an enzyme in saliva mixes with food and begins to split starch into shorter units. While chewing a bite of bread, you may notice that a slightly sweet taste develops—the disaccharide maltose is being liberated from starch by the enzyme. The salivary enzyme continues to act on the starch in the bite of bread until it is pushed downward and mixed with the stomach's acid and other juices. The salivary enzyme (made of protein) is deactivated by the stomach's protein-digesting acid.

With the breakdown of the salivary enzyme in the stomach, starch digestion ceases, but it resumes at full speed in the small intestine, where another starch-splitting enzyme is delivered by the pancreas. This enzyme breaks starch down into disaccharides and small polysaccharides. Other enzymes liberate monosaccharides for absorption.

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A Consumer's Guide to . . . Finding Whole-Grain Foods

"OK, it's time to take action." A consumer, ready to switch to some whole-grain foods, may find these good intentions derailed in the tricky terrain of the grocery store. Even experienced shoppers may feel bewildered in store-length aisles bulging with breads that range from light-as-a-feather, refined enriched white loaves to the heaviest, roughest-textured whole-grain varieties. Baffling arrays of label claims vie for shoppers' attention, too—and although some are trustworthy, others are not.

Not Every Choice Must Be 100 Percent Whole Grain

If you are just now starting to include whole grains in your diet, keep in mind that various combinations of whole and refined grains can meet the Dietary Guidelines recommendation that half of the day's grains be whole grains. Until your taste buds adjust, you may prefer breads, cereals, pastas, and other grain foods made from a half-and-half blend of whole and refined grains for all of your day's choices. The addition of some refined enriched white flour smoothes the texture of whole grain foods and provides a measure of folate, an important enrichment vitamin in the U.S. diet. Alternatively, you might choose 100 percent whole grains half of the time and refined grains for the other half, or any other combination to meet the need.

In addition to whole-grain blends, a variety of white durum wheat has been developed to mimic the taste and appearance of ordinary enriched refined white flour while offering nutrients similar to those of whole grains. Such **white wheat** products lack the dark-colored and strong-flavored phytochemicals associated with ordinary whole-wheat products, however, and research has not established whether their effects on the health of the body are equivalent.* (Look back at Table 4–4, p. 115, for definitions.)

High Fiber Does Not Equal Whole Grain

An important distinction exists between foods labeled "high-fiber" and those made of whole grains. High-fiber breads or cereals may derive their fiber from the addition of wheat bran or even purified cellulose, and not from whole grains. Label readers can distinguish one kind from the other by scanning the food's ingredients list for words like *bran*, *cellulose*, *methylcellulose*, *gums*, or *psyllium*. Such high-fiber foods may be nutritious and useful in their own way, but they cannot substitute for whole-grain foods in the diet.

Brown Color Does Not Equal Whole Grain

"**Brown bread**" may sound healthy, and white bread less so, but the term *brown* simply refers to color that may derive from brown ingredients, such as molasses. Similarly, whole-grain rice, commonly called brown rice, cannot be judged by color alone. Whole-grain rice comes in red and other colors, too. Also, many rice dishes appear brown because they contain brown-colored ingredients, such as soy sauce, beef broth, or seasonings. Pasta comes in a rainbow of colors, and whole-grain noodles and blends are increasingly available—just read the ingredients list on the label to check that any descriptors on the outside of the package accurately reflect the food inside.

Label Subtleties

A label proclaiming "Multi-Grain Goodness" or "Natural Wheat Bread" may imply healthfulness but can mislead uninformed shoppers, who assume, falsely, that such terms mean "whole grain." Tricky descriptors such as **multi-grain**, **wheat bread**, and **stone-ground** do not indicate whole grains. To find the real whole grains, look for the words *whole* or *whole grain* preceding the name of a grain in the ingredients list. Learn to recognize individual whole grains by name, too. Many are listed in Table 4–6.

Look at the bread labels in Figure 4–10, p. 119, and recall from Chapter 2 that ingredients must be listed in descending order of predominance on an ingredients list. It's easy to see from the label of the "Natural Wheat Bread" in the figure that this bread contains no whole grains whatsoever. This loaf is made entirely of refined enriched wheat flour,

**In 2005, ConAgra began marketing white wheat as* UltraGrain.

Table 4–6

A Sampling of Whole Grains

If a food has at least 8 grams of whole grains per ounce, it is at least half whole grains.

- Amaranth[a]
- Barley (hulled but not pearled)[b]
- Buckwheat[a]
- Bulgur wheat
- Corn, including whole cornmeal and popcorn
- Millet
- Oats, including oatmeal
- Quinoa (KEEN-wah)[a]
- Rice, including brown, red, and others
- Rye
- Sorghum (also called milo), a drought-resistant grain
- Teff
- Triticale, a cross of durum wheat and rye
- Wheat, in many varieties such as spelt, emmer, farro, einkorn, durum; and forms such as bulgur, cracked wheat, and wheatberries
- Wild rice[a]

[a]*Although not botanical grains, these foods are similar to grains in nutrient contents, preparation, and use.*

[b]*Hulling removes only inedible husk; pearling removes beneficial bran.*

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another name for white flour. The word "Natural" in the name is a marketing gimmick and has no meaning in nutrition.

Now read the label of "Multi-Grain, Honey Fiber Bread." It does contain multiple whole grains, but the major ingredient is still unbleached enriched wheat flour. The key here is the refinement of the wheatberries to yield refined "white" flour that requires enrichment, that is, enriched wheat flour. The bleaching status is irrelevant. Most of the fiber of this bread's name comes from added cellulose and not from its tiny amounts of "multigrains." Now focus on the bread labeled "Whole Grain, Whole Wheat." This, at last, is a 100 percent whole-grain food.

After the Salt

Here's a trick: a loaf of bread generally contains about one teaspoon of salt. Therefore, if an ingredient is listed *after* the salt, you'll know that the entire loaf contains less than a teaspoonful of that ingredient, not enough to make a significant contribution to the eater's whole-grain intake. In the "Multi-Grain" bread of Figure 4–10, notice that all of the whole grains are listed after the salt.

A Word about Cereals

Ready-to-eat breakfast cereals, from toasted oat rings to granola, are a pleasant way to include whole grains in almost anyone's diet. Like breads, cereals vary widely in their contents of whole grains, but, also like breads, they can be evaluated by reading their ingredients lists.

Figure 4–10

Bread Labels Compared

Natural Wheat Bread

Nutrition Facts

15 servings per container

Serving size 1 slice (30g)

Amount per serving

Calories 90

	% Daily Value*
Total Fat 1.5g	2%
Saturated Fat 0g	0%
Trans Fat 0g	
Sodium 220mg	10%
Total Carbohydrate 15g	5%
Dietary Fiber 1g	4%
Total Sugars 3g	
Includes 2g Added Sugars	4%
Protein 4g	8%
Vitamin D 0mcg	0%
Calcium 10mg	1%
Iron 1mg	6%
Potassium 80mg	2%

Ingredients: Unbleached enriched wheat flour [malted barley flour, niacin, reduced iron, thiamin mononitrate (vitamin B1), riboflavin (vitamin B2), folic acid], water high fructose corn syrup, molasses, partialy hydrogenated soybean oil, yeast, corn flour, salt, ground caraway, wheat gluten, calcium propionate (preservative), monoglycerides, soy lecithin.

Multi-Grain Honey Fiber

Nutrition Facts

18 servings per container

Serving size 1 slice (43g)

Amount per serving

Calories 120

	% Daily Value*
Total Fat 1.5g	2%
Saturated Fat 0g	0%
Trans Fat 0g	
Sodium 170mg	7%
Total Carbohydrate 21g	8%
Dietary Fiber 4g	14%
Total Sugars 4g	
Includes 3g Added Sugars	6%
Protein 5g	10%
Vitamin D 0mcg	0%
Calcium 37mg	3%
Iron 1mg	6%
Potassium 80mg	2%

Ingredients: Unbleached enriched wheat flour, water, wheat gluten, cellulose, yeast, soybean oil, honey, salt, barley, natural flavor preservatives, monocalcium phosphate, millet, corn, oats, soybean flour, brown rice, flaxseed.

Whole Grain 100% Whole Wheat

Nutrition Facts

18 servings per container

Serving size 1 slice (30g)

Amount per serving

Calories 90

	% Daily Value*
Total Fat 1.5g	2%
Saturated Fat 0g	0%
Trans Fat 0g	
Sodium 135mg	6%
Total Carbohydrate 15g	5%
Dietary Fiber 2g	7%
Total Sugars 2g	
Includes 1g Added Sugars	2%
Protein 4g	8%
Vitamin D 0mcg	0%
Calcium 59mg	5%
Iron 1mg	6%
Potassium 80mg	2%

Made From: Unbromated stone ground 100% whole wheat flour, water, crushed wheat, high fructose corn syrup, partially hydrogenated vegetable shortening (soybean and cottonseed oils), raisin juice concentrate, wheat gluten, yeast, whole wheat flakes, unsulphured molasses, salt, honey, vinegar, enzyme modified soy lecithin, cultured whey, unbleached wheat flour and soy lecithin.

(continued)

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Oatmeal in all its forms—old-fashioned, quick cooking, and even microwavable instant—qualifies as whole grain, but be careful: some instant oatmeal packets contain more sugar than grain. Limit intake of any cereal, hot or cold, with a high added sugar or sodium content, even if it touts "whole grains" on the label.

Moving Ahead

"I've tried buckwheat pancakes, and they're pretty tasty. But what on earth is quinoa?" Admittedly, certain whole grains have only recently found a place in mainstream grocery stores. They may still be unfamiliar to many shoppers. In a welcome trend, larger chain stores are stocking more brown rice, wild rice, bulgur, quinoa, and other whole-grain goodies on their shelves.

Once people begin to enjoy the added taste dimensions of whole grains, they may be less drawn to the bland refined foods formerly eaten out of habit. More than 90 percent of Americans are stuck in this rut, failing to eat the whole grains they need. Be adventurous with health in mind, and give the hearty flavors of a variety of whole-grain foods a try.

Review Questions*

1. When searching for whole-grain bread, a consumer should search the labels _____.
   a. for words like *multigrain*, *wheat bread*, *brown bread*, or *stone-ground*
   b. for the order in which whole grains appear on the ingredients list
   c. for the word *unbleached*, which indicates that the food is primarily made from whole grains
   d. b and c
2. Whole-grain rice, often called brown rice, _____.
   a. can be recognized by its characteristic brown color
   b. cannot be recognized by color alone
   c. is often more refined than white rice
   d. b and c
3. A bread labeled "high-fiber" _____.
   a. may not be a whole-grain food
   b. is a good substitute for whole-grain bread
   c. is required by law to contain whole grains
   d. may contain the dangerous chemical cellulose

** Answers to Consumer's Guide review questions are found in Appendix G.*

PublicDomainPictures/17904 images/Pixabay

Most forms of starch are easily digested. The starch of refined white flour, for example, breaks down rapidly to glucose that is absorbed high up in the small intestine. Other starch, such as that of cooked beans, digests more slowly and releases its glucose later in the digestion process. The least digestible starch, called **resistant starch**, is technically a kind of fiber because much of it passes undigested through the small intestine into the colon where bacteria eventually ferment it. Barley, raw or chilled cooked potatoes, cooked dried beans and lentils, oatmeal, popcorn and raw corn, intact seeds and kernels, and underripe bananas all contain resistant starch.

Sugars Sucrose and lactose from food, along with maltose and small polysaccharides freed from starch, undergo one more split to yield free monosaccharides before they are absorbed. This split is accomplished by digestive enzymes attached to the cells of the lining of the small intestine. The conversion of a bite of bread to nutrients for the body is completed when monosaccharides cross these cells and are washed away in a rush of circulating blood that carries them to the waiting liver. Figure 4–11 presents a review of carbohydrate digestion.

The absorbed carbohydrates (glucose, galactose, and fructose) travel in the bloodstream to the liver, which can convert fructose and galactose to glucose. The circulatory system transports the glucose and other products to the cells. Liver and muscle cells store circulating glucose as glycogen; all cells split glucose for energy.

Fiber As explained earlier, although molecules of most fibers are not changed by human digestive enzymes, many of them can be fermented by the bacterial inhabitants of the human colon. The fermentation process breaks down carbohydrate components of fiber into other products, including the small fats important to the health of the colon.

Key Points

- A main task of the human digestive system is to convert starch and sugars to glucose for absorption.
- Other body systems transport and store glucose; all cells can split glucose for energy.

resistant starch the fraction of starch in a food that is digested slowly, or not at all, by human enzymes.

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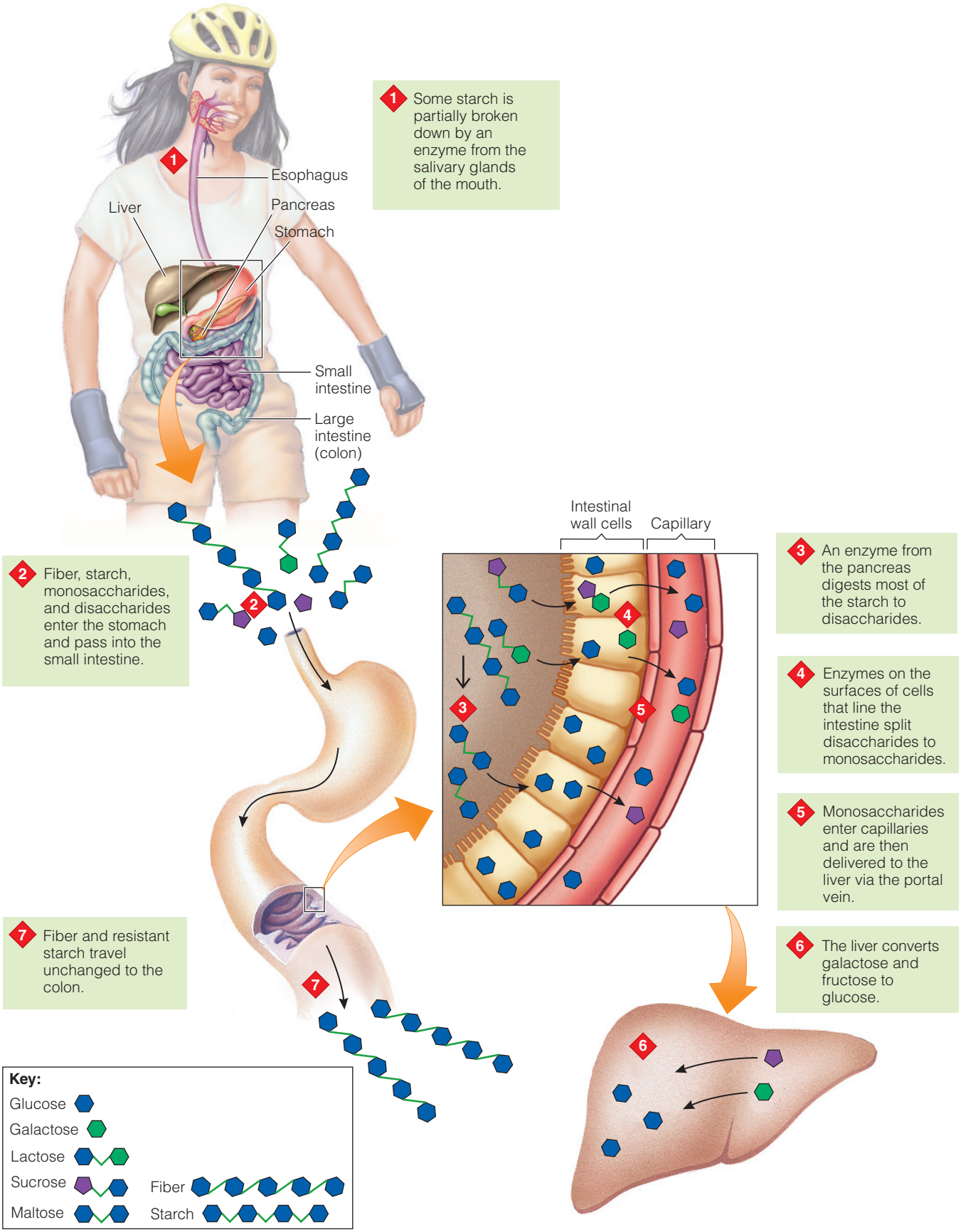
Figure 4–11

How Carbohydrates in Food Become Glucose in the Body



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Why Do Some People Have Trouble Digesting Milk?

Persistent painful gas may herald a change in the digestive tract's ability to digest the sugar in milk, a condition known as **lactose intolerance**. Its cause is insufficient production of **lactase**, the enzyme of the small intestine that splits the disaccharide lactose into its component monosaccharides glucose and galactose, which are then absorbed.

Nearly all infants produce abundant lactase, which helps them absorb the sugar of breast milk and milk-based formulas; a very few suffer inborn lactose intolerance and must be fed solely on lactose-free formulas. Among adults, the ability to digest the carbohydrate of milk varies widely. As they age, an estimated 65 to 75 percent of the world's people lose much of their ability to produce lactase.

More than one-third of the U.S. population has lactose intolerance. It occurs most often among people of African, Asian, Hispanic, or Native American descent. People with a long history of consuming unfermented milk, such as northern Europeans, are least likely to have lactose intolerance—only about 5 percent of their descendants develop it.[18]

Symptoms of Lactose Intolerance People with lactose intolerance experience nausea, pain, diarrhea, and excessive gas upon drinking milk or eating lactose-containing products. The undigested lactose remaining in the intestine demands dilution with fluid from surrounding tissue and the bloodstream. Intestinal bacteria use the undigested lactose for their own energy, a process that produces gas and intestinal irritants.

Sometimes sensitivity to milk is due not to lactose intolerance but to an allergic reaction to either of the two proteins in milk.* The immune system overreacts when it encounters the offending milk protein. When people avoid milk for any reason, care must be taken to replace its protein, calcium, and vitamin D in the diet, particularly for growing children. Later chapters point out alternative sources of these nutrients.

Milk Tolerance and Strategies The failure to digest lactose affects people to differing degrees, and total elimination of milk products is rarely necessary. Yogurt may be tolerated because the bacterial strains that change milk into yogurt also help digest lactose. Many affected people can consume up to 12 grams of lactose (1 cup of milk) without symptoms.[19] The most successful strategies seem to be increasing intakes of milk products gradually, spreading them out through the day, and consuming them with meals. Table 4–7 offers more strategies for including milk products and substitutes. Often, people overestimate the severity of their lactose intolerance, blaming it for symptoms most probably caused by something else—a mistake that could cost them the health of their bones (see details in Chapter 8).

Albina Gavrilovic/Shutterstock.com

Children who cannot drink milk must receive its nutrients, including protein, calcium, and vitamin D, from other sources.

Key Points

- In lactose intolerance, the body fails to produce sufficient amounts of the enzyme lactase, needed to digest the sugar of milk, leading to uncomfortable symptoms.
- People with lactose intolerance or milk allergy need alternatives that provide the nutrients of milk.

The Body's Use of Glucose

LO 4.4 Describe the body's handling of glucose.

Glucose is the basic carbohydrate unit used for energy by each of the body's cells. The body handles its glucose judiciously—maintaining an internal store to be used when needed and tightly controlling its blood glucose concentration to ensure a steady supply. Recall that carbohydrates serve functional roles, too, such as forming part of mucus, but they are best known for providing energy.

lactose intolerance impaired ability to digest lactose due to reduced amounts of the enzyme lactase.

lactase the intestinal enzyme that splits the disaccharide lactose to monosaccharides during digestion.

*The two proteins of milk are casein and whey protein.

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Table 4–7

Lactose Intolerance Strategies

People with lactose intolerance can experiment with milk-based foods to find a strategy that works for them. The trick is to find ways of splitting lactose to glucose and galactose before a food is consumed, rather than providing a lactose feast for colonic bacteria.

Product	Effects/Strategies
Aged cheeses	Bacteria or molds used to create cheeses ferment lactose during the aging process. Use in moderation.
Lactase pills and drops	Lactase added to milk products by consumers or pills taken before milk product consumption split lactose molecules in the digestive tract. Harmless when used as directed by the manufacturer.
Lactase-treated milk products	Lactase added to milk products during manufacturing splits lactose before purchase. Use freely in place of ordinary milk products.
Milk substitutes (soy, pea, nut, or grain beverages), cheese and yogurt substitutes	Nonmilk replacements for milk products may or may not be fortified with the nutrients of milk. Compare Nutrition Facts panels for calcium, protein, and vitamin D in particular.
Yogurt, kefir (live culture type)	Yogurt-making bacteria can survive in the human digestive tract; the bacteria possess an enzyme to split lactose. Avoid yogurt with added milk solids (check the label).

Splitting Glucose for Energy

Glucose fuels the work of every cell in the body to some extent, but the cells of the brain and nervous system depend almost exclusively on glucose, and the red blood cells use only glucose. When a cell splits glucose for energy, it performs an intricate sequence of maneuvers that are of great interest to biochemists—and of no interest at all to most people who eat bread and potatoes. What everybody needs to understand, though, is that there is no good substitute for carbohydrate. Carbohydrate is *essential*, as the following details illustrate.

The Point of No Return At a certain point in the process of splitting glucose for energy, glucose itself is forever lost to the body. First, glucose is broken in half, releasing some energy. Then two pathways open to these glucose halves. They can be put back together to make glucose again, or they can be broken into smaller molecules. If they are broken further, they cannot be reassembled to form glucose.

The smaller molecules can also take different pathways. They can continue along the breakdown pathway to yield still more energy and eventually break down completely to just carbon dioxide and water. Or they can be used as a raw material needed to make certain amino acids. They may also be hitched together into units of body fat. Figure 4–12 (p. 124) shows how glucose is broken down to yield energy and carbon dioxide.

Below a Healthy Minimum Although glucose can be converted into body fat, body fat cannot be converted into glucose to feed the brain adequately. When the body faces a severe carbohydrate deficit, it has two problems. Having no glucose, it must turn to protein to make some (the body has this ability), diverting protein from its own critical functions, such as maintaining immune defenses. When body protein is used, it is taken from blood, organ, or muscle proteins; no surplus of protein is stored specifically for such emergencies. Protein is indispensable to body functions, and carbohydrate should be kept available precisely to prevent the use of protein for energy. This is called the **protein-sparing action** of carbohydrate. As for fat, it regenerates a small amount of glucose—but not enough to feed the brain and nerve tissues.

protein-sparing action the action of carbohydrate and fat in providing energy that allows protein to be used for purposes it alone can serve.

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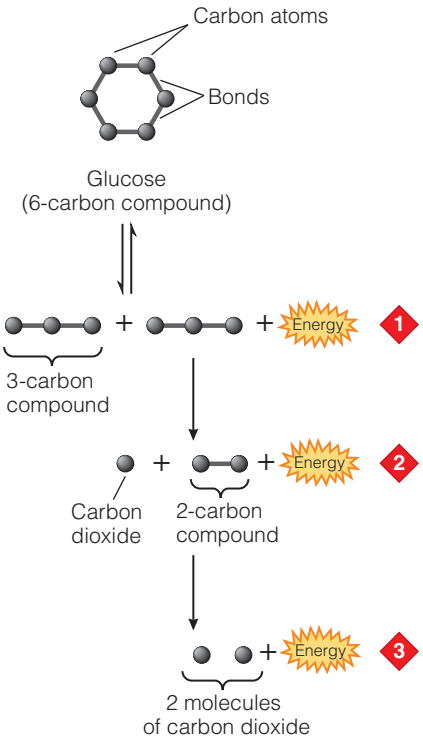
Figure 4–12

The Breakdown of Glucose Yields Energy and Carbon Dioxide

Cell enzymes split the bonds between the carbon atoms in glucose, liberating the energy stored there for the cell's use. ① The first split yields two 3-carbon fragments. The two-way arrows mean that these fragments can also be rejoined to make glucose again. ② Once they are broken down further into 2-carbon fragments, however, they cannot rejoin to make glucose. ③ The carbon atoms liberated when the bonds split are combined with oxygen and released into the air, via the lungs, as carbon dioxide. Although not shown here, water is also produced at each split.



ketone (kee-tone) **bodies** water-soluble compounds that arise during the breakdown of fat when carbohydrate is not available. Also called by the broader term *ketones*, although some of these compounds vary chemically.

ketosis (kee-TOE-sis) an undesirably high concentration of ketone bodies, such as acetone, in the blood or urine.

Ketosis With too little carbohydrate flowing to the brain, the body shifts to a mode of metabolism in which it uses fat products, known as **ketone bodies**, for energy in place of some of its glucose. Instead of producing energy by following its main metabolic pathway, fat takes another route in which fat fragments combine with each other. This shift leads to an accumulation of the normally scarce ketone bodies in the blood, a condition known as **ketosis**.

When acidic ketone bodies build up to high levels in the blood, as they can in untreated diabetes, they disturb the normal acid-base balance, a life-threatening situation.[20] To defend itself from this harm, a healthy body excretes excess ketone bodies in the urine, a process that also removes water and minerals. Over time, people eating diets that produce ketosis may develop deficiencies of minerals, particularly bone minerals, and may suffer other adverse effects (more on this in Chapter 9.)

Ketosis isn't all bad, however. Ketone bodies provide a critical fuel alternative to glucose for brain and nerve cells when glucose is lacking, such as in periods of fasting or in starvation. Not all brain tissues can use ketones—some rely exclusively on glucose, so the body must still sacrifice some protein to provide it—but at a slower rate. A therapeutic ketogenic diet has substantially reduced seizures in children and adults with epilepsy, although many find the diet difficult to follow for long periods.[21]

The DRI Minimum Recommendation for Carbohydrate To feed the brain, the DRI committee recommends at least 130 grams of carbohydrate a day for an average-sized person.[22] Much more than this minimum is recommended to maintain health and glycogen stores (explained in the next section). By design, the USDA dietary patterns of Chapter 2 deliver more than enough carbohydrates to meet recommendations.

Key Points

- Lacking glucose, the body is forced to alter its uses of protein and fat.
- To help supply the brain with glucose, the body breaks down its protein to make glucose and converts its fats into ketone bodies, incurring ketosis.

How Is Glucose Regulated in the Body?

Should your blood glucose ever climb abnormally high, you might become confused or have difficulty breathing. Should your glucose supplies ever fall too low, you would feel dizzy and weak. A healthy body guards against both conditions with two safeguard activities:

- Siphoning off excess blood glucose into the liver and muscles for storage as glycogen and into the adipose (fat) tissue for storage as body fat.
- Replenishing diminished blood glucose from liver glycogen stores.

Two hormones prove critical to these processes. The hormone **insulin** stimulates glucose storage as glycogen, while the hormone **glucagon** helps release glucose from storage.

Insulin After a meal, as blood glucose rises, the pancreas is the first organ to respond (see Figure 4–13, left, diamonds 1 and 2). It releases insulin, the hormone that signals body tissues to remove glucose from the blood. Muscle tissue responds to insulin by taking up excess blood glucose and using it to build the polysaccharide glycogen. Adipose tissue also responds to insulin by taking up glucose from the blood.[23] The liver takes up excess blood glucose, too, but it needs no help from insulin to do so. Instead, liver cells respond to insulin by speeding up their glycogen production. Simply put, insulin regulates blood glucose by:

- Facilitating blood glucose uptake by the muscles and adipose tissue.
- Stimulating glycogen synthesis in the liver.

Normal blood glucose is restored (diamonds 3 and 4 of Figure 4–13).

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Figure 4–13

Blood Glucose Regulation—An Overview

The pancreas monitors blood glucose (blue hexagons) and adjusts its concentration with two opposing hormones, insulin and glucagon. When glucose is high, the pancreas releases insulin which stimulates body tissues to take up glucose from the bloodstream. When glucose is low, it releases glucagon, which stimulates the liver to release glucose. When glucose concentration is restored to the normal range, the pancreas slows its hormone output in an elegant feedback system.

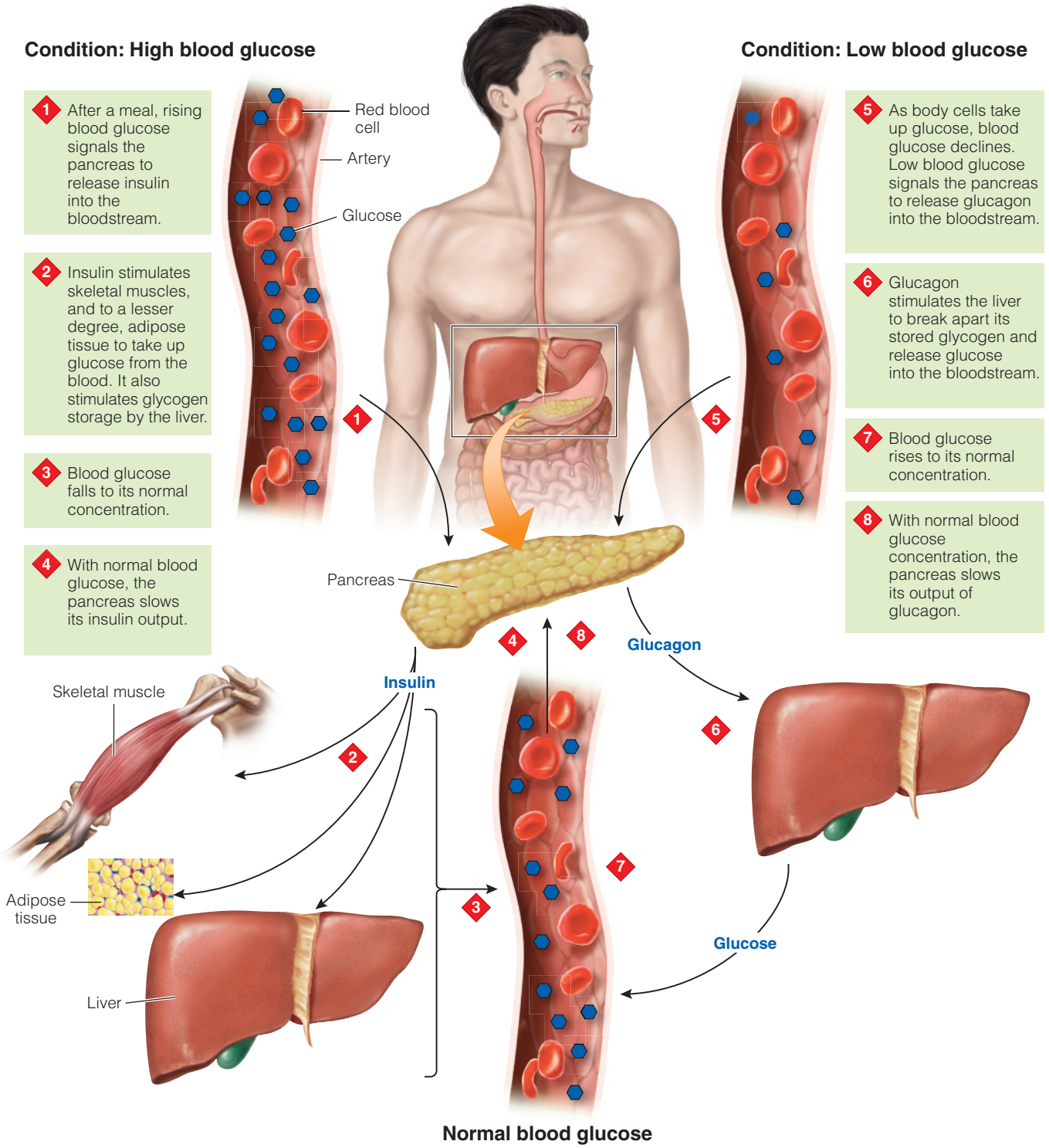

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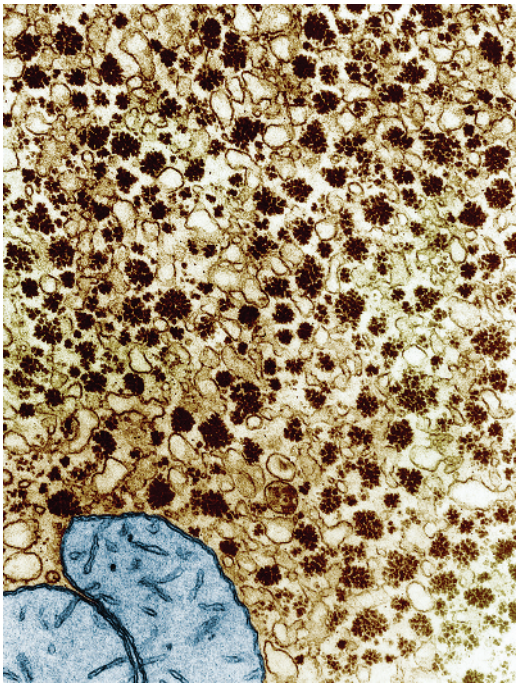
Figure 4–14

Full Glycogen Stores after a Meal

This photo shows the inside of a single liver cell after a meal (magnified over 100,000 times). The clusters of dark-colored dots are glycogen granules. (The blue structures at the bottom are cellular organelles.)



Dr. Donald Fawcett/Visuals Unlimited, Inc.

Glucagon When blood glucose starts to fall too low, the hormone glucagon flows into the bloodstream and triggers the breakdown of liver glycogen to single glucose molecules. Look again at Figure 4–13 (p. 125); diamonds 5-8 explain these processes. The glycogen molecule is highly branched, with hundreds of ends bristling from each molecule's surface (review this structure in Figure 4–3, p. 106). Enzymes in liver cells respond to glucagon by attacking a multitude of glycogen ends simultaneously to release a surge of glucose into the blood for use by all the body's cells. Thus, the highly branched structure of glycogen uniquely suits the purpose of releasing glucose on demand.

Tissue Glycogen Stores The muscles hoard two-thirds of the body's total glycogen to ensure that glucose, a critical fuel for physical activity, is available for muscular work. The brain stores a tiny fraction of the total as an emergency reserve to fuel its critical activities for an hour or two in case of severe glucose deprivation. The liver stores the remainder and is generous with its glycogen, releasing glucose into the bloodstream for use by the brain or other tissues when the supply runs low. Without carbohydrate from food to replenish it, liver glycogen can become depleted in less than a day. The dark spots shown in Figure 4–14 are the glycogen granules stored in a liver cell after a carbohydrate-containing meal.

Be Prepared: Eat Carbohydrate Another hormone, epinephrine, also triggers the breakdown of liver glycogen as part of the body's defense mechanism. The extra glucose is needed for quick action in times of danger.* To store glucose for emergencies, we are well advised to eat carbohydrate at each meal.

You may be asking, "What kind of carbohydrate?" Candy, energy bars, and sugary beverages are quick sources of abundant sugar energy, but they provide mostly empty calories and are not the best choices. Balanced meals and snacks, eaten on a regular schedule, help the body maintain its blood glucose. Meals with intact starch and soluble fiber combined with some protein and a little fat slow digestion so that glucose enters the blood gradually at an ongoing, steady rate.

Key Points

- The muscles and liver store glucose as glycogen; the liver can release glucose from its glycogen into the bloodstream.
- The hormones insulin and glucagon regulate blood glucose concentrations.

Excess Glucose and Gain of Body Fat

Suppose you have eaten dinner and are now sitting on the couch, munching pretzels and drinking cola as you watch a ball game on television. Your digestive tract is delivering molecules of glucose to your bloodstream, and your blood is carrying these molecules to your liver, adipose tissue, and other body cells. The body cells use as much glucose as they can for their energy needs of the moment. Excess glucose molecules are linked together and stored as glycogen until the muscle and liver stores are full to overflowing with glycogen. Still, the glucose keeps coming.

insulin a hormone secreted by the pancreas in response to a high blood glucose concentration. It assists cells in drawing glucose from the blood.

glucagon (GLOO-cah-gon) a hormone secreted by the pancreas that stimulates the liver to release glucose into the blood when blood glucose concentration dips.

Two Ways to Handle Excess Glucose To handle the excess, tissues shift to burning more glucose for energy in place of fat. As a result, more fat is left to circulate in the bloodstream until it is picked up by the fat tissues and stored

*Epinephrine is also called adrenaline.

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Think Fitness

What Can I Eat to Make Workouts Easier?

A working body needs carbohydrate fuel to replenish glycogen, and when it runs low, physical activity can seem more difficult. If your workouts seem to drag and never get easier, take a look at your dietary pattern. Are your meals regularly timed? Do they provide abundant carbohydrate from nutritious whole foods to fill up glycogen stores so they last through a workout?

Here's a trick: at least an hour before your workout, eat a small snack of about 300 calories of foods rich in complex carbohydrates and drink some extra fluid (see Chapter 10 for ideas). Remember to cut back your intake at other meals by an equivalent amount to prevent unwanted weight gain. The snack provides glucose at a steady rate to spare glycogen, and the fluid helps maintain hydration.

start now! Choose a one-week period and have a healthy carbohydrate-rich snack of about 300 calories, along with an extra cup of water, about 2 hours before you exercise. Be sure to track your diet in a food journal, Diet and Wellness Plus, or another diet tracking app during this period so that you can accurately determine your total calorie intake. Did you have more energy for exercise after you changed your eating plan?

there. If these measures still do not accommodate all of the incoming glucose, the liver, the body's major site of nutrient metabolism, has no choice but to handle the overflow because excess glucose left circulating in the blood can harm the tissues. The liver breaks the extra glucose into smaller molecules and puts them together into a more permanent energy-storage compound—fat. Newly made fat travels in the blood to the adipose tissues and is stored there. (Fat that builds up in the liver instead can cause injury; see the Controversy.) Unlike the liver cells, which store only about 2,000 calories of glycogen, the fat cells of an average-size person store over 70,000 calories of fat, and their ability to expand their fat storage capacity over time is almost limitless. Moral: You had better play the game if you are going to eat the food. (The Think Fitness feature offers tips to help you play.)

VioletaStoimenova/E+/Getty Images

You had better play the game if you are going to eat the food.

Carbohydrates and Weight Maintenance A balanced dietary pattern that provides the recommended complex carbohydrates from whole foods can help control body weight and maintain lean tissue. Bite for bite, complex carbohydrate-rich foods contribute less to the body's available energy than do fat-rich foods, and they best support physical activity to promote a lean body. Thus, if you want to stay healthy and remain lean, you should make every effort to follow a calorie-appropriate dietary pattern providing 45 to 65 percent of its calories from mostly unrefined sources of carbohydrates, such as fruits, vegetables, and whole grains.

This chapter's Food Feature provides the first set of tools required for the job of choosing such a diet. Once you have learned to identify the food sources of various carbohydrates, you must then set about learning which fats are which (Chapter 5) and how to obtain adequate protein without overdoing it (Chapter 6). By Chapter 9, you can put it all together to meet the goal of achieving and maintaining a healthy body weight.

Key Point

- The liver has the ability to convert glucose into fat, but most excess glucose is stored as glycogen or used to meet the body's immediate needs for fuel.

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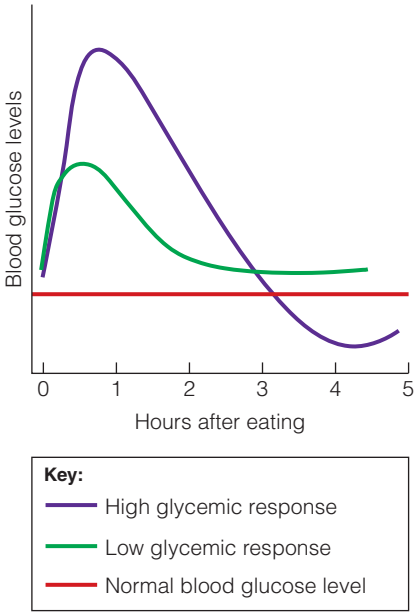
Figure 4–15
The Glycemic Response

After a high-glycemic meal, blood glucose levels rise dramatically and then fall below normal. After a low-glycemic meal, blood glucose levels rise gradually and then fall to near normal.



Source: Adapted from Gropper/Smith, Advanced Nutrition and Human Metabolism, *7th ed. (Belmont, CA: Cengage Learning, 2018), p. 76.*

The Glycemic Response

The **glycemic response** describes how quickly glucose is absorbed from a meal, how high blood glucose rises, and how quickly it returns to normal. For health, slow absorption, a modest rise in blood glucose, and a smooth return to normal are desirable (a low glycemic response, illustrated by the green line of Figure 4–15). Fast absorption, a surge in blood glucose, and an overreaction that plunges glucose below normal are less desirable (a high glycemic response, the purple line of Figure 4–15). People with an abnormal glucose response, such as occurs in diabetes, may benefit from limiting foods that produce too great a rise, or too sudden a fall, in blood glucose.

Glycemic Index Different foods can elicit different glycemic responses for an equal number of calories from carbohydrate, and the **glycemic index (GI)** was developed to differentiate among them. Here are some examples:

- *Low GI:* bran-type breakfast cereals, corn tortillas, chocolate candy, raw carrots, most fruits, green vegetables, kidney beans and other legumes, many kinds of pasta.
- *Medium GI:* bananas, corn, French fries, oat breakfast cereals, raw pineapple, popcorn, potato chips, raisins, soft drinks, sweet potatoes, oat bran or rye bread.
- *High GI:* most breakfast cereals, potatoes, white bread, white rice, rice crackers, and watermelon.

Note that a food's GI ranking does not predict its nutrient density—chocolate candies have a lower GI than potatoes or watermelon but the latter are richer in vitamins and minerals per calorie.

Reported GI values for a single food can vary widely, for several reasons. Physical and chemical characteristics of food samples differ from batch to batch and season to season, and, different laboratories use different testing methods that yield different results. Also, the blood glucose response to any one food varies dramatically among individuals, often more than the variation between foods.[24] Finally, people eat meals, not scientifically determined portions of individual foods, and meals contain varying amounts of carbohydrate, fat, and protein in portions of cooked and raw foods, and all of these factors modulate the glycemic response.

Fast Carbs Perhaps more relevant to today's diet are popular high-GI foods (called "fast carbs" in the popular press) made of ultra-processed grains that are refined, milled, pulverized, and treated with high heat and pressure, processes that split the original starch molecules into small fragments. Examples include many cereals, snack puffs, crisps, and wafers. These partially predigested starches bear little resemblance to the original foods—they lack fiber, require little chewing, and are rapidly digested and absorbed from the upper intestinal tract, flooding the bloodstream with a sudden mass of glucose.[25]

Final Word Researchers are divided on the practical value of the GI.[26] Evidence is lacking for its utility in weight management, but some associations exist with regard to diabetes and heart disease risks.[27] On balance, a whole-diet approach, such as reducing intakes of ultra-processed foods and added sugars, increasing fiber and whole grains, controlling portions, and following all of the current Dietary Guidelines yields greater health benefits than focusing on the GI of individual foods.[28]

Key Points

- The glycemic response describes how quickly glucose is absorbed from a meal, how high blood glucose rises, and how quickly it returns to normal.
- The glycemic index reflects the degree to which a food raises blood glucose.

glycemic response a term used to describe how quickly glucose is absorbed from a meal, how high blood glucose rises, and how quickly it returns to normal.

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What Happens If Blood Glucose Regulation Fails?

LO 4.5 Briefly summarize the differences among type 1 diabetes, type 2 diabetes, and hypoglycemia.

In some people, blood glucose regulation fails. When this happens, either of two conditions can result: diabetes or **hypoglycemia**.

Diabetes

This section serves as a brief introduction to this serious and widespread metabolic disease. Chapter 11 presents the details concerning diabetes prevention, diagnosis, consequences, and treatment.

In diabetes, blood glucose rises after a meal and remains above normal because insulin is either inadequate or ineffective. Abnormally high blood glucose is a characteristic of two main types of diabetes. In the less common **type 1 diabetes**, the pancreas fails to produce insulin. The immune system attacks and destroys insulin-producing cells in the pancreas as if they were foreign cells. In the more common **type 2 diabetes**, the body cells fail to respond to insulin by taking up blood glucose. This condition tends to occur as a consequence of obesity, and the best preventive measure is often to maintain a healthy body weight.

Achieving stable blood glucose is the goal of diabetes treatment. Three approaches work together: controlling carbohydrate and calorie intakes, exercising appropriately, and taking insulin injections or medications that modulate blood glucose. To control the amount of carbohydrate presented to the body at one time, it helps to eat regularly timed meals and snacks, to eat similar amounts of food at each meal and snack, and to choose nutritious foods that support a healthy body weight. Small amounts of added sugars are permissible, but nutrition suffers if the empty calories of sugar displace needed whole foods, such as fruits or vegetables, from the diet.[29] (Other reasons to limit added sugars are discussed in the Controversy.) Dietitians commonly rely on the Food Lists for Diabetes to help plan healthy meals for people with diabetes (see Appendix D).

Key Points

- In type 1 diabetes, blood glucose stays too high because insulin is lacking.
- In type 2 diabetes, blood glucose stays too high because the cells do not respond to normal insulin levels.

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Physical activity, control of food intake, and medications play key roles in diabetes management.

Hypoglycemia

In healthy people, blood glucose rises after eating and then gradually falls back into the normal range without attracting notice. In hypoglycemia, blood glucose drops below normal, bringing on unpleasant symptoms such as weakness, irregular heartbeats, sweating, anxiety, hunger, trembling, and, rarely, seizures and loss of consciousness.

Hypoglycemia rarely occurs in healthy people, whose hormones maintain normal blood glucose concentrations. It most often happens as a consequence of poorly managed diabetes. Blood glucose can plummet with too much insulin, too much strenuous physical activity, inadequate food intake, or illness. If the person is conscious, administering glucose in the form of fruit juice, hard candies, or glucose tablets can raise the blood glucose concentration. An unconscious person needs immediate medical intervention.

Key Point

- In hypoglycemia, blood glucose falls below normal, usually as a result of poorly controlled diabetes or other diseases.

glycemic index (GI) a ranking of foods according to their potential for raising blood glucose relative to a reference dose of glucose.

hypoglycemia (HIGH-poh-gly-SEE-mee-ah) an abnormally low blood glucose concentration, often accompanied by symptoms such as anxiety, rapid heartbeat, and sweating.

type 1 diabetes the type of diabetes in which the pancreas produces no or very little insulin; often diagnosed in childhood, although some cases arise in adulthood.

type 2 diabetes the type of diabetes in which the pancreas makes plenty of insulin but the body's cells resist insulin's action; often diagnosed in adulthood.

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Conclusion

Part of eating right is choosing wisely among the many foods available. Largely without your awareness, the body responds to the carbohydrates supplied by your diet. Now you take the controls by learning how to integrate carbohydrate-rich foods into a dietary pattern that meets your body's needs.

Food Feature

Finding the Carbohydrates in Foods

LO 4.6 Identify foods that are rich in carbohydrates.

To support optimal health, a dietary pattern must supply enough of the right kinds of carbohydrate-rich foods. A health-promoting 2,000-calorie diet should provide in the range of 45 to 65 percent of calories from carbohydrates (225 to 325 grams), mostly from whole foods, each day. This amount more than meets the minimum DRI amount of 130 grams needed to feed the brain and ward off ketosis. People needing more or less energy require proportionately more or less carbohydrate.

If you are curious about your own carbohydrate need, find your DRI estimated energy requirement (see the back of the book, p. A), and multiply by 45 percent to obtain the bottom of your carbohydrate intake range and then by 65 percent for the top. Then divide both answers by 4 calories per gram (see the example in the margin).

Breads and cereals, starchy vegetables, fruit, and milk are all good contributors of starch and dilute sugars. Many foods also provide fiber in varying amounts, as Figure 4–16 (p. 131) demonstrates. Concentrated sweets provide sugars but little else, as the last section demonstrates.

Fruit

A fruit portion of ½ cup of juice, a small banana or apple or orange, ½ cup of canned or fresh fruit, or ¼ cup of dried fruit supplies an average of about 15 grams of carbohydrate, mostly as sugars, including the fruit sugar fructose. Fruits vary greatly in their water and fiber contents and in their sugar concentrations. Juices should contribute no more than half of a day's intake of fruit. Except for avocados and olives, which are high in healthful fats, fruits contain insignificant amounts of fat and protein.

Vegetables

Starchy vegetables are major contributors of starch in the diet. Just one small white or sweet potato or ½ cup of cooked dry beans, corn, peas, plantain, or winter squash provides 15 grams of carbohydrate, as much as in a slice of bread, though as a mixture of sugars and starch. One-half cup of carrots, okra, onions, tomatoes, cooked greens, or most other nonstarchy vegetables or a cup of salad greens provides about 5 grams as a mixture of starch and sugars.

Grains

Breads and other starchy foods are famous for their carbohydrate contributions. Nutrition authorities encourage people to reduce intakes of refined grains and to make at least half of the grain choices whole grains. A slice of bread, half an English muffin, a 6-inch tortilla, ⅓ cup of rice or pasta, or ½ cup of cooked cereal provides about 15 grams of carbohydrate, mostly as starch. Ready-to-eat cereals, particularly those that children prefer, can derive over half their weight from added sugars, so consumers must read labels.

Most grain choices should also be low in added fat and sugar. When extra calories are required to meet energy needs, some selections higher in unsaturated fats (see Chapter 5) and added sugar can supply needed calories and provide pleasure in eating. These choices might include biscuits, cookies, croissants, muffins, ready-to-eat sweetened cereals, and snack crackers.

Do the Math:
Calculate grams of carbohydrate.

The AMDR range for carbohydrate intake is expressed as percent of calories. Here's how to convert such percentages into grams of carbohydrate, a more useful value for choosing foods. (Grams are rounded values.)

Example for 45% of calories in a 2,700-calorie diet:

- 2,700 cal × 0.45 = 1,215 cal
- 1,215 cal ÷ 4 cal/g = 304 g

Example for 65% of calories in a 2,700-calorie diet:

- 2,700 cal × 0.65 = 1,755 cal
- 1,755 cal ÷ 4 cal/g = 439 g

AMDR range for carbohydrate in a 2,700-calorie diet = 304 g to 439 g
Using this information, find the AMDR carbohydrate range for a 1,600-calorie diet.

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Figure 4–16

Fiber in the Food Groups

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Fruit

Fiber Tips:
- Add dried or chopped fresh fruit to salads.
- Leave skins on peaches and pears (wash well).
- Eat a fresh peeled orange instead of drinking juice.

Food[a]	Fiber (g)	Food	Fiber (g)
Pear, raw, 1 medium	6	Other berries, raw, 1/2 c	2
Blackberries/raspberries, raw, 1/2 c	4	Peach, raw, 1 medium	2
Prunes, cooked, 1/4 c	4	Strawberries, sliced, 1/2 c	2
Figs, dried, 3	3	Cantaloupe, raw, 1/2 c	1
Apple, 1 medium	3	Cherries, raw, 1/2 c	1
Apricots, raw, 4	3	Fruit cocktail, canned, 1/2 c	1
Banana, raw, 1	3	Peach half, canned	1
Orange, 1 medium	3	Raisins, dry, 1/4 c	1
		Orange juice, 3/4 c	<1

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Vegetables

Fiber Tips:
- Leave the skins on most vegetables (wash well).
- Snack on raw vegetable sticks.
- Add extra chopped vegetables to chili or other stews.

Food	Fiber (g)	Food	Fiber (g)
Baked potato with skin, 1	4	Mashed potatoes, home recipe, 1/2 c	2
Broccoli, chopped, 1/2 c	3	Bell peppers, 1/2 c	1
Brussels sprouts, 1/2 c	3	Broccoli, raw, chopped, 1/2 c	1
Spinach, 1/2 c	3	Carrot juice, 1/2 c	1
Asparagus, 1/2 c	2	Celery, 1/2 c	1
Baked potato, no skin, 1	2	Dill pickle, 1 whole	1
Cabbage, red, 1/2 c	2	Eggplant, 1/2 c	1
Carrots, 1/2 c	2	Lettuce, romaine, 1 c	1
Cauliflower, 1/2 c	2	Onions, 1/2 c	1
Corn, 1/2 c	2	Tomato, raw, 1 medium	1
Green beans, 1/2 c	2	Tomato juice, canned, 3/4 c	1

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Grains

Fiber Tips:
- Choose whole-grain breads, buns, cereals, crackers, pasta, rice, and tortillas.

Food	Fiber[a] (g)	Food	Fiber (g)
100% bran cereal, 1 oz	10	Pumpernickel bread, 1 slice	2
Barley, pearled, 1/2 c	3	Shredded wheat, 1 large biscuit	2
Cheerios, 1 oz	3	Cornflakes, 1 oz	1
Whole-wheat bread, 1 slice	3	Muffin, blueberry, 1	1
Whole-wheat pasta,[b] 1/2 c	3	Puffed wheat, 1 1/2 c	1
Wheat flakes, 1 oz	3	White pasta,[b] 1/2 c	1
Brown rice, 1/2 c	2	Cream of wheat, 1/2 c	<1
Light rye bread, 1 slice	2	White bread, 1 slice	<1
Muffin, bran, 1 small	2	White rice, 1/2 c	<1
Oatmeal, 1/2 c	2		
Popcorn, 2 c	2		

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Protein Foods

Fiber Tips:
- Add cooked or canned beans or lentils to soups, stews, and salads.
- Snack on nuts or peanuts with skins.
- Try a soy burger or soy crumbles in recipes that call for meat.

Food	Fiber (g)	Food	Fiber (g)
Lentils, 1/2 c	8	Soybeans, 1/2 c	5
Kidney beans, 1/2 c	8	Soy burger or soy crumbles, 3 oz	4
Pinto beans, 1/2 c	8	Almonds or mixed nuts, 1/4 c	4
Black beans, 1/2 c	7	Peanuts, with skins, 1/4 c	3
Black-eyed peas, 1/2 c	6	Peanut butter, 2 tbs	2
Lima beans, 1/2 c	5	Cashew nuts, 1/4 c	1
		Meat, poultry, fish, and eggs	0

[a]All values are for ready-to-eat or cooked foods unless otherwise noted. Fruit values include edible skins. All values are rounded values.
[b]Pasta includes spaghetti noodles, lasagna, macaroni, and other noodles.

(continued)

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Protein Foods

With two exceptions, foods of this group provide almost no carbohydrate to the diet. The exceptions are nuts, which provide a little starch and fiber along with their abundant fat, and legumes (dried beans), revered by diet-watchers as high-protein, low-fat sources of both starch and fiber that can reduce feelings of hunger. Just ½ cup of cooked beans, peas, or lentils provides 15 grams of carbohydrate, an amount equaling the richest carbohydrate sources. Among sources of fiber, legumes are peerless, providing as much as 8 grams in ½ cup.

Dairy Products

A cup of milk or plain yogurt is a generous contributor of carbohydrate, donating about 12 grams. Cottage cheese provides about 6 grams of carbohydrate per cup, but most other cheeses contain little, if any, carbohydrate. These foods also contribute high-quality protein (a point in their favor), as well as several important vitamins and minerals. Calcium-fortified soy beverages (soy milk) and soy yogurts approximate the nutrients of milk, providing some amount of added calcium and 14 grams of carbohydrate. Milk and soy milk products vary in fat content, an important consideration in choosing among them. Sweetened milk and soy products contain added sugars.

Butter and cream cheese, though dairy products, are not equivalent to milk because they contain little or no carbohydrate and insignificant amounts of the other nutrients important in milk. They are appropriately associated with fats.

Added Sugars

Sugars provide almost pure carbohydrate. Most people enjoy sweets, so it is important to learn something of their nature and to account for them in a dietary pattern. First, the definitions of "sugar" come into play (Table 4–8 defines sugar terms).

Table 4–8

Terms that Describe Sugar

Note: The term sugars here refers to monosaccharides and disaccharides. On a label's ingredients list, the term sugar means sucrose. See Chapter 12 for terms related to noncaloric or low-calorie sweeteners.

- **added sugars** sugars and syrups added to a food for any purpose, such as to add sweetness or bulk or to aid in browning (baked goods). Also called carbohydrate sweeteners, they include glucose, fructose, corn syrup, concentrated fruit juice, and other sweet carbohydrates.
- **agave syrup** a carbohydrate-rich sweetener made from a Mexican plant; a high fructose content gives some agave syrups a greater sweetening power per calorie than sucrose.
- **brown sugar** white sugar with molasses added, 95% pure sucrose.
- **coconut sugar** a granulated sugar composed of sucrose, glucose, and fructose; made by evaporating the sap of the flower buds of coconut palm trees.
- **concentrated fruit juice sweetener** a concentrated sugar syrup made from dehydrated, deflavored fruit juice, commonly grape juice; used to sweeten products that can then claim to be "all fruit."
- **confectioner's sugar** finely powdered sucrose, 99.9% pure.
- **corn sweeteners** corn syrup and sugar solutions derived from corn.
- **corn syrup** a syrup, mostly glucose, partly maltose, produced by the action of enzymes on cornstarch. Includes corn syrup solids.
- **dextrose, anhydrous dextrose** forms of glucose.
- **evaporated cane juice** raw sugar from which impurities have been removed.
- **fructose, galactose, glucose** the monosaccharides important in nutrition.
- **granulated sugar** common table sugar, crystalline sucrose, 99.9% pure.
- **high-fructose corn syrup** a commercial sweetener used in many foods, including soft drinks. Composed almost entirely of the monosaccharides fructose and glucose, its sweetness and caloric value are similar to those of sucrose.
- **honey** a concentrated solution composed primarily of glucose and fructose, produced by enzymatic digestion of the sucrose in nectar by bees.
- **invert sugar** a mixture of glucose and fructose formed by the splitting of sucrose in an industrial process. Sold only in liquid form and sweeter than sucrose, invert sugar forms during certain cooking procedures and works to prevent crystallization of sucrose in soft candies and sweets.
- **lactose, maltose, sucrose** the disaccharides important in nutrition.
- **levulose** an older name for fructose.
- **malt syrup** a sweetener made from sprouted barley.
- **maple syrup** a concentrated solution of sucrose derived from the sap of the sugar maple tree. This sugar was once common but is now usually replaced by sucrose and artificial maple flavoring.
- **molasses** a thick brown syrup left over from the refining of sucrose from sugar cane. The major micronutrient in molasses is iron, a contaminant from the machinery used in processing it.
- **naturally occurring sugars** sugars that are not added to a food but are present as its original constituents, such as the sugars of fruit or milk.
- **nectars** concentrated juice and pulp of peach, pear, or other fruits.
- **raw sugar** the first crop of crystals harvested during sugar processing. Raw sugar cannot be sold in the United States because it contains too much filth (dirt, insect fragments, and the like). Sugar sold as U.S. "raw sugar" is actually evaporated cane juice.
- **turbinado** (ter-bih-NOD-oh) **sugar** raw sugar from which the filth has been washed; legal to sell in the United States.
- **white sugar** granulated sucrose, produced by dissolving, concentrating, and recrystallizing raw sugar. Also called *table sugar*.

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All sugars originally develop by way of photosynthesis in plants. A sugar molecule inside a grape (one of the **naturally occurring sugars**) is chemically indistinguishable from one extracted from sugar beets, sugar cane, grapes, or corn and added to sweeten strawberry jam. Honey added to food is also an added sugar with similar chemical makeup. All arise naturally and, through processing, are purified to remove most or all of the original plant material—bees process honey and machines process the other types. The body handles all the sugars in the same way, whatever their source.

Added sugars, when consumed in large amounts, may be linked with health problems (see the Controversy section), and they bring only empty calories into the diet, with no other significant nutrients. Conversely, the naturally occurring sugars of, say, an orange provide calories but also the vitamins, minerals, fiber, and phytochemicals of oranges. Added sugars can contribute to nutrient deficiencies by displacing nutritious food from the diet. Most people can afford only a little added sugar in their diets if they are to meet nutrient needs within calorie limits. The Dietary Guidelines for Americans suggest a limit of about 8 teaspoons of sugar, or almost one soft drink's worth, in a nutrient-dense 2,200-calorie dietary pattern. Table 4–9 provides some tips for limiting intakes of added sugar while still enjoying its sweet taste.

Table 4–9

Tips for Reducing Intakes of Added Sugars

These tricks can help reduce added sugar intake by changing old habits:

- A good use of sugar is to make nutrient-dense but bland or sharp-tasting foods (such as oatmeal or grapefruit) more palatable. Use the smallest amount that does the job.
- Add sweet spices such as cinnamon, nutmeg, allspice, or clove.
- Add a tiny pinch of salt; it will make food taste sweeter.
- Nonnutritive sweeteners add sweetness without calories. Read about them in Chapter 12.
- Choose fruit for dessert most often.
- Choose smaller portions of cake, cookies, ice cream, other desserts, and candy, or skip them.
- Compare sugar contents of similar foods on their Nutrition Facts panels, and choose those with less sugar.
- Reduce sugar added to recipes or foods at the table by a third—the difference in taste generally isn't noticeable.
- Replace empty-calorie-rich regular sodas, sports drinks, energy drinks, and fruit drinks with water, fat-free milk, 100% fruit juice, or unsweetened tea or coffee.
- Warm up sweet foods before serving (heat enhances sweet tastes).

The Nature of Sugar

Each teaspoonful of any sweet can be assumed to supply about 16 calories and 4 grams of carbohydrate. An exception is honey, which packs more calories into each teaspoon because its crystals are dissolved in water; the dry crystals of sugar take up more space. If you use ketchup liberally, remember that each tablespoon of it contains a teaspoon of sugar. And for soft-drink users, a 12-ounce can of sugar-sweetened cola contains at least 8 teaspoons of added sugar.

What about the nutritional value of a product such as molasses or concentrated fruit juice sweetener as compared with white sugar? Molasses, a byproduct of sugar manufacturing, contains 1 milligram of iron per tablespoon. (A man's DRI is 8 milligrams; a young woman's is 18 milligrams.) The iron of molasses comes from the machinery in which molasses is made, however, and is in the form of an iron salt not easily absorbed by the body. The nutrients of added sugars simply do not add up as fast as their calories.

As for concentrated juice sweeteners, such as the concentrated grape or pear "juice" used to sweeten foods and beverages, these are highly refined and have lost virtually all of the beneficial nutrients and phytochemicals of the original fruit. A child's fruit punch sweetened with grape juice concentrate, for example, may claim to be "100 percent fruit juice" and sounds nutritious but can contain as much sugar as punches sweetened with sucrose or high-fructose corn syrup. No form of sugar, even honey, is any "more healthy" than white sugar, as Table 4–10, page 134, shows.

Sugar Alcohols

Sugar alcohols are manufactured sweet-tasting carbohydrates that are poorly absorbed and metabolized by the body, and so present fewer calories (0 to about 3 calories per gram) than sugars do, and they produce a lower glycemic response.[30] Table 4–11 (p. 134) names some common ones, and points out that

sugar alcohols sugarlike compounds derived from fruit or manufactured from carbohydrates; sugar alcohols are absorbed more slowly than sugars, are metabolized differently, and do not elevate the risk of dental caries. Also called polyols.

(continued)

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Table 4–10

The Empty Calories of Sugar

These data demonstrate the absurdity of trying to rely on added sugars for nutrient contributions. The 64 calories of honey (1 tablespoon) listed bring 0.1 mg of iron into the diet, but it would take 11,500 calories of honey (180 tablespoons) to provide the needed 18 mg of iron for a young woman; even for molasses, a thousand calories of it could meet her iron need, but she would still lack most other needed nutrients.

Food	Energy (cal)	Protein (g)	Fiber (g)	Calcium (mg)	Iron (mg)	Magnesium (mg)	Potassium (mg)	Zinc (mg)	Vitamin A (μg)	Thiamin (mg)	Riboflavin (mg)	Niacin (mg)	Vitamin B_6 (mg)	Folate (μg)	Vitamin C (mg)
Sugar (1 tbs)	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Honey (1 tbs)	64	0	0	1	0.1	0	11	0	0	0	0	0	0	<1	0
Molasses (1 tbs)	55	0	0	42	1.0	50	300	0.1	0	0	0	0.2	0.1	0	0
Concentrated grape or fruit juice sweetener (1 tbs)	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Daily Values	2,000	50	25	1,000	18	400	3,500	15	1,000	1.5	1.7	20	2	400	60

most sugar alcohols taste less sweet than sugar. Products sweetened with sugar alcohols, such as cookies, sugarless gum, hard candies, and jams and jellies, are safe in moderation, but in large amounts, they serve as nutrients for intestinal bacteria and thus can cause gas, abdominal discomfort, and diarrhea.[31] Sugar alcohols don't cause **dental caries** so they are often used in chewing gums, breath mints, and other products that people keep in their mouths for a while. Other types of man-made sweeteners, the non-caloric sweeteners, sweeten foods without calories, and their nature and safety are topics of Chapter 12.

dental caries decay of the teeth (*caries* means "rottenness"). Also called *cavities*.

Table 4–11

Sugar Alcohol	Sweetness Relative to Sucrose
Erythritol	70%
Isomalt	55%
Lactitol	35%
Maltitol	75%
Mannitol	60%
Sorbitol	60%
Xylitol	100%

What did you decide?

- Do carbohydrates provide only **unneeded calories** to the body?
- Why do nutrition authorities unanimously recommend **whole grains**?
- Are **low-carbohydrate diets** the best way to lose weight?
- Should people with **diabetes** stop eating sugar?

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Self Check

1. (LO 4.1) The dietary monosaccharides include _____.
   a. sucrose, glucose, and lactose
   b. fructose, glucose, and galactose
   c. galactose, maltose, and glucose
   d. glycogen, starch, and fiber
2. (LO 4.1) The polysaccharide that helps form the supporting structures of plants is _____.
   a. cellulose
   b. maltose
   c. glycogen
   d. sucrose
3. (LO 4.2) Foods rich in soluble fiber lower blood cholesterol.
   T F
4. (LO 4.2) The fiber-rich portion of the wheat kernel is the bran layer.
   T F
5. (LO 4.3) Digestible carbohydrates are absorbed as _____ through the small intestinal wall and are delivered to the liver, which releases _____ into the bloodstream.
   a. disaccharides; sucrose
   b. glucose; glycogen
   c. monosaccharides; glucose
   d. galactose; cellulose
6. (LO 4.3) Around the world, most people are lactose intolerant.
   T F
7. (LO 4.4) When blood glucose concentration rises, the pancreas secretes _____, and when blood glucose levels fall, the pancreas secretes _____.
   a. glycogen; insulin
   b. insulin; glucagon
   c. glucagon; glycogen
   d. insulin; fructose
8. (LO 4.4) The body's use of fat for fuel without the help of carbohydrate results in the production of _____.
   a. ketone bodies
   b. glucose
   c. starch
   d. galactose
9. (LO 4.4) Ketosis is the result of too much carbohydrate in the body tissues.
   T F
10. (LO 4.4) The liver's capacity to store glycogen is virtually unlimited.
    T F
11. (LO 4.4) Different carbohydrate sources can elicit different glycemic responses in the body.
    T F
12. (LO 4.5) To manage diabetes, it helps to:
    a. eat a diet as low in carbohydrate as possible.
    b. eat a diet as low in fat as possible.
    c. eat regularly timed meals and snacks.
    d. a and b
13. (LO 4.5) Achieving stable blood glucose is the goal of diabetes treatment.
    T F
14. (LO 4.5) Hypoglycemia among healthy people is relatively rare.
    T F
15. (LO 4.6) Protein foods provide almost no carbohydrate to the U.S. diet, with these exceptions:
    a. chicken and turkey
    b. beef and pork
    c. fish and eggs
    d. milk, nuts, and legumes
16. (LO 4.7) Fruit punch sweetened with grape juice concentrate can contain as much sugar as fruit punch sweetened with high-fructose corn syrup.
    T F
17. (LO 4.7) In the United States, diets high in refined carbohydrate intakes, particularly added sugars from soft drinks, are often associated with increased body fat.
    T F
18. (LO 4.7) When added sugar is consumed in excess of calorie need,
    a. it alters blood lipids in potentially harmful ways.
    b. it suppresses the insulin response and so is more fattening.
    c. it provides more calories per gram than fat and so is more fattening.
    d. its metabolism in the body diminishes chronic disease risks.

Answers to these Self Check questions are in Appendix G.

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Controversy 4 Are Added Sugars "Bad" for You?

LO 4.7 Itemize the effects of added sugars on health.

Authorities around the world urge people to strictly limit their intakes of added sugars.[1]* Does this mean that sugary soft drinks and snack cakes constitute a health hazard? On one point, no argument exists. High intakes of sugar cause dental caries (cavities, a topic of Chapter 14). This Controversy addresses some accusations made against added sugars and demonstrates a scientific response via peer-reviewed, published research.

Is Too Much Sugar Harming People?

Over the past six decades, people in the United States have grown dramatically fatter (Figure C4–1). During the same period, total calorie intakes, largely from sugary foods and drinks, also climbed sharply. This sharp increase in energy consumption, estimated at more than 300 calories a day, was more than enough to cause an average weight gain of two pounds *every month.*† This is important because excess body weight raises a person's risk of harm from chronic diseases.

** Reference notes are in Appendix F*

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Most people are unaware of how much added sugar they consume in foods and beverages.

Intakes of Added Sugars

Sugar intakes vary by age, gender, and ethnic groups but on average people age 1 year and older consume about 15 percent of their calories from added sugars, exceeding the recommended limit of 10 percent of calories.[2] Sugar intakes declined somewhat in recent years but 90 percent of the population still exceeds the guideline, often by double or more.

Sugary foods and beverages taste delicious, cost little money, and are constantly available, making their overconsumption extremely likely. More than 90 percent of the sugars in the U.S. diet are now added to foods and beverages by manufacturers (Table C4–1 lists the top five sources of added sugars in the U.S. diet).[3] In comparison, very little sugar is added from the sugar bowl at home. When added sugars are prepackaged into foods, consumers easily lose track of how much they are eating.

† Based on a gain of 1 lb of body weight per 3,500 excess calories; actual amounts vary widely among individuals.

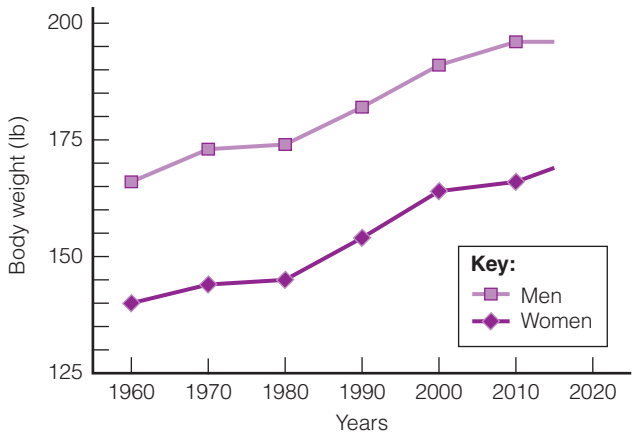
Sugar's Triple Threat

Researchers divide health threats attributed to added sugars into three categories—direct, indirect, and nutrient displacement:

1. Direct effects include metabolic disruptions from high sugar intakes that may lead to diseases, such as unhealthy fat accumulation in the liver or hardening of the artieries that leads to heart disease.[4]
2. Indirect effects arise when added sugars in the diet cause the accumulation of body fat that, in turn, elevates the risks of many chronic diseases, including heart disease, high blood pressure, diabetes, and others (see Chapter 9).[5]
3. Nutrient displacement raises disease risks when high intake of added sugars displaces nutritious foods and beverages from the daily dietary pattern.[6]

Figure C4–1

Increases in Adult Body Weight over Time



Source: C. D. Fryar and coauthors, National Center for Health Statistics, Anthropometric reference data for children and adults: United States, 2011–2014 Vital and Health Statistics 38 (2016).

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Such potential threats have held researchers' attention since the mid-20th century, when a professor called sugar "pure, white, and deadly."*

Does Sugar Cause Diabetes?

Diabetes involves blood sugar, so people once believed that eating sugar *caused* diabetes by "overstraining the pancreas." Now we know that this is not the case. The relationship is indirect, by way of gains in body fat. Excess body fat is more strongly implicated in causing diabetes than is the composition of the diet.

Still, type 2 diabetes often increases in populations as they take in more added sugars. A striking example is the rapid increase in diabetes observed among some Native American tribes when added sugars and refined flour replaced traditional roots, gourds, whole corn, and seeds as staple foods in their diets. No simple cause-and-effect conclusion about sugar is possible, however, because at the same time these people ate more processed meats and fats, increased total calorie intakes, and gained body fat. Excess weight gain can cause the liver and other tissues to resist the effects of insulin, thereby raising blood glucose.

Do Liquid Sugars Pose Special Risks?

Convincing evidence links having even one sugar-sweetened soft drink or fruit punch each day with unhealthy weight gain.[7] Does the liquid form of such sugar somehow elude normal appetite control mechanisms that regulate intakes of solid foods? Perhaps, but research results are inconsistent in this regard.[8] A simpler explanation holds that sugary drinks make it extraordinarily easy to consume many hundreds of calories in a short time (no chewing required) before internal satiety signals take effect.

**The professor was the late John Yudkin, as reported in G. A. Bray, Fructose: Pure, white, and deadly? Fructose, by any other name, is a health hazard,* Journal of Diabetes Science and Technology *4 (2010): 1003–1007.*

Table C4–1

Top Five Providers of Added Sugars

These foods and beverages provide the majority of the added sugars to U.S. consumers.

- Sugar-sweetened soft drinks and fruit drinks (including flavored milk drinks but excluding 100 percent fruit juice)
- Desserts and sweet snacks (including ice cream, cookies, and snack cakes)
- Coffee and tea (including additions)
- Candy and sugars (including jams, syrups, and toppings)
- Breakfast cereals and bars (including energy and protein bars)

Source: Dietary Guidelines Advisory Committee 2020, Scientific Report of the 2020 Dietary Guidelines Advisory Committee: Advisory Report to the Secretary of Agriculture and the Secretary of Health and Human Services, (Washington, DC: U.S. Department of Agriculture, Agricultural Research Service, 2020), part D, Chapter 12.

Is Sugar Addictive?

The sweet taste of sugar activates reward centers in the brain, creating sensations of pleasure that most people seek to repeat. Even just the idea of sweet foods or beverages can trigger a desire for the pleasure of sugar, without hunger or thirst. To be clear, the desire for sugar is not the same as an addiction to a drug, say, to alcohol or opiates, but they share in common some biological and psychological systems involved in reward and control.[9] Chapter 9 comes back to food addiction.

Is the Food Environment Toxic?

Vigorous marketing of sugary beverages may be linked with obesity in vulnerable populations. Every day across the nation, people are exposed to advertising for these hyperpalatable beverages in every imaginable venue—on billboards and television, in social and print media, in movies, markets, and video games—forming a large part of what some have called a "toxic food environment."[10] In research, viewing photos or advertisements of sugary beverages evokes a reward response almost as powerful as that of drinking the beverages, a fact not lost on advertisers.[11] The outcome, aside from greater corporate profits from increased sales, has been the relentless expansion of such ads to target all parts of our society, including children.

Is Fructose Harmful?

Figure C4–2 (p. 138) illustrates that most added sugars are composed of about half fructose. The exception is regular corn syrup, made by splitting apart the glucose molecules of starch (cornstarch) to yield a glucose syrup. High-fructose corn syrup (HFCS), a common sweetener in sugar-sweetened beverages, is also made from cornstarch, but about half of its glucose is changed chemically into fructose to increase the sweetness of the syrup. As sugar intakes increase, so do fructose intakes.

Glucose and fructose, despite both being monosaccharides, are handled differently by the body.[12] In digestion, the intestine avidly absorbs glucose, but restricts absorption of fructose to half or less. The remainder nourishes less-desirable species of intestinal bacteria, fostering their growth, and crowding out more beneficial species, at least temporarily.

Inside the body, all the cells pick up glucose from the bloodstream and use it as such. In contrast, the liver soaks up almost all the absorbed fructose and quickly converts it to other compounds. When energy is abundant, the primary compound the liver makes from fructose is fat.

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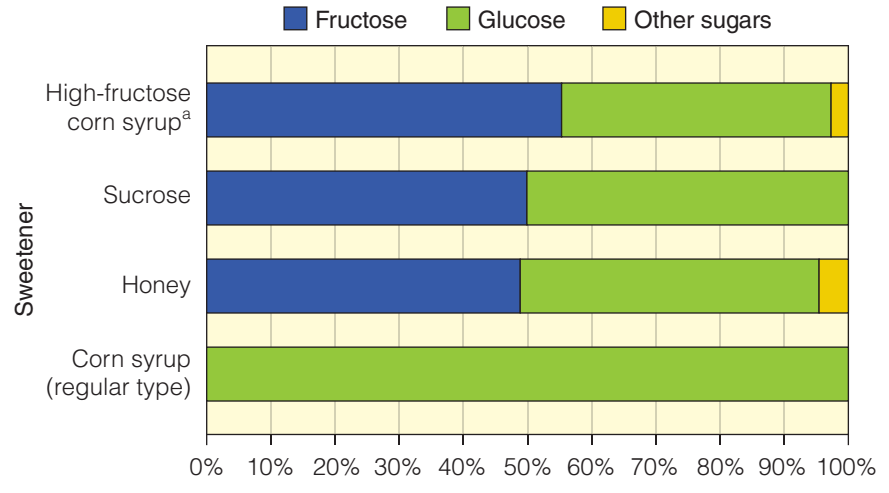
Figure C4–2

Glucose and Fructose in Common Added Sugars



Source: U.S. Department of Agriculture, Agricultural Research Service Energy Intakes, Percentages of energy from protein, carbohydrate, fat, and alcohol, by gender and age, What We Eat in America, NHANES 2013–2014, (2016); E. S. Ford and W. H. Dietz, Trends in energy intake among adults in the United States: Findings from NHANES, *American Journal of Clinical Nutrition* 97 (2013): 848–853.

[a]*A typical mixture; others exist.*

Fructose, Other Sugars, and Metabolic Mayhem

In rodents, a diet high in fructose or sucrose often leads to obesity, diabetes, and altered blood lipids. For example, when groups of rats are given free access to solutions of glucose, fructose, or sucrose in addition to their chow, all gain body fat, but the fructose-fed rats gain the most. Fructose-fed rats also consistently develop insulin resistance, a condition related to diabetes. In people, when calories are held constant and fructose is *substituted* for other carbohydrates, no effect on body weight is observed.However, most people do not reduce calories from other foods when they have a sweet treat, particularly a sugary beverage. The calories of the sugar are extra.

Fructose and Fatty Liver

A suggestion of direct harm from fructose concerns the health of the liver. When fat levels in the blood exceed the capacity of adipose tissue to absorb more, the liver stores much of the excess. Over time, this can cause damaging nonalcoholic fatty liver disease (NAFLD), an increasingly common malady associated with obesity and metabolic disorders. NAFLD is a serious condition that increases risks of type 2 diabetes, life-threatening liver disease, liver cancer, and cardiovascular disease, and for which no drug treatments exist.

The liver readily converts fructose to fat, so fructose intakes may contribute to NAFLD causation.[13] One study noted improvements in NAFLD in adolescent boys following eight weeks of reduced added sugar intakes from all sources, including soft drinks.[14] In another study of children, reducing fructose intakes reduced the body's production of new fats by half.[15] Looking from another angle, researchers identified food groups most often associated with and likely to contribute to NAFLD. You may have guessed that sugary drinks is one of them; red meats is the other.[16] Not every study suggests direct harm from fructose, however. After 8 weeks of ingesting a large dose of fructose (3½ ounces) a day, study subjects did not deposit extra fat in the liver.[17] Until further research can resolve these opposing findings, no harm can come from reducing added sugar intakes to the recommended 10 percent of calories.

Fructose and Blood Lipids

The balance between the body's fat-making and fat-clearing activities plays critical roles in CVD development. In research, both children and adults with higher sugar intakes often have blood lipid values that indicate an elevated risk of CVD.[18] It may not take an unrealistic amount of added sugars to cause this effect. Consuming as little as the equivalent of one or two sugar-sweetened soft drinks a day for two weeks significantly shifts blood lipids in an unhealthy direction, and the greater the intake, the greater the response.[19]

Is High-Fructose Corn Syrup Hazardous to Health?

Is HFCS more harmful to consumers than sucrose? When the effects of HFCS and sucrose are compared, most studies observe virtually identical metabolic effects—an expected result, given their similar chemical makeups (look again at Figure C4–2). The Dietary Guidelines committee concludes that U.S. intakes of all types of added sugars are too high and increase health risks for many people. Until research proves otherwise, it can be assumed that all common added sugars are similar from the body's point of view, and that none should be consumed in excess of recommendations.

Conclusion

The idea that such complex problems as obesity or diabetes might be easily resolved by a single action, such as removing added sugars from the diet, is attractive but simplistic. Table C4–2 provides a sampling of the ongoing debates concerning the health effects of added sugars.

What is clear is that the *source* of sugars matters to disease risks. Today, ultra-processed foods and beverages contribute 90 percent of the added sugars to the U.S. diet but few of the nutrients that people need. In contrast, fruit and vegetables package their naturally occurring sugars with fiber, vitamins, minerals, and protective phytochemicals. Therefore, limiting intakes

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Table C4–2

Harms from Added Sugars: Point, Counterpoint

Scientists, politicians, food and beverage manufacturers, sugar industry representatives, and others debate issues surrounding the safety of added sugars. This table presents some of the arguments, noting that just because the occurrence of events moves in the same direction (correlation), it does not mean that one caused the other.

Point: Added Sugars Cause Harm	Counterpoint: Added Sugars Are Safe
1. *Increased obesity risk.* Obesity rates are growing rapidly throughout the world. This trend correlates with dramatic increases in world intakes of added sugars.	1. *Correlation, not cause.* Worldwide, meat, oil, and grain intakes have also increased. It could be calories or another factor causing obesity, not sugars.
2. *Sugars and gain of body weight.* When sugars are added to the diet, they cause weight gain.	2. *Excess calories and weight gain.* Excess calories from any source cause weight gain.
3. *Dental caries.* No doubt remains that added sugars cause dental caries, particularly when consumed in excess of 10% of calories.	3. *Dental caries.* True, added sugars can cause dental caries, but brushing the teeth after consuming sugar and drinking fluoridated water prevent caries development.
4. *Increased disease risks.* In populations, greater intakes of added sugars correlate with higher rates of metabolic diseases, such as diabetes, heart disease, high blood pressure, and metabolic syndrome.	4. *Correlation, not cause.* Research is insufficient to prove causation, and factors other than added sugars may be at fault.
5. *Metabolic disturbances.* Fructose, in large quantities, disturbs lipid and glucose metabolism and causes fatty liver disease.	5. *Safe moderate intakes.* Agree, but fructose in small amounts is harmless to health.
6. *Nutrient lack.* Sugar provides only empty calories, displacing nutritious foods and beverages from the diet and increasing the risk of nutrient deficiencies.	6. *Deficiency diseases rare.* Nutrient deficiency diseases are rare in the United States; even many sugary foods and beverages are fortified with certain vitamins and minerals.

of ultra-processed foods and beverages is a prudent step in reducing intake of sugar, while any advice to eliminate fruit and vegetables from the diet should be ignored by healthy people.

Tastes adapt over time, and soon, foods and beverages lower in sugar can be as pleasing to the palate as the highly sweetened ones once were.[20] Still, the pleasure of sweet foods and beverages is part of the enjoyment of life. Just remember to keep them in their place—as occasional treats in the context of a nutritious diet, not as staple foods or drinks at every meal.

Critical Thinking

1. This Controversy addresses accusations leveled against sugars in foods and beverages as causes of health problems. Break into groups of four. Have one person in each group take one accusation from the following list and present a one-minute argument in support of the accuracy of that accusation. When each person has completed his or her argument, vote as a group to determine which is most likely to cause health problems:
   - Added sugars are making us fat.
   - Added sugars cause diabetes.
   - Added sugars cause obesity and illness.
   - High-fructose corn syrup harms health.
2. Recommendations about carbohydrate intake can seem to be contradictory. Nutrition experts recommend that the bulk of the diet be carbohydrates (fruit, vegetables, and whole grains), yet some research indicates that certain carbohydrates may be bad for you. Explain this discrepancy in three paragraphs. Use one paragraph to explain why the bulk of the diet should be carbohydrates, including a description of the types of foods that should be eaten. The second paragraph should explain in detail why added sugars can be bad for you (give at least three examples). Finally, use the third paragraph to summarize how carbohydrates should be consumed in a way that makes them part of a healthy diet.

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5 The Lipids: Fats, Oils, Phospholipids, and Sterols

Controversy 5 The Lipid Guidelines Debate

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 5.1 Describe the usefulness of lipids in the body and in food.

LO 5.2 Compare the physical and chemical properties and functions of the three categories of lipids.

LO 5.3 Describe the processes of digestion, absorption, and transportation of lipids in the body.

LO 5.4 Describe how fats are stored and used by the body.

LO 5.5 State the significance of blood lipoproteins and dietary fats to health.

LO 5.6 Summarize the functions of essential fatty acids.

LO 5.7 Outline the process of hydrogenation and its effects on health.

LO 5.8 Identify sources of fats among the food groups.

LO 5.9 Describe ways to reduce saturated fats in an average diet.

LO 5.10 Discuss both sides of the scientific debate about current lipid guidelines.

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What do you think?

- Are **fats** unhealthy food constituents that are best eliminated from the diet?
- What are the differences between **"bad" and "good" cholesterol**?
- Why is choosing **fish** recommended in a healthy diet?
- If you trim all **visible fats** from foods, will your diet meet lipid recommendations?

Your bill from a medical laboratory reads "Blood **lipid** profile—$250." A health-care provider reports, "Your blood **cholesterol** is high." Your physician advises, "You must cut down on saturated **fats** in your diet and replace them with **oils** to lower your risk of **cardiovascular disease (CVD)**." Blood lipids, cholesterol, saturated fats, and oils—what are they, and how do they relate to health?

No doubt you are expecting to hear that fats have the potential to harm your health, but lipids are also valuable. In fact, lipids are absolutely necessary, and dietary patterns recommended for health are by no means "no-fat" diets. Luckily, at least traces of fats and oils are present in almost all foods, so you needn't make an effort to eat any extra.

Introducing the Lipids

LO 5.1 Describe the usefulness of lipids in the body and in food.

The lipids in foods and in the human body, though many in number and diverse in function, generally fall into three classes. About 95 percent are **triglycerides**. The other major classes of the lipids are the **phospholipids** (of which **lecithin** is one) and the **sterols** (cholesterol is the best known of these). Some of these names may sound unfamiliar, but most people will recognize at least a few functions of lipids in the body and in the foods that are listed in Table 5–1 (p. 142). More details about each class of lipids follow later.

How Are Fats Useful to the Body?

When people speak of fat, they are usually talking about triglycerides. The term *fat* is more familiar, though, and we will use it in this discussion.

Fuel Stores Fat provides most of the energy needed to perform the body's muscular work. Fat is also the body's chief storage form for the energy from food eaten in excess of need. The storage of fat is a valuable survival mechanism for people who live a feast-or-famine existence: stored during times of plenty, fat helps keep them alive during times of famine.

Most body cells can store only limited fat, but some cells are specialized for fat storage. These fat cells seem able to expand almost indefinitely—the more fat they store, the larger they grow. An obese person's fat cells may be many times the size of a thin person's. Far from being a collection of inert sacks of fat, adipose (fat) tissue secretes a huge variety of hormones and other compounds that help regulate appetite and influence other body functions in ways critical to health. A fat cell is shown in Figure 5–1 (p. 143).

Efficiency of Fat Stores You may be wondering why the carbohydrate glucose is not the body's major form of stored energy. As mentioned in Chapter 4,

lipid (LIP-id) a family of organic (carbon-containing) compounds soluble in organic solvents but not in water. Lipids include triglycerides (fats and oils), phospholipids, and sterols.

cholesterol (koh-LESS-ter-all) a member of the group of lipids known as sterols; a soft, waxy substance made in the body and also found in animal-derived foods.

fats lipids that are solid at room temperature (70°F or 21°C).

oils lipids that are liquid at room temperature (70°F or 21°C).

cardiovascular disease (CVD) disease of the heart and blood vessels. Disease of the arteries of the heart is called *coronary heart disease* (*CHD*). Also defined in Chapter 11.

triglycerides (try-GLISS-er-ides) one of the three main classes of dietary lipids and the chief form of fat in foods and in the human body. A triglyceride is made up of three units of fatty acids and one unit of glycerol (*fatty acids* and *glycerol* are defined later).

phospholipids (FOSS-foh-LIP-ids) one of the three main classes of dietary lipids. These lipids are similar to triglycerides, but each has a phosphorus-containing structure in place of one of the fatty acids. Phospholipids are present in all cell membranes.

lecithin (LESS-ih-thin) a phospholipid manufactured by the liver and also found in many foods; a major constituent of cell membranes.

sterols (STEER-alls) one of the three main classes of dietary lipids. Sterols have a structure similar to that of cholesterol.

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Table 5–1
The Usefulness of Fats

Fats in the Body	Fats in Food
▪ *Energy fuel.* Fats provide 80 to 90 percent of the resting body's energy and much of the energy used to fuel muscular work. ▪ *Energy stores.* Fats are the body's chief form of stored energy. ▪ *Emergency reserve.* Fats serve as an emergency fuel supply in times of severe illness and starvation. ▪ *Padding.* Fats protect the internal organs from shock, cushioning them with fat pads inside the body cavity. ▪ *Insulation.* The layer of fat under the skin insulates the internal tissues against cold temperatures. ▪ *Cell membranes.* Fats form the major material of cell membranes. ▪ *Raw materials.* Lipids are converted to other compounds, such as hormones, bile, and vitamin D, as needed. ▪ *Signaling.* Lipids participate in cellular signaling pathways that affect cell functioning.	▪ *Nutrients.* Food fats provide essential fatty acids, fat-soluble vitamins, and other needed compounds. ▪ *Transport.* Fats carry fat-soluble vitamins A, D, E, and K along with some phytochemicals and assist in their absorption. ▪ *Energy.* Food fats provide a concentrated energy source. ▪ *Sensory appeal.* Fats contribute to a food's aroma, flavor, and physical sensation in the mouth. ▪ *Appetite.* Fats stimulate the appetite. ▪ *Texture.* Fats make fried foods crisp and other foods tender. ▪ *Satiety.* Fats in foods contribute to feelings of fullness.

glucose is stored in the form of glycogen. Because glycogen holds a great deal of water, it is quite bulky and heavy, and the body cannot store enough to provide energy for very long. Fats, however, pack tightly together without water and can store much more energy in a small space. Gram for gram, fats provide more than twice the energy of carbohydrate or protein, making fat the most efficient storage form of energy. The body fat of a person whose weight falls in the healthy range contains more than enough energy to fuel an entire marathon run or to battle prolonged illness.

ARZTSAMUI/Shutterstock.com

Internal fat pads help cushion vital organs from shock.

Cushions, Climate, Cell Membranes, and Signaling Fat serves many other purposes in the body. Pads of fat surrounding vital internal organs serve as shock absorbers. Thanks to these fat pads, you can play sports or ride a motorcycle for many hours with no serious internal injuries. A fat blanket under the skin also insulates the body and slows heat loss in cold temperatures, thus assisting with internal climate control. Lipids also play critical roles in all of the body's cells as part of their surrounding envelopes, the cell membranes. Lipids also assist in transmitting cellular signaling messages that help control cell functions.[1*]

Transport and Raw Material Lipids move around the body in association with other lipids, as described in later sections. Once a lipid arrives at its destination, it may serve as raw material for making a number of needed products, among them vitamin D, which helps build and maintain the bones; bile, which assists in digestion; and lipid hormones, which regulate tissue functions.

Key Point

- Lipids provide and store energy, cushion vital organs, insulate against cold temperatures, form cell membranes, participate in cell signaling, transport fat-soluble substances, and serve as raw materials.

*Reference notes are in Appendix F.

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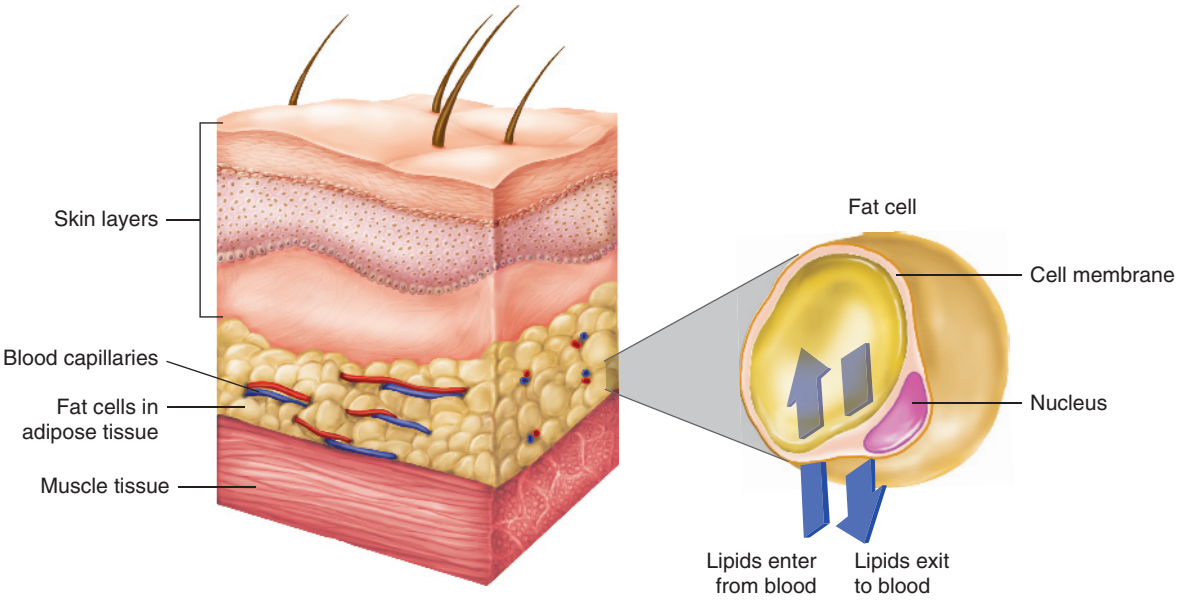
Figure 5–1
A Fat Cell

Within a fat cell, lipid is stored in a droplet. This droplet can greatly enlarge, and the fat cell membrane expands to accommodate its swollen contents. More about adipose tissue and body functions in Chapter 9.



How Are Fats Useful in Food?

Fats in foods are valuable in many ways. They provide concentrated energy and needed substances to the body, and they are pleasing to the palate.

Concentrated Calorie Source Energy-dense fats are uniquely valuable in many situations. A hunter or hiker must consume a large amount of food energy to travel long distances or to survive in intensely cold weather. An athlete must meet often enormous energy needs to avoid weight loss that could impair performance. As Figure 5–2 (p. 144) demonstrates, for such a person fat-rich foods most efficiently provide the needed energy in the smallest package. But for a person who is not expending much energy in physical work, those same high-fat foods may deliver many unneeded calories in only a few bites.

Fat-Soluble Nutrients and Their Absorption Some essential nutrients are lipid in nature and therefore soluble in fat. They often occur in foods that contain fat, and some amount of fat in the diet is necessary for their absorption. These nutrients are the fat-soluble vitamins: A, D, E, and K. Other lipid nutrients are **fatty acids** themselves, including the **essential fatty acids**. Fat also aids in the absorption of some phytochemicals, plant constituents that may be of benefit to health.

Sensory Qualities People naturally like high-fat foods. Fat carries with it many dissolved compounds that give foods enticing aromas and flavors, such as the aroma of frying bacon or French fries. In fact, when a sick person refuses food, dietitians offer foods flavored with some fat to spark the appetite and tempt that person to eat again. Fat also lends crispness to fried foods and tenderness to foods such as meats and baked goods. Around the world, as fats become less expensive and more available in a given food supply, people increasingly choose fatty foods.

A Role in Satiety Fat also contributes to **satiety**, the satisfaction of feeling full after a meal.[2] The fat of swallowed food triggers a series of physiological events that helps to suppress the desire to eat.[3] Still, people can easily overeat on fat-rich foods before the

Do the Math:
Find the percentage of calories from fat.

Fats are energy-dense nutrients:

- 1 g fat = 9 cal
- 1 g carbohydrate = 4 cal
- 1 g protein = 4 cal

Following the general formula given on page 33, find the percentage of calories from fat in a day's meals providing 1,950 calories and 80 g fat.

fatty acids organic acids composed of carbon chains of various lengths. Each fatty acid has an acid end and hydrogens attached to all of the carbon atoms of the chain.

essential fatty acids fatty acids that the body needs but cannot make and so must be obtained from the diet.

satiety (sat-EYE-uh-tee) the feeling of fullness or satisfaction that people experience after meals.

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sensation stops them because the delicious taste of fat stimulates eating, and each bite of a fat-rich food delivers many calories. Chapter 9 revisits the body's complex system of appetite control.

Key Point

- Lipids provide abundant food energy in small packages, enhance aromas and flavors of foods, and contribute to satiety.

Figure 5–2
Two Lunches

Both lunches contain the same number of calories, but the fat-rich lunch takes up less space and weighs less.

Carbohydrate-rich lunch
1 low-fat muffin
1 banana
2 oz carrot sticks
8 oz fruit yogurt

calories ≈ 550
weight (g) ≈ 500

Fat-rich lunch
6 butter-style crackers
1 1/2 oz American cheese
2 oz trail mix with candy

calories ≈ 550
weight (g) ≈ 115

A Close Look at Lipids

LO 5.2 Compare the physical and chemical properties and functions of the three categories of lipids.

Each class of lipids—triglycerides, phospholipids, and sterols—possesses unique characteristics. As mentioned earlier, the term *fat* refers to triglycerides, the major form of lipid found in food and in the body.

Triglycerides: Fatty Acids and Glycerol

Very few fatty acids are found free in the body or in foods; most are incorporated into large, complex compounds: triglycerides. The name almost explains itself: three fatty acids (*tri*) are attached to a molecule of **glycerol** to form a triglyceride molecule (see Figure 5–3). Tissues all over the body can easily assemble triglycerides or disassemble them as needed. Triglycerides make up most of the lipid present both in the body and in food.

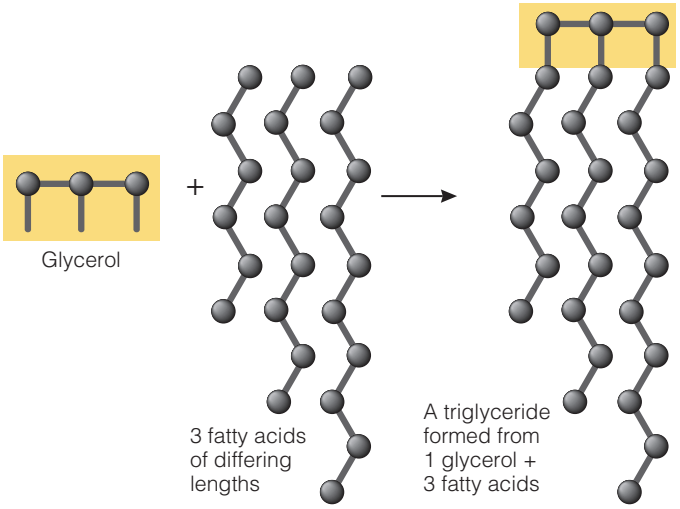
Fatty acids can differ from one another in two ways: in chain length and in degree of saturation (explained next). Triglycerides usually include mixtures of various fatty acids. Depending on which fatty acids are incorporated into a triglyceride, the resulting fat will be softer or harder at room temperature. Triglycerides containing mostly shorter-chain fatty acids or more unsaturated ones are softer and melt more readily at lower temperatures.

Each species of animal (including people) makes its own characteristic kinds of triglycerides, a function governed by genetics. Fats in the diet, though, can affect the types

glycerol (GLISS-er-all) an organic compound, three carbons long, of interest here because it serves as the backbone for triglycerides.

Figure 5–3
Triglyceride Formation

Glycerol, a small, water-soluble carbohydrate derivative, plus three fatty acids equals a triglyceride.



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More details about lipid chemical structures are in **Appendix A**.

of triglycerides made because dietary fatty acids are often incorporated into triglycerides in the body. For example, many animals raised for food can be fed diets containing specific triglycerides to give the meat or milk products the types of fats that consumers demand.[4]

Key Points

- The body combines three fatty acids with one glycerol to make a triglyceride, its storage form of fat.
- Fatty acids in food influence the composition of fats in the body.

Saturated vs. Unsaturated Fatty Acids

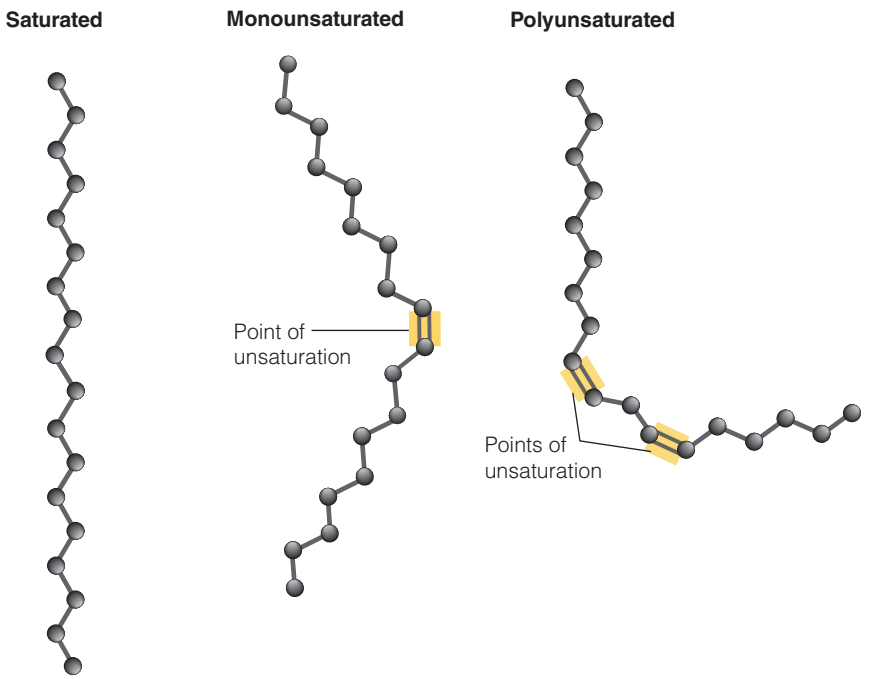
Saturation refers to whether or not a fatty acid chain is holding all of the hydrogen atoms it can hold. If every available bond from the carbons is holding a hydrogen, the chain is a **saturated fatty acid**; it is filled to capacity with hydrogen. The zigzag structure on the left in Figure 5–4 represents a saturated fatty acid.

Saturation of Fatty Acids Sometimes, especially in the fatty acids of plants and fish, the chain has a place where hydrogens are missing: an "empty spot," or **point of unsaturation**.* A fatty acid carbon chain that possesses one or more points of unsaturation is an **unsaturated fatty acid**. With one point of unsaturation, the fatty acid is a **monounsaturated fatty acid** (see the second structure in Figure 5–4). With two or more points of unsaturation, it is a **polyunsaturated fatty acid**, often abbreviated **PUFA** (see the third structure in Figure 5–4. Other examples are given later in this chapter). Often, a single triglyceride contains both saturated and unsaturated fatty acids of varying lengths, making it a mixed triglyceride.

Figure 5–4

Three Types of Fatty Acids

The more carbon atoms in a fatty acid, the longer it is. The more hydrogen atoms attached to those carbons, the more saturated the fatty acid is.



*These points of unsaturation can also be referred to as double bonds.

saturated fatty acid a fatty acid carrying the maximum possible number of hydrogen atoms (having no points of unsaturation). A saturated fat is a triglyceride with three saturated fatty acids.

point of unsaturation a site in a molecule where the bonding is such that additional hydrogen atoms can easily be attached.

unsaturated fatty acid a fatty acid that lacks some hydrogen atoms and has one or more points of unsaturation. An unsaturated fat is a triglyceride that contains one or more unsaturated fatty acids.

monounsaturated fatty acid a fatty acid containing one point of unsaturation.

polyunsaturated fatty acid (PUFA) a fatty acid with two or more points of unsaturation.

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Figure 5–5

Saturation Affects a Fat's Melting Point

The high saturated fat content of a stick of butter keeps it solid at room temperature, but unsaturated oil stays in the liquid state.

© Polara Studios, Inc.

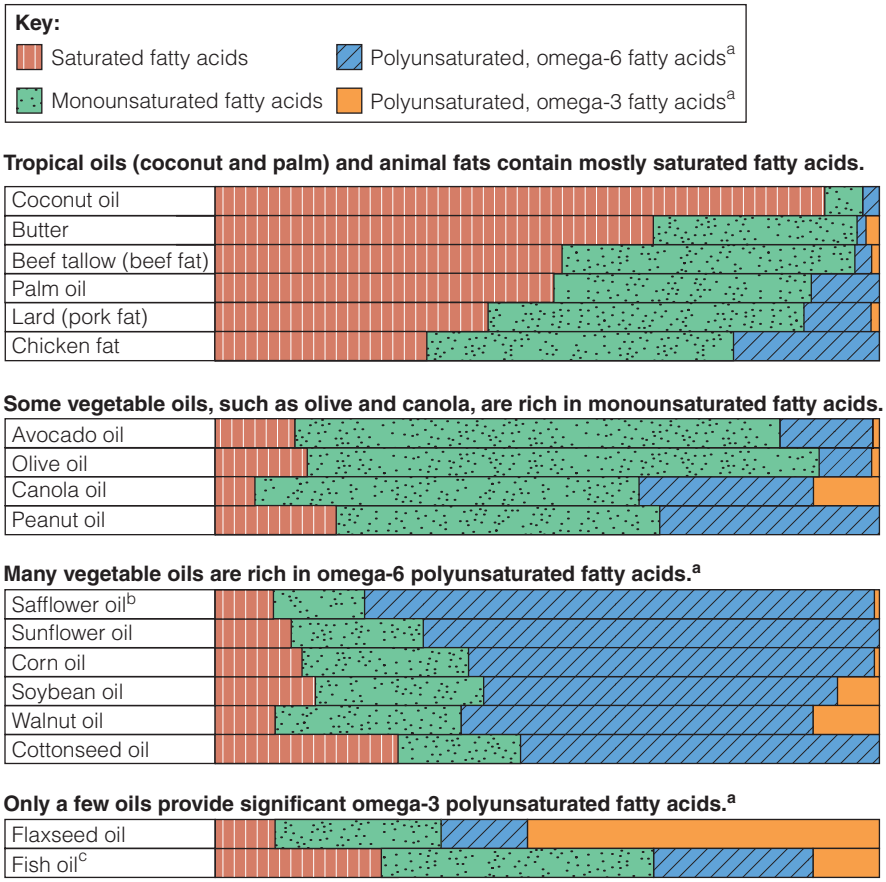
Melting Point and Fat Hardness The degree of saturation of the fatty acids in a fat affects the temperature at which the fat melts. Generally, the more unsaturated the fatty acids, the more liquid the fat will be at room temperature. Conversely, the more saturated the fatty acids, the more solid the fat will be at room temperature. Figure 5–5 illustrates this concept. When butter and oil are both at room temperature, the saturated fats of the butter keep it solid—it has a higher melting point. Thus, looking at three fats in Figure 5–6—beef tallow (a type of beef fat), chicken fat, and safflower oil—beef tallow is the most saturated and the hardest; chicken fat is less saturated and somewhat soft; and safflower oil, which is the most unsaturated, is a liquid at room temperature.

If a health-care provider recommends replacing **saturated fats** and **trans fats** (a topic of a later section) with **monounsaturated fats** and **polyunsaturated fats** to protect your health, you can generally judge by the hardness of the fats which ones to choose. To determine the degree of saturation of fats in the oil you use, place it in a clear container in the refrigerator and watch how solid it becomes. The least saturated oils, such as polyunsaturated vegetable oils, remain clear. Olive

Figure 5–6

Fatty Acid Composition of Common Food Fats

Most fats are a mixture of saturated, monounsaturated, and polyunsaturated fatty acids.



[a]*These families of polyunsaturated fatty acids are explained in a later section.*
[b]*Salad or cooking type more than 70% linoleic acid.*
[c]*Fish oil average values derived from USDA data for salmon, sardine, and herring oils.*
Note: The USDA Nutrient Database (http://ndb.nal.usda.gov) lists the fatty acid contents of many other foods.

saturated fats triglycerides in which most of the fatty acids are saturated.

trans fats fats that contain any number of unusual fatty acids—*trans*-fatty acids—formed during processing.

monounsaturated fats triglycerides in which most of the fatty acids have one point of unsaturation (are monounsaturated).

polyunsaturated fats triglycerides in which most of the fatty acids have two or more points of unsaturation (are polyunsaturated).

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oil, mostly monounsaturated fat, is an exception. It may turn cloudy when chilled, but olive oil is still an excellent choice from the standpoint of the health of the heart, as a later section reveals.

Another exception is the fat of homogenized milk. Highly saturated milk fat normally collects and floats as a layer of cream (butterfat) on top of the watery milk fluids. Once skimmed from the milk and churned into butter, the milk fat quickly hardens in the refrigerator. During **homogenization**, heated milk and cream are forced under high pressure through tiny nozzle openings to finely divide and disperse the fat droplets evenly throughout the milk. Thus, fluid milk can be a source of saturated fat that remains liquid at cold temperatures.

Where Fatty Acids Are Found Most vegetable and fish oils are rich in polyunsaturated fatty acids. Some vegetable oils are also rich in monounsaturated fatty acids. Animal fats are generally the most saturated. But you have to know your oils—it is not enough to choose foods with plant oils over those containing animal fats. Coconut oil, for example, comes from a plant, but its fatty acids—even those of the heavily advertised "virgin" types—are more saturated than those of cream.[5] (By the way, no solid evidence supports claims made by advertisers for special curative powers of coconut oil.) Palm oil, a vegetable oil used in food processing, is also highly saturated. Likewise, **shortening**, stick margarine, and commercially fried or baked products may claim to be or use "all vegetable fat," but much of their fat may be saturated (see details in a later section).

Key Points

- Fatty acids are energy-rich carbon chains that can be saturated (filled with hydrogens) or monounsaturated (with one point of unsaturation) or polyunsaturated (with more than one point of unsaturation).
- The degree of saturation of the fatty acids in a fat determines the fat's softness or hardness.

Phospholipids and Sterols

Thus far, we have dealt with the largest of the three classes of lipids—the triglycerides and their component fatty acids. The other two classes—phospholipids and sterols—play important structural and regulatory roles in the body.

Phospholipids A phospholipid, like a triglyceride, consists of a molecule of glycerol with fatty acids attached, but it contains two, rather than three, fatty acids. In place of the third is a molecule containing phosphorus, which makes the phospholipid soluble in water, while its fatty acids make it soluble in fat. This versatility permits any phospholipid to play a role in keeping fats dispersed in water—it can serve as an **emulsifier**.

Food manufacturers blend fat with watery ingredients by way of **emulsification**. Some salad dressings separate to form two layers—vinegar on the bottom, oil on top, as shown in Figure 5–7. Other dressings, such as mayonnaise, are also made from vinegar and oil, but they never separate. The difference lies in a special ingredient of mayonnaise, the emulsifier lecithin (LESS-ih-thin) of egg yolks. Lecithin, a phospholipid, blends the vinegar with the oil to form a stable **emulsion**: spreadable mayonnaise.

Health-promoting properties, such as the ability to lower blood cholesterol, are sometimes attributed to lecithin, but people making these claims profit from selling supplements. Lecithin supplements have no special ability to promote health—the body makes all of the lecithin it needs.

Phospholipids also play key structural and regulatory roles in the cells. Phospholipids bind together in a strong double layer that forms the membranes of cells. Because phospholipids have both water-loving and fat-loving characteristics, they help fats travel back and forth across the lipid membranes of cells into the watery fluids on both sides. In addition, some phospholipids generate signals inside the cells in response to hormones, such as insulin, to help modulate body conditions.

Figure 5–7
Oil and Water

Without help from emulsifiers, fats and water separate into layers.

Bill Steele/The Image Bank/Getty Images

homogenization a process by which milk fat is evenly dispersed within fluid milk; under high pressure, milk is passed through tiny nozzles to reduce the size of fat droplets and reduce their tendency to cluster and float to the top as cream.

shortening a semi-solid fat made from vegetable oil commonly used for frying foods, or in baked goods to achieve a "short," or flaky, texture.

emulsifier a substance with both water-soluble and fat-soluble portions that mixes with both fat and water and permanently disperses the fat in the water, forming an emulsion.

emulsification the process of mixing lipid with water by adding an emulsifier.

emulsion a mixture of two liquids that do not usually mix, in which tiny particles of one liquid are held suspended in the other.

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Sterols Sterols such as cholesterol are large, complicated molecules consisting of interconnected *rings* of carbon atoms with side chains of carbon, hydrogen, and oxygen attached. Cholesterol serves as the raw material for making emulsifiers in **bile** (see the next section for details), important to fat digestion. Cholesterol is also important in the structure of the cell membranes of every cell, making it necessary to the body's proper functioning. Like lecithin, cholesterol can be made by the body, so it is not an essential nutrient. Other sterols include vitamin D, which is made from cholesterol, and the familiar steroid hormones, including the sex hormones.

Cholesterol forms the major part of the plaques that narrow the arteries in **atherosclerosis**, the underlying cause of heart attacks and strokes. Sterols other than cholesterol exist in the cells of plants. These plant sterols resemble cholesterol in structure and can inhibit cholesterol absorption in the human digestive tract, lowering the cholesterol concentration in the blood. Plant sterols occur naturally in nuts, seeds, legumes, whole grains, vegetables, and fruit and are added to margarine that can then bear a "heart-healthy" claim on the label.

Key Points

- Phospholipids play key roles in cell membranes.
- Sterols play roles as part of bile, vitamin D, the sex hormones, and other important compounds.
- Plant sterols in foods inhibit cholesterol absorption.

Lipids in the Body

LO 5.3 Describe the processes of digestion, absorption, and transportation of lipids in the body.

From the moment they enter the body, lipids affect the body's functioning and condition. They also demand special handling because fat separates from water and body fluids consist largely of water.

How Are Fats Digested and Absorbed?

A bite of food in the mouth first encounters the enzymes of saliva. An enzyme produced by a gland at the base of the tongue plays a major role in digesting milk fat in infants but is of little importance to lipid digestion in adults.

Fat in the Stomach After being chewed and swallowed, food travels to the stomach. Once there, droplets of fat separate from the watery stomach contents and tend to float as a layer on top. Even the stomach's powerful churning cannot completely disperse the fat, so little fat digestion takes place in the stomach.

Fat in the Small Intestine As the stomach contents empty into the small intestine, the digestive system faces a problem: how to thoroughly mix fats, which have separated into a layer, with its own watery fluids. The solution is an emulsifier: bile. Bile, made by the liver, is stored in the gallbladder and expelled through a duct that leads to the small intestine when it is needed for fat digestion. Bile contains compounds made from cholesterol that work as emulsifiers; one end of each molecule attracts and holds fat, while the other end is attracted to and held by water.

Bile emulsifies and suspends fat droplets within the watery fluids (see Figure 5–8) until the fat-digesting enzymes contributed by the pancreas can split them into smaller molecules for absorption. These fat-splitting enzymes act on triglycerides to split fatty acids from their glycerol backbones. Free fatty acids, phospholipids, and **monoglycerides** all cling together in balls surrounded by bile emulsifiers.

To review: first, the digestive system mixes fats with bile-containing digestive juices to emulsify the fats. Then fat-digesting enzymes break down the fats into absorbable pieces. The pieces then assemble themselves into balls that remain emulsified by bile.

bile an emulsifier made by the liver from cholesterol, stored in the gallbladder, and released into the small intestine when needed. Bile does not digest fat as enzymes do but emulsifies it so that enzymes in the watery fluids can act upon it and split the fatty acids from their glycerol for absorption.

atherosclerosis (ATH-er-oh-scler-OH-sis) a disease of the arteries characterized by lipid deposits known as plaques along the inner walls of the arteries; a major cause of cardiovascular disease. Chapter 11 provides details.

monoglycerides (mon-oh-GLISS-er-ides) products of the digestion of lipids; a monoglyceride is a glycerol molecule with one fatty acid attached (*mono* means "one"; *glyceride* means "a compound of glycerol").

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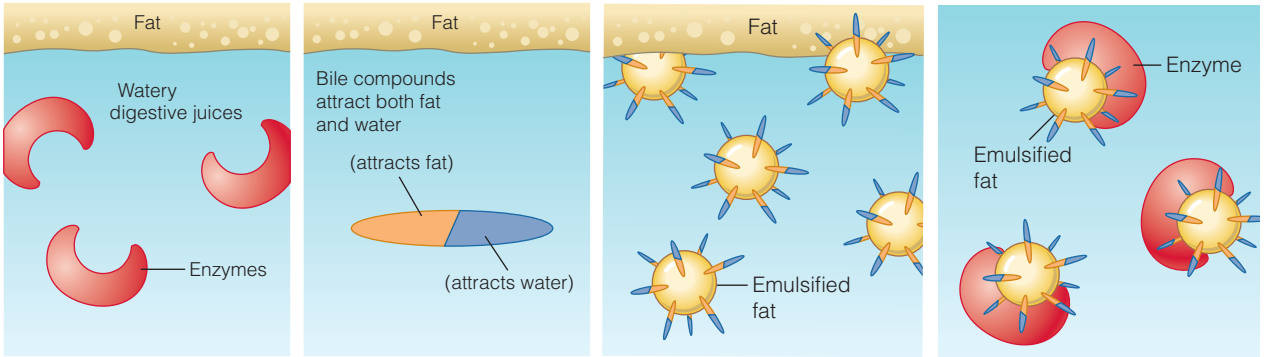
Figure 5–8

The Action of Bile in Fat Digestion

Bile and detergents are both emulsifiers and work the same way, which is why detergents are effective in removing grease spots from clothes. Molecule by molecule, the grease is dissolved out of the spot and suspended in the water, where it can be rinsed away.



Fat and watery digestive juices tend to separate. Enzymes are in the water and can't get at the fat.

Bile compounds have an affinity for both fat and water, so bile can mix fat into water.

When fat enters the small intestine, the gallbladder secretes bile. Bile's emulsifying action breaks large fat globules into small droplets that disperse into the watery digestive juices.

After emulsification, more fat is exposed to the enzymes and fat digestion proceeds efficiently.

People sometimes wonder how a person without a gallbladder can digest food. The gallbladder is just a storage organ. Without it, the liver still produces bile but delivers it to the small intestine instead of into the gallbladder.

Fat Absorption Once split and emulsified, the fats face another barrier: the watery layer of mucus that coats the absorptive lining of the digestive tract. Fats must traverse this layer to enter the cells of the digestive tract lining. The solution again depends on bile, this time in the balls of digested lipids. The bile shuttles the lipids across the watery mucus layer to the waiting absorptive surfaces on cells of the intestinal villi. The cells then extract the lipids. The bile may be absorbed and reused by the body, or it may flow back into the intestinal contents and exit with the feces, as was shown in Figure 4–7 (p. 112). Beyond fat digestion, bile compounds play other diverse and intriguing roles, such as facilitating energy metabolism, signaling the brain and liver, and controlling bacterial growth in the colon.[6]

The digestive tract absorbs triglycerides from a meal with remarkable efficiency: up to 98 percent of fats consumed are absorbed. Very little fat is excreted by a healthy system. The process of fat digestion takes time, though, so the more fat taken in at a meal, the slower the digestive system action becomes. The efficient series of events just described is depicted in Figure 5–9 (p. 150).

Key Points

- In the stomach, fats separate from other food components.
- In the small intestine, bile emulsifies the fats, enzymes digest them, and the intestinal cells absorb them.

How Does Fat Travel Around the Body?

Glycerol and shorter-chain fatty acids pass directly through the cells of the intestinal lining into the bloodstream, where they travel unassisted to the liver. Larger lipids, however, present a problem for the body. As mentioned earlier, fat floats in water. Without some mechanism to keep them dispersed, large lipid globules would separate out of the watery blood as it circulates around the body, disrupting the blood's normal functions. The solution to this problem lies in an ingenious use of proteins: many fats travel from place to place in the watery blood as passengers in **lipoproteins**, assembled packages of lipid and protein molecules.

lipoproteins (LYE-poh-PRO-teens, LIH-poh-PRO-teens) clusters of lipids associated with protein, which serve as transport vehicles for lipids in blood and lymph.

Larger digested lipids, monoglycerides and long-chain fatty acids, must form lipoproteins before they can be released into the lymph in vessels that lead to the bloodstream.

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Figure 5–9

The Process of Lipid Digestion and Absorption

1 In the mouth and stomach:

Little fat digestion takes place.

2 In the small intestine:

Digestive enzymes accomplish most fat digestion in the small intestine. There, bile emulsifies fat, making it available for enzyme action. The enzymes cleave triglycerides into free fatty acids, glycerol, and monoglycerides.

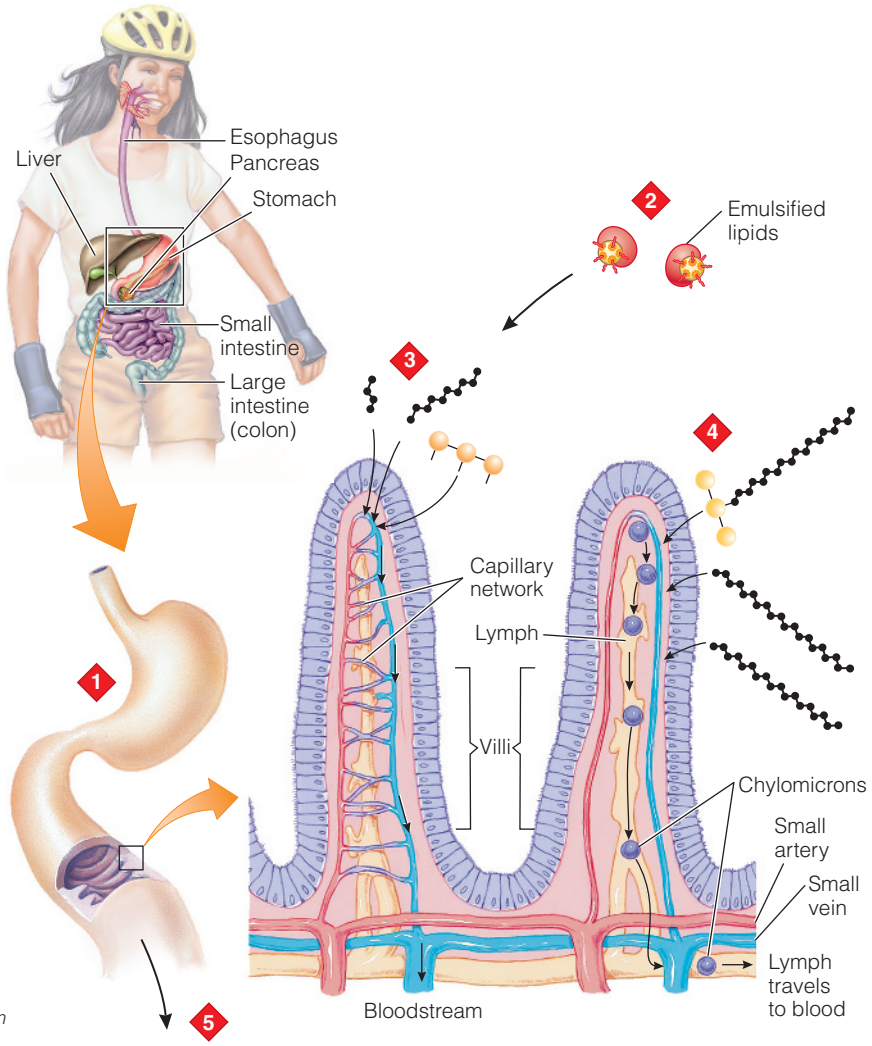
3 At the intestinal lining:

The parts are absorbed by intestinal villi. Glycerol and short-chain fatty acids enter directly into the bloodstream.

4 The cells of the intestinal lining convert large lipid fragments, such as monoglycerides and long-chain fatty acids, back into triglycerides and combine them with protein, forming chylomicrons (a type of lipoprotein) that travel in the lymph vessels to the bloodstream.

5 In the large intestine:

A small amount of cholesterol trapped in fiber exits with the feces.



Note: In this diagram, molecules of fatty acids are shown as large objects, but, in reality, molecules of fatty acids are too small to see even with a powerful microscope, while villi are visible to the naked eye.

Inside the intestinal cells, these lipids re-form into triglycerides and cluster together with proteins and phospholipids to form **chylomicrons** that can safely carry lipids from place to place in the watery blood. Chylomicrons form one type of lipoprotein (as shown in Figure 5–9) and are part of the body's efficient lipid transport system. Other lipoproteins are discussed later with regard to their profound importance to health.

Key Points

- Glycerol and short-chain fatty acids travel in the bloodstream unassisted.
- Other lipids need special transport vehicles—the lipoproteins—to carry them in watery body fluids.

Storing and Using the Body's Fat

LO 5.4 Describe how fats are stored and used by the body.

The conservative body wastes no energy. It methodically stores fat molecules not immediately required for energy. Stored fat serves as a sort of "rainy day" fund to fuel the body's activities at times when food is unavailable, when illness impairs the appetite, or when energy expenditures increase.

chylomicrons (KYE-low-MY-krons) lipoproteins formed when lipids from a meal cluster with carrier proteins in the cells of the intestinal lining. Chylomicrons transport food fats through the watery body fluids to the liver and other tissues.

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The Body's Fat Stores Many triglycerides eaten in foods are transported by chylomicrons to the fat depots—the **subcutaneous** fat layer under the skin, the internal fat pads of the abdomen, the breasts, and others—where they are stored by the body's fat cells for later use.[7] When a person's body starts to run out of available fuel from food, it begins to retrieve this stored fat to use for energy. (It also draws on its stored glycogen, as the last chapter described.)

With sufficient food energy, the body can convert excess carbohydrate to fat, but this conversion is not energy-efficient. Figure 5–10 illustrates a simplified series of conversion steps from carbohydrate to fat. Before excess glucose can be stored as fat, it must first be broken into tiny fragments by enzymes and then reassembled into fatty acids, steps that require energy to perform. (The body also possesses enzymes to convert excess protein to fat or to glucose, but these processes are even less efficient.) Storing fat itself is most efficient; fat requires the fewest chemical steps before storage. This does not mean that excess calories from carbohydrate- and protein-rich foods do not contribute to energy stores in the body, however—far from it. Excess calorie intakes reliably lead to weight gain.

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Body fat supplies much of the fuel these muscles need to do their work.

What Happens When the Tissues Need Energy? Fat cells respond to the call for energy by dismantling stored fat molecules (triglycerides) and releasing fatty acids into the blood. Upon receiving these fatty acids, the energy-hungry cells break them down further into small fragments. Finally, each fat fragment is combined with a fragment derived from glucose, and the energy-releasing process continues, liberating energy, carbon dioxide, and water. The way to use more of the energy stored as body fat, then, is to create a greater demand for it in the tissues by reducing the intake of food energy, by increasing the body's expenditure of energy, or both.

Carbohydrate in Fat Breakdown When fat is broken down to provide cellular energy, carbohydrate helps the process run most efficiently. Without carbohydrate, products of incomplete fat breakdown (ketone bodies) build up in the tissues and blood, and they spill out into the urine.

Carbohydrate's role in fat metabolism is discussed on page 124.

For weight-loss dieters who want to use their body fat for energy, knowing these details of energy metabolism is less important than remembering what research and common sense tell us: successful weight loss depends on taking in less energy than the body needs. The distribution of calories among energy nutrients doesn't matter much in this regard (see Chapter 9). For the body's health, however, the proportions of certain lipids in the diet matter greatly, as the next section makes clear.

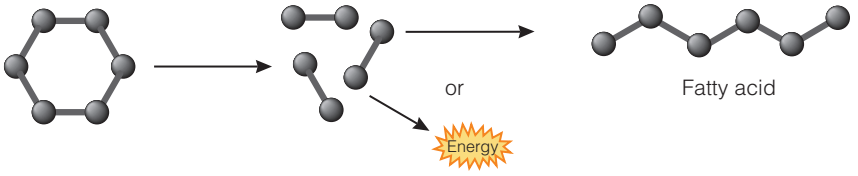
Key Points

- The body draws on its stored fat for energy.
- Carbohydrate is necessary for complete breakdown of fat.

subcutaneous (sub-cue-TAY-nee-us) located beneath the skin.

Figure 5–10
Glucose to Fat

Glucose can be used for energy, or it can be changed into fat and stored.



Glucose is broken down into fragments.

The fragments can provide immediate energy for the tissues.

Or, if the tissues have sufficient energy, the fragments can be reassembled, not back into glucose but into fatty acid chains.

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Dietary Fat, Cholesterol, and Health

LO 5.5 State the significance of blood lipoproteins and dietary fats to health.

High intakes of saturated and trans fats are associated with serious diseases, and particularly with heart and artery disease (cardiovascular disease, or CVD), the number-one cause of death among adults in the United States. So much research is focused on the links between diet and diseases that the whole of Chapter 11 is devoted to presenting the details of these connections.

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People who center their diets on foods rich in saturated fatty acids and ***trans*-fatty acids** often have blood lipid profiles that indicate higher risks of developing CVD. When they replace these foods with those rich in polyunsaturated or monounsaturated fat, their blood lipids often shift toward a profile associated with good health.[8] This chapter's Controversy takes up issues surrounding this advice.

Reducing saturated fats is important, but what replaces them in the diet matters, too. When added sugars and refined carbohydrates take the place of saturated or trans fats, little benefit to health is observed. The greatest benefits can be expected from adopting a dietary pattern that includes protein-rich nuts, seafood, and soy foods; fiber–rich legumes, barley, and oatmeal; and a variety of fruits, vegetables, and other whole foods, with little saturated fat, refined grain, or added sugars.

If you are a woman, take note: these observations apply to you. Heart disease kills more female adults in the United States than any other cause, and the old myth that heart disease is a "man's disease" should be forever put to rest.

Recommendations for Lipid Intakes

As mentioned, some fat is essential to good health. The Dietary Guidelines for Americans recommend that a portion of each day's total fat intake come from a few teaspoons of raw oil, such as found in nuts, avocados, olives, or vegetable oils. A little peanut butter on toast or mayonnaise in tuna salad, for example, can easily meet this need. In addition, the DRI committee sets specific recommended intakes for the essential fatty acids, **linoleic acid** and **linolenic acid**, and they are listed in Table 5–2.

A Healthy Range of Fat Intakes Defining an upper limit—the exact gram amount of fat, saturated fat, or trans fat that begins to harm people's health—is difficult, so no Tolerable Upper Intake Level for the lipids is set. Instead, the DRI committee suggests an intake range of 20 to 35 percent of daily energy from total fat, less than 10 percent of daily energy intake from saturated fat, and as little trans fat as possible. In practical terms, for a 2,000-calorie diet, 20 to 35 percent represents 400 to 700 calories from total fat (roughly 45 to 75 grams, or about 9 to 15 teaspoons).

U.S. Fat Intakes According to surveys, the average U.S. diet provides about 35 percent of total energy from fat, with saturated fat contributing 12 percent of the total.[9] As Figure 5–11 shows, sandwiches (burgers, fried chicken sandwiches, lunch meat subs, and others) are the top providers of saturated fat, but sweets (brownies, candies, cookies, ice cream, snack cakes and bars), dairy, meats, mixed dishes (macaroni and cheese, fried rice, spaghetti and meatballs), and pizza all contribute substantially.

Traditional Mediterranean Fat Intakes In the mid-20th century, people eating the traditional diets of the Mediterranean Sea regions were observed to achieve a rare feat: they consumed a relatively large amount of dietary fat (about 40 percent of calories) while having low rates of cardiovascular diseases.[10] Their diets also provided abundant nutrients from vegetables, legumes, nuts and seeds, fruit, whole grains, fish, other seafood, and some cheeses and yogurt, but little **red meat**, few added sugars, and no ultra-processed foods. Today, the Dietary Guidelines for Americans recommend this Healthy Mediterranean-style Dietary Pattern (Appendix E) for meeting nutrient needs and lowering disease risks.

***trans*-fatty acids** fatty acids with unusual shapes that can arise when hydrogens are added to the unsaturated fatty acids of polyunsaturated oils (a process known as *hydrogenation*).

linoleic (lin-oh-LAY-ic) **acid** an essential polyunsaturated fatty acid of the omega-6 family.

linolenic (lin-oh-LEN-ic) **acid** an essential polyunsaturated fatty acid of the omega-3 family. The full name of linolenic acid is *alpha-linolenic acid*.

red meat flesh food from cattle, pigs, sheep, goats, deer, and other large amimals. Also defined in Chapter 6.

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Table 5–2

Lipid Intake Recommendations for Healthy People

1. Total fat[a]

Dietary Reference Intakes

- An acceptable range of fat intake is estimated at 20 to 35% of total calories.

2. Saturated fat

American Heart Association

- For adults who would benefit from lowering blood LDL cholesterol:
  - Reduce percentage of calories from saturated fat to between 5 and 6%.

Dietary Reference Intakes

- Keep saturated fat intake low, less than 10% of calories, within the context of an adequate diet.

Dietary Guidelines for Americans

- Consume less than 10% of calories per day from saturated fats.

3. Trans fat

American Heart Association

- For adults who would benefit from lowering blood LDL cholesterol:
  - Reduce percentage of calories from trans fat.

Dietary Guidelines for Americans

- Trans fat consumption should be as low as possible without compromising the nutritional adequacy of the diet.

4. Polyunsaturated fatty acids

Dietary Reference Intakes[b]

- Linoleic acid (5 to 10% of total calories):

  17 g/day for young men.

  12 g/day for young women.
- Linolenic acid (0.6 to 1.2% of total calories):

  1.6 g/day for men.

  1.1 g/day for women.

Dietary Guidelines for Americans

- A healthy dietary pattern includes oils.

5. Cholesterol

Dietary Reference Intakes

- Dietary cholesterol consumption should be as low as possible without compromising the nutritional adequacy of the diet:
  - A healthy dietary pattern limits cholesterol.

[a]*Includes monounsaturated fatty acids.*

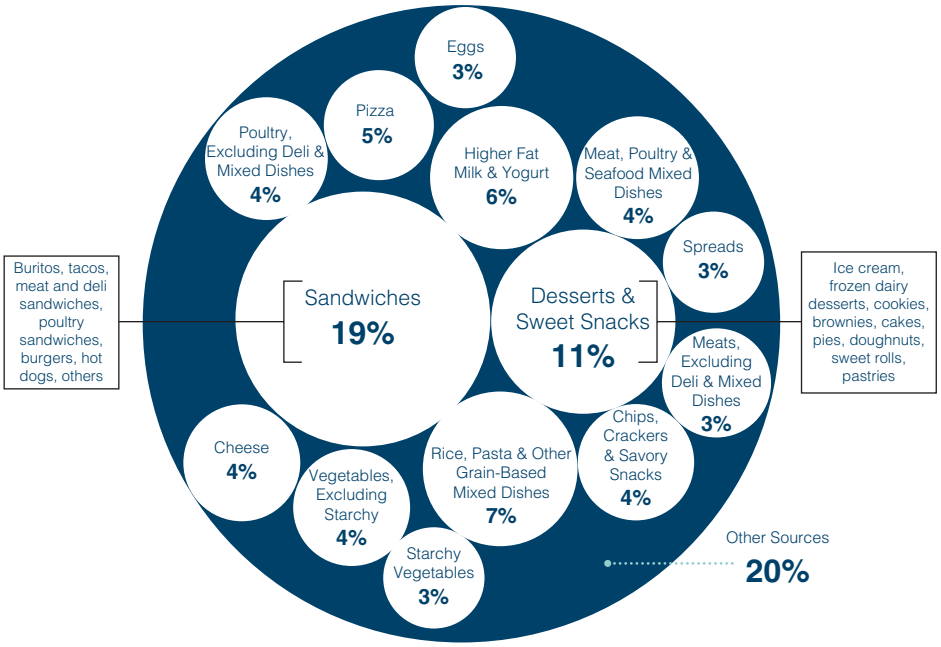
[b]*For DRI values set for various life stages, see the back of the book, p. A.*

The fats of healthy Mediterranean-style diets derive mostly from avocados, **extra virgin olive oil**, fish, olives, nuts, and seeds. These foods are rich in unsaturated fatty acids and phytochemicals, and when they replace the saturated fats of butter, stick margarine, coconut and palm oil, or meats, improvements heart disease risks and its markers, such as blood clotting and inflammation, often follow.[11]

extra virgin olive oil minimally processed olive oil produced by mechanical means, such as pressing (not chemical extraction), to preserve phytochemicals, green color, and flavor from the original olives. The highest grade of olive oil.

Figure 5–11

Top Sources of Saturated Fat in the U.S. Diet



Source: U.S. Department of Agriculture and U.S. Department of Health and Human Services. *Dietary Guidelines for Americans 2020–2025*, 9th Ed. 2020, dietaryguidelines.gov.

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People who eat the Mediterranean way rely on avocado, fatty fish, olive oil, olives, nuts, and seeds for most of their fats.

Appendix E offers USDA's Healthy Mediterranean-style Dietary Pattern.

Eating the Mediterranean way involves more than just adding olives to your taco salad or drizzling olive oil like a magic potion on a cheesy sausage pizza. The right way is to *replace* sources of saturated fat with foods rich in unsaturated oils to keep calories constant and avoid unneeded weight gain that could worsen disease risks.

Too Little Lipid A very few people manage to eat too little fat to support health. Among them are people with eating disorders who eat too little of all foods and misguided athletes hoping to improve performance. When fat intake falls short of the 20 percent minimum, energy, vitamins, and essential fatty acids may also be lacking, and the eater's health may suffer.

Some points about lipids and heart health are presented next because they form the foundation of lipid intake recommendations. The lipoproteins take center stage because they play important roles in the health of the heart.

Key Points

- A small amount of raw oil is recommended each day.
- Energy from fat should provide 20 to 35 percent of the total energy in the diet.
- The high-fat foods of a Mediterranean dietary pattern present mostly unsaturated fats.

Lipoproteins and Heart Disease Risk

Recall that monoglycerides and long-chain fatty acids from digested food fat depend on chylomicrons, a type of lipoprotein, to transport them around the body. Chylomicrons and other lipoproteins are clusters of protein and phospholipids that act as emulsifiers—they attract both water and fat to enable their large lipid passengers to travel dispersed in the watery body fluids. The tissues of the body can extract whatever fat they need from chylomicrons passing by in the bloodstream. The remnants are then picked up by the liver, which dismantles them and reuses their parts.

Major Lipoproteins: Chylomicrons, VLDL, LDL, HDL The body makes four main types of lipoproteins, distinguished by their size and density. Each type contains different kinds and amounts of lipids and proteins: the more lipids, the less dense; the more protein, the more dense. In addition to chylomicrons, the lipoprotein with the least density, the body makes three other types of lipoproteins to carry its fats:

- **Very-low-density lipoproteins (VLDL)**, which transport triglycerides and other lipids made in the liver to the body cells for their use.
- **Low-density lipoproteins (LDL)**, which transport cholesterol and other lipids to the tissues for their use. LDL are what is left after VLDL have donated many of their triglycerides to body cells.
- **High-density lipoproteins (HDL)**, which pick up cholesterol from body cells and carry it to the liver for disposal.

Figure 5–12 depicts typical lipoproteins and demonstrates how a lipoprotein's density changes with its lipid and protein contents.

very-low-density lipoproteins (VLDL) lipoproteins that transport triglycerides and other lipids from the liver to various tissues in the body.

low-density lipoproteins (LDL) lipoproteins that transport lipids from the liver to other tissues such as muscle and fat; contain a large proportion of cholesterol.

high-density lipoproteins (HDL) lipoproteins that return cholesterol from the tissues to the liver for dismantling and disposal; contain a large proportion of protein.

The LDL and HDL Difference The separate functions and effects of LDL and HDL are worth a moment's attention because they carry important implications for the health of the heart and blood vessels:

- Both LDL and HDL carry lipids in the blood, but LDL are larger, lighter, and richer in cholesterol; HDL are smaller, denser, and packaged with more protein.
- LDL deliver cholesterol to the tissues; HDL scavenge excess cholesterol and other lipids from the tissues, transport them via the bloodstream, and deposit them in the liver.
- When LDL cholesterol is too high, it contributes to lipid buildup in tissues, particularly in the linings of the arteries, that can trigger inflammation and lead to heart disease; HDL cholesterol opposes these effects, and when HDL in the blood drops below the recommended level, heart disease risks rise in response.

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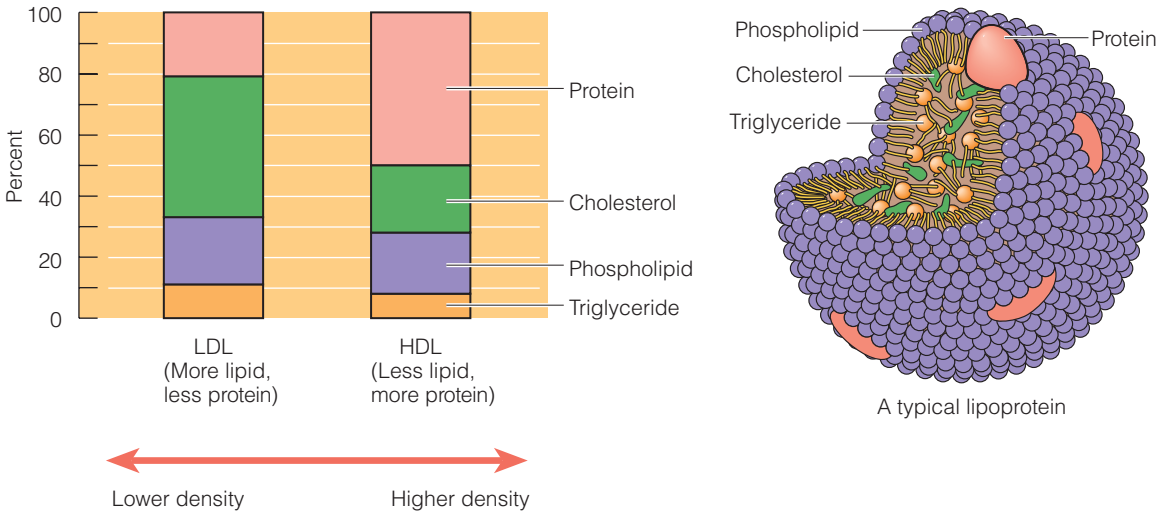
Figure 5–12

Lipoproteins

As the graph shows, the density of a lipoprotein is determined by its lipid-to-protein ratio. All lipoproteins contain protein, cholesterol, phospholipids, and triglycerides in varying amounts. An LDL has a high ratio of lipid to protein (about 80 percent lipid to 20 percent protein) and is especially high in cholesterol. An HDL has a greater proportion of protein relative to its lipid content (about equal parts lipid and protein).



Both LDL and HDL carry cholesterol, but *high* blood *LDL* warns of an increased risk of heart attack, and so does *low* blood *HDL* (Chapter 11 has details). Thus, some people refer to LDL as "bad" cholesterol and HDL as "good" cholesterol—yet they carry the same kind of cholesterol. The key difference to health between LDL and HDL lies in the proportions of lipids they contain and the tasks they perform, not in the *type* of cholesterol they carry.

The Importance of Cholesterol Testing The importance of blood cholesterol concentrations to heart health cannot be overstated.* The blood lipid profile, a medical test mentioned at the beginning of this chapter, tells much about a person's blood cholesterol and the lipoproteins that carry it. High blood LDL cholesterol and low blood HDL cholesterol account for two major risk factors for CVD (see Table 5–3).

Chapter 11 lists the standards for blood lipid profile testing.

Key Points

- The chief lipoproteins are chylomicrons, VLDL, LDL, and HDL.
- High blood LDL and low blood HDL are major heart disease risk factors.

What Does *Food* Cholesterol Have to Do with *Blood* Cholesterol?

The answer may be "Not as much as most people think." Most saturated food fats and trans fats raise harmful blood cholesterol, but food *cholesterol* is not well-established as a factor for raising blood cholesterol values in most people.[12] When told that dietary cholesterol doesn't matter as much as saturated or trans fats, people may then jump to the wrong conclusion—that blood cholesterol doesn't matter. It does matter. High *blood* LDL cholesterol is a major indicator of CVD risk. The two main food lipids associated with raising it are saturated fat and trans fat when intakes exceed recommendations. Genetic inheritance modifies everyone's ability to handle dietary cholesterol, however, so people who tend to develop high blood cholesterol should follow the advice of a physician.

**Blood, plasma,* and *serum* all refer to about the same thing; this book uses the term *blood* cholesterol. Plasma is blood with the cells removed; in serum, the clotting factors are also removed. The concentration of cholesterol is not much altered by these treatments.

Table 5–3

Modifiable Lifestyle Factors in Heart Disease Risk

The more of these factors present in a person's life, the more urgent the need for changes in diet and lifestyle to reduce heart disease risk:

- High blood LDL cholesterol
- Low blood HDL cholesterol
- High blood pressure (hypertension)
- Diabetes (insulin resistance)
- Obesity
- Physical inactivity
- Cigarette smoking
- A diet high in saturated fats, including trans fats, and low in fish, vegetables, legumes, fruit, and whole grains

Family history, older age, and male sex are risk factors that cannot be changed.

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The Dietary Guidelines committee did not set a guideline for dietary cholesterol. Here's why: People who consume a healthy diet that holds saturated fat to less than 10 percent of calories naturally take in less cholesterol because the same foods, such as fatty meats and cheeses, often provide both. The USDA Dietary Patterns of Appendix E meet this goal.

Key Points

- Saturated fat and trans fat intakes raise blood cholesterol.
- Dietary cholesterol has little effect on blood cholesterol in most people.

Recommendations Applied

In a welcome trend, fewer people in the United States have high blood cholesterol than in past decades. Even so, a large number—almost 40 million adults—still test too high for LDL cholesterol.[13] To repeat, dietary saturated fat and trans fat can trigger a rise in LDL in the blood. Conversely, trimming the saturated fat and trans fat from foods and replacing them with monounsaturated and polyunsaturated fats while keeping calories reasonable can lower LDL levels.

Chapter 11 lists the standards for blood lipid profile testing.

Lowering LDL Cholesterol A step toward improving blood lipids is to identify sources of saturated fat in the diet and reduce their intakes. Figure 5–13 shows that, when food is trimmed of fat, it also loses saturated fat and calories. A pork chop trimmed of its border of fat drops almost 70 percent of its saturated fat and 220 calories. A plain baked potato has no saturated fat and contains about 40 percent of the calories of one with butter and sour cream. Choosing fat-free milk over whole milk provides large savings of saturated fat and calories.

Nutritionists know this: the best diet for health not only replaces saturated fats with unsaturated oils but also is adequate, balanced, calorie-controlled, varied, and based mostly on nutrient-dense whole foods. The overall dietary pattern is important, too.

Raising HDL As for blood HDL cholesterol, most dietary measures are ineffective at raising HDL concentration. Regular physical activity raises it effectively and reduces heart disease risks, as the Think Fitness feature points out. Physically active people also reap many other benefits, as Chapter 10 makes clear.

Key Points

- To lower blood LDL cholesterol, follow a healthy dietary pattern that replaces dietary saturated fat and trans fat with polyunsaturated and monounsaturated oils.
- To raise HDL in the blood and lower heart disease risks, be physically active.

Think Fitness

Why Exercise the Body for the Health of the Heart?

Every leading authority recommends physical activity to promote and maintain the health of the heart. The blood, arteries, heart, and other body tissues respond to exercise in these ways:

- Blood lipids shift toward higher HDL cholesterol.
- The muscles of the heart and arteries strengthen and circulation improves, easing delivery of blood to the lungs and tissues.
- A larger volume of blood is pumped with each heartbeat, reducing the heart's workload.
- The body grows leaner, reducing the overall risk of cardiovascular disease.
- Blood glucose regulation is improved, reducing the risk of diabetes.

Start now! Ready to make a change? Set a goal of exercising 30 minutes per day at least five days per week, then track your activity usinig your preferred fitness tracker.

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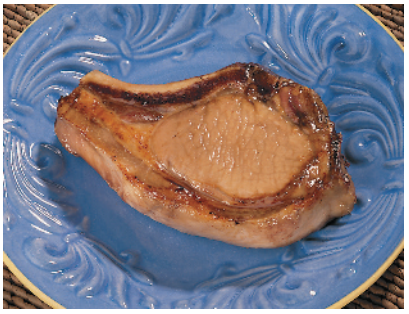
Figure 5–13

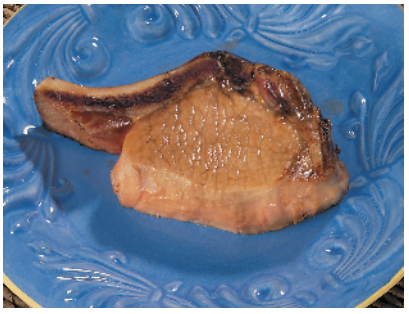
Cutting Fats Cuts Calories and Saturated Fat

The fats in these foods are easy to spot—you can see much of the fat on a pork chop and in a butter pat, and you can read about it on a milk label.

Savings:
110 cal, 10 g fat, 4 g saturated fat

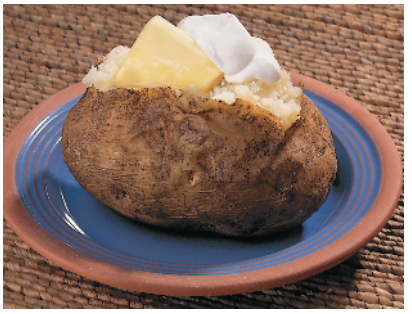


Pork chop with fat
- 340 cal
- 19 g fat
- 7 g saturated fat

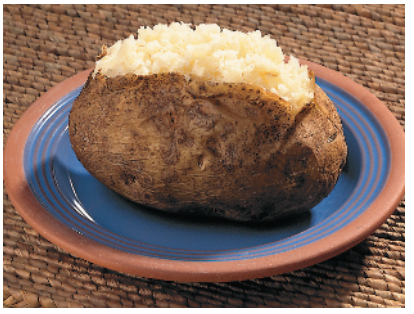


Pork chop trimmed of fat
- 230 cal
- 9 g fat
- 3 g saturated fat

Savings:
150 cal, 14 g fat, 10 g saturated fat



Potato with 1 tbs butter and 1 tbs sour cream
- 350 cal
- 14 g fat
- 10 g saturated fat



Plain potato
- 200 cal
- 0 g fat
- 0 g saturated fat

Savings:
60 cal, 8 g fat, 5 g saturated fat

Whole milk, 1 c
- 150 cal
- 8 g fat
- 5 g saturated fat

Fat-free milk, 1 c
- 90 cal
- 0 g fat
- 0 g saturated fat

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Essential Polyunsaturated Fatty Acids

LO 5.6 Summarize the functions of essential fatty acids.

The human body needs fatty acids, and it can use carbohydrate, fat, or protein to synthesize nearly all of them. Two are well-known exceptions: linoleic acid and linolenic acid. Body cells cannot make these two polyunsaturated fatty acids from scratch, nor can the cells convert one to the other.

Why Do I Need Essential Fatty Acids?

Because the body cannot make linoleic or linolenic acids, they must be supplied by food and are therefore essential nutrients. The DRI committee set recommended intake levels for each (see the back of the book, p. A). A diet deficient in the essential polyunsaturated fatty acids produces symptoms such as skin abnormalities and poor wound healing. In infants, growth is retarded, and vision is impaired. Table 5–4 (p. 158) summarizes established roles of these lipids in the body, but new functions continue to emerge.

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Table 5–4

Functions of the Essential Fatty Acids

Essential fatty acids:

- Provide raw material from which eicosanoids (biologically active lipids) are made.
- Serve as structural and functional parts of cell membranes.
- Contribute lipids to the brain and nerves.
- Promote normal growth and vision.
- Maintain health of the skin, thus protecting against water loss.
- Act as cellular signals that modulate cell and tissue functions.
- Help regulate genetic activities affecting metabolism.
- Participate in immune cell functions.

The body stores some essential fatty acids, so deficiencies are seldom seen except when intentionally induced in research or on rare occasions when inadequate diets have been provided to infants or hospital patients by mistake. In the United States and Canada, such deficiencies are almost unknown among otherwise healthy adults. The story doesn't end there, however.

Key Point

- Deficiencies of the essential fatty acids are harmful but virtually unknown in the United States and Canada.

Omega-6 and Omega-3 Fatty Acid Families

Linoleic acid is the "parent" member of the **omega-6 fatty acid** family, so named for the chemical structure of these compounds. Given dietary linoleic acid, the body can produce other needed members of the omega-6 family. One of these is **arachidonic acid**, notable for its role as a starting material from which the body makes a number of biologically active lipids, known as **eicosanoids**.[14] Acting somewhat like hormones, eicosanoids arise in tissues where they help regulate body functions and then are quickly destroyed. Omega-6 fatty acids are supplied abundantly in the U.S. diet by vegetable oils.

Linolenic acid is the parent member of the **omega-3 fatty acid** family. Given dietary linolenic acid, the body can make other members of the omega-3 series. Two family members of great interest to researchers are **EPA** and **DHA**. The body makes only limited amounts of EPA and even less DHA, but they are found abundantly in the oils of certain fish. U.S. intakes of these oils are limited.

EPA (omega-3) forms its own eicosanoids that often oppose those from arachidonic acid (omega-6). For example, omega-6 eicosanoids act as cellular signals that promote inflammation as part of an immune response to a threat, say, by a microorganism. Omega-3 eicosanoids counter these inflammatory effects—they resolve inflammation and restore normal cellular functions when the threat has passed. A balance between the two is therefore necessary to maintain normal body functions.[15]

Omega-6 eicosanoids exert a stronger influence in this regard that can easily overwhelm the weaker omega-3 effects. An unbalanced system can lead to chronic inflammation, a factor associated with autoimmune diseases, heart and artery diseases, cancers, and other chronic illnesses.

Key Points

- The essential fatty acids fall into two chemical families: omega-6 or omega-3 fatty acids.
- The omega-6 family of polyunsaturated fatty acids includes linoleic acid and arachidonic acid.
- The omega-3 family includes linolenic acid, EPA, and DHA.
- A balance between the omega-6 and omega-3 lipids helps maintain normal body functions.

omega-6 fatty acid a polyunsaturated fatty acid with its endmost double bond six carbons from the end of the carbon chain. Linoleic acid is an example.

arachidonic (ah-RACK-ih-DON-ik) **acid** an omega-6 fatty acid derived from linoleic acid.

eicosanoids (eye-COSS-ah-noyds) biologically active compounds that regulate body functions.

omega-3 fatty acid a polyunsaturated fatty acid with its endmost double bond three carbons from the end of the carbon chain. Linolenic acid is an example.

EPA, DHA eicosapentaenoic (EYE-cossa-PENTA-ee-NO-ick) acid, docosahexaenoic (DOE-cossa-HEXA-ee-NO-ick) acid; omega-3 fatty acids made from linolenic acid in the tissues of fish.

Omega-3 Fatty Acids

An area of active research concerns links between intakes of omega-3 fatty acids and reduced risks of certain diseases. This section describes some of the findings.

Heart Health Years ago, someone thought to ask why the indigenous peoples of the extreme north, who eat a diet very high in animal fat, were reported to have low rates of heart disease. The trail led to their intakes of fish and marine foods, then to the oils in fish, and finally to EPA and DHA in fish oils. EPA and DHA each play critical roles in regulating the heart rate, regulating blood pressure, reducing blood clot formation, reducing blood triglycerides, and, as mentioned, reducing inflammation—all factors associated with heart health.[16]

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Genetic inheritance modifies the body's handling of omega-3 fatty acids, helping to explain why some population studies suggest no benefit from high blood EPA and DHA or from greater intakes of fatty fish. In addition, people with established heart disease may reap the greatest heart and longevity benefits.[17]

Cell Membranes EPA and DHA tend to collect in cell membranes. Unlike straight-backed saturated fatty acids, which physically stack closely together, the kinked shape of unsaturated fatty acids demands more elbow room (look back at Figure 5–4, p. 145).* When the highly unsaturated EPA and DHA amass in cell membranes, they change cellular activities and structures in ways that may promote healthy tissue functioning.

Brain Function and Vision The brain is a fatty organ. A quarter of its dry weight is lipid, and its cell membranes avidly collect DHA in their structures. Once there, DHA may assist in the brain's internal communication, and may reduce inflammation associated with injury or aging.[18] Likewise, the retina of the eye selectively gathers up and holds DHA for its use. Many more details about these remarkable lipids are known.

Key Point

- EPA and DHA play roles in heart health, cell membranes, brain functioning, and vision, among other roles.

Requirements and Sources

Authorities recommend choosing 8 to 12 ounces of a variety of seafood each week to provide an average of 250 mg of EPA and DHA per day, but few people in this country regularly consume this amount. Common foods that provide essential fatty acids are listed in Table 5–5, and the Food Feature (pp. 167–171) suggests ways to include seafood

Table 5–5
Food Sources of Omega-6 and Omega-3 Fatty Acids

Omega-6	
Linoleic acid	Nuts and seeds (cashews, walnuts, sunflower seeds, others) Poultry fat Vegetable oils (corn, cottonseed, safflower, sesame, soybean, sunflower); margarines made from these oils
Omega-3	
Linolenic acid[a]	Nuts and seeds (chia seeds, flaxseeds, walnuts, soybeans) Vegetable oils (canola, flaxseed, soybean, walnut, wheat germ; liquid or soft margarine made from canola or soybean oil) Vegetables (soybeans)
EPA and DHA	Egg, enriched: *75–100 mg DHA/egg* (flaxseed-enriched) *100–130 mg DHA/egg* (fish oil-enriched) Human milk Fish and seafood: *Top contributors: (500–1,800 mg/3.5 oz)* Barramundi, Mediterranean seabass (bronzini), herring (Atlantic and Pacific), mackerel,[b] oyster (Pacific wild), salmon (wild and farmed), sardines, shark,[b] swordfish,[b] tilefish,[b] toothfish (includes Chilean seabass), lake trout (freshwater, wild, and farmed) *Good contributors: (150–500 mg/3.5 oz)* Black bass, catfish (wild and farmed), clam, crab (Alaskan king), croakers, flounder, haddock, hake, halibut, oyster (eastern and farmed), perch, scallop, shrimp (mixed varieties), sole *Other contributors: (25–150 mg/3.5 oz)* Cod (Atlantic and Pacific), grouper, lobster, mahi-mahi, monkfish, orange roughy,[b] red snapper, skate, tilapia, triggerfish, tuna, wahoo

[a]*Alpha-linolenic acid. Also found in the seed oil of the herb evening primrose.*

[b]*King mackerel, orange roughy, shark, swordfish, and tilefish are highest in mercury and should not be consumed by children or pregnant or lactating women (see the Consumer's Guide).*

*Triglyceride structures are depicted in Appendix A.

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A Consumer's Guide to . . . Weighing Seafood's Risks and Benefits

Do you ever stand at a seafood counter or sit in a restaurant imagining a healthy fish dinner but wondering what to choose? These days, seafood comes with some questions: Which fish provides the needed essential fatty acids? Which fish is lowest in toxins or microorganisms that may pose risks to health? Which is better—farmed or wild?

Finding the EPA and DHA

Fish in many forms—fresh, frozen, and canned—makes a nutritious choice because EPA and DHA, along with other key nutrients, survive most cooking and processing. However, the *type* of fish is critical. Among frozen selections, for example, pre-fried fish sticks and fillets are most often made of cod, a nutritious fish but one that provides little EPA and DHA (look again at the bottom of Table 5–5, p. 159).

In fast-food places, fried fish sandwiches are generally cod. These fried fillets derive more of their calories from their oily breading or batter than from the fish itself, and more still from fatty sauces that flavor the bun. Cod, like any fish, provides little fat when served grilled, baked, poached, or broiled. And if it displaces fatty meats from the diet, it may benefit the heart—just don't count on cod for EPA and DHA. In sit-down restaurants, diners can almost always find EPA- and DHA-rich species, such as salmon, on menus—but only if they know which ones to look for.

Concerns about Toxins

Analyses of seafood samples have revealed widespread contamination by toxins, raising concerns about seafood safety, particularly regarding the heavy metal mercury. Mercury escapes from many industries, power plants, and natural sources into the Earth's waterways, where bacteria in the water convert it into a highly toxic form, **methylmercury**. Methylmercury then concentrates in the flesh of large predatory species of both saltwater and freshwater fish. Cooking and processing do not diminish mercury or other industrial toxins in seafood.

Mercury damages living tissues, and even a moderate exposure might present risks to health. Currently, for most people, the benefits of eating seafood far outweigh the risks, and parents and children alike are urged to eat the recommended amounts of safer varieties of fish.[1]*

methylmercury any toxic compound of mercury to which a characteristic chemical structure, a methyl group, has been added, usually by bacteria in aquatic sediments. Methylmercury is readily absorbed from the intestine and causes nerve damage in people.

Special Populations

Children and pregnant and lactating women have a critical need for EPA and DHA, but they are also most susceptible to harm from the mercury that contaminates many food fish species. For children, the U.S. Food and Drug Administration (FDA) suggests one or two age-appropriate weekly servings of a variety of lower-mercury seafood.[2] For women who are pregnant or breastfeeding, eating 8 to 12 ounces weekly of a variety of lower-mercury seafood, including some EPA- and DHA-rich species, is compatible with good health. However, intakes of white albacore tuna, a high-mercury fish, should be limited to no more than 6 ounces per week, and tilefish, shark, swordfish, and king mackerel should be off the menu entirely because their mercury content is too high for children and pregnant and nursing women.

Cooked vs. Raw

Many people love sushi, but authorities never recommend eating raw fish and shellfish—doing so causes many cases of serious or fatal bacterial, viral, and other illnesses each year (Chapter 12 provides many details). Cooking easily kills off all illness-causing microorganisms, making seafood safe to eat.

** Reference notes are in Appendix F.*

Fresh from the Farm

Are farm-raised fish safer? Compared with wild fish, farm-raised fish tend to collect somewhat less methylmercury in their flesh, and the levels of other harmful pollutants generally test below the maximums set by the FDA.[3] However, fish "farms" are often giant ocean cages, exposed to whatever contaminants float by in the water. The contamination of fish serves as a reminder that our health is inextricably linked with the health of our planet (details in Chapter 15).

Moving Ahead

Keep these pointers in mind:

- Choose a variety of fish and shellfish (prepared without the addition of saturated fats) instead of red meat several times a week—people who do generally stay healthier than those who don't.
- Apply the dietary principles of adequacy, moderation, and variety to obtain the benefits of seafood while minimizing risks.
- Don't eat raw seafood.

In conclusion, use a variety of seafood to meet your needs—just don't go overboard.

Review Questions†

1. Methylmercury is a toxic industrial pollutant that is easily destroyed by cooking. T F
2. Children and pregnant or lactating women should definitely not consume fish because of contamination. T F
3. Cod is one of the richest sources of the beneficial fatty acids, EPA and DHA. T F

† Answers to Consumer's Guide review questions are found in Appendix G.

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in the diet. Some eggs are enriched with EPA and DHA by feeding laying hens a diet rich in fish oil or algae oil; feeding flaxseed enriches eggs to a lesser degree.

As for fish oil supplements and the heart, no clear evidence supports their use. In one study, preparations of EPA and DHA performed no better than corn oil capsules in preventing heart attacks and other adverse outcomes in over 13,000 high-risk heart patients.[19] In another, fish oil supplements were deemed ineffective to prevent heart disease or dangerous irregular heartbeats.[20] In contrast, two meta-analyses concluded the opposite—that in therapeutic doses, EPA and DHA appeared to reduce such adverse outcomes as heart attacks, CVD deaths, and CVD occurrence.[21] Research is underway to untangle these findings.

Large doses of fish oil from supplements carry risks, such as increased bleeding, delayed wound healing, and immune suppression, so supplements are not the preferred source of these oils for most people. This illustrates an important concept in nutrition: too much of a nutrient is often as harmful as too little.

Key Points

- Most people should increase their seafood consumption.
- Supplements of omega-3 fatty acids or fish oil are not recommended for most people.

The Effects of Processing on Unsaturated Fats

LO 5.7 Outline the process of hydrogenation and its effects on health.

Vegetable oils make up most of the added fat in the U.S. diet because fast-food chains use them for frying, food manufacturers add them to processed foods, and consumers tend to choose margarine over butter. Consumers of vegetable oils may feel safe in choosing them because they are generally less saturated than animal fats. If consumers choose a liquid oil, they may be justified in feeling secure. If the choice is a processed food, however, their security may be questionable.

Moving Moment/Shutterstock.com

What Is "Hydrogenated Vegetable Oil," and What's It Doing in My Chocolate Chip Cookies?

When manufacturers process foods, they often alter the fatty acids in the fat (triglycerides) the foods contain through a process called **hydrogenation**. Hydrogenation of fats makes them resistant to **oxidation**, and helps them stay fresher longer. It changes their physical properties.

Hydrogenation of Oils Points of unsaturation in fatty acids are weak spots that are vulnerable to attack by oxygen damage. When the unsaturated points in the oils of food are oxidized, the oils become rancid and the food tastes "off." This is why cooking oils should be stored in tightly covered containers that exclude air. If stored for long periods, they need refrigeration to retard oxidation.

One way to prevent spoilage of unsaturated fats and also to make them harder and more stable when heated to high temperatures is to change their fatty acids chemically by hydrogenation, as shown on the left side of Figure 5–14 (p. 162). When food producers force hydrogen into liquid oil, unsaturated fatty acids become more saturated as they accept the hydrogen, and the oil hardens. The resulting product is more saturated and more spreadable than the original oil. It is also more resistant to damage from oxidation or breakdown from high cooking temperatures. Hydrogenated oil has a high **smoking point**, so it is suitable for frying foods at high temperatures in restaurants.

hydrogenation (high-dro-gen-AY-shun) the process of adding hydrogen to unsaturated fatty acids to make fat more solid and resistant to the chemical change of oxidation. A *partially hydrogenated* polyunsaturated fat tends to form more *trans*-fatty acids than those that are fully hydrogenated, and so are banned from the U.S. food supply.

oxidation interaction of a compound with oxygen; in this case, a damaging effect by a chemically reactive form of oxygen. Chapter 7 provides details.

smoking point the temperature at which fat gives off an acrid blue gas.

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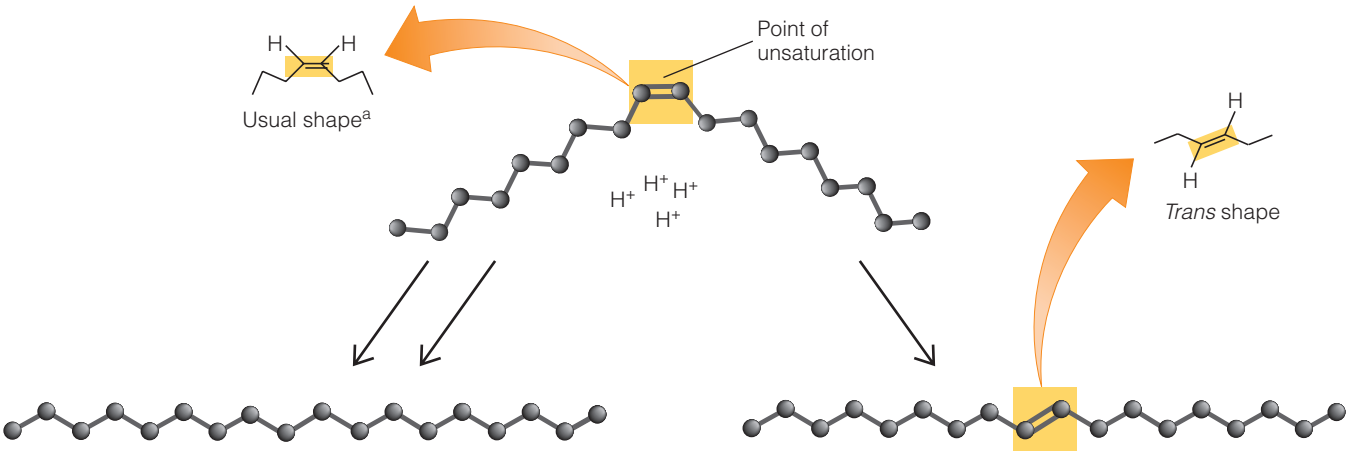
Figure 5–14

Hydrogenation Yields Both Saturated and *Trans*-Fatty Acids

Unsaturated fatty acid
Points of unsaturation are places on fatty acid chains where hydrogen is missing. The bonds that would normally be occupied by hydrogen in a saturated fatty acid are shared as a somewhat unstable double bond between two carbons.



Hydrogenated fatty acid (now fully saturated)
When a positively charged hydrogen is made available to an unsaturated bond, it readily accepts the hydrogen and, in the process, becomes saturated. The fatty acid no longer has a point of unsaturation.

***Trans*-fatty acid**
The hydrogenation process also produces some *trans*-fatty acids. The *trans*-fatty acid retains its double bond but takes a twist instead of becoming fully saturated.

[a] *The usual shape of the double bond structure is known as a cis (pronounced sis) formation.*

Nutrient Losses Once fully hydrogenated, oils lose their unsaturated character and the health benefits that go with it. Hydrogenation may affect not only the essential fatty acids in oils but also vitamins, such as vitamin K, decreasing their activity in the body. If you, the consumer, are looking for health benefits from polyunsaturated oils, hydrogenated oils such as those in shortening or stick margarine will not meet your needs.

Key Points

- Vegetable oils become more saturated when they are hydrogenated.
- Hydrogenated vegetable oils are useful, but they lose the health benefits of unsaturated oils.

What Are *Trans*-Fatty Acids, and Are They Harmful?

Trans-fatty acids form during incomplete or partial hydrogenation. When polyunsaturated oils are partially hardened by hydrogenation, some of the unsaturated fatty acids end up changing their shapes instead of becoming saturated (look at the right side of Figure 5–14). This change in chemical structure creates unsaturated *trans*-fatty acids that are similar in shape to saturated fatty acids.

Health Effects of *Trans*-Fatty Acids Consuming manufactured trans fat in partially hydrogenated shortenings and other fats poses a risk to the heart and arteries by raising blood LDL cholesterol, worsening atherosclerosis, exerting toxic effects on the heart, and increasing tissue inflammation; high intakes are associated with increased CVD and sudden death.[22] In addition, when hydrogenation changes essential fatty acids into their saturated or trans counterparts, consumers lose the health

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benefits of the original raw oil. For these reasons, partially hydrogenated oils are now banned from the U.S. food supply. A small amount of naturally occurring trans fat also comes from animal sources, such as milk and lean beef, but these trans fats have little effect on blood lipids.

Are Today's Fats Safer Than the Old Ones? In 2018, the FDA enacted a ban to eliminate sources of harmful trans fats from the U.S. food supply, creating a sudden demand for trans-free commercial fats. DNA scientists responded by genetically engineering oil-bearing seed plants, such as canola and sunflower, to produce less linolenic acid, an essential polyunsaturated fatty acid that readily forms trans fats during hydrogenation. In another tactic, the triglycerides in oils are chemically altered by rearranging their fatty acids on their glycerol backbones, giving them desirable qualities for food manufacturing without *trans*-fatty acids.[23]* These new fats perform as well as the old high-trans fats did, so they are widely applied in baked goods, candies, fried snacks, and many other uses.

If a fatty food is free of *trans*-fatty acids, is it safe for the heart? It may be, but most new fats merely swap trans fat for saturated fat—and saturated fat imposes risks of its own. (More about bioengineering of foods in Controversy 12.)

Key Points

- The process of hydrogenation creates *trans*-fatty acids.
- Trans fats, like saturated fats, are harmful to the heart and arteries.
- Most manufactured trans fats are now banned from the U.S. food supply.

Fat in the Diet

LO 5.8 Identify sources of fats among the food groups.

The remainder of this chapter will enlighten readers who are evaluating the fat contents of foods. A way to find lipid values of many foods is to access an online nutrient database, such as USDA's "What's in the Foods You Eat" search tool.†

Get to Know the Fats in Foods

Fats, naturally occurring or added, are widely distributed among foods. Learning their sources can help you choose wisely among them.

Essential Fats Everyone needs the essential fatty acids and vitamin E provided by such foods as fish, nuts, and vegetable oils. Infants receive them indirectly via breast milk, but all others must choose the foods that provide them. Luckily, the amount of fat needed to provide these nutrients is small—just a few teaspoons of raw oil a day and two servings of seafood a week are sufficient. Most people consume more than this minimum amount. The goal is to choose unsaturated fats in liquid oils instead of saturated fats as often as possible.

Craevschii Family/Shutterstock.com

These foods provide mostly unsaturated oils, along with other important nutrients.

Visible vs. Invisible Fats The fat of some foods, such as the rim of fat on a steak, is visible (and therefore identifiable and removable). Other fats, such as those in candy, cheeses, coconut, hamburger, homogenized milk, and lunchmeats, are invisible (and therefore easily missed or ignored). Equally hidden are the fats blended into biscuits, cakes, cookies, chip dips, ice cream, mixed dishes, pastries, sauces, and creamy soups and in fried foods and spreads. Invisible fats supply most of the saturated fat in the U.S. diet.

Replace, Don't Add Keep in mind that, whether solid or liquid, essential or nonessential, all fats bring the same abundant calories to the diet and excesses contribute

*The process of redistributing fatty acids on a glycerol backbone is called interesterification (IN-ter-es-terr-if-feh-KAY-shun).

†USDA's What's In The Foods You Eat Search Tool; search the USDA website (www.usda.gov) for "What's In The Foods You Eat."

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to body fat stores. Each of the following provides about 5 grams of fat, 45 calories, and negligible protein and carbohydrate:

- 1 teaspoon oil or shortening
- 1½ teaspoons mayonnaise, butter, or margarine
- 1 tablespoon regular salad dressing, cream cheese, or heavy cream
- 1½ tablespoons sour cream

Remember to replace and not add. No benefits can be expected when oil is added to an already fat-rich diet.

Do the Math:
Calculate the percentage of calories from fat in ground meat.

A ground beef label may state "85% lean," but this number refers to *weight*. To calculate the percentage of *calories* from fat, use the general equation of Chapter 2 (p. 33 margin).

For a quarter pound of beef hamburger with 328 calories and 24 grams of fat:

24 g × 9 cal/g = 216 cal from fat
(216 ÷ 328) × 100 = 66.85%

This hamburger patty derives almost two-thirds of its *calories* from fat. What percentage of calories from fat does a hamburger with 425 calories and 30 grams of fat provide?

Fats in Protein Foods

The marbling of meats and the fat ground into lunchmeat, chicken products, and hamburger conceal a hefty portion of the saturated fat that people consume. All meats contain about equal amounts of protein, but their fat, saturated fat, and calorie amounts vary significantly. Figure 5–15 shows the fat and calorie data on packages of ground meats, and it depicts the amount of fat provided by a 3-ounce serving of each kind. Nutrition Facts panels list the fat contents of many packaged meats.

Definitions of terms relating to the fat contents of meats were provided in **Chapter 2**, p. 54.

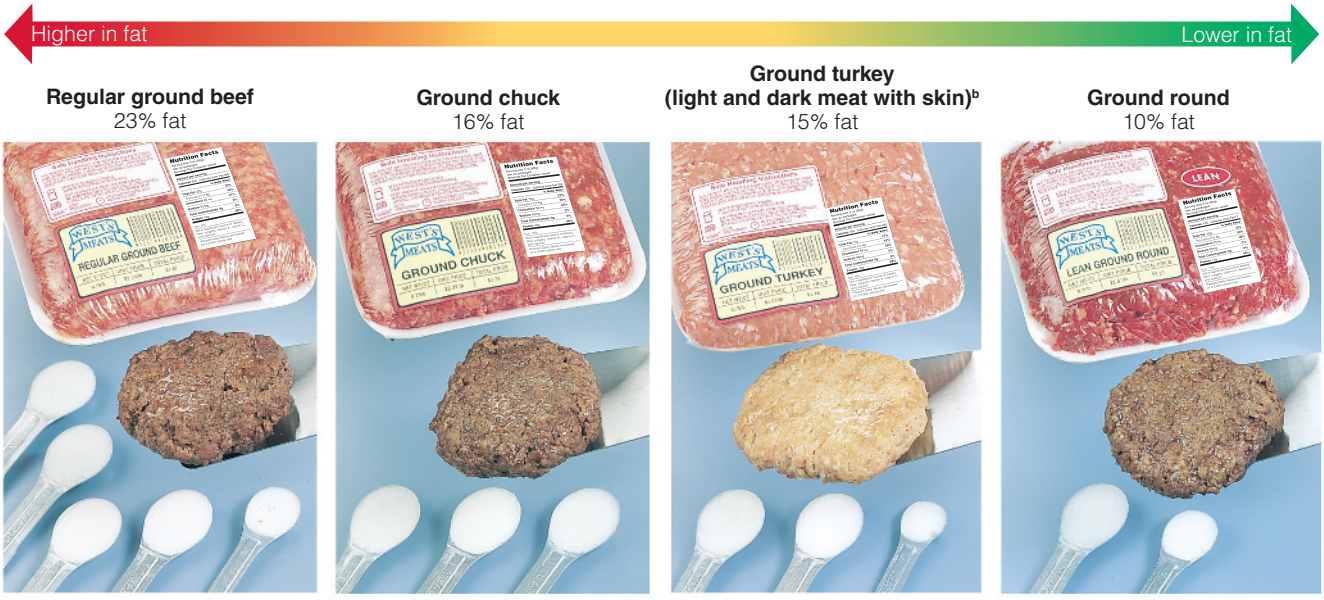
The USDA Dietary Patterns (see Chapter 2) suggest that most adults limit their intakes of protein foods to about 5 to 7 ounces a day. For comparison, the smallest fast-food hamburger weighs about 3 ounces. Steaks served in restaurants often run 8, 12, or 16 ounces, more than a whole day's meat allowance. You may have to weigh a serving or two of meat to see how much you are eating.

Meat: Mostly Protein or Fat? People recognize meat as a protein-rich food, but a close look at some nutrient data reveals a surprising fact. A fast-food hamburger made

Figure 5–15

Calories, Fat, and Saturated Fat in Cooked Ground Meat Patties[a]

Only the ground round, at 10 percent fat by raw weight, qualifies to bear the word *lean* on its label. To be called "lean," products must contain fewer than 10 grams of fat and 4 grams of saturated fat per 100 grams of food. (The red labels on these packages list rules for safe meat handling, explained in Chapter 12.)



Regular ground beef		Ground chuck		Ground turkey		Ground round	
240 cal/3 oz[a]	4½ tsp fat 8 g saturated fat	190 cal/3 oz[a]	3 tsp fat 6 g saturated fat	150 cal/3 oz[a]	2¼ tsp fat 3 g saturated fat	150 cal/3 oz[a]	1½ tsp fat 4 g saturated fat

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[a]*All patties weigh three ounces, cooked. Larger servings will, of course, provide more fat, saturated fat, and calories than the values listed here.*

[b]*Fat content varies. Ground turkey breast without skin often contains less than 2% fat.*

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Figure 5–16

Lipids in Dairy Products

Red boxes below indicate foods with lipid contents that warrant moderation in their use. Green indicates lower-fat choices.

Nutrition Facts

Amount Per Serving

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Fat-free, skim, zero-fat, no-fat, or nonfat milk, 8 oz (<0.5% fat by weight)

Calories 80	Calories from Fat 0
	% Daily Value*
Total Fat 0g	**0%**
Saturated Fat 0g	**0%**

Low-fat milk, 8 oz (1% fat by weight)

Calories 105	Calories from Fat 20
	% Daily Value*
Total Fat 2g	**3%**
Saturated Fat 1.5g	**8%**

Low-fat cheddar cheese, 1.5 oz

Calories 70	Calories from Fat 30
	% Daily Value*
Total Fat 3g	**5%**
Saturated Fat 2g	**10%**

Strawberry yogurt, 8 oz

Calories 250	Calories from Fat 45
	% Daily Value*
Total Fat 5g	**8%**
Saturated Fat 3g	**15%**

Whole milk, 8 oz (3.3% fat by weight)

Calories 150	Calories from Fat 70
	% Daily Value*
Total Fat 8g	**12%**
Saturated Fat 5g	**25%**

Reduced-fat, less-fat milk, 8 oz (2% fat by weight)

Calories 120	Calories from Fat 45
	% Daily Value*
Total Fat 5g	**8%**
Saturated Fat 2g	**10%**

Cheddar cheese, 1.5 oz

Calories 165	Calories from Fat 130
	% Daily Value*
Total Fat 14g	**22%**
Saturated Fat 9g	**45%**

Low-fat strawberry yogurt, 8 oz

Calories 240	Calories from Fat 20
	% Daily Value*
Total Fat 2.5g	**4%**
Saturated Fat 2g	**10%**

with a 4-ounce beef patty contains 23 grams of protein and 23 grams of fat, more than 8 of them saturated fat. Because protein offers 4 calories per gram and fat offers 9, the meat of the sandwich provides 92 calories from protein but 207 calories from fat. Hot dogs, fried chicken sandwiches, and fried fish sandwiches also provide hundreds of mostly invisible calories of fat. Because so much meat fat is hidden from view, meat eaters can easily and unknowingly consume a great many grams of saturated fat from this source.

Tips for Limiting Fats from Meats When choosing beef or pork, look for lean cuts named *loin* or *round* from which the fat can be trimmed, and eat small portions. Chicken and turkey flesh are naturally lean, but commercial processing and frying add fats, especially to "patties," "nuggets," "fingers," and wings. Watch out for ground turkey or chicken products. The skin is often ground in to add pleasing moistness, but the food ends up with more fat than the amount found in many cuts of lean beef. Also, some people (even famous chefs) misinterpret Figure 5–6 (p. 146), reasoning that, if poultry or pork fat is less saturated than beef fat, it must be harmless to the heart. Nutrition authorities emphatically state, however, that all sources of saturated fat pose a risk and that even the skin of poultry should be removed before eating the food.

Key Point

- Meats account for a large proportion of the hidden saturated fat in many people's diets.

Dairy Products

Dairy products go by many names that reflect their varying fat contents, as shown in Figure 5–16. A cup of homogenized whole milk contains the protein and carbohydrate of fat-free milk, but in addition, it contains about 80 extra calories from butterfat, a mostly

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saturated fat. A cup of reduced-fat (2 percent fat) milk falls between whole and fat-free, with 45 calories of fat. The fat of whole milk occupies only a teaspoon or two of the volume but nearly doubles the calories in the milk.

Milk and yogurt appear together in the Dairy Products group, but cream and butter do not. Milk and yogurt are rich in calcium and protein, but cream and butter are not. Cream and butter are high in saturated fat, as are whipped cream, sour cream, and cream cheese, and they are properly grouped together with other fats. Other cheeses, grouped with milk products, vary in their fat contents and are major contributors of saturated fat in the U.S. diet.

Key Point

- Dairy products bear names that identify their fat contents.

Grains

Grain foods in their natural state are very low in fat, but fats of all kinds may be added during manufacturing, processing, or cooking (see Figure 5–17). In fact, grain-based desserts, such as cookies, cakes, and pastries, which are often prepared with butter, margarine, or shortening, are among the top contributors of saturated fat in the U.S. diet (look back at Figure 5–11, p. 153). Other grain foods with high fat contents include biscuits, cornbread, granola and some other ready-to-eat cereals, croissants, doughnuts, fried rice, pasta with creamy or oily sauces, fried tortillas (crisp taco shells), snack and party crackers, muffins, pancakes, and homemade waffles. Packaged breakfast bars often resemble vitamin-fortified candy bars in their fat and sugar contents.

Now that you know where the fats in foods are found, how can you reduce or eliminate the harmful ones? The Food Feature provides some pointers.

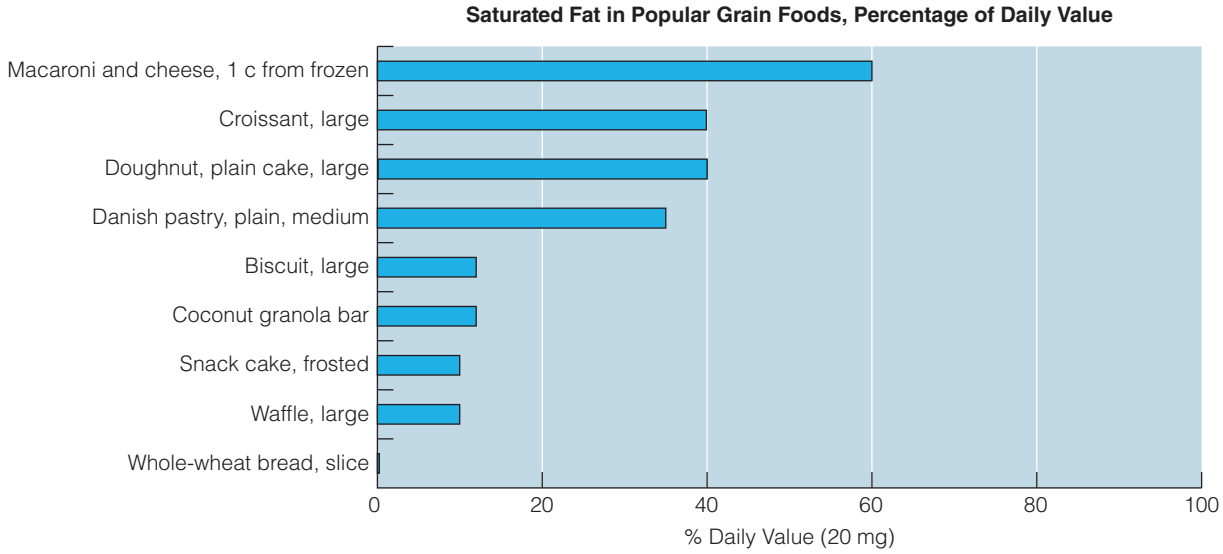
Key Points

- Fats in grain foods are often well hidden.
- Fats added to grain foods contribute significant saturated fat to the diet.

Figure 5–17

Saturated Fat in Popular Grain Foods

Plain cooked grains are naturally low in saturated fat, but manufacturers often add saturated-fat-rich ingredients to popular grain-based foods during processing. The values below are for one item; one doughnut, for example, delivers 40 percent of the Daily Value for saturated fat; two doughnuts deliver 80 percent, and so forth.



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Food Feature Defensive Dining

LO 5.9 Describe ways to reduce saturated fats in an average diet.

Following today's lipid guidelines can be tricky. To reduce intakes of saturated and *trans*-fatty acids, for example, you need to identify food sources of these fatty acids in your diet (see Table 5–6). Then, to replace them appropriately, you need to identify suitable replacements to include in your own dietary pattern. Here are some tips to help simplify these feats:

1. Select the most nutrient-dense foods from all food groups. Warning: Saturated fats and high-calorie choices lurk in every group.
2. Consume fewer and smaller portions of foods and beverages that contain saturated fats.
3. Replace saturated fats with liquid oils whenever possible.
4. Check Nutrition Facts labels and select foods with little saturated fat and no trans fat.

Such advice is easily dispensed but not easily followed, however. Here are some tips.

Table 5–6

Saturated Fat Ingredients on Labels

- Beef fat
- Butter
- Chicken fat
- Coconut oil
- Cream
- Hydrogenated oil
- Margarine
- Milk fat
- Palm kernel oil; palm oil
- Partially hydrogenated oil
- Pork fat (lard)
- Shortening

In the Grocery Store

Making informed choices in the grocery store can save you many grams of saturated and trans fats. Armed with label information, you can decide whether to use a food often as a staple item, limit it to an occasional treat, or reject it altogether. For example, plain frozen vegetables without butter or other high-fat sauces are a staple food—they are high in nutrient density and devoid of saturated fats. Within calorie limits, vegetables with olive oil or other unsaturated oils are also low in saturated fats.

Make similar distinctions among precooked meats. Avoid those that are coated and fried or prepared in fatty gravies. Try rotisserie chicken from the deli section—rotisserie cooking lets a good deal of fat drain away. Removing the skin leaves only chicken meat—a nutrient-dense food.

Choosing Seafood

Grocery stores offer many kinds and forms of seafood, such as salmon (fresh, canned, or broiled in the deli), canned tuna, and many frozen fillets, scallops, or shrimp that can help meet your need for omega-3 fatty acids. Look back at Table 5–5, p. 159, for a list of good sources. Limit fried fish sticks and breaded fillets, as well as seafood prepared in butter or creamy sauces. Stock pantry shelves with canned salmon, sardines, or tuna for a quick lunch; keep plain frozen fillets and seafood in the freezer for a week or two to sauté or bake from frozen (no defrosting necessary). (Use up fresh fish within a day or two after purchasing it.) Twice a week, try one of these quick meals:

- Tuna salad sandwich on whole-grain bread
- Tuna melt on a whole-wheat English muffin with low-fat cheddar cheese
- Grilled fish tacos with shredded coleslaw mix and salsa
- Crab cakes or salmon cakes with a sauce of Greek yogurt, capers, and dill
- Smoked or grilled salmon or other fish as a main dish or in pasta salad
- Manhattan-style clam chowder or other broth-based seafood soups for lunch or supper
- Sardines on whole-grain bread or crackers
- Sushi made with cooked seafood
- Shrimp marinated in Italian dressing or lime juice tossed with black beans, onion, and corn for a delicious salad

Choosing among Margarines

Soft or liquid margarines made from unhydrogenated vegetable oils are mostly unsaturated, so they make better choices than butter or stick margarines. Some margarines are made with extra virgin olive oil or omega-3 fatty acids, but research is unclear about their potential benefits.

Diet margarines contain fewer calories than regular varieties because water, air, or fillers have been added. A few margarines advertised to "support heart health" contain added plant sterols, phytochemicals known to lower blood LDL cholesterol somewhat.* Bottom line: read the Nutrition Facts panels. Choose margarines made with oils (but not hydrogenated oils) that have little saturated fat and no trans fats.

Choosing Unsaturated Oils

When choosing oils, try various types to obtain the benefits different oils offer. Peanut and safflower oils are especially rich in vitamin E. Olive oil presents naturally

*The brand name of the margarine is Benecol.

(continued)

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occurring antioxidant phytochemicals, and canola oil is rich with monounsaturated and essential fatty acids. High temperatures, such as those used in frying, destroy some omega-3 acids and other beneficial constituents, so treat your oils gently. Take care to *substitute* oils for saturated fats in the diet; do not add oils to an already fat-rich diet.

Some oils are valued for their pleasing flavors or their phytochemicals that may support health. Virgin or extra virgin olive oils are mechanically pressed from olives, a process that retains the phytochemicals that confer a characteristic green color and full flavor. Less colorful "light" or regular olive oils may be extracted with chemicals or processed to remove some of the bitter-tasting phytochemicals to please consumer palates. These less costly, lower-quality oils lack many phytochemicals but are as rich in monounsaturated fatty acids as the more expensive kinds, so they still make good substitutes for saturated fats. Many people enjoy the interesting flavors of avocado oil, grape seed oil, sesame oil, and walnut oil, each with its own array of phytochemicals. Research about their health effects is ongoing.

Adding Nuts

Little doubt remains about the value of nuts for heart health—people who include nuts and peanuts in their diets often have lower rates of chronic diseases.[24] Try some traditional Mediterranean uses for nuts. Grind almonds or walnuts and add them to savory sauces. Chop, sliver, or shave them to sprinkle atop vegetables or salads. Mix them into grain dishes for crunch. Use them in desserts to add richness. Use restraint, however: a quarter cup of nuts can deliver up to 200 calories.

fat replacers ingredients that replace some or all of the functions of fat and may or may not provide energy.

artificial fats zero-energy fat replacers that are chemically synthesized to mimic the sensory and cooking qualities of naturally occurring fats but that are totally or partially resistant to digestion. Olestra (trade name *Olean*) is an example of a noncaloric artificial fat.

Fat-Free Products and Artificial Fats

Keep in mind that "fat-free" versions of normally high-fat foods, such as cakes or cookies, do not necessarily provide fewer calories than the original and may not offer a health advantage if added sugars take the place of fats (explained in the Controversy). Some foods contain **fat replacers**—ingredients made from carbohydrate or protein that mimic the taste and texture of fats but with fewer calories and less saturated fat. Others contain **artificial fats**, synthetic compounds offering the sensory properties of fat but none of the calories or fat. Chapter 12 comes back to the topic of artificial fats and other food additives.

Revamp Recipes

At home, minimize saturated fats used as seasonings. This means enjoying the natural flavor of steamed or roasted vegetables, seasoned with lemon pepper, garlic, and herbs or a squeeze of lemon, lime, or other citrus. You might also like vegetables with a teaspoon or two of tasty oils: olive oil or liquid margarine, sesame seed oil, nut oils, or some toasted nuts or seeds. Seek out recipes that replace solid shortening with liquid vegetable oil such as canola oil and that provide replacements for meat gravies and cheese or cream sauces. To prepare seafood, use tomatoes, onions, peppers, herbs, and other flavorful, nutrient-dense ingredients; frying in butter, shortening, or other saturated fats negates some of the benefit that seafood offers.

Figure 5–18 illustrates how saturated fats are affected by some simple substitutions. Here are some other tips to help revise recipes:

- Grill, roast, broil, boil, bake, stir-fry, microwave, or poach foods. Don't fry in shortening, lard, or butter. Try pan frying in a few teaspoons of olive or vegetable oil instead of deep-fat frying.
- Reduce or eliminate food "add-ons" such as buttery, cheesy, or creamy sauces; sour cream dressings; and bacon bits that drive up the calories and saturated fat. Instead, add a small amount of olives, nuts, hummus (a tangy chickpea paste), or avocado for rich flavor.
- Prepared side dishes, such as noodles or potatoes, are convenient, but check the Nutrition Facts label and reject any that present high saturated fat contents.

For snacks, replace commercial "buttery" popcorn with the plain kind, and season it yourself with fat-free butter-flavored sprinkles, liquid or spray margarine, or a little grated parmesan cheese. The fats used in most popcorn brands are extraordinarily high in saturated fats.

Table 5–7 (p. 170) lists many practical ways to cut down on saturated fats and replace them with liquid oils in foods. These replacements don't change the taste or appearance much, but they dramatically lower the saturated fat contents of the foods.

Feast on Fast Foods

All of these suggestions work well when a person plans and prepares each meal at home. But in the real world, people fall behind schedule and don't have time to shop or cook, so they turn to fast food restaurants. The great majority of fast-food meals are too high in saturated fat to meet current guidelines.[25] Therefore, for their health's sake, diners must choose wisely among the options. Figure 5–19 (p. 171) compares some fast-food choices and offers tips to reduce the calories and saturated fat to make fast-food meals healthier.

Keep these facts about fast food in mind:

- Salads are a good choice, but beware of toppings such as fried noodles, bacon bits, grease-soaked croutons, sour cream, or shredded cheese that can drive up the calorie and fat contents.
- If you are really hungry, order a small hamburger, broiled chicken sandwich, or "veggie burger" and a side salad.

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Figure 5–18

Fat Substitution in a Grilled Meal

These two meals are similar in total fat and calories and are equally delicious, but look at the graph to see what happens to saturated fat when olive oil, fish, and seeds replace butter, meat, and cheese. Importantly, the calories remain the same.

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SATURATED FATS MEAL

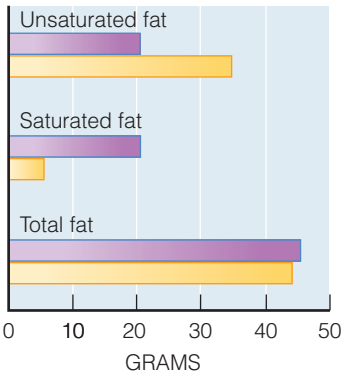
1 c fresh broccoli topped with
1 tbs butter

1 c mixed baby greens salad with
2 strips bacon (crumbled)
1 oz blue cheese crumble
1 tbs light Italian dressing

4 oz grilled steak

Energy = 600 cal

Replace saturated fats with unsaturated fats



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UNSATURATED FATS MEAL

1 c fresh broccoli sautéed in
1 tbs olive oil

1 c mixed baby greens salad with
½ avocado
2 tbs sunflower seeds
1 tbs light Italian dressing

4 oz grilled salmon

Energy = 600 cal

Hold the cheese (usually full-fat in fast-food restaurants).

- A small bowl of chili (hold the cheese and sour cream) poured over a plain baked potato can also satisfy a bigger appetite. Top it with chopped raw onions or hot sauce for spice, and pair it with a small salad and fat-free milk for a complete meal.
- Chicken or fish tacos, bean burritos, and other Mexican treats are delicious topped with salsa and onions instead of cheese and sour cream.
- Fast-food fried fish or fried chicken sandwiches can contain as much fat as hamburgers. Broiled chicken and fish sandwiches are far less fatty if you order them without cheese, bacon, or mayonnaise sauces.
- Chicken wings are mostly fatty skin, and the tastiest wing snacks are fried in cooking fat (often a saturated type), smothered with a buttery, spicy sauce, and then dipped in blue cheese dressing, making wings an extraordinarily high-fat, high-calorie food.

Because fast foods are short on variety, let them be part of a lifestyle in which they complement the other parts. Eat differently, often, elsewhere.

Change Your Habits

The lipid guidelines offered in this chapter do not occur in isolation. They accompany recommendations to control portion sizes, achieve and sustain a healthy body weight, to keep calories under control, and to eat a nutrient-dense diet with adequate fruit, vegetables, whole grains, and legumes. Such a diet provides adequate cholesterol-lowering soluble fiber and controls sodium intake, as well. By this time, you may be wondering if you can realistically make all the changes recommended for your diet.

Be assured that even small changes can yield big dividends in terms of reducing saturated fat intake, and most such changes can become habits after a few repetitions. You do not have to give up all high-fat treats, even chicken wings, nor should you strive to eliminate all fats. You decide what the treats should be and then choose them in moderation, just for pure pleasure. Meanwhile, make sure that your everyday, ordinary choices are the whole, nutrient-dense foods suggested throughout this book. That way you'll meet all your body's needs for nutrients and never feel deprived.

(continued)

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Table 5–7

Saturated Fat Replacements

Select foods that replace saturated fats with polyunsaturated or monounsaturated fats. Avoid foods that replace fats with refined white flour or added sugars, as these may present risks of their own. Remember that "light" on a label can refer to color or texture, so always compare the Nutrition Facts panel with the regular product.

Instead of these . . .	*. . . try choosing these*
Saturated Fats and Oils	
Regular margarine and butter for spreading, cooking, or baking	Olive, nut, seed, and other vegetable oils; reduced-fat, diet, liquid, or spray margarine; granulated butter replacers; fruit butters, hummus, nut butters, or avocado for spreading
Shortening or lard in cooking	Nonstick cooking spray, olive oil, or vegetable oil for frying; applesauce or oil for baking
High-fat seasonings: bacon, bacon fat, butter; fried onion or greasy crouton salad toppers	Herbs, lemons, spices, liquid smoke flavoring, ham-flavored bouillon cubes, broth, wine; olive oil; olives; toasted nut or toasted whole-grain crouton toppers
Milk Products/Dairy Products	
Whole milk; half and half	Fat-free or reduced-fat milk; fat-free half and half
Regular ricotta cheese; mozzarella cheese; yogurt or sour cream	Part-skim ricotta or fat-free cottage cheese; part-skim mozzarella; fat-free sour cream, "zero" plain Greek-style yogurt[a]
Regular cheddar, American, or other cheeses; cream cheese	Low-fat or fat-free cheeses; fat-free or reduced-fat cream cheese, Neufchatel cheese
Large amounts of mild cheeses	Small amounts of strong-flavored aged cheeses (sharp cheddar; grated Asiago, Romano, or Parmesan)
Ice cream, mousse, cream custards	"Light" ice cream, frozen yogurt, or other frozen desserts; low-sugar sherbet or sorbet; skim milk low-sugar puddings
Protein Foods	
Bologna, salami, other sliced sandwich meats; hot dogs	Low-fat sandwich meats and hot dogs (95–97% lean, or "light")
Breakfast sausage or bacon	Canadian bacon, lean ham, or soy-based sausage or bacon-like products
High-fat beef, pork, or lamb; ground beef	Leaner cuts trimmed of fat, broiled salmon or other seafood; ground turkey breast (98% lean), soy-based "ground beef" crumbles; legume main dishes
Poultry with skin	Skinless poultry
Commercial fish sticks, breaded fried fish fillets	Plain fish fillets, broiled or rolled in seasoned whole-wheat breadcrumbs and pan sautéed in oil
Grains and Desserts	
Chips, such as tortilla or potato; appetizer crackers	Baked or "light" chips; reduced-fat crackers and cookies, saltine-type crackers; nut, seed, or whole-grain crackers low in saturated and trans fat
Cakes, cookies; doughnuts, pastries, other desserts	Fresh and dried fruit; whole-grain muffins, quick breads, or cakes made with oil (not shortening)
Granola, other cereals with saturated fat or hydrogenated fat	Cereals low in saturated fat, with no trans fat (compare the Nutrition Facts panel information)
Macaroni and cheese	Spaghetti and marinara sauce
Ramen-type noodles[b]	Soba noodles or other whole-grain noodles cooked in broth, with Asian seasonings
Other	
Frozen or canned main dishes with more than 2 or 3 g saturated fat per serving	Similar foods with less saturated fat per serving (compare the Nutrition Facts panel information)
Cream-based, cheese, or "loaded" soups	Broth-based, vegetable, or bean soups; poultry-based, meatless, or other low-fat chili

[a] *If the food must be boiled, stabilize the cottage cheese or yogurt with a small amount of cornstarch or flour.*

[b] *Ramen noodles are often fried in saturated oils during processing.*

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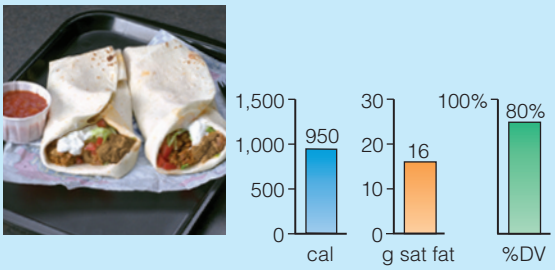
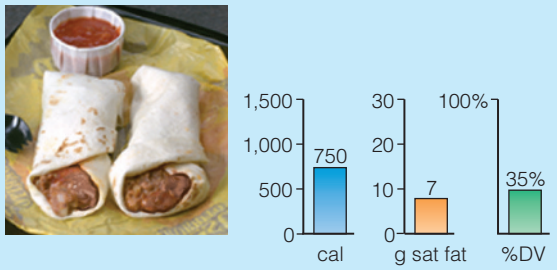
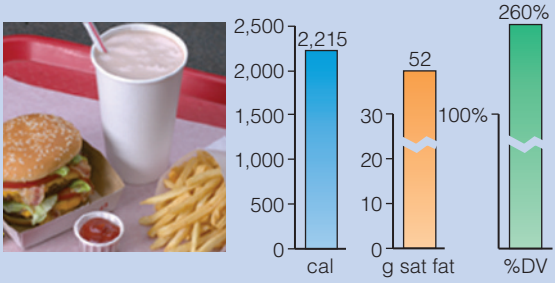
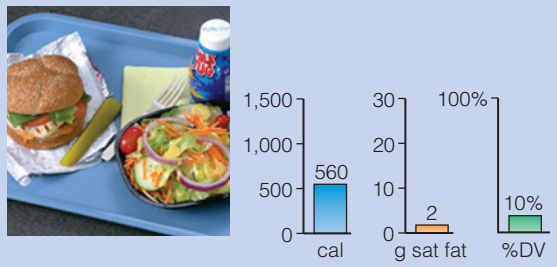
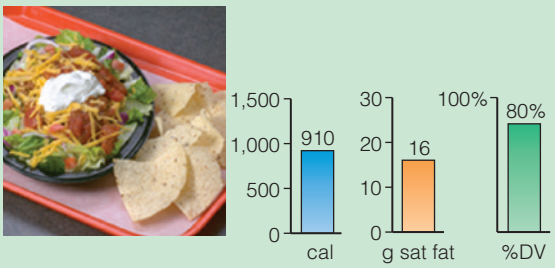
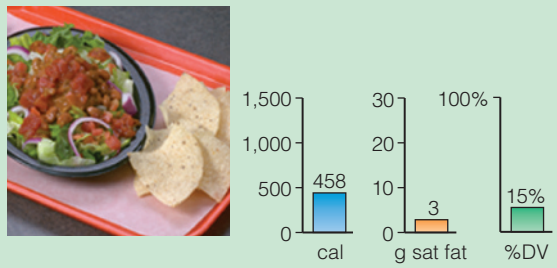
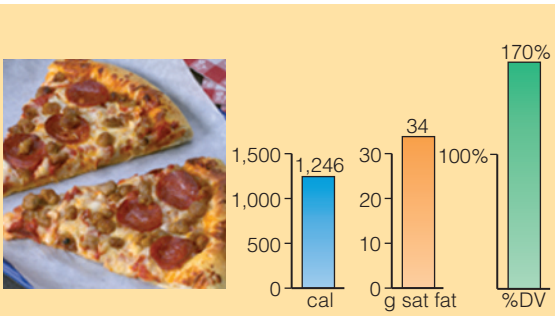
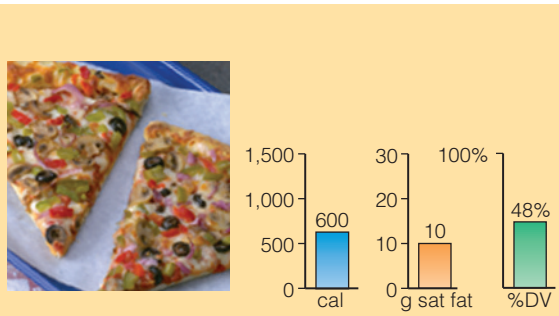
Figure 5–19

Making Fast-Food Choices

Scan fast-food menus for lower-calorie options, and then make substitutions like these. Chapter 9 revisits calorie information on menus.

Key: Calories | Grams saturated fat | % Daily Value (DV=20 g saturated fat)

Indulgent Choices		Enlightened Choices
 2 "grande" burritos with beef, beans, cheese, and sour cream; salsa	**Burrito choices** • Enjoy beans, cheese, and salsa. • Omit beef and sour cream.	 2 bean burritos; salsa
 Big double cheeseburger, large fries, regular milkshake	**Sandwich choices** • Choose grilled (not fried) chicken with spicy mustard, lettuce, onion, and tomato; milk; and a crunchy side salad with reduced-calorie dressing. • Omit beef, mayonnaise sauce, fries, and shake.	 Big grilled chicken breast sandwich, pickle, side salad with reduced-calorie dressing, fat-free milk
 Taco salad with chili, cheese, sour cream, salsa, and taco chips	**Salad choices** • Enjoy chili, onions, salsa, and a few chips on crispy salad vegetables. Use half the dressing. • Omit cheese and sour cream.	 Taco salad with chili, salsa, and taco chips
 Two slices extra cheese pizza with sausage and pepperoni	**Pizza choices** • Top with mushrooms, bell and hot peppers, onions, olives, artichokes, sun-dried tomatoes, and the regular amount of cheese. • Omit fatty meats and extra cheese.	 Two slices cheese pizza with mushrooms, olives, onions, and peppers

© Matthew Farruggio (all photos)

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What did you decide?

- Are **fats** unhealthy food constituents that are best eliminated from the diet?
- What are the differences between **"bad" and "good" cholesterol**?
- Why is choosing **fish** recommended in a healthy diet?
- If you trim all **visible fats** from foods, will your diet meet lipid recommendations?

Self Check

1. (LO 5.1) Which of the following is *not* one of the ways fats are useful in foods?
   a. Fats contribute to the taste and smell of foods.
   b. Fats carry fat-soluble vitamins.
   c. Fats provide a low-calorie source of energy compared to carbohydrates.
   d. Fats provide essential fatty acids.
2. (LO 5.1) Fats play few roles in the body, apart from providing abundant fuel in the form of calories.
   T F
3. (LO 5.2) Saturation refers to
   a. the ability of a fat to penetrate a barrier, such as paper.
   b. whether or not a fatty acid chain is holding all of the hydrogen atoms it can hold.
   c. the characteristic of pleasing flavor and aroma.
   d. the fattening power of fat.
4. (LO 5.2) Generally speaking, vegetable and fish oils are rich in saturated fat.
   T F
5. (LO 5.2) A benefit to health is seen when _____ is used in place of _____ in the diet.
   a. saturated fat/monounsaturated fat
   b. saturated fat/polyunsaturated fat
   c. unsaturated fat/saturated fat
   d. triglycerides/cholesterol
6. (LO 5.3) Little fat digestion takes place in the stomach.
   T F
7. (LO 5.3) Bile is essential for fat digestion because it
   a. splits triglycerides into fatty acids and glycerol.
   b. emulsifies fats in the small intestine.
   c. works as a hormone to suppress appetite.
   d. emulsifies fat in the stomach.
8. (LO 5.4) When energy from food is in short supply, the body
   a. dismantles its glycogen to release triglycerides for energy.
   b. dismantles its cholesterol and releases glucose for energy.
   c. converts its glucose to fat for more efficient energy.
   d. dismantles its stored triglycerides and releases fatty acids for energy.
9. (LO 5.4) Fat breakdown without carbohydrate causes ketone bodies to build up in the tissues and blood and to be excreted in the urine.
   T F
10. (LO 5.5) LDL, a class of lipoprotein, delivers triglycerides and cholesterol from the liver to the body's tissues.
    T F
11. (LO 5.5) Chylomicrons, a class of lipoprotein, are produced in the liver.
    T F
12. (LO 5.5) Consuming large amounts of saturated fatty acids lowers LDL cholesterol and thus lowers the risk of heart disease and heart attack.
    T F
13. (LO 5.6) The roles of the essential fatty acids include
    a. forming parts of cell membranes.
    b. supporting infant growth and vision development.
    c. maintaining normal blood pressure.
    d. all of the above.
14. (LO 5.6) Taking supplements of fish oil is recommended for those who don't like fish.
    T F
15. (LO 5.6) Fried fish from fast-food restaurants and frozen fried fish products are often low in omega-3 fatty acids and high in added fats.
    T F

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16. (LO 5.7) A way to prevent spoilage of unsaturated fats and make them harder is to change their fatty acids chemically through _____.
    a. acetylation
    b. hydrogenation
    c. oxidation
    d. mastication
17. (LO 5.7) *Trans*-fatty acids arise when unsaturated fats are
    a. used for deep frying.
    b. hydrogenated.
    c. baked.
    d. used as preservatives.
18. (LO 5.8) A dietary pattern with sufficient essential fatty acids includes
    a. nuts and vegetable oils.
    b. ¼ cup of raw oil each day.
    c. two servings of seafood a week.
    d. a and c.
19. (LO 5.8) Most fats in the U.S. diet are supplied by invisible fats.
    T F
20. (LO 5.9) Saturated fats and high-calorie choices lurk in every food group.
    T F
21. (LO 5.10) The best way to apply the lipid advice from the Dietary Guidelines for Americans is to:
    a. Ignore it because the scientists keep changing their minds.
    b. Focus on individual fatty acids that are associated with diseases and eliminate those from the diet.
    c. Follow a low-fat diet except for coconut oil, which presents no harmful fats.
    d. Follow a dietary pattern recommended by the Dietary Guidelines.

Answers to these Self Check questions are in Appendix G.

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Controversy 5 The Lipid Guidelines Debate

LO 5.10 Discuss both sides of the scientific debate about current lipid guidelines.

To consumers, advice about dietary fats appears to change almost daily. "Eat less fat—choose more margarine." "Give up butter and margarine—use soft margarine." "Forget soft margarine—replace it with olive oil." Then headlines seem to turn all the previous advice on its head. To researchers, however, the evolution of advice about fats reflects decades of experiments that have built a massive foundation of knowledge about the health effects of dietary fats. The details, however, have varied over time.

This Controversy explores changing lipid guidelines and the arguments surrounding them. It ends with the current opinion that, although specific lipids are associated with disease risks, a person's repeated daily food choices—that is, their entire dietary pattern—appears to exert the greatest impact on health.[1]*

Tatjana Baibakova/Shutterstock.com

Shifting Guidelines

From the beginning of the 20th century, U.S. government agencies have issued dietary advice to the population, with the earliest focus on keeping food safe from spoilage, eating sufficiently from defined food groups, and obtaining certain vitamins.[2] In 1980, the first set of Dietary Guidelines for Americans shifted to positive messages, based on the best available research, about how to choose an adequate diet while preventing overweight, obesity, and chronic diseases. Today's guidelines adhere to much the same goals. The specific advice to achieve them has changed significantly, however.

First, A Total Fat Guideline

At first, the Dietary Guidelines kept their advice simple, suggesting that, to be healthy, all people should cut their intakes of total fat in everything from hot dogs to salad dressings. This advice was straightforward: cut the fat and improve your health. Did this strategy work? Yes, but only for those few who consistently replaced high-fat foods with whole grains, vegetables, fruit, fat-free milk products, and low-fat fish and poultry.

For most people, however, the advice proved difficult to follow. They wanted easy, convenient ways to cut fat. Food manufacturers soon met the demand with a wide variety of fat-free (but sugar-laden) cookies, candies, ice cream, and low-fat (but high-calorie) main courses. In the mistaken belief that "fat-free" meant "no limits," health-conscious consumers gobbled them up, often in addition to their regular diets. Calorie intakes from carbohydrates climbed, mainly from added sugars and refined starches, and so did rates of obesity and heart disease.

A Role for Saturated Fat

In the classic Seven Countries Study, researchers compared death rates from cardiovascular diseases (CVD) with intakes of total fat and saturated fat in seven countries of the world. Study subjects reported their diet histories to dietitians who interviewed them at home, in the presence of the people who prepared their food, and then cross-checked the information with records of food purchases to improve accuracy. The researchers also collected personal, medical, and lifestyle information, and then repeated all of these processes at 5 and 10 years.

The results were, at the time, remarkable. Two of the seven countries, Finland and the Greek island of Crete, both had the highest intakes of total fat—40 percent of calories. However, Finland also had the highest CVD death rate of all the countries by far, but Crete had the lowest. This suggested that something other than total fat intake was affecting CVD. On closer examination, the records revealed another difference: the average Finnish diet was high in saturated fat (18 percent of calories), while the typical diet of Crete was much lower (less than 10 percent of calories).

Next, A Saturated Fat Guideline

Evidence against saturated fat began to mount up, and the Dietary Guidelines responded by shifting their advice away from total fat, toward reducing intakes of saturated fat to reduce CVD risk. In a report of U.S. men and women, after adjusting for the effects of alcohol, smoking, physical activity, and other important variables, researchers noted

** Reference notes are in Appendix F.*

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that CVD risk dropped by an average of 25 percent when polyunsaturated fatty acids replaced saturated fatty acids (5 percent of total calories).[3] Conversely, when sugars and refined grains or *trans*-fatty acids replaced saturated fatty acids, CVD risks rose significantly higher.[4] Many subsequent studies, including clinical, epidemiological, and animal studies, support these findings.[5]

Again food manufacturers scrambled, replacing lard and butter with partially hydrogenated vegetable shortenings and margarines, unaware that these fats were rich in *trans*-fatty acids. Today, no doubt remains that trans fats raise CVD risks, and products that contain them are now largely banished from the U.S. food supply.

Current Dietary Guidelines for Americans

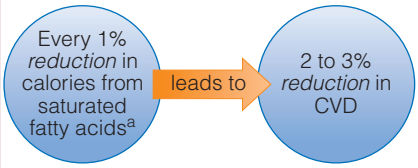
After reviewing, evaluating, and grading all of the available evidence, the Dietary Guidelines 2015 committee concluded that saturated fat is a nutrient of concern for public health based on these findings:

- *Blood LDL cholesterol*: Strong, consistent evidence shows that replacing saturated fats with unsaturated fats, particularly polyunsaturated fats, significantly reduces total and LDL cholesterol in the blood.

Figure C5–1

Saturated Fatty Acids and CVD Risk

Replacing saturated fat with unsaturated fat reduces in CVD risk. The reverse is also true: increasing saturated fat intakes increases the risk.



[a] When replaced by polyunsaturated fat; the effect of carbohydrate is not clear and may depend on the type and source of carbohydrate.

Source: U.S. Department of Agriculture and U.S. Department of Health and Human Services, Scientific Report of the 2015 Dietary Guidelines Advisory Committee *(2015): D-6-12-17, available at www.health.gov.*

- *CVD development and mortality*: Strong, consistent evidence shows that replacing saturated fats with polyunsaturated fats reduces the risk of developing CVD and of dying from heart disease (see Figure C5–1).

The committee also stated:

- Partially hydrogenated oils containing trans fat should be avoided.

The committee based these conclusions on multiple recent high-quality reviews and analyses comparing saturated fat intakes with factors such as blood lipids, CVD mortality, and heart attacks.[6]

The Dietary Guidelines 2020 committee reaffirmed these lipid recommendations.[7] You can read more about the research that the committee used in setting the guidelines on the internet—open a free copy of the *Scientific Report of the 2015 Dietary Guidelines Advisory Committee*.* The 2020 report is on the same website.

Cardiologists Concur

Two venerable medical organizations, the American Heart Association and the American College of Cardiologists (AHA/ACC), agreed with the Dietary Guidelines. On reviewing the same research, they reassert that people who need to lower their blood LDL cholesterol should reduce their intakes of saturated fat. Still, a few researchers are asking these organizations to take another look.[8]

So, What's the Debate?

Most scientists generally agree with current lipid intake guidelines, but others argue that saturated fat is still unproven with regard to heart health.[9] They offer the following arguments to defend their position.

Missing Mechanism

First, they argue that, other than raising total LDL cholesterol, no biological mechanism has firmly established how, exactly, saturated fats lead to the formation of atherosclerosis, the hardening of the arteries that underlies CVD.[10] LDL cholesterol comes in several forms, some of which are more harmful than others. Some evidence suggests that reducing saturated fat may lower only the less harmful type.[11] Before condemning saturated fat by association with total blood LDL cholesterol, they want to find the smoking gun, so to speak—the physiological mechanism by which these fats may cause heart and artery disease.

** Available at www.health.gov.*

Actions of Genes

Second, they point out that a person's genetic inheritance modifies how the body handles dietary fatty acids and cholesterol. Some people readily form the more harmful types of LDL or less effective HDL, tendencies that greatly increase their CVD risks independently of diet. In addition to inherited genes, other factors, such as the activities of the epigenome (see Controversy 13) or the organisms of the intestinal microbiome may also be in play.[12]

Fatty Acid Factors

Third, each fatty acid in food varies in its ability to raise LDL cholesterol in the blood.[13] Almost all raise it to some degree, except one, stearic acid. It may, however, increase other CVD risk factors, and reducing its intake along with other saturated fatty acids appears to reduce CVD risk.[14] In addition, although unlikely in the context of current U.S. intakes, perhaps polyunsaturated fatty acids exert an as-yet undefined beneficial effect on heart health, and a deficiency of these nutrients may be at fault while saturated fatty acids may be innocent bystanders.[15]

Food Matrix

A food's matrix, the complex chemical and physical structures that make up a food, may also be in play. For example, various foods in the diverse group known as dairy, from fluid milk to hard aged cheeses, may differ in their health effects. Unique arrays of amino acids, short-chain or long-chain saturated fats, unsaturated fats, natural trans fats, probiotics, vitamins, and minerals, as

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well as the effects of processes, such as fermentation and aging, may all influence the effects of these foods on body tissues.[16] Isn't it therefore simplistic, questioners ask, to claim that saturated fat from a pork chop has health effects identical to those of an equivalent amount of chocolate, yogurt, or cheese?[17] This question remains for future investigators to resolve.[18]

Today's Headline Hype

Gleeful media headlines proclaiming "Saturated Fat Is Good For You!," shocked (and often delight) consumers with stories urging them to ignore the Dietary Guidelines for Americans and eat all the ice cream, marbled steaks, and butter that they desire. "Research," the journalists said, "has vindicated saturated fat."

These startling claims are occasionally spawned by the publication of studies that do, in fact, fail to find a correlation between dietary saturated fat intakes and elevated CVD risk.[19] Headlines that generate contrary conclusions may sound reasonable until someone with nutrition expertise takes a closer look at the studies behind them. By then, though, the excitement is over, and rarely do expert rebuttals make headlines. One international study provides an example.[20] Respected researchers evaluated 1-day dietary records from over 135,000 people living in low-, middle-, and high-income countries around the world and compared death rates with intakes of energy nutrients.

The results appear to counter U.S. Dietary Guidelines—the highest death rates (notably, from causes other than CVD) occurred with the lowest fat intakes, and high intakes of fats, including saturated fats, appeared to be associated with low mortality. In contrast, high carbohydrate intakes were linked with high rates of CVD and death. Headlines quickly proclaimed vindication of saturated fat, but when other scientists scrutinize the data, they note several problems with this interpretation.[21] Criticisms include:

- *Did not account for trans fats.* The study included populations with trans fat intakes but did not account for the effect of these fats on CVD or mortality risks.
- *Missing data.* The study did not investigate the effect of substituting polyunsaturated fat for saturated fat, the standard advice of health authorities.
- *Blended population data.* The study included dietary data from low-income world regions where most food energy (up to 77 percent in this study) derives from inexpensive refined starches, such as white rice or breads. Fats and protein-rich foods are scarce and death rates are high for many reasons unrelated to food.

In the end, this study may have greatest relevance for people living outside the United States, but this caveat wasn't mentioned in the headlines.

Research Continues

Today, in the normal pace of science, studies are quietly probing into the roles of lipids and other energy nutrients in CVD risk. Among recent findings, research suggests that high intakes of added sugars may be more damaging to the heart than previously thought.[22] Also, focus may be shifting to include risks factors other than blood LDL cholesterol, such as inflammation, blood HDL cholesterol, and blood triglycerides.[23] A point of strong agreement today is that *trans*-fatty acids are associated with CVD and an increased risk of death. In the future, more personalized advice that takes into account a person's body weight status, genetic variations, and metabolic disorders, such as hypertension or diabetes, may more effectively reduce CVD risks than blanket population-wide recommendations.[24]

The Power of Dietary Patterns

In the end, people choose foods, not individual nutrients such as saturated fat, and their choices, repeated over time, form habitual dietary patterns that greatly affect their health. For this reason, the Dietary Guidelines committee adopts a dietary pattern approach to help Americans improve their health, control body fat, and reduce CVD risk factors, such as obesity, diabetes, and hypertension. The individual components of such a pattern have synergistic and cumulative effects—that is, they work in harmony over decades to improve health beyond the effects of fats alone.

Figure C5–2 presents two meals that characterize two very different dietary patterns—one associated with lower chronic disease risks and the other with increased risks. If most of your meals resemble the dinner on the left, you can be assured of obtaining the nutrients you need within a pattern that supports health superbly. Conversely, if most of your meals resemble the commercially prepared fried chicken fingers and french fries in the other meal, without a fruit or nonstarchy vegetable in sight, you may want to reconsider your choices.[25] This is not to say that an occasional treat of chicken fingers and fries or a corn dog and cola is forever off limits, but if your treats become your repeated pattern of staple foods on most days, your disease risks will climb.

The Dietary Guidelines for Americans offer these three dietary patterns to meet their ideals:

- A healthy vegetarian diet
- A healthy U.S.-style diet
- A healthy Mediterranean diet

The details about all three are in Appendix E. Following any of these dietary patterns can both meet nutrient needs and keep the risks of chronic diseases low.

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Figure C5–2

Meals that Typify Two Dietary Patterns

iStock.com/Lisa Thornberg

A dietary pattern based on foods like these provides little saturated fat and ample vitamins, minerals, fibers, phytochemicals, and unsaturated fats. Such a pattern is associated with good health.

iStock.com/smpics

A dietary pattern consistently based on foods like these lacks needed nutrients and fiber, and provides an abundance of added fats, added sugars, and refined carbohydrates. Such a pattern is associated with higher chronic disease risks.

Conclusion

It's easy for consumers to become confused when headlines howl for attention, particularly when they say what people want to hear. Keep in mind that no one study is sufficient to reverse decades of previous findings and that the best action may be no action while you wait and watch for other researchers to examine the issue. Meanwhile, don't take chances with your health—follow the advice of the Dietary Guidelines for Americans.

Critical Thinking

1. Find an article in a newspaper or magazine or on the Internet that makes claims about saturated fat, particularly one that extols the safety of high intakes of butter, fatty meats, and cheeses. Based on what you know about the science behind national recommendations, analyze the article's talking points, and come to a conclusion about its veracity.
2. Discuss whether you believe that a dietary pattern approach to dietary guidelines is best, or that specific nutrient limits, such as percentages of calories from fats, are most helpful. Defend your opinion.

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6 The Proteins and Amino Acids

Controversy 6 Are Plant-Based or Meat-Based Diets Better for Health?

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 6.1 Describe the nature of proteins and amino acids.

LO 6.2 Outline the processes of protein digestion and absorption of amino acids.

LO 6.3 Identify the roles of proteins and amino acids in the body.

LO 6.4 List the factors that determine the daily protein needs of an individual.

LO 6.5 List the potential health problems that are caused by dietary patterns that are either too low or too high in protein.

LO 6.6 Identify the benefits and drawbacks of protein-rich foods in the diet.

LO 6.7 Compare the advantages and disadvantages of a plant-based diet, a vegetarian diet, and a meat-eater's diet.

What do you think?

- Why does your body need **protein**?
- How does heating an **egg** change it from a liquid to a solid?
- Do protein or amino acid **supplements** bulk up muscles?
- Will your diet lack protein if you don't eat **meat**?

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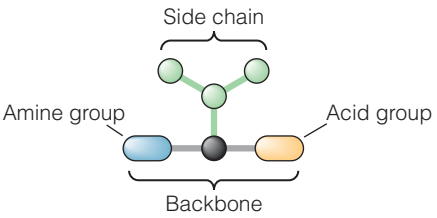
The proteins are amazing, versatile, and vital molecules. Without them, life would not exist. First named 150 years ago after the Greek word *proteios* (meaning "of prime importance"), **proteins** have revealed countless secrets of the processes of life and have helped answer many questions in nutrition: How do we grow? How do our bodies replace the materials they lose? How does blood clot? How do wounds heal? What gives us immunity? What makes one person different from another? Understanding the nature of the proteins sheds light on these mysteries.

Figure 6–1
An Amino Acid

The "backbone" is the same for all amino acids. The side chain differs from one amino acid to the next. The nitrogen is in the amine group. (Amino acid structures are shown in Appendix A.)



The Structure of Proteins

LO 6.1 Describe the nature of proteins and amino acids.

The structure of proteins enables them to perform many vital functions. One key difference from carbohydrates and fats is that proteins contain nitrogen atoms in addition to the carbon, hydrogen, and oxygen atoms that all three energy-yielding nutrients contain. These nitrogen atoms give the name *amino* (which means "nitrogen containing") to the **amino acids**, the building blocks of proteins. Another key difference is that in contrast to the carbohydrates—whose repeating units, glucose molecules, are identical—the amino acids in a strand of protein are different from one another. A strand of amino acids that makes up a protein may contain 20 *different* kinds of amino acids.

Amino Acids

All amino acids have the same simple chemical backbone consisting of a single carbon atom with both an **amine group** (the nitrogen-containing part) and an acid group attached to it. Each amino acid also has a distinctive chemical **side chain** attached to the center carbon of the backbone (see Figure 6–1). This side chain gives each amino acid its identity and chemical nature. Some 20 amino acids, each with a different side chain, make up most of the proteins of living tissue.[1]* Other rare amino acids appear in a few proteins.

The side chains make the amino acids differ in size, shape, and electrical charge. Some are negative, some positive, some neutral. The left side of Figure 6–2 is a diagram of three amino acids, each with a different side chain attached to its backbone. The rest of the figure shows how amino acids link to form protein strands. Long strands of amino acids form large protein molecules, and the side chains of the amino acids ultimately help determine the protein's molecular shape and behavior.

proteins compounds composed of carbon, hydrogen, oxygen, and nitrogen and arranged as strands of amino acids. (Some amino acids also contain the element sulfur.)

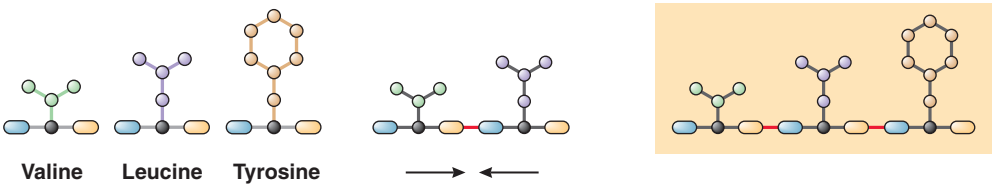
amino (a-MEEN-o) **acids** the building blocks of protein. Each has an amine group at one end, an acid group at the other, and a distinctive side chain.

amine (a-MEEN) **group** the nitrogen-containing portion of an amino acid.

side chain the unique chemical structure attached to the backbone of each amino acid that distiguishes one amino acid from another.

Figure 6–2
Different Amino Acids Join Together

This is the basic process by which proteins are assembled.



Single amino acids with different side chains . . . can bond to form . . . a strand of amino acids, part of a protein.

*Reference notes are in Appendix F.

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Hair, skin, eyesight, and the health of the whole body depend on proteins from food.

Essential Amino Acids The body can make more than half of the 20 amino acids for itself, given the needed parts: fragments derived from carbohydrate or fat to form the backbones, and nitrogen from other sources to form the amine groups. A body cannot make nine of the amino acids or makes them too slowly to meet its needs. These are the **essential amino acids** (listed in Table 6–1). Without these essential nutrients, the body cannot build the proteins it needs to do its work. Because the essential amino acids can be replenished only from foods, a person must frequently eat the foods that provide them.

Under special circumstances, a nonessential amino acid can become essential. For example, the body normally makes tyrosine (a nonessential amino acid) from the essential amino acid phenylalanine. If the diet fails to supply enough phenylalanine or if the body cannot perform the conversion for some reason (as happens in the inherited disease phenylketonuria; see Chapter 3, p. 68), then tyrosine becomes a **conditionally essential amino acid**.

Recycling Amino Acids The body not only makes some amino acids but also breaks protein molecules apart and reuses their amino acids. Both food proteins after digestion and body proteins when they have finished their cellular work are dismantled to liberate their component amino acids. Amino acids from both sources provide the cells with raw materials from which they can build the protein molecules they need. Cells can also use the amino acids for energy and discard the nitrogen atoms as wastes. By reusing intact amino acids to build proteins, the body recycles and conserves nitrogen, a valuable commodity, while easing its nitrogen disposal burden.

This recycling system also provides access to an emergency fund of amino acids in times of fuel, glucose, or protein deprivation. At such times, tissues can break down their own proteins, sacrificing working molecules before the ends of their normal lifetimes, to supply amino acids and energy to the body's cells.

Key Points

- Proteins are unique among the energy nutrients in that they are composed of amino acids, which contain nitrogen as well as carbon and oxygen.
- Of the 20 amino acids, 9 are essential amino acids.
- Under special circumstances, a nonessential amino acid can become essential.

Table 6–1

Amino Acids Important in Nutrition

The left column lists amino acids that are essential for human beings—the body cannot make them, and they must be provided in the diet. The right column lists other, nonessential amino acids—the body can make these for itself.

Essential Amino Acids	Nonessential Amino Acids
Histidine (HISS-tuh-deen)	Alanine (AL-ah-neen)
Isoleucine (eye-so-LOO-seen)	Arginine (ARJ-ih-neen)
Leucine (LOO-seen)	Asparagine (ah-SPAR-ah-geen)
Lysine (LYE-seen)	Aspartic acid (ah-SPAR-tic acid)
Methionine (meh-THIGH-oh-neen)	Cysteine (SIS-tee-een)
Phenylalanine (fen-il-AL-ah-neen)	Glutamic acid (glu-TAM-ic acid)
Threonine (THREE-oh-neen)	Glutamine (GLU-tah-meen)
Tryptophan (TRIP-toe-fan, TRIP-toe-fane)	Glycine (GLY-seen)
Valine (VAY-leen)	Proline (PRO-leen)
	Serine (SEER-een)
	Tyrosine (TIE-roe-seen)

essential amino acids amino acids that either cannot be synthesized at all by the body or cannot be synthesized in amounts sufficient to meet physiological need.

conditionally essential amino acid an amino acid that is normally nonessential but must be supplied by the diet in special circumstances when the need for it exceeds the body's ability to produce it.

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How Do Amino Acids Build Proteins?

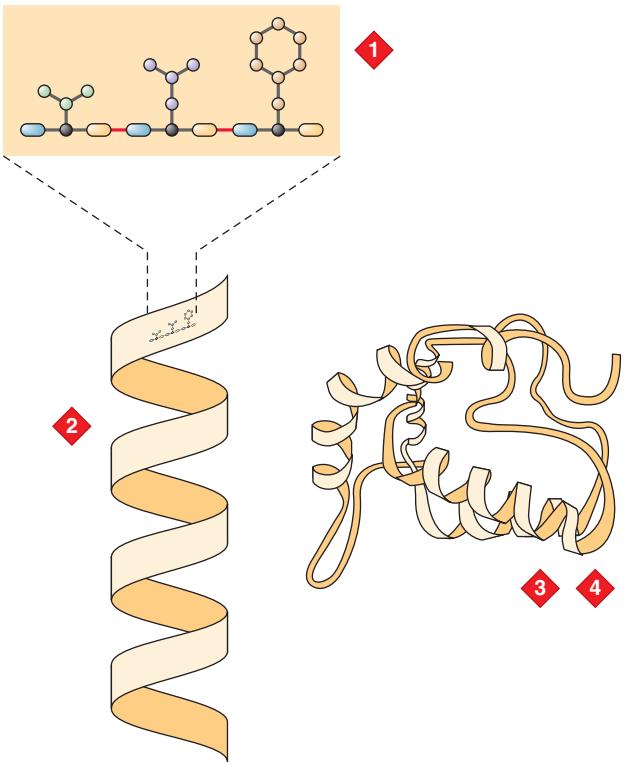
In the first step of making a protein, each amino acid is hooked to the next (right side of Figure 6–2, p. 179). A chemical bond, called a **peptide bond**, is formed between the amine group end of one amino acid and the acid group end of the next. The side chains bristle out from the backbone of the structure, giving the protein molecule its unique character. Figure 6–2 shows only the first step in making all proteins—the linking of amino acid units with peptide bonds until the strand contains from several dozen to hundreds or thousands of amino acids. A string of about 10 to 50 amino acids is known as a **polypeptide**.

The strand of protein does not remain a straight chain. Amino acids at different places along the strand are chemically attracted to each other, and this attraction can cause some segments of the strand to coil, somewhat like a metal spring. Also, each spot along the strand is attracted to, or repelled from, other spots along its length (demonstrated in Figure 6–3). These interactions often cause the entire protein coil to fold this way and that to form a globular structure. Other strands link together in other ways to form different structures that perform specific functions.

Figure 6–3

The Coiling and Folding of a Protein Molecule

1. The first shape of a strand of amino acids is a chain, which can be very long. This shows just a portion of the strand.
2. Coiling the strand. The strand of amino acids takes on a springlike shape as the side chains variously attract and repel each other.
3. Folding the coil. The coil then folds and flops over on itself to take a functional shape.
4. Once coiled and folded, the protein may be functional as is, or it may need to join with other proteins or to add a carbohydrate molecule or a vitamin or mineral.



peptide bond a bond that connects one amino acid with another, forming a link in a protein chain. A peptide is a strand of amino acids.

polypeptide (POL-ee-PEP-tide) a protein fragment of about 10 to 50 amino acids bonded together (*poly* means "many").

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The amino acids whose side chains are electrically charged are attracted to water. Therefore, in the body's watery fluids, they orient themselves on the outside of the protein structure. The amino acids whose side chains are neutral are repelled by water and are attracted to one another; these tuck themselves into the center away from the body fluids. All these interactions among the amino acids and the surrounding fluids fold each protein into a unique architecture, a form to suit its function.

Other final details may be needed for the protein to become functional. Several strands may cluster together into a functioning unit; a metal ion (mineral), a vitamin, or a carbohydrate molecule may also join to the unit.

Key Point

- Amino acids link into long strands that make up a wide variety of different proteins.

The Variety of Proteins

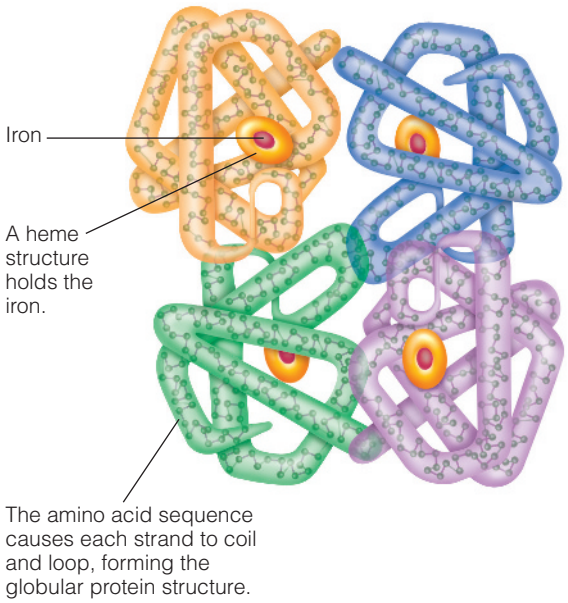
The particular shapes of proteins enable them to perform different tasks in the body. Those of globular shape, such as some proteins of blood, are water-soluble. Some form hollow balls, which can carry and store materials in their interiors. Some proteins, such as those of tendons, are more than 10 times as long as they are wide, forming stiff, rodlike structures that are somewhat insoluble in water and very strong. A form of the protein **collagen** acts somewhat like glue between cells. The hormone insulin, a protein, helps regulate the blood glucose concentration. Among the most fascinating proteins are the **enzymes**, which act on other substances to change them chemically.

Some protein strands work alone, whereas others must associate in groups of strands to become functional. One molecule of **hemoglobin**—the large, globular protein molecule that is packed into the red blood cells by the billions and carries oxygen—is made of four associated protein strands, each holding the mineral iron (see Figure 6–4).

Figure 6–4

The Structure of Hemoglobin

Four highly folded protein strands form the globular hemoglobin protein.



collagen (KAHL-ah-jen) the chief protein of most connective tissues, including scars, ligaments, and tendons, and the underlying matrix on which bones and teeth are built.

enzymes (EN-zimes) proteins that facilitate chemical reactions without being changed in the process; protein catalysts. Also defined in Chapter 3.

hemoglobin the globular protein of red blood cells, whose iron atoms carry oxygen around the body via the bloodstream (more about hemoglobin in Chapter 8).

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The great variety of proteins in the world is possible because an essentially infinite number of sequences of amino acids can be formed. To understand how so many different proteins can be designed from only 20 or so amino acids, think of how many words are in an unabridged dictionary—all of them constructed from just 26 letters. If you had only the letter "G," all you could write would be a string of Gs: G–G–G–G–G–G–G. But with 26 different letters available, you can create poems, songs, or novels. Similarly, the 20 amino acids can be linked together in a huge variety of sequences—many more than are possible for letters in a word, which must alternate consonant and vowel sounds. Thus, the variety of possible sequences for amino acid strands is tremendous.

Inherited Amino Acid Sequences For each protein, there exists a standard amino acid sequence, and that sequence is specified by the genes. Often, if a wrong amino acid is inserted, the result can be disastrous to health.

Sickle-cell disease—in which hemoglobin, the oxygen-carrying protein of the red blood cells, is abnormal—is an example of an inherited variation in the amino acid sequence. Normal hemoglobin contains two kinds of protein strands. In sickle-cell disease, one of the strands is an exact copy of that in normal hemoglobin, but in the other strand, the sixth amino acid is valine rather than glutamic acid. This replacement of one amino acid so alters the protein that it is unable to carry and release oxygen. The red blood cells collapse from the normal disk shape into crescent shapes (see Figure 6–5). If too many crescent-shaped cells appear in the blood, the result is abnormal blood clotting, strokes, bouts of severe pain, susceptibility to infection, and early death. Thanks to rapid advances in genetic research, gene-based therapies to treat sickle-cell disease may become a reality.[2]

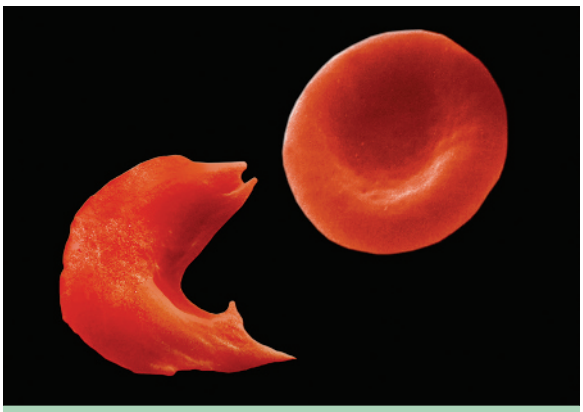
You are unique among human beings because of minute differences in your body proteins that establish everything from eye color and shoe size to susceptibility to certain diseases. These differences are determined by the amino acid sequences of your

Figure 6–5

Normal Red Blood Cells and Sickle Cells

Normal red blood cells are disk-shaped. In sickle-cell disease, the amino acid valine replaces the amino acid glutamic acid at one site in the protein strand, causing the red blood cell to change shape and lose function.

Sickle-shaped blood cell Normal red blood cell



Dr. Stanley Flegler/Visuals Unlimited

What a difference one amino acid can make!

An amino acid sequence of normal hemoglobin:

Val—His—Leu—Thr—Pro—Glu—Glu

An amino acid sequence of sickle-cell hemoglobin:

Val—His—Leu—Thr—Pro—Val—Glu

sickle-cell disease a genetic form of anemia characterized by abnormal sickle- or crescent-shaped red blood cells, which interfere with oxygen transport and blood flow.

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proteins, which are written into the genetic code you inherited from your parents and they from theirs. Ultimately, the genes determine the sequence of amino acids in each finished protein (how DNA directs protein synthesis and **RNA** molecules perform it is described in Figure 6–6). When scientists completed the DNA sequence of the human genome, they realized that a still greater task lay ahead of them: the identification of every protein made by the human body.*

Nutrients and Gene Expression When a cell builds a protein, as shown in Figure 6–6, scientists say that the gene for that protein has been "expressed." Every cell nucleus contains the DNA for making every human protein, but no one cell builds them all. Some cells specialize in making certain proteins; for example, cells of the pancreas express the gene for the protein hormone insulin. The gene for making insulin is present in all other cells of the body, but is silent.

Nutrients, including amino acids and proteins, do not change DNA structure, but they greatly influence gene expression. As research in **nutritional genomics** advances, researchers hope to one day use nutrients to influence a person's genes in ways that reduce that individual's disease risks, but for now, that day is remote. The Think Fitness feature addresses a related concern of exercisers and athletes about whether extra dietary protein or amino acids can trigger the synthesis of muscle tissue and augment strength.

Controversy 13 comes back to the facts and fiction of nutritional genomics.

Key Points

- Each type of protein has a distinctive sequence of amino acids and so has great functional specificity.
- Certain proteins are common to all cells. In addition, specialized cells synthesize specific proteins that enable them to do distinct jobs.
- Nutrients do not alter genes, but they powerfully influence genetic expression.

RNA (ribonucleic acid) cellular nucleic acids that play key roles in the process and control of protein synthesis.

nutritional genomics the science of how food components, such as nutrients, interact with the body's genetic material.

* The identification of the entire collection of human proteins, the *human proteome* (PRO-tee-ohme), is a work in progress.

Think Fitness

Can Eating Extra Protein Make Muscles Grow Stronger?

The answer is mostly "no" but also a qualified "yes." Athletes and fitness seekers cannot stimulate their muscles to gain size and strength simply by consuming more protein or amino acids. Physical work is necessary to trigger the genes to build more of the muscle tissue needed for sport. The "yes" part of the answer reflects research suggesting that well-timed protein intakes can stimulate muscle protein synthesis (see details in Chapter 10). Protein intake cannot replace exercise in this regard, however, as many supplement sellers would have people believe.[3] Exercise generates cellular messages that stimulate the DNA to begin synthesizing the muscle proteins needed to perform the work. Current evidence does not support the claim that protein supplementation can increase muscle strength or athletic performance in well-fed people, regardless of its timing.

Athletes may need somewhat more dietary protein than other people do, and exercise authorities recommend higher protein intakes for athletes pursuing various activities (see Chapter 10 for details). Amino acid or protein supplements, however, offer no advantage over food, and amino acid supplements are more likely to cause problems (as the Consumer's Guide, p. 194, makes clear). Bottom line: the path to bigger muscles is well-planned, consistent physical training with adequate energy and nutrients from balanced, well-timed meals, snacks, and beverages. Research findings concerning dietary protein and muscles are interesting and important, but this truth remains: extra protein and amino acids without physical work add nothing but excess calories.

Start now! Ready to make a change? Track your protein in a food journal, Diet and Wellness Plus, or in another Diet Analysis app. Use the Do the Math method on p. 196 to verify your protein need. What is your protein intake? If it is low, substitute one 8-oz glass of milk or soy milk for other beverages at two meals. What is the effect on your protein intake?

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Figure 6–6

Protein Synthesis

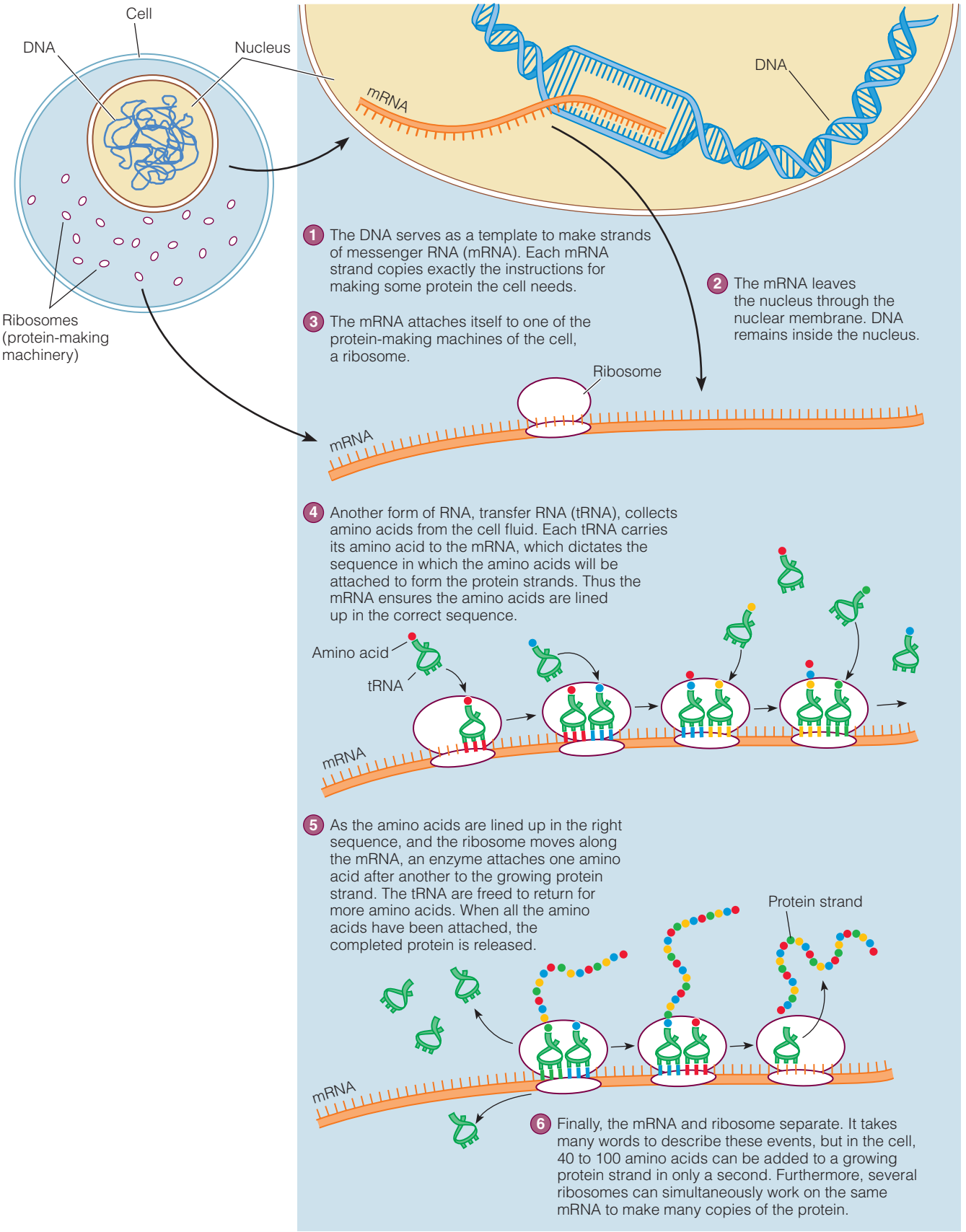

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Figure 6–7
Heat Denatures Protein

Heat unfolds and uncoils protein structures, causing eggs to become firm as they cook.

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Denaturation of Proteins

When a protein molecule loses its shape, it can no longer function as it was designed to do. This is how many agents damage living cells: they cause **denaturation** of their proteins. Among denaturing agents are heat, radiation, alcohol, acids, bases, the salts of heavy metals, and many more. In digestion, however, denaturation is useful: it unfolds and inactivates the proteins in food, and exposes their peptide bonds to the digestive enzymes that cleave them.

Denaturation also occurs during the cooking of foods. Cooking eggs denatures their proteins and makes them firm, as Figure 6–7 demonstrates. Among egg proteins that heat denatures, two are notable in nutrition. One binds the vitamin biotin and the mineral iron: when this protein is denatured, it releases biotin and iron, making them available to the body. The other slows protein digestion; denaturing this protein allows digestion to proceed normally.

Many well-known poisons are salts of heavy metals such as mercury and silver; these poisons denature protein strands wherever they touch them. The common first-aid antidote for swallowing a heavy-metal poison is to drink milk. The poison then acts on the protein of the milk rather than on the protein tissues of the mouth, esophagus, and stomach. Later, vomiting can be induced to expel the poison that has combined with the milk.

Key Points

- Proteins can be denatured by heat, acids, bases, alcohol, the salts of heavy metals, or other agents.
- Denaturation begins the process of digesting food protein and can also destroy body proteins.

Digestion and Absorption of Dietary Protein

LO 6.2 Outline the processes of protein digestion and absorption of amino acids.

Each protein performs a special task in a particular tissue of a specific kind of animal or plant. When a person eats food proteins, whether from cereals, vegetables, beef, fish, or cheese, the body must first break them down into amino acids; only then can it rearrange them into specific human body proteins.

Protein Digestion

Other than being crushed and torn by chewing and moistened with saliva in the mouth, nothing happens to protein until it reaches the stomach. Then the action begins.

In the Stomach Strong hydrochloric acid produced by the stomach denatures proteins in food. This acid helps uncoil the protein's tangled strands so that molecules of the stomach's protein-digesting enzyme can attack the peptide bonds. You might expect that the stomach enzyme, being a protein itself, would be denatured by the stomach's acid. Unlike most enzymes, though, the stomach enzyme functions best in an acid environment. Its job is to break *other* protein strands into smaller pieces. The stomach lining, which is also made partly of protein, is protected against attack by acid and enzymes by the coat of mucus secreted by its cells.

The whole process of digestion is an ingenious solution to a complex problem. Proteins (enzymes), activated by acid, digest proteins from food, denatured by acid. Digestion and absorption of other nutrients, such as iron, also rely on the stomach's ability to produce strong acid. The acid in the stomach is so strong (pH 1.5) that no food is acidic enough to make it stronger; for comparison, the pH of vinegar is about 3.

pH was defined in **Chapter 3** on page 80.

In the Small Intestine By the time most proteins slip from the stomach into the small intestine, they are denatured and cleaved into smaller pieces. A few single amino acids have been released, but most of the original protein enters as long strands—polypeptides. In the

denaturation the irreversible change in a protein's folded shape brought about by heat, acids, bases, alcohol, salts of heavy metals, or other agents.

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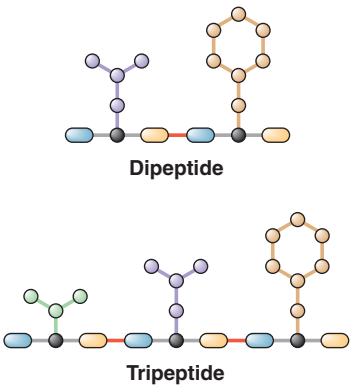
small intestine, alkaline juice from the pancreas neutralizes the acid delivered by the stomach. The pH rises to about 7 (neutral), enabling the next enzyme team to accomplish the final breakdown of the strands. Protein-digesting enzymes from the pancreas and intestine continue working until almost all pieces of protein are broken into single amino acids or into strands of two or three amino acids, **dipeptides** or **tripeptides** (see Figure 6–8). Figure 6–9 (p. 188) summarizes the whole process of protein digestion.

Common Misconceptions Consumers who fail to understand the basic mechanism of protein digestion are easily misled by advertisers of books and other products who urge, "Take enzyme A to help digest your food" or "Don't eat foods containing enzyme C, which will digest cells in your body." The writers of such statements fail to realize that enzymes (proteins) are digested before they are absorbed, just as all proteins are. Even the stomach's digestive enzymes are denatured and digested when their jobs are done. Similar false claims suggest that predigested proteins (amino acid supplements) are "easy to digest" and can therefore protect the digestive system from "overworking." Of course, a healthy digestive system is superbly designed to digest whole proteins with ease. In fact, it handles whole proteins better than predigested ones because it dismantles and absorbs the amino acids at rates that are optimal for the body's use.

Key Point

- Digestion of protein involves denaturation by stomach acid and enzymatic digestion in the stomach and small intestine to amino acids, dipeptides, and tripeptides.

Figure 6–8
A Dipeptide and Tripeptide



What Happens to Amino Acids after Protein Is Digested?

The cells all along the small intestine absorb single amino acids. As for dipeptides and tripeptides, enzymes on the cells' surfaces split most of them into single amino acids, and the cells absorb them, too. Dipeptides and tripeptides are also absorbed as-is into the cells, where they are split into amino acids and join with the others to be released into the bloodstream. A few larger peptide molecules can escape the digestive process altogether and enter the bloodstream intact. Scientists believe these larger particles may act as hormones to regulate body functions and provide the body with information about the external environment. The larger molecules may also stimulate an immune response and thus play a role in food allergy.

The cells of the small intestine possess separate sites for absorbing different types of amino acids. Chemically similar amino acids compete for the same absorption sites. Consequently, when a person ingests a large dose of any single amino acid, that amino acid may limit absorption of others of its general type. The Consumer's Guide (p. 194) cautions against taking single amino acids as supplements partly for this reason.

Once amino acids are circulating in the bloodstream, they are carried to the liver, where they may be used or released into the blood to be taken up by other cells of the body. The cells can then link the amino acids together to build proteins that they keep for their own use or liberate them into lymph or blood for other uses. When necessary, the body's cells can also use amino acids for energy.

Key Point

- The cells of the small intestine complete digestion, absorb amino acids and some larger peptides, and release them into the bloodstream for use by the body's cells.

The Importance of Protein

LO 6.3 Identify the roles of proteins and amino acids in the body.

Amino acids must be continuously available to build the proteins of new tissue. The new protein may be in an embryo; in the muscles of an athlete in training; in a growing child; in new blood cells needed to replace blood lost in menstruation, hemorrhage, or surgery; in the scar tissue that heals wounds; or in new hair and nails.

dipeptides (dye-PEP-tides) protein fragments that are two amino acids long (*di* means "two").

tripeptides (try-PEP-tides) protein fragments that are three amino acids long (*tri* means "three").

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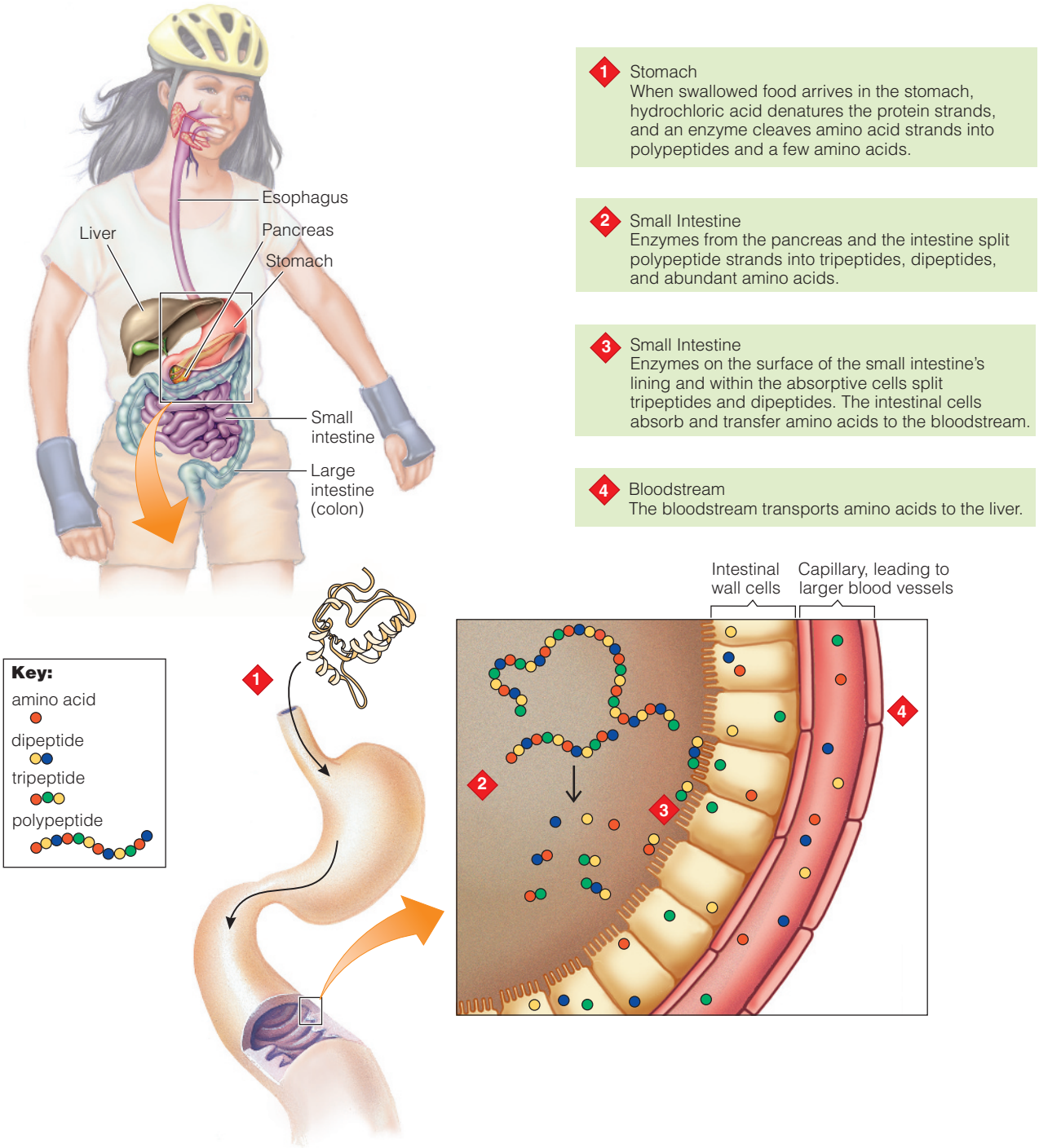
Figure 6–9

How Protein in Food Becomes Amino Acids in the Body



Less obvious is the protein that helps replace worn-out cells and internal cell structures. Each of your millions of red blood cells lives for only 3 or 4 months. Then it must be replaced by a new cell produced by the bone marrow. The millions of cells lining your intestinal tract live for only 3 days; they are constantly being shed and replaced. The cells of your skin die and rub off, and new ones grow from underneath. Nearly all cells arise, live, and die in this way, and while they are living, they constantly build and break down proteins. In addition, cells must continuously replace their own internal working proteins as old ones wear out. Amino acids conserved from these processes provide a great deal

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of the required raw material from which new structures are built. The entire process of breakdown, recovery, and synthesis is called **protein turnover**.

Each day, about a quarter of the body's available amino acids are irretrievably diverted to other uses, such as being used for fuel. For this reason, amino acids from food are needed each day to support the new growth and maintenance of cells and to make the working parts within them. The following sections spell out some of the critical roles that proteins play in the body.

Arctic ice/Shutterstock.com

Key Point

- The body needs dietary amino acids to grow new cells and to replace old or damaged ones.

The Roles of Body Proteins

Only a sampling of the many roles proteins play can be described here, but these illustrate their versatility, uniqueness, and importance in the body. One important role was already mentioned: regulation of gene expression. Among their other roles, proteins serve as digestive enzymes, antibodies, tendons, ligaments, scars, filaments of hair, the materials of nails, and countless more. No wonder their discoverers called proteins the primary material of life.

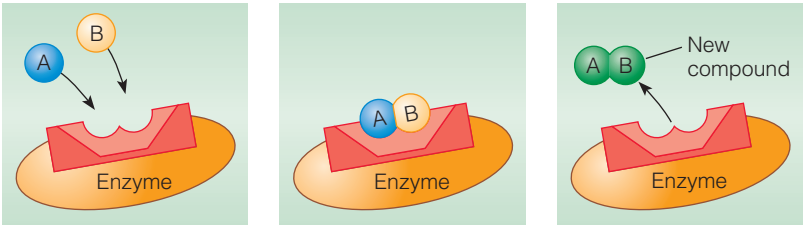
Structure and Movement Much of the body's protein (about 40 percent) exists in muscle tissue. Specialized muscle protein structures allow the body to move. In addition, muscle proteins can release some of their amino acids, should the need for energy become dire, as in starvation. These amino acids are integral parts of the muscle structure, and their loss exacts a cost of functional protein. Other structural proteins confer shape and strength on bones, teeth, skin, tendons, cartilage, blood vessels, and other tissues. All are important to the workings of a healthy body.

Enzymes, Hormones, and Other Compounds Among proteins formed by living cells, enzymes are metabolic workhorses. An enzyme acts as a **catalyst**: it speeds up a reaction that would happen anyway, but much more slowly. Thousands of enzymes reside inside a single cell, and each one facilitates a specific chemical reaction. Figure 6–10 shows how a hypothetical enzyme works—this one synthesizes a compound from two chemical components. Other enzymes break compounds apart into two or more products or rearrange the atoms in one kind of compound to make another. A single enzyme can facilitate up to a hundred reactions in a second.

The body's **hormones** are messenger molecules, and many of them are made from amino acids. Various body glands release hormones when changes occur in the internal environment; the hormones then elicit tissue responses necessary to restore normal

Figure 6–10
Enzyme Action

Compounds A and B are attracted to the enzyme's active site and park there for a moment in the exact position that makes the reaction between them most likely to occur. They react by bonding together and leave the enzyme as a new compound, AB.



Enzyme plus two compounds A and B | Enzyme complex with A and B | Enzyme plus new compound AB

protein turnover the continuous breakdown and synthesis of body proteins involving the recycling of amino acids.

catalyst a substance that speeds the rate of a chemical reaction without itself being permanently altered in the process. All enzymes are catalysts.

hormones chemical messengers secreted by a number of body organs in response to conditions that require regulation. Each hormone affects a specific organ or tissue and elicits a specific response. Also defined in Chapter 3.

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conditions. For example, the familiar pair of hormones, insulin and glucagon, oppose each other to maintain blood glucose levels. Both are built of amino acids. For interest, Figure 6–11 shows how many amino acids are linked in sequence to form human insulin. It also shows how certain side groups attract one another to complete the insulin molecule and make it functional.

In addition to serving as building blocks for proteins, amino acids perform other tasks in the body. For example, the amino acid tyrosine forms parts of the neurotransmitters epinephrine and norepinephrine, which relay messages throughout the nervous system. The body also uses tyrosine to make the brown pigment melanin, which gives a brown color to skin, hair, and eyes. In addition, tyrosine is converted into the thyroid hormone **thyroxine**, which regulates the body's metabolism. Another amino acid, tryptophan, serves as starting material for the neurotransmitter **serotonin** and the vitamin niacin.

Antibodies Of all the proteins in living organisms, the **antibodies** best demonstrate that proteins are specific to one organism. Antibodies distinguish foreign particles (usually proteins) from all the proteins that belong in "their" body. When they recognize an intruder, they mark it as a target for attack. The foreign protein may be part of a bacterium, a virus, or a toxin, or it may be present in a food that causes an allergic reaction.

Each antibody is designed to help destroy one specific invader. An antibody active against one strain of influenza is of no help to a person ill with another strain. Once the body has learned how to build a particular antibody, it remembers. The next time the body encounters that same invader, it destroys the invader even more rapidly. In other words, the body develops **immunity** to the invader. This molecular memory underlies the principle of immunizations, injections of drugs made from destroyed and inactivated microbes or their products that activate the body's immune defenses. Some immunities are lifelong; others, such as that to tetanus, must be "boosted" at intervals.

Transport System A large group of proteins specializes in transporting other substances, such as lipids, vitamins, minerals, and oxygen, around the body. To do their jobs, such substances must travel within the bloodstream and into and out of cells. Two familiar examples are the protein hemoglobin within the red blood cells, which carries oxygen from the lungs to the tissues, and the lipoproteins, which transport lipids in the watery blood.

Fluid and Electrolyte Balance Proteins help maintain the **fluid and electrolyte balance** by regulating the quantities of fluids in body compartments. To remain alive, a cell must contain a constant volume of internal fluid. Too much fluid would rupture the cell; too little would shrink it, making it unable to function. Although water can diffuse freely into and out of cells, proteins cannot, and proteins attract water. In addition, proteins mounted on cell membranes act as pumps, constantly adjusting the cells' fluid and electrolyte balance.

thyroxine (thigh-ROX-in) a principal peptide hormone of the thyroid gland that regulates the body's rate of energy use.

serotonin (SARE-oh-TONE-in) a compound related in structure to (and synthesized from) the amino acid tryptophan. It serves as one of the brain's principal neurotransmitters.

antibodies (AN-te-bod-ees) large proteins of the blood, produced by the immune system in response to an invasion of the body by foreign substances (antigens). Antibodies combine with and inactivate the antigens.

immunity protection from or resistance to a disease or infection by the development of antibodies and by the actions of cells and tissues in response to a threat.

fluid and electrolyte balance the proper distribution of fluid and dissolved particles (electrolytes) among body compartments (see also Chapter 8).

Figure 6–11

Amino Acid Sequence of Human Insulin

This picture shows a refinement of protein structure not mentioned earlier. The amino acid cysteine (Cys) has a sulfur-containing side group. The sulfur groups on two cysteine molecules can bond together, creating a bridge between two protein strands or two parts of the same strand. Insulin contains three such bridges.

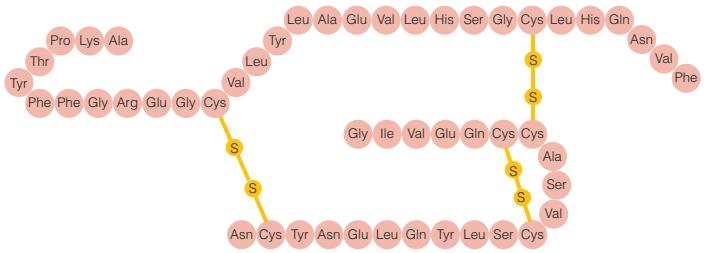

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Figure 6–12

Edema

Edema results when body tissues fail to control the movement of water.

SPL/Science Source

By maintaining stores of internal proteins and electrolytes, cells retain the fluid they need. In a similar way, fluid is kept inside the blood vessels by proteins too large to move freely across the capillary walls. The proteins attract water, keeping it within the vessels, and preventing it from freely flowing into the spaces between the cells. Should any part of this system begin to fail, too much fluid will soon collect in the spaces between the cells of tissues, causing **edema**, the condition shown in Figure 6–12.

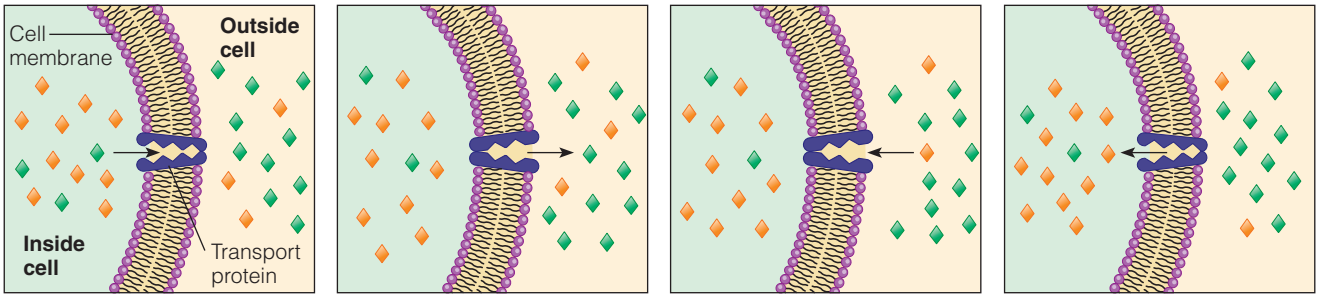
Not only is the quantity of the body fluids vital to life, but their composition is also. Cellular pumps control this composition by continuously transferring substances into and out of cells (see Figure 6–13). For example, sodium is concentrated outside the cells, and potassium is concentrated inside. A disturbance of this balance can impair the action of the heart, lungs, and brain, triggering a major medical emergency. Cell proteins avert such a disaster by controlling the movement of fluids and electrolytes.

edema (eh-DEEM-uh) swelling of body tissue caused by leakage of fluid from the blood vessels; seen in protein deficiency (among other conditions).

Figure 6–13

Proteins Transport Substances into and out of Cells

A transport protein within the cell membrane acts as a sort of two-door passageway—substances enter on one side and are released on the other, but the protein never leaves the membrane. The protein differs from a simple passageway in that it actively escorts the substances in and out of cells. Therefore, this form of transport is often called active transport.



Molecule enters protein from inside cell. | Protein changes shape; molecule exits protein outside the cell. | Molecule enters protein from outside cell. | Molecule exits protein; proper balance restored.

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Table 6–2

Summary of Protein Functions

- *Acid–base balance.* Proteins help maintain the acid–base balance of various body fluids by acting as buffers.
- *Antibodies.* Proteins form the immune system molecules that fight diseases.
- *Blood clotting.* Proteins provide the netting on which blood clots are built.
- *Energy and glucose.* Proteins provide some fuel for the body's energy needs.
- *Enzymes.* Proteins facilitate needed chemical reactions.
- *Fluid and electrolyte balance.* Proteins help to maintain the water and mineral composition of various body fluids.
- *Gene expression.* Proteins associate and interact with DNA, regulating gene expression.
- *Hormones.* Some hormones are proteins or are made from amino acids.
- *Structure and movement.* Proteins form integral parts of most body tissues and confer shape and strength on bones, skin, tendons, and other tissues. Structural proteins of muscles execute body movement.
- *Transport.* Proteins help transport needed substances, such as lipids, minerals, and oxygen, around the body.

Acid–Base Balance Normal processes of the body continually produce **acids** and their opposite, **bases**, that must be carried by the blood to the organs of excretion. The blood must do this without allowing its own **acid–base balance** to be affected. This feat is another trick of the blood proteins, which act as **buffers** to maintain the blood's normal pH. The protein buffers pick up hydrogens (acid) when there are too many in the bloodstream and release them again when there are too few. The secret is that negatively charged side chains of amino acids can accommodate additional hydrogens, which are positively charged.

Blood pH is one of the most rigidly controlled conditions in the body. If blood pH changes too much, **acidosis** or the opposite basic condition, **alkalosis**, can cause coma or death. These conditions constitute medical emergencies because of their effects on proteins. When the proteins' buffering capacity is filled—that is, when they have taken on all the acid hydrogens they can accommodate—additional acid pulls them out of shape, denaturing them and disrupting many body processes.

Blood Clotting To prevent dangerous blood loss, special blood proteins respond to an injury by clotting the blood. In an amazing series of chemical events, these proteins form a stringy net that traps blood cells to form a clot. The clot acts as a plug to stem blood flow from the wound. Later, as the wound heals, the protein collagen finishes the job by replacing the clot with scar tissue.

The final function of protein, providing energy, depends on some metabolic adjustments, as described in the next section. Table 6–2 provides a summary of the functions of proteins in the body.

Key Point

- Proteins help regulate gene expression; provide structure and movement; serve as enzymes, hormones, and antibodies; provide molecular transport; help regulate fluid and electrolyte balance; buffer the blood; contribute to blood clotting; and provide energy.

Providing Energy and Glucose

Only protein can perform all the functions just described, but protein will be surrendered to provide energy if need be. Under conditions of inadequate carbohydrate or energy, protein breakdown speeds up.

Amino Acids to Glucose The body must have energy to live from moment to moment, so obtaining that energy is a top priority. Not only can amino acids supply energy, but also many of them can be converted to glucose, as fatty acids can never be. Thus, if the need arises, protein can help to maintain a steady blood glucose level and help meet the glucose need of the brain.

When amino acids are degraded for energy or converted into glucose, their nitrogen-containing amine groups are stripped off and used elsewhere or are incorporated by the liver into **urea** and sent to the kidneys for excretion in the urine. The fragments that remain are composed of carbon, hydrogen, and oxygen, as are carbohydrate and fat, and can be used to build glucose or fatty acids or can be metabolized like them.

Drawing Amino Acids from Tissues Glucose is stored as glycogen and fat as triglycerides, but no specialized storage compound exists for protein. Body protein is present only as the active working molecular and structural components of body tissues. When protein-sparing energy from carbohydrate and fat is lacking and the need becomes urgent, as in starvation, prolonged fasting, or severe calorie restriction, the body must dismantle some of its tissue proteins to obtain amino acids for building the most essential proteins and for energy. Each protein is taken in its own time: first, small proteins from the blood, then proteins from the muscles. The body guards the structural proteins of the heart and other organs until forced, by dire need, to relinquish them. Thus, energy deficiency (starvation) always incurs wasting of lean body tissue as well as loss of fat.

Using Excess Amino Acids When amino acids are oversupplied, the body cannot store them. It has no choice but to remove and excrete their amine groups and then

acids compounds that release hydrogens in a watery solution.

bases compounds that accept hydrogens from solutions.

acid–base balance equilibrium between acid and base concentrations in the body fluids.

buffers compounds that help keep a solution's acidity or alkalinity constant.

acidosis (acid-DOH-sis) the condition of excess acid in the blood, indicated by a below-normal pH (*osis* means "too much").

alkalosis (al-kah-LOH-sis) the condition of excess base in the blood, indicated by an above-normal blood pH (*alka* means "base"; *osis* means "too much").

urea (yoo-REE-uh) the principal nitrogen-excretion product of protein metabolism; generated mostly by removal of amine groups from unneeded amino acids or from amino acids being sacrificed for energy.

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use the residues in one of three ways: to meet immediate energy needs, to make glucose for storage as glycogen, or to make fat for energy storage. The body readily converts amino acids to glucose. The body also possesses enzymes to convert amino acids into fatty acids. An indirect contribution of amino acids to fat stores also exists—the body speeds up its use of excess amino acids for fuel, burning them instead of fat, making fat more abundantly available for storage in the fat tissue.

The similarities and differences of the three energy-yielding nutrients should now be clear. Carbohydrate offers energy; fat offers concentrated energy; and protein can offer energy plus nitrogen (see Figure 6–14).

Key Points

- Amino acids can be used as fuel or converted to glucose or fat.
- No storage form of protein exists in the body.

The Fate of an Amino Acid

To review the body's handling of amino acids, let us follow the fate of an amino acid that was originally part of a protein-containing food. When the amino acid arrives in a cell, it can be used in one of several ways, depending on the cell's needs at the time:

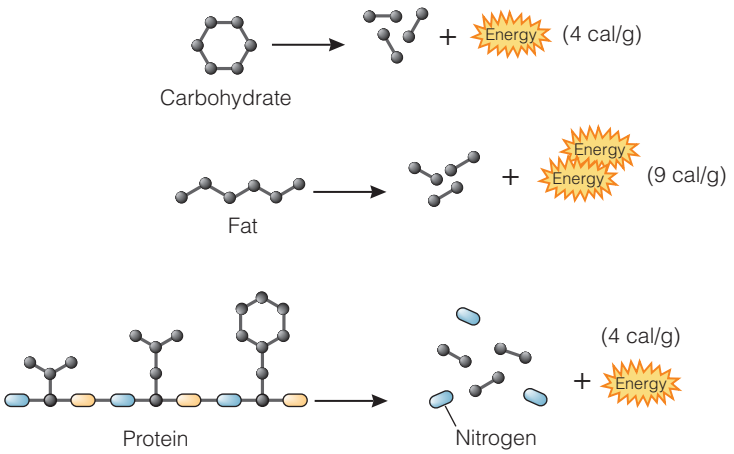
- The amino acid can be used as-is to build part of a growing protein.
- The amino acid can be altered somewhat to make another needed compound, such as the vitamin niacin.
- The cell can dismantle the amino acid to use its amine group to build a different amino acid. The remainder can be used for fuel or, if fuel is abundant, converted to glucose or fat.

When a cell is starved for energy and has no glucose or fatty acids, it strips the amino acid of its amine group (the nitrogen part) and uses the remainder of its structure for energy. The amine group is excreted from the cell and then from the body in the urine. In a cell that has a surplus of energy and amino acids, the cell takes the amino acid apart, excretes the amine group, and uses the rest to meet immediate energy needs or converts it to glucose or fat for storage.

Figure 6–14

Three Different Energy Sources

Carbohydrate offers energy; fat offers concentrated energy; and protein, if necessary, can offer energy plus nitrogen. The compounds at the left yield the two-carbon fragments shown at the right. These fragments oxidize quickly in the presence of oxygen to yield carbon dioxide, water, and energy.



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A Consumer's Guide to . . . Evaluating Protein and Amino Acid Supplements

Nature provides protein abundantly in foods, but many people become convinced that they need extra protein and amino acids from supplements. Sorting truth from wishful thinking in advertisements can be tricky: "Take this protein supplement to build muscle," "This one will help you lose weight," "Take an amino acid to get to sleep, grow strong fingernails, build immunity . . . " Can these products really do these things?

Protein Powders

Dietary protein is needed to build muscle protein, so many athletes take protein powders in hopes of building bigger muscles. It's true that protein eaten soon after lifting weights or other exertion increases protein synthesis for a while (Chapter 10 describes this effect), but this detail of metabolism does not appear to improve muscle strength or athletic ability.[1]* Protein supplements are not "muscles in a bottle," as they are often advertised—physical work is required to build muscle or prevent its loss.[2]*

What a boon it would be if people could add the right protein or amino acids to a milkshake and lose weight effortlessly, but both evidence and common sense oppose this idea. Protein in a meal contributes to satiety, but "protein drinks" and shakes often add many calories to a day's intake from good-tasting fats and sugars. In addition, any excess nitrogen, including nitrogen from a protein supplement, must be metabolized and excreted. This places a burden on the kidneys, particularly if they are weakened by disease.

gelatin a protein product of collagen breakdown. In foods, it confers structure, such as in gelatin desserts; in nutrition, it supplies low-quality protein that lacks certain essential amino acids.

** Reference notes are in Appendix F.*

Bone Broth and Collagen

Bone broth is a long-simmered **gelatin**-rich soup, also sold in powdered form, and marketed with comforting images of home. Gelatin arises when collagen, a protein present in bones, dissolves into the broth during long, moist cooking (despite claims, broth contains no actual collagen).

As people age, the protein collagen diminishes in skin, causing sags and wrinkles, and in joints, causing painful movement. Bone broth advocates claim that drinking gelatin in bone broth can restore both youthful-looking skin and pain-free joints, but consuming collagen or gelatin cannot do these things. Nor can it make hair glossy or reduce "cellulite." As a protein source, gelatin is low in quality—it lacks certain essential amino acids necessary for protein synthesis (a later section comes back to protein quality).

Many people try to treat soft, dry, weak, easily breakable fingernails with collagen or gelatin supplements but this doesn't work, either. Made largely of protein, nails depend on sulfur bonds between amino acids for flexible strength, fatty acids for water resistance, and sufficient water for proper hydration. In addition, the living tissues that form nails need many minerals and vitamins to put these materials into place. Nails, hair, and skin all depend on a nutritious diet to look their best, not protein supplements.

Amino Acids

Athletes and others often take supplements of branched-chain amino acids (BCAA) in hopes of building muscle or losing fat. Decades ago, BCAA was found to stimulate muscle protein synthesis when given through a vein to exercised rats, but only for a short time. In people, BCAA supplementation does not appear to enhance muscle protein synthesis, and may in fact suppress it by disturbing the balance of amino acids required to build new muscle proteins.[3] Adequate amounts of ordinary foods containing high-quality protein deliver the right amino acids in the right balance to best support muscle protein synthesis.[4] Regarding safety, excess BCAA may alter metabolic systems, particularly concerning insulin action.[5] Theoretically, such disturbances could present health risks for people who take the supplements, but research is needed to clarify these associations.

Tryptophan supplements are often sold with promises of relief from insomnia or depression. Tryptophan may be effective for inducing drowsiness, but research is lacking to say with any certainty whether tryptophan supplements can relieve depression. Large daily doses can have side effects, such as temporary nausea or skin problems. People taking antidepressant drugs should consult with their physicians before taking tryptophan supplements.

Food Is Often Best

The body handles whole proteins best. It breaks them into manageable pieces (dipeptides and tripeptides) and then splits these, a few at a time, simultaneously releasing them into the blood. This slow, bit-by-bit assimilation is ideal because groups of chemically similar amino acids compete for the carriers that absorb them into the blood. An excess of one amino acid can tie up a carrier and interfere with the absorption of another, creating a temporary imbalance.

Within the cells' nuclei, amino acids play key roles in gene regulation. Amino acid imbalances may alter these processes in unpredictable ways.

In cases of disease or malnutrition, a registered clinical dietitian may employ a special protein or amino acid supplement.[6] Not every patient is a candidate for such therapy, though, because the

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supplements may stimulate inflammation, which can worsen the condition, or draw water into the digestive tract, which causes diarrhea. Protein supplements can also worsen kidney disease or interfere with the actions of certain medications, allowing diseases to advance unchecked.

A lack of research prevents the DRI committee from setting Tolerable Upper Intake Levels for amino acids.[7] Therefore, no level of amino acid supplementation can be assumed safe. The people most likely to be harmed are listed in Table 6–3. Take heed: much is still unknown, and those who take amino acid supplements cannot be certain of safety or effectiveness, despite convincing marketing materials.

Table 6–3

People Most Likely to Be Harmed by Amino Acid Supplements

Growth or altered metabolism makes these people especially likely to be harmed by self-prescribed amino acid supplements:

- All women of childbearing age, especially those who are pregnant or lactating
- Infants, children, and adolescents
- Elderly people
- People with inborn errors of metabolism that affect their bodies' handling of amino acids
- Smokers
- People on low-protein diets
- People with chronic or acute mental or physical illnesses

Moving Ahead

Even with all that we've learned from science, it is hard to improve on nature. In almost every case, the complex balance of amino acids and other nutrients found together in whole foods is best for nutrition. Keep it safe and simple: select a variety of protein-rich foods each day, and reject unnecessary protein and amino acid supplements.

Review Questions*

1. Commercial shakes and energy bars have proven to be the best protein sources to support weight-loss efforts. T F
2. Gelatin supplements cannot strengthen fingernails or restore a youthful look to the skin. T F
3. In high doses, tryptophan can improve nausea and skin disorders. T F

**Answers to Consumer's Guide review questions are found in Appendix G.*

When not used to build protein or make other nitrogen-containing compounds, amino acids are "wasted" in a sense. This wasting occurs under any of four conditions:

1. When the body lacks energy from other sources.
2. When the diet supplies more protein than the body needs.
3. When the body has too much of any single amino acid—for example, from a supplement.
4. When the diet supplies protein of low quality, with too few essential amino acids, as described in the next section.

To prevent the wasting of dietary protein and permit the synthesis of needed body protein, the dietary protein must be of adequate quality: it must supply all essential amino acids in the proper amounts. It must also be accompanied by enough energy-yielding carbohydrate and fat to permit the dietary protein to be used as such.

To review, amino acids in a cell can be:

- Used to build protein.
- Converted to other amino acids or small nitrogen-containing compounds.

Stripped of their nitrogen, amino acids can be:

- Burned as fuel.
- Converted to glucose or fat.

Key Points

- Amino acids can be metabolized to protein, nitrogen plus energy, glucose, or fat.
- Amino acids will be metabolized to protein only if sufficient energy is present from other sources.
- When energy is lacking, the nitrogen part is removed from each amino acid, and the resulting fragment is oxidized for energy.

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Do the Math:
Calculate your daily protein recommendation.

The DRI for protein (adult) = 0.8 g/kg. To find your protein recommendation:

1. Look up the healthy weight for a person of your height (back of the book, p. E). If your weight falls within the range, use it; if outside the range, use the midpoint of the range.
2. Convert pounds to kilograms (by dividing pounds by 2.2).
3. Multiply kilograms by 0.8 to find total grams of protein recommended. (In this example, values have been rounded.)

For example:

Weight = 130 lb

130 lb ÷ 2.2 = 59 kg

59 kg × 0.8 = 47 g

Table 6–4

Protein Intake Recommendations for Healthy Adults

DRI[a]
▪ 0.8 g protein/kg body weight/day.
▪ Women: 46 g/day; men: 56 g/day.
▪ Acceptable Macronutrient Distribution Range (AMDR): 10 to 35% of calories from protein.
Dietary Guidelines for Americans
▪ A healthy dietary pattern includes a variety of protein foods, including seafood, lean meats and poultry, eggs, legumes (peas and beans), and nuts, seeds, and soy products.

[a] *Protein recommendations for infants, children, and pregnant and lactating women are higher; see the back of the book, page A.*

Food Protein: Need and Quality

LO 6.4 List the factors that determine the daily protein needs of an individual.

A person's need for and use of dietary protein depend on many factors. To know whether, say, 60 grams of protein is enough to meet a person's daily needs, one must consider the effects of factors discussed in this section, some pertaining to the body and some to the nature of the protein.

How Much Protein Do People Need?

The DRI value for protein intake is designed to cover the need to replace protein-containing tissue that healthy adults break down every day. Therefore, the first factor influencing the protein requirement is body size: larger people have a higher protein need. For adults of healthy body weight, the DRI is set at 0.8 grams for each kilogram (or 2.2 pounds) of body weight (see back of the book, p. A). A minimum intake is set at 10 percent of total calories, although some evidence suggests that certain groups of people, such as the elderly, may need more than this minimum for optimal health.[4] Athletes may need slightly more protein—1.2 to 1.7 grams per kilogram per day—but even this amount is provided by a well-chosen dietary pattern with enough food energy to meet an athlete's need (see Chapter 10).[5] The following factors also modify protein needs.

Growth Growth demands protein, so for infants and children, the protein recommendation, like all nutrient recommendations, is higher per unit of body weight. The margin provides a method for determining your own protein need, and Table 6–4 reviews recommendations for protein intake.

The Body's Health Malnutrition or infection may greatly increase the need for protein while making it hard to eat even normal amounts of food. In malnutrition, secretion of digestive enzymes slows as the tract's lining degenerates, impairing protein digestion and absorption. When infection is present, extra protein is needed for enhanced immune functions.

Other Nutrients and Energy The need for ample energy, carbohydrate, and fat has already been emphasized. To be used efficiently by the cells, dietary protein must also be accompanied by the full array of vitamins and minerals.

Protein Quality The remaining factor, protein quality, helps determine how well a diet supports the growth of children and the health of adults. Protein quality becomes crucial for people in areas where food is scarce, as described in a later section.

The DRI for protein assumes a normal mixed diet—that is, a dietary pattern that provides sufficient nutrients and protein from a combination of animal and plant sources. Because not all proteins are used with 100 percent efficiency, the recommendation is generous. Many healthy people can consume less than the recommended amount and still meet their bodies' protein needs.

Key Points

- The protein intake recommendation depends on size and stage of growth.
- The DRI for adults is 0.8 grams of protein per kilogram of body weight.
- Factors concerning both the body and food sources modify an individual's protein need.

Nitrogen Balance

nitrogen balance the amount of nitrogen consumed compared with the amount excreted in a given time period.

Underlying the protein recommendation are **nitrogen balance** studies, which compare nitrogen lost by excretion with nitrogen eaten in food. In healthy adults,

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nitrogen-in (consumed) must equal nitrogen-out (excreted). Scientists measure the body's daily nitrogen losses in urine, feces, sweat, and skin under controlled conditions and then estimate the amount of protein needed to replace these losses.*

Under normal circumstances, healthy adults are in nitrogen equilibrium, or zero balance; that is, they have the same amount of total protein in their bodies at all times. When nitrogen-in exceeds nitrogen-out, people are said to be in positive nitrogen balance; somewhere in their bodies more proteins are being built than are being broken down and lost. When nitrogen-in is less than nitrogen-out, people are said to be in negative nitrogen balance; they are losing protein. Figure 6–15 illustrates these different states.

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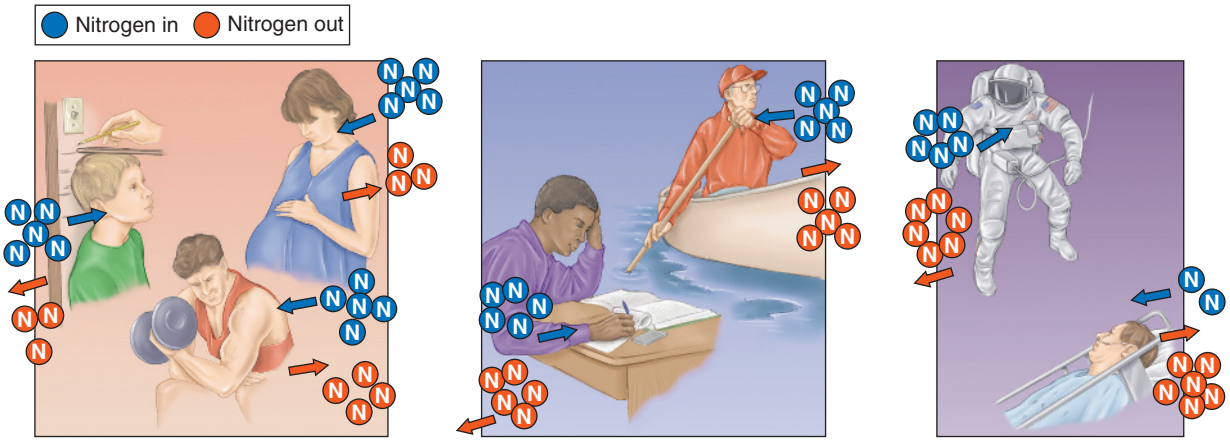
Growing children end each day with more bone, blood, muscle, and skin cells than they had at the beginning of the day.

Positive Nitrogen Balance Growing children add new blood, bone, and muscle cells to their bodies every day, so children have more protein, and therefore more nitrogen, in their bodies at the end of each day than they had at the beginning. A growing child is therefore in positive nitrogen balance. Similarly, when a woman is pregnant, she must be in positive nitrogen balance until after the birth, when she once again reaches equilibrium.

Negative Nitrogen Balance Negative nitrogen balance occurs when muscle or other protein tissue is broken down and lost: nitrogen excretion increases. Illness or injury triggers the release of powerful messengers that signal the body to break down some of the less vital proteins, such as those of the blood, skin, and muscle.† This action floods the blood with amino acids, which are then stripped of their nitrogen and used for energy to fuel the body's defenses and fight the illness. The result is greater nitrogen excretion and negative nitrogen balance. Astronauts, too, experience negative nitrogen balance. In the stress of space flight and with no need to support the body's weight against gravity, the astronauts' muscles waste and weaken. To minimize the inevitable loss of muscle tissue, the astronauts must do special exercises in space.

Figure 6–15
Nitrogen Balance



Positive Nitrogen Balance
These people—a growing child, a person building muscle, and a pregnant woman—all retain more nitrogen than they excrete each day.

Nitrogen Equilibrium
These people—a healthy college student and a young retiree—are in nitrogen equilibrium.

Negative Nitrogen Balance
These people—an astronaut and a surgery patient—lose more nitrogen than they take in.

* The average protein is 16 percent nitrogen by weight; that is, each 100 grams of protein contain 16 grams of nitrogen. Scientists can estimate the amount of protein in a sample of food, body tissue, or other material by multiplying the weight of the nitrogen in it by 6.25.

† The messengers are cytokines.

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Figure 6–16
Limiting Amino Acids

Just as each letter of the alphabet is indispensable in forming whole words, each amino acid must be available to build finished proteins. If any essential amino acids are missing, their absence limits protein production.

Key Point

- Protein recommendations are based on nitrogen balance studies, which compare nitrogen excreted from the body with nitrogen ingested in food.

Protein Quality

Put simply, **high-quality proteins** provide enough of all the essential amino acids needed by the body to create its own working proteins, whereas low-quality proteins don't. Two factors influence a protein's quality: its amino acid composition and its digestibility.

To build their required proteins, the cells need the full array of amino acids, including the essential amino acids. (Figure 6–16 takes a playful look at this concept.) If a nonessential amino acid (that is, one the cells can make) is unavailable from food, the cells synthesize it and continue attaching amino acids to the protein strands being manufactured. If the diet fails to provide enough of an essential amino acid (one the cells cannot make), the cells begin to adjust their activities. The cells:

- Break down more internal proteins to liberate the needed essential amino acid, and
- Limit their synthesis of proteins to conserve the essential amino acid.

As the deprivation continues, tissues make one adjustment after another in the effort to survive.

Limiting Amino Acids The measures just described help the cells to channel the available **limiting amino acid** to its highest-priority use: making new proteins. Even so, the normally fast rate of protein synthesis slows to a crawl as cells make do with the proteins on hand. When the limiting amino acid once again becomes available in abundance, the cells resume their normal protein-related activities. If the shortage becomes chronic, however, the cells begin to break down their protein-making machinery. Consequently, when protein intakes become adequate again, protein synthesis lags behind until the needed machinery can be rebuilt. Meanwhile, the cells function less and less effectively as their proteins become depleted and are only partially replaced.

Thus, a diet that is short in any of the essential amino acids limits protein synthesis. An earlier analogy likened amino acids to letters of the alphabet. To be meaningful, words must contain all the right letters. For example, a print shop that has no letter "N" cannot make personalized stationery for Jana Johnson. No matter how many Js, As, Os, Hs, and Ss are in the printer's possession, the printer cannot use them to replace the missing Ns. Likewise, in building a protein molecule, no amino acid can fill another's spot. If a cell that is building a protein cannot find a needed amino acid, synthesis stops, and the partial protein is released.

Partially completed proteins are not held for completion at a later time when the diet may improve. Rather, they are dismantled, and the component amino acids are returned to the circulation to be made available to other cells. If they are not soon inserted into protein, their amine groups are removed and excreted, and the residues are used for other purposes. The need that prompted the call for that particular protein will simply not be met.

Complementary Proteins It follows that, if a person fails to consume all the essential amino acids in proportion to the body's needs, the body's pools of essential amino acids will dwindle until body organs are compromised. Consuming the essential amino acids presents no problem to people who regularly eat protein foods containing ample amounts of all of the essential amino acids, such as meat, fish, poultry, cheese, eggs, milk, and most soybean products.

An equally sound choice is to eat a variety of protein foods from plants so that amino acids that are low in some foods will be supplied by the others.[6] The combination of such protein-rich foods yields **complementary proteins** (see Figure 6–17), or proteins containing all the essential amino acids in amounts sufficient to support health. The figure demonstrates that the amino acids of **legumes** and grains balance each other to provide all the needed amino acids. The complementary proteins need not be eaten together, so long as the day's meals supply all of them along with sufficient energy and total protein.

high-quality proteins dietary proteins containing all the essential amino acids in relatively the same amounts that human beings require. They may also contain nonessential amino acids.

limiting amino acid an essential amino acid that is present in dietary protein in an insufficient amount, thereby limiting the body's ability to build protein.

complementary proteins two or more proteins whose amino acid assortments complement each other in such a way that the essential amino acids missing from one are supplied by the other.

legumes (leg-GOOMS, LEG-yooms) plants of the bean, pea, and lentil family that have roots with nodules containing special bacteria that trap nitrogen from the air in the soil and convert it into a form that becomes part of the plant's seeds. The seeds are rich in digestible protein compared with most other plant foods. Also defined in Chapter 1.

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Figure 6–17
How Complementary Proteins Work Together

The chart of this figure illustrates how two incomplete protein sources contribute high-quality protein to a day's intake. Legumes and products made from them provide plenty of the amino acids isoleucine (Ile) and lysine (Lys) but fall short in methionine (Met) and tryptophan (Trp). Grains have the opposite strengths and weaknesses, making these two foods a match for providing complete protein.

	Ile	Lys	Met	Trp
Legumes	✓	✓		
Grains			✓	✓
Together	✓	✓	✓	✓

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1 c whole grain cereal	= 6 g	2 tbs peanut butter	= 7 g	1 c rice	= 4 g
¾ c soy milk	= 5 g	2 med. slices bread	= 9 g	1 c beans	= 16 g
Protein total	= 11 g	Protein total	16 g	Protein total	20 g

Figure 6–18 shows a legume plant's special root system that enables it to make abundant protein by obtaining nitrogen from the soil. Although they provide incomplete protein, legumes are allies for anyone choosing a more plant-based diet because they concentrate abundant protein in their edible seeds.

Protein Digestibility In measuring a protein's quality, digestibility is also important. Simple measures of the total protein in foods are not useful by themselves—even animal hair and hooves would receive a top score by those measures alone. They are made of protein, but the protein is not in a form that people can use.

The digestibility of protein varies from food to food and bears profoundly on protein quality. The protein of oats, for example, is less digestible than that of eggs. In general, proteins from animal sources, such as chicken, beef, and pork, are most easily digested and absorbed (more than 90 percent). Those from legumes, nuts, grains, and other plant foods vary (from 60 to 90 percent). Cooking with moist heat improves protein digestibility, as illustrated in Figure 6–19 (p. 200), whereas dry heat methods can impair it.

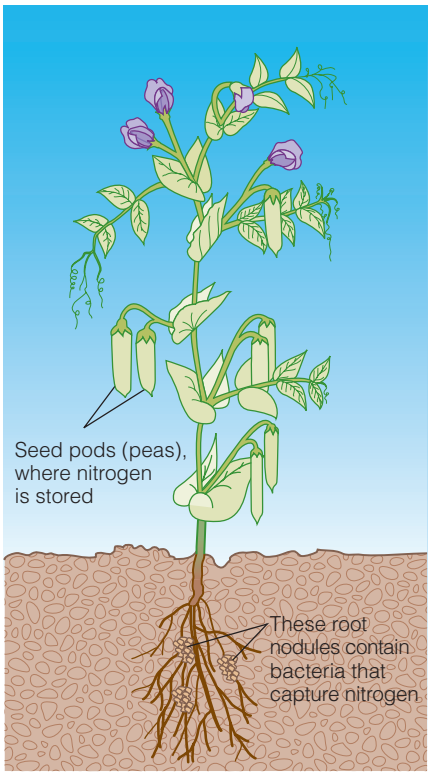
Perspective on Protein Quality Concern about the quality of individual food proteins is of only theoretical interest in settings where food is abundant. Healthy adults in these places would find it next to impossible *not* to meet their protein needs, even if they were to eat no meat, fish, poultry, eggs, or cheese products at all. Even healthy vegetarians need not pay attention to balancing amino acids so long as they follow a dietary pattern that is varied, nutritious, and adequate in energy and other nutrients—not made up of, say, just cookies, crackers, potato chips, and juices.[7] Protein sufficiency follows effortlessly behind a balanced, nutritious diet.

For people in areas where food sources are less reliable, protein quality can make the difference between health and disease, or for children, the difference between normal or stunted growth.[8] Whenever food energy is restricted, malnutrition is widespread, the variety of available foods is severely limited, or a single low-protein food, such as **fufu** made from cassava root,* provides most of the calories, the primary food source of protein must be checked because its quality is crucial.

*Cassava is also called *manioc* or *yucca*.

Figure 6–18
A Legume

Legumes include such plants as the kidney bean, soybean, green pea, lentil, black-eyed pea, and lima bean. Bacteria in the root nodules can "fix" nitrogen from the air, contributing it to the beans. Ultimately, thanks to these bacteria, the plant accumulates more nitrogen than it can get from the soil and also contributes more nitrogen to the soil than it takes out. Legumes are so efficient at trapping nitrogen that farmers often grow them in rotation with other crops to fertilize fields. Legumes are included with meat in the protein foods group.



fufu a low-protein staple food that provides abundant starch energy to many of the world's people; fufu is made by pounding or grinding root vegetables or refined grains and cooking them to a smooth, semisolid consistency.

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Figure 6–19

Cooking Method Affects Protein Digestibility

Cooking with moist heat improves protein digestibility, whereas frying makes protein harder to digest.

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Evgeniy Eivo/Shutterstock.com

Key Points

- A protein's amino acid assortment greatly influences its usefulness to the body.
- Low-quality food proteins lack essential amino acids and so can be used to build body structures only if the missing amino acids are supplied by other sources.
- Digestibility of protein varies from food to food, and cooking can improve or impair it.
- The more severely food supplies are limited, the more important protein quality becomes.

Protein Deficiency and Excess

LO 6.5 List the potential health problems that are caused by dietary patterns that are either too low or too high in protein.

When diets lack sufficient protein from food or sufficient amounts of any of the essential amino acids, symptoms of malnutrition appear. Health effects of protein excess are less well established, but high-protein diets, and particularly high-meat diets, have been implicated in several chronic diseases (see the Controversy section). Evidence is currently insufficient to establish a Tolerable Upper Intake Level for protein, but both deficiencies and excesses are of concern.

What Happens When People Consume Too Little Protein?

In protein deficiency, when the diet supplies too little protein or lacks a specific essential amino acid relative to the others (a limiting amino acid), the body slows its synthesis of proteins while increasing its breakdown of body tissue protein to liberate the amino acids it needs to build other proteins of more critical importance. Without its most critical proteins, many of the body's life-sustaining activities would come to a halt. The consequences of protein deficiency include slow growth in children, impaired brain and kidney functions, weakened immune defenses, and impaired nutrient absorption from the digestive tract. These conditions often occur in starvation wherein the diet lacks not only protein, but energy, vitamins, and minerals as well. The severe malnutrition of starvation and its clinical manifestations are a focus of Chapter 15.

In an elderly person, too little dietary protein may weaken the bones and increase the risk of fractures.[9] Bone fracture in the aged often requires surgery to repair and may

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have life-threatening consequences (see Controvery 8). Every effort should be made to ensure that the diet of an elderly person provides the DRI amount of protein every day.

Key Points

- A deficiency of protein causes slowed protein synthesis and breakdown of body tissues.
- In children, protein deficiency slows growth and impairs brain, kidney, immunity, and other functions.
- In older people, too little dietary protein weakens the bones.

Is It Possible to Consume Too Much Protein?

Overconsumption of protein-rich foods offers no benefits and may pose a health risk for people with compromised kidney function. The DRI committee set the high end of the Acceptable Macronutrient Distribution Range (AMDR) for protein intake at 35 percent of total calories.

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How Much Protein Do People Take In? Most people suspect that Americans eat far too much protein. In fact, the average protein intake for U.S. men stands at 15.5 percent of total calories, with women consuming slightly less at about 15 percent of calories.[10] These amounts stay remarkably stable across populations and are well within the AMDR of between 10 and 35 percent of calories. Stated another way, the AMDR range for protein intake in a 2,000-calorie diet is 50 to 175 grams; the average U.S. daily intake of protein amounts to about 80 grams.

Weight-Loss Dieting Some popular weight-loss diet advice suggests 65 percent or more of calories from protein as a way to lose weight. True, meeting protein needs during weight loss is critical for preserving the body's working lean tissues, such as liver and muscles. Also, protein foods may help control the appetite, and may cost a little extra energy for their metabolism.[11] However, as Chapter 9 explains, it is controlling calorie intake, not changing the proportions of energy nutrients in the diet, that brings about long-term weight loss.

Protein Sources in Heart Disease and Cancer Protein itself does not contribute to heart disease or cancer, but some of its food sources may do so. Selecting too many animal-derived protein-rich foods each day, such as fatty **red meats**, **processed meats**, and fat-containing milk products, adds a burden of saturated fat to the diet and crowds out fruits, vegetables, legumes, nuts, and whole grains. People who habitually take in a great deal of animal protein, and particularly processed meats such as lunchmeats and hot dogs, have greater risks of heart disease, certain cancers, and other chronic diseases than those who take in less.[12] The Controversy section explores how substituting plant protein for at least some of the animal protein in the diet may improve risk factors for chronic diseases and mortality.

Kidney Disease Animals fed experimentally on high-protein diets often develop enlarged kidneys or livers. In human beings, a high-protein diet increases the kidneys' workload, but research is insufficient to say whether this alone can damage healthy kidneys or cause kidney disease. In people with kidney stones or other kidney diseases, a high-protein diet may speed the kidneys' decline. For people with established kidney problems, a somewhat lower protein intake often improves the symptoms of their disease. The challenge then becomes to provide enough protein to support the body's health, but not more than the damaged kidneys can handle. Choosing plant-based protein sources rather than animal sources may also help delay kidney decline.[13]

Key Points

- On average, people in the United States consume protein amounts well within the AMDR recommendation.
- Individual protein-rich foods vary in their effects on heart health.
- Too much protein can be problematic for people with damaged kidneys.

red meats flesh foods that appear red when raw due to the iron-containing compounds in muscle; meat from cattle, pigs, sheep, goats, deer, and other large animals. Also defined in Chapter 5.

processed meats a general term for meat products preserved by smoking, curing, salting, or adding chemical preservatives—for example, ham, bacon, jerky, hot dogs (including chicken and turkey), luncheon meats, salami and other sausages, SPAM, and Vienna sausages.

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Is a Gluten-Free Diet Best for Health?

Gluten, a protein that forms in grain foods, is best known for providing a pleasing stretchy texture to yeast breads. It also provides bulk and texture to many other foods made from wheat, triticale, barley, rye, and related grains.

Celiac Disease In people with **celiac disease**, gluten triggers an abnormal immune response that inflames the small intestine and erodes the intestinal villi, severely limiting nutrient absorption. The result is a lifelong battle against extreme weight loss accompanied by deficiencies of vitamins, minerals, essential fatty acids, and, in fact, all nutrients. Symptoms often include chronic diarrhea or constipation, vomiting, bloating, and pain, or a long list of disparate symptoms that may delay an accurate diagnosis: anemia, fatigue, aches and pains, bone loss, depression, anxiety, infertility, mouth sores, or an itchy, blistering skin rash.

A blood test revealing high concentrations of certain antibodies can indicate celiac disease or the similar problem of gluten allergy. To heal their intestines, people with these conditions must eliminate all gluten-containing foods from their diets and then continue avoiding them for the rest of their lives. This is easier said than done because gluten can hide in foods that contain wheat-based additives, such as modified food starch and preservatives. Even corn and rice, naturally gluten-free foods, can be contaminated with gluten if they are milled in machines that also process wheat. The U.S. Food and Drug Administration (FDA) requires food labels to clearly identify ingredients containing wheat and related grains; foods labeled "gluten-free" are held to strict standards.*

Non-Celiac Gluten Sensitivity Physicians increasingly report a group of symptoms called **non-celiac gluten sensitivity** (NCGS). Patients suffer from digestive symptoms resembling those of celiac disease or a gluten allergy, but test negative for these conditions. Some people with NCGS find relief when they eat a gluten-free diet, although the reasons why are not clear.[14]

More on food allergies in **Chapters 13 and 14.**

Gluten-Free Hype Recently, popular media have blamed gluten for causing headaches, insomnia, obesity, and even cancer and Alzheimer's disease, but no evidence supports these accusations. Gluten-free diets have no special power to spur weight loss either, despite noisy claims made by diet sellers. In fact, the opposite is often true: many gluten-sensitive people become overweight when they begin eating more food on a gluten-free diet that relieves their symptoms. Manufactured gluten-free foods are often higher in fats, added sugars, and calories than their regular counterparts, making overconsumption of calories likely (see Figure 6–20).

Most people with celiac disease are never diagnosed, and without treatment, they continue to suffer needlessly. Ironically, most people following a gluten-free diet may not have celiac disease, NCGS, or gluten allergy. They eat expensive, high-calorie, processed specialty foods and unnecessarily omit nutritious whole grains because they believe the false claims of faddists.

Figure 6–20
Gluten-Free Foods

A gluten-free diet can bring relief to people with celiac disease, but a diet high in sugary ultra-processed foods like these does not support good health.

iStock.com/Jamesbenet

Key Points

- Most U.S. protein intakes fall within the DRI range of 10 to 35 percent of calories.
- No Tolerable Upper Intake Level exists for protein, but health risks may accompany the overconsumption of protein-rich foods.
- Gluten-free diets often relieve symptoms of celiac disease, non-celiac gluten sensitivity, or gluten allergy, but no evidence supports claims that they cure other ills.

gluten (GLOO-ten) a type of protein in certain grain foods that triggers a damaging immune response in the small intestine of a person with celiac disease.

celiac (SEE-lee-ack) **disease** a disorder characterized by an abnormal immune response, nutrient malabsorption, weight loss, and intestinal inflammation on exposure to the dietary protein gluten; also called *gluten-sensitive enteropathy* or *celiac sprue*.

non-celiac gluten sensitivity a poorly defined collection of digestive symptoms that improves with elimination of gluten from the diet.

* A food labeled "gluten-free" may not contain gluten-containing grains or ingredients derived from them (unless processed to remove gluten).

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Food Feature Getting Enough but Not Too Much Protein

LO 6.6 Identify the benefits and drawbacks of protein-rich foods in the diet.

Most foods contribute at least some protein to the diet. The most nutrient-dense selections among them are generally best for nutrition.

Protein-Rich Foods

Foods in the Protein Foods group (meat, poultry, fish, dry peas and beans, eggs, and nuts) and in the Dairy Products group (milk, yogurt, and cheese) contribute an abundance of high-quality protein. Two others, the Vegetables group and the Grains group, contribute smaller amounts of protein, but they can add up to significant quantities. What about the Fruit group? Don't rely on fruit for protein; most fruits contain only small amounts. Figure 6–21 (p. 204) demonstrates that a wide variety of foods contribute protein to the diet. Animal proteins, such as beef, chicken, and eggs are top sources in the U.S. diet.

Protein is critical in nutrition, but too many protein-rich foods can displace other important foods from the diet. Foods richest in protein carry with them a characteristic array of vitamins and minerals, including vitamin B_{12} and iron, but they lack others—vitamin C and folate, for example. In addition, many protein-rich foods such as meat are high in calories, and to overconsume them is to invite obesity.

Because American consumption of protein is ample, you can plan meatless or reduced-meat meals with pleasure. Meats are not always the best, or even the most desirable, sources of protein in a balanced, nutritious diet. Of the many interesting, protein-rich meat equivalents available, one has already been mentioned: the legumes.

The Nature of Legumes

The protein of many legumes, and soybeans in particular, is of a quality almost comparable to that of meat, an unusual trait in a fiber-rich vegetable. Legumes are also excellent sources of many B vitamins, iron, and other minerals, making them exceptionally nutritious foods. On average, a cup of cooked legumes contains about 30 percent of the Daily Values for both protein and iron. Like meats, though, legumes do not offer every nutrient, and they do not make a complete meal by themselves. They contain no vitamin A, vitamin C, or vitamin B_{12}, and their balance of amino acids can be much improved by using grains or other vegetables along with them.

Soybeans are versatile legumes, and many nutritious products are made from them. Heavy use of soy products in place of meat, however, inhibits iron absorption. The effect can be alleviated by using small amounts of meat and/or foods rich in vitamin C in the same meal with soy products.

Vegetarians and others sometimes use convenience foods made from **textured vegetable protein** (soy or other plant-based protein) formulated to look and taste like hamburgers or breakfast sausages. The Controversy section describes these and other plant-based protein sources. The nutrients of soybeans are also available as bean curd, or **tofu**, a staple used in many Asian dishes. Thanks to the use of calcium salts when some tofu is made, it can be high in calcium. Check the Nutrition Facts panel on the label.

Food Label Trickery

Protein has become a marketing buzzword, and everything from cereal to supplements now sports the word *protein* on the label. Certain "protein" candy bars, cereals, and beverages would be more accurately labeled "sugar." For example, a serving of one "protein" beverage with 15 grams (60 calories) of protein also delivers 120 calories of added sugars. In contrast, plain, nonfat Greek yogurt (6-ounce container, see Figure 6–22, p. 205) provides 17 grams of protein but with just 100 calories and no added sugar. Moral: ignore trendy labels and banners, and turn to the Nutrition Facts panel for the real story about protein, sugars, and calories in foods.

Conclusion

The Food Features presented so far show that the recommendations for the three energy-yielding nutrients occur in balance with each other. The diets of most people, however, supply too little fiber, too much fat, too many calories, and abundant protein. To bring their diets into line with recommendations, then, requires changing the bulk of intake from calorie-rich fried foods, fatty meats, and sweet treats to lower-calorie complex carbohydrates and fiber-rich choices, such as whole grains, legumes, and vegetables. With these changes, protein totals remain adequate, while other constituents automatically fall into place in a healthier diet.

textured vegetable protein processed soybean or other plant-based protein used in products formulated to look and taste like meat, fish, or poultry.

tofu (TOE-foo) a curd made from soybeans that is rich in protein, often enriched with calcium, and variable in fat content; used in many Asian and vegetarian dishes in place of meat. Also defined in Controversy 2.

(continued)

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Figure 6–21

Finding the Protein in Foods[a]

Fruits

Food		Protein g	%DV[b]
Avocado	1/2 c	2	4
Cantaloupe	1/2 c	1	2
Orange sections	1/2 c	1	2
Strawberries	1/2 c	1	2

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Vegetables

Food		Protein g	%DV[b]
Corn	1/2 c	3	6
Broccoli	1/2 c	2	4
Collard greens	1/2 c	2	4
Sweet potato	1/2 c	2	4
Baked potato	1/2 c	1	2
Bean sprouts	1/2 c	1	2
Winter squash	1/2 c	1	2

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Grains

Food		Protein g	%DV[b]
Pancakes	2 sm	6	12
Bagel	1/2	4	8
Brown rice	1/2 c	3	6
Whole-grain bread	1 sl	3	6
Noodles, pasta	1/2 c	3	6
Oatmeal	1/2 c	3	6
Barley	1/2 c	2	4
Cereal flakes	1 oz	2	4

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Protein Foods

Food		Protein g	%DV[b]
Roast beef	2 oz	19	33
Turkey leg	2 oz	16	32
Chicken breast	2 oz	15	30
Pork meat	2 oz	15	30
Tuna	2 oz	14	28
Lentils, beans, peas	1/2 c	9	18
Peanut butter	2 tbs	8	16
Almonds	1/4 c	8	16
Hot dog	1 reg	7	14
Lunchmeat	2 oz	6	12
Egg	1 lg	6	12
Cashew nuts	1/4 c	5	10

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Dairy Products

Food		Protein g	%DV[b]
Cheese, processed	2 oz	13	26
Milk, yogurt	1 c	10	20
Pudding	1 c	5	10

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Oils, Fats, and Added Sugars

Not a significant source

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[a]*All foods are prepared and ready to eat.*
[b]*The Daily Value (DV) for protein is 50 g, based on an energy intake of 2,000 cal/day.*

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Figure 6–22

Three Protein Sources Compared

Plain, nonfat Greek yogurt provides more protein with fewer calories and less sodium and sugar than most products that shout "protein" on the label.

Nonfat Greek Yogurt		Commercial Protein Shake		Protein Bar	
Nutrition Facts		**Nutrition Facts**		**Nutrition Facts**	
1 serving per container		1 serving per container		1 serving per container	
Serving size	**6 ounces (168g)**	**Serving size**	**10 oz**	**Serving size**	**1 bar (55g)**
Amount per serving		**Amount per serving**		**Amount per serving**	
Calories	**100**	**Calories**	**190**	**Calories**	**230**
	% Daily Value*		**% Daily Value***		**% Daily Value***
Total Fat 1g	**1%**	**Total Fat** 5g	**7%**	**Total Fat** 9g	**12%**
Saturated Fat 0g	**0%**	Saturated Fat 2g	**10%**	Saturated Fat 3g	**15%**
Trans Fat 0g		Trans Fat 0g		Trans Fat 0g	
Cholesterol 9mg	**3%**	**Cholesterol** >5mg	**0%**	**Cholesterol** 3mg	**1%**
Sodium 61mg	**3%**	**Sodium** 240mg	**10%**	**Sodium** 119mg	**5%**
Total Carbohydrate 6g	**2%**	**Total Carbohydrate** 30g	**11%**	**Total Carbohydrate** 30g	**11%**
Dietary Fiber 0g	**0%**	Dietary Fiber 4g	**14%**	Dietary Fiber 3g	**11%**
Total Sugars 6g		Total Sugars 30g		Total Sugars 16g	
Includes 0g Added Sugars	**0%**	Includes 16g Added Sugars	**32%**	Includes 12g Added Sugars	**24%**
Protein 17g	**34%**	**Protein** 15g	**30%**	**Protein** 10g	**20%**

What did you decide?

- Why does your body need **protein**?
- How does heating an **egg** change it from a liquid to a solid?
- Do protein or amino acid **supplements** bulk up muscles?
- Will your diet lack protein if you don't eat **meat**?

Self Check

1. (LO 6.1) The basic building blocks for protein are _____.
   a. glucose units
   b. amino acids
   c. side chains
   d. saturated bonds

2. (LO 6.1) The roles of protein in the body include all but _____.
   a. blood clot formation
   b. tissue repair
   c. gas exchange
   d. immunity

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3. (LO 6.1) Amino acids are linked together to form a protein strand by _____.
   a. peptide bonds
   b. essential amino acid bonds
   c. side chain attraction
   d. super glue
4. (LO 6.1) Some segments of a protein strand coil, are somewhat like a metal spring, because
   a. amino acids at different places along the strand are chemically attracted to each other.
   b. the protein strand has been denatured by acid.
   c. the protein strand is missing one or more essential amino acids.
   d. a coil structure allows access by enzymes for digestion.
5. (LO 6.2) Protein digestion begins in the _____.
   a. mouth
   b. stomach
   c. small intestine
   d. large intestine
6. (LO 6.2) In the intestine, amino acids of the same general type compete for the same absorption sites, so a large dose of any one amino acid can limit absorption of another.
   T F
7. (LO 6.3) Under certain circumstances, amino acids can be converted to glucose and so serve the energy needs of the brain.
   T F
8. (LO 6.3) To prevent wasting of dietary protein, which of the following conditions must be met?
   a. Dietary protein must not exceed the body's need in quantity.
   b. Dietary protein must supply all essential amino acids in the proper amounts.
   c. The diet must supply enough carbohydrate and calories.
   d. All of the above.
9. (LO 6.4) For healthy adults, the DRI for protein has been set at
   a. 0.8 grams per kilogram of body weight.
   b. 2.2 pounds per kilogram of body weight.
   c. 12 to 15 percent of total calories.
   d. 100 grams per day.
10. (LO 6.4) An example of a person in positive nitrogen balance is a pregnant woman.
    T F
11. (LO 6.4) Partially completed proteins are not held for completion at a later time when the diet may improve.
    T F
12. (LO 6.4) Which of the following pairs of foods offers complementary protein?
    a. pot roast and chicken
    b. pot roast and carrots
    c. rice and French fries
    d. peanut butter on whole-wheat bread
13. (LO 6.5) Insufficient dietary protein can have severe consequences, but excess dietary protein cannot cause harm.
    T F
14. (LO 6.5) Insufficient dietary protein can cause
    a. slowed protein synthesis.
    b. hepatitis.
    c. accelerated growth in children.
    d. all of the above.
15. (LO 6.5) A diagnostic criterion for celiac disease is
    a. high levels of blood antibodies.
    b. high levels of blood gluten.
    c. weight gain.
    d. none of the above.
16. (LO 6.6) Two tablespoons of peanut butter offer about the same amount of protein as a hot dog.
    T F
17. (LO 6.6) Legumes are a particularly nutritious choice among protein-rich foods because they also provide
    a. vitamin C and vitamin E.
    b. fiber.
    c. B vitamins, iron, and other minerals.
    d. b and c.
18. (LO 6.7) Blood LDL values of people eating typical, meat-rich diets are generally higher than LDL values of those eating plant-based diets.
    T F
19. (LO 6.7) A vegetarian diet planner must make an effort to obtain adequate _____.
    a. carbohydrate
    b. vitamin C
    c. vitamin B_{12}
    d. vitamin E
20. (LO 6.7) Fried banana or vegetable snack chips make a healthy everyday snack choice.
    T F

Answers to these Self Check questions are in Appendix G.

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Controversy 6 Are Plant-Based or Meat-Based Diets Better for Health?

LO 6.7 Compare the advantages and disadvantages of a plant-based diet, a vegetarian diet, and a meat eater's diet.

Americans are buying more plant-based foods than ever before. Once relegated to specialty "health food" shops, plant-based foods now line mainstream grocery shelves and freezer cases, and plant-based burgers have become popular fast-food fare. Good reasons lie behind this increased demand. In affluent countries, where heart disease and cancer claim many lives, people who eat a well-planned **plant-based diet** often have lower rates of many chronic diseases, and a lower risk of dying from all causes, than people whose diets center on meat.[1]* Should everyone consider using a **vegetarian** dietary pattern, then? What, exactly, is a plant-based diet? Is it enough to simply omit or limit meat, or is more demanded of diet planners? What positive contributions do animal products make to the diet? This Controversy looks at these issues and ends with some practical advice for vegetarians and other planners of plant-based diets.

Often, people choosing a vegetarian diet do so to improve their health, but there are other reasons why people might choose it, such as religious adherence, or concerns about the environment or the humane treatment of animals.[2] Vegetarians are categorized not by motivation, however, but by the foods they choose to eat. (Table C6–1 defines relevant terms.)

Distinctions among plant-based diets are useful academically, but they do not represent uncrossable lines. Some people use meat or broth as a condiment or seasoning for vegetable or grain dishes. Some people eat meat only once or twice a week and use plant protein foods the rest of the time, a choice that supports good health.[3] Others eat mostly milk products and eggs for protein but will eat fish, too, and so forth. To force people into the categories of "vegetarians" and "meat eaters" leaves out all those in-between eating styles (aptly named **flexitarian**) that have much to recommend them.

*Reference notes are in Appendix F.

Table C6–1

Terms Used to Describe Plant-Based Diets

Some of the following terms are in common usage, but others are useful only to researchers.

- **flexitarian** a predominantly plant-based diet, with occasional inclusions of meat, poultry, or fish. Also called *partial vegetarian* or *semi-vegetarian*.
- **fruitarian** a diet of only raw or dried fruit, seeds, and nuts.
- **lacto-ovo vegetarian** a diet that includes dairy products, eggs, vegetables, grains, legumes, fruit, and nuts; excludes flesh and seafood.
- **lacto-vegetarian** a diet that includes dairy products, vegetables, grains, legumes, fruit, and nuts; excludes flesh, seafood, and eggs (*lacto* means "milk").
- **ovo-vegetarian** a diet that includes eggs, vegetables, grains, legumes, fruit, and nuts, and excludes flesh, seafood, and milk products (ovo means "egg").
- **plant-based diet** an eating style consisting largely of healthful vegetables, grains, legumes, fruit, and nuts; it may or may not include limited amounts of animal products, such as meats, fish, poultry, and dairy.
- **vegan** a diet that includes only food from plant sources: vegetables, grains, legumes, fruit, seeds, and nuts. Also called *strict vegetarian*.
- **vegetarian** any of several dietary patterns that include plant-based foods and eliminate some or all animal-derived foods.

Positive Health Aspects of Vegetarian Diets

Today, nutrition authorities state with confidence that a well-chosen vegetarian diet can meet nutrient needs while supporting health superbly.[4] Although much evidence supports this choice, such evidence is not easily obtained. It would be easy if vegetarians differed from others only in the absence of meat, but they often have *increased* intakes of fruit, legumes, nuts, seeds, whole grains, and vegetables as well. Such

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Can a dietary pattern without animal products supply the needed nutrients?

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dietary patterns are rich contributors of carbohydrates, fiber, vitamins, minerals, and phytochemicals that also correlate with low disease risks. Finally, many vegetarians live healthy lifestyles: they avoid tobacco, use alcohol in moderation, if at all, and are more physically active than other adults. When researchers take such lifestyle variables into account, the data still reveal that vegetarian and other plant-based dietary patterns favor disease resistance.[5]

Defense against Obesity

Among both men and women and across many ethnic groups, people eating plant-based diets more often maintain a healthier body weight than people eating meat-centered diets.[6] With less obesity, chronic inflammation, a contributing factor associated with many disease states, is also diminished.[7]

Defense against Heart and Artery Disease

People who eat plant-based diets often have lower blood LDL cholesterol concentrations and die less often from heart disease than do others.[8] Harmful Inflammation that aggravates heart and artery disease is reduced in people eating vegetarian diets.[9] Heart disease and early mortality correlate significantly with diets high in processed meat and red meat.[10] In contrast, fish intake (but not fried fish) may improve heart health.

Fats in foods affect disease risks, as discussed in Chapter 5. When unsaturated fats from soybeans, seeds, avocados, nuts, olives, and vegetable oils replace the saturated fats of meats shortening, and other sources, risks of heart disease are reduced. If the diet also contains about five servings a day of fruits and vegetables, along with sufficient nuts and legumes, as most plant-based diets do, then LDL cholesterol typically falls, and heart benefits accumulate.[11] In contrast, even a plant-based diet that overemphasizes sugar-sweetened beverages, refined baked goods, French fries, and other treats is associated with an increased risk of heart disease.[12]

To answer the question of how much meat is too much, researchers examined recent high-quality evidence from more than 1.4 million people whose food consumption was tracked over 30 years. They found that an average daily intake of 50 grams (a bit less than 2 ounces) of beef, lamb, or pork (but not poultry) raises the risk of coronary heart disease by 9 percent.[13] The same amount of processed meat, raises the risk by 18 percent. Reducing intakes below these levels can therefore reasonably be expected to reduce heart disease risks.

Defense against High Blood Pressure

People who eat plant-based diets often have lower rates of hypertension than average. How, exactly, a plant-based diet lowers the blood pressure is not known. It could be the effect of consuming less sodium and more potassium from fruit, vegetables, whole grains, nuts, and legumes, or it might be effect of the phytochemicals or fibers in these foods, or their support of a healthy intestinal microbiome.[14] Other lifestyle factors such as not smoking, moderating alcohol intake, and being physically active all work together with diet to keep blood pressure normal.

Defense against Cancer

Questions about cancer and diet are not easily answered and cancer causation is complex, but a well-chosen plant-based diet may work to oppose some forms of cancer.[15] In particular, adequate intakes of whole grains, fruit, nonstarchy vegetables, and foods rich in dietary fibers seem important in this regard.[16] Conversely, greater intakes of alcohol and processed meats appear to increase cancer risks. Chapter 11 provides more details about diet and cancer.

Other Health Benefits

In addition to opposing obesity, heart disease, high blood pressure, and cancer, plant-based dietary patterns may help prevent cataracts, diabetes, diverticular disease, gallstones, and osteoporosis. However, these effects may arise more from what is included in a plant-based diet—abundant fruit, legumes, vegetables, milk products, and whole grains than from *exclusion* of meat and dairy foods. Table C6–2 (p. 210) spells out some arguments for and against eliminating meat from the diet.

Positive Health Aspects of the Meat Eater's Diet

With prudent choices, both meat eaters and lacto-ovo vegetarians can rely on their diets to support health during critical times of life. In contrast, vegan dietary patterns pose a challenge. Protein is critical for building new tissues during growth, for fighting illnesses, for building bone during youth, and for maintaining bone and muscle in old age. Vegans in particular may suffer bone fractures more often that others.[17] Protein from plant sources can meet most people's needs, but very young children and very elderly vegans with small appetites may not consume enough legumes, whole grains, and nuts to supply the protein they need.

Chapter 6 made clear that protein from meat, fish, milk, and eggs is the clear winner in tests of digestibility and availability to the body. Also, animal-derived foods provide abundant iron, zinc, vitamin D, calcium, and vitamin B_{12}, needed by everyone but particularly by pregnant women, infants, children, adolescents, and the elderly (details about these needs appear in later chapters). This is not to say that people need large amounts of meat to provide these nutrients. The USDA's Healthy U.S.-Style Dietary Pattern recommends less meat for a whole day than most people eat at one sitting (Figure C6-1, p. 211).

Iron and zinc are less readily absorbed from vegan sources, such as grains and legumes, than from meat, but iron and zinc from supplements or fortified foods can help prevent deficiencies. Vegans must also find and regularly consume alternate sources of vitamin D, calcium, vitamin B_{12}, and the omega-3 fatty acids EPA and DHA, often in the form of supplements.

Strategies for Plant-Based Meals

Reducing meat intake by as little as three meals per week may benefit health, and doing so may be easier than

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Table C6–2

Should Meat Be Eliminated from the Diet? Point, Counterpoint

Arguments can be made for and against eliminating meats on all points, save one: inhumane treatment of animals. Many people choose a vegetarian diet on this point alone.

Point: Yes, Eliminate Meat	Counterpoint: No, Do Not Eliminate Meat
1. *Reduced heart disease risk.* Vegetarians have reduced risks of developing heart disease and dying from heart disease.	**1.** *Reduced heart disease risk.* Not eliminating, but cutting down on meat may be a good choice. People who follow the Dietary Guidelines, and eat small portions of lean meat, fish, and poultry, have low rates of heart disease.
2. *Reduced cancer risks.* Vegetarians have reduced risks of certain cancers compared with people eating meat-centered diets.	**2.** *Reduced cancer risks.* Small daily intakes of meats, poultry, fish, and seafood are not associated with increased cancer risks, particularly when a diet follows the Dietary Guidelines for Americans.
3. *Reduced mortality risk.* Vegetarians have reduced risks of early death from all causes.	**3.** *Reduced mortality risk.* Ample fruit and vegetable intakes (as specified in USDA dietary patterns), regular physical activity, not smoking, and other healthy lifestyle choices reduce mortality risk without eliminating meat.
4. *Reduced obesity and diabetes risks.* Vegetarians are less likely to develop obesity or diabetes than people eating meat-centered diets.	**4.** *Reduced obesity and diabetes risks.* A calorie-controlled diet of whole foods reduces the likelihood of developing obesity or diabetes without eliminating meat.
5. *Normal blood pressure.* Vegetarians with well-chosen diets have reduced risks of hypertension.	**5.** *Normal blood pressure.* Normal blood pressure can be maintained with a healthy dietary pattern such as DASH (Chapter 8), which includes moderate amounts of meat.
6. *Ample nutrients.* Vegetarian diets reliably provide fiber, vitamin A, vitamin C, vitamin K, folate, and magnesium in abundance. Protein is generally sufficient.	**6.** *Ample nutrients.* Diets with meats, fish, poultry, eggs, and milk products reliably provide protein, EPA and DHA (fish), vitamin B_{12}, vitamin D (milk fortification), calcium, iron, and zinc.
7. *Honored traditions.* Vegetarianism is often part of religious, family, and cultural traditions.	**7.** *Honored traditions.* Hunting and fishing are often family and cultural traditions. Holidays often center on meat-containing meals, such as turkey at Thanksgiving.
8. *Ecological sustainability.* Nutrient-dense vegetarian diets require less land, water, fuel, and other resources to produce than diets high in meats, cheeses, and highly processed foods. They also generate less pollution.	**8.** *Ecological sustainability.* Three dietary patterns—Healthy U.S.-style, Healthy Mediterranean-style, and Healthy Vegetarian—are named by the Dietary Guidelines committee as having less environmental impact than the current U.S. diet.[a] The first two contain significant amounts of meat.
9. *Treatment of animals.* Vegetarian diets are obtained without cruelty to or death of animals.	**9.** *Treatment of Animals.* Cruelty-free animal products are becoming more available as consumer demand for them increases.

[a] *Dietary Guidelines 2015-2020; reaffirmed by the 2020 Dietary Guidelines Advisory Committee.*

Sources: N. D. Barnard and F. Leroy, Children and adults should avoid consuming animal products to reduce the risk for chronic disease: Debate Consensus, American Journal of Clinical Nutrition 11 (2020): pp. 937-940, doi: 10.1093/ajcn/nqaa237; N. D. Barnard and F. Leroy, Children and adults should avoid consuming animal products to reduce the risk for chronic disease: YES, American Journal of Clinical Nutrition 11 (2020): pp. 926-93, doi: 10.1093/ajcn/nqaa235; F. Leroy and N. D. Barnard, Children and adults should avoid consuming animal products to reduce the risk for chronic disease: NO, American Journal of Clinical Nutrition 11 (2020): pp. 931-936, doi: 10.1093/ajcn/nqaa236.

you think. These ideas can help ensure an adequate protein intake while cutting down on animal products. If plant-based foods are unfamiliar to you, try searching online for descriptions and recipes.

Emphasize Grains

Many grains provide substantial protein, but the addition of beans, nuts, egg, cheese, or dairy product improves their protein quality.[18] Amaranth and quinoa offer complete protein on their own. Here are some suggestions:

- Try amaranth, basmati brown rice, buckwheat, bulgar, quinoa, or teff flavored with tasty oils, toasted chopped nuts, grilled vegetables, sautéed mushrooms, or herbs and spices.
- High-protein pastas and cereals taste delicious and gain extra protein from added legumes.*
- Grains or pasta added to salads turn fresh greens, vegetables, dry fruit, nuts, and seeds into a main dish meal.

**The brand Barilla offers several varieties of high-protein pasta.*

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Figure C6–1

Perspective on a Meat Serving

This 5-ounce steak provides almost an entire day's meat intake in a 2,000-calorie Healthy U.S.-style Dietary Pattern (Appendix E).

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- Grain bowls, popular and convenient, can be made from leftover grains, legumes, and cooked vegetables topped with a flavorful sauce. Bonus: less food waste.
- Pizza with whole-grain crust, artichokes, olives, sundried tomatoes, hot pepper flakes, and a light sprinkling of cheese makes a satisfying meal.

Choose Legumes

People familiar with the fantastic variety of colors, flavors, and textures of beans choose them often. Ideas include:

- Add beans to salads, soups, and stews to bump up the protein and fiber contents and reduce the amount of meat.
- Use well-seasoned refried beans, whole beans, or crispy fried tofu or tempeh in place of meat for tacos, burritos, or taco salads.
- Black beans, black-eyed peas, cannellini beans, chickpeas, kidney beans, and refried beans (without lard) are popular choices, but butter beans, cranberry beans, edamame, lentils, pinto beans, and many others are worth a try.
- Beans in other forms include bean flour, bean paste, many varieties of tofu, and soy protein isolate, each with many uses.
- Try cultural dishes such as Cajun red beans and rice or Indian spiced lentils and naan bread.

Use Nuts and Seeds

Toasted and chopped or ground, protein-rich nuts or seeds add pleasing richness and texture to foods, from breads, salads, and cooked vegetables to ice cream sundaes. Puréed and seasoned, they become creamy dressings or sauces. Nut and seed butters are convenient shortcuts.

- Ground nuts and seeds, sautéed in olive oil, add protein and rich flavor to pasta sauce or chili.
- Tahini, nutritious Middle Eastern sesame seed "butter," adds delicious flavor to hummus and other spreads, tuna salad, and other foods.

Season Plant-Based Dishes with Meat and Cheese

If you use meat or cheese, choose strongly flavored ones and use less. Examples:

- Replace plain cubed chicken breast on a salad with half the amount of sautéed Italian turkey sausage.
- Replace chunks of ham with tiny slivers of prosciutto, salami, or pepperoni.
- Use strongly flavored cheeses, such as aged parmesan, feta, smoked gouda, or shredded sharp cheddar add flavor to roasted vegetables, grains, pastas, and salads. A little goes a long way.
- Make your favorite chili or pasta recipe with half the meat, or no meat. Add olive oil, mushrooms, ground nuts, or mashed beans to replace richness.
- For meaty flavor without meat, add concentrated broth to stews, soups, and vegetables that are ordinarily cooked with meat.

Trick the Tastebuds

Meats and cheeses add umami, a savory basic taste, to foods. Other ingredients do, too:

- Anchovies, fish sauce (often used in Asian cuisines), mushrooms, tomato paste, and edible seaweeds are notable umami sources.
- Tiny amounts of the additive enhancer MSG add umami flavor (Chapter 12 addresses MSG safety).
- Some of meat's pleasing sensations can be mimicked by other ingredients. For example, to create a sensation of ham or bacon, add:
  - olive oil to replace the oily sensation of meat fat.
  - smoke-flavored liquids or seasonings to hit smoky flavor notes of bacon or ham.
  - a pinch of ground cloves to mimic the spicy taste of ham glaze.
- For extra cheese flavor with less actual cheese in such dishes as macaroni and cheese or cheesy sauces or soups, add a few gratings of a flavorful aged cheese or a few drops of vinegar and a little salt to mimic the delicious tang and saltiness of cheese.

Try Meat Alternatives

Today's plant-based burgers, sausages, and other meat alternatives are made from peas, wheat, soy, or other high-protein ingredients, and are much improved from the tasteless soy powders and crumbles of long ago. Newer varieties, when served in a sauce or on a bun with condiments, can be difficult to distinguish from meat.

- Plant-based meat alternatives make convenient stand-ins for burgers, ground beef, meatballs, and sausages.

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Table C6–3

Vegetarian Sources of Key Nutrients

Nutrients	Grains	Vegetables	Fruit	Protein Foods	Dairy	Oils
Protein	Whole grains			Legumes, seeds, nuts, soy products (tempeh, tofu, veggie burgers) Eggs (for ovo-vegetarians)	Milk, cheese, yogurt (for lacto-vegetarians)	
Iron	Fortified cereals, enriched and whole grains	Dark green leafy vegetables (spinach, turnip greens)	Dried fruit (apricots, prunes, raisins)	Legumes (black-eyed peas, kidney beans, lentils)		
Zinc	Fortified cereals, whole grains			Legumes (garbanzo beans, kidney beans, navy beans), nuts, seeds (pumpkin seeds)	Milk, cheese, yogurt (for lacto-vegetarians)	
Calcium	Fortified cereals	Dark-green leafy vegetables (bok choy, broccoli, collard greens, kale, mustard greens, turnip greens, watercress)	Fortified juices, figs	Fortified soy products, nuts (almonds), seeds (sesame seeds)	Milk, cheese, yogurt (for lacto-vegetarians) Fortified soy or pea milk	
Vitamin B_{12}	Fortified cereals			Eggs (for ovo-vegetarians) Fortified soy products	Milk, cheese, yogurt (for lacto-vegetarians) Fortified soy or pea milk	
Vitamin D		High-vitamin D mushrooms (wild types grown in sunlight or commercial types treated with ultraviolet light; see Chapter 7 for details)			Milk, cheese, yogurt (for lacto-vegetarians) Fortified soy or pea milk	
Omega-3 fatty acids				Flaxseed, walnuts, soybeans		Flaxseed oil, walnut oil, soybean oil

- Plant-based chicken alternatives recently on the market equal the protein of chicken meat and can be used like chicken in tacos, patties, casseroles, barbeque sandwiches, and salads.

These and other suggestions can be tailored to individual tastes to create pleasing, nutritious plantbased meals. Soon, you'll start to develop your own list of favorites.

Planning a Vegan Diet

People wishing to eliminate animal products from the diet need to be aware of nutrient needs and sources of those nutrients, and then plan a diet that provides them every day. Grains, fruit, and vegetables are naturally abundant in a vegetarian diet and provide adequate amounts of the nutrients of plant foods: carbohydrate, fiber, thiamin, folate, and vitamins B_6, C, A, and E. Table C6–3 summarizes vegetarian sources for nutrients of concern.

Choosing within the Food Groups

When selecting from the Vegetables and Fruit groups, vegans should emphasize sources of calcium and iron, such as green leafy vegetables that provide both. Similarly, dried fruit deserve special notice in the Fruit group because they can deliver more iron than other fruit. The Protein Foods group emphasizes legumes, soy products, nuts, and seeds. The Dietary Guidelines encourage the use of vegetable oils, nuts, and seeds rich in unsaturated fats and omega-3 fatty acids. To ensure adequate intakes of vitamin B_{12}, vitamin D, calcium, iron, and zinc, vegans need to select fortified foods or use supplements daily.

Milk Products and Protein Foods

It takes some planning to ensure adequate intakes from a variety of vegetarian foods in the Dairy group and the Protein Foods group. Figure C6–2 highlights these food groups. Note that protein-rich soy and pea beverages are often fortified with vitamins and minerals to match many of the nutrients of milk products. Other "milk" beverages and yogurts, based on almonds, coconuts, hemp, oats, or rice, generally lack protein and other nutrients. Smart planners compare the nutrients of substitutes with those of milk and dairy foods before choosing.

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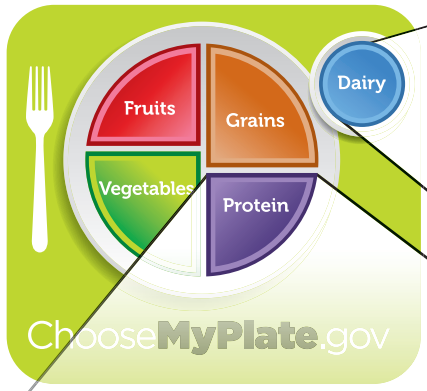
Figure C6–2

Filling the Vegetarian MyPlate

Each day, in a 2,000-calorie diet, both vegans and lacto-ovo vegetarians require 3 cups of Dairy Product equivalents and 5½ ounces of Protein Foods. (For details and for other calorie levels, see Appendix E.)



Dairy Products	1 c Equivalent Amounts
For vegans	
• Calcium and vitamin D fortified beverages (soy or pea milk, orange juice, and others)	1 c
• Tofu (processed with calcium)	½ c
• Calcium-fortified soy yogurt	1 c
Additional options for lacto-vegetarians	
• Low-fat milk	1 c
• Low-fat cheese	1½ oz
• Low-fat yogurt	1 c

Protein Foods	1 oz Equivalent Amounts
For vegans	
• Beans, peas, and lentils, cooked	¼ c
• Seeds, nuts	½ oz
• Nut butters	1 tbs
• Soy products (tofu, tempeh, textured vegetable protein)	¼ c
• Veggie burgers	1 burger
Additional option for ovo-vegetarians	
• Eggs	1 egg

Sample Menu (2,000 Calories)

Breakfast
Scrambled tofu burrito (1 serving scrambled tofu, 1 eight-inch flour tortilla, 1 oz grated low-fat cheese, ¼ c black beans, 2 tbs hot or mild salsa)
Beverage: coffee or tea

Lunch
Peanut butter and banana sandwich (2 slices whole-wheat bread, 2 tbs peanut butter, 1 med banana)
5 each celery and carrot sticks
Beverage: 1 c low-fat milk

Supper
Lentil stew (lentils, tomatoes, onions, carrots, garlic, and chili seasonings) 1 c brown rice, 2 tbs cilantro (garnish)
½ c broccoli with ½ tsp flavored oil
½ c juice-packed canned pears
Beverage: water

Anytime snack
Yogurt parfait (¾ c low-fat vanilla yogurt, ¼ c toasted oat cereal, 1 tbs chopped nuts, 1 tbs raisins)

As for protein, the USDA Healthy Vegetarian Dietary Pattern (Appendix E) specifies daily and weekly intakes at many calorie levels. For vegans, good protein sources include all legumes, seeds, nuts, and many products made from soy; other foods also make small protein contributions that increase the day's total.

A Food Label Example

Food labels can help vegans to meet their protein needs but understanding label data is important. Figure C6–3 (p. 213) displays the Nutrition Facts panels from three types of ready-to-eat cereal. A look at the protein content (g) of these labels reveals that their protein varies from 2 g to 14 g per serving. What is not shown on labels is that these cereals also vary widely in protein quality (Chapter 6 explained protein quality). The cereals highest in protein quality contain legume flours or extracts, often from soy, a rich source of amino acids that rivals the quality of animal protein for use by the body. In contrast, cereals made solely of grains, such as corn, oats, rice, or wheat, are lower in both protein quantity and quality. These cereals still contribute small amounts to the day's total protein intake, but they are not rich sources.

Now look at the %Daily Values for protein on these three labels. These numbers reflect behind-the-scenes calculations that take both protein quantity and quality into account, making them especially meaningful to the

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Figure C6–3

Protein in Cereals Compared

When comparing plant protein amounts listed on cereal boxes, check the %Daily Value column. The % Daily Value is adjusted for protein quality; the grams (g) per serving reflect total protein, regardless of quality.

Corn Flakes, Oat O's, or Puffs Cereal

Nutrition Facts	
Serving Size	**1.5 cup (54g)**
Amount Per Serving	
Calories	**200**
	% Daily Value
Total Fat 3g	**4%**
Saturated Fat 0g	**0%**
Trans Fat 0g	
Cholesterol 0mg	**0%**
Sodium 200mg	**8%**
Total Carbohydrate 46g	**16%**
Dietary Fiber 2g	**7%**
Sugars 9g	**18%**
Protein 2g	**3%**

Granola or Wheat Biscuits Cereals

Nutrition Facts	
Serving Size	**(61g)**
Amount Per Serving	
Calories	**200**
	% Daily Value
Total Fat 1g	**1%**
Saturated Fat 0g	**0%**
Trans Fat 0g	
Cholesterol 0mg	**0%**
Sodium 0–200mg	**0–8%**
Total Carbohydrate 48g	**17%**
Dietary Fiber 7g	**25%**
Sugars 9g	**18%**
Protein 7g	**5%**

Cereals with Added Plant Protein
(Kashi Protein Cereals, Special K Plus)

Nutrition Facts	
Serving Size	**1¼ cup (58g)**
Amount Per Serving	
Calories	**180**
	% Daily Value
Total Fat 2g	**3%**
Saturated Fat 0g	**0%**
Trans Fat 0g	
Cholesterol 0mg	**0%**
Sodium 115mg	**5%**
Total Carbohydrate 40g	**15%**
Dietary Fiber 1g	**4%**
Sugars 8g	**16%**
Protein 14g	**21%**

person seeking to meet their protein needs with plant-based foods. Any food contributing 10 percent or more of the Daily Value for protein is a good source; 20 percent or more is a rich source.

Convenience Foods

Prepared frozen or packaged vegetarian foods make food preparation quick and easy—just be sure to scrutinize each label's Nutrition Facts panel when choosing among them. Some products, such as patties made from legumes or other vegetables, offer a nutrition advantage, while ultra-processed vegetarian "sausages" and "burgers," are packed with saturated tropical oils, salt, and flavoring agents.[19] The latest burgers sold in fast-food restaurants and grocery stores are formulated with heme molecules, a component of hemoglobin that tricks the taste buds into sensing "meat."* The heme in the burgers is synthesized by genetically engineered yeast, not animals, making the burgers vegan-friendly, yet remarkably similar in taste to meat.

Soybeans and other legumes take many forms, such as plain tofu (bean curd), edamame (cooked green soybeans, pronounced *ed-eh-MAH-may*), high-protein pasta (made with pea or lentil flour), or soy flour, offer protein with fewer additives.

Among snack foods, vegetable chips, often sold as "healthy" foods, are anything but: they are highly processed and then fried at high temperatures in commercial fats, methods that destroy significant nutrients and add calories. Look for freeze-dried "chips"—the freeze-drying process creates a pleasing crunch while preserving most nutrients.

Conclusion

This comparison has shown that both a meat-eater's diet and a vegetarian's diet are best approached scientifically, and that it takes some critical thinking to discern fact from fiction. If you are just beginning to study nutrition, consider adopting the attitude that the choice to make is not whether to be a meat eater or a vegetarian but where along the spectrum to locate yourself. Your preferences should be honored with these caveats: that you plan your own diet and the diets of those in your care to be adequate, balanced, controlled in calories, and varied and that you limit intakes of sodium, saturated fats, refined grains, and added sugars. Whatever your eating style or reasons for choosing it, choose carefully: the foods that you eat regularly will exert major impacts on your health throughout your life.

Critical Thinking

1. Becoming a vegan takes commitment and significant education to know how to combine foods and in what quantities to meet nutrient requirements. Most of us will not choose to become vegetarians, but many of us would benefit from a diet of less meat. Identify ways you could alter your diet so that you eat less meat.
2. Identify two stages of life that demand high nutrient intakes, and defend the use of a vegetarian diet during those times. Discuss specific nutrient challenges and solutions for both of these life stages.

** Heme, the iron-containing portion of the blood protein hemoglobin, is depicted in Figure 6-4, p. 182.*

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7 The Vitamins

Controversy 7 Vitamin Supplements: What Are the Benefits and Risks?

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 7.1 Compare fat-soluble vitamins with water-soluble vitamins.

LO 7.2 Summarize the characteristics and functions of fat-soluble vitamins.

LO 7.3 Describe the roles, food sources, and precursor of vitamin A, and the effects of vitamin A deficiency and toxicity.

LO 7.4 Describe the roles of vitamin D, its sources, and the consequences of its deficiency and toxicity.

LO 7.5 Describe the roles, food sources, and effects of deficiency and toxicity of vitamin E.

LO 7.6 Describe the roles of vitamin K, its food sources, and the effects of its deficiency and toxicity.

LO 7.7 Summarize the characteristics and functions of water-soluble vitamins.

LO 7.8 Identify the roles of vitamin C, effects of its deficiency and toxicity, and its food sources.

LO 7.9 Describe the collective roles of B vitamins in metabolism and the effects of their deficiencies.

LO 7.10 Describe the roles, the effects of deficiencies and toxicities, and food sources of each of the eight B vitamins.

LO 7.11 Describe how to choose foods to meet vitamin needs.

LO 7.12 Debate for and against taking vitamin supplements.

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What do you think?

- How do **vitamins** work in the body?
- Why is **sunshine** associated with good health?
- Can **vitamin C tablets** ward off a cold?
- Should you choose **vitamin-fortified foods** and take **supplements** for "insurance"?

At the beginning of the 20th century, the thrill of the discovery of the first **vitamins** captured the world's imagination as seemingly miraculous cures took place. In the usual scenario, a whole group of people was becoming unable to walk (or going blind or bleeding profusely) until an alert scientist stumbled onto the substance missing from their diets. The scientist confirmed the discovery by feeding vitamin-deficient chow to laboratory animals, which responded by becoming unable to walk (or going blind or bleeding profusely). When the missing ingredient was restored to their diets, they soon recovered. People, too, were quickly cured of such conditions when they received the vitamins they lacked.

In the decades that followed, advances in chemistry, biology, and genetics allowed scientists to isolate the vitamins, define their chemical structures, and reveal their functions in maintaining health and preventing deficiency diseases. Today, research hints that certain vitamins may influence the development of two major scourges of humankind: cardiovascular disease (CVD) and cancer. Many other conditions, from infections to cracked skin, bear relation to vitamin nutrition, details that unscrupulous sellers of vitamin supplements often use to market their wares (see the Controversy 7 section).

Can foods rich in vitamins protect us from life-threatening diseases? What about vitamin pills? For now, we can say this with certainty: the only disease a vitamin can *cure* is the one caused by a deficiency of that vitamin. As for chronic disease *prevention*, research is ongoing, but evidence so far supports the conclusion that vitamin-rich *foods* are protective. Vitamin supplements cannot make the same claim.

According to the Dietary Guidelines 2020–2025 committee, today's U.S. intakes of these vitamins too often fall below recommended intakes:

- Vitamin D
- Folic acid (pregnant women)

The DRI values for vitamins are listed on the inside back cover pages B and C of this text.

Definition and Classification of Vitamins

LO 7.1 Compare fat-soluble vitamins with water-soluble vitamins.

A child once defined a vitamin as "what, if you don't eat, you get sick." Although the wording left something to be desired, the definition was accurate. Less imaginatively, a vitamin is defined as an essential, noncaloric, organic nutrient needed in tiny amounts in the diet. The role of many vitamins is to help make possible the processes by which other nutrients are digested, absorbed, and metabolized or built into body structures. Although small in size and quantity, the vitamins accomplish mighty tasks.

vitamins organic compounds that are vital to life and indispensable to body functions but that are needed only in minute amounts; essential, noncaloric nutrients.

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Vitamins fall into two classes–fat-soluble and water-soluble.

As each vitamin was discovered, it was given a name, and some were given letters and numbers—vitamin A came before the B vitamins, then came vitamin C, and so forth. This led to the confusing variety of vitamin names that still exists today. This chapter uses the names in Table 7–1; alternative names are given in Tables 7–8 and 7–9 at the end of the chapter (pp. 250–254).

Vitamin Precursors

Some of the vitamins occur in foods in forms known as **precursors**. Once inside the body, these are transformed chemically to one or more active vitamin forms. Thus, to measure the amount of a vitamin found in food, we often must count the amount of the true vitamin *plus* the vitamin potentially available from its precursors.

Key Points

- Vitamins are essential, noncaloric nutrients that are needed in tiny amounts in the diet and are indispensable for normal cellular processes.
- Vitamin precursors in foods are transformed into active vitamins by the body.

Table 7–1
Vitamin Names[a]

Fat-Soluble Vitamins
Vitamin A
Vitamin D
Vitamin E
Vitamin K
Water-Soluble Vitamins
B vitamins
Thiamin (B_1)
Riboflavin (B_2)
Niacin (B_3)
Folate
Vitamin B_{12}
Vitamin B_6
Biotin
Pantothenic acid
Vitamin C

[a]*Vitamin names established by the International Union of Nutritional Sciences Committee on Nomenclature. Other names are listed in Tables 7–8 and 7–9 (pp. 250–254).*

Two Classes of Vitamins: Fat-Soluble and Water-Soluble

The vitamins fall naturally into two classes: fat-soluble and water-soluble (listed in Table 7–1). Solubility confers on vitamins many of their characteristics. It determines how the body absorbs, transports, stores, and excretes them.

Fat-soluble vitamins, like other lipids, are mostly absorbed into the lymph, and they travel in the blood and within the cells in association with protein carriers. Fat-soluble vitamins can be stored in the liver or with other lipids in fatty tissues, and some can build up to toxic concentrations. The water-soluble vitamins are absorbed directly into the bloodstream, where they travel freely. Most are not stored in tissues to any great extent; rather, excesses are excreted in the urine. Thus, the risks of toxicities are not as great as for fat-soluble vitamins.

Table 7–2 outlines the general features of the fat-soluble and water-soluble vitamins. The chapter then goes on to provide important details first about the fat-soluble vitamins and then about the water-soluble ones. At the end of the chapter, two summary tables (Tables 7–8 and 7–9) provide the basic facts about all of them.

Key Points

- The fat-soluble vitamins are vitamins A, D, E, and K.
- The water-soluble vitamins are vitamin C and the B vitamins.

The Fat-Soluble Vitamins

LO 7.2 Summarize the characteristics and functions of fat-soluble vitamins.

Chapter 5 explains the body's handling of lipids.

The fat-soluble vitamins—A, D, E, and K—are found in the fats and oils of foods and require bile for absorption. Once absorbed, these vitamins are stored in the liver and fatty tissues until the body needs them.

Storage Because they are stored, you need not eat foods containing each fat-soluble vitamin every day. If a dietary pattern provides sufficient amounts of the fat-soluble vitamins on average over time, the body can survive for weeks at a time without consuming them.

Deficiencies Deficiencies of the fat-soluble vitamins occur when the diet is consistently low in them. They also occur in people who undergo intestinal surgery for obesity

precursors compounds that serve as starting material for other compounds. In nutrition, vitamin precursors are compounds that can be converted into active vitamins. Also called *provitamins*.

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Table 7–2

Characteristics of the Fat-Soluble and Water-Soluble Vitamins

Although each vitamin has unique functions and features, a few generalizations about the fat-soluble and water-soluble vitamins can aid understanding.

	Fat-Soluble Vitamins: Vitamins A, D, E, and K	Water-Soluble Vitamins: B Vitamins and Vitamin C
Absorption	Absorbed like fats, first into the lymph and then into the blood.	Absorbed directly into the blood.
Transport and Storage	Travel with protein carriers in watery body fluids; stored in the liver or fatty tissues.	Travel freely in watery fluids; most are not stored in the body.
Excretion	Not readily excreted; tend to build up in the tissues.	Readily excreted in the urine.
Toxicity	Toxicities are likely from supplements but occur rarely from food.	Toxicities are unlikely but possible with high doses from supplements.
Requirements	Needed in periodic doses (weekly or even monthly) depending on the extent of body stores.	Needed frequently (even daily) because the body does not store most of them to any extent.

treatment, which reduces energy nutrient absorption by design and vitamin absorption unintentionally. We also know that any disease that produces fat malabsorption (such as liver disease, which prevents bile production) can cause the loss of vitamins dissolved in undigested fat and so bring on deficiencies. In the same way, a person who uses mineral oil (which the body cannot absorb) as a laxative risks losing fat-soluble vitamins because they readily dissolve into the oil and are excreted with it. Deficiencies are also likely when people follow dietary patterns that are extraordinarily low in fat because a little fat is necessary for absorption of these vitamins.

Toxicities The capacity to be stored also sets the stage for toxic buildup in people who take in too much. Excess vitamin A from high-dose supplements and highly fortified foods is especially likely to reach toxic levels.

Roles Fat-soluble vitamins play diverse roles in the body. Vitamins A and D act somewhat like hormones, directing cells to convert one substance to another, to store this, or to release that. They also directly influence the genes, helping to regulate the production of enzymes and other proteins. Vitamin E protects tissues all over the body from destructive oxidative reactions. Vitamin K is necessary for blood to clot and for bone health. Each is worth a book in itself.

Vitamin A

LO 7.3 Describe the roles, food sources, and precursor of vitamin A, and the effects of vitamin A deficiency and toxicity.

Vitamin A has the distinction of being the first fat-soluble vitamin to be recognized. Today, after a century of scientific investigation, vitamin A and its plant-derived precursor, **beta-carotene**, are still very much a focus of research.

Three forms of vitamin A are active in the body. One of the active forms, **retinol**, is stored in specialized cells of the liver. The liver makes retinol available to the bloodstream and thereby to the body's cells. The cells convert retinol to its other two active forms, retinal and retinoic acid, as needed.

beta-carotene an orange pigment with antioxidant activity; a vitamin A precursor made by plants, present in many colorful fruits and vegetables, and stored in human fat tissue.

retinol one of the active forms of vitamin A made from beta-carotene in animal and human bodies; an antioxidant nutrient. Other active forms are *retinal* and *retinoic acid*.

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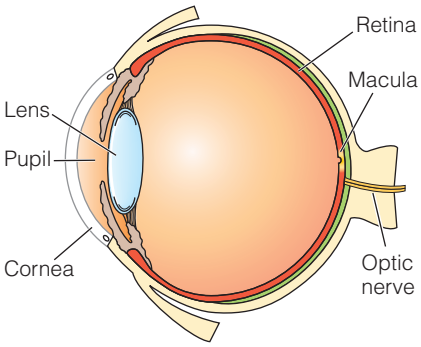
Figure 7–1
The Eye (Sectioned)

This eye is sectioned to reveal its inner structures.



Foods derived from animals provide forms of vitamin A that are readily absorbed and put to use by the body. Foods derived from plants provide beta-carotene, which must be converted to active vitamin A before it can be used.

What Are the Roles of Vitamin A?

Vitamin A is a versatile vitamin, with roles in gene expression, vision, maintenance of body linings and skin, immune defenses, growth of the body, and normal development of cells. It is of critical importance for both male and female reproductive functions and for normal development of an embryo and fetus. Vitamin A also helps maintain all **epithelial tissue**. The **cornea** of the eye, discussed below, is one such tissue; so are skin and the protective linings of the lungs, intestines, vagina, urinary tract, and bladder that serve as barriers to infection. In short, vitamin A is needed everywhere to support the body's health. The following sections provide some details.

Eyesight The most familiar function of vitamin A is to sustain normal eyesight. Vitamin A plays two indispensable roles: in the maintenance of a healthy, crystal-clear outer window, the cornea, and in the process of light perception at the **retina** (see Figure 7–1).

When light falls on the eye, it passes through the clear cornea and strikes the cells of the retina, bleaching many molecules of the pigment **rhodopsin** that lie within those cells. Vitamin A is a part of the rhodopsin molecule. When bleaching occurs, the vitamin is broken off, initiating the signal that conveys the sensation of sight to the optic center in the brain. The vitamin then reunites with the pigment, but a little vitamin A is destroyed each time this reaction takes place, and fresh vitamin A must replenish the supply.

Night Blindness If an eye's vitamin A supply begins to run low, a lag occurs before the eye can see again after a flash of bright light at night (see Figure 7–2). This lag in the recovery of night vision, termed **night blindness**, often indicates a vitamin A deficiency in the body.[1*] A bright flash of light can temporarily blind even normal, well-nourished eyes, but if you experience a long recovery period before vision returns, your health-care provider may want to check your vitamin A intake.

Xerophthalmia and Blindness A more profound deficiency of vitamin A is exhibited when the protein **keratin** accumulates and clouds the eye's outer vitamin A–dependent part, the cornea. The condition is known as **keratinization**, and if the deficiency of vitamin A is not corrected, it can worsen to **xerosis** (drying) and then progress to thickening and permanent blindness, **xerophthalmia**. Tragically, a half million of the world's vitamin A–deprived children become blind each year from this often-preventable condition, and about half die within a year after losing their sight. It may seem unlikely that severe vitamin A deficiency might occur in areas of food abundance, but in 2019, a medical report described it in a young teenager who was a "fussy eater."[2] His steady diet of French fries, potato puffs, white bread, processed ham, and sausage led to severe fatigue and failing vision. Sadly, diagnosis and treatment of his nutrient deficiencies came too late to save his eyesight.

Vitamin A supplements given early to children developing vitamin A deficiency can reverse its progression and save both eyesight and lives.[3] Better still, a child fed a variety of fruit and vegetables regularly is virtually assured protection.

Gene Regulation Hundreds of genes are regulated by the retinoic acid form of vitamin A. Genes direct the synthesis of proteins, including enzymes that perform the metabolic work of the tissues. Hence, through its influence on gene expression, vitamin A affects the metabolic activities of a vast array of tissues and, in turn, the health of the whole body.

Cell Differentiation An other example of vitamin A's health-supporting work is the process of **cell differentiation**, in which each type of cell develops to perform a

epithelial (ep-ith-THEE-lee-ull) **tissue** the layers of the body that serve as selective barriers to environmental factors. Examples are the cornea, the skin, the respiratory tract lining, and the lining of the digestive tract.

cornea (KOR-nee-uh) the transparent, hard outer covering of the front of the eye.

retina (RET-in-uh) the layer of light-sensitive nerve cells lining the back of the inside of the eye.

rhodopsin (roh-DOP-sin) the light-sensitive pigment of the cells in the retina; it contains vitamin A (*opsin* means "visual protein").

night blindness slow recovery of vision after exposure to flashes of bright light at night; an early symptom of vitamin A deficiency.

keratin (KERR-uh-tin) the normal protein of hair and nails.

keratinization accumulation of keratin in a tissue; a sign of vitamin A deficiency.

xerosis (zeer-OH-sis) drying of the cornea; a symptom of vitamin A deficiency.

xerophthalmia (ZEER-ahf-THALL-me-uh) progressive hardening of the cornea of the eye in advanced vitamin A deficiency that can lead to blindness (*xero* means "dry"; *ophthalm* means "eye").

cell differentiation (dih-fer-en-she-AY-shun) the process by which immature cells are stimulated to mature and gain the ability to perform functions characteristic of their cell type.

* Reference notes are in Appendix F.

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specific function. For example, when goblet cells (cells that populate the linings of internal organs) mature, they specialize in synthesizing and releasing mucus to protect delicate tissues from toxins or bacteria and other harmful elements.

If vitamin A is deficient, cell differentiation is impaired, and goblet cells fail to mature, fail to make protective mucus, and eventually die off. Goblet cells are then displaced by cells that secrete keratin, mentioned earlier with regard to the eye. Keratin is the same protein that provides toughness in hair and fingernails, but in the wrong place, such as skin and body linings, keratin makes the tissue surfaces dry, hard, and cracked. As dead cells accumulate on the surface, the tissue becomes vulnerable to infection (see Figure 7–3, p. 220). In the cornea, keratinization leads to xerophthalmia; in the lungs, the displacement of mucus-producing cells makes respiratory infections likely; in the urinary tract, the same process leads to urinary tract infections.

Immune Function Vitamin A has gained a reputation as an "anti-infective" vitamin because so many of the body's defenses against infection depend on an adequate supply.[4] Much research supports the need for vitamin A in the regulation of the genes involved in immunity. Without sufficient vitamin A, these genetic interactions produce an altered response to infection that weakens the body's defenses.

When defenses are weak, especially in vitamin A-deficient children, an illness such as measles can become severe. A downward spiral of malnutrition and infection sets in. The child's body must devote its scanty store of vitamin A to the immune system's fight against the measles virus, but this destroys the vitamin. As vitamin A dwindles further, the infection worsens. Measles takes the lives of more than 330 of the world's children *every day*.[5] Even if a child survives the infection, permanent blindness is likely to occur. The corneas, already damaged by the chronic vitamin A shortage, degenerate rapidly as their meager vitamin A supply is diverted to the immune system.

Reproduction and Growth Vitamin A is essential for normal reproductive processes. In men, vitamin A participates in sperm development, and in women, it supports normal fetal development during pregnancy.[6] In a developing embryo, vitamin A is crucial for the formation of the spinal cord, heart, and other organs.

Vitamin A is also indispensable for normal growth in children.[7] In well-fed children, bones grow longer and the children grow taller by remodeling each old bone into a new, bigger version. To do so, the body dismantles old bone structures and replaces them with new, larger bone parts. Growth cannot take place just by adding on to the original small bone; vitamin A must be present for critical bone dismantling steps.[8] Failure to grow is one of the first signs of poor vitamin A status in a child. Restoring vitamin A to such children is imperative, but correcting dietary deficiencies may be more effective than giving vitamin A supplements alone. Many other nutrients from nutritious foods are also needed for normal growth.

Key Points

- Three active forms of vitamin A and one precursor are important in nutrition.
- Vitamin A plays major roles in gene regulation, eyesight, reproduction, cell differentiation, immunity, and growth.

Vitamin A Deficiency Around the World

Vitamin A deficiency presents a vast problem worldwide, placing a heavy burden on society. An estimated 250,000 to 500,000 of the world's children suffer from obvious signs of vitamin A deficiency—not only night blindness but diarrhea, appetite loss, and reduced food intake that can rapidly worsen their condition. Half of those who lose their sight die in the following year.[9] In addition, a staggering 250 million children suffer from a milder deficiency that impairs immunity, leaving them open to infections.

In countries where such children receive vitamin A supplements, childhood rates of blindness, disease, and death have declined dramatically. Even in the United States, vitamin A supplements are recommended for children with measles to

Figure 7–2
Night Blindness

This is one of the earliest signs of vitamin A deficiency.

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In dim light, you can see what's ahead on the road.

iStock.com/ollo

A flash of bright light, such as headlights, momentarily blinds you as the pigment in the retina is bleached.

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Normally, you quickly recover and can see the details again in a few seconds.

iStock.com/ollo

With inadequate vitamin A, you do not recover but remain blind for many seconds or minutes. This is night blindness.

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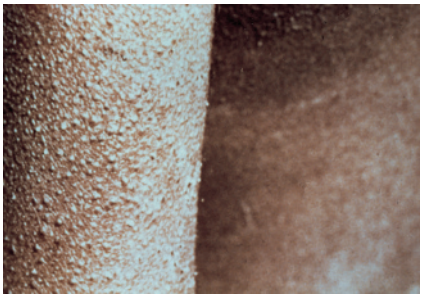
Figure 7–3

The Skin in Vitamin A Deficiency

The hard lumps on the skin of this person's arm reflect accumulations of keratin in the epithelial cells.



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ward off deficiency.[10] The World Health Organization (WHO) and United Nations International Children's Emergency Fund (UNICEF) are working to eliminate vitamin A deficiency around the world. Achieving this goal would greatly improve child survival.

Key Point

- Vitamin A deficiency causes blindness, sickness, and death, and is a major problem worldwide.

Can Vitamin A Cause Toxicity?

For people who take excess active vitamin A in supplements or fortified foods, toxicity is a possibility. Figure 7–4 shows that toxicity compromises the tissues just as deficiency does and is equally damaging. Symptoms of vitamin A toxicity are many, and they vary depending partly on whether a sudden overdose occurs or too much of the vitamin is taken over time. The figure lists the best-known toxicity symptoms of both kinds.

Pregnant women, especially, should be wary. Excessive vitamin A during pregnancy can injure the heart, spinal cord, and other tissues of a developing fetus, causing birth defects.[11] Even a single, massive vitamin A dose (100 times the need) can do so. Children, too, can be easily hurt by vitamin A excesses when they mistake chewable vitamin pills and vitamin-laced gum for treats.

Key Point

- Vitamin A overdose and toxicity cause many serious symptoms.

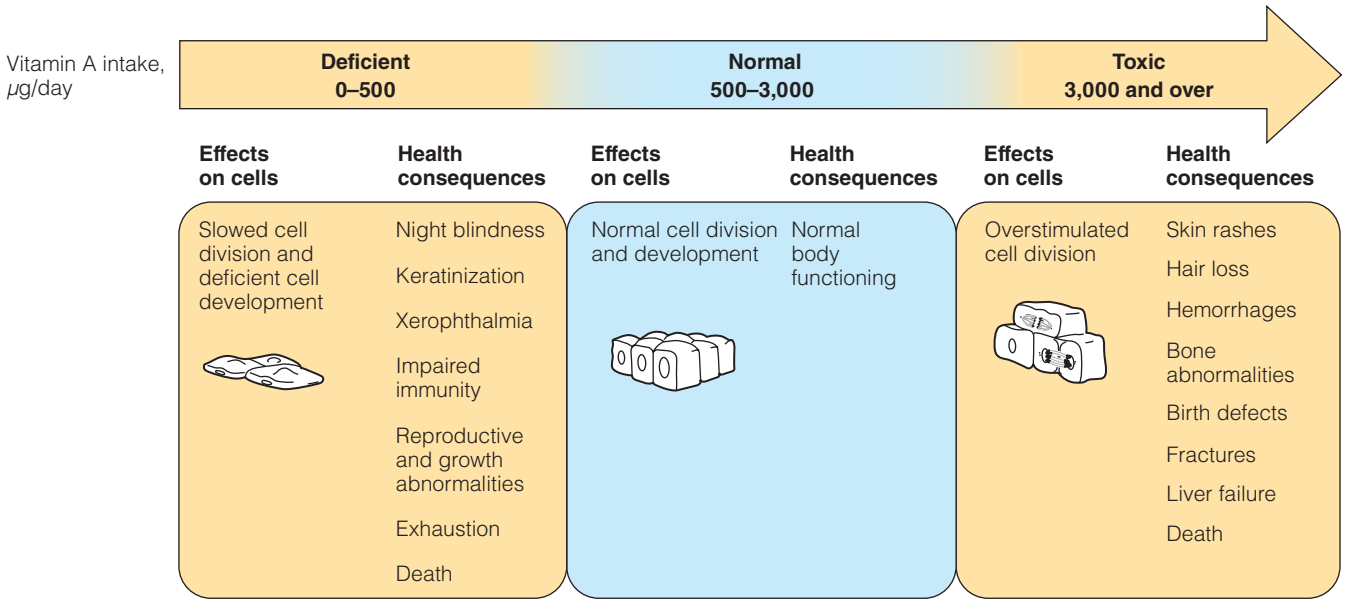
Vitamin A Recommendations and Sources

You can meet your need for vitamin A in two ways: by consuming the active form in animal food sources, or by consuming beta-carotene in plants. Overdoses of the active form are toxic, so avoiding too much is as important as getting enough. Beta-carotene

Figure 7–4

Vitamin A Deficiency and Toxicity

Danger lies both above and below a normal range of intake of vitamin A.



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consumed in fruit and vegetables is harmless. The DRI for vitamin A is based on body weight. A typical man needs a daily average of about 900 micrograms of active vitamin A; a typical woman needs about 700 micrograms. During lactation, her need is higher. Children need less.

The ability of vitamin A to be stored in the tissues means that, although the DRI is stated as a daily amount, you need not consume vitamin A every day. An intake that meets the daily need when averaged over several months is sufficient.

Food Sources of Vitamin A As mentioned, active vitamin A is present in foods of animal origin. The richest sources are liver and fish oil, but dairy products and other vitamin A–fortified foods such as enriched cereals can also be good sources. Even butter and eggs provide some vitamin A. Beta-carotene is naturally present in many vegetable and fruit varieties. In food processing, beta-carotene is prized as a natural source of yellow coloring, and a tiny amount may be added to cheeses to change their color from white to the familiar yellow of cheddar and American-style cheese. The stereotypical fast-food meal—a hamburger, fries, and cola—lacks vitamin A, but most fast-food places also offer fortified milk or salads with carrots that provide it.

kawephoto/Shutterstock.com

Colorful foods are often rich in beta-carotene and most dairy foods are enriched with vitamin A.

Liver: A Lesson in Moderation Foods naturally rich in vitamin A pose little risk of toxicity, with the possible exception of liver. When young laboratory pigs eat daily chow made from salmon parts, including the livers, their growth halts, and they fall ill from vitamin A toxicity. Inuit people and Arctic explorers know that polar bear livers are a dangerous food source because the bears eat whole fish (with the livers) and, in turn, concentrate large amounts of vitamin A in their own livers.

An *ounce* of ordinary beef or pork liver delivers three times the DRI for vitamin A intake, and a common portion is 4 to 6 ounces. An occasional serving of liver can provide abundant nutrients and boost nutrient status, but daily use may invite vitamin A toxicity, especially in young children and pregnant women who also routinely take supplements. Snapshot 7–1 shows a sampling of foods that provide more than 10 percent of the Daily Value for vitamin A in a standard-size portion and that therefore qualify as "good sources."

Table 7–3

Sources of Active Vitamin A

Vitamin A from highly fortified foods and other rich sources can add up. The UL for vitamin A is 3,000 micrograms (μg) per day.

High-potency vitamin pill	3,000 μg
Calf's liver, 1 oz cooked	2,300 μg
Regular multivitamin pill	1,500 μg
Vitamin gumball, 1	1,500 μg
Chicken liver, 1 oz cooked	1,400 μg
"Complete" liquid supplement drink, 1 serving	350–1,500 μg
Instant breakfast drink, 1 serving	600–700 μg
Cereal breakfast bar, 1	350–400 μg
"Energy" candy bar, 1	350 μg
Vitamin water, 20 oz bottle	190 μg
Milk, 1 c	150 μg
Vitamin-fortified cereal, 1 serving	150 μg
Margarine, 1 tsp	55 μg

Vitamin A Supplements Ordinary multivitamin pills taken in the context of today's highly fortified food supply can add to small daily excesses of vitamin A. Substantial amounts can be found in fortified cereals, "vitamin water" beverages, energy bars, and even chewing gum (see Table 7–3). As for vitamin A supplements, the DRI committee warns against exceeding the Tolerable Upper Intake Level (UL). The best way to ensure a safe intake of vitamin A is to minimize use supplements and foods that contain it and to rely on food sources instead.

Key Point

- Vitamin A's active forms are supplied by foods of animal origin.

Beta-Carotene

Beta-carotene is one of many **dietary antioxidants** present in foods. Others include vitamin E, vitamin C, the mineral selenium, and many phytochemicals (see Table 7–4, p. 222). Bright orange fruits and vegetables derive their color from beta-carotene and are so colorful that they decorate the plate. Carrots, sweet potatoes, pumpkins, mango, cantaloupe, and apricots are all rich sources of beta-carotene—and therefore contribute vitamin A to the eyes and to the rest of the body—so, as an old wisdom holds, eating carrots is good for the eyes. Another colorful group, *dark* green vegetables, such as spinach, other greens, and broccoli, owes its deep dark green color to the blending of orange beta-carotene with the green leaf pigment chlorophyll.

dietary antioxidants compounds typically found in plant foods that counteract the adverse effects of oxidation on living tissues. The major antioxidant vitamins are vitamin E, vitamin C, and beta-carotene. Many phytochemicals are also antioxidants.

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Snapshot 7–1 Vitamin A and Beta-Carotene

DRI

Men: 900 μg/day[a]
Women: 700 μg/day[a]

Tolerable Upper Intake Level

Adults: 3,000 μg vitamin A/day

Chief Functions

Vision; maintenance of cornea, epithelial cells, mucous membranes, skin; growth; regulation of gene expression; reproduction; immunity

Deficiency

Night blindness, corneal drying (xerosis), and blindness (xerophthalmia); impaired growth; keratin lumps on the skin; impaired immunity

Toxicity

Vitamin A:
Acute (single dose or short term): nausea, vomiting, headache, vertigo, blurred vision, uncoordinated muscles, increased pressure inside the skull, birth defects
Chronic: birth defects, liver abnormalities, bone abnormalities, brain and nerve disorders
Beta-carotene: Harmless yellowing of skin

**This is a sampling of foods that provide 10% or more of the vitamin A Daily Value in a serving. For a 2,000-cal diet, the DV is 900 μg/day.*
[a]Vitamin A recommendations are expressed in retinol activity equivalents (RAE).
[b]This food contains preformed vitamin A.
[c]This food contains the vitamin A precursor, beta-carotene.

Good Sources*

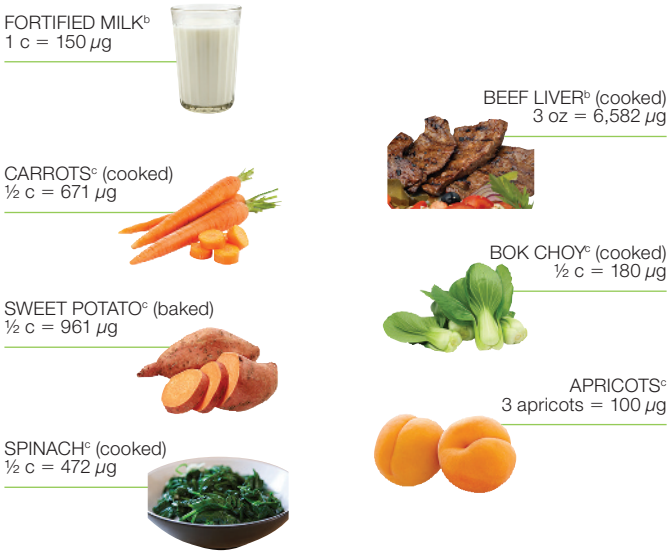

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Table 7–4
Functional Group of Antioxidants

Key antioxidant vitamins: ▪ Beta-carotene ▪ Vitamin E ▪ Vitamin C
A key antioxidant mineral: ▪ Selenium
Many antioxidant phytochemicals

carotenoids (CARE-oh-ten-oyds) members of a group of pigments in foods that range in color from light yellow to reddish orange and are chemical relatives of beta-carotene. Many have a degree of vitamin A activity in the body.

macular degeneration a common, progressive loss of function of the part of the retina that is most crucial to focused vision (the macula was shown in Figure 7–1). This degeneration often leads to blindness.

Chemical relatives of beta-carotene, **carotenoids**, often occur with beta-carotene in plant foods and may also play roles in health. For example, diets lacking in dark green, leafy vegetables and orange vegetables are associated with the most common form of age-related blindness, **macular degeneration**.*[12] The macula, a yellow spot of pigment at the focal center of the retina (identified in Figure 7–1, p. 218), loses integrity, impairing the most important field of vision, the central focus. Supplements of carotenoids show some promise against this type of blindness in research, but they may present an increased risk of cancer in some people.[13] Food sources are safe to consume, and they benefit health in other ways, too.

Measuring Beta-Carotene The conversion of beta-carotene to retinol in the body entails losses, so vitamin A activity for precursors is measured in **retinol activity equivalents (RAE)**. It takes about 12 micrograms of beta-carotene from food to supply the equivalent of 1 microgram of retinol to the body. Some food tables and supplement labels express beta-carotene and vitamin A contents using **IU (international units)**. When comparing vitamin A in foods or supplements, be careful to notice whether the units are micrograms or IU. To convert one to the other, use the factor provided in Appendix C.

Toxicity Beta-carotene from food is not converted to retinol efficiently enough to cause vitamin A toxicity. A steady diet of abundant pumpkin, carrots, or carrot juice, however, has been known to turn light-skinned people bright yellow because beta-carotene builds up in the fat just beneath the skin and imparts a harmless yellow cast

*The carotenoids associated with protection from macular degeneration are lutein (LOO-tee-in) and its close chemical relative zeaxanthin (zee-ZAN-thin).

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(see Figure 7–5). Likewise, red-colored carotenoids confer a rosy glow on those who consume the fruits and vegetables that contain them.[14] Food sources of the carotenoids are safe, but concentrated supplements may have adverse effects of their own.

Food Sources of Beta-Carotene Plants contain no active vitamin A, but many vegetables and fruits provide beta-carotene in abundance. Snapshot 7–1 shows good sources of beta-carotene. Other colorful vegetables, such as red beets, red cabbage, and yellow corn, can fool you into thinking they contain beta-carotene, but these foods derive their colors from other pigments and are poor sources of beta-carotene. As for "white" plant foods such as grains and potatoes, they have none. Some confusion exists concerning the term *yam*. A white-fleshed Mexican root vegetable called "yam" is devoid of beta-carotene, but the orange-fleshed sweet potato called "yam" in the United States is one of the richest beta-carotene sources known.

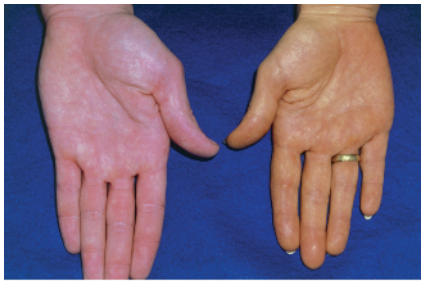
Key Points

- The vitamin A precursor in plants, beta-carotene, is an effective antioxidant in the body.
- Many brightly colored plant foods are rich in beta-carotene.

Figure 7–5

Excess Beta-Carotene Symptom: Discoloration of the Skin

The hand on the right shows skin discoloration from excess beta-carotene. Another person's normal hand (left) is shown for comparison.



James Stevenson/Science Source

Vitamin D

LO 7.4 Describe the roles of vitamin D, its sources, and the consequences of its deficiency and toxicity.

Vitamin D is unique among nutrients in that, with the help of sunlight, the body can synthesize all it needs. In this sense, vitamin D is not an *essential* nutrient—given sufficient sun each day, most people can make enough to meet their need from this source.

As simple as it sounds to obtain vitamin D, many people may border on insufficiency. By one measure, almost 60 million people (18 percent of the U.S. population) have low blood concentrations of vitamin D, and of those, more than 16 million have values low enough to make deficiency diseases likely to occur.[15]* The Dietary Guidelines report lists vitamin D among its nutrients of concern because most people's dietary intakes fall short of the DRI recommendation.

Table 7–5

Functional Group for Bone Health

Key vitamins:
- Vitamin D
- Vitamin K
- Vitamin C

Key minerals:
- Calcium
- Phosphorus
- Magnesium
- Fluoride

Key energy nutrient:
- Protein

What Are the Roles of Vitamin D?

Once in the body, whether made from sunlight or obtained from the diet, vitamin D must undergo a series of chemical transformations in the liver and kidneys to be activated. Once activated, vitamin D exerts profound effects on the tissues.

Calcium Regulation Vitamin D is the best-known member of a large cast of nutrients and hormones that interact to regulate blood calcium and phosphorus concentrations—and thereby maintain bone integrity. Table 7–5 lists nutrients, including vitamin D, that are important for bone health. Calcium is indispensable to the proper functioning of cells in all body tissues, including muscles, nerves, and glands, which draw calcium from the blood as they need it.

When the blood calcium concentration begins to fall, vitamin D acts on three body locations to raise it. Vitamin D:

- Stimulates the intestinal tract to increase absorption of calcium.[16]
- Acts on the skeleton, the body's vast warehouse of stored calcium, to release calcium into the blood.
- Triggers the kidneys to recycle calcium that would otherwise be lost in urine.

With these actions, normal blood calcium concentration is quickly restored.

retinol activity equivalents (RAE) a new measure of the vitamin A activity of beta-carotene and other vitamin A precursors that reflects the amount of retinol that the body will derive from a food containing vitamin A precursor compounds.

IU (international units) a measure of fat-soluble vitamin activity sometimes used in food composition tables and on supplement labels.

*Values of 30–49 nmol/L = inadequate and <30 = deficient; consensus about optimal thresholds for 25(OH)D is currently lacking.

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Vitamin D and calcium are inextricably linked in nutrition—no matter how much vitamin D you take in, it cannot make up for a chronic shortfall of calcium. The reverse is also true: excess calcium cannot take the place of sufficient vitamin D for bone health.

Other Vitamin D Roles Activated vitamin D functions as a hormone—that is, a compound manufactured by one organ of the body that acts on other organs, tissues, or cells. Inside cells, for example, vitamin D acts at the genetic level to affect how cells grow, multiply, and differentiate. Vitamin D exerts its effects all over the body, from hair follicles, to reproductive system cells, to cells of the immune system.[17]

Vitamin D's wide scope of influence has led researchers to investigate whether it may offer protection against a variety of ailments, including cardiovascular disease and its risk factors, some cancers, infections, diabetes, autoimmune disorders, cognitive decline, and more. Human observation studies often reveal correlations between low vitamin D and many conditions. Correlation cannot prove cause, however, and when scientists probe further with randomized clinical trials, vitamin D supplements fail to deliver the hoped-for benefits.[18] Today's research does not support taking vitamin D supplements to improve health or prevent diseases except those caused by a deficiency of vitamin D. The well-established roles of vitamin D concern calcium balance, bone formation during growth, and bone health throughout life. These functions form the basis for the DRI values.

Do the Math:
Convert vitamin D units.

Vitamin D is measured in micrograms (μg) in research or international units (IU) on nutrient and supplement fact panels.

- To convert vitamin D amounts from micrograms (μg) to IU, multiply by 40: $1\mu g = 40$ IU

If a vitamin D pill contains 50 micrograms, how many IU does it provide?

Key Points

- Low and borderline blood vitamin D concentrations are not uncommon in the United States.
- When exposed to sunlight, the skin makes vitamin D.
- Vitamin D helps regulate blood calcium and modifies genetic activities with far-reaching effects.

Too Little Vitamin D—A Danger to Bones

Although vitamin D insufficiency may be relatively common in the population, overt signs of vitamin D deficiency are rarely reported. The most obvious sign occurs in early life—the abnormality of the bones in the disease **rickets** (as shown in Figure 7–6). Children with rickets develop bowed legs because they are unable to mineralize newly forming bone material, a rubbery protein matrix. As gravity pulls their body weights down against these weak bones, their legs bow.

Preventing Rickets As early as the 1700s, rickets was known to be curable with cod-liver oil, now recognized as a rich source of vitamin D. More than a hundred years later, a physician linked ultraviolet light and sunlight exposure to prevention and cure of rickets.*

Today, in some areas of the world, more than half of the children suffer the bowed legs, knock-knees, beaded ribs, and protruding pigeon chests of rickets. In the United States, rickets is uncommon but not unknown.[19] Many adolescents abandon vitamin D–fortified milk in favor of soft drinks and punches; they may also spend little time outdoors during daylight hours. Soon, their vitamin D values decline, and they may fail to develop the bone mineral density needed to offset bone loss in later life. To prevent rickets and support optimal bone health, the DRI committee recommends that all infants, children, and adolescents consume the recommended amounts of vitamin D each day.

Deficiency in Adults In adults, poor mineralization of bone results in the painful bone disease **osteomalacia**. The bones become increasingly soft, flexible, weak, and deformed. Older people can suffer painful joints if their vitamin D concentrations are low, a condition easily misdiagnosed as arthritis during examinations. Inadequate vitamin D also sets the stage for a loss of calcium from the bones, which can result in fractures from **osteoporosis**. For people with a low vitamin D blood concentration, a

rickets the vitamin D–deficiency disease in children; characterized by abnormal growth of bone and manifested in bowed legs or knock-knees, outward-bowed chest deformity (pigeon chest), and knobs on the ribs.

osteomalacia (OS-tee-o-mal-AY-shuh) the adult expression of vitamin D–deficiency disease, characterized by an overabundance of unmineralized bone protein (*osteo* means "bone"; *mal* means "bad"). Symptoms include bending of the spine and bowing of the legs.

osteoporosis a weakening of bone mineral structures caused by calcium loss that occurs commonly with advancing age. Also defined in Chapter 8.

*The physician was Kurt Huldschinsky, a German-born pediatrician of Polish heritage.

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vitamin D supplement may help to normalize the blood value and maintain bone mineral density.

Researchers have spent decades asking whether supplemental vitamin D alone or with calcium might reduce the high numbers of bone fractures suffered by middle-aged and elderly people. Today, scientific evidence all but rules out the possibility that vitamin D supplements might prevent fractures in well-nourished people, despite hopeful predictions.[20] Supplements do raise blood vitamin D levels in people who lack the vitamin, however, and for them, particularly if they are elderly and living mostly indoors, the simple act of taking a supplement could be life-saving.

Who Should Be Concerned? People who do not eat fish lose out on one of the few good food sources of vitamin D. Likewise, people who restrict dairy intake, such as vegans, people with milk allergy, or those with lactose intolerance must seek out other vitamin D sources to ensure sufficient intake.

In addition, these people are more likely to have low blood vitamin D values:

- People living in northern areas.
- Anyone lacking exposure to sunlight, such as office workers or institutionalized older people.
- Darker-skinned people, their breastfed infants, and their adolescent children.
- People with disorders that impede fat absorption (remember, vitamin D is absorbed with fats).
- People with obesity or those who are overweight.

In addition, taking certain medications can interfere with vitamin D.

The Special Case of Obesity People with obesity often have low concentrations of vitamin D in their blood.[21] It's tempting to jump to the conclusion that low vitamin D must therefore play a *causal* role in obesity and that, to lose weight, people can simply take vitamin D pills. In truth, the opposite relationship appears true. Obesity impairs vitamin D status, and weight loss can help restore its normal levels.[22]

How can excess fat in the body cause low vitamin D in the blood? Fat-soluble vitamin D is taken up and sequestered in excess adipose tissue, thereby lowering the blood's vitamin D concentration. Weight loss reduces excess adipose tissue and restores vitamin D to the blood.

Key Points

- A vitamin D deficiency causes rickets in childhood, low bone density in adolescence, and osteomalacia in later life.
- Vitamin D deficiency is likely in people in northern climates; those who lack sun exposure; those with fat malabsorption; in overweight people; and among adults, breastfed infants, and adolescents with darker skin.

Too Much Vitamin D—A Danger to Soft Tissues

Vitamin D is the most potentially toxic among the vitamins. Vitamin D intoxication raises the concentration of blood calcium by withdrawing bone calcium, which can then collect in the soft tissues and damage them. With chronic high vitamin D intakes, kidney and heart function decline, blood calcium spins further out of control, and, when the kidneys and heart ultimately fail, death ensues.

High doses of vitamin D may bring on high blood calcium, nausea, fatigue, back pain, irregular heartbeat, and increased urination and thirst. Several reports of patients with high blood calcium have emerged as more and more people self-prescribe high-dose vitamin D supplements in response to preliminary reports of potential health benefits.[23]

Key Points

- Vitamin D is the most potentially toxic vitamin.
- Overdoses raise blood calcium and damage soft tissues.

Figure 7–6
Rickets

This child has the bowed legs of the vitamin D–deficiency disease, rickets.

Biophoto Associates/Science Source

This child displays beaded ribs, a symptom of rickets.

Photo Courtesy of Dr. Norman Carvalho at Children's Healthcare of Atlanta

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The sunshine vitamin: vitamin D.

Is Vitamin D Really the Sunshine Vitamin?

Sunlight supplies the needed vitamin D for most of the world's people. Sunlight presents no risk of vitamin D toxicity because after a certain amount of vitamin D collects in the skin, the sunlight itself begins breaking it down.

When ultraviolet (UV) light rays from the sun reach a cholesterol compound in human skin, the compound is transformed into a vitamin D precursor and is absorbed directly into the blood. Slowly, over the next day and a half, the liver and kidneys finish converting the inactive precursor to the active form of vitamin D. Diseases that affect either the liver or the kidneys can impair this conversion and therefore produce symptoms of vitamin D deficiency.

Like natural sunscreen, the pigments in dark skin protect against UV radiation. To synthesize several days' worth of vitamin D, dark-skinned people require up to 3 hours of direct sun (depending on the climate). Light-skinned people need much less time (an estimated 5 minutes without sunscreen or 10 to 30 minutes with sunscreen). Vitamin D deficiency is especially prevalent if sunlight is weak, such as in the winter months and in the extreme northern regions of the world.[24] The factors listed in Table 7–6 can all interfere with vitamin D synthesis.

Key Point

- Ultraviolet light from sunshine acts on a cholesterol compound in the skin to make vitamin D.

Table 7–6

Factors Affecting Vitamin D Synthesis

The more of these factors that are present in a person's life, the more critical it becomes to obtain vitamin D from food or supplements.

Factor	Effect on Vitamin D Synthesis
Advanced age	With age, the skin loses some of its capacity to synthesize vitamin D.
Air pollution	Particles in the air screen out the sun's rays.
City living	Tall buildings block sunlight.
Clothing	Most clothing blocks sunlight.
Cloudy skies	Heavy cloud cover reduces sunlight penetration.
Geography	Sunlight exposure is limited: ▪ October through March at latitudes above 43 degrees (most of Canada) ▪ November through February at latitudes between 35 and 43 degrees (many U.S. locations) In locations south of 35 degrees (much of the southern United States), direct sun exposure is sufficient for vitamin D synthesis year-round.
Homebound	Living indoors prevents sun exposure.
Season	Warmer seasons of the year bring more direct sun rays.
Skin pigment	Darker-skinned people synthesize less vitamin D per minute than lighter-skinned people.
Sunscreen	Proper use reduces or prevents skin exposure to sun's rays.
Time of day	Midday hours bring maximum direct sun exposure.

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Snapshot 7–2 Vitamin D

DRI

Adults: 15 μg (600 IU)/day (19–70 yr)
20 μg (700 IU)/day (> 70 yr)

Tolerable Upper Intake Level

Adults: 100 μg (4,000 IU)/day

Chief Functions

Mineralization of bones and teeth (raises blood calcium and phosphorus by increasing absorption from digestive tract, withdrawing calcium from bones, stimulating retention by kidneys)

Deficiency

Abnormal bone growth resulting in rickets in children, osteomalacia in adults; malformed teeth; muscle spasms

Toxicity

Elevated blood calcium; calcification of soft tissues (blood vessels, kidneys, heart, lungs, tissues of joints), excessive thirst, headache, nausea, weakness

**This is a sampling of foods that provide 10% or more of the vitamin D Daily Value in a serving. For a 2,000-cal diet, the DV is 20 μg/day.*
[a]Average value.
[b]Avoid prolonged exposure to sun.

Good Sources*

ENRICHED CEREAL (ready-to-eat) ¾ c = 2.5 μg

SARDINES 3 oz = 4.1 μg

SALMON OR MACKEREL[a] 3 oz = 10.0 μg

SUNLIGHT Promotes vitamin D synthesis in the skin.[b]

COD-LIVER OIL 1 tsp = 11 μg

FORTIFIED MILK 1 c = 3 μg

TUNA (light, canned) 3 oz = 5.7 μg

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Vitamin D Intake Recommendations

The need for vitamin D remains remarkably steady throughout most of life. People ages 1 to 70 years old need 15 micrograms of vitamin D daily. For those 71 and older, the need jumps to 20 micrograms per day because this group faces an increased threat of bone fractures. The UL for vitamin D for adults of all ages is 100 micrograms (4,000 IU), above which the risk of harm from overdoses increases.

Measuring the contribution of vitamin D from sunlight is difficult, and sun exposure increases skin cancer risks, so the DRI committee set its recommendations in terms of dietary vitamin D alone, with no contribution from the sun. The recommendations do assume an adequate intake of calcium because vitamin D and calcium each alter the body's handling of the other. The recommendations are set high enough to maintain the blood vitamin D concentrations known to support healthy bones throughout life.

Key Point

- The DRI for dietary vitamin D varies little through most of life.

Which Foods Supply Vitamin D?

Snapshot 7–2 shows the few significant naturally occurring food sources of vitamin D. Egg yolks also provide small amounts, along with butter and cream. Milk, whether fluid, dried, or evaporated, is fortified with vitamin D, so it constitutes a major source for the United States. Yogurt and cheese products may lack vitamin D, however, but orange juice, cereals, margarines, and other foods may be fortified with it, so read the Nutrition Facts panels of food labels.

Many mushroom species, when exposed to sunlight or UV light, produce substantial vitamin D. When grown without such exposure, the same species produce almost none, a variability that makes mushrooms an unreliable vitamin D source.

Mushrooms grown in sunlight or treated with UV light are rich sources of vitamin D. Mushrooms grown in the dark are poor sources.

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Vitamin D-rich mushrooms look and taste like the ordinary kind, but their labels often advertise their vitamin D content.

Young adults who drink 3 cups of milk a day receive half of their daily requirement from this source; much of the rest comes from exposure to sunlight and other foods and supplements. Vegans can rely on vitamin D–fortified foods, such as cereals and beverages, along with supplements, to supply vitamin D. Importantly, feeding infants and young children unfortified "health beverages" instead of milk or infant formula has caused severe nutrient deficiencies, including rickets.

Key Point

- Food sources of vitamin D include a few naturally rich sources and many fortified foods.

Vitamin E

LO 7.5 Describe the roles, food sources, and effects of deficiency and toxicity of vitamin E.

Almost a century ago, researchers discovered a compound in vegetable oils essential for reproduction in rats. This compound was named **tocopherol** from *tokos*, a Greek word meaning "offspring." A few years later, the compound was named vitamin E. Vitamin E still holds the attention of nutrition researchers today.

Four tocopherol compounds are of importance in nutrition, and each is designated by one of the first four letters of the Greek alphabet: alpha, beta, gamma, and delta. Of these, alpha-tocopherol is the gold standard for vitamin E activity, and the DRI values are expressed as alpha-tocopherol. Additional forms of vitamin E are of interest to researchers for potential roles in health.[25]

What Are the Roles of Vitamin E in the Body? Vitamin E is an antioxidant and thus acts as a bodyguard against oxidative damage. Such damage occurs when highly unstable molecules known as **free radicals**, formed during normal cell metabolism, run amok. Left unchecked, free radicals create a destructive chain reaction that can damage the polyunsaturated lipids in cell membranes and lipoproteins, the DNA in genetic material, and the working proteins of cells. According to the theory of **oxidative stress**, this situation creates inflammation and cell damage associated with aging processes, cancer development, heart disease, diabetes, and other diseases.[26] Vitamin E, by being oxidized itself, quenches free radicals and reduces inflammation. Figure 7–7 provides an overview of the antioxidant activity of vitamin E and its potential role in disease prevention.

The antioxidant protection of vitamin E is crucial, particularly in the lungs, where high oxygen concentrations would otherwise disrupt vulnerable membranes. Red blood cell membranes also need vitamin E's protection as they transport oxygen from the lungs to other tissues. White blood cells that fight diseases equally depend on vitamin E's antioxidant nature, as do blood vessel linings, sensitive brain tissues, and even bones. Tocopherols also perform some nonantioxidant tasks that support the body's health.

Vitamin E Deficiency Scientists can produce symptoms of vitamin E deficiency in laboratory animals, but such symptoms are rarely seen in healthy people who eat normally. Vitamin E deficiency arises in some conditions that impair fat absorption, such as cystic fibrosis because vitamin E dissolves in fat.

A classic vitamin E deficiency occurs in babies born prematurely, before the transfer of the vitamin from the mother to the fetus, which occurs late in pregnancy. Without sufficient vitamin E, the infant's red blood cells rupture (**erythrocyte hemolysis**), and the infant becomes anemic. The few symptoms of vitamin E deficiency observed in adults include loss of muscle coordination, loss of normal reflexes, and impaired vision and speech. Vitamin E corrects all of these symptoms, whether obtained from vitamin-E rich foods such as oils, seeds, and nuts or from supplements of vitamin E.

tocopherol (tuh-KOFF-er-all) a kind of alcohol. The active form of vitamin E is alpha-tocopherol.

free radicals atoms or molecules with one or more unpaired electrons that make the atom or molecule unstable and highly reactive.

oxidative stress a theory of disease causation involving cell and tissue damage that arises when free radical reactions exceed the capacity of antioxidants to quench them.

erythrocyte (eh-REETH-ro-sight) **hemolysis** (hee-MOLL-ih-sis) rupture of the red blood cells that can be caused by vitamin E deficiency (*erythro* means "red"; *cyte* means "cell"; *hemo* means "blood"; *lysis* means "breaking"). The anemia produced by the condition is *hemolytic* (HEE-moh-LIT-ick) *anemia*.

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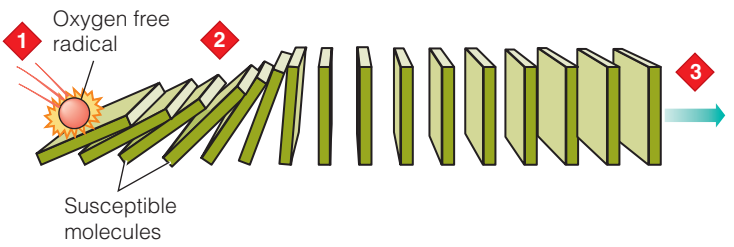
Figure 7–7

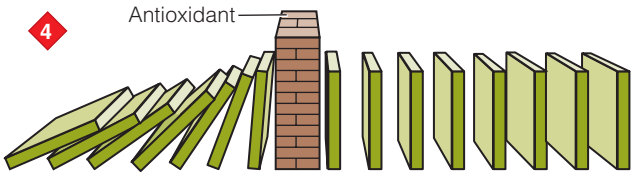
Free-Radical Damage and Antioxidant Protection

Free-radical formation occurs during metabolic processes, and it accelerates when diseases or other stresses strike.

Free radicals cause chain reactions that damage cellular structures.



Antioxidants quench free radicals and protect cellular structures.



1. A chemically reactive oxygen free radical attacks fatty acid, DNA, protein, or cholesterol molecules, which form other free radicals in turn.
2. This initiates a rapid, destructive chain reaction.
3. The result is:
   - Cell membrane lipid damage.
   - Cellular protein damage.
   - DNA damage.
   - Oxidation of LDL cholesterol.
   - Inflammation.

   These changes may initiate steps leading to diseases such as heart disease, cancer, macular degeneration, and others.
4. Antioxidants, such as vitamin E, stop the chain reaction by changing the nature of the free radical.

Can Vitamin E Cause Toxicity? Vitamin E in foods is safe to consume, and reports of vitamin E toxicity symptoms are rare across a broad range of intakes. However, vitamin E in supplements augments the effects of anticoagulant medication used to oppose unwanted blood clotting, so people taking such drugs risk uncontrollable bleeding if they also take vitamin E. Supplemental doses of vitamin E prolong blood clotting times and increase the risk of brain hemorrhages, a form of stroke that has been noted among people taking supplements of vitamin E.[27] To err on the safe side, people who use vitamin E supplements should probably keep their dosages low, not exceeding the UL of 1,000 milligrams of alpha-tocopherol per day. (Controversy 11 provides other examples of hazardous nutrient-drug interactions.)

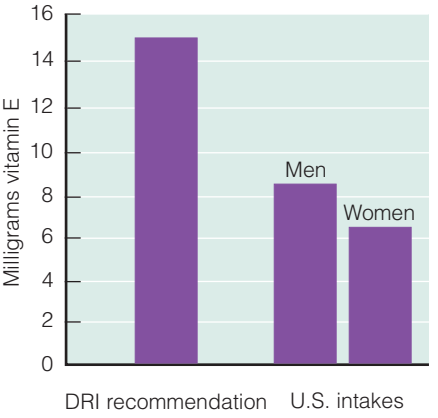
Vitamin E Recommendations and U.S. Intakes The DRI (back of the book, p. B) for vitamin E is 15 milligrams a day for adults. This amount is sufficient to maintain healthy, normal blood values for vitamin E for most people. On average, U.S. intakes of vitamin E fall substantially below the recommendation (see Figure 7–8). The need for vitamin E rises as people consume more polyunsaturated oil because the oil requires antioxidant protection by the vitamin. Luckily, most raw oils also contain vitamin E, so people who eat raw oils also receive the vitamin. Smokers may have higher needs.

Which Foods Supply Vitamin E? Vitamin E is widespread in foods (see Snapshot 7–3). Much of the vitamin E that people consume comes from vegetable oils and products made from them, such as margarine and salad dressings. Wheat germ oil is especially rich in vitamin E. Animal fats have almost none.

Vitamin E is easily destroyed by heat, as Figure 7–9 (p. 230) illustrates, and by oxidation—thus, fresh, raw oils and lightly processed vitamin E–rich foods are the best sources. As people choose more ultra-processed foods, fried fast foods, or "convenience" foods, they lose vitamin E because little vitamin E survives the refining, heating, puffing, and other processes used to make these foods. Authorities recommend increasing vitamin E–rich foods in the diet to close the gap between DRI amounts and average intakes.

Figure 7–8

Vitamin E Recommendations and Intakes Compared



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Snapshot 7–3 Vitamin E

DRI
Adults: 15 mg/day

Tolerable Upper Intake Level
Adults: 1,000 mg/day

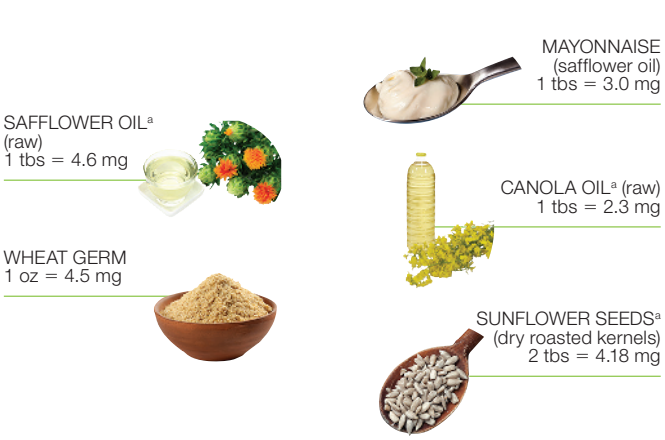
Chief Functions
Antioxidant (protects cell membranes, regulates oxidation reactions, protects polyunsaturated fatty acids)

Deficiency
Red blood cell breakage, nerve damage

Toxicity
Augments the effects of anticlotting medication

**This is a sampling of foods that provide 10% or more of the vitamin E Daily Value in a serving. For a 2,000-cal diet, the DV is 22 IU or 15 mg/day.*
[a]Cooking destroys vitamin E.

Good Sources*



iStock.com/Aleaimage; Reika/Shutterstock.com; librakv/Shutterstock.com; Heike Brauer/Shutterstock.com; Robyn Mackenzie/Shutterstock.com

Key Points

- Vitamin E acts as an antioxidant in cell membranes.
- Average U.S. intakes fall short of DRI recommendations.
- Vitamin E–deficiency disease occurs rarely. Newborn premature infants may be deficient, however.
- Vitamin E supplements may carry risks but toxicity is rare.

Figure 7–9
High Temperatures Destroy Vitamin E

Restaurants typically reuse frying oil several times before replacing it, a practice that destroys most or all of its vitamin E.

Monkey Business Images/Shutterstock.com

Source: Data from *A. Chiou and N. Kalogeropoulos, Virgin olive oil as frying oil,* Comprehensive Reviews in Food Science and Food Safety (2017), *epub, doi: 10.1111/1541-4337.12268.*

Vitamin K

LO 7.6 Describe the roles of vitamin K, its food sources, and the effects of its deficiency and toxicity.

Have you ever thought about how remarkable it is that blood can clot? The liquid turns solid in a life-saving series of reactions. If blood did not clot, wounds would just keep bleeding, draining the blood from the body.

Roles, Deficiency, and Toxicity of Vitamin K

The main function of vitamin K* is to help activate proteins that help clot the blood. Hospitals measure the clotting time of a person's blood before surgery and, if needed, administer vitamin K supplementation to reduce bleeding during the operation. Supplemental vitamin K is of value only if a deficiency exists. Vitamin K does not improve clotting in those with other bleeding disorders, such as the inherited disease hemophilia.

Some people with heart problems need to *prevent* the formation of clots within their circulatory systems—this is popularly referred to as "thinning" the blood. One of the best-known medicines for this purpose is warfarin (pronounced WAR-fuh-rin), which interferes with vitamin K's clot-promoting action. Vitamin K therapy may be needed for people on warfarin if uncontrolled bleeding should occur. People taking warfarin who self-prescribe vitamin K supplements risk causing dangerous clotting of their blood; those who suddenly stop taking vitamin K risk causing dangerous excessive bleeding.

Vitamin K in Bone Health Vitamin K is also necessary for the synthesis of key bone proteins.[28] With low blood vitamin K, the bones produce an abnormal protein that

*K stands for the Danish word koagulation ("clotting").

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cannot effectively bind the minerals that normally form bones. People who consume abundant vitamin K in the diet suffer fewer hip fractures than those with lower intakes.[29] More research is needed to clarify the links between vitamin K and bone health.

Vitamin K Deficiency and the Microbiome Few U.S. adults are likely to experience vitamin K deficiency, even if they seldom eat vitamin K–rich foods. This is because, like vitamin D, vitamin K can be obtained from a nonfood source—in this case, the intestinal bacteria. Billions of bacteria normally reside in the intestines, and some of them synthesize vitamin K.

Newborn infants present a unique case with regard to vitamin K because they are born with sterile intestinal tracts and the vitamin K–producing bacteria take weeks to establish themselves. To prevent hemorrhage, newborns are given a single dose of vitamin K at birth sometimes orally (as illustrated in Figure 7–10), but often as an injection. Some well-meaning parents refuse the injections because false social media reports claim they are risky.[30] Sadly, by refusing a safe, effective shot they incur a real risk of vitamin K deficiency-related vomiting, lethargy, and bleeding, even bleeding of the brain, in their newborns. Parents-to-be who learn the truth—that the injection is virtually risk-free—often choose it to prevent such problems.

People who have taken antibiotics that have killed the bacteria in their intestinal tracts also may develop vitamin K deficiency. In other medical conditions, bile production falters, making lipids, including all of the fat-soluble vitamins, unabsorbable. Supplements of the vitamin are needed in these cases because a vitamin K deficiency can be fatal.

Can Vitamin K Cause Toxicity? Reports of vitamin K toxicity among healthy adults are rare, and the DRI committee has not set a UL for vitamin K. For infants and pregnant women, however, vitamin K toxicity can result when supplements of a synthetic version of vitamin K are given too enthusiastically.* Toxicity induces breakage of the red blood cells and release of their pigment, which colors the skin yellow. A toxic dose of synthetic vitamin K causes the liver to release the blood cell pigment (bilirubin) into the blood (instead of excreting it into the bile) and leads to **jaundice**.

Key Points

- Vitamin K is necessary for blood to clot.
- Vitamin K deficiency causes uncontrolled bleeding.
- The bacterial inhabitants of the digestive tract produce vitamin K.
- Excess vitamin K can cause harm.

Vitamin K Requirements and Food Sources

The vitamin K requirement for men is 120 micrograms a day; women require 90 micrograms. As Snapshot 7–4 shows, vitamin K's richest plant food sources include dark green, leafy vegetables such as cooked spinach and other greens, which provide an average of 300 micrograms per half-cup serving. Lettuces, broccoli, Brussels sprouts, and other members of the cabbage family are also good sources.

Among protein foods, soybeans, green and black-eyed peas, and split pea soup are rich sources. Canola and soybean oils (unhydrogenated liquid oils) provide smaller but still significant amounts; fortified cereals can also be rich sources of added vitamin K. Databases of food composition include the vitamin K contents of many foods.

Key Point

- Vitamin K is richly provided by dark green, leafy vegetables, vegetables of the cabbage family, and legumes.

The Water-Soluble Vitamins

LO 7.7 Summarize the characteristics and functions of water-soluble vitamins.

*The version of vitamin K responsible for this effect is menadione.

Figure 7–10
Vitamin K in Newborns

Soon after birth, newborn infants receive a dose of vitamin K to prevent hemorrhage.

Aphp-Cochin-Voisin/Phanie/Alamy Stock Photo

jaundice (JAWN-dis) yellowing of the skin due to spillover of the bile pigment bilirubin (bill-ee-ROO-bin) from the liver into the general circulation.

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Snapshot 7–4 Vitamin K

DRI
Men: 120 μg/day
Women: 90 μg/day

Chief Functions
Synthesis of blood-clotting proteins and bone proteins

Deficiency
Hemorrhage; abnormal bone formation

Toxicity
Opposes the effects of anticlotting medication

**This is a sampling of foods that provide 10% or more of the vitamin K Daily Value in a serving. For a 2,000-cal diet, the DV is 120 μg/day.*
[a]Average value.

Good Sources*

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Tim UR/Shutterstock.com

Vitamin C and the B vitamins dissolve in water, which has implications for their handling in food and by the body. In food, water-soluble vitamins easily dissolve and drain away with cooking water, and some are destroyed on exposure to light, heat, or oxygen during processing. Later sections examine vitamin vulnerability and provide tips for retaining vitamins in foods. Recall characteristics of water-soluble vitamins from Table 7–2.

In the body, water-soluble vitamins are easily absorbed and just as easily excreted in the urine. A few of the water-soluble vitamins can remain in the lean tissues for a month or more, but these tissues actively exchange materials with the body fluids all the time—no real storage tissues exist for any water-soluble vitamins. At any time, the vitamins may be picked up by the extracellular fluids, washed away by the blood, and excreted in the urine.

Advice for meeting the need for these nutrients is straightforward: choose foods rich in water-soluble vitamins frequently to achieve an average intake that meets the recommendation over a few days' time. The Snapshots in this section can help guide your choices. Foods never deliver toxic doses of the water-soluble vitamins, and their easy excretion in the urine protects against toxicity from all but the largest supplemental doses.

Key Points

- Water-soluble vitamins are easily absorbed and excreted from the body, and foods that supply them must be consumed frequently.
- Water-soluble vitamins are easily lost or destroyed during food preparation and processing.

Vitamin C

LO 7.8 Identify the roles of vitamin C, effects of its deficiency and toxicity, and its food sources.

scurvy the vitamin C–deficiency disease.

More than 200 years ago, any man who joined the crew of a seagoing ship knew he had only half a chance of returning alive—not because he might be slain by pirates or

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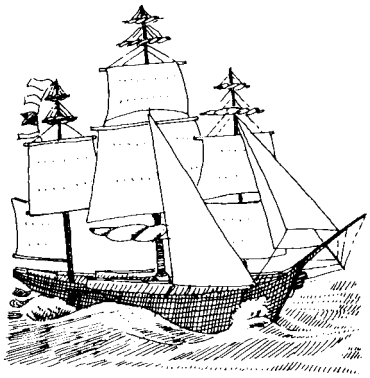
Think Fitness Vitamins for Athletes

Do athletes who strive for top performance need more vitamins than foods can supply? Athletes who choose their diets with reasonable care almost never need nutrient supplements. The reason is elegantly simple. The need for energy to fuel exercise requires that people eat extra calories of food, and if that extra food is of the kind shown in this chapter's Snapshots—fruit, vegetables, dairy products, eggs, whole or enriched grains, lean meats, and some oils—then the extra vitamins needed to support the activity flow naturally into the body. Chapter 10 comes back to the roles of vitamins in physical activity.

Start now! If you haven't already done so, track your diet for 3 days, including one weekend day. After you have recorded your foods for 3 days, create an Intake Report to see how close you come to meeting the nutrient recommendations for a person of your age, weight, and level of physical activity.

die in a storm but because he might contract **scurvy**, a disease that often killed many members of a ship's crew on a long voyage. Ships that sailed on short voyages, especially around the Mediterranean Sea, were safe from this disease. The special hazard of long ocean voyages was that the ship's cook used up the perishable fresh fruits and vegetables early and relied on cereals and live animals for the duration of the voyage.

The first nutrition experiment to be conducted on human beings was devised more than 250 years ago to find a cure for scurvy. A physician divided some British sailors with scurvy into groups.* Each group received a different test substance: vinegar, sulfuric acid, seawater, oranges, or lemons. Those receiving the citrus fruit were cured within a short time. Sadly, it took 50 years for the British navy to make use of the information and require all its vessels to provide lime juice to every sailor daily. British sailors were mocked with the term *limey* because of this requirement. The name later given to the vitamin, **ascorbic acid**, literally means "no-scurvy acid." It is more commonly known today as vitamin C, and is abundant in fruits and vegetables (Snapshot 7–5, p. 234)



Long voyages without fresh fruit and vegetables spelled death by scurvy for the crew.

What Are the Roles of Vitamin C in the Body?

Vitamin C performs a variety of functions in the body. It is best known for two of them: its work in maintaining the connective tissues and as an antioxidant.

Connective Tissue The enzymes involved in the formation and maintenance of the protein **collagen** depend on vitamin C for their activity, as do many other enzymes of the body. Collagen forms the base for all of the connective tissues: bones, teeth, skin, and tendons. Collagen forms the scar tissue that heals wounds, the reinforcing structure that mends fractures, and the supporting material of capillaries that prevents bruises. Vitamin C also participates in other synthetic reactions, such as in the production of carnitine, an important compound for transporting fatty acids within the cells, and in the creation of certain hormones.

Antioxidant Activity Vitamin C also acts in a more general way as an antioxidant.[31] Vitamin C protects substances found in foods and in the body from oxidation by being oxidized itself. For example, cells of the immune system maintain high concentrations of vitamin C to protect themselves from free radicals that they generate to use during assaults on bacteria and other invaders. After use, some oxidized vitamin C is degraded irretrievably and must be replaced by the diet. In healthy people, most of the vitamin, is not lost but efficiently recycled back to its active form for reuse.

In the intestines, vitamin C protects iron from oxidation and so promotes its absorption. Once in the blood, vitamin C protects sensitive blood constituents from oxidation, reduces tissue inflammation, and helps to maintain the body's supply of vitamin E by protecting it and recycling it to its active form.

*The physician was James Lind.

ascorbic acid one of the active forms of vitamin C (the other is *dehydroascorbic* acid); an antioxidant nutrient.

collagen (COLL-a-jen) the chief protein of most connective tissues, including scars, ligaments, and tendons, and the underlying matrix on which bones and teeth are built.

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Snapshot 7–5 Vitamin C

DRI

Men: 90 mg/day
Women: 75 mg/day
Smokers: add 35 mg/day

Tolerable Upper Intake Level

Adults: 2,000 mg/day

Chief Functions

Collagen synthesis (strengthens blood vessel walls, forms scar tissue, provides matrix for bone growth), antioxidant, restores vitamin E to active form, supports immune system, boosts iron absorption

Deficiency

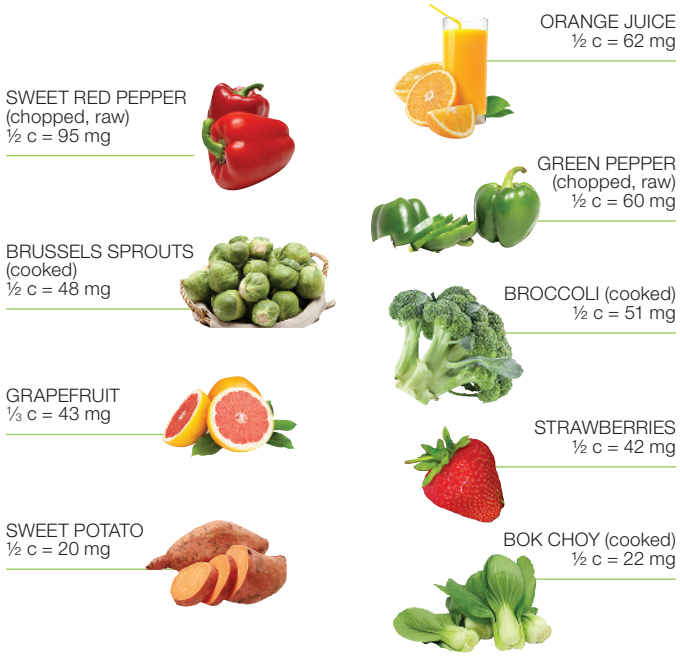
Scurvy, with pinpoint hemorrhages, fatigue, bleeding gums, bruises; bone fragility, joint pain; poor wound healing, frequent infections

Toxicity

Nausea, abdominal cramps, diarrhea; rashes; interference with medical tests and drug therapies; in susceptible people, aggravation of gout or kidney stones

**This is a sampling of foods that provide 10% or more of the vitamin C Daily Value in a serving. For a 2,000-cal diet, the DV is 90 mg/day.*

Good Sources*



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Centers of Disease Control/Superstock

Can vitamin C ease the suffering of a person with a cold?

In test tubes, a high concentration of vitamin C has the opposite effect from an antioxidant; that is, it acts as a **prooxidant** by activating oxidizing elements, such as iron and copper. In the body, iron and copper are tightly bound to special proteins that normally control such interactions.[32]

Can Vitamin C Supplements Cure a Cold? Many people hold that vitamin C supplements can prevent or cure a common cold, but research most often fails to support this long-lived belief. In 29 trials of over 11,300 people, no relationship emerged between routine vitamin C supplementation and cold prevention. A few studies report other modest potential benefits—fewer colds and shorter duration of symptoms, especially for those exposed to physical and environmental stresses, as well as those with low vitamin C status. *Sufficient* vitamin C intake is critically important to certain white blood cells of the immune system that act as primary defenders against infection. Even so, taking daily vitamin C supplements doesn't prevent colds in most well-fed people.[33]

Experimentally, supplements of at least 1 gram of vitamin C per day and often closer to 2 grams (the UL and not recommended) may reduce blood histamine. Anyone who has ever had a cold knows the effects of histamine: sneezing, a runny or stuffy nose, and swollen sinuses. In drug-like doses, vitamin C may mimic a weak antihistamine drug, but study conditions vary, so drawing conclusions is difficult.

One other effect of taking pills might also provide relief. In one vitamin C study, some experimental subjects received a sugar pill but were told they were receiving vitamin C. These subjects reported having fewer colds than the group who had in fact received the vitamin but who thought they were receiving sugar pills. At work was the healing effect of faith in a medical treatment—the placebo effect.

prooxidant a compound that triggers reactions involving oxygen.

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Key Points

- Vitamin C maintains collagen, protects against infection, acts as an antioxidant, and protects vitamin E.
- In high doses, vitamin C is a prooxidant.

Deficiency Symptoms and Intakes

Most of the symptoms of scurvy can be attributed to the breakdown of collagen in the absence of vitamin C: loss of appetite, growth cessation, tenderness to touch, weakness, bleeding gums (as shown in Figure 7–11), loose teeth, swollen ankles and wrists, and tiny red spots in the skin where blood has leaked out of capillaries (also shown in the figure). One symptom, anemia, reflects an important role worth repeating—vitamin C helps the body absorb and use iron. Table 7–9 (p. 252) summarizes deficiency symptoms and other information about vitamin C.

U.S. intakes of vitamin C may fall short of the DRI recommendations. People who smoke or have low incomes are particularly at risk for deficiency. The disease scurvy is seldom seen today except in a few elderly people with poor appetites, people addicted to alcohol or other drugs, hospital patients, people with eating disorders, and a few infants who are fed only cow's milk.[34] Breast milk and infant formula supply vitamin C, but cow's milk does not and is not recommended for infants for many reasons.

Figure 7–11

Scurvy Symptoms—Gums and Skin

Vitamin C deficiency causes the breakdown of collagen, which supports the teeth.

Lester V. Bergman/Corbis Documentary/Getty Images

Small pinpoint hemorrhages (red spots) appear in the skin, indicating that invisible internal bleeding may also be occurring.

Dr. P. Marazzi/Science Source

Can Vitamin C Cause Toxicity?

The easy availability of vitamin C in pill form and the publication of books recommending vitamin C to prevent and cure colds and cancer have led thousands of people to take huge doses of vitamin C. These "volunteer" subjects enabled researchers to study potential adverse effects of large vitamin C doses. With a 2-gram dose, the insulin response to carbohydrate is altered in people with otherwise normal glucose tolerances.

Who Should Avoid Vitamin C Supplements? People taking anticlotting medications may unwittingly counteract the drug's effect if they also take massive doses of vitamin C. Those with kidney disease, a tendency toward gout, or abnormal vitamin C metabolism are prone to form kidney stones if they take large doses of vitamin C. In any dosage, supplements may be unwise for people with an overload of iron in the body because vitamin C increases iron absorption. Other adverse effects are mild, including nausea, abdominal cramps, excessive gas, and diarrhea.

A Broad Safety Range Safe intakes of vitamin C range from the absolute minimum of 10 milligrams a day to the UL of 2,000 milligrams (2 grams), as Figure 7–12 (p. 236) demonstrates. Chronic doses approaching 10 grams can be expected to be unsafe. Vitamin C from food is always safe.

Key Points

- Scurvy symptoms include anemia, pinpoint hemorrhages, and pain.
- High-dose vitamin C supplements carry risks but the safety range is broad.

Vitamin C Recommendations

The adult DRI for vitamin C is 90 milligrams for men and 75 milligrams for women. These amounts are far higher than the 10 or so milligrams per day needed to prevent the symptoms of scurvy. In fact, they are close to the amount at which the body's pool of vitamin C is full to overflowing: about 100 milligrams per day.

Tobacco oxidants deplete the body's vitamin C. Thus, smokers generally have lower blood vitamin C concentrations than nonsmokers. Even "passive smokers" who live and work with smokers and those who regularly chew tobacco need more vitamin C than others. DRI values for smokers are set high, 125 milligrams for men and 110 milligrams for women, to maintain blood concentrations comparable to those of nonsmokers. Importantly, vitamin C cannot reverse other damage caused by tobacco use. Physical stressors, including infections, burns, fever, strenuous physical activity, toxic heavy metals such as lead, and certain medications, also increase the body's use of vitamin C.

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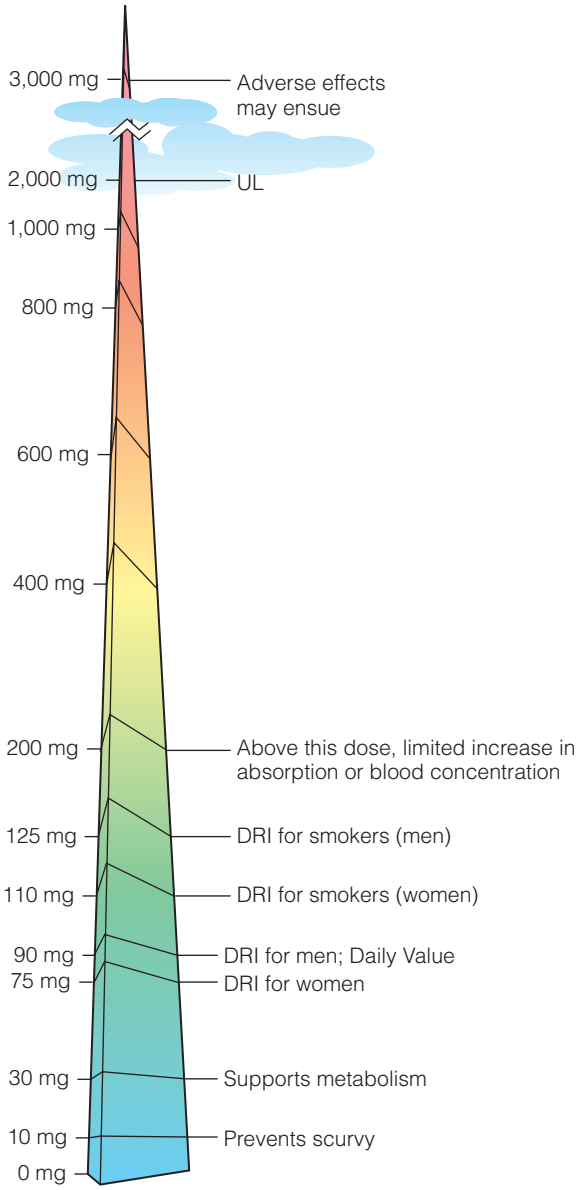
Figure 7–12

Vitamin C Tower of Recommendations

The DRI Tolerable Upper Intake Level (UL) for vitamin C is set at 2,000 mg (2 g)/day. Only 10 mg/day prevents scurvy.



Key Point

- Vitamin C need is increased by tobacco use, infection, burns, and other factors.

Which Foods Supply Vitamin C?

Fruit and vegetables are the foods to remember for vitamin C. A cup of orange juice at breakfast, a salad for lunch, and a stalk of broccoli and a potato at dinner easily provide 300 milligrams, making pills unnecessary. People commonly identify orange juice as a source of vitamin C, but they often overlook other rich sources that may be lower in calories.

Vitamin C is vulnerable to heat and destroyed by oxygen, so for maximum vitamin C consumers should treat their fruit and vegetables gently. Losses occurring when a food is cut, processed, and stored may be large enough to reduce vitamin C's activity in the body. Fresh, raw, and quickly cooked fruits, vegetables, and juices retain the most vitamin C, and they should be stored properly and consumed within a week after purchase. The Consumer's Guide (p. 240) discusses the vitamin costs of food processing techniques and offers advice on how to minimize vitamin losses at home.

Because of their enormous popularity, white potatoes contribute significantly to vitamin C intakes, despite providing less than 10 milligrams per half-cup serving. The sweet potato, often ignored in favor of its paler cousin, is a gold mine of nutrients: a single half-cup serving provides about a third of many people's recommended intake for vitamin C, in addition to its lavish contribution of vitamin A.

Key Point

- Ample vitamin C can be easily obtained from many fruits and vegetables.

The B Vitamins in Unison

LO 7.9 Describe the collective roles of B vitamins in metabolism and the effects of their deficiencies.

The B vitamins function as parts of coenzymes. A **coenzyme** is a small molecule that combines with an enzyme (described in Chapter 6) and activates it. Figure 7–13 shows how a coenzyme enables an enzyme to do its job. The substance to be worked on is attracted to the active site (often the vitamin part) and snaps into place, enabling the reaction to proceed instantaneously. The shape of each enzyme predestines it to accomplish just one kind of job. Without its coenzyme, however, the enzyme is as useless as a car without its steering wheel.

Each of the B vitamins has its own special nature, and the amount of detail known about each one is overwhelming. To simplify things, this introduction describes the teamwork of the B vitamins and emphasizes the consequences of deficiencies. Many of these nutrients are so interdependent that it is sometimes difficult to tell which vitamin deficiency is the cause of which symptom; the presence or absence of one affects the absorption, metabolism, and excretion of others. Later sections present a few details about these vitamins as individuals.

coenzyme (co-EN-zime) a small molecule that works with an enzyme to promote the enzyme's activity. Many coenzymes have B vitamins as part of their structure (*co* means "with").

What Are the Roles of B Vitamins in Metabolism?

Figure 7–14 (p. 238) shows some body organs and tissues in which the B vitamins help the body metabolize carbohydrates, lipids, and amino acids. The purpose of the figure is

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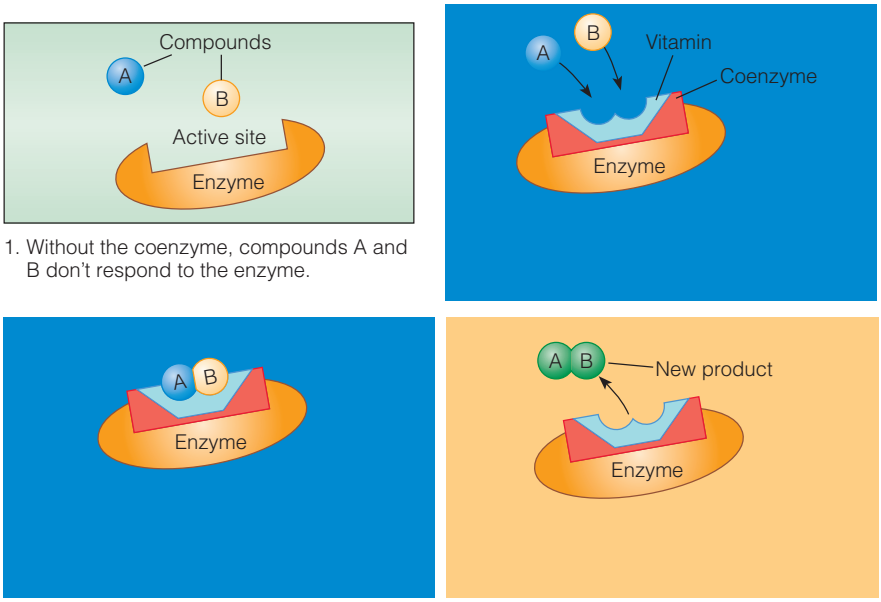
Figure 7–13
Coenzyme Action



1. Without the coenzyme, compounds A and B don't respond to the enzyme.

not to present a detailed account of metabolism but to give you an impression of where the B vitamins work together with enzymes in the metabolism of energy nutrients and in the creation of new cells.

Energy Release and Protein Synthesis Many people mistakenly believe that B vitamins supply the body with energy. They do not, at least not directly. The B vitamins are "helpers." The energy-yielding nutrients—carbohydrate, fat, and protein—give the body fuel for energy; the B vitamins *help* the body to use that fuel. More specifically, active forms of five of the B vitamins—thiamin, riboflavin, niacin, pantothenic acid, and biotin—participate in the release of energy from carbohydrate, fat, and protein. Vitamin B_6 helps the body use amino acids to synthesize proteins; the body then puts the proteins to work in many ways—to build new tissues, to make hormones, to fight infections, or to serve as fuel for energy, to name only a few.

Cell Replication Folate and vitamin B_{12} help cells to multiply, which is especially important to cells with short life spans that must replace themselves frequently. Such cells include both the red blood cells (which live for about 120 days) and the cells that line the digestive tract (which replace themselves every 3 days). These cells absorb and deliver energy to all the others. In short, each and every B vitamin is involved, directly or indirectly, in energy metabolism.

B Vitamin Deficiencies

As long as B vitamins are present, their presence is not felt. Only when they are missing does their absence manifest itself in a lack of energy and a multitude of other symptoms, as you can imagine after looking at Figure 7–14. The reactions by which B vitamins facilitate energy release take place in every cell, and no cell can do its work without energy. Thus, in a B vitamin deficiency, every cell is affected. Among the symptoms of B vitamin deficiencies are nausea, severe exhaustion, irritability, depression, forgetfulness, loss of appetite and weight, pain in muscles, impairment of the immune response, loss of control of the limbs, abnormal heart action, severe skin problems, swollen red tongue, cracked skin at the corners of the mouth, and teary or bloodshot eyes. Figure 7–15 shows two of these signs. Because cell renewal

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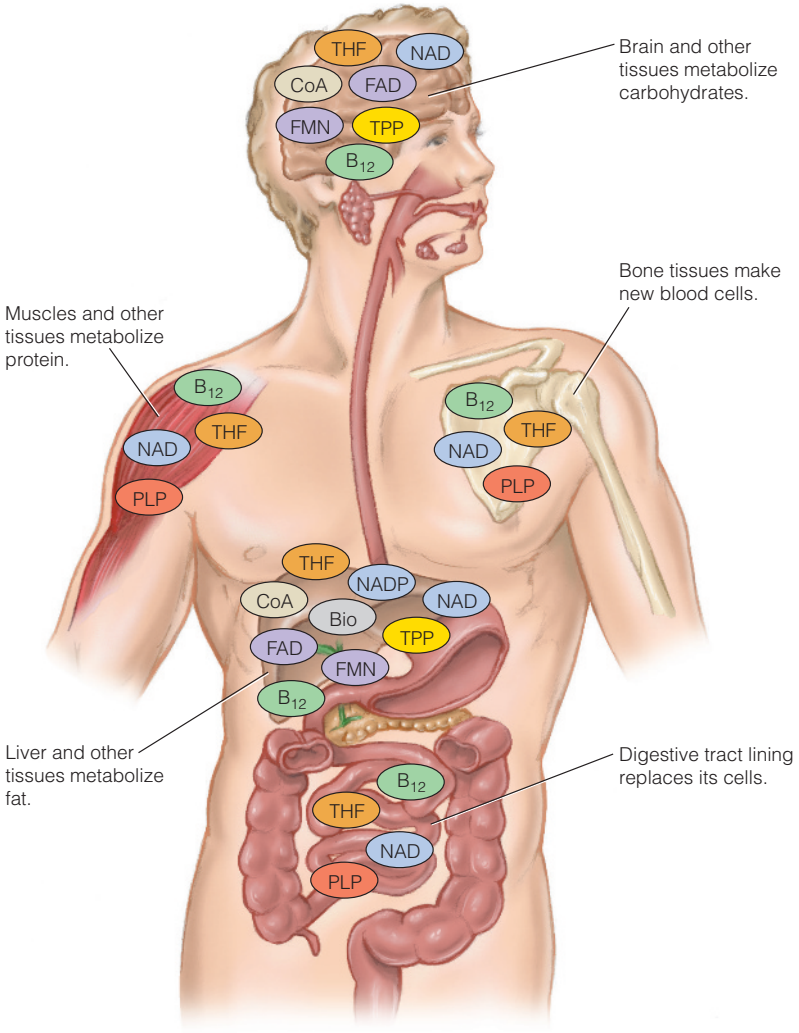
Figure 7–14

Some Roles of the B Vitamins in Metabolism: Examples

The purpose of this figure is to show a few of the many tissue functions that require a host of B vitamin–dependent enzymes working together in harmony. The B vitamins work in every cell, and this figure displays less than a thousandth of what they actually do.

Every B vitamin is part of one or more coenzymes that make possible the body's chemical work. For example, the niacin, thiamin, and riboflavin coenzymes are important in the energy pathways. The folate and vitamin B_{12} coenzymes are necessary for making RNA and DNA and thus new cells. The vitamin B_6 coenzyme is necessary for processing amino acids and therefore protein. Although many other relationships are also critical to metabolism, this figure does not attempt to teach intricate biochemical pathways or names of B vitamin–containing enzymes.



Key:

Coenzyme		Vitamin
TPP	=	Thiamin
FAD, FMN	=	Riboflavin
NAD, NADP	=	Niacin
PLP	=	Vitamin B_6
THF	=	Folate
CoA	=	Pantothenic acid
Bio	=	Biotin
B_{12}	=	Vitamin B_{12}

depends on energy and protein, which, in turn, depend on the B vitamins, the digestive tract and the blood are invariably damaged. In children, full recovery may be impossible. In the case of a thiamin deficiency during growth, permanent brain damage can result.

In academic discussions of the B vitamins, different sets of deficiency symptoms are given for each one. Such clear-cut sets of symptoms are found only in laboratory animals that have been fed fabricated diets that lack just one vitamin. In real life, a deficiency of any one B vitamin seldom shows up by itself because people don't eat nutrients singly; they eat foods that contain mixtures of nutrients. A diet low in one B vitamin is likely low in other nutrients, too. If treatment involves giving wholesome food rather than a single supplement, subtler deficiencies and impairments will be corrected along with the major one. The symptoms of B vitamin deficiencies and toxicities are listed in Table 7–9 (p. 252).

Key Points

- As parts of coenzymes, the B vitamins help enzymes in every cell do numerous jobs.
- B vitamins help metabolize carbohydrate, fat, and protein.

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Figure 7–15

B Vitamin–Deficiency Symptoms: Tongue and Mouth

The normally rough and bumpy tongue becomes smooth and swollen, and the corners of the mouth become inflamed and cracked.

SPL/Science Source

SPL/Science Source

The B Vitamins as Individuals

LO 7.10 Describe the roles, the effects of deficiencies and toxicities, and food sources of each of the eight B vitamins.

Although the B vitamins all work as parts of coenzymes and share other characteristics, each B vitamin has special qualities. The next sections provide a few details.

Thiamin

Thiamin plays a critical role in the energy metabolism of all cells. Thiamin also occupies a special site on nerve cell membranes. Consequently, nerve processes and their responding tissues, the muscles, depend heavily on thiamin.

Thiamin Deficiency The classic thiamin-deficiency disease, **beriberi**, was first observed in East Asia, where rice provided 80 to 90 percent of the total calories most people consumed and was therefore their principal source of thiamin. When the custom of polishing rice (removing its brown coat, which contained the thiamin) became widespread, beriberi swept through the population like an epidemic. Scientists wasted years of effort hunting for a microbial cause of beriberi before they realized that the cause was not something present in the environment but something absent from it. Figure 7–16 depicts beriberi and describes its two forms.

Thiamin's Discovery Just before the year 1900, an observant physician working in a prison in East Asia discovered that beriberi could be cured with proper diet. The physician noticed that the chickens at the prison had developed a stiffness and weakness similar to that of the prisoners who had beriberi. The chickens were being fed the rice left on prisoners' plates. When the rice bran, which had been discarded in the kitchen, was given to the chickens, their paralysis was cured. The physician met resistance when he tried to feed the rice bran, the "garbage," to the prisoners, but it worked—it produced a miracle cure like those described at the beginning of this chapter. Later, extracts of rice bran were used to prevent infantile beriberi; still later, thiamin was identified.

Thiamin Deficiency Today In developed countries today, alcohol abuse often leads to a severe form of thiamin deficiency, **Wernicke-Korsakoff syndrome**. Alcohol contributes energy but carries almost no nutrients with it and often displaces food from the diet. In addition, alcohol impairs absorption of thiamin from the digestive tract

Figure 7–16

Beriberi

Beriberi takes two forms: wet beriberi, characterized by edema (fluid accumulation), and dry beriberi, without edema. This person's ankle retains the imprint of the physician's thumb, showing the edema of wet beriberi.

SPL/Science Source

thiamin (THIGH-uh-min) a B vitamin involved in the body's use of fuels.

beriberi (berry-berry) the thiamin-deficiency disease; characterized by loss of sensation in the hands and feet, muscular weakness, advancing paralysis, and abnormal heart action.

Wernicke-Korsakoff (VER-nik-ee KOR-sah-koff) **syndrome** a cluster of symptoms involving nerve damage arising from a deficiency of the vitamin thiamin in alcoholism. Characterized by mental confusion, disorientation, memory loss, jerky eye movements, and staggering gait.

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A Consumer's Guide to . . . The Effects of Food Processing on Vitamins

Consumers often wonder, "Do canned foods have any nutrient value left in them?" or "Is fresh or frozen food better than canned?" It is true, in general, that the more heavily processed a food, the less nutritious it may be. Ultra-processed foods (defined in Chapter 1) contribute much of the sodium, sugar, fats, and calories found in the U.S. diet.

The Nature of Processed Foods

Consumers often wrongly equate processed foods with junk foods, but they are not the same. Thanks to commercial food processing, few people in this country must spend their days grinding grains for bread, making cheese, or curing ham before they can make a sandwich. Commercial processing makes many products more convenient and accessible, as is the case for prewashed and cut fresh vegetables sold in bags. It also cuts down on food waste. For example, fresh tuna or salmon spoil quickly when fresh but can be safely stored for long periods when they are canned because canning destroys microorganisms that cause spoilage. Canned fish also costs much less than fresh and it retains most of its important vitamins, too.

Vitamin Survival

Many forms of processing destroy vitamins, but the effect of processing on a food's vitamin content depends upon the nutrient and the process. Consider the vitamin C content of common forms of orange juice:

- *Fresh squeezed, not from concentrate.* Juice extracted from the fibrous structures of whole oranges is quickly packaged, pasteurized, and refrigerated. (Almost all of the vitamin C is retained: 8 ounces provides 120 milligrams of vitamin C.)
- *Condensed, made from concentrate* Fresh-squeezed juice is condensed by heat and pressure, and then frozen. It may be sold as frozen concentrate or reconstituted by adding water and then packaged in cartons to be sold as refrigerated juice. (Condensing destroys a small amount of vitamin C: 8 ounces of reconstituted juice provides 97 milligrams of vitamin C.)
- *Canned.* Fluid juice, most often reconstituted, is heated to sterilize it during canning. (Heating destroys more vitamin C: 8 ounces provides 75 milligrams of vitamin C.)

The numbers indicate that fresh juice is superior for vitamin C, but consider this: one 8-ounce serving of any of these choices meets or nearly meets an adult's entire daily need for vitamin C.

Canned or Frozen?

After harvest, cellular enzymes in fruit and vegetables continuously break down vitamins, causing significant losses over time. Freezing dramatically slows this enzymatic breakdown, and preserves almost all of the vitamins present at harvest. As for canning, it requires heating foods to a high enough temperature for long enough to destroy microbes that may be present. This heating process also denatures enzymes and stops enzymatic vitamin destruction. However, heating itself destroys a small amount of vitamins. Of those remaining intact, about half dissolve into the canning liquid, which is typically discarded. This doesn't make canned foods poor choices for vitamin nutrition—they can be good sources, particularly if their liquid is consumed. They are also economical, convenient to store, and easy to prepared.

Commercial Processing Mischief

Some processes are even harder on vitamins. As mentioned earlier, commercial frying can destroy virtually all of the vitamin E in oil. Another severe process involves **extrusion**, used to make many ultra-processed foods. Such foods may look pretty and taste delicious, but exposure to heat and oxidation during extrusion destroys an estimated 30 percent of the vitamin A, 50 percent of the vitamin K, and 90 percent of the vitamin C in the food, with similar losses for almost every other vitamin. Manufacturers may try to compensate by spraying on a few vitamins or minerals, but they cannot replace all of the nutrients, fibers, and phytochemicals lost from the original whole foods.

Moving Ahead

The nutrient density of processed foods exists on a continuum, from farm fresh to ultra-processed:

- Whole-grain bread > enriched white bread > packaged snack cakes.
- Milk > fruit-flavored yogurt > "yogurt" covered raisin candy.
- Fresh spinach > canned spinach > extruded green "vegetable" chips.

extrusion processing techniques that transform grains, legumes, and other foods into fine particles that are cooked, shaped, colored, flavored, and often puffed, producing snacks, breakfast cereals, and other products.

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An occasional serving of an ultra-processed food is tolerable in nutrition. Just don't use it as a staple food. (Table 7–7 offers some tips). With this information, you can make choices that deliver the bounty of the vitamins that foods contain.

Review Questions*

1. All processed foods can be classified as junk foods. T F
2. Freezing is better than canning for preserving vitamins in foods. T F
3. One eight-ounce serving of canned orange juice provides most adults' daily need for vitamin C. T F

**Answers to Consumer's Guide review questions are in Appendix G.*

Table 7–7

Minimizing Vitamin Losses

Each of these tactics saves a small percentage of the vitamins in foods but, repeated each day, can add up to a significant amount over time.

Prevent enzymatic destruction:

- Refrigerate most fresh fruit, vegetables, and juices to slow breakdown of vitamins.

Protect from light and air:

- Store milk and enriched grain products in opaque containers to protect riboflavin from light, which destroys it.
- Store cut fruit and vegetables in the refrigerator in airtight wrappers; reseal opened juice containers before refrigerating.

Prevent heat destruction or losses in water:

- Wash intact fruit and vegetables before cutting or peeling to prevent vitamin losses during washing.
- Cook fruit and vegetables in a microwave oven, or quickly stir fry, or steam them over a small amount of water to preserve heat-sensitive vitamins and to prevent vitamin losses in cooking water. Recapture dissolved vitamins by using cooking water for soups, stews, or gravies.
- Avoid high temperatures and long cooking times.

and hastens its excretion in the urine, tripling the risk of deficiency. The syndrome is characterized by symptoms almost indistinguishable from alcohol abuse itself: apathy, irritability, mental confusion, disorientation, memory loss, jerky eye movements, and a staggering gait (listed in Snapshot 7–6). Unlike alcohol toxicity, the syndrome responds quickly to an injection of thiamin.

Snapshot 7–6 Thiamin

DRI
Men: 1.2 mg/day
Women: 1.1 mg/day

Chief Functions
Part of coenzyme active in energy metabolism

Deficiency[a]
Beriberi with possible edema or muscle wasting; enlarged heart, heart failure, muscular weakness, pain, apathy, poor short-term memory, confusion, irritability, difficulty walking, paralysis, jerky eye movements, anorexia, weight loss

Toxicity
None reported

**This is a sampling of foods that provide 10% or more of the thiamin Daily Value in a serving. For a 2,000-cal diet, the DV is 1.2 mg/day.*
[a]Severe thiamin deficiency is often related to heavy alcohol consumption.

Good Sources*

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Snapshot 7–7 Riboflavin

DRI
Men: 1.3 mg/day
Women: 1.1 mg/day

Chief Functions
Part of coenzyme active in energy metabolism

Deficiency
Cracks and redness at corners of mouth; painful, smooth, purplish red tongue; sore throat; inflamed eyes and eyelids, sensitivity to light; skin rashes

Toxicity
None reported

**This is a sampling of foods that provide 10% or more of the riboflavin Daily Value in a serving. For a 2,000-cal diet, the DV is 1.3 mg/day.*

Good Sources*

Daniel Gilbey Photography - My portfolio/Shutterstock.com; stavklem/Shutterstock.com; Africa Studio/Shutterstock.com; Serghei Starus/Shutterstock.com; Yasonya/Shutterstock.com; Joe Gough/Shutterstock.com; Gyorgy Barna/Shutterstock.com; Roxana Bashyrova/Shutterstock.com

Recommended Intakes and Food Sources The DRI committee set the thiamin intake recommendation at 1.2 milligrams per day for men and at 1.1 milligrams per day for women. Pregnancy and lactation demand somewhat more thiamin (see the DRI, back of the book, p. B). Thiamin occurs in small amounts in many nutritious foods. Ham and other pork products, sunflower seeds, enriched and whole-grain cereals, and legumes are especially rich in thiamin. If you keep empty-calorie foods to a minimum and focus your meals on nutritious foods each day, you will easily meet your thiamin needs.

Key Points

- Thiamin is a coenzyme important in energy metabolism and in nerve cell processes.
- The thiamin deficiency disease is beriberi.
- Many foods supply small amounts of thiamin.

Riboflavin

Like thiamin, **riboflavin** plays a role as a coenzyme in the energy metabolism pathways of all cells. When thiamin is deficient, riboflavin may be lacking, too, but its deficiency symptoms, such as cracks at the corners of the mouth, sore throat, or hypersensitivity to light, may go undetected because those of thiamin deficiency are more severe. Worldwide, riboflavin deficiency has been documented among children whose diets lack milk products and meats, and researchers suspect that it occurs among some U.S. elders as well. A dietary pattern that remedies riboflavin deficiency invariably contains some thiamin and so clears up both deficiencies.

Riboflavin recommendations are listed in Snapshot 7–7. People in this country obtain over a quarter of their riboflavin from enriched breads, cereals, pasta, and other grain products, while dairy products supply another 20 percent. Certain vegetables, eggs, and meats contribute most of the rest (see Snapshot 7–7). Ultraviolet light and irradiation destroy riboflavin. For these reasons, milk is sold in cardboard or opaque

riboflavin (RIBE-o-flay-vin) a B vitamin active in the body's energy-releasing mechanisms.

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plastic containers, and precautions are taken if milk is processed by irradiation. Riboflavin is heat stable, so cooking does not destroy it.

Key Points

- Riboflavin's coenzymes are important in energy metabolism.
- Riboflavin is destroyed by ordinary light.

Niacin

The vitamin **niacin**, like thiamin and riboflavin, participates in the energy metabolism of every cell. A deficiency causes serious illness.

Niacin Deficiency The niacin-deficiency disease **pellagra** appeared in Europe in the 1700s when corn from the New World became a staple food. During the early 1900s in the United States, pellagra was devastating lives throughout the South and Midwest. Hundreds of thousands of pellagra victims were thought to be suffering from a contagious disease until this dietary deficiency was identified. The disease still occurs among poorly nourished people living in disadvantaged urban areas and particularly among those with alcohol addiction.[35] Pellagra is also still common in parts of Africa and Asia.[36] Its symptoms are known as the four "Ds": diarrhea, dermatitis, dementia, and, ultimately, death.

Early workers seeking the cause of pellagra observed that well-fed people never got it. From there, the researchers defined a dietary pattern that reliably produced the disease—one of cornmeal, salted pork fat, and molasses. Corn not only is low in protein but also lacks tryptophan. Salt pork is almost pure fat and contains too little protein to compensate, and molasses is virtually protein-free.

Figure 7–17 shows the skin disorder (dermatitis) associated with pellagra. For comparison, Figure 7–3 (p. 220) and Figure 7–21 (p. 248) show skin disorders associated with vitamin A and vitamin B_6 deficiencies, respectively. These figures serve as reminders that any nutrient deficiency affects the skin as well as all other cells; the skin just happens to be the organ you can see. Table 7–9 at the end of the chapter lists the symptoms of niacin deficiency.

Niacin Pharmacology and Toxicity Niacin compounds once seemed promising for controlling blood lipids in people with heart disease, but current evidence is lacking to support this use.[37] Niacin is relatively harmless, but large doses have been associated with liver injury, digestive upset, impaired glucose tolerance, serious infection, muscle weakness, and, rarely, vision disturbances. Anyone considering taking large doses of niacin on their own should instead consult a physician who can prescribe safe, effective alternatives.

Niacin Recommendations and Food Sources Niacin recommendations are listed in Snapshot 7–8 (p. 244). The key nutrient that prevents pellagra is niacin, but any protein containing sufficient amounts of the amino acid tryptophan will serve in its place. Tryptophan, which is abundant in almost all proteins (but is limited in the protein of corn), is converted to niacin in the body, and it is possible to cure pellagra by administering tryptophan alone. Thus, a person eating adequate protein (as most people in developed nations do) will not be deficient in niacin. The amount of niacin in a diet is stated in terms of **niacin equivalents (NE)**, a measure that takes available tryptophan into account. Snapshot 7–8 shows some good food sources of niacin.

Key Points

- Niacin forms coenzymes important in energy metabolism.
- Niacin deficiency causes the disease pellagra, which can be prevented by adequate niacin intake or adequate dietary protein.
- The amino acid tryptophan can be converted to niacin in the body.

Folate

To make new cells, tissues must have the vitamin **folate**. Each new cell must be equipped with new genetic material—copies of the parent cell's DNA—and folate helps synthesize DNA and regulate its activities. Folate also participates in the metabolism of vitamin B_{12} and several amino acids.

Figure 7–17
Pellagra

The typical "flaky paint" dermatitis of pellagra develops on skin that is exposed to light. The skin darkens and flakes away.

Dr M.A. Ansary/Science Source

niacin a B vitamin needed in energy metabolism. Niacin can be eaten preformed or made in the body from tryptophan, one of the amino acids. Other forms of niacin are *nicotinic acid*, *niacinamide*, and *nicotinamide*.

pellagra (pell-AY-gra) the niacin-deficiency disease (*pellis* means "skin"; *agra* means "rough"). Symptoms include the "4 Ds": diarrhea, dermatitis, dementia, and, ultimately, death.

niacin equivalents (NE) the amount of niacin present in food, including the niacin that can theoretically be made from its precursor tryptophan that is present in the food.

folate (FOH-late) a B vitamin that acts as part of a coenzyme important in the manufacture of new cells. The form added to foods and supplements is *folic acid*.

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Snapshot 7–8 Niacin

DRI
Men: 16 mg/day[a]
Women: 14 mg/day

Tolerable Upper Intake Level
Adults: 35 mg/day

Chief Functions
Part of coenzymes needed in energy metabolism

Deficiency
Pellagra, characterized by flaky skin rash (dermatitis) where exposed to sunlight; mental depression, apathy, fatigue, loss of memory, headache; diarrhea, abdominal pain, vomiting; swollen, smooth, bright red or black tongue

Toxicity
Painful flush, hives, and rash ("niacin flush"); excessive sweating; blurred vision; liver damage, impaired glucose tolerance

**This is a sampling of foods that provide 10% or more of the niacin Daily Value in a serving. For a 2,000-cal diet, the DV is 16 mg/day. The DV values are for preformed niacin, not niacin equivalents.*
[a]Niacin DRI values are expressed in niacin equivalents (NE); the Tolerable Upper Intake Level refers to preformed niacin.

Good Sources*

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Folate Deficiency Folate deficiencies may result from following a dietary pattern that is too low in folate or from illnesses that impair folate absorption, increase folate excretion, require medication that interacts with folate, or otherwise increase the body's folate need. However it occurs, folate deficiency has wide-reaching effects.

Anemia Immature red and white blood cells and the cells of the digestive tract divide most rapidly and therefore are most vulnerable to folate deficiency. Deficiencies of folate cause anemia, impaired immunity, and abnormal digestive function. The anemia of folate deficiency is related to the anemia of vitamin B_{12} malabsorption because the two vitamins work as teammates in producing red blood cells.

Folate and Cancer Research links a chronic deficiency of folate with greater risks for developing breast cancer (particularly among women who drink alcohol), prostate cancer, and other cancers.[38] The relationship between cancer and folate is not simple: research also suggests that high doses from supplements may speed up cancer progression.[39]

Drug Interactions Of all the vitamins, folate is most likely to interact with medications. Many drugs, including antacids and aspirin and its relatives, have been shown to interfere with the body's use of folate. Occasional use of these drugs to relieve headaches or stomach upsets poses no concern, but frequent users may need to pay attention to their folate intakes. These include people with chronic pain or ulcers who rely heavily on aspirin or antacids, as well as those who smoke or take oral contraceptives or anticonvulsant medications.

neural tube defects (NTD) abnormalities of the brain and spinal cord apparent at birth and associated with low folate intake in women before and during pregnancy. The neural tube is the earliest brain and spinal cord structure formed during gestation. Also defined in Chapter 13.

A Success Story: Folate Enrichment By consuming enough folate both before and during pregnancy, a woman can reduce her child's risk of having one of the devastating birth defects known as **neural tube defects (NTD)**. NTD range from slight problems in the spine to mental retardation, severely diminished brain size, and death shortly after birth (an example of an NTD, spina bifida, is shown in Figure 7–18). NTD

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arise in the first days or weeks of pregnancy, long before most women suspect that they are pregnant. Adequate maternal folate may protect against other related birth defects, cleft lip, and miscarriages, as well.

Most young women eat too few fruits and vegetables from day to day to supply even half the folate needed to prevent NTD.[40] In the late 1990s, the Food and Drug Administration (FDA) ordered that all enriched grain products such as bread, cereal, rice, and pasta sold in the United States be fortified with an absorbable synthetic form of folate, *folic acid*. Since this fortification began, typical folate intakes from fortified foods have increased dramatically, along with average blood folate values. Among women of childbearing age, for example, prevalence of folate deficiency fell from 21 percent before folate fortification to less than 1 percent afterward. During the same period, the U.S. incidence of NTD dropped by more than a third, a success story that sparked a worldwide trend toward folate fortification (see Figure 7–19).

Can Folate Cause Toxicity? A UL for synthetic folic acid from enriched foods and supplements is set at 1,000 micrograms a day for adults. The current level of folate fortification of the food supply appears to be safe for most people, but a question remains about the ability of folate to mask a **subclinical deficiency** of vitamin B_{12} (more about this effect later). People who exceed the UL for folate are likely to face other risks, as well.[41]

Folate Recommendations The DRI for folate for healthy adults is set at 400 micrograms per day. With one voice, nutrition authorities advise all women of childbearing age to consume 400 micrograms of *folic acid*, a highly available form of folate, from supplements or enriched foods each day in addition to the folate that occurs naturally in foods. The U.S. Preventive Services Task Force, a panel of experts who make national fortification recommendations, is currently reviewing evidence for both the benefits and potential risks associated with folate fortification.[42]

Which Foods Supply Folate? The name *folate* is derived from the word *foliage*, and sure enough, leafy green vegetables such as spinach and turnip greens provide abundant folate. As Snapshot 7–9 (p. 246) shows, legumes and asparagus are also excellent sources. Because heat and oxidation destroy much of the folate in foods, lightly cooked fresh vegetables are best (see the Consumer's Guide, p. 240).

Figure 7–18

Spina Bifida, a Neural Tube Defect

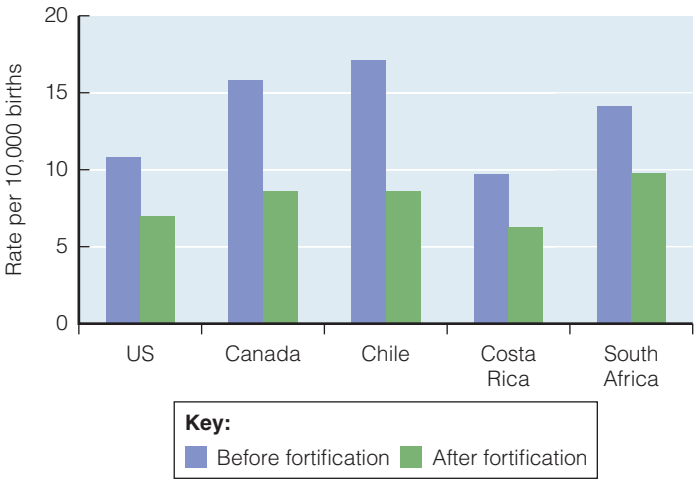
Spina bifida is characterized by incomplete closure of the bony encasement of the spinal cord. The cord may protrude abnormally from the spine, as shown.

Medicimage Education Services Limited/ Alamy Stock Photo

Figure 7–19

Effect of Folic Acid Fortification on the Prevalence of Neural Tube Defects

Far fewer neural tube defects have occurred since countries began fortifying their food supplies with folate.



Source: Centers for Disease Control and Prevention, Folic acid: Birth defects COUNT, (2017), available at www.cdc.gov/ncbddd/birthdefectscount/data.html.

subclinical deficiency a nutrient deficiency that has no outward clinical symptoms. Also called *marginal deficiency*.

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Snapshot 7–9 Folate

DRI
Adults: 400 μg DFE/day[a]

Tolerable Upper Intake Level
Adults: 1,000 μg DFE/day

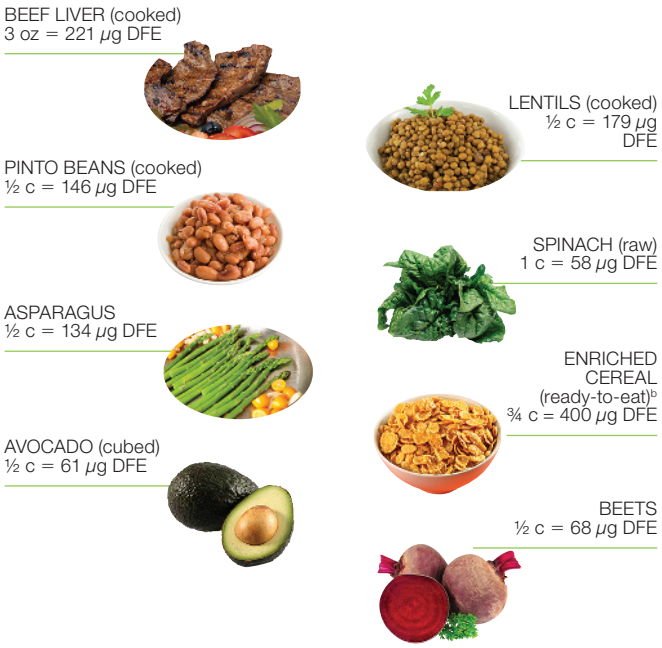
Chief Functions
Part of a coenzyme needed for new cell synthesis

Deficiency
Anemia, smooth, red tongue; depression, mental confusion, weakness, fatigue, irritability, headache; a low intake increases the risk of neural tube birth defects

Toxicity
Masks vitamin B_{12}–deficiency symptoms

**This is a sampling of foods that provide 10% or more of the folate Daily Value in a serving. For a 2,000-cal diet, the DV is 400 μg/day.*
[a]Folate recommendations are expressed in dietary folate equivalents (DFE). Note that for natural folate sources, 1 μg = 1 DFE; for enrichment sources, 1 μg = 1.7 DFE.
[b]Some highly enriched cereals may provide 400 μg or more in a serving.

Good Sources*



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A difference in absorption between naturally occurring food folate and synthetic folic acid necessitates compensation when measuring folate. The unit of measure, **dietary folate equivalent**, or **DFE**, converts all forms of folate into micrograms that are equivalent to the folate in foods. Appendix C demonstrates how to use the DFE conversion factor.

Key Points

- Folate is part of a coenzyme necessary for making new cells.
- Low intakes of folate cause anemia, digestive problems, and birth defects in infants of folate-deficient mothers.
- High intakes can mask the blood symptom of a vitamin B_{12} deficiency.

Vitamin B_{12}

Vitamin B_{12} and folate are closely related: each depends on the other for activation. Vitamin B_{12} also functions as part of coenzymes needed in cell replication, and it helps maintain the protective sheaths that surround and protect nerve fibers, allowing them to function properly.

Vitamin B_{12} Deficiency Symptoms Without sufficient vitamin B_{12}, folate fails to do its blood-building work, so vitamin B_{12} deficiency causes an anemia identical to that caused by folate deficiency. The blood symptoms of a deficiency of either folate or vitamin B_{12} include the presence of large, immature red blood cells. Administering extra folate often clears up this blood condition but allows the deficiency of vitamin B_{12} to continue undetected. Vitamin B_{12}'s other functions then become compromised, and the results can be devastating: damaged nerve sheaths, creeping paralysis, and general malfunctioning of nerves and muscles. Even a marginal vitamin B_{12} deficiency may impair mental functioning in the elderly, worsening dementia.[43]

dietary folate equivalent (DFE) a unit of measure expressing the amount of folate available to the body from naturally occurring sources. The measure mathematically equalizes the difference in absorption between less absorbable food folate and highly absorbable synthetic folate (folic acid) added to enriched foods and found in supplements.

vitamin B_{12} a B vitamin that helps to convert folate to its active form and also helps to maintain the sheath around nerve cells. The vitamin's scientific name, not often used, is *cyanocobalamin*.

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A Special Case: Vitamin B_{12} Malabsorption For vitamin B_{12}, deficiencies most often reflect poor absorption that occurs for one of two reasons:

- The stomach produces too little acid to liberate vitamin B_{12} from food.
- **Intrinsic factor**, a compound made by the stomach and needed for absorption, is lacking.

Once the stomach's acid frees vitamin B_{12} from the food proteins that bind it, intrinsic factor attaches to the vitamin, and the complex is absorbed into the bloodstream. The anemia of the vitamin B_{12} deficiency caused by lack of intrinsic factor is known as **pernicious anemia** (see Figure 7–20).

In a few people, an inborn defect in the gene for intrinsic factor begins to impair vitamin B_{12} absorption by mid-adulthood. With age, many others lose their ability to produce enough stomach acid and intrinsic factor to allow efficient absorption of vitamin B_{12}.* Intestinal diseases, surgeries, certain medications, or stomach infections with an ulcer-causing bacterium can also impair absorption. In cases of malabsorption, vitamin B_{12} must be supplied by injection or via nasal spray to bypass the defective absorptive system.

Which Foods Supply Vitamin B_{12}? As Snapshot 7–10 (p. 248) shows, vitamin B_{12} is naturally supplied only by foods of animal origin, so vegans face a threat of vitamin B_{12} deficiency. Controversy 6 discussed vitamin B_{12} sources for vegetarians.

Perspective The way folate masks the anemia of vitamin B_{12} deficiency underscores one point about supplements. It takes a skilled professional to correctly diagnose and treat a nutrient deficiency, and self-diagnosing or acting on advice from self-proclaimed experts poses serious risks. A second point: because vitamin B_{12} deficiency in the body may be caused by either a lack of the vitamin in the diet or a lack of the intrinsic factor necessary to absorb the vitamin, a dietary change alone may not correct the deficiency. A professional diagnosis can identify such problems.

Key Points

- Vitamin B_{12} is critical for cell replication and proper nerve functioning.
- Vitamin B_{12} occurs only in foods of animal origin.
- Vitamin B_{12}–deficiency anemia mimics folate deficiency and arises with low intakes or, more often, poor absorption.
- Folate supplements can mask a vitamin B_{12} deficiency.

Vitamin B_6

Vitamin B_6 participates in more than 100 reactions in body tissues and is needed to help convert one kind of amino acid, which cells have in abundance, to other nonessential amino acids that the cells lack. In addition, vitamin B_6 functions in these ways:

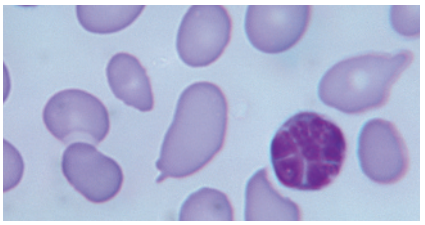
- Aids in the conversion of tryptophan to niacin.
- Plays important roles in the synthesis of hemoglobin and neurotransmitters, the communication molecules of the brain. (For example, vitamin B_6 assists the conversion of the amino acid tryptophan to the mood-regulating neurotransmitter **serotonin**.)
- Assists in releasing stored glucose from glycogen and thus contributes to the maintenance of a normal blood glucose concentration.
- Plays roles in immune function and steroid hormone activity.
- Is critical to normal development of the fetal brain and nervous system; deficiency during this stage causes behavioral problems later.

Vitamin B_6 Deficiency Because of these diverse functions, vitamin B_6 deficiency is expressed in general symptoms, such as weakness, psychological depression, confusion,

*This condition is atrophic gastritis (a-TROH-fik gas-TRY-tis), chronic inflammation of the stomach accompanied by wasting and impaired function of the stomach's mucous membrane and glands.

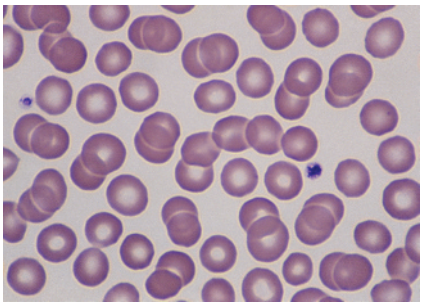
Figure 7–20
Anemic and Normal Blood Cells

The anemia of folate deficiency is indistinguishable from that of vitamin B_{12} deficiency.



Carolina Biological/Visuals Unlimited

Blood cells of pernicious anemia. *The cells are larger than normal and irregular in shape.*



Ed Reschke/Getty Images

Normal blood cells. *The size, shape, and color of these red blood cells show that they are normal.*

intrinsic factor a factor made by the stomach that is necessary for absorption of vitamin B_{12} and prevention of pernicious anemia.

pernicious (per-NISH-us) **anemia** a vitamin B_{12}–deficiency disease, caused by lack of intrinsic factor and characterized by large, immature red blood cells and damage to the nervous system (*pernicious* means "highly injurious or destructive").

vitamin B_6 a B vitamin needed in protein metabolism. Its three active forms are *pyridoxine*, *pyridoxal*, and *pyridoxamine*.

serotonin (sare-oh-TONE-in) a neurotransmitter important in sleep regulation, appetite control, and mood regulation, among other roles. Serotonin is synthesized in the body from the amino acid tryptophan with the help of vitamin B_6.

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Snapshot 7–10 Vitamin B_{12}

DRI
Adults: 2.4 μg/day

Chief Functions
Part of coenzymes needed in new cell synthesis; helps to maintain nerve cells

Deficiency
Pernicious anemia;[a] anemia (large-cell type);[b] smooth tongue; tingling or numbness; fatigue, memory loss, disorientation, degeneration of nerves progressing to paralysis

Toxicity
None reported

**This is a sampling of foods that provide 10% or more of the vitamin B_{12} Daily Value in a serving. For a 2,000-cal diet, the DV is 2.4 μg/day.*
[a]The name pernicious anemia *refers to the vitamin B_{12} deficiency caused by a lack of stomach intrinsic factor but not to anemia from inadequate dietary intake.*
[b]Large cell-type anemia is known as either macrocytic or megaloblastic anemia.

Good Sources*

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Figure 7–21
Vitamin B_6 Deficiency

In this dermatitis, the skin is greasy and flaky, unlike the skin affected by the dermatitis of pellagra.

Warut Chinsai/Shutterstock.com

irritability, and insomnia. Other symptoms include anemia, the greasy dermatitis depicted in Figure 7–21, and, in advanced cases of deficiency, convulsions. A shortage of vitamin B_6 may also weaken the immune response. Some evidence links low vitamin B_6 intakes with increased risks of certain cancers and cardiovascular disease; more research is needed to clarify these relationships.[44]

Vitamin B_6 Toxicity Years ago, it was generally believed that, like most of the other water-soluble vitamins, vitamin B_6 could not reach toxic concentrations in the body. Then a report told of women who took more than 2 grams of vitamin B_6 daily for months (20 times the current UL of 100 *milligrams* per day), attempting to cure premenstrual syndrome (science doesn't support this use). The women developed numb feet, then lost sensation in their hands, and eventually became unable to walk or work. Withdrawing the supplement reversed the symptoms. Today, an increasing number of reports of nerve symptoms and nerve damage in people taking vitamin B_6 has led researchers to probe into the mechanisms that underlie its toxicity.[45]

Food sources of vitamin B_6 are safe. Consider that one small capsule can easily deliver 2 grams of vitamin B_6 but it would take almost 3,000 bananas, more than 1,600 servings of liver, or more than 3,800 chicken breasts to supply an equivalent amount. Moral: stick with food. Table 7–9 (pp. 252–254) lists common deficiency and toxicity symptoms and food sources of vitamin B_6.

Vitamin B_6 Recommendations and Sources Vitamin B_6 plays so many roles in protein metabolism that the body's requirement for vitamin B_6 is roughly proportional to protein intakes. The DRI committee set the vitamin B_6 intake recommendation high enough to cover most people's needs, regardless of differences in protein intakes (see the back of the book, p. B). Meats, fish, and poultry (protein-rich foods); potatoes; leafy green vegetables; and some fruits are good sources of vitamin B_6 (see Snapshot 7–11). Other foods such as legumes and peanut butter provide smaller amounts.

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Snapshot 7–11 Vitamin B_6

DRI
Adults (19–50 yr): 1.3 mg/day

Tolerable Upper Intake Level
Adults: 100 mg/day

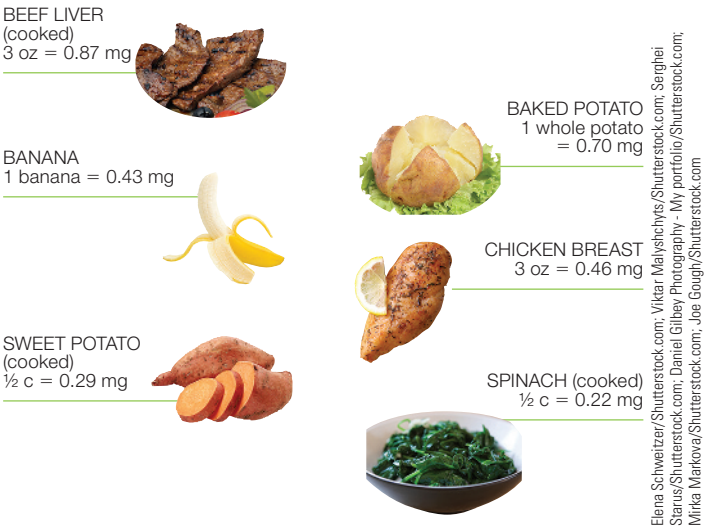
Chief Functions
Part of a coenzyme needed in amino acid and fatty acid metabolism; helps to convert tryptophan to niacin and to serotonin; helps to make hemoglobin for red blood cells

Deficiency
Anemia, depression, confusion, convulsions; greasy, scaly dermatitis

Toxicity
Depression, fatigue, irritability, headaches, nerve damage causing numbness and muscle weakness progressing to an inability to walk and convulsions; skin lesions

** This is a sampling of foods that provide 10% or more of the vitamin B_6 Daily Value in a serving. For a 2,000-cal diet, the DV is 1.7 mg/day.*

Good Sources*



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Key Point

- Vitamin B_6 works in amino acid metabolism.

Biotin and Pantothenic Acid

Two other B vitamins, **biotin** and **pantothenic acid**, are, like thiamin, riboflavin, and niacin, important in energy metabolism. Biotin is a coenzyme for several enzymes in the metabolism of carbohydrate, fat, and protein. In addition, researchers are actively investigating new roles for biotin, particularly in gene expression.[46] Biotin is widespread in foods, so eating a variety of foods prevents deficiency. Also, intestinal bacteria release biotin that can be absorbed. No adverse effects from high biotin intakes have been reported, but some research indicates that high-dose biotin supplementation may damage DNA. No UL has yet been set for biotin.

Pantothenic acid is a component of a key coenzyme that makes possible the release of energy from the energy nutrients. It also participates in more than 100 steps in the synthesis of lipids, neurotransmitters, steroid hormones, and hemoglobin.

Although rare diseases may precipitate deficiencies of biotin and pantothenic acid, healthy people eating ordinary diets are not at risk for deficiencies. A steady diet of raw egg whites, which contain a protein that binds biotin, can produce biotin deficiency, but you would have to consume more than two dozen raw egg whites daily to produce the effect. Cooking eggs denatures the protein.

Key Points

- Biotin and pantothenic acid are parts of coenzymes important in energy metabolism and in the synthesis of lipids, hormones, and other vital cell components.
- Biotin and pantothenic acid are adequately supplied in a well-balanced diet.

Choline

Choline, although not defined as a vitamin, might be called a conditionally essential nutrient. When the diet is devoid of choline, the body cannot make enough of the compound to meet its needs, and choline plays important roles in fetal development, particularly in the brain.[47] Choline is widely supplied by protein-rich foods (eggs are a particularly

biotin (BY-o-tin) a B vitamin; a coenzyme necessary for fat synthesis and other metabolic reactions.

pantothenic (PAN-to-THEN-ic) **acid** a B vitamin and part of a critical coenzyme needed in energy metabolism, among other roles.

choline (KOH-leen) a nutrient used to make the phospholipid lecithin and other molecules.

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good source), yet many U.S. adults and pregnant women fail to consume enough choline to meet the recommended adequate intake.[48] Additionally, choline needs may rise in pregnancy (see Chapter 13). DRI values have been set for choline (see the back of the book, p. B).

Key Points

- Choline can be made by the body but more is also needed from the diet.
- Many U.S. adults do not consume the DRI amount of choline, but effects on health are uncertain.

Nonvitamins

The compounds **carnitine**, **inositol**, and **lipoic acid** might appropriately be called *nonvitamins* because they are not essential nutrients for human beings. Carnitine, sometimes called "vitamin BT," assists in cellular metabolism, but it is not a vitamin. Although deficiencies can be induced in laboratory animals for experimental purposes, these substances are abundant in ordinary foods. Vitamin companies often include carnitine, inositol, or lipoic acid to make their formulas appear more "complete," but there is no physiological reason to do so.

Other substances have been mistakenly thought to be essential in human nutrition because they are needed for growth by bacteria or other life-forms. These substances include PABA (para-aminobenzoic acid), bioflavonoids ("vitamin P" or hesperidin), and ubiquinone (coenzyme Q). Other names you may hear are "vitamin B_{15}" and pangamic acid (both hoaxes) or "vitamin B_{17}" (laetrile or amygdalin, not a cancer cure as claimed and not a vitamin by any stretch of the imagination).*

This chapter has addressed all 13 of the vitamins. Table 7-8 sums up basic facts about the fat-soluble vitamins, and Table 7-9 deals with the water-soluble vitamins.

Key Point

- Many other substances that people claim are vitamins are not.

carnitine a nonessential nutrient that functions in cellular activities.

inositol (in-OSS-ih-tall) a nonessential nutrient found in cell membranes.

lipoic (lip-OH-ic) **acid** a nonessential nutrient.

* Read about these and many other claims at the website of the National Council Against Health Fraud, www.ncahf.org.

Table 7–8

The Fat-Soluble Vitamins—Functions, Deficiencies, and Toxicities

VITAMIN A

Other Names
Retinol, retinal, retinoic acid; main precursor is beta-carotene

Chief Functions in the Body
Retinol: Vision; health of cornea, epithelial cells, mucous membranes, skin; growth; regulation of gene expression; reproduction; embryonic development of spinal cord and heart; immunity
Beta-carotene: antioxidant

Deficiency Disease Name
Hypovitaminosis A

Significant Sources
Retinol: fortified milk, cheese, cream, butter, fortified margarine, eggs, liver
Beta-carotene: spinach and other dark, leafy greens; broccoli; deep orange fruit (apricots, cantaloupe) and vegetables (winter squash, carrots, sweet potatoes, pumpkin)

	Deficiency Symptoms	Toxicity Symptoms
Blood/Circulatory System	Anemia (small-cell type)[a]	Red blood cell breakage, cessation of menstruation, nosebleeds
Bones/Teeth	Cessation of growth, painful joints; impaired enamel formation, cracks in teeth, tendency toward tooth decay	Bone pain; growth retardation; difficulty gaining weight; increased pressure inside skull
Digestive System	Diarrhea, changes in intestinal and other body linings	Abdominal pain, nausea, vomiting, diarrhea, weight loss
Immune System	Frequent infections	Overreactivity
Nervous/Muscular System	Night blindness (retinal) Mental depression	Blurred vision, poor muscle coordination, fatigue, irritability, loss of appetite
Skin and Cornea	Keratinization, corneal degeneration leading to blindness[b], rashes	Dry skin, rashes; cracking and bleeding lips, brittle nails; hair loss; benign skin yellowing (beta-carotene)
Other	Kidney stones, impaired growth	Liver enlargement and liver damage; birth defects

(continued)

[a]*Small-cell type anemia is termed* microcytic anemia*; large-cell type anemia is* macrocytic *or* megaloblastic anemia.
[b]*Corneal degeneration progresses from* keratinization *(hardening) to* xerosis *(drying) to* xerophthalmia *(thickening, opacity, and irreversible blindness).*

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Table 7-8, The Fat-Soluble Vitamins—Functions, Deficiencies, and Toxicities (continued)

VITAMIN D

Other Names
Calciferol, cholecalciferol, dihydroxy vitamin D; precursor is cholesterol

Chief Functions in the Body
Mineralization of bones (raises blood calcium and phosphorus via absorption from digestive tract and by withdrawing calcium from bones and stimulating retention by kidneys)

Deficiency Disease Name
Rickets, osteomalacia

Significant Sources
Self-synthesis with sunlight; fortified milk and other fortified foods, cod liver oil, sardines, salmon

	Deficiency Symptoms	Toxicity Symptoms
Blood/Circulatory System		Elevated blood calcium; calcification of blood vessels and heart tissues
Bones/Teeth	Abnormal growth, misshapen bones (bowing of legs), soft bones, joint pain, malformed teeth	Calcification of tooth soft tissues; thinning of tooth enamel
Nervous/Muscular System	Muscle spasms	Excessive thirst, headaches, irritability, loss of appetite, weakness, nausea
Other		Calcification and harm to soft tissues (kidneys, lungs, joints); heart damage

VITAMIN E

Other Names
Alpha-tocopherol, tocopherol

Chief Functions in the Body
Antioxidant (quenching of free radicals), stabilization of cell membranes, support of immune function, protection of polyunsaturated fatty acids; normal nerve development

Deficiency Disease Name
(No name)

Significant Sources
Polyunsaturated plant oils (margarine, salad dressings, shortenings), green and leafy vegetables, wheat germ, whole-grain products, nuts, seeds

	Deficiency Symptoms	Toxicity Symptoms
Blood/Circulatory System	Red blood cell breakage, anemia	Augments the effects of anticlotting medication
Digestive System		General discomfort, nausea
Eyes		Blurred vision
Nervous/Muscular System	Nerve degeneration, weakness, difficulty walking, leg cramps	Fatigue

VITAMIN K

Other Names
Phylloquinone, naphthoquinone

Chief Functions in the Body
Synthesis of blood-clotting proteins and proteins important in bone mineralization

Deficiency Disease Name
(No name)

Significant Sources
Bacterial synthesis in the digestive tract; green leafy vegetables, cabbage-type vegetables, soybeans

	Deficiency Symptoms	Toxicity Symptoms
Blood/Circulatory System	Hemorrhage	Interference with anticlotting medication
Bones	Poor skeletal mineralization	

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Table 7–9

The Water-Soluble Vitamins—Functions, Deficiencies, and Toxicities

VITAMIN C

Other Names
Ascorbic acid

Chief Functions in the Body
Collagen synthesis (strengthens blood vessel walls, forms scar tissue, and matrix for bone growth), antioxidant, restores vitamin E to active form, hormone synthesis, supports immune cell functions, helps in absorption of iron

Deficiency Disease Name
Scurvy

Significant Sources
Citrus fruit, cabbage-type vegetables, dark green vegetables, cantaloupe, strawberries, peppers, lettuce, tomatoes, potatoes, papayas, mangoes

	Deficiency Symptoms	Toxicity Symptoms
Digestive System		Nausea, abdominal cramps, diarrhea, excessive urination
Immune System	Immune suppression, frequent infections	
Mouth, Gums, Tongue	Bleeding gums, loosened teeth	
Nervous/ Muscular System	Muscle degeneration and pain, depression, disorientation	Headache, fatigue, insomnia
Bones	Bone fragility, joint pain	Aggravation of gout
Skin	Pinpoint hemorrhages, rough skin, blotchy bruises	Rashes
Other	Anemia, failure of wounds to heal	Interference with medical tests; kidney stones in susceptible people

THIAMIN

Other Names
Vitamin B_1

Chief Functions in the Body
Part of a coenzyme needed in energy metabolism, supports normal appetite and nervous system function

Deficiency Disease Name
Beriberi (wet and dry)

Significant Sources
Occurs in all nutritious foods in moderate amounts; pork, ham, bacon, liver, whole and enriched grains, legumes, seeds

	Deficiency Symptoms	Toxicity Symptoms
Blood/Circulatory System	Edema, enlarged heart, abnormal heart rhythms, heart failure	(No symptoms reported)
Nervous/ Muscular System	Degeneration, wasting, weakness, pain, apathy, irritability, difficulty walking, loss of reflexes, jerky eye movements, mental confusion, paralysis	
Other	Anorexia; weight loss	

RIBOFLAVIN

Other Names
Vitamin B_2

Chief Functions in the Body
Part of a coenzyme needed in energy metabolism, supports normal vision and skin health

Deficiency Disease Name
Ariboflavinosis

Significant Sources
Milk, yogurt, cottage cheese, meat, liver, leafy green vegetables, whole-grain or enriched breads and cereals

	Deficiency Symptoms	Toxicity Symptoms
Mouth, Gums, Tongue	Cracks at corners of mouth[a], smooth magenta tongue[b], sore throat	(No symptoms reported)
Nervous System and Eyes	Hypersensitivity to light, reddening of cornea	
Skin	Skin rash	

(continued)

[a]*Cracks at the corners of the mouth are termed* cheilosis *(kee-LOH-sis).*

[b]*Smoothness of the tongue is caused by loss of its surface structures and is termed* glossitis *(gloss-EYE-tis).*

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Table 7-9, The Water-Soluble Vitamins—Functions, Deficiencies, and Toxicities (continued)

NIACIN

Other Names
Nicotinic acid, nicotinamide, niacinamide, vitamin B_3; precursor is dietary tryptophan

Chief Functions in the Body
Part of coenzymes needed in energy metabolism

Deficiency Disease Name
Pellagra

Significant Sources
Synthesized from the amino acid tryptophan; milk, eggs, meat, poultry, fish, whole-grain and enriched breads and cereals, nuts, and all protein-containing foods

	Deficiency Symptoms	Toxicity Symptoms
Digestive System	Diarrhea; vomiting; abdominal pain	Nausea, vomiting
Mouth, Gums, Tongue	Black or bright red swollen smooth tongue[b]	
Nervous System	Irritability, loss of appetite, weakness, headache, dizziness, mental confusion progressing to psychosis or delirium	
Skin	Flaky skin rash on areas exposed to sun	Painful flush and rash, sweating
Other		Liver damage; impaired glucose tolerance; vision disturbances

FOLATE

Other Names
Folic acid, folacin, pteroyglutamic acid

Chief Functions in the Body
Part of coenzymes needed for new cell synthesis

Deficiency Disease Name
(No name)

Significant Sources
Asparagus, avocado, leafy green vegetables, beets, legumes, seeds, liver; enriched bread, cereal, pasta, and grains

	Deficiency Symptoms	Toxicity Symptoms
Blood/Circulatory System	Anemia (large-cell type)[a], elevated homocysteine	Masks vitamin B_{12} deficiency
Digestive System	Heartburn, diarrhea, constipation	
Immune System	Suppression, frequent infections	
Mouth, Gums, Tongue	Smooth red tongue[b]	
Nervous/ Muscular System	Increased risk of neural tube birth defects; depression, mental confusion, fatigue, irritability, headache	Depression, mental confusion, fatigue, irritability, headache

VITAMIN B_{12}

Other Names
Cyanocobalamin

Chief Functions in the Body
Part of coenzymes needed in new cell synthesis, helps maintain nerve cells

Deficiency Disease Name
(No name)c

Significant Sources
Animal products (meat, fish, poultry, milk, cheese, eggs), enriched foods

	Deficiency Symptoms	Toxicity Symptoms
Blood/Circulatory System	Anemia (large-cell type)[a,c]	(No toxicity symptoms known)
Mouth, Gums, Tongue	Smooth tongue[b]	
Nervous/ Muscular System	Fatigue, nerve degeneration progressing to paralysis	
Skin	Tingling or numbness	

(continued)

[a]*Small cell–type anemia is termed* microcytic anemia*; large cell–type is* macrocytic *or* megaloblastic anemia*.*
[b]*Smoothness of the tongue is caused by loss of its surface structures and is termed* glossitis *(gloss-EYE-tis).*
[c]*The name* pernicious anemia *refers to the vitamin B_{12} deficiency caused by lack of intrinsic factor but not to that caused by inadequate dietary intake.*

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Table 7-9, The Water-Soluble Vitamins—Functions, Deficiencies, and Toxicities (continued)

VITAMIN B_6

Other Names
Pyridoxine, pyridoxal, pyridoxamine

Chief Functions in the Body
Part of a coenzyme needed in amino acid and fatty acid metabolism, helps convert tryptophan to niacin and to serotonin, helps make red blood cells

Deficiency Disease Name
(No name)

Significant Sources
Meats, fish, poultry, liver, legumes, fruit, potatoes, whole grains, soy products

	Deficiency Symptoms	Toxicity Symptoms
Blood/Circulatory System	Anemia (small-cell type)[a]	Bloating
Nervous/ Muscular System	Depression, confusion, convulsions	Depression, fatigue, irritability, headaches, numbness, damage to nerves, difficulty walking, loss of reflexes, restlessness, convulsions
Skin	Rashes; greasy, scaly dermatitis	Skin lesions

PANTOTHENIC ACID

Other Names
(None)

Chief Functions in the Body
Part of a coenzyme critical for energy metabolism

Deficiency Disease Name
(No name)

Significant Sources
Widespread in foods

	Deficiency Symptoms	Toxicity Symptoms
Digestive System	Vomiting, intestinal distress	Water retention (infrequent)
Nervous/ Muscular System	Insomnia, fatigue	
Other	Hypoglycemia, increased sensitivity to insulin	

BIOTIN

Other Names
(None)

Chief Functions in the Body
An enzyme needed in energy metabolism, fat synthesis, amino acid metabolism, and glycogen synthesis

Deficiency Disease Name
(No name)

Significant Sources
Widespread in foods; instestinal bacteria

	Deficiency Symptoms	Toxicity Symptoms
Blood/Circulatory System	Abnormal heart action	(No toxicity symptoms reported)
Digestive System	Loss of appetite, nausea	
Nervous/ Muscular System	Depression, muscle pain, weakness, fatigue, numbness of extremities	
Skin	Dry around eyes, nose, and mouth	

[a]*Small-cell type anemia is termed* microcytic anemia*; large-cell type is* macrocytic *or* megaloblastic anemia.

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Food Feature Choosing Foods Rich in Vitamins

LO 7.11 Describe how to choose foods to meet vitamin needs.

On learning how important the vitamins are to their health, most people want to choose foods that are vitamin-rich. How can they tell which are which? Not by food labels—these provide only limited vitamin information. A way to find out more about the vitamin contents of your foods is to search for them in an online nutrient database, such as USDA's What's in the Foods You Eat search tool or the USDA Food Composition Databases.* These websites provide lists of nutrients in specified amounts of the foods you select. Then, when you compare those nutrient amounts with the DRI, you'll find out, say, that cornflakes is a particularly good source of folate (manufacturers add folic acid), but a poor source of vitamin E.

Another way of looking at such data appears in Figure 7–22 (pp. 256–257)—the long bars show some foods that are rich sources of a particular vitamin and the short or nonexistent bars indicate poor sources. The colors of the bars represent the various food groups.

*Nutrient values of foods are listed in USDA's What's in the Foods You Eat Search Tool, available at https://reedir.arsnet.usda.gov/codesearchwebapp/(S(k3vwvz4wbmtp0seqwj3br3fj))/CodeSearch.aspx. Another excellent resource is the USDA Food Composition Databases, available at https://fdc.nal.usda.gov/.

Which Foods Should I Choose?

After looking at Figure 7–22, don't think that you must memorize the richest sources of each vitamin and eat those foods daily. That false notion would lead you to limit your variety of foods, while overemphasizing the components of a few foods. Although it is reassuring to know that your carrot-raisin salad at lunch provided more than your entire day's need for vitamin A, it is a mistake to think that you must then select equally rich sources of all the other vitamins. Such rich sources do not exist for many vitamins—rather, foods work in harmony to provide most nutrients. For example, a baked potato, not a star performer among vitamin C providers, contributes substantially to a day's need for this nutrient and contributes some thiamin and vitamin B_6, too. By the end of the day, assuming that your food choices were made with reasonable care, the bits of vitamin C, thiamin, and vitamin B_6 from each serving of food have accumulated to more than cover the day's need for them.

A Variety of Foods Works Best

The last two graphs of Figure 7–22 show sources of folate and vitamin C. These nutrients are both richly supplied by fruit and vegetables. The richest source of either one may be only a moderate source of the other, but the recommended amounts of fruits and vegetables in the USDA Food Intake Patterns of Chapter 2 cover both needs amply. As for vitamin E, vegetable oils and some seeds and nuts are the richest sources, but vegetables and fruit contribute a little, too.

By now, you should recognize a basic truth in nutrition. The diet that best provides nutrients includes a wide variety of nutrient-dense foods that provide more than just isolated nutrients.Moreover, phytochemicals, widespread among whole grains, nuts, fruit, and vegetables, play roles in human health, as do fiber and other constituents of whole foods. Therefore, when aiming for adequate intakes of vitamins, aim for a diet that meets the recommendations of Chapter 2. Even supplements cannot duplicate the benefits of such a diet, a point made in this chapter's Controversy section.

Phytochemicals are the topic of **Controversy 2 (page 61)**.

Tatjana Baibakova/Shutterstock.com

A variety of food like these provides more than just isolated nutrients to the body.

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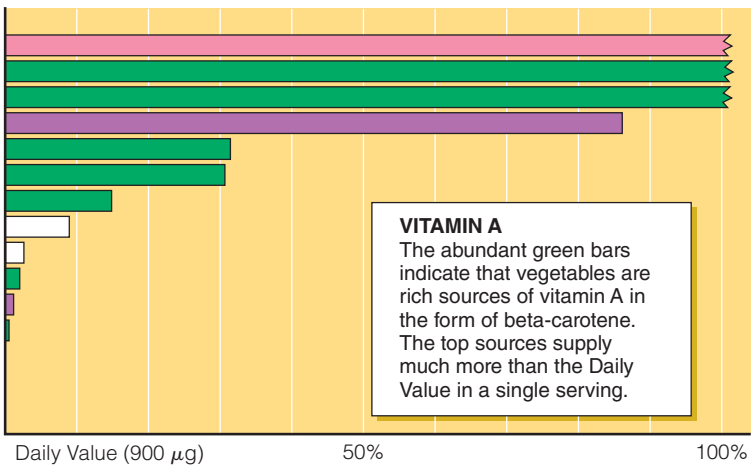
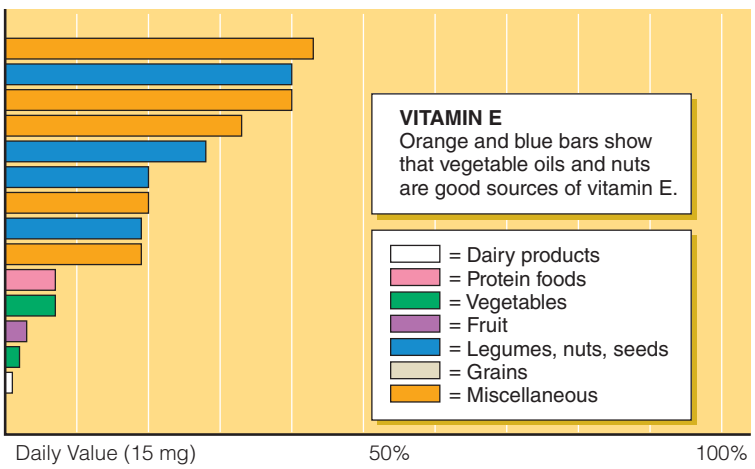
Figure 7–22

Food Sources of Vitamins Selected to Show a Range of Values

Food	Amount (Energy)	µg
VITAMIN A		
Beef liver	3 oz cooked (184 cal)	9,092
Sweet potato	1 whole boiled (159 cal)	1,287
Carrots	1/2 c boiled (35 cal)	957
Cantaloupe	1/2 melon (97 cal)	860
Spinach	1/2 c boiled (21 cal)	369
Butternut squash	1/2 c baked (41 cal)	361
Milk, fat-free	1 c (85 cal)	161
Tomatoes	1/2 c boiled (33 cal)	89
Peach	1 fresh medium (42 cal)	26
Orange juice	1 c (fresh)	25
Summer squash	1/2 c boiled (18 cal)	13
Apple	1 fresh medium (81 cal)	5
Sirloin steak	3 oz lean (171 cal)	0
Whole-wheat bread	1 slice (70 cal)	0
Baked potato	1 whole (220 cal)	0



Food	Amount (Energy)	mg
VITAMIN E		
Sunflower seed oil	1 tbs (124 cal)	6.5
Wheat germ	1 oz (117 cal)	6.0
Safflower oil	1 tbs (124 cal)	6.0
Cottonseed oil	1 tbs (124 cal)	5.0
Sunflower seeds	2 tbs dry roasted (93 cal)	4.2
Peanuts	1 oz dry roasted (166 cal)	3.0
Corn oil	1 tbs (124 cal)	3.0
Peanut butter	2 tbs (190 cal)	3.0
Canola oil	1 tbs (124 cal)	2.9
Shrimp	3 oz boiled (84 cal)	1.0
Parsley	1/2 c fresh chopped (11 cal)	1.0
Apple	1 fresh medium (81 cal)	0.4
Sweet potato	1 baked (117 cal)	0.3
Cheddar cheese	1 1/2 oz (170 cal)	0.2
Whole-wheat bread	1 slice (70 cal)	0.0



Food	Amount (Energy)	mg
THIAMIN		
Pork chop	3 oz broiled (275 cal)	0.56
Black beans	1 c cooked (228 cal)	0.42
Sunflower seeds	2 tbs dry (103 cal)	0.41
Watermelon	1 slice (91 cal)	0.23
Green peas	1/2 c cooked (67 cal)	0.23
Orange juice	3/4 c fresh (84 cal)	0.17
Oysters	5 oysters simmered (125 cal)	0.16
Oatmeal	1/2 c cooked (73 cal)	0.13
Sirloin steak	3 oz lean (171 cal)	0.11
Whole-wheat bread	1 slice (70 cal)	0.10
Milk, fat-free	1 c (85 cal)	0.09
Cabbage	1/2 c cooked (33 cal)	0.09
Summer squash	1/2 c cooked (18 cal)	0.04
Apple	1 fresh medium (81 cal)	0.02
Cheddar cheese	1 1/2 oz (170 cal)	0.02

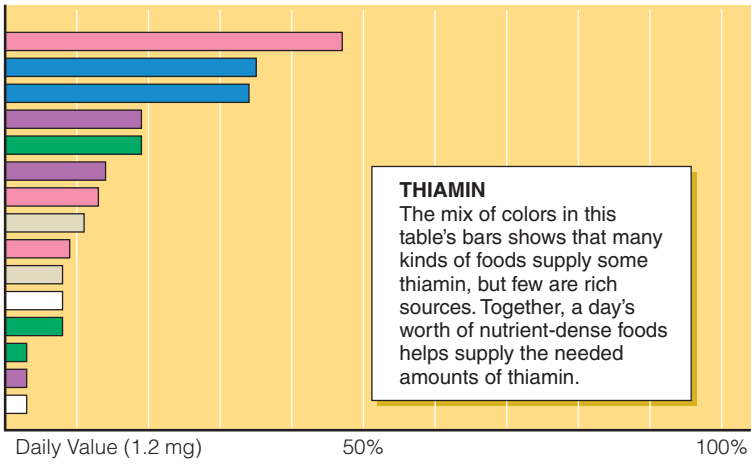


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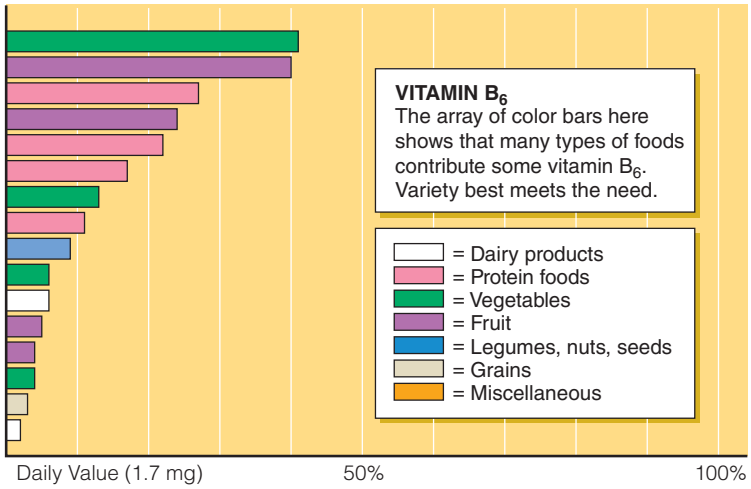
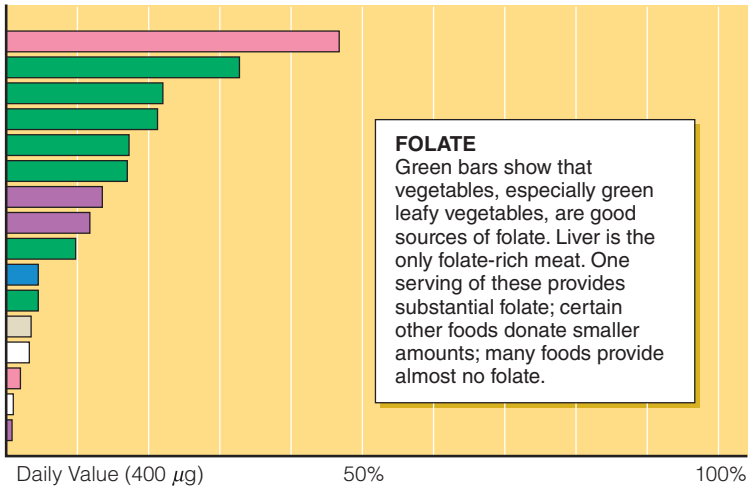
Figure 7–22

Food Sources of Vitamins Selected to Show a Range of Values *(continued)*

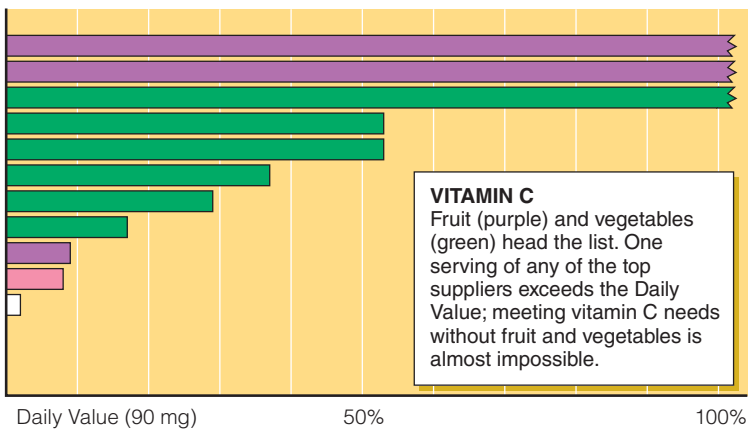
Food	Amount (Energy)	mg
VITAMIN B_6		
Baked potato	1 whole (220 cal)	0.70
Banana	1 peeled (109 cal)	0.68
Turkey breast	3 oz (133 cal)	0.46
Watermelon	1 slice (91 cal)	0.41
Sirloin steak	3 oz lean (171 cal)	0.38
Pork roast	3 oz lean (175 cal)	0.29
Spinach	1/2 c cooked (21 cal)	0.22
Salmon	3 oz broiled/baked (183 cal)	0.19
Navy beans	1/2 c cooked (129 cal)	0.15
Broccoli	1/2 c cooked (22 cal)	0.11
Milk, fat-free	1 c (85 cal)	0.10
Orange juice	3/4 c fresh (84 cal)	0.08
Apple	1 fresh medium (81 cal)	0.07
Summer squash	1/2 c boiled (18 cal)	0.06
Whole-wheat bread	1 slice (69 cal)	0.05
Cheddar cheese	1 1/2 oz (170 cal)	0.03



Food	Amount (Energy)	µg
FOLATE		
Beef liver	3 oz fried (184 cal)	185
Spinach	1/2 c cooked (21 cal)	131
Asparagus	4 spears cooked (14 cal)	88
Turnip greens	1/2 c cooked (15 cal)	85
Winter squash	1/2 c cooked (48 cal)	69
Beets	1/2 c cooked (37 cal)	68
Orange juice	3/4 c fresh (84 cal)	57
Cantaloupe	1/2 melon (97 cal)	47
Broccoli	1/2 c cooked (22 cal)	39
Lima beans	1/2 c cooked (85 cal)	18
Summer squash	1/2 c cooked (18 cal)	18
Whole-wheat bread[a]	1 slice (70 cal)	14
Milk, fat-free	1 c (85 cal)	13
Sirloin steak	3 oz lean (171 cal)	8
Cheddar cheese	1 1/2 oz (170 cal)	5
Apple	1 fresh medium (81 cal)	4



Food	Amount (Energy)	mg
VITAMIN C		
Cantaloupe	1/2 melon (97 cal)	116
Orange juice	3/4 c fresh (84 cal)	93
Green peppers	1/2 c (20 cal)	67
Broccoli	1/2 c cooked (26 cal)	48
Brussels sprouts	1/2 c cooked (30 cal)	48
Tomato juice	3/4 c canned (31 cal)	33
Baked potato	1 whole (220 cal)	26
Cabbage	1/2 c cooked (17 cal)	15
Apple	1 fresh medium (81 cal)	8
Oysters	3 oz (69 cal)	7
Milk, fat-free	1 c (85 cal)	2
Whole-wheat bread	1 slice (69 cal)	0
Sirloin steak	3 oz lean (171 cal)	0
Cheddar cheese	1 oz (170 cal)	0



[a]*Unenriched.*

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What did you decide?

- How do **vitamins** work in the body?
- Why is **sunshine** associated with good health?
- Can **vitamin C tablets** ward off a cold?
- Should you choose **vitamin-fortified foods** and take **supplements** for "insurance"?

Self Check

1. (LO 7.1) Which of the following vitamins are classed as fat-soluble?
   a. vitamins B and D
   b. vitamins A, D, E, and K
   c. vitamins B, E, D, and C
   d. vitamins B and C
2. (LO 7.1) Which of the following describes the fat-soluble vitamins?
   a. few functions in the body
   b. easily absorbed and excreted
   c. stored abundantly in tissues
   d. a and c
3. (LO 7.1) Most water-soluble vitamins are not stored in tissues to any great extent.
   T F
4. (LO 7.2) Fat-soluble vitamins are mostly absorbed into
   a. the lymph.
   b. the blood.
   c. the extracellular fluid.
   d. b and c.
5. (LO 7.3) Which of the following foods is (are) rich in beta-carotene?
   a. sweet potatoes
   b. pumpkin
   c. spinach
   d. all of the above
6. (LO 7.3) Beta-carotene from food is not converted to retinol efficiently enough to cause vitamin A toxicity.
   T F
7. (LO 7.4) Vitamin D functions as a hormone to help maintain bone integrity.
   T F
8. (LO 7.4) In adults with vitamin D deficiency, poor bone mineralization can lead to _____.
   a. pellagra
   b. pernicious anemia
   c. scurvy
   d. osteomalacia
9. (LO 7.5) Which of the following is (are) rich source(s) of vitamin E?
   a. raw vegetable oil
   b. colorful foods, such as carrots
   c. dairy products
   d. raw cabbage
10. (LO 7.5) Vitamin E is famous for its role
    a. in maintaining bone tissue integrity.
    b. in maintaining connective tissue integrity.
    c. in protecting tissues from oxidation.
    d. as a precursor for vitamin C.
11. (LO 7.6) Vitamin K is necessary for the synthesis of key bone proteins.
    T F
12. (LO 7.6) Vitamin K
    a. can be made from exposure to sunlight.
    b. can be obtained from most milk products.
    c. can be made by digestive tract bacteria.
    d. b and c

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13. (LO 7.7) Water-soluble vitamins are mostly absorbed into _____.
    a. the lymph
    b. the blood
    c. the extracellular fluid
    d. b and c
14. (LO 7.7) The water-soluble vitamins are characterized by all of the following except
    a. excesses are stored and easily build up to toxic concentrations.
    b. they travel freely in the blood.
    c. excesses are easily excreted and seldom build up to toxic concentrations.
    d. b and c.
15. (LO 7.8) The theory that vitamin C prevents or cures colds is well supported by research.
    T F
16. (LO 7.8) Vitamin C deficiency symptoms include _____.
    a. red spots
    b. loose teeth
    c. anemia
    d. all of the above
17. (LO 7.9) B vitamins often act as _____.
    a. antioxidants
    b. blood clotting factors
    c. coenzymes
    d. none of the above
18. (LO 7.9) A B vitamin often forms part of an enzyme's active site, where a chemical reaction takes place.
    T F
19. (LO 7.10) A deficiency of niacin may result in which disease?
    a. pellagra
    b. beriberi
    c. scurvy
    d. rickets
20. (LO 7.10) Which of these B vitamins is (are) present only in foods of animal origin?
    a. niacin
    b. vitamin B_{12}
    c. riboflavin
    d. a and c
21. (LO 7.11) The diet that best provides nutrients
    a. singles out a rich source for each nutrient and focuses on these foods.
    b. includes a wide variety of nutrient-dense foods.
    c. is a Western eating style that includes abundant meats and fats.
    d. singles out rich sources of certain phytochemicals and focuses on these foods.
22. (LO 7.12) The FDA has extensive regulatory control over supplement sales.
    T F

Answers to these Self Check questions are in Appendix G.

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Controversy 7 Vitamin Supplements: What Are the Benefits and Risks?

LO 7.12 Debate for and against taking vitamin supplements.

More than half of the U.S. population takes dietary supplements, spending almost $40 *billion* each year to do so.[1]* Most take a daily multivitamin and mineral pill, hoping to make up for dietary shortfalls; others take single nutrient supplements hoping to ward off diseases; and many do both. Do people need all these supplements? If people do need supplements, which ones are best? Are there any health risks from supplements? This Controversy examines evidence surrounding these questions and concludes with some advice on choosing a supplement with the most benefit and least risk.

Arguments in Favor of Taking Supplements

By far, most people can meet their nutrient needs from their diets alone.

**Reference notes are in Appendix F.*

Indisputably, however, the people listed in Table C7–1 need supplements. For them, nutrient supplements can prevent or reverse illnesses. Because supplements are not risk-free, these people should consult health-care providers who are alert to potential adverse effects and nutrient-drug interactions.

People with Deficiencies

In the United States, few adults suffer nutrient-deficiency diseases such as scurvy, pellagra, and beriberi. When deficiency diseases do appear, prescribed supplements of the missing nutrients quickly stop or reverse most of the damage (exceptions include vitamin A–deficiency blindness, some vitamin B_{12}–deficiency nerve damage, and birth defects caused by folate deficiency in pregnant women).

Table C7–1

Some Valid Reasons for Taking Supplements

These people may need supplements:

- People with nutrient deficiencies.
- Women who are capable of becoming pregnant (supplemental or enrichment sources of folic acid are recommended to reduce risk of neural tube defects in infants).
- Pregnant women and lactating women (they may need iron and folate).
- Newborns (they are routinely given a vitamin K dose).
- Infants (they may need various supplements; see Chapter 13).
- People who undergo weight-loss surgery (incurs nutrient malabsorption).
- Those who are lactose intolerant (they need calcium to forestall osteoporosis).
- Habitual dieters (they may eat insufficient food).
- Elderly people often benefit from some of the vitamins and minerals in a balanced supplement (they may choose poor diets, have trouble chewing, or absorb or metabolize nutrients inefficiently; see Chapter 14).
- People living with HIV or other wasting illnesses (they lose nutrients faster than foods can supply them).
- Those addicted to drugs or alcohol (they absorb fewer and excrete more nutrients; nutrients cannot undo damage from drugs or alcohol).
- Those recovering from surgery, burns, injury, or illness (they need extra nutrients to help regenerate tissues).
- Vegans may need vitamin B_{12}, vitamin D, iron, and zinc.
- People taking medications that interfere with the body's use of nutrients.

Subtle subclinical deficiencies that do not cause classic symptoms are easily overlooked or misdiagnosed—and they often occur. People who diet habitually or elderly people with diminished appetite may eat so little nutritious food that they teeter on the edge of deficiency, with no reserves to handle any increase in demand. Similarly, people who omit entire food groups without proper diet planning or who are too busy or lack knowledge or lack money are likely to lack nutrients. For them, until they correct their diets, a low-dose, complete vitamin-mineral supplement may help them avoid deficiency diseases. This Controversy ends with advice on how to choose suitable supplements.

Life Stages with Increased Nutrient Needs

During certain stages of life, many people find it difficult or impossible to meet nutrient needs without supplements. For example, women who lose a lot of blood and therefore a lot of iron during menstruation each month generally need iron supplements. Similarly, pregnant and breastfeeding women have exceptionally high nutrient needs and routinely take special supplements to help meet them. A newborn needs a dose of vitamin K at birth, as this chapter pointed out.

Appetite and Physical Stress

Any interference with a person's appetite, ability to eat, or ability to absorb or use nutrients will impair nutrient status. Prolonged illnesses, extensive injuries or burns, weight-loss or other surgery, and addictions to alcohol or other drugs all exert these effects, and such stressors increase nutrient requirements of the tissues. In addition, medications used to treat such conditions often increase nutrient needs. In all these cases, appropriate nutrient supplements can avert further decline.

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Arguments against Taking Supplements

In study after study, well-nourished people are the ones found to be taking supplements, adding excess nutrients to already sufficient intakes. Ironically, people with low nutrient intakes from food generally do not take supplements. Even then, supplements cannot take the place of eating a nutritious diet.[2] As for risks, the most likely hazard to supplement takers is to the wallet—as an old saying goes, "If you take supplements of the water-soluble vitamins, you'll have the most expensive urine in town." Occasionally, though, supplement intake is both costly and harmful to health.

Toxicity

Foods rarely cause nutrient imbalances or toxicities, but supplements easily can—and the higher the dose, the greater the risk. Figure C7–1 illustrates this point. Supplement users are more likely to have excessive intakes of certain nutrients—notably iron, zinc, vitamin A, and niacin.

Vitamin D supplements can correct vitamin D insufficiency but high doses can be toxic. Supplements providing double or more the UL for vitamin D demonstrate no benefits in research, and are suspected of weakening the bones.[3]

People's tolerances for high doses of nutrients vary, just as their risks of deficiencies do, and amounts tolerable for some may be harmful for others. The DRI Tolerable Upper Intake Levels (UL) define the highest intakes that appear safe for *most* healthy people. A few sensitive people may experience toxicities at lower doses, however.

In one year, almost 275,000 calls to poison control centers across the nation resulted from adverse effects of taking vitamins, minerals, essential oils, herbs, and other supplements.[4] No upper intake guidelines exist for supplement add-ons, such as herbs or phytochemicals, so pills vary widely in their contents of these substances.[5] Many chronic, subclinical toxicities go unrecognized and unreported.

Supplement Contamination and Safety

The Food and Drug Administration (FDA) has issued thousands of warnings to consumers about contamination of dietary supplements, most often supplements sold over the Internet for weight loss, or enhanced athletic or sexual performance. Today, illicit pharmaceutical drugs, such as steroid hormones or stimulants, still commonly contaminate supplements, many of them ironically sold as "natural alternatives" to FDA-approved drugs.[6] Manufacturers, once notified, must remove these dangerous products from the market but others quickly take their place. Meanwhile, people taking these products risk liver injury, kidney failure, or other serious problems.

Plain multivitamin and mineral supplements from reputable sources, without herbs or add-ons, generally test free from contamination, although their contents may vary from those stated on the label. When measured, nutrients in the pills sometimes exceed the listed values by double or even more. Regulations are in place to hold manufacturers accountable, but enforcement is lax.

Figure C7–1

Nutrient Sources

Choose wisely: Pills can provide concentrated doses of vitamins and minerals, but whole foods provide a multitude of nutrients in safe doses within a matrix of other needed substances.

Alex Segre/Alamy Stock Photo

RTimages/Shutterstock.com

Many consumers wrongly believe that government scientists—in particular, those of the FDA—test each new dietary supplement to ensure its safety and effectiveness before allowing it to be sold. They do not.[7] In fact, under the current Dietary Supplement Health and Education Act, the FDA has little control over supplement sales.* It can act to remove *tainted* products from store shelves, however, and does so often. Consumers can report adverse reactions to supplements directly to the FDA via its hotline or website.†

Life-Threatening Misinformation

A person who is ill may come to believe that self-prescribed high doses of vitamins or minerals can be therapeutic. Such a person might postpone seeking a diagnosis, thinking, "I probably just need a supplement to make this go away." Meanwhile, without medical care, the disease worsens. Improper dosing can also cause problems. One man who suffered from mental illness arrived at an emergency room with dangerously low blood pressure. Seeking relief from his condition, he had ingested 11 *grams* of niacin, on the advice of a website. The UL for niacin is 35 *milligrams*.

Supplements are almost never effective for purposes other than those already listed in Table C7–1. This doesn't stop marketers from making enticing structure-function claims in materials of all kinds—in print, on labels, and on television or the Internet. Such sales pitches often fall far short of the FDA standard that claims should be "truthful and not misleading."

False Sense of Security

Lulled into a false sense of security, a person might eat irresponsibly, thinking, "My supplement will cover my needs." However, no one knows exactly how to formulate the "ideal" supplement, and no standards exist for formulations.

** The Dietary Supplement Health and Education Act of 1994 regulates supplements, holding them to the same general labeling requirements that apply to foods (labeling terms were defined in Chapter 2).*

† Consumers should report suspected harm from dietary supplements to their health providers or to the FDA's MedWatch program at (800) FDA-1088 or online at www.fda.gov/safety/medwatch.

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What nutrients should be included? How much of each? On whose needs should the choices be based? Which, if any, of the phytochemicals should be added?

Whole Foods Are Best for Nutrients

In general, the body assimilates nutrients best from foods that dilute and disperse them among other substances that facilitate their absorption and use by the body. Taken in pure, concentrated form, nutrients are likely to interfere with one another's absorption or with the absorption of other nutrients from foods eaten at the same time. Such effects are particularly well known among the minerals. For example, zinc hinders copper and calcium absorption, iron hinders zinc absorption, and calcium hinders magnesium and iron absorption. Among vitamins, vitamin C supplements *enhance* iron absorption, making iron overload likely in susceptible people. High doses of vitamin E interfere with vitamin K functions, delaying blood clotting and possibly raising the risk of brain hemorrhage (a form of stroke). These and other interactions represent drawbacks to supplement use.

Can Supplements Prevent Chronic Diseases?

Many people take supplements in the belief that they can prevent heart disease and cancer. Earlier, the chapter noted the consistent failure of vitamin D supplements to prevent, cure, or improve chronic diseases, despite much promising observational evidence. Can taking other supplements protect the takers from these killers?

Antioxidant Supplements

This chapter explained that normal activities of body cells produce free radicals (highly unstable molecules of oxygen) that can damage cell structures. Oxidative stress results when free-radical activity in the body exceeds its antioxidant defenses. When such damage accumulates, it triggers inflammation, which may lead to heart disease and cancer, among other conditions. **Antioxidant nutrients** help to quench these free radicals, rendering them harmless to cellular structures and stopping the chain of events. Antioxidant terms are defined in Table C7–2.

Taking antioxidant pills instead of making needed lifestyle changes may sound appealing, but evidence does not support a role for supplements against chronic diseases.[8] In some cases, supplements may even be harmful.[9]

Vitamin E and Chronic Disease

Hopeful early studies reported that taking vitamin E supplements reduced the rate of death from heart disease. It made sense because in the laboratory vitamin E opposes blood clotting, tissue inflammation, arterial injury, and lipid oxidation—all factors in heart disease development. After years of human studies, results are disappointing: neither help nor harm is consistently observed with vitamin E supplementation.

The Story of Beta-Carotene—A Case in Point

Again and again, population studies confirm that people who eat plenty of fruit and vegetables, particularly those rich in beta-carotene, have low rates of certain cancers. Years ago, researchers focused on beta-carotene, while supplement makers touted it as a powerful anticancer substance. Consumers eagerly bought and took beta-carotene supplements in response.

Then, in a sudden reversal, support for beta-carotene supplements crumbled overnight. Trials around the world were abruptly stopped when scientists noted no benefits but observed a 28 percent *increase* in lung cancer among smokers taking beta-carotene compared with a placebo. Other evidence supports these findings and beta-carotene supplements are not recommended.

Such reversals might shock and frustrate the unscientific mind, but scientists expect them as research unfolds. In this case, a long-known and basic nutrition principle was reaffirmed: low disease risk accompanies a *diet* of nutritious whole foods, foods that present a balance of nutrients and other beneficial constituents. A pill provides only beta-carotene, a lone chemical.

For most people, taking an ordinary daily multivitamin and mineral supplement is generally safe, but probably offers no protection against chronic diseases.[10] Table C7–3 reviews the arguments for and against taking supplements.

SOS: Selection of Supplements

If you fall into one of the categories listed earlier in Table C7–1 and if you absolutely cannot meet your nutrient needs from foods, a supplement containing *nutrients only* can prevent serious problems. In these cases, the benefits outweigh the risks. (Table C7–4 provides some *invalid* reasons for taking supplements in which the risks clearly outweigh the benefits.) Remember, no standard formula for multivitamin and mineral preparations exists—the term *supplement* applies to any combination of nutrients in widely varying doses.

Choosing a Type

Which supplement to choose? The first step is to remain aware that sales of vitamin supplements often approach the

Table C7–2

Antioxidant Terms

- **antioxidant nutrients** vitamins and minerals that oppose the effects of oxidants on human physical functions. The antioxidant vitamins are vitamin E, vitamin C, and beta-carotene. The mineral selenium also participates in antioxidant activities.
- **oxidants** compounds (such as oxygen itself) that oxidize other compounds. Compounds that prevent oxidation are called antioxidants, whereas those that promote it are called prooxidants (*anti* means "against"; *pro* means "for").

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Table C7–3

Taking Dietary Supplements: Point, Counterpoint

Many people take dietary supplements either to counterbalance inadequate diets or to improve on their already abundant intakes of nutrients. This table considers some arguments for and against doing so.

Arguments in Support of Dietary Supplements	Arguments in Opposition to Dietary Supplements
1. *Prevent or correct deficiencies.* Supplements are important for people suffering from nutrient deficiencies, and in most cases, they can correct the problems and restore health.	**1.** *Cause toxicities.* Dietary supplements provide no benefits to well-nourished people. High-nutrient doses from single-nutrient supplements pose a threat of toxicity.
2. *Fill increased nutrient needs.* Adolescents of both sexes, women of childbearing age, women who are pregnant or breastfeeding, newborn infants, people who are ill, smokers, and others all have increased needs for certain nutrients such as iron, folate, vitamin K, or vitamin C.	**2.** *Provide unneeded nutrients.* Most healthy children and adults who eat a nutritious diet consume adequate amounts of vitamins and minerals from food, making nutrients from supplements unnecessary.
3. *Improve nutrient status.* Certain groups of people, such as the elderly who may not eat enough food and vegetarians who omit entire food groups, can develop subclinical nutrient deficiencies that may produce no obvious symptoms but may impair health in subtle ways, such as reducing resistance to infection.	**3.** *Provide limited benefits.* A supplement can treat a single nutrient deficiency but cannot replace a nutritious diet to support health. A diet that lacks one nutrient surely lacks others, along with fiber, phytochemicals, and other constituents of whole, nutrient-dense foods.
4. *Provide nutritional insurance.* Vitamin pills are cheap to purchase, and taking them is easier than shopping, cooking, and planning an adequate diet.	**4.** *Create a false sense of security.* Research consistently shows that supplements cannot substitute for a nutritious diet in supporting the health of the body.
5. *Most supplements are generally safe.* The FDA routinely recalls supplements containing harmful ingredients and removes them from the market. The FDA also prosecutes manufacturers violating the Dietary Supplement Health and Education Act, which requires supplements to be free of contaminants and ingredients that are not safe for human consumption.	**5.** *Significant numbers of supplements may be unsafe.* Scientists and consumer groups agree that oversight policies are outdated and ineffective. The FDA does not regulate supplements as tightly as it does pharmaceutical drugs prior to marketing, but removes them only after they have proven to be unsafe by causing harm to consumers.

realm of quackery because the profits are high and the industry is largely free of oversight. To escape the clutches of the health hustlers, use your imagination, and delete the label pictures of sexy, active people and the meaningless, glittering generalities like "Advanced Formula" or "Maximum Power." Also, ignore vague "structure-function claims" that refer to the functioning of body systems or common complaints, such as cramps or insomnia; most of these are distortions of the truth. Avoid "extras" such as herbs (see Chapter 11). Don't be misled into buying and taking unneeded supplements, because none are risk-free.

Reading the Label

Now all you have left is the Supplement Facts panel, shown in Figure C7–2 (p. 264), that lists the nutrients, the ingredients, the form of the supplement, and the price—the plain facts. You have two basic questions to answer.

Table C7–4

Some Invalid Reasons for Taking Supplements

Watch out for plausible-sounding, but false, reasons given by marketers trying to convince you, consumers, that you need supplements. The following invalid reasons have gained strength by repetition among friends, on the Internet, and by the media:

- You fear that foods grown on today's soils lack nutrients (a common false statement made by sellers of supplements).
- You feel tired and falsely believe that supplements can provide energy.
- You hope that supplements can help you cope with stress.
- You wish to build up your muscles faster or without physical activity.
- You want to prevent or cure self-diagnosed illnesses.
- You hope excess nutrients will produce unnamed mysterious beneficial reactions in your body.

People who should never take supplements without a physician's approval include those with kidney or liver ailments (they are susceptible to toxicities), those taking medications (nutrients can interfere with their actions), and smokers (who should avoid products with beta-carotene).

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Figure C7–2

How to Read a Supplement Label

Check the Supplement Facts panel for a list of included nutrients, quantity per serving, and "% Daily Value." Less-reliable structure-function claims, shown on the bottom label, do not need FDA approval, but must be accompanied by a disclaimer.

BirchTree/Alamy stock photo

Anne Dowie

The first question: What form do you want—chewable, liquid, or pills? If you'd rather drink your vitamins and minerals than chew them, fine. If you choose a fortified liquid meal replacer, a sugary vitamin drink, or an "energy bar" (a candy bar to which vitamins and other nutrients are added), you must then proportionately reduce the calories you consume in food to avoid gaining unwanted weight. If you choose chewable pills, be aware that vitamin C can erode tooth enamel. Swallow promptly and swish the teeth with water.

Targeting Your Needs

The second question: Who are you? What vitamins and minerals do you actually need and in what amounts? Match your DRI values (at the back of the book, p. B) with the doses in supplement options. The DRI meet the needs of all reasonably healthy people.

Choosing Doses

As for doses of nutrients, for most people, an appropriate supplement provides all the vitamins and minerals in amounts smaller than, equal to, or very close to the DRI recommendations. Avoid any preparation that in a daily dose provides more than the DRI values of vitamin A, vitamin D, or any mineral or more than the UL of any nutrient. In addition, avoid high doses of iron (more than 10 milligrams per day) except for menstruating women. People who menstruate need more iron, but people who don't, don't. Warning: expect to reject about 80 percent of available preparations when you choose according to these criteria. Be choosy where your health is concerned.

Going for Quality

If you see a quality symbol on the label, it means that a manufacturer has voluntarily paid an independent laboratory to test the product (some common symbols are displayed in Figure C7–3). A commercial laboratory's seal on a supplement may indicate verification of any or all of the following, depending on the price paid to the testing service:

- *Identity.* The product contains correct ingredients.

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Figure C7–3

Quality Testing Symbols

A seal from a commercial laboratory can indicate any of a number of things about a supplement.

USP (United States Pharmacopeia) | **ConsumerLab.com** | **NSF International (National Sanitation Foundation)** | **UL (Underwriters Laboratories)**

- *Quantity.* The ingredients are present in correct quantities.
- *Purity.* The product is free of unwanted substances.
- *Solubility.* The product dissolves readily.

If the product passes the test, it may bear the testing agency's assurance symbol on its label. Take note: bogus "copycat" symbols abound and can trick even cautious consumers into purchasing untested products. The fake symbols are meaningless, but they lead consumers to falsely believe that someone is looking out for their well-being.

A high price also does not ensure the highest quality; generic brands are often as good as or better than expensive name-brand supplements. If they are less expensive, it may mean that their price doesn't have to cover the cost of national advertising. In any case, buy from a well-known retailer who stores supplements properly.

Avoiding Marketing Traps

In addition, avoid these:

- "For better metabolism." Preparations containing extra biotin may claim to improve metabolism, but no evidence supports this.
- "Organic" or "natural" preparations with added substances. They are no better than standard types, but they cost much more, and the added substances may add risks.
- "High-potency" or "therapeutic dose" supplements. More is not better.
- Items not needed in human nutrition, such as carnitine and inositol. These particular items won't harm you, but they reveal a marketing strategy that makes the whole mix suspect. The manufacturer wants you to believe that its pills contain the latest "new" nutrient that other brands omit, but in fact for every valid discovery of this kind, there are 999,999 frauds.
- "Time release." Medications such as some antibiotics or pain relievers often must be sustained at a steady concentration in the blood to be effective; nutrients, in contrast, are incorporated into the tissues where they are needed whenever they arrive.
- "Stress formulas." Although the stress response depends on certain B vitamins and vitamin C, the DRI provides all that is needed of these nutrients. If you are under stress (and who isn't?), generous servings of fruit and vegetables will more than cover your need.
- Claims that today's foods lack sufficient nutrients to support health. Plants make vitamins for their own needs, not ours. A plant lacking a needed mineral or failing to make a needed vitamin dies without yielding food for our consumption.

To get the most from a supplement of vitamins and minerals, take it with food. A full stomach retains the pill and dissolves it with its churning action.

Conclusion

People in developed nations are far more likely to suffer from *overnutrition* and poor lifestyle choices than from nutrient deficiencies. Yet, many people wish that swallowing vitamin pills would boost their health or energy levels. The truth—that they need to improve their eating and exercise habits—is harder to swallow.

Critical Thinking

1. List three reasons why someone might take a multivitamin supplement that does not exceed 100 percent of the DRIs. Would you ever take an antioxidant supplement? Why or why not? Suppose you decided that you should take a vitamin supplement because you do not drink milk. How would you determine the best supplement to purchase?
2. Imagine that you are standing in a pharmacy comparing the Supplement Facts panels on the labels of two supplement bottles, one a "complete multivitamin" product and the other marked "high potency vitamins."

   What major differences in terms of nutrient inclusion and doses might you find between these two products? What differences in risk would you anticipate? If you were asked to pick one of these products for an elderly person with a poor appetite, which would you choose? Justify your answer.

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Dave Le/Moment/Getty Images

8 Water and Minerals

Controversy 8 Osteoporosis: Can Lifestyle Choices Reduce the Risk?

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 8.1 Explain the functions of water and the importance of maintaining the body's water balance.

LO 8.2 Describe the concepts of fluid and electrolyte balance and acid-base balance and their importance to health.

LO 8.3 Describe the functions of the seven major minerals, their food sources, and the effects of their deficiencies and toxicities.

LO 8.4 Discuss the functions of the nine known trace minerals, their food sources, and the effects of their deficiencies and toxicities.

LO 8.5 Itemize food choices that help to meet the need for calcium.

LO 8.6 Describe how osteoporosis develops and the actions that may help to prevent it.

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What do you think?

- Is **bottled water** better for you than tap water?
- Can you blame **"water weight"** for extra pounds of body weight?
- Do adults outgrow the need for **calcium**?
- If you're feeling tired, do you need an **iron supplement**?

If you were to extract all of the **minerals** from a human body, they would form a small pile that weighs only about 5 pounds. The pile may not be impressive in size, but the work of those minerals is critical to living tissue.

Consider calcium and phosphorus. If you could separate these two minerals from the rest of the pile, you would take away about three-fourths of the total. Crystals made mostly of these two minerals form the structure of bones and so provide the architecture of the skeleton.

Run a magnet through the pile that remains and you pick up the iron. It doesn't fill a teaspoon, but it consists of billions and billions of iron atoms. As part of hemoglobin, these iron atoms are able to attach to oxygen and make it available at the sites inside the cells where metabolic work is taking place.

If you then extract all the other minerals from the pile, leaving only copper and iodine, you'll want to close the windows first. A slight breeze would blow these remaining bits of dust away. Yet the copper in the dust enables iron to hold and to release oxygen, and iodine is the critical mineral in the thyroid hormones. Figure 8–1 (p. 268) shows the amounts of the seven **major minerals** and a few of the **trace minerals** in the human body. Other minerals such as gold and aluminum are present in the body but are not known to have nutrient functions.

The distinction between major and trace minerals doesn't mean that one group is more important in the body than the other. A daily deficiency of a few micrograms of iodine is just as serious as a deficiency of several hundred milligrams of calcium. The major minerals are simply present in larger quantities in the body and are needed in greater amounts in the diet. All perform critical functions—some as parts of salts, which help distribute the body's water; others form the bones and teeth, which lend structure to the body; and still others are cofactors which act, much as the vitamin coenzymes do, to enable enzymes to do their jobs.

The Dietary Guidelines for Americans committee names four minerals as shortfall nutrients—most people's intakes are too low:

- Potassium
- Calcium
- Iodine (for pregnant women)
- Iron (for pregnant women, breastfed infants, adolescents, and women before menopause)

Of the shortfall minerals, calcium and potassium are also named as nutrients of public health concern because their underconsumption has been convincingly linked with chronic diseases. In addition, one mineral stands out as being overconsumed by most people:

- Sodium[1]*

minerals naturally occurring, inorganic, homogeneous substances; chemical elements.

major minerals essential mineral nutrients required in the adult diet in amounts greater than 100 milligrams per day. Also called *macrominerals*.

trace minerals essential mineral nutrients required in the adult diet in amounts less than 100 milligrams per day. Also called *microminerals*.

* Reference notes are in Appendix F.

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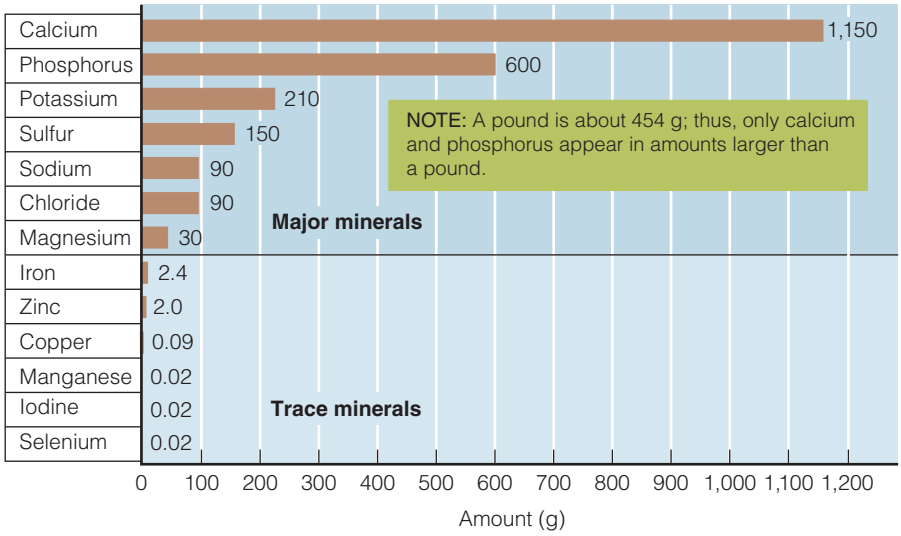
Figure 8–1

Minerals in a 60-Kilogram (132-Pound) Person, in Grams

The major minerals are present in the body in larger amounts and also are needed by the body in larger amounts than the trace minerals.



Later sections present the key facts about these and other minerals important to nutrition.

Water, the first topic of this chapter, is unique among the nutrients and the most indispensable of all. The body needs more water each day than any other nutrient—50 times more water than protein and 5,000 times more water than vitamin C. You can survive a deficiency of any of the other nutrients for a long time, in some cases for months or years, but you can survive only a few days without water. In less than a day, a lack of water compromises the body's chemistry and metabolism.

Our discussion begins with water's many functions. Next we examine how water and the major minerals mingle to form the body's fluids and how cells regulate the distribution of those fluids. Then we take up the specialized roles of every one of the minerals. (Reminder: The DRI for water and minerals appear at the back of the book, pp. A and B.)

Key Points

- Compared with trace minerals, major minerals are present in larger amounts in the body and in the diet.
- Major and trace minerals perform essential roles in the body.
- Potassium, calcium, iodine, and iron may be lacking from U.S. diets, while sodium is overconsumed.

PeopleImages/E+/Getty Images

Water is the most indispensable nutrient.

Water

LO 8.1 Explain the functions of water and the importance of maintaining the body's water balance.

You began as a single cell bathed in a nourishing fluid. As you became a beautifully organized, air-breathing body of trillions of cells, each of your cells had to remain next to water to stay alive.

Water makes up about 60 percent of an adult person's weight—that's almost 80 pounds of water in a 130-pound person. All this water in the body is not simply a river coursing through the arteries, capillaries, and veins. Soft tissues contain a

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great deal of water: the brain and muscles are 75 to 80 percent water by weight; and even bones contain 25 percent water. Some of the body's water is incorporated into the chemical structures of compounds that form cells, tissues, and organs of the body. For example, proteins hold water molecules within them, water that is locked in and not readily available for any other use. Water also participates actively in many chemical reactions.

Claude Edelmann/Science Source

Human life begins in water.

Why Is Water the Most Indispensable Nutrient?

Water, easily overlooked or taken for granted, is truly a special substance. Its physical properties are uniquely suited to sustaining life, including human life, on Earth. It's hard to overstate water's importance as an essential nutrient, and a look at its widespread functions can offer perspective.

Transportation Water acts like a transport vehicle for all the nutrients and wastes in the body. It delivers the exact ingredients required by each cell and carries away the end products of its life-sustaining reactions.

Solvent Water is a nearly universal **solvent**: it dissolves amino acids, glucose, minerals, and many other substances needed by the cells. Fatty substances, too, can travel freely in the watery blood and lymph because they are specially packaged in water-soluble proteins. In addition to transporting chemicals, water also reacts with them, thus participating in many of the reactions required to sustain life.

Cleansing Agent Water is also the body's cleansing agent. Small molecules, such as the nitrogen wastes generated during protein metabolism, dissolve in the watery blood and then are removed before they build up to toxic concentrations. The kidneys filter these wastes from the blood and excrete them, mixed with water, as urine. When the kidneys become diseased, as can happen in diabetes and other disorders, toxins can build to life-threatening levels. Kidney **dialysis** must then be employed: the person's blood is routed, a little at a time, through a machine that removes the wastes and returns the cleansed blood to the body.

Lubricant and Cushion Water molecules resist being crowded together. Thanks to this incompressibility, water can act as a lubricant and a cushion for the joints, and it can protect sensitive tissue such as the spinal cord from shock. The fluid within the eye serves in a similar way to keep optimal pressure on the retina and lens. From the start of human life, a fetus is cushioned against shock by the bag of amniotic fluid in the mother's uterus. Water also lubricates the digestive tract, the respiratory tract, and all tissues that are moistened with mucus.

Coolant Water also helps maintain body temperature—the water of sweat is the body's coolant. Heat is produced as a byproduct of energy metabolism and can build up dangerously in the body. To rid itself of this excess heat, the body routes its blood supply through the capillaries just under the skin. At the same time, the skin secretes sweat, and its water evaporates. Converting water to vapor takes energy; therefore, as sweat evaporates, heat energy dissipates, cooling the skin and the underlying blood. The cooled blood then flows back to cool the body's core. Sweat evaporates continuously from the skin, usually in slight amounts that go unnoticed. Thus, the skin is a major organ through which water is lost from the body. Lesser amounts are lost by way of exhaled breath and the feces.

To sum up, water:

- Carries nutrients throughout the body.
- Serves as the solvent for minerals, vitamins, amino acids, glucose, and other small molecules.
- Actively participates in many chemical reactions.

solvent a substance that dissolves another and holds it in solution.

dialysis (dye-AL-ih-sis) a medical treatment for failing kidneys in which a person's blood is circulated through a machine that filters out toxins and wastes and returns cleansed blood to the body. More properly called *hemodialysis*, meaning "dialysis of the blood."

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- Cleanses the tissues and blood of wastes.
- Acts as a lubricant and shock absorber around joints and organs.
- Aids in regulating the body's temperature.

Key Points

- Water makes up about 60 percent of the body's weight.
- In the body, water transports, dissolves, and reacts with chemicals; provides lubrication and shock protection; and aids in temperature regulation.

The Body's Water Balance

Water is such an integral part of us that people seldom are conscious of its importance unless they are deprived of it. Because the body loses some water every day, a person must consume at least the same amount to avoid life-threatening losses—that is, to maintain **water balance**. The total amount of fluid in the body is kept balanced by delicate mechanisms. Imbalances such as **dehydration** and **water intoxication** can occur, but the balance is restored as promptly as the body can manage it. The body controls both intake and excretion to maintain water equilibrium (see Figure 8–2).

The amount of the body's water varies by pounds at a time, especially in women who retain water during menstruation. Eating a meal high in salt can temporarily increase the body's water content; the body sheds the excess over the next day or so as the sodium is excreted. These temporary fluctuations in body water show up on the scale, but gaining or losing water weight does not reflect a change in body fat. Fat weight takes days or weeks to change noticeably, whereas water weight can change overnight.

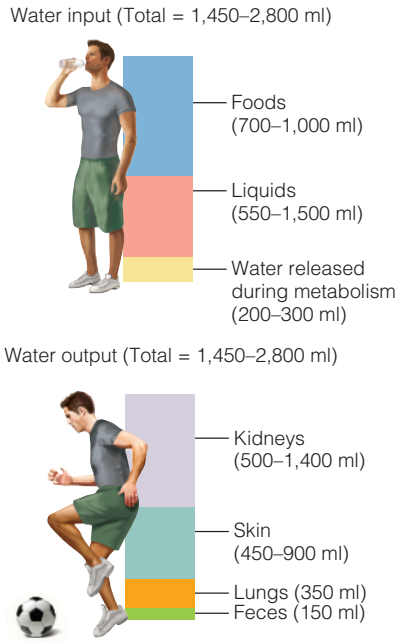
Key Points

- The body employs numerous tactics to balance water intake and output to maintain its water balance.
- A change in the body's water content can bring about a temporary change in body weight.

Figure 8–2

Water Balance—A Typical Example

Each day, water enters the body in liquids and foods, and some water arises as a byproduct of the body's metabolic processes. Water leaves the body through the evaporation of sweat, in the moisture of exhaled breath, in the urine, and in the feces.



Quenching Thirst and Balancing Losses

Thirst and satiety govern water intake. When the blood is too concentrated (having lost water but not salt and other dissolved substances), the molecules and particles in the blood attract water out of the salivary glands, and the mouth becomes dry. Water is also drawn from the body's cells, causing them to collapse a little. Blood becomes more concentrated and blood pressure falls.

The brain center known as the hypothalamus (described in Chapter 3) responds to low cellular fluid, concentrated blood particles, and low blood pressure by initiating nerve impulses to the brain that register as "thirst." The hypothalamus also signals the pituitary gland to release a hormone that directs the kidneys to shift water back into the bloodstream from the fluid destined to become urine. (This is why, if you haven't drunk enough water, your urine has a darker hue; with proper hydration, urine ranges in color from very pale yellow to deep amber.) The kidneys themselves respond to the sodium concentration in the blood passing through them by secreting regulatory substances of their own. The net result is that the more water the body needs, the less it excretes.

Dehydration Thirst lags behind a lack of water. When too much water is lost from the body and is not replaced, dehydration can threaten survival. A first sign of dehydration is thirst, the signal that the body has already lost a cup or two of its total fluid and the need to drink is immediate. But suppose a thirsty person is unable to obtain fluid or, as in many elderly people, fails to recognize the thirst message. Instead of "wasting" precious water in sweat, the dehydrated body diverts most of its water into the blood vessels to maintain the life-supporting blood pressure. Meanwhile, body heat builds up because sweating has ceased, creating the possibility of serious consequences in hot weather (see Table 8–1).

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Table 8–1

Effects of Mild Dehydration, Severe Dehydration, and Chronic Lack of Fluid

Mild Dehydration (Loss of <5% Body Weight)	Severe Dehydration (Loss of >5% Body Weight)	Chronic Low Fluid Intake May Increase the Likelihood of:
Thirst	Pale or shriveled skin	Cardiac arrest (heart attack) and other heart problems
Sudden weight loss	Bluish lips and fingertips	Constipation
Dry, cool skin	Confusion; disorientation	Dental disease
Dry mouth, throat, body linings	Rapid, shallow breathing	Gallstones
Rapid pulse; low blood pressure	Weak, rapid, irregular pulse	Glaucoma (elevated pressure in the eye)
Lack of energy; weakness	Thickening of blood	Hypertension
Impaired kidney function	Scant urine; brown-colored urine	Kidney stones
Reduced quantity of urine; concentrated, dark yellow or amber-colored urine	Shock; seizures	Pregnancy/childbirth problems
Headache; reduced mental clarity	Coma; death	Stroke
Diminished muscular work and athletic performance		Urinary tract infections
Fever or increased internal temperature		
Fainting and delirium		

To ignore even a minor thirst signal is to invite dehydration.[2] With a loss of just 1 percent of body weight as fluid, perceptible symptoms appear: headache, fatigue, confusion or forgetfulness, and an elevated heart rate. A loss of 2 percent impairs physical functioning and impedes a wide range of physical activities.[3] People should stay attuned to thirst and drink whenever they feel thirsty to replace fluids lost throughout the day. Older adults in whom thirst is blunted should drink regularly throughout the day, regardless of thirst.

A word about caffeine: people who drink caffeinated beverages lose a little more fluid than when they drink water because caffeine acts as a **diuretic**. The DRI committee concludes, however, that the mild diuretic effect of moderate caffeine intake does not lead to dehydration or keep people from meeting their fluid needs. Caffeinated beverages can therefore contribute to daily water intakes. Controversy 11 (page 426) discusses other effects of caffeine.

Water Intoxication At the other extreme from dehydration, water intoxication occurs when too much plain water floods the body's fluids and disturbs their normal composition. Typically, an adult with water intoxication has consumed several gallons of plain water in a few hours' time. Water intoxication is rare, but when it occurs, immediate action is needed to reverse dangerously diluted blood before death ensues.

Key Points

- Water losses from the body must be balanced by water intakes to maintain hydration.
- The brain regulates water intake; the brain and kidneys regulate water excretion.
- Dehydration and water intoxication can can arise with deficient or excessive water intake.

How Much Water Do I Need to Drink in a Day?

Water needs vary greatly, depending on the foods a person eats, the air temperature and humidity, the altitude, the person's activity level, and other factors (see Table 8–2). Fluid needs vary widely among individuals and also within the same person in various environmental conditions, so a specific water recommendation is hard to pin down.

Water from Fluids and Foods A wide range of fluid intakes can maintain adequate hydration. As a general guideline, however, the DRI committee recommends that, given a normal diet and moderate environment, the reference man needs about

Do the Math:
Calculate percentage of water loss.

Water loss can be expressed as a percentage of body weight. In a 150-lb person,

- A 3-lb loss of body fluid equals 2% of body weight.

  3 lbs ÷ 150 lbs × 100 = 2% of body weight

- A 4.5-lb loss equals 3% of body weight in the same 150-lb person.

  4.5 ÷ 150 × 100 = 3%

Now solve this: in a 180-lb person, find the percentage of body weight represented by 5 pounds of water.

water balance the balance between water intake and water excretion, which keeps the body's water content constant.

dehydration loss of water. The symptoms progress rapidly, from thirst to weakness to exhaustion and delirium, and end in death.

water intoxication a dangerous dilution of the body's fluids resulting from excessive ingestion of plain water. Symptoms are headache, muscular weakness, mental confusion, seizures, and coma; fatalities can occur.

diuretic (dye-you-RET-ic) a compound, usually a medication, causing increased urinary water excretion; a "water pill."

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Courtesy of Fran Sizer

An extra drink of water benefits both young and old.

Table 8–2

Factors that Increase Fluid Needs

These conditions increase a person's need for fluids:

- Alcohol consumption
- Cold weather
- Dietary fiber
- Diseases that disturb water balance, such as diabetes and kidney diseases
- Forced-air environments, such as airplanes and sealed buildings
- Heated environments
- High altitude
- Hot weather, high humidity
- Increased protein, salt, or sugar intakes
- Ketosis
- Medications (diuretics)
- Physical activity
- Pregnancy and breastfeeding (see Chapter 13)
- Prolonged diarrhea, vomiting, or fever
- Surgery, blood loss, or burns
- Very young or old age

13 cups of fluid from beverages, including drinking water, and the reference woman needs about 9 cups. This amount of fluid provides about 80 percent of the body's daily water need. On average, most people in the United States, with the exception of older adults, consume close to these amounts.[4] The fluids people choose to drink can affect daily calorie intakes, as the Consumer's Guide section makes clear. Chapter 12 addresses the safety of public water supplies.

Most of the rest of the body's needed daily fluid comes from the water in foods. Nearly all foods contain some water: water constitutes up to 95 percent of the volume of most fruits and vegetables and at least 50 percent of many meats and cheeses (see Table 8–3).

Table 8–3

Water in Foods and Beverages

Many solid foods, such as broccoli and steak, are surprisingly high in water.

100%	water, diet soft drinks, seltzer (unflavored), plain tea
95–99%	sugar-free gelatin dessert, clear broth, Chinese cabbage, celery, cucumber, lettuce, summer squash, black coffee
90–94%	sports drinks, grapefruit, fresh strawberries, broccoli, tomatoes
80–89%	sugar-sweetened soft drinks, milk, yogurt, egg white, fruit juices, low-fat cottage cheese, cooked oatmeal, fresh apple, carrot
60–79%	low-calorie mayonnaise, instant pudding, banana, shrimp, lean steak, pork chop, baked potato, cooked rice
40–59%	diet margarine, sausage, chicken, macaroni and cheese
20–39%	bread, cake, cheddar cheese, bagel
10–19%	butter, margarine, regular mayonnaise
5–9%	peanut butter, popcorn
1–4%	ready-to-eat cereals, pretzels
0%	cooking oils, meat fats, shortening, white sugar

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A Consumer's Guide to . . . Liquid Calories

Most ordinary beverages help meet the body's need for fluid. In developed nations such as ours, however, people encounter a constant stream of beverages that contain more than just water.[1]*

Mystery Pounds

Derek, an active college student, hasn't thought much about his fluid intake but is lamenting, "I'm exercising more and I've cut out the junk food, but I've still gained five pounds!" What has escaped Derek's attention is the calories that he's been drinking: a big glass of vitamin C–enriched orange punch at breakfast, a soda or two before lunchtime, sometimes a large mocha latte for an afternoon wake-up, and, of course, sports drinks when he works out.

Drinking without Thirst

Like Derek, most people choose beverages for reasons having little to do with thirst. They seek the stimulating effect of caffeine in coffee, tea, or sodas. They choose fluids such as milk, juice, or other beverages at mealtimes. They believe they need the added nutrients in sugar-sweetened "vitamin waters." They think they need the carbohydrate in sports drinks for all physical activities (few exercisers do; read Chapter 10). They drink hot beverages to warm up and cold ones to cool off. Or they drink for pleasure—for the aroma of coffee, the sweet taste of sugar, or the euphoria of alcohol. On each of these drinking occasions, with or without their awareness, people make choices among high-calorie and lower-calorie beverages.

** Reference notes are in Appendix F.*

skodonnell/E+/Getty Images

A fancy coffee drink can easily provide 400–700 calories; plain coffee contains zero calories.

Weighing In on Extra Fluids

Drinking extra fluid, and water in particular, may offer some health advantages, such as preventing minor dehydration and reducing the risk of developing kidney stones. Fluids such as fat-free milk and 100 percent fruit or vegetable juices provide needed nutrients and are thus included in the USDA dietary patterns. Other beverages, such as sugary sodas and punches, provide many empty calories, and should be limited. Doing so could help many people to lose weight.

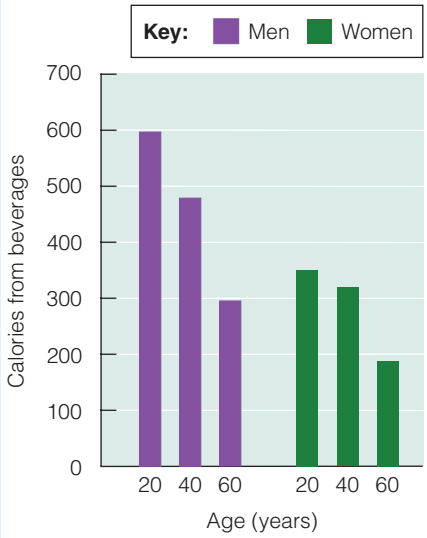
Figure 8–3 shows that young men like Derek top the chart for energy intakes from beverages, with an average of almost 600 calories per day. Young women drink about 350 calories per day on average. High-calorie beverages, consistently chosen over water, can almost double a person's calorie intake in a day.

Even among nutritious beverages, daily choices matter. For example, an 8-ounce glass of orange juice provides about 110 calories; tomato juice, a similar choice with regard to vitamins and minerals, provides just 40. Calories in choices like these add up.

Figure 8–3

How Many Calories Do We Drink?

The daily intake of calories from beverages varies widely with age, with 20- to 30-year-old men consuming the greatest amounts by far.



Seeking an Expert's Advice

"My advice is to track your intake of fluids and add up their calories," says the registered dietitian nutritionist at Derek's campus health clinic. "And watch serving sizes: your quart bottle of sports drink packs over 200 calories of sugar and more than 400 milligrams of sodium, but its label lists much lower values for one 8-ounce serving, based on four servings per bottle" (see Figure 8–4, p. 274).

(continued)

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Figure 8–4

What's in a Sports Drink?

When comparing labels, carefully note serving sizes. This Nutrition Facts panel lists calories and sodium for 12 ounces and the whole bottle.

Nutrition Facts

About 2.5 servings per container
Serving size 12 fl oz (360 mL)

	Per serving		Per container	
Calories	**80**		**230**	
	% Daily Value		% Daily Value	
Total Fat	0g	**0%**	0g	**0%**
Sodium	160mg	**7%**	440mg	**19%**
Total Carb.	22g	**8%**	57g	**21%**
Total Sugars	21g		55g	
Incl. Added Sugars	21g	**41%**	55g	**110%**
Protein	0g		0g	
Potassium	50mg	0%	120mg	2%

Not a significant source of saturated fat, trans fat, cholesterol, dietary fiber, vitamin D, calcium, and iron.

iStock.com/Joe_Potato

And Derek's reply: "I counted at least 400 random calories that I *drank* every day . . . I'll switch out the sodas and sports drinks for water, and as for coffee, I'll just put some milk in it—it's cheaper than the fancy stuff, anyway."

Finding Calorie Information

Packaged drinks must carry a Nutrition Facts panel. But what about calories in unlabeled beverages? How many calories are in coffee drinks, iced teas, or fountain drinks served in restaurants? By law, most restaurants must provide calorie information on menus, tray liners, handouts, nearby posters, or even on computers. If you don't see it, ask for it.

Moving Ahead

All beverages (except alcohol) can readily meet the body's fluid needs, so the question becomes, "What else does this beverage supply?" A 500-calorie smoothie or latte may be the right fluid choice for a person who needs to gain weight, but for most people, nutrition authorities often recommend plain water. Table 8–4 suggests flavorful additions for plain water. Other recommendations are plain tea, coffee, nonfat and low-fat milk and soy milk, beverages with low-calorie or artificial sweeteners, clear soups, 100 percent vegetable juices, and 100 percent fruit juices in moderation (see Chapter 2). If you enjoy regular soft drinks, sweet tea, creamy coffee drinks, punches, and other highly caloric beverages, limit yourself to the smallest sizes, and choose other beverages most of the time.

Review Questions*

1. The population group consuming the greatest number of daily calories from beverages is: _____.
   a. young men
   b. young women
   c. elderly people of both sexes
2. When choosing a beverage, one should _____.
   a. read the label carefully, especially noting the number of servings in the container and the calories per serving
   b. consider how a beverage's calories fit into the day's calorie needs
   c. consider ingredients in addition to water supplied by the beverage
   d. all of the above
3. Nutrition authorities often recommend _____.
   a. drinking water, plain or lightly flavored, to quench thirst
   b. staying hydrated with plenty of regular soft drinks, sweet tea, creamy coffee drinks, punches
   c. drinking plain tea, coffee, nonfat and low-fat milk and soy milk, beverages with artificial sweeteners, and 100 percent fruit and vegetable juices, in addition to water
   d. a and c

**Answers to Consumer's Guide review questions are in Appendix G.*

Table 8–4

Ways to Add Flavor to Water

Here are some ideas for adding interest to the taste of plain water without low-calorie sweeteners, colors, or flavors or too much added sugar.

- Steep a cinnamon stick in a cup of water. Mix 1–2 tablespoons of this concentrate with a glass of ice and water to add flavor. For variety, add a slice or two of fresh apple to the mix.
- Add a splash of 100% fruit juice to flavor and color plain or sparkling water naturally.
- Infuse water with the flavor of fresh fruits, such as berries or melons. Simply add the fruit to your water and drink, or consider purchasing an infuser, a gadget that submerges flavoring agents in water, but holds them back during pouring.
- Crush fresh herbs and steep them in a glass or pitcher of water in the refrigerator overnight. Add fresh citrus slices, such as lemon or lime, before drinking.
- Try a mixture of herbs and fruits, such as strawberries and basil or watermelon and mint to add a more complex flavor to water.
- Add flavored ice cubes to your water. Freeze coffee, water with berries, pureed pineapple, or even whole grapes, and use them in place of regular ice cubes to cool and flavor your water.
- Add cucumber slices to your water to give it a subtle, refreshing taste.

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A small percentage of the day's fluid is generated in the tissues themselves as energy-yielding nutrients release **metabolic water** as a product of chemical reactions.

The Effect of Sweating on Fluid Needs Sweating increases water needs. Especially when performing physical work outdoors in hot weather, people can lose 2 to 4 gallons of fluid in a day. An athlete training in the heat can sweat out more than a half gallon of fluid each hour. For athletes exercising in the heat, maintaining hydration is critical, and Chapter 10 provides detailed instructions for hydrating the exercising body.

Key Points

- Many factors influence a person's need for water.
- Water is provided by beverages and foods and by cellular metabolism.
- Sweating increases fluid needs.
- High-calorie beverages affect daily calorie intakes.

Body Fluids and Minerals

LO 8.2 Describe the concepts of fluid and electrolyte balance and acid-base balance and their importance to health.

Most of the body's water weight is contained inside the cells, and some water bathes the outsides of the cells. The remainder fills the blood vessels. How do cells keep themselves from collapsing when water leaves them and from swelling up when too much water enters them?

Water sources and safety are topics of **Chapter 12**.

Water Follows Salt

The cells cannot regulate the amount of water directly by pumping it in and out because water slips across membranes freely. The cells can, however, pump minerals across their membranes. The major minerals form **salts** that dissolve in the body fluids; the cells direct where the salts go, and this determines where the fluids flow because water follows salt.

When minerals (or other) salts dissolve in water, they separate into single, electrically charged particles known as **ions**. (Common table salt, for example, is sodium chloride, or NaCl, and in water it separates to form a sodium ion, Na^+, and a chloride ion, Cl^-.) Unlike pure water, which conducts electricity poorly, ions dissolved in water carry electrical current; for this reason, these electrically charged ions are called **electrolytes**.

As Figure 8–5 (p. 276) shows, when dissolved particles, such as electrolytes, are present in unequal concentrations on either side of a water-permeable membrane, water flows toward the more concentrated side to equalize the concentrations. Cells and their surrounding fluids work in the same way. Think of a cell as a sack made of a water-permeable membrane. The sack is filled with watery fluid and suspended in a dilute solution of salts and other dissolved particles. Water flows freely between the fluids inside and outside the cell but generally moves from the more dilute solution toward the more concentrated one (the photo of salted eggplant slices in Figure 8–6 (p. 276) shows this effect).

Key Point

- Cells regulate water movement by pumping minerals across their membranes; water follows the minerals.

metabolic water water generated in the tissues during the chemical breakdown of the energy-yielding nutrients in foods.

salts compounds composed of charged particles (ions). An example is potassium chloride (K^+Cl^-), listed as *potassium salt* on food labels.

ions (EYE-ons) electrically charged particles, such as sodium (positively charged) or chloride (negatively charged).

electrolytes compounds that partly dissociate in water to form ions, such as the potassium ion (K^+) and the chloride ion (Cl^-).

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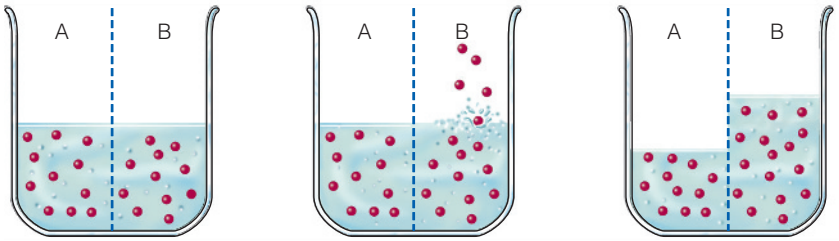
Figure 8–5

How Electrolytes Govern Water Flow

Water flows in the direction of the more highly concentrated solution.



1. With equal numbers of dissolved particles on both sides of a water-permeable divider, water levels remain equal.
2. Now additional particles are added to increase the concentration on side B. Particles cannot flow across the divider. In the case of a cell, the divider (cell membrane) partitions fluids inside and outside the cell.
3. Water can flow both ways across the divider but tends to move from side A to side B, where the concentration of dissolved particles is greater. The *volume* of water increases on side B, and the particle *concentrations* on sides A and B become equal.

Figure 8–6

Salt Draws Water from Cells

The slices of eggplant on the right were sprinkled with salt. Notice their beads of "sweat," formed as cellular water moves across each cell's membrane (a water-permeable divider) toward the higher concentration of salt (dissolved particles) on the surface.

© Craig M. Moore

Fluid and Electrolyte Balance

To control the flow of water, the body must spend energy moving its electrolytes from one compartment to another (see Figure 8–7). Transport proteins form the pumps that move mineral ions across cell membranes, as Chapter 6 described. The result is **fluid and electrolyte balance**, the proper amount and kind of fluid in every body compartment.

If the fluid balance is disturbed, severe illness can develop quickly because fluid can shift rapidly from one compartment to another. For example, in vomiting or diarrhea, the loss of water from the digestive tract pulls fluid from between the cells in every part of the body. Fluid then leaves the cell interiors to restore balance. Meanwhile, the kidneys detect the water loss and attempt to retrieve water from the pool destined for excretion. To do this, they raise the sodium concentration outside the cells, and this pulls still more water out of them. The result is **fluid and electrolyte imbalance**, a medical emergency. Water and minerals lost in vomiting or diarrhea ultimately come from all the body's cells. This loss disrupts the heartbeat and threatens life. It is a cause of death among those with eating disorders.

Key Point

- Mineral salts form electrolytes that help keep fluids in their proper compartments.

fluid and electrolyte balance maintenance of the proper amounts and kinds of fluids and minerals in each compartment of the body.

fluid and electrolyte imbalance failure to maintain the proper amounts and kinds of fluids and minerals in every body compartment; a medical emergency.

acid–base balance equilibrium between acid and base concentrations to maintain a proper pH in the body fluids. Also defined in Chapter 6.

Acid–Base Balance

The minerals help manage still another balancing act, the **acid–base balance**, or the pH, of the body's fluids. In pure water, a small percentage of water molecules (H_2O) exists as positive (H^+) and negative (OH^-) ions, but they exist in equilibrium—the positive charges exactly equal the negatives. When dissolved in watery body fluids, some of the major minerals give rise to acids (H, or hydrogen, ions) and others to bases (OH ions). Excess H ions in a solution make it an acid; they lower the pH. Excess OH ions in a solution make it a base; they raise the pH.

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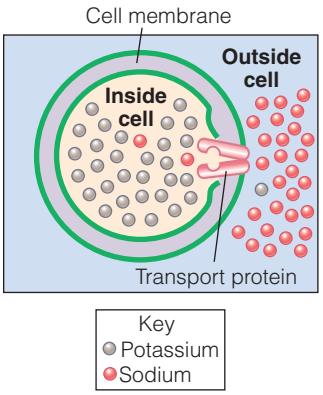
Maintenance of body fluids at a nearly constant pH is critical to life. Even slight changes in pH drastically change the structure and chemical functions of most biologically important molecules. The body's proteins and some of its mineral salts help prevent changes in the acid–base balance of its fluids by serving as **buffers**—molecules that gather up or release H ions as needed to maintain the correct pH. The kidneys help control the pH balance by excreting more or less acid (H ions). The lungs also help by excreting more or less carbon dioxide. (Dissolved in the blood, carbon dioxide forms an acid, carbonic acid.) This tight control of the acid–base balance permits all other life processes to continue.

Key Point

- Minerals act as buffers to help maintain body fluids at the correct pH to support life's processes.

Figure 8–7
Electrolyte Balance

Transport proteins in cell membranes maintain the proper balance of sodium (mostly outside the cells) and potassium (mostly inside the cells).



The Major Minerals

LO 8.3 Describe the functions of the seven major minerals, their food sources, and the effects of their deficiencies and toxicities.

All the major minerals help to maintain the fluid balance, but each one also has some special duties of its own. Table 8–5 lists the major minerals, and Table 8–12 (pp. 300–301) summarizes their roles.

Calcium

Calcium is by far the most abundant mineral in the body. The roles of calcium are critical to body functioning, but many adults, adolescents, and even some children do not consume enough calcium-rich foods to meet the DRI for this mineral. Most who do meet their needs do so by taking calcium supplements.

Nearly all (99 percent) of the body's calcium is stored in the bones and teeth, where it plays two important roles. First, it is an integral part of bone structure. Second, the skeleton serves as a bank that can release calcium to the body fluids if even the slightest drop in blood calcium concentration occurs. Many people think that once deposited in bone, calcium stays there forever—that once a bone is built, it is inert, like a rock. Not so. The minerals of bones are in constant flux, with formation and dissolution taking place every minute of the day and night (see Figure 8–8, p. 278). Almost the entire adult human skeleton is remodeled every 10 years. In addition, bone cells release hormones that work with other organs to help regulate several body functions.[5] The skeleton truly is a living body organ.

Table 8–5
Major Minerals[a]

The need for each of these is greater than 100 milligrams per day, in some cases far greater.

- Calcium
- Chloride
- Magnesium
- Phosphorus
- Potassium
- Sodium
- Sulfate

[a] *The major minerals are also called* macrominerals.

Calcium in Bone and Tooth Formation Calcium and phosphorus are both essential to bone formation: calcium phosphate salts crystallize on a rubbery foundation material composed of the protein collagen. The resulting **hydroxyapatite** crystals invade the collagen and gradually lend more and more rigidity to a youngster's maturing bones until they are able to support the weight they will have to carry. If you could remove all of the minerals from bones, thereby eliminating the hydroxyapatite crystals, the remaining protein structures (mostly the protein collagen) would be so flexible that you could tie them in a knot.

Teeth are formed in a similar way: hydroxyapatite crystals form on a collagen matrix to create the dentin that gives strength to the teeth (see Figure 8–9, p. 278). The turnover of minerals in teeth is not as rapid as in bone, but some withdrawals and deposits do take place throughout life.

buffers molecules that can help to keep the pH of a solution from changing by gathering or releasing H ions.

hydroxyapatite (hi-DROX-ee-APP-uh-tight) the chief crystal of bone and teeth, formed from calcium and phosphorus.

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Figure 8–8

A Bone

Bone is active, living tissue. Blood travels in capillaries throughout the bone, bringing nutrients to the cells that maintain the bone's structure and carrying away waste materials from those cells. It picks up and deposits minerals as instructed by hormones.

Bone derives its structural strength from the lacy network of crystals that lie along its lines of stress. If minerals are withdrawn to cover deficits elsewhere in the body, the bone will grow weak and ultimately will bend or crumble.

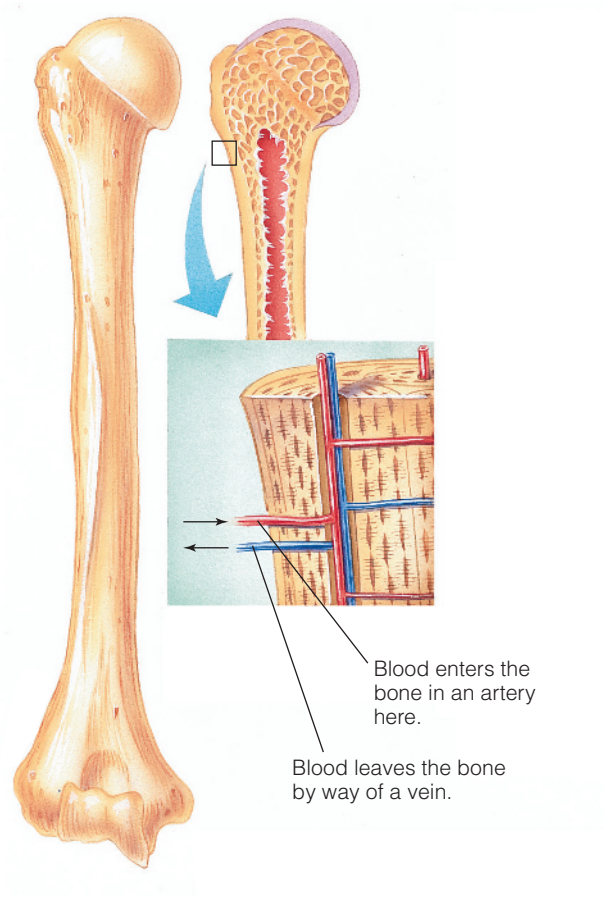
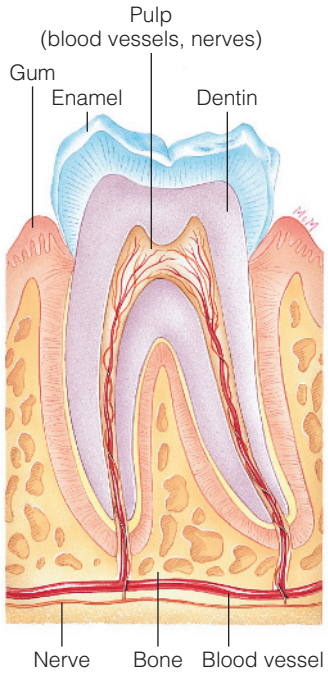


Figure 8–9

A Tooth

The inner layer of dentin is bonelike material that forms on a protein (collagen) matrix. The outer layer of enamel is harder than bone. Both dentin and enamel contain hydroxyapatite crystals (made of calcium and phosphorus). The crystals of enamel may become even harder when exposed to the trace mineral fluoride.



Calcium in Body Fluids The fluids that bathe and fill the cells contain the remaining 1 percent of the body's calcium, a tiny amount that is vital to life. It plays these major roles:

- Regulates the transport of ions across cell membranes and is particularly important in nerve transmission.
- Helps maintain normal blood pressure.
- Plays an essential role in the clotting of blood.
- Is essential for muscle contraction and therefore for the heartbeat.
- Activates cellular enzymes that regulate many processes.

Because of its importance, blood calcium concentration is tightly controlled.

Calcium Balance The key to bone health lies in the body's calcium balance, directed by a system of hormones and vitamin D. Cells need continuous access to calcium, so the body maintains a constant calcium concentration in the blood. The body is sensitive to an increased need for calcium but sends no signals to the conscious brain to indicate a calcium need. Instead, three organ systems quietly respond:

1. The intestines increase their absorption of calcium.
2. The kidneys prevent calcium loss in the urine.
3. The bones release more calcium into the blood.

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The skeleton serves as a bank from which the blood can borrow and return calcium as needed. Thus, a person can go for years with an inadequate calcium intake and still maintain normal blood calcium—but at the expense of **bone density**. It follows that a normal result on a laboratory test for *blood* calcium does not signify an adequate body calcium status. Bone density must be tested directly.

Calcium Absorption Most adults absorb about 20 to 30 percent of the calcium they ingest.[6] When the body needs more calcium, the intestinal lining can substantially increase its absorption. The result is obvious in the case of a pregnant woman, who doubles her absorption. Similarly, breastfed infants absorb about 60 percent of the calcium in breast milk. Children in puberty absorb almost 35 percent of the calcium they consume.

The body also absorbs and retains more calcium when habitual intakes are low. Deprived of the mineral for years, an adult may double the calcium absorbed; conversely, when supplied for years with abundant calcium, the same person may absorb only about one-third the normal amount. Despite these adjustments, increases in calcium absorption cannot fully compensate for reduced intakes. A person who suddenly cuts back on calcium is likely to lose calcium from the bones.

Bone Loss Some bone loss seems an inevitable consequence of aging.[7] Sometime around age 30, the skeleton no longer adds significantly to bone density. After about age 40, regardless of calcium intake, bones begin to lose density. Those who regularly meet calcium, protein, and other nutrient needs and who perform bone-strengthening physical activity may slow down the loss. Table 8–6 lists nutrients that are critical to bone health and that work as a team to support it.

A person who reaches adulthood with insufficient calcium stores is likely to develop the fragile bones of **osteoporosis**. Osteoporosis along with its forerunner, **osteopenia**, constitute a major health problem for many older people—their possible causes and prevention are the topics of this chapter's Controversy feature. To protect against bone loss, attention to calcium intakes during early life is crucial. Too few calcium-rich foods during the growing years may prevent a person from achieving **peak bone mass** (Figure 8–10 illustrates the timing).[8]

Calcium Requirements, Intakes, and Food Sources Setting recommended intakes for calcium is difficult because absorption varies. The DRI recommendations for calcium intake are set at levels that produce maximum calcium retention

Table 8–6

Functional Group for Bones

The following are vitamins, minerals, and energy nutrients most important to bone health.

Key bone vitamins:
- Vitamin A
- Vitamin D
- Vitamin K
- Vitamin C

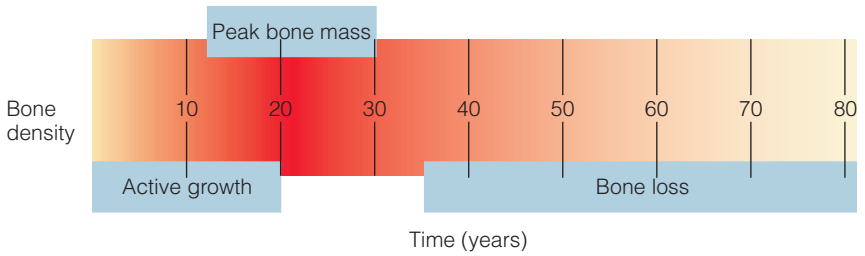
Key bone minerals:
- Calcium
- Phosphorus
- Magnesium

Key energy nutrient:
- Protein

Figure 8–10

Bone throughout Life

From birth to about age 20, the bones are actively growing. Between the ages of 12 and 30 years, the bones achieve their maximum mineral density for life—the peak bone mass. Beyond those years, bone resorption exceeds bone formation, and bones lose density.



bone density a measure of bone strength, the degree of mineralization of the bone matrix.

osteoporosis (OSS-tee-oh-pore-OH-sis) a reduction of the bone mass of older people in which the bones become porous and fragile (*osteo* means "bones"; *poros* means "porous"); also known as *adult bone loss*. (Also defined in Chapter 7.)

osteopenia (OS-tee-oh-PEE-nee-ah) a condition of low bone mass that often progresses to osteoporosis.

peak bone mass the highest bone density attained by an individual; developed during the first three decades of life.

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(see the back of the book, p. B). At lower intakes, the body does not store calcium to capacity; at greater intakes, the excess calcium is excreted and thus is wasted. Because adverse effects, such as constipation and calcium buildup in soft tissues, are possible with supplemental doses, a Tolerable Upper Intake Level (UL) has been established (see the back of the book, p. C). The Controversy has more about calcium supplements.

Typical U.S. diets often fall short of meeting calcium needs, and more than half of people in these groups take in too little:

- Adolescent boys and girls
- Women older than 50 years
- Both men and women older than 70 years[9]

Snapshot 8–1 provides a look at some foods that are good or excellent sources of calcium. Milk and milk products provide most of the calcium to the U.S. diet.

Some vegetables, such as broccoli, turnip greens, and other members of the cabbage family contain absorbable calcium. Other foods that appear to be good calcium sources fail to deliver calcium to the body because they also contain fiber and the binders phytate (in whole grains) and oxalate (in vegetables) that interfere with calcium absorption. In an adequate and varied diet, these binders exert only minor effects on calcium nutrition (more on calcium absorption in the Food Feature, later).

Snapshot 8–1 Calcium

DRI

Adults: 1,000 mg/day (men and women, 19–50 yr; men, 51–70 yr)
1,200 mg/day (women, 51–70 yr; men and women, >70 yr)

Tolerable Upper Intake Level

Adults: 2,500 mg/day (19–50 yr)
2,000 mg/day (>50 yr)

Chief Functions

Mineralization of bones and teeth; muscle contraction and relaxation, nerve functioning, blood clotting

Deficiency

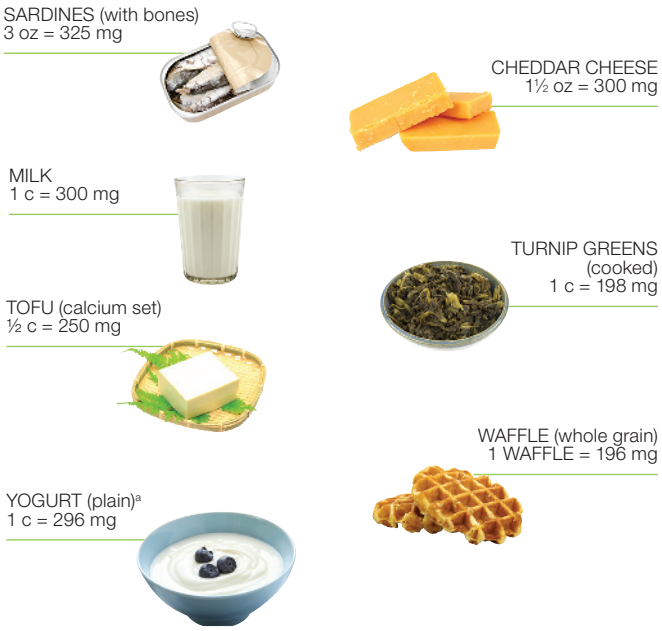
Stunted growth and weak bones in children; bone loss (osteoporosis) in adults

Toxicity

Elevated blood calcium; constipation; interference with absorption of other minerals; increased risk of kidney stone formation

**This is a sampling of foods that provide 10% or more of the calcium Daily Value in a serving. For a 2,000-cal diet, the DV is 1,300 mg/day.*
[a]Broccoli, kale, and some other cooked green leafy vegetables are also important sources of bioavailable calcium. Almonds also supply calcium. Spinach and chard contain calcium in an unabsorbable form. Some calcium-rich mineral waters may also be good sources.

Good Sources*



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Key Points

- Calcium is the chief mineral of bones and teeth.
- Calcium plays roles in nerve transmission, muscle contraction, and blood clotting.
- Calcium absorption adjusts somewhat to dietary intakes and altered needs.
- Proper nutrition and physical activity can help prevent some degree of bone loss in aging.

Phosphorus

Phosphorus is the second most abundant mineral in the body. More than 80 percent of the body's phosphorus is found combined with calcium in the crystals of the bones and teeth. The rest is everywhere else.

Roles in the Body All body cells must have phosphorus for these functions:

- Phosphorous salts are critical buffers, helping to maintain the acid-base balance of cellular fluids. (Note that the mineral is phosphorus. The adjective form is spelled with an *-ous*, as in phosphorous salts.)
- Phosphorus is part of the DNA and RNA of every cell and thus is essential for growth and renewal of tissues.
- Phosphorous compounds carry, store, and release energy during metabolism of energy nutrients.
- Phosphorous compounds act as cofactors, assisting many enzymes in extracting the energy from nutrients.
- Phosphorus forms part of the molecules of the phospholipids that are the principal components of cell membranes (discussed in Chapter 5).
- Phosphorus is present in some proteins.

Recommendations and Food Sources Luckily, the body's need for phosphorus is easily met by almost any diet, deficiencies are unlikely, and most people in the United States meet their needs. As Snapshot 8–2 (p. 282) shows, animal protein is a rich source of phosphorus (because phosphorus is abundant in the cells of animals). Milk and cheese are also rich sources.

Phosphorus-based food additives, such as modified starches used in gravies, prepared meals, creamy desserts, and other processed foods, and phosphates added to colas also contribute phosphorus to the diet. Excess phosphorus in the *blood* is associated with indicators of heart and kidney diseases, but whether this bears a relationship to phosphorus in the diet is unknown.[10]

Alter-ego/Shutterstock.com

Key Points

- Phosphorus is abundant in bones and teeth.
- Phosphorus helps maintain acid-base balance, is part of the genetic material in cells, assists in energy metabolism, and forms part of cell membranes.
- Phosphorus deficiencies are unlikely.

Magnesium

Magnesium qualifies as a major mineral by virtue of its dietary requirement, but only about 1 ounce is present in the body of a 130-pound person, over half of it in the bones. Most of the rest is in the muscles, heart, liver, and other soft tissues, with only 1 percent in the body fluids. The body can tap the supply of magnesium in the bones to maintain a constant blood level whenever dietary intake falls too low. The kidneys can also act to conserve magnesium.

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Snapshot 8–2 Phosphorus

DRI
Adults: 700 mg/day

Tolerable Upper Intake Level
Adults (19–70 yr): 4,000 mg/day

Chief Functions
Mineralization of bones and teeth; part of phospholipids, important in genetic material, energy metabolism, and buffering systems

Deficiency
Muscular weakness, bone pain[a]

Toxicity
Calcification of soft tissues, particularly the kidneys

** This is a sampling of foods that provide 10% or more of the phosphorus Daily Value in a serving. For a 2,000-cal diet, the DV is 1,250 mg/day.*
[a] Dietary deficiency rarely occurs, but some drugs can bind with phosphorus, making it unavailable.

Good Sources*

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Roles in the Body Like phosphorus, magnesium is critical to many cell functions. Magnesium:

- Serves as a cofactor for hundreds of enzymes.
- Is needed for the release and use of energy from the energy-yielding nutrients.
- Is a necessary part of the cellular protein-making machinery.
- Is critical to normal nerve transmission, muscle contraction, and heart function.

Magnesium and calcium work together for proper functioning of the muscles: calcium promotes contraction and magnesium helps relax the muscles afterward. In the teeth, magnesium promotes resistance to tooth decay by holding calcium in tooth enamel. Like most other nutrients, magnesium supports the normal functioning of the immune system.

Magnesium Deficiency U.S. magnesium intakes generally fall below recommendations, and chronically low intakes are associated with diabetes, heart failure, hypertension, inflammation, and stroke.[11] The Dietary Guidelines list magnesium among the shortfall nutrients for the U.S. population.

An acute magnesium deficiency may occur with alcoholism, prolonged diarrhea or vomiting, or severe malnutrition. It may also occur among people who take diuretics or other medications that cause excessive magnesium loss in the urine. Its symptoms include a low blood calcium level, muscle cramps, and seizures. Magnesium deficiency also impairs brain functioning and may cause hallucinations that can be mistaken for mental illness or drunkenness.

Magnesium Toxicity Magnesium toxicity is rare, but it can be fatal. Toxicity occurs only with high intakes from nonfood sources such as supplements. Accidental poisonings may occur in children with access to medicine chests and in older people who take too many magnesium-containing laxatives, antacids, and other medications. The symptoms can include diarrhea, acid–base imbalance, and dehydration.

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Snapshot 8–3 Magnesium

DRI
Men (19–30 yr): 400 mg/day
Women (19–30 yr): 310 mg/day

Tolerable Upper Intake Level
Adults: 350 mg/day[a]

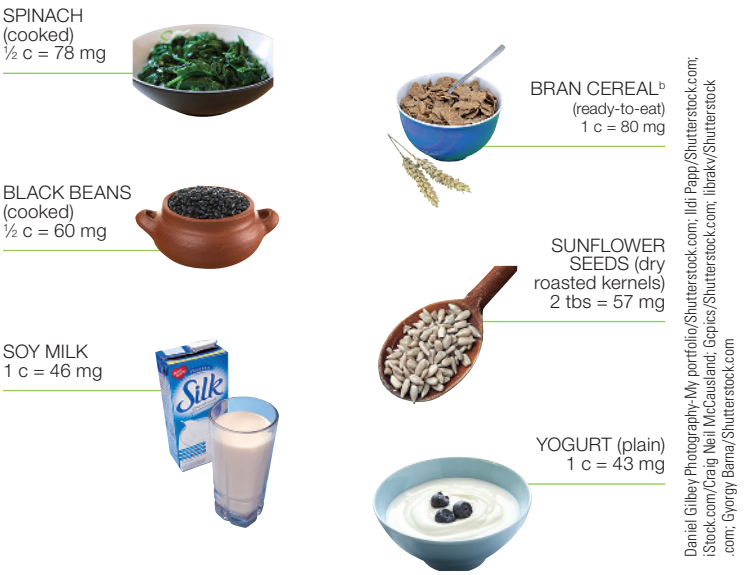
Chief Functions
Bone mineralization, enzyme action, heart function, immune function, muscle contraction, nerve function, protein synthesis, and tooth maintenance

Deficiency
Weakness, confusion; if extreme, convulsions, uncontrollable muscle contractions, hallucinations, and difficulty in swallowing; in children, growth failure

Toxicity
From nonfood sources only; diarrhea, pH imbalance, dehydration

**This is a sampling of foods that provide 10% or more of the magnesium Daily Value in a serving. For a 2,000-cal diet, the DV is 420 mg/day.*
[a]From nonfood sources, in addition to the magnesium provided by food.
[b]Whole wheat and wheat bran provides magnesium, but refined grain products are low in magnesium.

Good Sources*



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Recommendations and Food Sources Magnesium DRI values vary only slightly among adult age groups; see page B at the back of the book. Snapshot 8–3 shows magnesium-rich foods. Magnesium is easily washed and peeled away from foods during processing, so lightly processed or unprocessed foods are the best sources. The Dietary Guidelines committee recommends increasing fluid milk and yogurt consumption while reducing cheese intake to help increase magnesium in the diet. Fruits, vegetables, and whole grains are also important sources of magnesium. In some parts of the country, water augments magnesium intakes significantly, so people living in those regions need less from food.

Key Points

- Magnesium stored in the bones can be drawn out for use by the cells.
- Magnesium in food is easily lost during preparation and processing.

Sodium

Salt has been known and valued throughout recorded history. "You are the salt of the earth" means that you are valuable. If "you are not worth your salt," you are worthless. Even our word *salary* comes from the Latin word for *salt*. Chemically, sodium is the positive ion in the compound sodium chloride (table salt) and makes up 40 percent of its weight: a gram of salt contains 400 milligrams of sodium. Table 8–7 displays the chemical reaction that forms salt.

Table 8–7
How Table Salt Is Formed

To chemists, a salt results from the reaction between a base and an acid.

- Sodium chloride (table salt) arises when the base sodium hydroxide reacts with hydrochloric acid.

Base + acid = salt + water

Sodium hydroxide + hydrochloric acid = sodium chloride + water

Roles of Sodium Sodium is a major regulator of the body's fluid and electrolyte balance system because it is the chief ion used to maintain the volume of fluid outside cells. Sodium also helps maintain acid-base balance and is essential to muscle contraction and nerve transmission. About 30 to 40 percent of the body's sodium is stored in association with the bones, where the body can draw on it to replenish the blood concentration.

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Do the Math:

Calculate milligrams of sodium in a quantity of salt.

Salt is about 40% sodium and 60% chloride. (Reminder: 1g = 1,000 mg)

- 1 g of salt contains 400 mg of sodium.
- 1 tsp salt weighs 5.75 g.

Therefore, to find the milligrams of sodium in a teaspoon of salt:

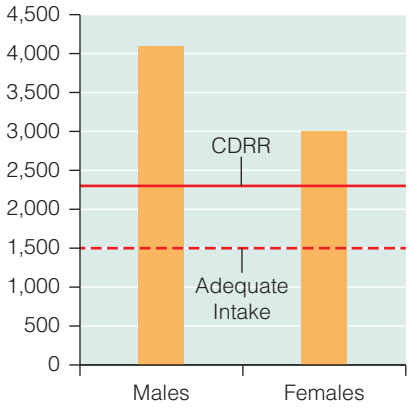
$400 \times 5.75 = 2{,}300$ mg

Now, find the milligrams of sodium in 1¾ tsp salt.

Figure 8–11

Daily Sodium Intakes and Guidelines Compared, U.S. Adults

The solid red line indicates 2,300 mg of sodium, the Chronic Disease Risk Reduction (CDRR) associated with a low risk of chronic disease. The broken red line marks 1,500 mg, an adequate intake for adults.



Source: National Academies of Sciences, Engineering, and Medicine, Dietary Reference Intakes for Sodium and Potassium *(Washington, DC: National Academies Press, 2019), doi: 10.17226/25353.*

Sodium Deficiency A deficiency of sodium would be harmful, but no known human diet lacks sodium. Most foods include more salt than is needed, and the body absorbs it freely. The kidneys filter the surplus out of the blood into the urine. They can also sensitively conserve sodium. In the rare event of a deficiency, they can return to the bloodstream the exact amount needed. Small sodium losses occur in sweat, but the amount of sodium excreted in a day equals the amount ingested that day.

Overly strict use of low-sodium diets can deplete the body of needed sodium, as can vomiting, diarrhea, or extremely heavy sweating. If blood sodium drops, body water is lost, and both water and sodium must be replenished to avert an emergency.

Intense activities, such as endurance events performed over several days or in hot, humid conditions, can cause sodium losses that reach dangerous levels. Athletes in such events can lose so much sodium in sweat and drink so much plain water that they overwhelm the body's corrective actions and develop **hyponatremia**—the dangerous condition of having too little sodium in the blood. (Symptoms of hyponatremia are offered in Chapter 10, p. 383.)

How Are Salt and "Water Weight" Related? Blood sodium levels are well controlled. If blood sodium begins to rise, as it will after a person eats salted foods, a series of events trigger thirst and ensure that the person will drink water until the sodium-to-water ratio is restored. Then the kidneys excrete the extra water along with the extra sodium.

Dieters sometimes think that eating too much salt or drinking too much water will make them gain weight, but they do not gain fat, of course. They gain water, but a healthy body excretes this excess water immediately. Excess salt is excreted as soon as enough water is drunk to carry the salt out of the body. From this perspective, then, the way to keep body salt (and "water weight") under control is to control salt intake and drink more, not less, water.

Sodium Recommendations and Intakes An intake of 1,500 milligrams daily provides adequate sodium for healthy adults, and almost everyone in this country exceeds this intake by far (Figure 8–11).[12] The DRI committee also set a **CDRR** for sodium at 2,300 milligrams per day (equivalent to about 1 tsp of salt), and close to 90 percent of adults exceed this value, too. This means that almost everyone needs to reduce sodium intakes to minimize the risk of developing a chronic disease. The toxicity risk for sodium cannot be separated from its chronic disease risk, so a UL for sodium was not established. Table 8–8 lists sodium intake recommendations.

People with chronic high blood pressure are well-advised to reduce their sodium intakes.[13] Improvements in hypertension or chronic kidney disease can be expected when sodium intakes fall to 1,500 milligrams per day because this level of restriction reliably lowers blood pressure. Today's food supply makes achieving this intake a challenge, but enevn a partial reduction often helps bring blood pressure down. This is a worthy goal—hypertension is a leading cause of death and disability in this country.

How Are Salt Intake and Hypertension Related? Over time, a high-salt diet can damage and stiffen the linings of arteries, making hypertension likely. As chronic

Table 8–8

Sodium Recommendations and Blood Pressure

These upper limits are recommended to help control blood pressure.

Dietary Guidelines for Americans, 2020

- Consume less than 2,300 milligrams per day of sodium (ages 14 years and older).
- Further reductions to 1,500 milligrams of sodium may produce greater benefits in people with hypertension or prehypertension.

CDRR

- 2,300 mg/day, adults.
- For children, see the back of the book, p. C.

hyponatremia (high-poh-nah-TREE-mee-ah) an abnormally low concentration of sodium in the blood. See also *water intoxication*.

CDRR Chronic Disease Risk Reduction, a nutrient intake associated with a low risk of chronic disease. Also defined in Chapter 2.

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sodium intakes increase, blood pressure rises in a stepwise fashion—the higher the intake, the higher the pressure.[14] Excess salt may also damage and enlarge the heart muscle, increasing its workload along with the risk of heart problems.[15]

Too much salt can also aggravate kidney problems, and healthy kidneys play critical roles in regulating blood pressure. Once hypertension sets in, a sharp increase in the risk of fatal heart attacks and strokes occurs; meanwhile, kidney disease and hypertension snowball, each worsening the other. When blood pressure is brought down, heart and kidney problems often improve.

Genetic differences influence how readily people's blood pressure responds to sodium intakes. These relationships are complex, but genes controlling the kidneys' handling of sodium may be involved.

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Herbs and spices add delicious flavors to foods without adding salt.

Reducing Sodium Intakes As Table 8–9 demonstrates, making meaningful sodium reductions requires eliminating all salt, sauces, dressings, salty chips, pickles, and even piecrust from the diet. Choosing reduced-sodium, low-sodium, or salt-free products instead of their full-salt counterparts can help trim some sodium. Another obvious step is controlling the saltshaker, but salt added at home may contribute as little as 30 percent of the total salt consumed. To reduce this source, a sprinkle of a salt-free herb blend or a potassium-based **salt substitute**, or a squeeze of lemon juice can boost the salty flavor that people seek. As a potassium source, potassium salt may be a plus for people who need to reduce blood pressure but it poses a serious threat to people with kidney problems and those taking certain medications. Such people should seek medical advice before using potassium salts.

By far, the biggest contributor of sodium to the U.S. diet is the salt added to processed and restaurant foods, so the Food and Drug Administration (FDA) has asked U.S. food manufacturers to voluntarily cut the sodium in their products. Some progress is evident, but much more effort is needed on a national scale.[16] Figure 8–12 (p. 286) lists some foods high in sodium.

salt substitute any product containing potassium chloride (K^+Cl^-) intended to replace sodium chloride (Na^+Cl^-) in foods. K^+Cl^- is often blended with acidic compounds to improve flavor. Also called *potassium* salt on food labels.

Finding Hidden Sodium Foods high in sodium do not always taste salty. Who could guess by taste alone that a single half-cup serving of instant chocolate pudding provides almost one-fifth of the CDRR for sodium? Deceptively named "lemon pepper" seasoning often contains more salt than lemon or pepper. Additives other than salt also increase

Table 8–9

How to Trim Sodium from a Barbecue Lunch

Lunch #1 exceeds the whole day's Chronic Disease Risk Reduction level of 2,300 milligrams of sodium. With careful substitutions, the sodium drops dramatically in the second lunch, but it is still a high-sodium meal. In lunch #3, three additional changes—omitting the sauce, coleslaw dressing, and salt—cut the sodium by half again.

Lunch #1: Highest	Sodium (mg)	Lunch #2: Lower	Sodium (mg)	Lunch #3: Lowest	Sodium (mg)
▪ Chopped pork sandwich, sauce and meat mixture	950	▪ Sliced pork sandwich, with 1 tbs sauce	400	▪ Sliced pork sandwich (no sauce)	210
▪ Creamed corn, ½ c	460	▪ Corn, 1 cob, soft margarine, salt	190	▪ Corn, 1 cob, soft margarine, salt substitute	50
▪ Potato chips, 2.5 oz	340	▪ Coleslaw, ½ c	180	▪ Green salad, oil and vinegar, salt-free herb blend	10
▪ Dill pickle, ½ medium	420	▪ Watermelon, slice	10	▪ Watermelon, slice	10
▪ Milk, low-fat, 1 c	120	▪ Milk, low-fat, 1 c	120	▪ Milk, low-fat, 1 c	120
▪ Pecan pie, slice	480	▪ Ice cream, low-fat, ½ c	80	▪ Ice cream, low-fat, ½ cup	80
	Total 2,770		**Total 980**		**Total 480**

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Figure 8–12
Major Sodium Sources in the U.S. Diet[a]

Processed foods and foods from stores and restaurants contribute 75 percent of the sodium in the U.S. diet.

© Matthew Farruggio

Salts 2,000 mg/tsp
Salt, sea salt, seasoned salt, onion salt, garlic salt[b]

Dry soup mixes (prepared) 1,000 to 2,000 mg/c
Bouillon, noodle, onion, ramen

Fast foods and frozen dinners 700 to 1,500 mg/serving
Breakfast biscuit (cheese, egg, ham), burger or cheeseburger, burrito, canned beans in sauce, chicken wings (10 spicy wings), deli meat sandwiches, frozen dinners, frozen or canned pasta, pizza, 2 tacos, chili dog, vegetarian soy burger (on bun)

Canned soups (prepared, most types) 700 to 1,500 mg/c

Cold cuts/cured meats 500 to 700 mg/2 oz
Ham, lunchmeats, hot dogs, smoked sausages

Cheeses (processed) 550 mg/oz

Pudding (instant) about 420 mg per 1/2 c

Foods prepared in salt or brine 300 to 800 mg/serving
Anchovies (2 fillets), dill pickles (1), olives (5), sauerkraut (1/2 c), chipped beef (1 oz)

Canned vegetables 200 to 900 mg per 1/2 c
Regular types[c]

Soy sauce 300 mg/tsp

Snack chips, puffs, crackers 200 to 300 mg/oz

Breads and rolls 125 mg/1 slice or 1/2 roll

Condiments and sauces 100 to 200 mg/tbs
Barbecue sauce, ketchup, mustard, salad dressings, sweet pickle relish, taco sauce, Worcestershire sauce

[a]*Approximate values.*
[b]*Note that herb seasoning blends may or may not contain substantial sodium; read the labels.*
[c]*Some canned vegetables are reduced in salt; read the labels.*

Figure 8–13
Sodium on a Food Label

Here's where to find the sodium content of a food—on the Nutrition Facts label. Table 2–6 (p. 54) defines sodium terms used on food labels.

svanhorn/iStock/Getty Images

a food's sodium content: sodium benzoate, monosodium glutamate, sodium nitrite, and sodium ascorbate, to name a few. Moral: Read the Nutrition Facts labels (Figure 8–13).

The DASH Diet A dietary pattern proven to help people to reduce their sodium intake and control blood pressure is DASH (Dietary Approaches to Stop Hypertension). This pattern calls for greatly increased intakes of potassium-rich fruits and vegetables, adequate amounts of nuts, fish, whole grains, and low-fat dairy products, while restricting intakes of processed foods, red meat, saturated fats, and sweets. You can read more about this remarkable diet in the Food Feature of Chapter 11 (p. 422) and Appendix E.

DASH diet details are in **Chapter 11** and **Appendix E**.

Remember that the recommendation is to limit sodium, not to eliminate it. Foods eaten without salt may seem less tasty at first, but with repetition, taste buds adjust, and the delicious natural flavors of unsalted foods and spices become the preferred tastes.

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Key Points

- Sodium is the main positively charged ion outside the body's cells.
- Sodium attracts water.
- Too much dietary sodium increases the risk of hypertension; few diets lack sodium.

Potassium

Outside the body's cells, sodium is the principle positively charged ion. *Inside* the cells, potassium takes the role of the principal positively charged ion. All intact living cells contain potassium.

Roles in the Body Potassium plays a major role in maintaining fluid and electrolyte balance and cell integrity. During nerve impulse transmission and muscle contraction, potassium and sodium briefly trade places across the cell membrane. The cell then quickly pumps them back into place. Controlling potassium distribution is a high priority for the body because it affects many critical functions, including maintaining a steady heartbeat.

Potassium Intake and Hypertension Few people in the United States consume the DRI amount of potassium. Those who meet their potassium needs often maintain a normal blood pressure, and thus have lower risks of hypertension and strokes. The DRI committee could not set a CDRR for potassium, however, not because of a lack of effectiveness, but because of a lack of evidence. Research shows that taking potassium supplements sometimes reduces blood pressure in people with hypertension. Adopting a potassium-rich diet such as DASH is often effective against hypertension, but the DASH diet does more than add potassium. It also limits sodium and red meat, and includes abundant fruit, legumes, and vegetables that provide a variety of fibers, phytochemicals, vitamins, and minerals, each of which may influence blood pressure.[17] Until research untangles the effects of dietary potassium from those of other factors, a whole diet approach remains best for modulating blood pressure.

Potassium Deficiency Severe potassium deficiencies are rare. In healthy people, almost any reasonable diet provides enough potassium to prevent dangerously low blood potassium under ordinary conditions. Dehydration leads to a loss of potassium from inside cells, dangerous partly because of potassium's role in maintaining regular heartbeats. Sudden deaths that occur with fasting, eating disorders, severe diarrhea, or severe malnutrition in children may be due to heart failure caused by potassium loss. Adults are warned not to take diuretics (water pills) that cause potassium loss or to give them to children except under a physician's supervision. Physicians prescribing diuretics advise clients to eat potassium-rich foods to compensate for the losses.

Potassium Toxicity Potassium from foods is safe, but potassium injected into a vein can stop the heart. Potassium overdoses from supplements normally are not life-threatening because the kidneys excrete small excesses and large doses trigger vomiting to expel the substance. A person with a weak heart or kidneys, however, should not go through this trauma, and a baby may not be able to withstand it. Several infants have died when well-meaning parents overdosed them with potassium supplements.

Potassium Intakes and Food Sources A typical U.S. diet, with its low intakes of fruit and vegetables and high intakes of processed foods, provides far less potassium than the amount recommended by the DRI committee. Most vegetables and fruits are outstanding potassium sources (a few are shown in Snapshot 8–4, p. 288). Bananas, despite their fame as the richest potassium source, are only one of many rich sources, which also include spinach, cantaloupe, and almonds. Nevertheless, bananas are readily available, conveniently portable, easy to chew, and have a likable sweet taste, so health-care professionals often recommend them. Potassium chloride, the potassium-based salt substitute mentioned earlier, is also a potassium source. Current guidelines emphasize the importance of consuming a diet rich in fruit, vegetables, and other whole foods to supply sufficient potassium.

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Snapshot 8–4 Potassium

DRI

Men: 3,400 mg/day
Women: 2,600 mg/day

Chief Functions

Maintains normal fluid and electrolyte balance; facilitates chemical reactions; supports cell integrity; assists in nerve functioning and muscle contractions

Deficiency[a]

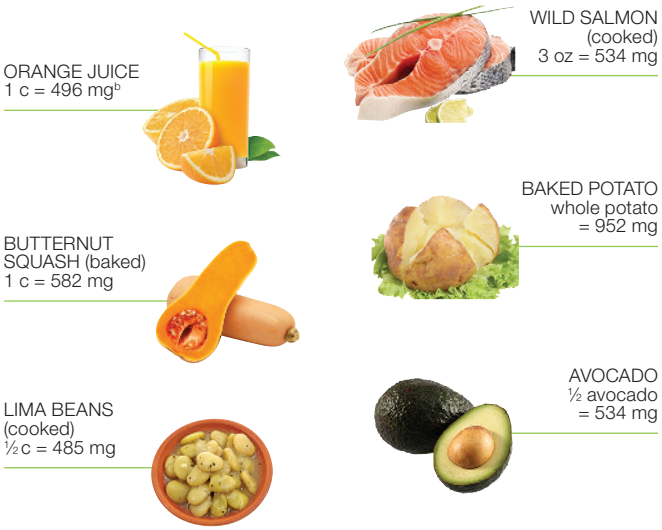
Muscle weakness, paralysis, confusion

Toxicity

Muscle weakness; vomiting; when given in a supplement to an infant or when injected into a vein in an adult, potassium can stop the heart

** This is a sampling of foods that provide 10% or more of the potassium Daily Value in a serving. For a 2,000-cal diet, the DV is 4,700 mg/day.*
[a]Deficiency accompanies dehydration.
[b]The USDA serving size is 1/2 cup juice.

Good Sources*



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Key Points

- Potassium, the major positive ion inside cells, plays vital roles in maintaining fluid and electrolyte balance and cell integrity.
- Americans take in too few potassium-rich fruits and vegetables.
- Potassium in high doses can be toxic.

Chloride

In its elemental form, chlorine forms a deadly green gas. In the body, the chloride ion plays important roles as the major negative ion. In the fluids outside the cells, it accompanies sodium and helps maintain the crucial fluid balances (acid-base and electrolyte balances). The chloride ion also plays a special role as part of hydrochloric acid, which maintains the strong acidity of the stomach necessary to digest protein. The principal food source of chloride is salt, both added and naturally occurring in foods, and no known diet lacks chloride.

Key Points

- Chloride is the body's major negative ion, is responsible for stomach acidity, and assists in maintaining proper body chemistry.
- No known diet lacks chloride.

Sulfate

Sulfate is the oxidized form of sulfur as it exists in food and water. The body requires sulfate for synthesis of many important sulfur-containing compounds. Sulfur-containing amino acids play an important role in helping strands of protein assume their functional shapes. Skin, hair, and nails contain some of the body's more rigid proteins, which have high sulfur contents.

There is no recommended intake for sulfate, and deficiencies are unknown. Too much sulfate in drinking water, either naturally occurring or from contamination, causes diarrhea and may damage the colon. The summary table at the end of this chapter presents the main facts about sulfate and the other major minerals.

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Key Point

- Sulfate is a necessary nutrient used to synthesize sulfur-containing body compounds.

The Trace Minerals

LO 8.4 Discuss the functions of the nine known trace minerals, their food sources, and the effects of their deficiencies and toxicities.

People require only miniscule amounts of the trace minerals, but these quantities are vital for health and life. Intake recommendations have been established for nine trace minerals—see Table 8–10. Others are recognized as essential nutrients for some animals but have not been proved to be required for human beings.

Iodine

The body needs only traces of iodine, but this amount is indispensable to life. Once absorbed, the form of iodine that does the body's work is the ionic form, iodide.

Iodine Roles Iodide is a cofactor that works with the hormone thyroxine, made by the thyroid gland. Thyroxine regulates the body's metabolic rate, temperature, reproduction, growth, heart functioning, and more. Iodine must be available for thyroxine to be synthesized.

Iodine Deficiency The ocean is the world's major source of iodine. In coastal areas, kelp, seafood, water, and even iodine-containing sea mist are dependable iodine sources. In many inland areas of the world, however, misery caused by iodine deficiency is all too common. In iodine deficiency, the cells of the thyroid gland enlarge in an attempt to trap as many particles of iodine as possible. Sometimes the gland enlarges to the point of making a visible lump in the neck, a **goiter**, as shown in Figure 8–14. People with iodine deficiency this severe may feel cold, may become sluggish and forgetful, and may gain weight. Iodine deficiency takes its toll on many people of the world, including hundreds of millions of school-aged children.[18] This is a huge number but one that reflects significant improvement over past decades, thanks to programs that provide iodized salt to iodine-deficient areas.

Iodine deficiency during pregnancy causes fetal death, reduced infant survival, and extreme and irreversible mental and physical retardation in infants, known as **cretinism**. It constitutes one of the world's most common and preventable causes of mental retardation.* Much of this misery can be averted if the woman's deficiency is detected and treated within the first 6 months of pregnancy, but if treatment comes too late or not at all, the child's IQ and other developmental indicators are likely to be substantially below normal. Children with even a mild iodine deficiency typically have goiters and may perform poorly in school; treatment with iodine relieves the deficiency.[19]

Iodine Toxicity Excessive intakes of iodine can enlarge the thyroid gland just as a deficiency can. Although average U.S. intakes are generally above the recommended intake of 150 micrograms, they are still below the UL of 1,100 micrograms per day for an adult. Like chlorine and fluorine, iodine is a deadly poison in large amounts.

Iodine Food Sources and Intakes The iodine in food varies with the amount in the soil in which plants are grown or on which animals graze. Because iodine is plentiful in the ocean, seafood is a dependable source. In the central parts of the United States that were never beneath an ocean, the soil is poor in iodine. In those areas, once-widespread deficiencies have been wiped out by the use of iodized salt and the consumption of foods shipped in from iodine-rich areas. Surprisingly, sea salt delivers little iodine because iodine becomes a gas and flies off into the air during the salt-drying process. In the United States, salt labels like the ones shown in Figure 8–15 (p. 290) state whether the salt is iodized. Less than a half-teaspoon of iodized salt meets the daily recommendation.

*Collectively, the problems caused by iodine deficiency are sometimes referred to as *iodine deficiency disorder*.

Table 8–10
Trace Minerals[a]

These minerals are needed by the body in tiny amounts.

- Iodine
- Iron
- Zinc
- Selenium
- Fluoride
- Chromium
- Copper
- Manganese
- Molybdenum

[a] *The trace minerals are also called* microminerals.

Figure 8–14
Goiter

In iodine deficiency, the thyroid gland enlarges—a condition known as goiter.

Bob Daemmrich/Alamy Stock Photo

goiter (GOY-ter) enlargement of the thyroid gland due to an iodine deficiency is *goiter*; enlargement due to an iodine excess is *toxic goiter*.

cretinism (CREE-tin-ism) severe mental and physical retardation of an infant caused by the mother's iodine deficiency during pregnancy.

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Figure 8–15
Iodized Salt Label

Iodized salt is a source of iodine; plain salt is not. The labels tell you which is which.

Steve Cukrov/Shutterstock.com

Most U.S. adults easily meet their iodine needs by consuming seafood, vegetables grown in iodine-rich soil, and iodized salt. Other sources are bakery products and milk. The baking industry uses iodine salts (iodates) as dough conditioners. Dairies often sanitize milking equipment and cow udders with iodine, which then migrates into the milk. Consumers in the United States rarely need extra iodine.

Key Points

- Iodine is part of the hormone thyroxine, which helps regulate energy metabolism.
- Iodine deficiency diseases are goiter and cretinism.
- Large amounts of iodine are toxic.
- Most people in the United States meet their need for iodine.

Iron

Every living cell, whether plant or animal, contains iron. Most of the iron in the body is a component of two proteins: **hemoglobin** in red blood cells and **myoglobin** in muscle cells.

Roles of Iron Iron-containing hemoglobin in the red blood cells carries oxygen from the lungs to tissues throughout the body. Iron in myoglobin holds and stores oxygen in the muscles for their use.

All the body's cells need oxygen to combine with the carbon and hydrogen atoms released from energy nutrients during their metabolism. This generates carbon dioxide and water, which exit the cells; thus, body tissues constantly need fresh oxygen to keep the cells cleansed and functioning. As cells use up their oxygen, iron (in hemoglobin) shuttles fresh oxygen into the tissues from the lungs. In addition to this major task, iron is part of dozens of enzymes, particularly those involved in energy metabolism. Iron is also needed to make new cells, amino acids, hormones, and neurotransmitters.

Iron Stores Iron is clearly the body's gold, a precious mineral to be hoarded. The bone marrow uses large quantities of iron to make new red blood cells, which live only for about 4 months. When they die, the spleen and liver break them down, salvage their iron for recycling, and send it back to the bone marrow to be reused.

Once in the body, iron is difficult to excrete. The body does lose iron from the digestive tract, in nail and hair trimmings, and in shed skin cells—but only in tiny amounts. Bleeding, however, can cause significant iron loss from the body.

hemoglobin (HEEM-oh-globe-in) the oxygen-carrying protein of the blood; found in the red blood cells (*hemo* means "blood"; *globin* means "spherical protein").

myoglobin (MYE-oh-globe-in) the oxygen-holding protein of the muscles (*myo* means "muscle").

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Special measures are needed to manage iron in the body. Left free, iron is a powerful oxidant that can increase oxidative stress and inflammation known to damage body tissues. To guard against iron's renegade nature, its absorption is tightly regulated, and once absorbed, special proteins are needed to transport and store the body's iron supply.[20]

An Iron-Regulating Hormone—Hepcidin In most well-fed people, only about 10 to 15 percent of iron in the diet is absorbed. However, if the body's iron supply is diminished or if the need for iron increases (say, during pregnancy), absorption can increase several-fold.[21] The reverse is also true: absorption declines when dietary iron is abundant. The hormone **hepcidin**, secreted by the liver, is an important regulator of blood iron.[22] Hepcidin reduces iron absorption from the small intestine and also reduces iron release from body stores, thereby keeping the blood iron concentration from rising too high. When the body needs more iron, the liver curbs its hepcidin output, allowing greater absorption of iron from food in the intestine and greater release of stored iron into the blood.

Iron Absorption Enhancers in Food Iron occurs in two forms in foods. Some is bound into **heme**, the iron-containing part of hemoglobin and myoglobin in meat, poultry, and fish. Some is **nonheme iron**, in plants and also in meats. The form affects absorption. Healthy people with adequate iron stores absorb heme iron at a rate of about 23 percent over a wide range of meat intakes. People absorb nonheme iron at rates of 2 to 20 percent, depending on dietary factors and iron stores. (A heme molecule was depicted in Figure 6–4 in Chapter 6, p. 182.)

Meat, fish, and poultry also contain a peptide factor, sometimes called *MFP factor*, that promotes the absorption of nonheme iron from other foods, as depicted in Figure 8–16. Vitamin C also greatly improves absorption of nonheme iron, tripling iron absorption from foods eaten in the same meal. The bit of vitamin C in dried fruit, strawberries, or watermelon helps absorb the nonheme iron in these foods.

In summary, these dietary factors enhance iron absorption:

- Heme form of iron
- Vitamin C
- Meat, fish, and poultry (MFP) factor

Iron Absorption Inhibitors Some food substances inhibit iron absorption. They include the **tannins** of tea and coffee, the calcium and phosphorus in milk, and the **phytates** that accompany fiber in lightly processed legumes and whole-grain cereals. Ordinary black tea excels at reducing iron absorption—clinical dietitians advise people with **iron overload** to drink it with their meals. For those who need more iron, the opposite advice applies—drink tea between meals, not with food. Thus, the amount of iron absorbed from a regular meal depends partly on the interaction between promoters and inhibitors.

In summary, these dietary factors hinder iron absorption:

- Nonheme form of iron
- Tea and coffee
- Calcium and phosphorus
- Phytates, tannins, and fiber

What Happens in Iron Deficiency? If absorption cannot compensate for losses or low dietary intakes, then iron stores are used up, and **iron deficiency** sets in. Iron deficiency and **iron-deficiency anemia** are not one and the same, though they often occur together.

Iron deficiency develops in stages, and the distinction between iron deficiency and its **anemia** is a matter of degree. People may be iron deficient, meaning that they have depleted iron stores, without being anemic. With worsening iron deficiency, they may become anemic.

Figure 8–16
Nonheme Iron Absorption

Two constituents of this chili increase the absorption of nonheme iron from its legumes and ground beef: the vitamin C from its tomatoes and a peptide factor in meat.

Karl Allgaeuer/Shutterstock.com

hepcidin (HEP-sid-in) a hormone secreted by the liver in response to elevated blood iron. Hepcidin reduces iron's absorption from the intestine and its release from storage.

heme (HEEM) the iron-containing portion of the hemoglobin and myoglobin molecules.

nonheme iron dietary iron not associated with hemoglobin; the iron of plants and other sources.

tannins compounds in tea (especially black tea) and coffee that bind iron. Tannins also denature proteins.

phytates (FYE-tates) compounds present in plant foods (particularly whole grains) that bind iron and may prevent its absorption.

iron overload the state of having more iron in the body than it needs or can handle, usually arising from a hereditary defect. Also called *hemochromatosis*.

iron deficiency the condition of having depleted iron stores, which, at the extreme, causes iron-deficiency anemia.

iron-deficiency anemia a form of anemia caused by a lack of iron and characterized by red blood cell shrinkage and color loss. Accompanying symptoms are weakness, apathy, headaches, pallor, intolerance to cold, and inability to pay attention. (For other anemias, see the index.)

anemia the condition of inadequate or impaired red blood cells; a reduced number or volume of red blood cells along with too little hemoglobin in the blood. The red blood cells may be immature and therefore too large or too small to function properly.

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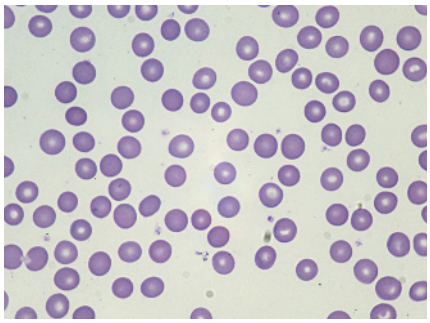
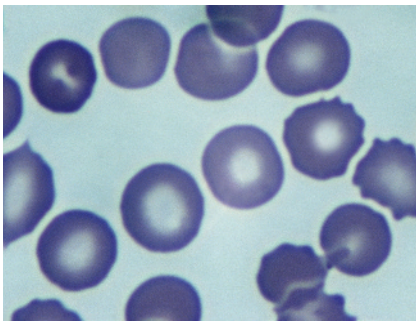
Figure 8–17

Normal and Anemic Blood Cells

Well-nourished red blood cells, shown on the left, are normal in size and color. The cells on the right are typical of iron-deficiency anemia. These cells are small and pale because they contain less hemoglobin.



Blossom Tomorrow/Shutterstock.com



Ed Reschke/Stone/Getty Images

Table 8–11

Mental Symptoms of Anemia

- Apathy, listlessness
- Behavior disturbances
- Clumsiness
- Hyperactivity
- Irritability
- Lack of appetite
- Learning disorders (vocabulary, perception)
- Lowered IQ
- Reduced physical work capacity
- Repetitive hand and foot movements
- Shortened attention span

Note: These symptoms are caused not by anemia itself but by iron deficiency in the brain. Children with much more severe anemias from other causes, such as sickle-cell anemia and thalassemia, show no reduction in IQ when compared with children without anemia.

Anemia also arises with other nutrient deficiencies and factors unrelated to nutrition, such as blood loss. Anemia is not a disease but a symptom of another problem; its name literally means "too little blood."

A body severely deprived of iron becomes unable to make enough hemoglobin to fill new blood cells, and anemia results. A sample of iron-deficient blood examined under a microscope shows cells that are smaller and lighter red than normal (see Figure 8–17). These cells contain too little hemoglobin to deliver sufficient oxygen to the tissues. As iron deficiency limits the cells' oxygen and energy metabolism, the person develops fatigue, apathy, and a tendency to feel cold. The blood's lower concentration of its red pigment hemoglobin also explains the pale appearance of fair-skinned iron-deficient people and the paleness of the normally pink tongue and eyelid linings of those with darker skin.

Symptoms of Iron Deficiency Long before the red blood cells are affected and anemia is diagnosed, a developing iron deficiency affects behavior. Even slightly lowered iron levels cause fatigue, mental impairments, and impaired physical work capacity and productivity.[23] Symptoms associated with iron deficiency are easily mistaken for behavioral or motivational problems (see Table 8–11). With reduced energy, people work less, play less, and think or learn less eagerly—symptoms that clear up reliably when iron is restored. Lack of energy does not always indicate a need for iron, however—see the Think Fitness feature. Taking iron supplements for

Think Fitness

Exercise-Deficiency Fatigue

On hearing about symptoms of iron deficiency, tired people may jump to the conclusion that they need to take iron supplements to restore their pep. More likely, they can obtain help by simply putting their diets in order, going to bed on time, and getting enough exercise. Few realize that too little exercise over weeks and months is as exhausting as too much—the less you do, the less you're able to do, and the more fatigued you feel. The condition even has a name: "sedentary inertia."

Feeling fatigued, weak, and apathetic does not necessarily mean that you need iron or other supplements. Three actions are called for:

- Take an honest look at your diet.
- Get some exercise.
- If fatigue persists for more than a week or two after making simple changes, consult a physician for a diagnosis.

Start now! Using your preferred fitness tracker, track your physical activity for one week, trying to increase your level of activity a little bit each day. See if you can walk briskly, bike, or jog for 30 minutes each day for a week.

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Snapshot 8–5 Iron

DRI

Men: 8 mg/day
Women (19–50 yr): 18 mg/day
Women (51+): 8 mg/day

Tolerable Upper Intake Level

Adults: 45 mg/day

Chief Functions

Carries oxygen as part of hemoglobin in blood or myoglobin in muscles; required for cellular energy metabolism

Deficiency

Anemia: weakness, fatigue, headaches; impaired mental and physical work performance; impaired immunity; pale skin, nailbeds, and mucous membranes; concave nails; chills; pica

Toxicity

GI distress; with chronic iron overload, infections, fatigue, joint pain, skin pigmentation, organ damage

** This is a sampling of foods that provide 10% or more of the iron Daily Value in a serving. For a 2,000-cal diet, the DV is 18 mg/day.*
Note: Dried figs contain 0.6 mg per ¼ c; raisins contain 0.8 mg per ¼ c.
[a] Some clams may contain less, but most types are iron-rich foods.
[b] Legumes contain phytates that reduce iron absorption.
[c] Enriched cereals vary widely in iron content.

Good Sources*

Jreika/Shutterstock.com; Joshua Resnick/Shutterstock.com; Nito/Shutterstock.com; Ildi Papp/Shutterstock.com; stavklem/Shutterstock.com; Daniel Gilbey Photography-My portfolio/Shutterstock.com; Melica/Shutterstock.com; Serghei Starus/Shutterstock.com

fatigue without a deficiency will not increase energy levels, but can cause an iron overload in some people.

Children deprived of iron become restless, irritable, unwilling to work or play, and unable to pay attention, and they may fall behind their peers academically. Some symptoms in children, such as irritability, disappear when iron intake improves. Replenishing iron can improve cognitive function, but some cognitive effects of iron deficiency may linger beyond treatment.

A poorly understood behavior seen among some iron-deficient people, particularly women and children living in poverty, is **pica**—the craving for and intentional consumption of ice, chalk, starch, clay, soil, and other nonfood substances. These items contribute no iron to the body, and clay, soil, or starch can form a glaze over the intestinal surface that reduces nutrient absorption, including iron absorption. Pica often resolves with successful treatment of iron deficiency.

Causes of Iron Deficiency and Anemia Iron deficiency is usually caused by inadequate iron intake, either from sheer lack of food or from a steady diet of iron-poor foods or foods high in inhibitors of iron absorption. In developed nations, high-calorie foods that are rich in refined carbohydrates and fats and poor in nutrients often displace nutritious iron-rich foods from the diet and may impede iron absorption. In contrast, Snapshot 8–5 shows some foods that are good sources of iron.

The number-one nonnutritional factor that can cause anemia is blood loss. Because most of the body's iron is in the blood, losing blood entails losing iron. Menstrual losses increase women's iron needs to more than double those of men. Digestive tract problems such as ulcers and inflammation can also cause blood loss severe enough to cause anemia.

pica (PIE-ka) a craving and intentional consumption of nonfood substances. Also known as *geophagia* (gee-oh-FAY-gee-uh) when referring to clay eating and *pagophagia* (pag-oh-FAY-gee-uh) when referring to ice craving (*geo* means "earth"; *pago* means "frost"; *phagia* means "to eat").

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Who Is Most Susceptible to Iron Deficiency? Women of childbearing age can easily develop iron deficiency because they not only lose more iron but also eat less food than men, on average. Pregnancy also demands additional iron to support the added blood volume, growth of the fetus, and blood loss during childbirth. Infants and toddlers receive little iron from their high-milk diets, yet they need extra iron to support their rapid growth. The rapid growth of adolescence, especially for males, and the menstrual losses of females also demand extra iron that a typical teen diet may not provide. Iron is of particular concern for the following groups of people:

- Women in their reproductive years[24]
- Pregnant women
- Infants and toddlers
- Adolescents

In addition, obesity at many life stages makes low blood iron more likely to occur.

In the United States, 2.4 million young children suffer from iron deficiency, while almost a half-million are diagnosed with iron-deficiency anemia. Most often, the children are from urban, low-income, or Hispanic families, but children from all groups can develop these conditions. As for women in childbearing years, the percentage of iron deficiency remains three times higher than deemed acceptable. To combat iron deficiency in low-income groups, the Special Supplemental Feeding Program for Women, Infants, and Children (WIC) provides families with credits redeemable for high-iron foods.

Worldwide, iron deficiency is the most common nutrient deficiency and the most common cause of anemia. Two billion people and almost half of preschool children and pregnant women have anemia, mostly due to iron deficiency.[25] Two to three times this number live with the effects of iron deficiency without anemia. In developing countries, parasitic infections of the digestive tract cause people to lose blood daily. For their entire lives, they may feel fatigued and listless but never know why. Iron supplements can reverse iron-deficiency anemia from dietary causes in short order, but they may also cause digestive upsets, increased infections, inflammation, and other problems.

Do the Math:
Calculate the iron RDA for vegetarians.

To calculate the iron RDA for vegetarians, multiply the regular RDA by 1.8:

8 mg × 1.8 = 14.4 mg/day (vegetarian men)

18 mg × 1.8 = 32.4 mg/day (vegetarian women, 19 to 50 years)

Older women need less iron. Turn to the back of this book, p. B, and find the iron RDA for a 60-year-old woman. Now, use it to calculate the iron RDA for a 60-year-old vegetarian woman.

Can a Person Take in Too Much Iron? Iron is toxic in large amounts. Once absorbed inside the body, iron is difficult to excrete. A healthy body defends against excess iron by controlling its entry: the intestinal cells trap some of the iron and hold it within their boundaries. When they are shed, these cells carry out of the intestinal tract the excess iron that they collected during their brief lives.

In people with a genetic failure of systems that normally prevent iron overload, excess iron builds up in the tissues.[26] Early symptoms include fatigue, mental depression, or abdominal pain; untreated, the condition can damage the liver, joints, or heart. Infections are also likely because excess iron can harm the immune system and bacteria thrive on iron-rich blood. People with the condition must monitor and limit their iron intakes and forgo supplemental iron.

Iron-containing supplements can easily cause accidental poisonings in young children. As few as five ordinary iron tablets have proved fatal in young children. Keep iron-containing supplements out of children's reach.

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The old-fashioned iron skillet adds supplemental iron to foods.

Iron Recommendations and Sources The typical dietary pattern in the United States provides about 6 to 7 milligrams of iron in every 1,000 calories. Men need 8 milligrams of iron each day, and so do women past age 51, so these people have little trouble meeting their iron needs. For women of childbearing age, the recommendation is higher—18 milligrams—to replace menstrual losses. During pregnancy, a woman needs even more—27 milligrams a day; to obtain this amount, pregnant women need a supplement. If a man has a low hemoglobin concentration, his health-care provider should examine him for a blood-loss site. Vegetarians, because iron from plant sources

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is poorly absorbed, should multiply the DRI value for their sex and age group by 1.8 (see the margin example).

Cooking foods in an iron skillet adds iron salts, somewhat like the iron found in supplements. The iron content of 100 grams of spaghetti sauce simmered in a glass pan is 3 milligrams, but it increases to 87 milligrams when the sauce is cooked in a black iron pan. This iron salt is not as well absorbed as iron from meat, but some does get into the body, especially if the meal also contains meat or vitamin C.

Iron fortification of foods helps some people to fend off iron deficiency, but it can cause problems for others who tend toward iron overload. A single ounce of fortified cereal for breakfast, an ordinary ham sandwich at lunch, and a cup of chili with meat for dinner present almost twice the iron a man needs in a day but only about 800 calories. Most men need about 3,000 calories, and more food means still more iron. The U.S. love affair with vitamin C supplements makes matters worse because vitamin C enhances iron absorption. For healthy people, however, fortified foods pose virtually no risk for iron toxicity.

Key Points

- Most iron in the body is in hemoglobin and myoglobin or occurs as part of enzymes in the energy-yielding pathways.
- Iron absorption is regulated in part by the hormone hepcidin, and affected by promoters and inhibitors in foods.
- Iron-deficiency anemia is a problem among many groups worldwide.
- Too much iron is toxic.

Zinc

Zinc occurs in a very small quantity in the human body, but it occurs in every organ and tissue.[27] It acts as a cofactor for more than 2,700 enzymes to:

- Protect cell structures against damage from oxidation.[28]
- Synthesize parts of the cells' genetic material.
- Synthesize the heme of hemoglobin.

Zinc also assists the pancreas with its digestive and insulin functions and helps metabolize carbohydrate, protein, and fat.

Besides helping enzymes to function, special zinc-containing proteins associate with DNA and help regulate protein synthesis and cell division, functions critical to normal growth before and after birth. Zinc is also needed to produce the active form of vitamin A in visual pigments. Even a mild zinc deficiency can impair night vision. Zinc also:

- Affects behavior, learning, and mood.
- Assists in proper immune functioning.[29]
- Is essential to wound healing, sperm production, taste and smell perception, normal metabolic rate, nerve and brain functioning, bone growth, normal development in children, and many other functions.

When zinc deficiency occurs—even a slight deficiency—it packs a wallop to the body, impairing all of these functions.

Problem: Too Little Zinc Zinc deficiency in human beings was first observed a half-century ago in children and adolescent boys in the Middle East who failed to grow and develop normally (see Figure 8–18). Their native diets were typically low in animal protein and high in whole grains and beans; consequently, the diets were low in zinc and high in fiber and phytates, which bind zinc as well as iron. Furthermore, their bread was not **leavened**. (In leavened bread, yeast breaks down phytates as the bread rises.) Since that time, zinc deficiency has been identified as a substantial contributor to illness and death throughout the developing world.

Figure 8–18
Zinc Deficiency

How old does the Egyptian boy in the picture appear to be? He is 17 years old but is only 4 feet tall, the height of a 7-year-old in the United States. His reproductive organs are like those of a 6-year-old. The retardation is rightly ascribed to zinc deficiency because it is partially reversible when zinc is restored to the diet.

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leavened (LEV-end) literally, "lightened" by yeast cells, which digest some carbohydrate components of the dough and leave behind bubbles of gas that make the bread rise.

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Marginal declines in zinc status also cause widespread problems in pregnancy, infancy, and early childhood. Zinc deficiency alters digestive function profoundly and causes diarrhea, which accelerates the body's losses, not only of zinc but of all nutrients. It drastically impairs the immune response, making infections likely. Infections of the intestinal tract then worsen the malnutrition and further increase susceptibility to infections—a classic cycle of malnutrition and disease. Zinc therapy often quickly reduces diarrhea and prevents death in malnourished children, but it can fail to restore healthy weight and height if the child returns to the nutrient-poor diet after treatment.

Although zinc deficiencies are not common in developed countries, they do occur among some groups, including pregnant women, young children, the elderly, and the poor. When pediatricians or other health workers note poor growth accompanied by poor appetite in children, they should think zinc.

FotoFeast/Shutterstock.com

Problem: Too Much Zinc Zinc is toxic in large quantities. High doses (more than 50 milligrams) of zinc may cause vomiting, diarrhea, headaches, exhaustion, and other symptoms. A UL for adults is set at 40 milligrams.

High doses of zinc inhibit iron absorption from the digestive tract. A blood protein that carries iron from the digestive tract to tissues also carries some zinc. If this protein is burdened with excess zinc, little or no room is left for iron to be picked up from the intestine. The opposite is also true: too much iron inhibits zinc absorption. Zinc from cold-relief lozenges and throat spray products appear to shorten the duration of a cold, but they can upset the stomach and they contribute supplemental zinc to the body.[30]

Food Sources of Zinc Meats, shellfish, poultry, and milk products are among the top providers of zinc in the U.S. diet (see Snapshot 8–6). Among plant sources, some

Snapshot 8–6 Zinc

DRI
Men: 11 mg/day
Women: 8 mg/day

Tolerable Upper Intake Level
Adults: 40 mg/day

Chief Functions
Activates many enzymes; associated with hormones; synthesis of genetic material and proteins, transport of vitamin A, taste perception, wound healing, reproduction

Deficiency[a]
Growth retardation, delayed sexual maturation, impaired immune function, hair loss, eye and skin lesions, loss of appetite

Toxicity
Loss of appetite, impaired immunity, reduced iron absorption, low HDL cholesterol (a risk factor for heart disease)

**This is a sampling of foods that provide 10% or more of the zinc Daily Value in a serving. For a 2,000-cal diet, the DV is 11 mg/day.*
[a]A rare inherited form of zinc malabsorption causes additional and more severe symptoms.
[b]Some oysters contain more or less than this amount, but all types are zinc-rich foods.
[c]Enriched cereals vary widely in zinc content.

Good Sources*

Olga Popova/Shutterstock.com; Joshua Resnick/Shutterstock.com; Gyorgy Barna /Shutterstock.com; Volosina/Shutterstock.com; stavklem/Shutterstock.com; Joe Gough /Shutterstock.com

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legumes and whole grains are rich in zinc, but the zinc is not as well absorbed from plants as it is from meat. Most people in this country meet the recommended 11 milligrams per day for men and 8 milligrams per day for women. Vegetarians are advised to plan dietary patterns that include zinc-enriched cereals or whole-grain breads well leavened with yeast, which helps make zinc available for absorption. Unlike supplements, food sources of zinc never cause imbalances in the body.

Key Points

- Zinc acts as a cofactor for hundreds of enzymes in protein, fat, and carbohydrate metabolism.
- Zinc plays roles in digestion, protein synthesis, cell division, and vision.
- Zinc deficiency retards growth and impairs many vital body functions.
- Zinc supplements can interfere with iron absorption and can reach toxic doses; zinc in foods is nontoxic.

Selenium

Selenium has attracted the attention of the world's scientists. Hints of its relationships with chronic diseases make selenium a popular, but largely unnecessary, additive in supplements.

Roles in the Body Selenium works as a cofactor for many enzymes that, in concert with vitamin E, limits the formation of free radicals and prevents oxidative harm to cells and tissues. In addition, selenium-containing enzymes are needed to assist the iodine-containing thyroid hormones that regulate metabolism.[31]

Relationship with Cancer Adequate blood selenium correlates with lower risks of developing certain cancers, including cancers of the prostate, colon, skin, and others. This observation led to speculation that a greater selenium intake from supplements might further oppose these cancers, but evidence from controlled clinical trials refutes this idea.[32] In the United States, intakes of selenium are generally sufficient so supplements are not advised.

Deficiency Severe selenium deficiencies cause muscle disorders with weakness and pain. A specific type of heart disease, prevalent in regions of China where the soil and foods lack selenium, is partly brought on by selenium deficiency. This condition prompted researchers to give selenium its status as an essential nutrient—adequate selenium prevents many cases from occurring.

Toxicity Toxicity is possible when people take selenium supplements and exceed the UL of 400 micrograms per day. Selenium toxicity brings on symptoms such as hair loss and brittle nails; diarrhea and fatigue; and bone, joint, and nerve abnormalities.

Sources Selenium is widely distributed in meats and shellfish but varies greatly in vegetables, nuts, and grains, depending on whether they are grown on selenium-rich soil. Soils in the United States vary in selenium, but foods from many regions mingle on supermarket shelves, ensuring that consumers are well supplied with selenium.

Key Points

- Selenium works with an enzyme system to protect body compounds from oxidation.
- Deficiencies are rare in developed countries, but toxicities can occur from overuse of supplements.

Fluoride

Fluoride is present in virtually all soils, water supplies, plants, and animals. It is valued in the diet because of its ability to inhibit the development of dental caries in children and adults.

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To prevent fluorosis, young children should not swallow toothpaste.

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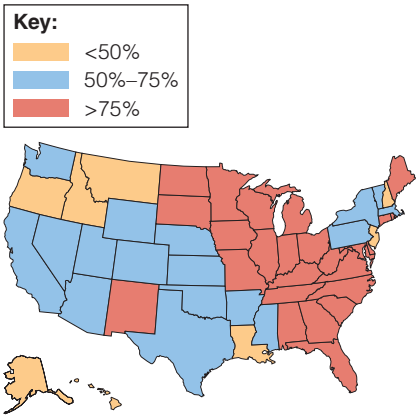
Figure 8–19

U.S. Populations with Access to Fluoridated Water through Public Water Systems



Source: Data from Centers for Disease Control and Prevention, National Water fluoridation statistics, 2018, available from www.cdc.gov/fluoridation/statistics/index.htm

Figure 8–20

Fluorosis

The mottled brown stains on these teeth indicate exposure to high concentrations of fluoride during development.

Rustim Moosa/Shutterstock.com

fluorapatite (floor-APP-uh-tight) a crystal of bones and teeth, formed when fluoride displaces the "hydroxy" portion of hydroxyapatite. Fluorapatite resists being dissolved back into body fluid.

fluorosis (floor-OH-sis) discoloration of the teeth due to ingestion of too much fluoride during tooth development. *Skeletal fluorosis* is characterized by unusually dense but weak, fracture-prone, often malformed bones, caused by excess fluoride in bone crystals.

Roles in the Body In developing teeth and bones, fluoride replaces the hydroxy portion of hydroxyapatite, forming **fluorapatite**. During development, fluorapatite enlarges calcium crystals in bones and teeth, improving their resistance to demineralization.

Fluoride's primary role in health is prevention of dental caries throughout life. Once teeth have erupted through the gums, fluoride, particularly when applied to tooth surfaces, promotes the remineralization of early lesions of the enamel that might otherwise progress to form caries. Fluoride also acts directly on the bacteria of plaque, suppressing their metabolism and reducing the amount of tooth-destroying acid they produce.

Deficiency Where fluoride is lacking, dental decay is common, and fluoridation of public water is recommended for dental health (see Figure 8–19). Based on evidence of its benefits, fluoridation has been endorsed by the National Institute of Dental Health, the Academy of Nutrition and Dietetics, the American Medical Association, the National Cancer Institute, and the Centers for Disease Control and Prevention as beneficial and presenting no proven risks.

Toxicity Too much fluoride can damage the teeth and bones, causing **fluorosis**. In mild cases, the teeth develop small white flecks; in severe cases, the enamel becomes pitted and permanently stained (as shown in Figure 8–20). Fluorosis in teeth occurs only during tooth development and it is permanent, making its prevention during the first 3 years of life a high priority. Fluorosis in bones makes them thick but weak and prone to fracture in later life. To limit fluoride ingestion, children should use just a pea-sized squeeze of toothpaste, and should be taught not to swallow it. The UL for fluoride is listed in the back of the book, p. B.

Sources of Fluoride Drinking water is the usual source of fluoride. More than 70 percent of the U.S. population has access to public water supplies with an optimal fluoride concentration. Fluoride is rarely present in bottled waters unless it was added at the source, as in bottled municipal tap water. Fluoride supplements should be used only on the advice of a physician.

Key Points

- Fluoride stabilizes bones and makes teeth resistant to decay.
- Excess fluoride discolors teeth and weakens bones; large doses are toxic.

Chromium

Chromium is an essential mineral that acts as a cofactor for enzymes that mediate carbohydrate and lipid metabolism. Chromium in foods is safe and essential to health. Industrial chromium is a toxic contaminant, a known carcinogen that damages the DNA.[33]

Roles in the Body Chromium helps regulate blood glucose by enhancing the activity of the hormone insulin, improving cellular uptake of glucose, and other actions.[34] When chromium is lacking, a diabetes-like condition can develop with elevated blood glucose and impaired glucose tolerance, insulin response, and glucagon response. Research results are mixed as to whether chromium supplements might improve glucose or insulin responses in diabetes.

Chromium Sources Chromium is present in a variety of foods. The best sources are unrefined foods, particularly liver, brewer's yeast, and whole grains. The more refined foods people eat, the less chromium they receive.

Supplement advertisements may convince consumers that they can lose fat and build muscle by taking chromium picolinate. On the contrary, chromium supplements cannot reduce body fat or improve muscle strength more than diet and exercise alone.

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Key Points

- Chromium is needed for normal blood glucose regulation.
- Whole, minimally processed foods are the best chromium sources.

Copper

Like most other trace minerals, copper plays vital roles as a cofactor for many enzymes. Among their tasks, these enzymes assist in the absorption and use of iron, and in synthesis of proteins such as hemoglobin and collagen. Another of these enzymes helps to control damage from free-radical activity in the tissues.*

Copper deficiency is rare but not unknown: it has been seen in severely malnourished infants fed a copper-poor milk formula and in people who consume alcohol.[35] Deficiency can severely disturb growth and metabolism, and in adults, it can impair immunity and blood flow through the arteries. Excess zinc interferes with copper absorption and can cause deficiency.

Copper toxicity from foods is unlikely, but supplements can cause it. The UL for adults is set at 10,000 micrograms (10 milligrams) per day. The best food sources of copper include organ meats, seafood, nuts, and seeds. Water may also supply copper, especially where copper plumbing pipes are used. In the United States, copper intakes are thought to be adequate.

Key Points

- Copper is needed to form hemoglobin and collagen, and assists in many other body processes.
- Copper deficiency is rare.

Other Trace Minerals and Some Candidates

DRI values have been established for two other trace minerals: molybdenum and manganese. Molybdenum functions as part of several metal-containing enzymes, some of which are giant proteins. Manganese works with dozens of different enzymes that facilitate body processes and is widespread among whole grains, vegetables, fruit, legumes, and nuts.

Several other trace minerals are known to be important to health, but researching their roles in the body is difficult because their quantities are so small and because human deficiencies are unknown. For example, boron influences the activity of many enzymes and may play a key role in bone health, brain activities, and immune response. The richest food sources of boron are noncitrus fruits, leafy vegetables, nuts, and legumes. Cobalt is the mineral in the vitamin B_{12} molecule; the alternative name for vitamin B_{12}, *cobalamin*, reflects cobalt's presence. Nickel may serve as an enzyme cofactor; deficiencies harm the liver and other organs. Future research may reveal key roles played by other trace minerals, including barium, cadmium, lead, lithium, mercury, silver, tin, and vanadium. Even arsenic, a known poison and carcinogen, may turn out to be essential in tiny quantities.

All trace minerals are toxic in excess, and a UL exists for boron, nickel, and vanadium (see the back of the book, p. C). Overdoses are most likely to occur in people who take multiple nutrient supplements. Obtaining trace minerals from food is not hard to do—just eat a variety of whole foods in the amounts recommended in Chapter 2. Table 8–12 (pp. 300–301) sums up the information about the minerals and fills in some additional details.

Key Points

- Many different trace elements play important roles in the body.
- All of the trace minerals are toxic in excess.

*The enzyme is superoxide dismutase.

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Table 8–12

The Minerals—A Summary

Major Minerals			
Chief Functions	**Deficiency Symptoms**	**Toxicity Symptoms**	**Significant Sources**
Calcium			
The principal mineral of bones and teeth. Also acts in normal muscle contraction and relaxation, nerve functioning, regulation of cell activities, blood clotting, blood pressure, and immune defenses.	Stunted growth and weak bones in children; adult bone loss (osteoporosis).	High blood calcium; abnormal heart rhythms; soft tissue calcification; kidney stones; kidney dysfunction; interference with absorption of other minerals; constipation.	Dairy products, oysters, small fish (with bones), calcium-set tofu (bean curd), certain leafy greens (bok choy, turnip greens, kale), broccoli.
Phosphorus			
Mineralization of bones and teeth; important in cells' genetic material, in cell membranes as phospholipids, in energy transfer, and in buffering systems.	Bone pain, muscle weakness, impaired growth.[a]	Calcification of nonskeletal tissues, particularly the kidney.	Foods from animal sources, some legumes.
Magnesium			
A factor involved in bone mineralization, the building of protein, enzyme action, normal heart and muscle function, transmission of nerve impulses, and maintenance of teeth.	Low blood calcium; muscle cramps; confusion; impaired vitamin D metabolism; if extreme, seizures, bizarre movements; hallucinations, and difficulty in swallowing. In children, growth failure.	Excess magnesium from abuse of laxatives (Epsom salts) causes diarrhea, nausea, and abdominal cramps with fluid and electrolyte and pH imbalances.	Nuts, legumes, whole grains, dark green vegetables, seafoods, chocolate, cocoa.
Sodium			
Sodium, chloride, and potassium (electrolytes) maintain normal fluid balance and acid-base balance in the body. Sodium is critical to nerve impulse transmission.	Muscle cramps, mental apathy, loss of appetite.	Increased risk of hypertension and other chronic diseases.	Salt, soy sauce, seasoning mixes, processed foods, condiments, fast foods.
Potassium			
Facilitates reactions, including protein formation; fluid and electrolyte balance; support of cell integrity; transmission of nerve impulses; and contraction of muscles, including the heart.	Deficiency accompanies dehydration; causes muscular weakness, paralysis, and confusion; can cause death.	Causes muscular weakness; triggers vomiting; if given into a vein, can stop the heart.	All whole foods: meats, milk, fruit, vegetables, grains, legumes.
Chloride			
Part of the hydrochloric acid found in the stomach, necessary for proper digestion. Helps maintain normal fluid and electrolyte balance.	Does not occur in normal circumstances, but can cause cramps, apathy, and death.	Normally harmless (the gas chlorine is a poison but evaporates from water); can cause vomiting.	Salt, soy sauce; moderate quantities in whole, unprocessed foods, large amounts in processed foods.
Sulfate			
A contributor of sulfur to many important compounds, such as certain amino acids, antioxidants, and the vitamins biotin and thiamin; stabilizes protein shape by forming sulfur-sulfur bridges (see Figure 6–11 in Chapter 6, p. 190).	None known; protein deficiency would occur first.	Would occur only if sulfur amino acids were eaten in excess; this (in animals) depresses growth.	All protein-containing foods.

[a] *Seen only rarely in infants fed phosphorus-free formula or in adults taking medications that interact with phosphorus.*

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Table 8–12, The Minerals—A Summary (continued)

Trace Minerals			
Chief Functions	**Deficiency Symptoms**	**Toxicity Symptoms**	**Significant Sources**
Iodine			
A component of the thyroid hormone thyroxine, which helps to regulate growth, development, and metabolic rate.	Goiter, cretinism.	Depressed thyroid activity; goiter-like thyroid enlargement.	Iodized salt, seafood, bread, plants grown in most parts of the country and animals fed those plants.
Iron			
Part of the protein hemoglobin, which carries oxygen in the blood; part of the protein myoglobin in muscles, which makes oxygen available for muscle contraction; necessary for the use of energy.	Anemia: weakness, fatigue, pale skin and mucous membranes, pale concave nails, headaches, inability to concentrate, impaired cognitive function (children), lowered cold tolerance.	Iron overload: fatigue, abdominal pain, infections, liver injury, joint pain, skin pigmentation, growth retardation in children, bloody stools, shock.	Red meats, fish, poultry, shellfish, eggs, legumes, green leafy vegetables, dried fruit.
Zinc			
Associated with hormones; needed for many enzymes; involved in making genetic material and proteins, immune cell activation, transport of vitamin A, taste perception, wound healing, the making of sperm, and normal fetal development.	Growth failure in children, dermatitis, sexual retardation, loss of taste, poor wound healing.	Nausea, vomiting, diarrhea, loss of appetite, headache, immune suppression, decreased HDL, reduced iron absorption.	Protein-containing foods: meats, fish, shellfish, poultry, grains, yogurt.
Selenium			
Assists a group of enzymes that defend against oxidation.	Predisposition to a form of heart disease characterized by fibrous cardiac tissue (uncommon).	Nausea; diarrhea; nail and hair changes; joint pain; nerve, liver, and bone damage; garlic breath odor.	Seafoods, organ meats, other meats, whole grains, and vegetables depending on soil content.
Fluoride			
Strengthens tooth enamel; confers decay resistance on teeth.	Susceptibility to tooth decay.	Fluorosis (discoloration) of teeth, skeletal fluorosis (weak, thickened bones), nausea, vomiting, diarrhea, chest pain, itching.	Drinking water if fluoride-containing or fluoridated, tea, seafood.
Chromium			
Associated with insulin; needed for energy release from glucose.	Abnormal glucose metabolism.	Possibly skin eruptions.	Meat, unrefined grains, vegetable oils.
Copper			
A cofactor for enzymes; assists in iron absorption and use; helps form hemoglobin and collagen.	Anemia; bone abnormalities.	Vomiting, diarrhea; liver damage.	Organ meats, seafood, nuts, seeds, whole grains, drinking water.

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Food Feature Meeting the Need for Calcium

LO 8.5 Itemize food choices that help to meet the need for calcium.

Some people behave as though calcium nutrition is of little consequence to their health—they neglect to meet their need. Yet a low calcium intake is associated with all sorts of major illnesses, including adult bone loss (see the following Controversy), high blood pressure, colon cancer (see Chapter 11), and even lead poisoning (see Chapter 14).

Intakes of one of the best sources of calcium—milk—have declined in recent years, while consumption of other beverages, such as sweet soft drinks and fruit drinks, has increased dramatically. This Food Feature focuses on food and beverage sources of calcium and provides guidance about how to include them in a dietary pattern that meets nutrient needs.

Dairy Products

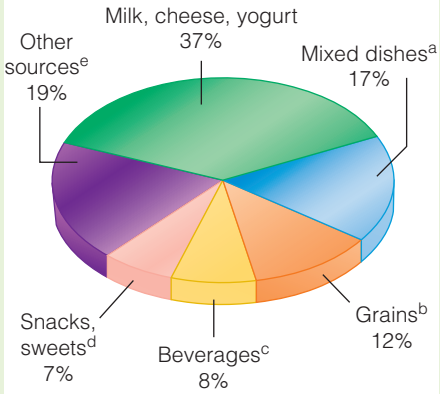
Dairy products are traditional sources of calcium for people who can tolerate them (see Figure 8–21). On average, people in the United States fall far short of the recommended intakes of milk, yogurt, or cheese (or replacements) each day. People who shun these foods because of lactose intolerance, allergy, a vegan diet, or other reasons can obtain calcium from other sources, but care is needed—*wise* substitutions must be made. This is especially true for children. Children who don't drink milk often have lower calcium intakes and poorer bone health than those who drink milk regularly. Most of milk's many relatives are good choices: yogurt, **kefir**, buttermilk, cheese (especially the low-fat or fat-free varieties), and, for people who can afford the calories, ice milk. Cottage cheese and frozen yogurt desserts contain about half the calcium of milk—2 cups are needed to provide the amount of calcium in 1 cup of milk. Butter, cream, and cream cheese are almost pure fat and contain negligible calcium.

kefir a liquid form of yogurt, based on milk, probiotic microorganisms, and flavorings.

Figure 8–21

Food Sources of Calcium in the U.S. Diet

Milk, cheese, and yogurt contribute much of the calcium in a typical U.S. diet.



[a]*Includes pasta, macaroni and cheese, pizza, Mexican-style foods, fried rice.*
[b]*Includes breads, rolls, tortillas.*
[c]*Includes fortified juices and bottled drinks; excludes alcohol, milk.*
[d]*Includes ice cream, frozen dairy desserts, chocolate, cakes, pies, tortilla or corn chips.*
[e]*Meats, vegetables, fruit, condiments, other sources.*

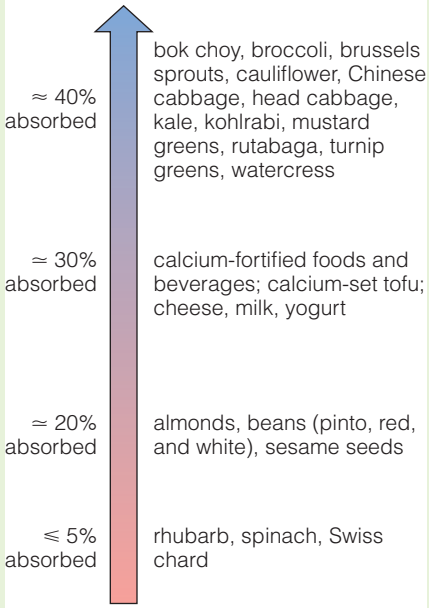
Source: M. K. Hoy and J. D. Goldman, Calcium intake of the U.S. population, USDA Dietary Data Brief *No. 13, September 2014, www.ars.usda.gov/ARSUserFiles/80400530/pdf/DBrief/13_calcium_intake_0910.pdf.*

Lactose intolerance is a topic of **Chapter 4**. Help in planning a nutritious vegan diet is in **Controversy 6**, p. 207.

To make dairy products more appealing, try adding cocoa to milk and fruit to yogurt. Make your own fruit smoothies from fat-free milk or yogurt or add fat-free milk powder to any dish. The cocoa powder added to make chocolate milk does contain a small amount of oxalic acid, which binds with some of milk's calcium and inhibits its absorption, but the effect on calcium balance is insignificant. Sugar lends both sweetness and calories to chocolate milk, so mix your chocolate milk at home where you control the amount of sugary chocolate added to the milk or choose a sugar-free product.

Figure 8–22

Calcium Absorption from Food Sources



Vegetables

Small amounts of calcium from vegetables and fruits contribute to calcium intake throughout the day. Meeting the DRI for calcium from plant sources alone is possible, but it's tricky. A food's calcium content alone cannot fully predict its contribution; calcium absorption must also be taken into account (see Figure 8–22). Among vegetables, bok choy (Chinese cabbage), broccoli, kale, and other members of the cabbage family are notable suppliers of calcium (see Table 8–13). Certain foods, including

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Table 8–13

Plant Sources of Calcium

Plants can supply calcium to the diet, but calcium content must be weighed again absorption. The shaded items contain binders that significantly inhibit calcium absorption.

Food	Amount	mg Calcium	% DV[a]
Kale, cooked	1 c	354	27%
Tofu, calcium-enriched "cheese" product[b]	½ c (≈3 oz)	212	16%
Spinach, cooked	1 c	209	
Turnip greens, cooked	1 c	199	15%
Bok choy, cooked	1 c	185	14%
Almonds	1½ oz	112	
Broccoli, cooked	1 c	76	6%
Pinto beans, cooked	1 c	82	
Swiss chard, cooked	1 c	81	
Kohlrabi, cooked	1 c	42	3%

[a] *Daily Value (1,300 mg).*

[b] *Tofu calcium content varies with calcium additives.*

spinach and Swiss chard, may appear to provide calcium but contain binders that prevent its absorption (find these foods at the bottom of Figure 8–22).

A note in defense of spinach: The lack of absorbable calcium does not make spinach an inferior food. Spinach is rich in iron, beta-carotene, riboflavin, and dozens of other essential nutrients and potentially helpful phytochemicals. Just don't rely on it for calcium.

Calcium from Other Foods

For the many people who cannot use milk, a 3-ounce serving of small fish, such as canned sardines and other canned fishes eaten with their bones, provides as much calcium as a cup of milk. One-third cup of almonds supplies about 100 milligrams of calcium. Calcium-rich mineral water may also be a useful calcium source. The calcium from mineral water, including hard tap water, may be as absorbable as the calcium from milk but with zero calories. Many other foods contribute small but significant amounts of calcium to the diet.

Calcium-Fortified Foods

Some foods contain large amounts of calcium salts by an accident of processing or by intentional fortification. Soybean curd (tofu) is in the processed category, and if calcium salts are used to coagulate it, then it's a rich source of calcium. Check the label. Canned tomatoes are a good calcium source because firming agents donate 63 milligrams of calcium per cup. Other unexpected sources include **stone-ground flour** and self-rising flour; stone-ground cornmeal and self-rising cornmeal; and blackstrap molasses.

Milk with extra calcium added can be an excellent source; it provides more calcium per cup than any natural milk, 450 milligrams per 8 ounces (Figure 8–23 provides a comparison). Next comes calcium-fortified orange juice, with 300 milligrams per 8 ounces, a good choice because the bioavailability of its calcium is comparable to that of milk. Calcium-fortified almond, pea, or soy milk can also be prepared so that it contains more calcium than whole cow's milk.

Finally, calcium supplements are available, sold mostly to people hoping to ward off osteoporosis. The Controversy following this chapter points out that supplements are not magic bullets against bone loss, however.

Making Meals Rich in Calcium

For those who tolerate milk, many cooks slip extra calcium into meals by sprinkling a tablespoon or two of fat-free dry

stone-ground flour flour made by grinding kernels of grain between heavy wheels made of limestone, a kind of rock derived from the shells and bones of marine animals. As the stones scrape together, bits of the limestone mix with the flour, enriching it with calcium.

Figure 8–23

Milk and Milk Replacers: Calcium and Protein Contents

	Dairy milk with added calcium, 8 oz	Pea milk, 8 oz	Almond milk, 8 oz	Dairy milk, 8 oz	Soy milk, 8 oz
Calcium (source)	450 mg (naturally occurring and added fortification)	450 mg (added fortification)	460 mg (added fortification)	300 mg (naturally occurring)	300 mg (added fortification)
Protein (source)	8 g (dairy milk)	10 g (yellow peas)	1 g (almonds)	8 g (dairy milk)	7 g (soybeans)

(continued)

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Table 8–14

Calcium in Meals—Breakfast, Lunch, and Supper

Try the following techniques for meeting calcium needs.

At Breakfast	At Lunch	At Supper
▪ Choose calcium-fortified orange or vegetable juice. ▪ Lighten tea or coffee, hot or iced, with milk or calcium-fortified replacement, such as soy or pea milk. ▪ Eat cereals, hot or cold, with milk or calcium-rich replacement. ▪ Spread almond butter on toast (2 tbs provides 111 mg calcium, eight times the amount in peanut butter). ▪ Cook hot cereals with milk instead of water, then mix in 2 tbs of fat-free dry milk. ▪ Make muffins or quick breads with milk and extra fat-free powdered milk or dried buttermilk powder. ▪ Add milk to scrambled eggs. ▪ Moisten cereals with flavored yogurt.	▪ Add low-fat cheeses to sandwiches, burgers, or salads. ▪ Use a variety of green vegetables, such as watercress or kale, in salads and on sandwiches. ▪ Drink fat-free milk or calcium-fortified soy or pea milk as a beverage or in a smoothie. For tartness and extra calcium, add 2 tbs dried buttermilk powder. ▪ Drink calcium-rich mineral water as a beverage. ▪ Marinate cabbage shreds or broccoli spears in low-fat Italian dressing for an interesting salad that provides calcium. ▪ Choose coleslaw over potato and macaroni salads. ▪ Mix the mashed bones of canned salmon into salmon salad or patties. ▪ Eat sardines with their bones. ▪ Stuff potatoes with broccoli and low-fat cheese. ▪ Try pasta such as ravioli stuffed with low-fat ricotta cheese instead of meat. ▪ Sprinkle parmesan cheese on pasta salads.	▪ Toss a handful of thinly sliced green vegetables, such as kale or young turnip greens, with hot pasta; the greens wilt pleasingly in the steam of the freshly cooked pasta. ▪ Serve a green vegetable every night and try new ones—how about kohlrabi? It tastes delicious when cooked like broccoli. ▪ Remember your dark green, leafy vegetables—they can be good, low-calorie calcium sources. ▪ Learn to stir-fry Chinese cabbage and other Asian foods. ▪ Try tofu (the calcium-set kind); this versatile food has inspired whole cookbooks devoted to creative uses. ▪ Add fat-free powdered milk to almost anything—meat loaf, sauces, gravies, soups, stuffings, casseroles, blended beverages, puddings, quick breads, cookies, brownies. Be creative. ▪ Choose frozen yogurt, ice milk, or custards for dessert.

milk into almost everything. The added calorie value is small, and changes to the taste and texture of the dish are practically nil, but each 2 tablespoons adds about 100 extra milligrams of calcium. Dried buttermilk powder can also add flavor and calcium to baked goods and other dishes and keeps for a year or more when stored in the refrigerator. Table 8–14 provides some more tips for including calcium-rich foods in your meals.

Dave Lee/Moment/Getty Images

What did you decide?

- Is **bottled water** better for you than tap water?
- Can **"water weight"** explain extra pounds of body weight?
- Do adults outgrow the need for **calcium**?
- If you're feeling tired, do you need an **iron supplement**?

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Self Check

1. (LO 8.1) Water balance is governed by the _____.
   a. liver b. kidneys c. brain d. b and c
2. (LO 8.1) Water intoxication cannot occur because water is so easily excreted by the body.
   T F
3. (LO 8.1) On average, young men in the United States obtain _____ calories per day from beverages.
   a. 200
   b. 600
   c. 1,200
   d. 2,000
4. (LO 8.2) To temporarily increase the body's water content, a person need only
   a. consume extra salt.
   b. consume extra sugar.
   c. take a diuretic.
   d. consume extra potassium.
5. (LO 8.2) Vomiting or diarrhea
   a. causes fluid to be pulled from between the cells in every part of the body.
   b. causes fluid to leave the cell interiors.
   c. causes kidneys to raise the sodium concentration outside the cells.
   d. all of the above.
6. (LO 8.3) Which two minerals are the major constituents of bone?
   a. calcium and zinc
   b. sodium and magnesium
   c. phosphorus and calcium
   d. magnesium and calcium
7. (LO 8.3) Magnesium
   a. assists in the operation of enzymes.
   b. is needed for the release and use of energy.
   c. is critical to normal heart function.
   d. all of the above.
8. (LO 8.3) After about 60 years of age, bones begin to lose density.
   T F
9. (LO 8.3) The best way to control salt intake is to cut down on processed and fast foods.
   T F
10. (LO 8.4) The top food sources of zinc include _____.
   a. grapes
   b. unleavened bread
   c. shellfish
   d. potato
11. (LO 8.4) A deficiency of which mineral is a leading cause of mental retardation worldwide?
   a. iron
   b. iodine
   c. zinc
   d. chromium
12. (LO 8.4) Which of these mineral supplements can easily cause accidental poisoning in children?
   a. iron
   b. sodium
   c. magnesium
   d. potassium
13. (LO 8.4) The most abundant mineral in the body is iron.
   T F
14. (LO 8.4) The Academy of Nutrition and Dietetics recommend fluoride-free water for the U.S. population.
   T F
15. (LO 8.5) Dairy foods such as butter, cream, and cream cheese are good sources of calcium, whereas vegetables such as broccoli are poor sources.
   T F
16. (LO 8.5) Children who don't drink milk often have lower bone density than those who do.
   T F
17. (LO 8.6) Trabecular bone readily gives up its minerals whenever blood calcium needs replenishing.
   T F
18. (LO 8.6) Too little _____ in the diet is associated with osteoporosis.
   a. vitamin B_{12}
   b. protein
   c. sodium
   d. niacin

Answers to these Self Check questions are in Appendix G.

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Controversy 8 Osteoporosis: Can Lifestyle Choices Reduce the Risk?

LO 8.6 Describe how osteoporosis develops and the actions that may help to prevent it.

Almost half of U.S. adults age 50 years and older have low bone mass (osteopenia), and almost 18 percent suffer from osteoporosis.[1]* Each year, millions of people break a hip, leg, arm, hand, ankle, or other bone as a result of osteoporosis. Of these, hip fractures prove most serious. The break is rarely clean—the bone explodes into fragments that cannot be reassembled. Just removing the pieces is a struggle, and replacing them with an artificial joint requires major surgery. Many people die of complications of such a fracture within a year; many more will never walk or live independently again. Both men and women are urged to do whatever they can to prevent fractures related to osteoporosis.

Development of Osteoporosis

Fractures from osteoporosis occur during the later years, but osteoporosis itself develops silently much earlier. Twenty-year-old adults are rarely aware of the strength ebbing from their bones until suddenly, 40 years later, a hip gives way. People say, "She fell and broke her hip," but in fact the hip may have been so fragile that it broke *before* she fell.

The causes of osteoporosis are tangled, and many are beyond a person's control. Insufficient dietary calcium, vitamin D, and physical activity certainly play roles, but age, sex, and genetics are also major players. No controversy exists as to the nature of osteoporosis; more controversial, however, are its causes and what people should do about it.

Bone Basics

To understand how the skeleton loses minerals in later years, you must first know a few things about bones.

** Reference notes are in Appendix F.*

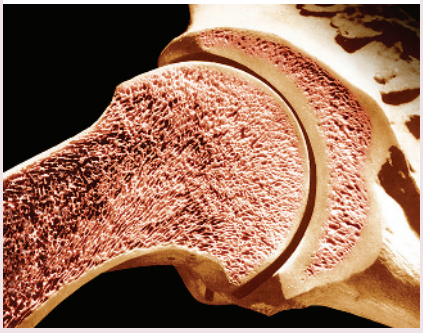
Table C8–1
Osteoporosis Terms

- **cortical bone** the ivorylike outer bone layer that forms a shell surrounding trabecular bone and that comprises the shaft of a long bone.
- **trabecular** (tra-BECK-you-lar) **bone** the weblike structure composed of calcium-containing crystals inside a bone's solid outer shell. It provides strength and acts like a calcium storage bank.

Table C8–1 offers definitions of relevant terms. Figure C8–1 shows a photograph of a healthy human leg bone sliced lengthwise, exposing the lattice of calcium-containing crystals (the **trabecular bone**) inside that are part of the body's calcium bank. Invested as savings during the milk-drinking years of youth, these deposits provide a nearly inexhaustible fund of calcium. **Cortical bone** is the dense, ivorylike bone that forms the exterior shell of a bone and the shaft of a long bone (look closely at the photograph). Both types of bone are crucial to overall bone strength. Cortical bone forms a sturdy outer wall, and trabecular bone provides strength along the lines of stress inside the bone.

Figure C8–1
A Healthy Bone

This healthy bone has been sectioned lengthwise to reveal its strong, dense crystal matrix.



Dr. Donald Fawcett/Visuals Unlimited, Inc.

The two types of bone handle calcium in different ways. The lacy crystals of the trabecular bone are tapped to raise blood calcium when the supply from the day's diet runs short, and are redeposited when dietary calcium is plentiful. The calcium of cortical bone fluctuates less.

Bone Loss

Trabecular bone, generously supplied with blood vessels, readily gives up its minerals at the necessary rate whenever blood calcium needs replenishing. Loss of trabecular bone begins to be significant for men and women around age 30. Calcium in cortical bone can also be withdrawn but more slowly.

As bone loss continues (see Figure C8–2), bone density declines. Soon, osteoporosis sets in, and bones become so fragile that the body's weight can overburden the spine. Vertebrae may suddenly disintegrate and crush down, painfully pinching major nerves. Or they may compress into wedges, forming what is insensitively called "dowager's hump," the bent posture of many older men and women as they "grow shorter" (see Figure C8–3). Wrists may break as trabecula-rich bone ends weaken, and teeth may loosen or fall out as the trabecular bone of the jaw recedes. As the cortical bone shell weakens as well, one or both hips may break.

Nondiet Factors that Affect Bone Health

Bones are affected by many factors. Lifestyle choices influence 20 to 40 percent of adult peak bone mass.[2] The remainder is determined by unalterable factors.

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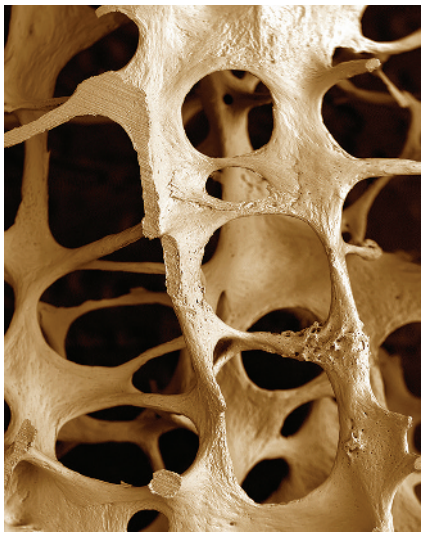
Figure C8–2

Loss of Trabecular Bone

These bone sections are magnified to reveal details. The healthy trabecular bone shown on the left appears thick and strong. The bone on the right is thin and weak, reflecting osteoporosis.

Dr. Fred Hossler/Visuals Unlimited, Inc.

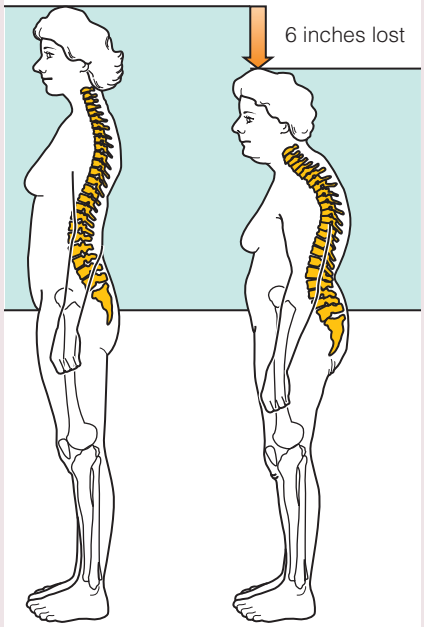


Dr. Alan Boyde/Visuals Unlimited, Inc.

Figure C8–3

Loss of Height in a Woman with Osteoporosis

The woman on the left is about 50 years old. On the right, she is 80 years old. Her legs have not grown shorter; only her back has lost length, due to collapse of her spinal bones (vertebrae). When collapsed vertebrae cannot protect the spinal nerves, the pressure of bones pinching the nerves causes excruciating pain.



Bone Density and the Genes

A strong genetic component contributes to osteoporosis, bone density, and increased risk of fractures. Genes exert influence over:

- The activities of bone-forming cells and bone-dismantling cells;
- The cellular mechanisms that make collagen, a structural bone protein;
- The body's mechanisms for absorbing and employing vitamin D; and
- Many other contributors to bone metabolism.

Genes set a tendency for strong or weak bones, but diet and other lifestyle choices influence the final outcome, and anyone with risk factors for osteoporosis should take actions to prevent it.

Male or Female Sex

Being male or female is a powerful predictor of osteoporosis: men have greater bone density than women at maturity, and women often lose more bone, particularly in the 6 to 8 years following menopause when the hormone estrogen diminishes. Thereafter, loss of bone minerals continues throughout the remainder of a woman's lifetime but not at the free-fall pace of the menopause years (refer again to Figure C8–3). If young women fail to produce enough estrogen, they lose bone rapidly, too, doubling their risk of developing osteoporosis.

Each year, hundreds of thousands of men suffer fractures from osteoporosis. Sex hormones, such as testosterone and the small amount of estrogen made by the male body, help to oppose men's osteoporosis. Testosterone replacement therapy can help minimize bone loss in men with insufficient hormone production.

Weight Loss

After age and sex, the next risk factor for osteoporosis is being underweight or losing weight. Women who are thin throughout life or who lose 10 percent or more of their body weight after menopause face a doubled risk of hip fracture. People with severe obesity may undergo surgery to help them lose weight, but such surgeries also cause loss of bone density and make bone fractures likely to occur.[3]

Physical Activity

Physical activity supports bone strength and density during adolescence, particularly when calcium intake is adequate, and it may help to protect the bones in later life, as well.[4] Working muscles create denser, stronger bones by tipping the balance of metabolism toward bone formation, while slowing bone resorption.[5] When people lie idle—for example, when they are confined to bed—the bones lose strength just as the muscles do. The harm to the bones from a sedentary lifestyle equals the harm

(continued)

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Table C8–2

Risk Factors for Osteoporosis

Nonmodifiable	Modifiable
▪ Female sex ▪ Older age ▪ Small frame ▪ Caucasian, Asian, or Hispanic/Latino heritage ▪ Family history of osteoporosis or fractures ▪ Personal history of fractures ▪ Estrogen deficiency in women[a] testosterone deficiency in men	▪ Sedentary lifestyle ▪ Diet inadequate in calcium and vitamin D ▪ Diet excessive in protein or caffeine ▪ Cigarette smoking ▪ Alcohol abuse ▪ Low body weight ▪ Certain medications, such as glucocorticoids and anticonvulsants ▪ Diet low in fruit and vegetables

from nutrient deficiencies or cigarette smoking (see Table C8–2).

Preventing falls is a critical focus for fracture prevention in the elderly. The best kind of exercise to keep bones and muscles healthy and thereby prevent falls is any kind that strengthens muscles and suits the abilities of the elderly person. For some, walking, seated calisthenics, or lifting light hand weights can be of significant benefit. For others, jogging and more challenging resistance training are possible. Improvement is the goal.

Tobacco Smoke and Alcohol

Smoking is hard on the bones. The bones of smokers are less dense than those of nonsmokers. Smoking also increases the risk of fractures and slows fracture healing.[6] Fortunately, quitting can reverse much of the damage. With time, the bone density of former smokers approaches that of nonsmokers.

Alcoholism is a major cause of osteoporosis in men. Heavy drinkers and people who regularly binge drink often have lower bone mineral density and experience more fractures than nondrinkers and moderate drinkers.[7] (See Controversy 3 for more about alcohol consumption.)

Nutrients that Affect Bone Density

Obtaining the nutrients that build strong bones in youth helps prevent or delay osteoporosis later on. When people reach the bone-losing years of middle age, those who formed dense bones during youth can lose more bone tissue before suffering ill effects (see Figure C8–4). To a lesser degree, some nutrients can also help slow bone loss later in life.

Calcium and Vitamin D

Bone strength later in life is greatly affected by how well the bones were built during childhood and adolescence. Preteen children who consume enough calcium and vitamin D lay more calcium into the structure of their bones than children with less adequate intakes. Unfortunately, most girls in their bone-building years fail to meet their calcium needs. Children who do not drink milk are unlikely to meet their calcium needs unless they use calcium-fortified foods or supplements. In adolescence, a critical time for gaining bone mass, soft drinks can displace milk from the diet, greatly reducing calcium and vitamin D intakes. Further, soft drink intake itself is associated with fractures in later life, but research has yet to say why.

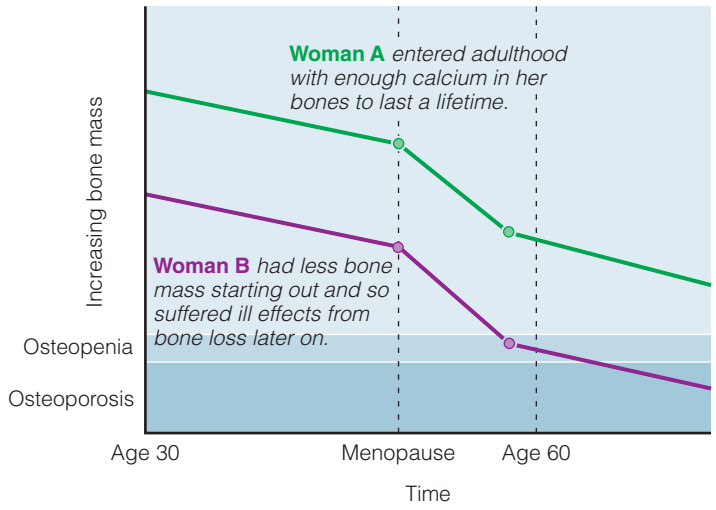
Sufficient vitamin D during the bone-forming years helps to build bone density. Most milk products are fortified with vitamin D, so children who do not drink milk must be provided with other sources to help them develop their bones. Supplement dosage is important. Taking overly large doses of vitamin D, double the UL or more, provides no extra benefits and may, in fact, weaken the bones—the opposite of the desired effect.[8]

In later life, supplements providing the DRI for calcium and vitamin D may help to slow the rate of bone loss and prevent fractures because older people rarely meet their need for these

Figure C8–4

Two Women's Bone Mass History Compared

Bone density achieved during youth affects bone health in the later years.



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nutrients from food alone.[9] With aging, appetite often diminishes, calcium absorption declines, and older bodies become less efficient at making and activating vitamin D.

Protein

When older people take in too little protein, their bones may suffer.[10] Recall that the mineral crystals of bone form on a protein matrix—collagen. Restoring protein sources to the diet can often improve bone status and reduce the incidence of hip fractures even in the elderly. However, a diet lacking protein no doubt also lacks energy and other critical bone nutrients, so restoring a nutritious diet may be of highest importance. An opposite possibility, that a *high*-protein diet causes bone loss, has also been explored, but study results do not support this idea.[11]

Milk provide protein along with vitamin A, vitamin D, and calcium, all important nutrients for bone tissue. As might be expected, vegans, who do not consume milk products, generally have lower bone mineral density and higher fracture rates than people who do consume them.[12] Controversy 6 made the point that, for vegans, finding alternative sources of calcium is a must.

Other Nutrients Important to Bones

Vitamin K plays roles in the production of at least one bone protein important in bone maintenance. People with hip fractures often have low intakes of vitamin K.[13] Increasing vitamin K-rich vegetable intakes may improve both vitamin K status and skeletal health.

Sufficient vitamin A is needed in the bone-remodeling process, and vitamin C maintains bone collagen. Magnesium may help to maintain bone mineral density. Clearly, a well-balanced diet that supplies a variety of abundant fruit, vegetables, protein foods, and whole grains along with a full array of nutrients is central to bone health.

Image Source Trading Ltd./Shutterstock.com

These young people are putting bone in the bank.

The more risk factors of Table C8–2 (p. 308) that apply to you, the greater your chances of developing osteoporosis in the future, and the more seriously you should take the advice offered in this Controversy. Treatment, although continuously advancing, remains far from perfect.

Diagnosis and Medical Treatment

Diagnosis of osteoporosis includes measuring bone density using an advanced form of X-ray (DEXA; Figure C8–5) or ultrasound. Men with osteoporosis risk factors and all women should have bone density tests after age 50. A thorough examination also includes factors such as race, family history, and physical activity level.

Several drug therapies, including bisphosphonates (pronounced biz-FAHS-foh-nates) that cause remineralization of bone, have worked minor miracles in reversing even severe bone loss, but their side effects can be severe and the bone material gained may lack the strength of the original bones.[14] Hormone replacement therapy can halt bone loss in nonmenstruating women, but safety questions must be weighed against its effectiveness for individual women with bone loss.[15] The mineral fluoride is less effective and poses the threat of skeletal fluorosis that damages bones. Clearly, prevention is far preferable to treatment; Figure C8–6 (p. 310) displays a lifetime plan to support bone health.

Calcium Intakes

Adequate calcium nutrition is essential for achieving and maintaining optimal bone

Figure C8–5

DEXA Scan

A DEXA scan measures bone density to help detect the early stages of bone loss, assess fracture risks, and measure the responses to bone-building treatments. (DEXA stands for dual-energy X-ray absorptiometry.)

T&L/BSIP/Superstock

(continued)

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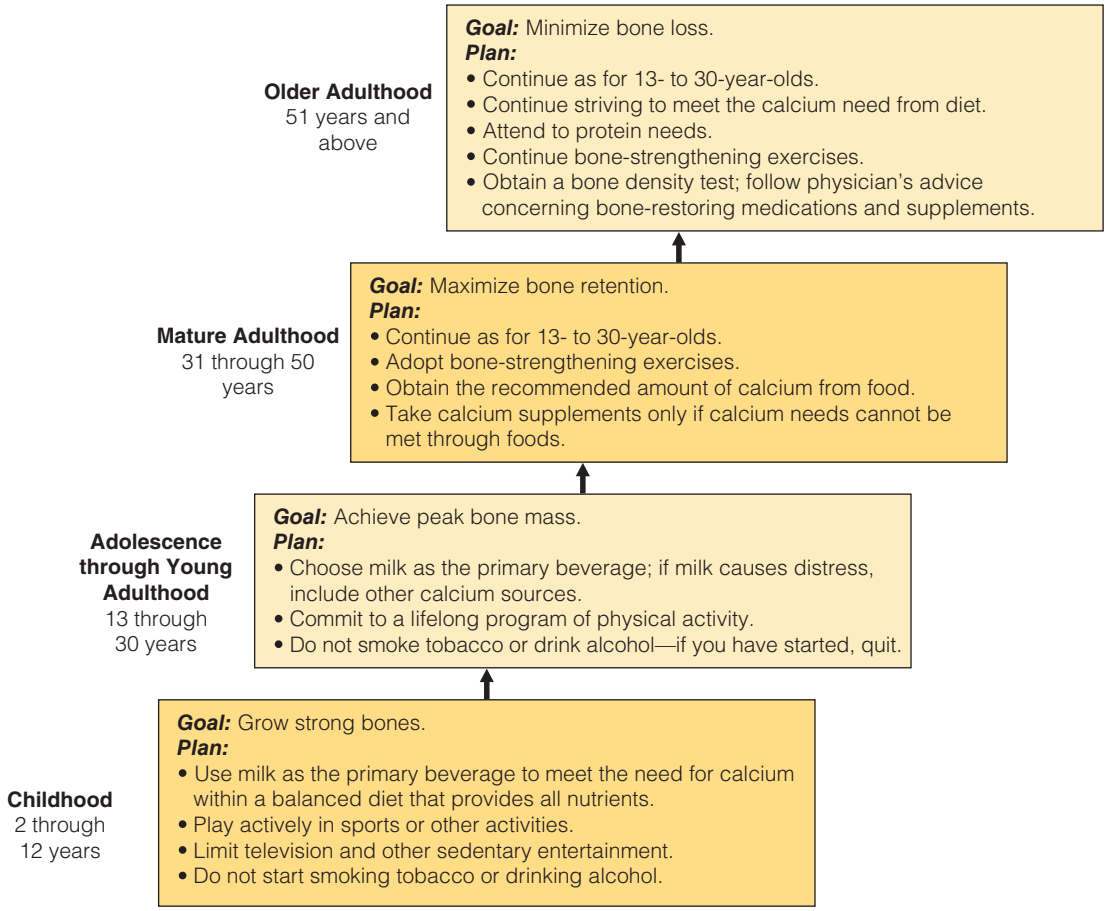
Figure C8–6

A Lifetime Plan for Healthy Bones

The periods of greatest gains in bone density are childhood and adolescence. (This figure's timeline begins at the bottom.)



Note: *The exact ages of cessation of bone accretion and onset of loss vary but in general, data indicate that the skeleton continues to accrete mass for approximately 10 years after adult height is achieved, and to lose bone around age 40.*

mass, but few people take in adequate amounts from foods and beverages. How should they obtain daily calcium? Nutritionists strongly recommend the foods and beverages of the USDA dietary patterns (see Chapter 2); they reserve supplements for those who cannot consume these sources.

Bone loss is not a calcium-deficiency disease comparable to iron-deficiency anemia, in which iron intake reliably reverses the condition. Calcium alone cannot reverse bone loss and calcium in excess of need does not benefit bone health.[16] For those who are unable to consume enough calcium-rich foods, however, taking calcium supplements with vitamin D can supply these nutrients.

Taking self-prescribed calcium supplements entails a few risks and cannot take the place of sound food choices and other healthy habits. Constipation or kidney stones may arise with calcium and vitamin D supplementation. Research does not support calcium or vitamin D supplements for preventing bone fractures in most people, and the U.S. Preventive Services Task Force does not recommend them.[17] Still, millions of healthy people take such supplements daily.

Calcium Supplements

Calcium supplements are often sold as **calcium compounds**—such as calcium carbonate (as in some **antacids**), citrate, gluconate, lactate, malate, or phosphate–and compounds of calcium with amino acids (called **amino acid chelates**). Others are powdered, calcium-rich materials such as **bone meal**, **powdered bone**, **oyster shell**, or **dolomite**. Table C8–3 defines supplement terms. In choosing a type, consider the answers to the following questions.

Question 1. How much calcium is safe? Although evidence suggests that doses of up to 1,000 milligrams may present few risks, the DRI committee recommends that habitual calcium intakes from foods and supplements combined should not exceed the UL (2,000 to 3,000 milligrams for adults. Meeting the need for calcium

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Table C8–3

Calcium Supplement Terms

- **amino acid chelates** (KEY-lates) compounds of minerals (such as calcium) combined with amino acids in a form that favors their absorption. A chelating agent is a molecule that attracts or embraces another molecule and can then either promote or prevent its movement from place to place (chele means "claw").
- **antacids** acid-buffering agents used to counter excess acidity in the stomach. Calcium-containing preparations (such as Tums) contain available calcium. Antacids with aluminum or magnesium hydroxides (such as Rolaids) can accelerate calcium losses.
- **bone meal** or **powdered bone** crushed or ground bone preparations intended to supply calcium to the diet. Calcium from bone is not well absorbed and is often contaminated with toxic materials such as arsenic, mercury, lead, and cadmium.
- **calcium compounds** the simplest forms of purified calcium. They include calcium carbonate, citrate, gluconate, hydroxide, lactate, malate, and phosphate. These supplements vary in the amounts of calcium they contain, so read the labels carefully. A 500-milligram tablet of calcium gluconate may provide only 45 milligrams of calcium, for example.
- **dolomite** a compound of minerals (calcium magnesium carbonate) found in limestone and marble. Dolomite is powdered and is sold as a calcium-magnesium supplement but may be contaminated with toxic minerals, is not well absorbed, and interferes with absorption of other essential minerals.
- **oyster shell** a product made from the powdered shells of oysters that is sold as a calcium supplement but is not well absorbed by the digestive system.

is important, but more calcium than this provides no benefits and may increase risks. Most supplements contain between 250 and 1,000 milligrams of calcium, as stated on their labels.

Question 2. How absorbable is the supplement? The body cannot use the calcium in a supplement unless the tablet disintegrates in the digestive tract. Manufacturers compress large quantities of calcium into small pills, which the stomach acid must penetrate. Some calcium compounds go right through the body like pebbles dropped through a spool. To test a supplement, drop a pill into 6 ounces of vinegar, and stir occasionally. The pill should disintegrate within a half hour.

Question 3. How absorbable is the *form* of calcium in the supplement? Most healthy people absorb calcium equally well from milk and from calcium carbonate, calcium citrate, and calcium phosphate. To improve absorption, divide your dose in half and take it twice a day instead of all at once.

One last pitch: think one more time before you decide to take supplements instead of including calcium-rich foods in your diet. For bone health, the Dietary Guidelines for Americans committee recommends dairy products or calcium- and vitamin D–fortified plant-based milk replacers for bone health. The authors of this book are so impressed with the importance of using enough, calcium-rich foods that we have worked out ways to do so every day.

Critical Thinking

1. Osteoporosis occurs during the late years of life; however, it is a disease that develops while one is young. For any life stage of Figure C8-6, name two potential barriers to these actions and devise ways of overcoming them.
2. Outline the benefits and drawbacks of using foods versus supplements for daily calcium. What other nutrients in dairy foods or plant-based milk replacements might influence this decision? What risks do certain supplements pose?

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9 Energy Balance and Healthy Body Weight

Controversy 9 The Perils of Eating Disorders

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 9.1 Outline the health risks of deficient and excessive body fat.

LO 9.2 Explain the concept of energy balance and the factors associated with it.

LO 9.3 Contrast body weight with body composition.

LO 9.4 Identify factors that contribute to increased appetite and decreased appetite.

LO 9.5 Summarize the current inside-the-body theories of obesity.

LO 9.6 Summarize the current outside-the-body theories of obesity.

LO 9.7 Describe the metabolic events that occur in energy deficit and surplus.

LO 9.8 Summarize the measures that help in achieving and maintaining a healthy body weight.

LO 9.9 Describe the potential benefits and risks associated with obesity medications and surgeries.

LO 9.10 Justify the importance of behavior change methods in supporting weight management efforts.

LO 9.11 Outline the risk factors, symptoms, and treatments of eating disorders

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What do you think?

- How can you **control** your body weight, once and for all?
- Why are you **tempted** by a favorite treat when you don't feel hungry?
- How do extra calories from food become **fat** in your body?
- Which popular **diets** are best for managing body weight?

Are you pleased with your body weight? If you answered yes, you are a rare individual. Nearly all people in our society think they should weigh more or less (mostly less) than they do. Their primary concern is usually appearance, but they often perceive, correctly, that physical health is somehow related to weight. Both **overweight** and **underweight** present risks to health and life.

People also think of their weight as something they should control, once and for all. Three misconceptions in their thinking frustrate their efforts, however—the focus on weight, the focus on *controlling* weight, and the focus on a short-term endeavor. Simply put, it isn't your weight you need to control; it's the fat, or **adipose tissue**, in your body in proportion to the lean—your **body composition**. And controlling body composition directly isn't possible—you can control only your *behaviors*. Sporadic bursts of activity, such as "dieting," are not effective; the behaviors that achieve and maintain a healthy body weight take a lifetime of commitment. With time, these behaviors can become second nature.

This chapter starts by presenting problems associated with deficient and excessive body fat and then examines how the body manages its energy budget. The following sections show how to judge body weight on the sound basis of health and explore some theories about causes of **obesity**. It also sums up science-based lifestyle strategies for achieving and maintaining a healthy body weight, and it closes with a Controversy section on eating disorders.

The Problems of Too Little or Too Much Body Fat

LO 9.1 Outline the health risks of deficient and excessive body fat.

In the United States, too little body fat is not a widespread problem. In contrast, despite a national preoccupation with body image and weight loss, obesity remains at epidemic proportions. The maps in Figure 9–1 (p. 314) demonstrate the increases in obesity prevalence over a period of 5 years. Over the past five *decades*, obesity has soared in every state, in both sexes, and across all ages, races, and educational levels.[1]* Among U.S. adults, over 42 percent are obese (see Table 9–1, p. 314).†

The problem of *underweight*, although affecting fewer than 2 percent of adults in the United States, also poses health threats to those who drop below a healthy minimum.[2] People at either extreme of body weight face increased risks.

Childhood obesity is the topic of **Controversy 14**.

overweight body weight above a healthy weight; BMI 25 to 29.9 (BMI is defined on p. 321).

underweight body weight below a healthy weight; BMI below 18.5.

adipose tissue the body's fat tissue, consisting of masses of fat-storing cells and blood vessels to nourish them. Adipose tissue performs several functions, including the synthesis and secretion of the hormone leptin, which is involved in appetite regulation. Also defined in Chapter 3.

body composition the proportions of muscle, bone, fat, and other tissue that make up a person's total body weight.

obesity excess adiposity associated with increased risks of mortality and chronic diseases; a body mass index of 30 or higher.

*Reference notes are in Appendix F.
†See the body mass index chart, back of the book, p. E.

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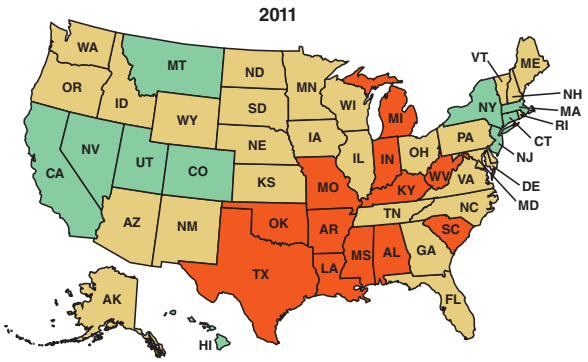
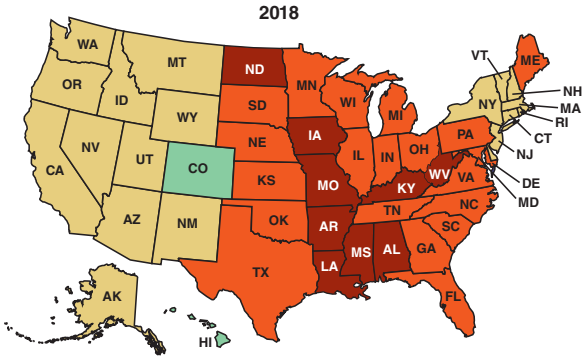
Figure 9–1

Increasing Prevalence of Obesity

The top map shows the prevalence of obesity (BMI ≥ 30) in the year 2011, state by state. The bottom map reveals the advancement of obesity over just four years. Much greater increases are evident from past decades, but changes in analytical methods prohibit direct comparisons with today's estimates.



<20%	20% to 24.9%	25% to 29.9%	30% to 34.9%	≥35%
0 states	11 states	27 states	12 states	0 states



<20%	20% to 24.9%	25% to 29.9%	30% to 34.9%	≥35%
0 states	2 states	17 states	22 states	9 states

Source: www.cdc.gov/obesity/data/prevalence-maps.html. September 2016.

Table 9–1

Prevalence of Underweight, Overweight, and Obesity, U.S. Adults

Underweight (BMI < 18.5)	1.5%
Obese (BMI 30–39.9)	42.4%
Severely obese (BMI ≥ 40)[a]	9.2%

[a] *"Severely obese" is a subcategory of "Obese."*

Data from C. M. Hales and coauthors, Prevalence of obesity and severe obesity among adults: United States, 2017–2018, NCHS Data Brief, no 360 (Hyattsville, MD: National Center for Health Statistics, 2020); C. D. Fryar, M. D. Carroll, and C. L. Ogden, NCHS Health E-Stats, Prevalence of underweight among adults aged 20 and over: United States, 1960–1962 through 2015–2016, (2018), www.cdc.gov/nchs/data/hestat/underweight_adult_15_16/underweight_adult_15_16.pdf.

What Are the Risks from Underweight?

People who are underweight are among the first to die during a siege or in a famine. They are also at a disadvantage in the hospital, where their nutrient status can easily deteriorate if they have to go without food for days at a time while undergoing tests or surgery. Underweight also increases the risk of death for surgical patients and for anyone fighting a **wasting** disease. People with cancer often die not from the cancer itself but from starvation. Thus, people who are underweight are urged to gain body fat as an energy reserve and to acquire protective amounts of all the nutrients that can be stored.

Key Point

- A too-low body weight threatens survival during a famine or when a person must fight a disease.

What Are the Risks from Too Much Body Fat?

If tomorrow's headline read, "Obesity Conquered! U.S. Population Loses Excess Fat!" tens of millions of people would be freed from the misery of obesity-related illnesses—heart disease, diabetes, certain cancers, and many others. In just 1 year, more than 300,000 lives could be saved, along with amost $240 billion spent on obesity-related health care.[3] Increased productivity at work would pump tens of billions of new dollars into the national economy.

Chronic Diseases To underestimate the threat from obesity is to invite personal calamity. Figure 9–2 demonstrates that the risk of dying increases proportionally with increasing body weight.[4] With **severe obesity**, the risk of dying equals that from smoking. Major obesity-related chronic disease risks include:

- Arthritis
- Cancers of the breast, colon, endometrium, and other cancers
- Diabetes
- Heart disease
- Kidney disease
- Nonalcoholic fatty liver disease
- Stroke[5]

wasting the progressive, relentless loss of the body's tissues that accompanies certain diseases and shortens survival time.

severe obesity clinically severe overweight, presenting very high risks to health; the condition of having a BMI of 40 or above; also called *morbid obesity*.

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Over 70 percent of people who are obese suffer from at least one other major health problem. For example, obesity triples a person's risk of developing diabetes, and even modest weight gain raises the risk.

Obesity and Inflammation Why should fat in the body present an extra risk to the heart? Part of the answer may involve **adipokines**, hormones released by adipose tissue.[6] Adipokines help to regulate inflammatory processes and energy metabolism in body tissues, among other roles. In fact, adipose tissue acts as an **endocrine organ**, orchestrating important interactions with other vital organs such as the brain, liver, muscle, heart, and blood vessels in ways that influence overall health.

Metabolic syndrome and chronic diseases are discussed in more detail in **Chapter 11**.

In obesity, a shift occurs in adipokines that favors both tissue inflammation and insulin resistance. The resulting chronic inflammation and insulin resistance often lead to diabetes, heart disease, and other chronic diseases. Calorie-restricted diets and weight loss often reduce inflammation and improve health.

Other Risks A person with obesity faces a long list of threats in addition to the chronic diseases already named: abdominal hernias, cancers (many types), complications in pregnancy and surgery, flat feet, gallbladder disease, gout, high blood lipids, medication dosing errors, psychological depression, reproductive disorders, skin problems, sleep disturbances, sleep apnea (dangerous abnormal breathing during sleep), varicose veins, and even a high accident rate. So great are the harms that obesity itself is classified as a chronic disease: **adiposity-based chronic disease**.[7] Some of these maladies start to improve with the loss of just 5 percent of body weight, and risks improve markedly after a 10 percent loss.

Key Points

- Overweight and obesity have reached critical levels for the nation's health and its economy.
- Adipokines are hormones produced by adipose tissue that help to regulate inflammation and energy metabolism.
- Obesity raises the risks of developing chronic diseases and many other illnesses.

What Are the Risks from Central Obesity?

A person's **body fat distribution** modulates the risks from obesity. Fat collected deep within the central abdominal area of the body, called **visceral fat**, results in **central obesity**, which poses greater risks of major chronic diseases and death than does excess fat lying just beneath the skin (**subcutaneous fat**) of the abdomen, thighs, hips, and legs. Figure 9–3 (p. 316) illustrates these two fat depots.

Central obesity is associated with the **metabolic syndrome** and, independently of BMI, contributes to heart disease, cancers, diabetes, and mortality.[8] Both kinds of adipose tissue store fat, but they differ metabolically in ways that may help explain their differing effects on health. For example, visceral fat tissue produces more inflammatory compounds than does subcutaneous fat, compounds that may increase chronic disease risks.[9] A measure of central obesity is among the indicators that physicians use to evaluate chronic disease risks.

Males of all ages and females who are past menopause are more prone to develop the "apple" profile (body fat around the waist) that characterizes central obesity, whereas females in their reproductive years typically develop more of a "pear" profile (fat around the hips and thighs), which poses less risk. At menopause the typical female shape often changes, and life-long "pears" may suddenly become "apples," and face additional associated risks.

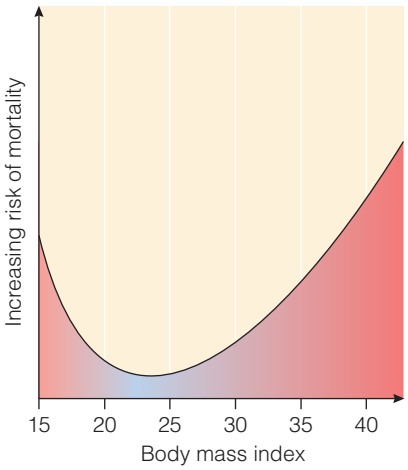
Key Point

- Central obesity is particularly hazardous to health.

Figure 9–2

Underweight, Overweight, and Mortality

This J-shaped curve associates body mass index (BMI) with mortality. It shows that both underweight and overweight present excess risks of premature death. A BMI of 15 generally indicates starvation.



adipokines (AD-ih-poh-kynz) protein hormones made and released by adipose tissue (fat) cells.

endocrine organ (EN-doh-krin) any of a number of body organs that synthesize and secrete hormones that travel in body fluids to other organs where they influence diverse critical functions, such as glucose metabolism, growth and development, and food intake.

adiposity-based chronic disease a clinical name used in diagnosing obesity. *Adiposity* refers to fat cells and tissues, identifying them as the source of the disease.

body fat distribution the pattern of fat deposition in various body areas.

visceral fat fat stored within the abdominal cavity in association with the internal abdominal organs; also called *intra-abdominal fat* or *visceral adipose tissue*.

central obesity excess fat in the abdomen and around the trunk.

subcutaneous fat fat stored directly under the skin (*sub* means "beneath"; *cutaneous* refers to the skin).

metabolic syndrome a combination of central obesity, diabetes or prediabetes, high blood glucose (insulin resistance), high blood pressure, and altered blood lipids that greatly increases the risk of heart disease. (Also defined in Chapter 11.)

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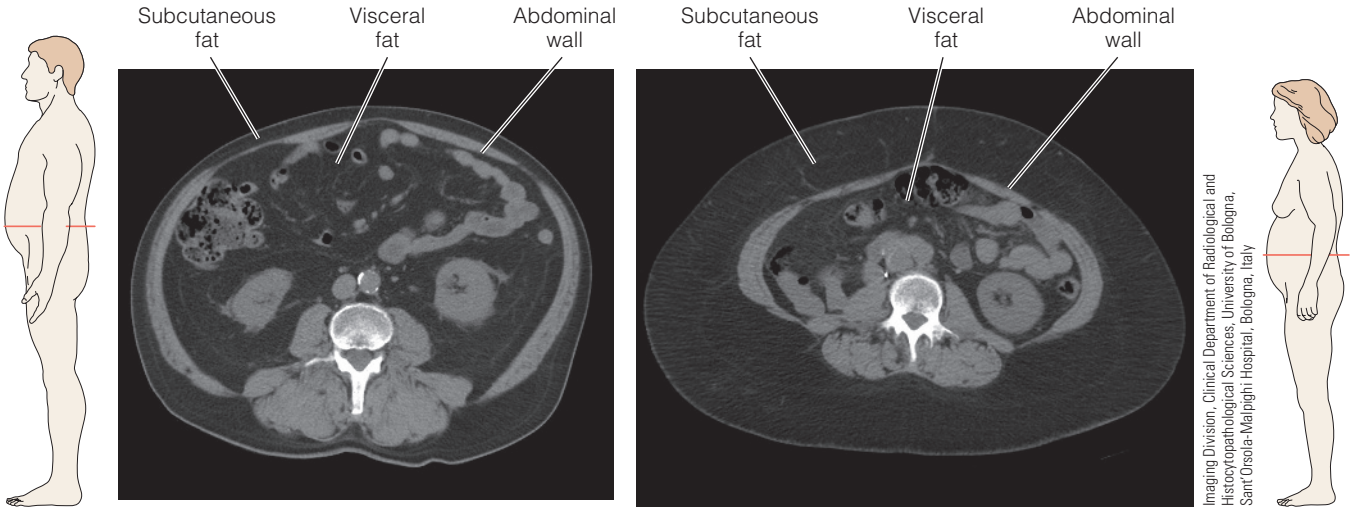
Figure 9–3

Visceral Fat and Subcutaneous Fat

These abdominal cross sections of man (left) and woman (right) were produced by CT scans. Adipose tissue appears darker gray; lean tissues are lighter; bone is bright white. Both people exhibit a similar degree of central obesity, but the man's girth is largely from visceral fat; the woman's excess fat is almost all subcutaneous.



Imaging Division, Clinical Department of Radiological and Histocytopathological Sciences, University of Bologna, Sant'Orsola-Malpighi Hospital, Bologna, Italy

Male: BMI 29

Female: BMI 32

How Fat Is Too Fat?

People want to know exactly how much body fat is too much. The answer is not the same for everyone, but scientists have developed guidelines.

Evaluating Risks from Obesity Experts commonly evaluate the health risks of obesity by way of two physical indicators (each is described more fully later on).[10] The first is a person's **body mass index (BMI)**, as characterized in Table 9–2. The BMI

Table 9–2

Indicators of an Urgent Need for Weight Loss

The greater the BMI and the more diseases and risk factors present, the greater the urgency to reduce body fat.

BMI

- BMI over 30 indicates a need for treatment.
- BMI of 25 to 29.9 plus more than one disease or risk factor, such as cardiovascular disease, diabetes, or high blood pressure (see below) indicates a need for treatment.
- BMI of 25 to 29.9 with no other risk factors indicates a need to stop gaining weight.

Waist Circumference

- Greater than 35 inches for women and 40 inches for men

Diseases and Risk Factors[a]

- Cardiovascular disease (CVD)
- Blood lipid profile that indicates CVD risk
- Type 2 diabetes or prediabetes
- Impaired glucose tolerance
- Hypertension

Source: American College of Cardiology/American Heart Association Task Force on Practice Guidelines and the Obesity Society, Executive summary: Guidelines (2013) for the management of overweight and obesity in adults, Obesity 22 (2014): S5–S39.

[a]*Chapter 11 lists medical testing standards for indicators of chronic disease risks.*

body mass index (BMI) an indicator of health risk from obesity or underweight in people older than 20 years, calculated by dividing the weight of a person by the square of the person's height.

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correlates significantly with adiposity and risk of death and diseases such as heart disease, stroke, diabetes, and nonalcoholic fatty liver disease. If you are wondering about your own BMI, you can find it on the BMI chart at the back of this book, p. E.

The second indicator is **waist circumference**, reflecting the degree of central obesity in proportion to total body fat. A person whose BMI ranks as overweight or moderately obese is likely to face additional heart disease and mortality risks if, for a woman, her waist circumference exceeds 35 inches (40 inches for a man). For those with greater obesity, waist circumference becomes less meaningful because their obesity alone imposes high risks.

Modifying Factors Health risks are modified by factors such as poor dietary habits, sedentary lifestyle, blood lipid profile, family history of obesity or heart disease, smoking, and use of medications that affect body weight. The more of these factors a person has and the greater the degree of obesity, the greater the urgency to control excess body fat.

Can A Person with Obesity Be Healthy? Some people with obesity seem to remain healthy and live long lives, whereas many others die young of chronic diseases. Medical experts debate the concept of "healthy obesity."[11] In obesity, having lower blood pressure without taking medication, a smaller waistline relative to hip size, and normal glucose tolerance may indicate metabolic health. A person with these traits may have a genetic tendency to store excess fat subcutaneously, protecting the liver and other critical organs. However, some experts warn that those who are healthy today may be silently developing chronic diseases that will emerge later on, particularly if excess fat collects in the abdomen.

Are All Healthy Weight People Healthy? About 20 percent of people whose weight falls within the healthy BMI range suffer from metabolic diseases, such as heart disease, insulin resistance, and hypertension.[12] For comparison, these conditions afflict over 50 percent and 75 percent of people in the overweight and obese ranges, respectively. Metabolically unhealthy but normal-weight individuals may have a genetic tendency to deposit fat in the abdomen and internal organs, or perhaps their diet and exercise patterns are subpar. Whatever the cause, yearly blood tests and other tests from a health-care provider can reveal metabolic problems before they become severe.

Social and Economic Costs of Obesity Although a few people with obesity may escape health problems, no one who is overweight in our society quite escapes the social and economic handicaps. To the detriment of many people, our society places enormous value on thinness, especially for women. People who are overweight with obesity are less sought after for romance, less often hired, and less often admitted to college. They pay higher insurance premiums and they pay more for clothing and transportation. Is it any wonder that Americans are spending over $70 billion each year in attempts to lose weight?

Prejudice defines people by their appearance rather than by their ability and character, and weight prejudice is widespread.[13] People with obesity suffer emotional pain when others treat them with insensitivity, hostility, and contempt, and they may internalize a sense of guilt and self-deprecation. Health-care professionals, even dietitians, can be among the offenders without realizing it. Society's barrage of body weight negativity, amplified on social media, can injure a person's self-image in ways that may contribute to more weight gain and obesity or to the development of an eating disorder (see the Controversy).[14] To free our society of its obsession with thinness and its weight prejudice, activists promote respect for individuals of all body weights.

Key Points

- BMI values mathematically correlate heights and weights with health risks.
- Health risks from obesity are reflected in BMI, waist circumference, and a disease risk profile.
- Health risks from excess visceral fat are greater than those from subcutaneous fat.
- People who are overweight face social and economic hardships and prejudice.

waist circumference a measurement of abdominal girth that indicates the degree of visceral fat.

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The Body's Energy Balance

LO 9.2 Explain the concept of energy balance and the factors associated with it.

What happens inside your body when you take in more or less food energy than you spend? Over time, you'll have an unbalanced energy budget—which, like a cash budget, accumulates excess savings (in the form of fat gain) or draws down reserves (fat loss). Moreover, if more food energy is stored than can be spent over days or weeks, fat continues to accumulate in the adipose tissue. In contrast, if less energy is consumed than the amount used up, then fat is lost from the adipose tissue. The daily energy balance can therefore be stated like this:

- Change in energy stores equals food energy taken in minus energy spent on metabolism and muscle activities.

More simply,

- Change in energy stores = energy in − energy out.

Too much or too little fat on the body today does not necessarily reflect today's energy budget. Small imbalances in the energy budget compound over time.

Energy in and Energy Out

The energy in foods and beverages is the only contributor to the "energy in" side of the energy balance equation. A classic approach to balancing the energy budget is to log the calorie amounts of the foods you eat every day, but, over time, this approach often proves too tedious to sustain. Instead, you can develop a pattern of daily food intakes and activities that, over months or years, proves to maintain a healthy body weight. Knowing a few calorie values can help you judge individual foods that make up your pattern. For example, an apple gives you 70 calories from carbohydrate; a regular-size candy bar gives you about 250 calories, mostly from fat and carbohydrate—a useful comparison when choosing a snack.

The Food Lists for Weight Management offer help in choosing foods; see Chapter 2, pp. 47–48, and Appendix D.

On the "energy out" side of the equation, no easy method exists for determining the energy an individual spends and therefore needs. In the past, it was said that for each 3,500 calories you expend in activity or eliminate from the diet, you lose one pound of body fat, but this was an oversimplification. A single number cannot accurately predict weight change in every individual because energy dynamics vary, both between individuals and within a single person at different phases of weight change. Estimating an individual person's need requires knowing something about the person's lifestyle and metabolism.

Monkey Business Images/Shutterstock.com

Balancing food energy intake with physical activity can add to life's enjoyment.

Key Points

- The "energy in" side of the body's energy budget is measured in calories taken in each day in the form of foods and beverages.
- No easy method exists for determining the "energy out" side of a person's energy balance equation.

How Many Calories Do I Need Each Day?

Simply put, you need to take in enough calories to cover your energy expenditure each day—your energy budget must balance. One way to estimate your energy need is to monitor your food intake and body weight over a period of time in which your activities are typical and are sufficient to maintain your health. If you keep an accurate record of all the foods and beverages you

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consume and if your weight is in a healthy range and has not changed during the past few months, you can conclude that your energy budget is balanced. Your average daily calorie intake is sufficient to meet your daily output—your need therefore is the same as your current intake. At least 3, and preferably 7, days, including a weekend day, of honest record-keeping are necessary because intakes and activities fluctuate from day to day.

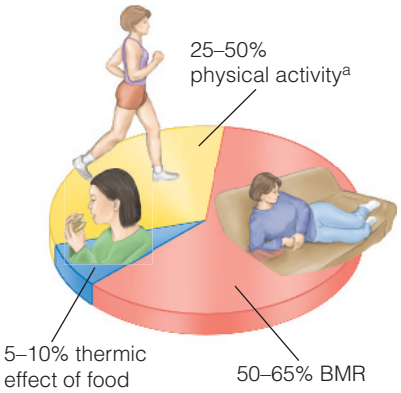
Energy Output An alternative method of determining energy need is based on energy output. The two major ways in which the body spends energy are (1) to fuel its **basal metabolism** and (2) to fuel its **voluntary activities**. Basal metabolism requires energy to support the body's work that goes on all the time without a person's conscious awareness. A third energy component, the body's metabolic response to food, or the **thermic effect of food**, uses up about 10 percent of a meal's energy value in stepped-up metabolism in the 5 or so hours after finishing a meal. This amount is believed to exert negligible effects on total energy expenditure.

Basal metabolism consumes a surprisingly large amount of fuel, and the **basal metabolic rate (BMR)** varies from person to person (see Figure 9–4). Depending on activity level, a person whose total energy need is 2,000 calories a day may spend as many as 1,000 to 1,600 of them to support basal metabolism. The iodine-dependent hormone thyroxine directly controls basal metabolism—the more secreted, the greater the energy spent on basal functions. The rate is lowest during sleep.* Many other factors also affect the BMR (see Table 9–3).

Figure 9–4

Components of Energy Expenditure

Typically, basal metabolism represents a person's largest expenditure of energy, followed by physical activity and the thermic effect of food.



[a]*For a sedentary person, physical activities may account for less than half as much energy as basal metabolism, whereas a very active person's activities may equal the energy cost of basal metabolism.*

Table 9–3

Factors that Affect the BMR

Factor	Effect on BMR
Age	BMR is higher in youth; as lean body mass declines with age, BMR slows. Physical activity may prevent some of this decline.
Height	Tall people have a larger surface area, so their BMRs are higher.
Growth	Children and pregnant women have higher BMRs.
Body composition	The more lean tissue, the higher the BMR. A typical man has greater lean body mass than a typical woman, making his BMR higher.
Fever	Fever raises BMR.
Stress	Stress hormones raise BMR.
Environmental temperature	Adjusting to either heat or cold raises BMR.
Fasting/starvation	Fasting/starvation hormones lower BMR.
Malnutrition	Malnutrition lowers BMR.
Thyroxine	The thyroid hormone thyroxine is a key BMR regulator; the more thyroxine produced, the higher the BMR.

basal metabolism the sum total of all the involuntary activities that are necessary to sustain life, including circulation, respiration, temperature maintenance, hormone secretion, nerve activity, and new tissue synthesis, but excluding digestion and voluntary activities. Basal metabolism is the largest component of the average person's daily energy expenditure.

voluntary activities intentional activities (such as walking, sitting, or running) conducted by voluntary muscles.

thermic effect of food the body's speeded-up metabolism in response to having eaten a meal; also called *diet-induced thermogenesis*.

basal metabolic rate (BMR) the rate at which the body uses energy to support its basal metabolism.

*A measure of energy output taken while the person is awake but relaxed yields a slightly higher number called the *resting metabolic rate*, sometimes used in research.

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Can I Modify My Energy Output? People often wonder whether they can speed up their metabolism to spend more daily energy. The answer is both "no" and "yes." You cannot increase your BMR very much *today*. You can, however, amplify the second component of your energy expenditure—your voluntary activities. If you do, you will spend more calories today, and if you keep doing so day after day, your BMR may also increase somewhat as you increase your **lean body mass** because lean tissue is more metabolically active than fat tissue. Energy spent on voluntary activities depends largely on three factors: weight, time, and intensity. The heavier the weight of the body parts you move, the longer the time you invest in moving them, and the greater the intensity of the work, the more calories you will expend.

Be aware that some ads for weight-loss diets claim that certain substances, such as grapefruit or herbs, can elevate the BMR and thus promote weight loss. This claim is false. Any meal temporarily steps up energy expenditure due to the thermic effect of food. Grapefruit and herbs do not accelerate it further.

Key Points

- Two major components of energy expenditure are basal metabolism and voluntary activities.
- A third component of energy expenditure is the thermic effect of food.
- Many factors influence the basal metabolic rate.

Do the Math:
Calculate basal energy output.

To estimate basal energy output:

- Male: kg body weight × 24 = cal/day
- Female: kg body weight × 23 = cal/day

(To convert pounds to kilograms [kg], divide pounds by 2.2.)

Calculate the basal energy output (cal/day) of a man weighing 220 pounds.

Estimated Energy Requirements (EER)

A person wishing to know how much energy he or she needs in a day to maintain weight might look up his or her **Estimated Energy Requirement (EER)** value listed in the DRI table at the back of this book, p. A. The numbers listed there seem to imply that for each sex and age group, the number of calories needed to meet the daily requirement is known as precisely as, say, the recommended intake for vitamin A. The printed EER values, however, reflect the average needs of only those people who exactly match the BMI, height, weight, and sex specified in the DRI table. People who deviate in any way from these characteristics must use other methods for determining their energy needs, and almost everyone deviates.

Taller people need proportionately more energy than shorter people to balance their energy budgets because their greater surface area allows more energy to escape as heat. Older people generally need less than young people due to slowed metabolism and reduced muscle mass, which occur in part because of reduced physical activity. As Chapter 14 points out, these losses may not be inevitable for people who stay active. On average, though, energy need diminishes by 5 percent per decade beyond the age of 30 years.

In reality, no one is average. In any group of 20 similar people with similar activity levels, one may expend twice as much energy per day as another. A 60-year-old person who bikes, swims, or walks briskly each day may need as many calories as a sedentary person of 30. Clearly, with such a wide range of variation, a necessary step in determining any person's energy need is to study that particular person.

Key Point

- The DRI committee sets Estimated Energy Requirements for sex and age groups, but individual energy needs vary greatly.

Do the Math:
Establish your range of energy need.

Estimate your energy need using this quick and easy method:

- First, look up the EER listed for your sex and age group (back of the book, p. A).
- Then establish a range of energy needs. For most people, the energy requirement falls within these ranges:

  (Men) EER ± 200 cal

  (Women) EER ± 160 cal

Example: A 23-year-old active male's EER is listed as 3,067 cal.

3,067 + 200 = 3,267

3,067 − 200 = 2,867

His energy need ranges from 2,867 to 3,267. What is your range?

How Can I Calculate My EER Range?

The DRI committee provides a way of estimating EER values for individuals. These calculations take into account the ways in which energy is spent and by whom. The equation includes:

- *Age*. The BMR declines with age, so age helps determine EER values.
- *Female or male sex*. Females generally have less lean body mass than males; in addition, female hormone fluctuations influence BMR, raising it just prior to menstruation.

lean body mass the weight of the body's lean tissues; body weight, minus fat tissue.

Estimated Energy Requirement (EER) the DRI value for average dietary energy intake in a healthy adult of a certain age, gender, weight, height, and level of physical activity that is predicted to maintain an energy balance consistent with good health. Also defined in Chapter 2.

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- *Body size and weight*. The higher BMR of taller and heavier people calls for height and weight to be factored in when estimating a person's EER.
- *Physical activity*. To help in estimating the energy spent on physical activity each day, activities are grouped according to their typical intensity (see Appendix H).
- *Growth*. BMR is high in people who are growing, so pregnant women and children have their own sets of energy equations.

Do the Math features in the margin offer a way to approximate your own range of energy requirements.

Key Point

- The DRI committee determines an individual's approximate energy requirement by taking into account influences on energy expenditure.

Body Weight vs. Body Composition

LO 9.3 Contrast body weight with body composition.

For most people, weighing on a scale provides a convenient way to monitor gains or losses of body fat, but researchers and health-care providers must rely on more accurate assessments. This section describes some details about applying the preferred methods to assess overweight and underweight.

Using the Body Mass Index (BMI)

No one can tell you exactly how much you should weigh, but with health as a value, you have a starting framework in the BMI table (back of the book, p. E). Your weight should fall within the range that best supports your health. Unhealthy underweight for adults is defined as a BMI of less than 18.5, overweight as a BMI of 25.0 through 29.9, and obesity as a BMI of 30 or more. A formula for determining your BMI is given in the margin.

Problems with the BMI BMI values have two major drawbacks: they fail to indicate how much of a person's weight is fat and where that fat is located. These drawbacks limit the value of the BMI for use with:

- Athletes (because their highly developed musculature falsely increases their BMI values).
- Pregnant and lactating females (because their increased weight is normal during child bearing).
- Adults older than age 65 (because BMI values are based on data collected from younger people and because people "grow shorter" with age).
- Females older than age 50 and others with too little muscle tissue (they may be overly fat for health yet still fall into the normal BMI range).[15]

The bodybuilder in Figure 9–5 proves this point: with a BMI over 25, he would be classified as overweight by BMI standards alone. However, a clinician would find that his percentage of body fat is well below average and his waist circumference is within a healthy range. For any given BMI value, body fat content can vary widely.

Race, Ethnicity, and BMI Among some racial and ethnic groups, BMI values may falsely identify overweight and obesity. African American people of all ages may have more lean tissue per pound of body weight than Asians or Caucasians, for example. Thus, a diagnosis of obesity or overweight requires a BMI value *plus* some measure of body composition and fat distribution. There is no easy way to look inside a living person to measure bones and muscles, but several indirect measures can provide an approximation.

Do the Math:
Calculate your BMI.

To determine your BMI:

- In pounds and inches

$$\text{BMI} = \frac{\text{weight (lb)}}{(\text{height in in.})^2} \times 703$$

- In kilograms and meters

$$\text{BMI} = \frac{\text{weight (kg)}}{(\text{height in m})^2}$$

Using either pounds or kilograms, determine your own BMI value.

Figure 9–5
An Athlete's BMI Example

At 6'1" tall and 190 lbs., is this athlete too fat for health, as the BMI chart indicates? No. Measurements of body composition and fat distribution reveal that his body fat content is only 7% and his health risks are below average.

iStock.com/Hadel Productions

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Key Points

- BMI values can help identify a weight range that supports health.
- The BMI concept is flawed for certain groups of people.
- BMI values do not indicate how much of a person's weight is fat or its location on the body.

Measuring Body Composition and Fat Distribution

A person who stands about 5 feet 10 inches tall and weighs 150 pounds carries about 30 of those pounds as fat. The rest is mostly water and lean tissues: muscles; organs such as the heart, brain, and liver; and the bones of the skeleton (see Figure 9–6). This lean tissue is vital to health. The person who seeks to lose weight wants to lose mostly fat, and to conserve this precious lean tissue. And for someone who wants to gain weight, it is desirable to gain lean and fat in proportion, not just fat.

As mentioned, waist circumference indicates central adiposity and often reflects visceral fat. The center panel of Figure 9–7 demonstrates how waist circumference is measured. Health professionals often use both BMI and waist circumference to assess a person's health risks, and they monitor changes over time.

Researchers needing more precise measures of body composition may choose to perform a **skinfold test**, shown in the left-most panel of Figure 9–7. Body fat distribution can be determined by radiographic techniques, such as **dual-energy X-ray absorptiometry**.[16] Mastering any of these techniques requires proper instruction and practice to ensure reliability. Each method has advantages and disadvantages with respect to cost, technical difficulty, and precision of estimating body fat.

Key Points

- Central adiposity can be assessed by measuring waist circumference.
- The percentage of fat in a person's body can be estimated by using skinfold measurements.
- Body fat distribution can be revealed by radiographic techniques.

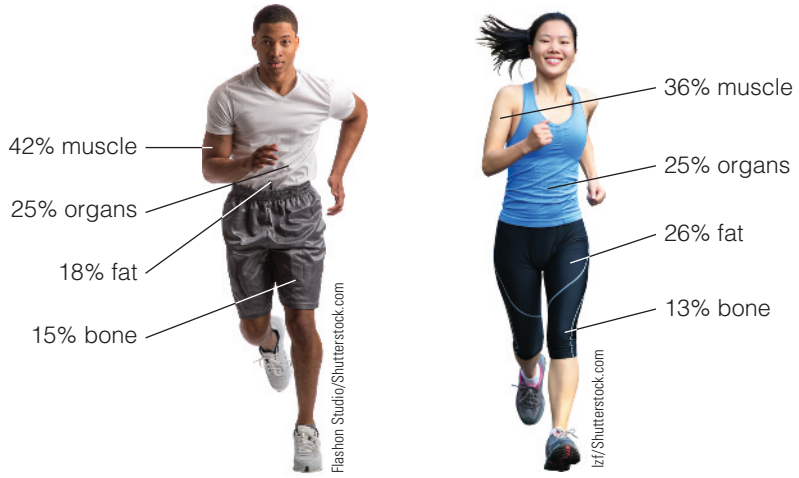
Figure 9–6

Body Composition

Body fat percentages for people age 20 to 40 years old in the Healthy Weight BMI range:

- Male: 18–21%
- Female: 23–26%

Most people in the United States greatly exceed these ranges.



skinfold test measurement of the thickness of a fold of skin and subcutaneous fat on the back of the arm (over the triceps muscle), below the shoulder blade (subscapular), or in other places, using a caliper; also called *fatfold test*.

dual-energy X-ray absorptiometry (ab-sorp-tee-OM-eh-tree) a method of determining total body fat, fat distribution, and bone density by passing two low-dose X-ray beams through the body. Also used in evaluation of osteoporosis. Abbreviated DEXA.

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Figure 9–7

Three Common Methods to Assess Body Fat

© Fitness & Wellness, Boise, Idaho

Skinfold measures. *Body fat is measured by using a caliper to gauge the thickness of a fold of skin on the back of the arm (over the triceps), below the shoulder blade (subscapular), and in other places (including lower-body sites) and then comparing these measurements with standards.*

Adam Gault/SPL/Getty Images

Waist circumference. *Central obesity is measured by placing a nonstretchable measuring tape around the waist just above the bony crest of the hip. The tape is snug but does not compress the skin.*

Courtesy of Hologic, Inc.

Dual-energy X-ray absorptiometry (DEXA). *Two low-dose X-rays differentiate among fat-free soft tissue (lean body mass), fat tissue, and bone tissue, providing a measurement of total fat and its distribution in all but severely obese subjects.*

How Much Body Fat Is Ideal?

After you have an estimate of degree of body fat, the question arises: What is the "ideal" amount of fat for a body to have? This prompts another question: Ideal for what? If the answer is "society's perfect body shape," be aware that fashion is fickle and today's popular body shapes are not achievable by most people.

If the answer is "health," then the ideal depends partly on your lifestyle and stage of life. For example, competitive endurance athletes need just enough body fat to provide fuel, insulate the body, and permit normal hormone activity but not so much as to weigh them down. An Alaskan fisherman, in contrast, needs a blanket of extra fat to insulate against the cold. For a woman starting pregnancy, the outcome may be compromised if she begins with too much or too little body fat (see Chapter 13).

Much remains to be learned about individual requirements for body fat. How body fat accumulates and how it is controlled are the topics of the next sections.

Key Point

- No single body composition or weight suits everyone; needs vary by sex, lifestyle, and stage of life.

The Appetite and Its Regulation

LO 9.4 Identify factors that contribute to increased appetite and deceased appetite.

When you grab a snack or eat a meal, you may be aware that your conscious mind is choosing to eat something. However, the choice of when and how much to eat may not be as free as you think—deeper forces of physiology are at work.

Seeking and eating sufficient food are matters of life and death, so the body's appetite-regulating systems are skewed in favor of food consumption. **Hunger** demands food, but the signals that oppose food consumption—that is, signals for **satiation** and

hunger the physiological need to eat, experienced as a drive for obtaining food; an unpleasant sensation that demands relief.

satiation (SAY-she-AY-shun) the perception of fullness that builds throughout a meal, eventually reaching the degree of fullness and satisfaction that halts eating. Satiation generally determines how much food is consumed at one sitting.

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satiety—are weaker and more easily overruled. Many signaling molecules, including hormones, help to regulate food intake; the following sections name just a few.

Hunger and Appetite—"Go" Signals

The brain and digestive tract communicate about the need for food and food sufficiency. Their means of communication, hormones and sensory nerve signals, fall roughly into two broad functional categories: "go" mechanisms that stimulate eating and "stop" mechanisms that suppress it. One view of the whole complex process of food intake regulation is summarized in Figure 9–8.

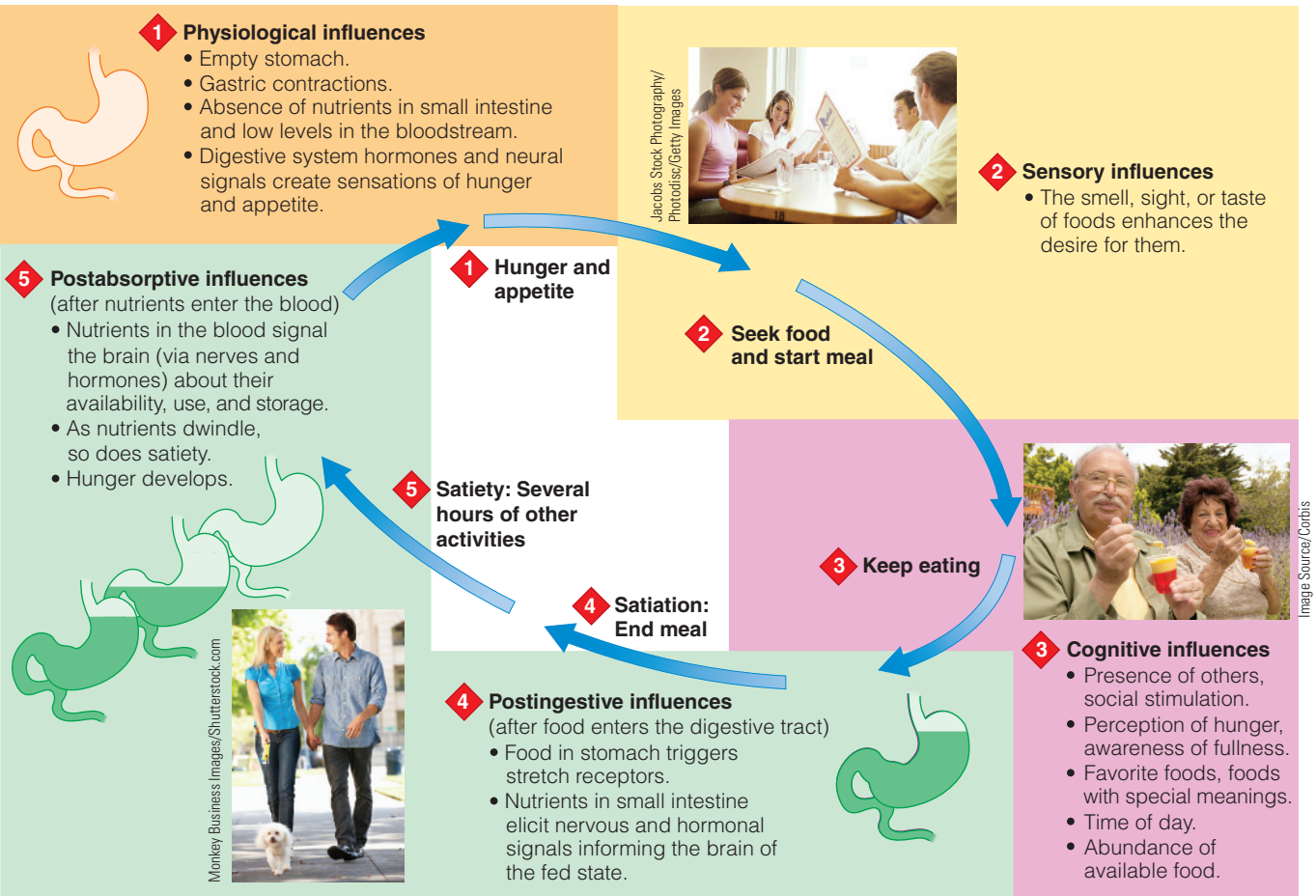
Hunger Most people recognize hunger as a strong, unpleasant sensation, the response to a physiological need for food. Hunger makes itself known roughly four to six hours after eating, after the food has left the stomach and much of the nutrient mixture has been absorbed by the intestine. The physical contractions of an empty stomach trigger hunger signals, as do chemical messengers acting on or originating in the brain's hypothalamus (illustrated in Chapter 3). The hypothalamus has been described as a sort of central hub for energy and body weight regulation, and it can sense molecules representing all three of the energy nutrients.[17]

satiety (sah-TIE-eh-tee) the perception of fullness that lingers in the hours after a meal and inhibits eating until the next mealtime. Satiety generally determines the length of time between meals.

Figure 9–8

Hunger, Appetite, Satiation, and Satiety

Many factors work together to influence eating decisions, but the brain can override physiological signals, particularly satiety signals.



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The hormone **ghrelin** is a powerful hunger stimulant that opposes weight loss. Ghrelin is secreted by stomach cells but works in the hypothalamus and other brain tissues to stimulate **appetite** and increase body weight and body fat. Ghrelin may also help regulate other diverse body functions, such as blood glucose, inflammation, and sleep.[18] Ghrelin also influences sleep, and a lack of sleep causes an increase in blood ghrelin. This may help explain why too little sleep, a heightened desire for high-calorie foods, and weight gain often occur together.[19]

Ghrelin is just one of many hunger-regulating messengers that informs the brain of the need for food. In fact, the brain itself produces a number of molecular messengers involved in hunger regulation.* Panels 1 and 2 of Figure 9–8 review factors that influence hunger and appetite.

Appetite A person can experience appetite without hunger. For example, the aroma of hot apple pie or the sight of a chocolate fudge brownie after a big meal can trigger a chemical stimulation of the brain's pleasure centers, thereby creating a desire for dessert despite an already full stomach. Here is the answer to the question at the start of the chapter: "Why do you feel tempted by a favorite treat when you don't feel hungry?" Your brain chemistry responds to cues, such as sight or aroma, about the availability of delicious foods. In contrast, a person who is ill or under sudden stress may physically need food but have no appetite. Other factors affecting appetite include:

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- Appetite stimulants or depressants, other medical drugs.
- Cultural habits (cultural or religious acceptability of foods).
- Environmental conditions (people often prefer hot foods in cold weather and vice versa).
- Hormones (for example, sex hormones).
- Inborn appetites (inborn preferences for fatty, salty, and sweet tastes).
- Learned preferences (cravings for favorite foods, aversion to trying new foods, and eating according to the clock).
- Social interactions (companionship, peer influences).
- Some disease states (obesity may be associated with increased taste sensitivity, whereas colds, flu, and others, as well as zinc deficiency reduce taste sensitivity and appetite).

Clearly, appetite regulation is complex and responds to many influences beyond a physical need for food.

Key Points

- Hunger outweighs satiety in the appetite control system.
- Hunger is a physiologic response to an absence of food in the digestive tract.
- The stomach hormone ghrelin is one of many contributors to feelings of hunger.
- Appetite can occur without hunger.

Satiation and Satiety—"Stop" Signals

To balance energy intake with energy output, eating behaviors must be counterbalanced with periods of fasting between meals. Being able to eat periodically, store fuel, and then use up that fuel between meals confers a great advantage on people. Relieved of the need to constantly seek food, human beings are free to dance, study, converse, wonder, fall in love, and concentrate on endeavors other than eating.

ghrelin (GREL-in) a hormone released by the stomach that signals the brain's hypothalamus and other regions to stimulate eating.

appetite the psychological desire to eat; a learned motivation and a positive sensation that accompanies the sight, smell, or thought of appealing foods.

*One example is neuropeptide Y.

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The between-meal interval is normally about 4 to 6 waking hours—about the length of time the body takes to use up most of the readily available fuel—or 12 to 18 hours at night, when body systems slow down and the need is less. As is true for the "go" signals that stimulate food intake, a series of hormones and sensory nerve messages along with products of nutrient metabolism send "stop" signals to suppress eating.

Satiation At some point during a meal, the brain receives signals that enough food has been eaten. The resulting satiation diminishes the person's interest in continuing to eat and limits the size of the meal (consult Figure 9–8 again, panel 4). Satiation arises from many organs:

- Sensations of pleasure and satisfaction in the mouth diminish with repeated exposure to a particular texture or taste during a meal.[20]
- Nerve stretch receptors in the stomach sense the stomach's distention with a meal and fire, sending a signal to the brain that the stomach is full.
- As nutrients enter the small intestine, they stimulate other receptor nerves and trigger the release of hormones signaling the hypothalamus about the size and nature of the meal.
- The brain also detects absorbed nutrients delivered by the bloodstream, and it responds by releasing neurotransmitters that suppress food intake.

Together, mouth sensations, stomach distention, and the presence of nutrients trigger nervous and hormonal signals to inform the brain that a meal has been consumed. Satiation occurs; the eater feels full and stops eating.

Did My Stomach Shrink? Changes in food intake cause prompt adaptations in the body. A person who suddenly begins eating smaller meals may feel extra hungry for a few days, but then hunger may diminish for a time. During this period, a large meal may make the person feel uncomfortably full, partly because the stomach's capacity has adapted to a smaller quantity of food. A dieter may report "My stomach has shrunk," but the stomach has simply adjusted to smaller meals. At some point during food deprivation, hunger returns with a vengeance and can lead to bouts of extensive overeating.

Just as quickly, the stomach's capacity can adapt to larger meals until moderate portions no longer satisfy. This observation may partly explain the increasing U.S. calorie intakes: popular demand and food industry marketing have led to larger and larger food portions, while stomachs across the nation have adapted to accommodate them.

Satiety After a meal, the feeling of satiety continues to suppress hunger over a period of hours, regulating the interval between meals. Hormones, nervous signals, and the brain work in harmony to sustain feelings of fullness. At some later point, signals from the digestive tract once again sound the alert that more food is needed.

Leptin, one of the adipokine hormones, is produced by adipose tissue in direct proportion to body fat content.* A gain in body fat stimulates leptin production. Leptin travels from the adipose tissue via the bloodstream to the brain's hypothalamus, where it triggers signals that suppress appetite, dampen sensitivity to sweet taste, and increase energy expenditures, factors that shift the body toward fat loss.[21] A loss of body fat, in turn, brings the opposite effects—suppression of leptin production, increased appetite, reduced energy expenditure, and accumulation of fat. Leptin operates on a feedback mechanism—the fat tissue that produces leptin is ultimately controlled by it.

In a rare form of human obesity arising from an inherited inability to produce leptin, giving leptin injections quickly reverses both obesity and insulin resistance.

leptin an appetite-suppressing hormone produced in the fat cells that conveys information about body fat content to the brain; believed to be involved in the maintenance of body composition (*leptos* means "slender").

*Leptin is also produced in the stomach, where it helps to regulate digestion and contributes to satiation.

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Figure 9–9 depicts a mouse model of this condition. More commonly, people with ordinary obesity produce plenty of leptin but are resistant to its effects, and giving more leptin does not reverse their obesity.

Energy Nutrients and Satiety The composition of a meal seems to affect satiation and satiety, but the relationships are complex. Of the three energy-yielding nutrients, protein generally has the greatest satiating effect during a meal. Therefore, including some protein in a meal—even just a handful of nuts—can improve satiation.

Many carbohydrate-rich foods, notably those providing slowly digestible carbohydrate and some fiber, also contribute to satiation and satiety.[22] Between meals, these foods tend to hold insulin steady, minimizing dips in blood glucose that prompt eating.

Finally, fat, famous for triggering a hormone that contributes to long-term satiety, goes almost unnoticed by the appetite control system during consumption of a meal.[23] This makes fat the least satiating among energy nutrients. As dieters await news of dietary tactics against hunger, researchers have not yet identified any one food, nutrient, or attribute—not even protein—that is especially effective for weight loss and its maintenance.

Figure 9–9
Effects of Leptin

Both of these mice have a genetic variation that prevents normal leptin production. Both became obese, but the mouse on the right received daily injections of leptin, which reduced both food intake and body fatness.

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Key Points

- Satiation ends a meal when pleasure diminishes and various signals inform the brain that enough food has been eaten.
- Satiety postpones eating until the next meal.
- The adipokine leptin suppresses the appetite, thus helping to control body fat.
- Protein, carbohydrate, and fat play roles in satiation and satiety.

Inside-the-Body Theories of Obesity

LO 9.5 Summarize the current inside-the-body theories of obesity.

The "energy in-energy out" body weight equation does not fully explain why some people gain too much body fat and others stay lean. When given a constant number of excess calories over a period of weeks or months, some people gain many pounds of body fat, but other people gain far fewer. Those who gain weight seem to use every calorie with great efficiency, whereas others may expend calories more freely, suggesting differences at a metabolic level. And when discussions turn to metabolic theories, topics in genetics follow closely behind.

Set-Point Theory The **set-point theory** of obesity holds that, to a degree, the body may reset its metabolism to counteract fluctuations in body fat. This may also explain why some people so easily regain lost weight, while some others maintain their losses over time. Many debates surround the set-point theory of weight regulation.[24]

Thermogenesis Some people tend to expend more energy in metabolism than do others. The body's working enzymes normally "waste" a small percentage of energy as heat in a process called **thermogenesis**. Certain enzymes expend copious energy in thermogenesis, producing heat but performing no other useful work. As more heat is radiated away from the body, more calories are spent, and fewer calories are available to be stored as body fat.

One tissue extraordinarily gifted in thermogenesis is **brown adipose tissue (BAT)**, a well-known heat-generating tissue of animals and human infants that that expends energy liberally.[25] Intriguingly, muscular work or even shivering from cold exposure

set-point theory a theory stating that the body's regulatory controls tend to maintain a particular body weight (the set point) over time, counteracting efforts to lose weight by dieting.

thermogenesis the generation and release of body heat associated with the breakdown of body fuels. *Adaptive thermogenesis* describes adjustments in energy expenditure related to changes in environment such as cold and to physiological events such as underfeeding or trauma.

brown adipose tissue (BAT) a type of adipose tissue abundant in hibernating animals and human infants and recently identified in human adults. Abundant pigmented enzymes of energy metabolism give BAT a dark appearance under a microscope; the enzymes release heat from fuels without accomplishing other work. Also called *brown fat*.

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appear to trigger a normally dormant type of adipose cell to act more like BAT metabolically, but the significance of this finding to weight management is unknown.*

Is it wise, then, to try to step up thermogenesis to assist in weight loss? Probably not. At a level not far above normal, energy-wasting activity is lethal to cells. Sham "metabolic" diet products may claim to increase thermogenesis, but no tricks of metabolism can produce effortless fat loss.

The intestinal bacteria were first described in **Chapter 3,** p. 81.

Intestinal Microbiome Particular strains of bacteria commonly populate the intestinal tract of people who maintain a healthy body weight, while other strains more often occur with obesity.[26] Questions of how and whether the microbiome might affect body weight are under study, but research in this area is tricky because the microbiome is complex and bacterial colonies fluctuate swiftly with dietary changes. Until more is known, you can foster a healthy microbiome by consuming the fiber-rich whole foods that support species of bacteria associated with leanness and health (see Chapter 4).

Genetics and Obesity Is obesity genetic? It stands to reason that it might at least be influenced by genes, because genes carry the instructions for making enzymes, and enzymes control energy metabolism. "I'm fat because my father is fat," says one person, and another agrees: "Everyone in my family is fat." Data from family histories reveal that obesity often persists for generations. For someone with at least one obese parent, the chance of becoming obese is estimated to fall between 30 and 70 percent.

Geneticists have identified more than 300 genes likely to play roles in obesity development.[27] For example, one genetic disorder afflicting a small percentage of people produces excessive appetite and severe obesity, but this is rare; common obesity does not arise from a single gene.† Genetic differences do not account for the great majority of human obesity. Today, researchers are focusing beyond the genes to molecules that modify DNA activity—the **epigenome**. When critical changes occur in the epigenome before birth, they may have a lifelong impact on body weight and health. (Controversy 13, p. 512 has more on the epigenome.)

The environment plays a role in obesity development, of course—and in its prevention. Even in someone whose genetic or epigenetic makeup favors obesity, the condition develops only if the environment makes abundant fattening foods available. A person's behavior also influences the outcome: a wisely chosen eating and exercise plan can often help to minimize or prevent the condition.

Key Points

- Metabolic theories attempt to explain how molecular activities may lead to obesity.
- Research suggests a relationship between intestinal microbial colonies and obesity.
- A person's genetic inheritance can influence body weight tendencies but does not guarantee the development of obesity.
- Epigenetic changes before birth may alter lifelong obesity susceptibility.

Outside-the-Body Theories of Obesity

LO 9.6 Summarize the current outside-the-body theories of obesity.

Food is a source of pleasure, and pleasure drives behavior. Being creatures of free will, people can easily override satiety signals and eat whenever they wish, especially when tempted with a variety of delicious treats or large servings.[28] People also value physical

epigenome (ep-ih-GEE-nohm) a collection of molecules associated with chromosomes that modulate protein replication at the level of the genes. *Epi* is a Greek prefix, meaning "above" or "on." (Also defined in Controversy 13, p. 512.)

*The activated adipose cells are called *beige* or *brite cells*.

†The genetic condition is Prader-Willi syndrome, characterized by massive obesity, short stature, and, often, mental disabilities.

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ease and seek out labor-savers, such as automobiles and elevators. Over past decades, the abundance of palatable food has increased enormously, while the daily demand for physical activity for survival has all but disappeared.

Environmental Cues to Overeating Here's a common experience: a person walks into a food store feeling not particularly hungry but, after viewing an array of goodies, walks out snacking on a favorite treat. Even rats, which precisely maintain body weights when fed standard chow, overeat and rapidly become obese when fed "cafeteria style" on a variety of rich, palatable foods. When offered a delicious smorgasbord, people do likewise, often without awareness. Like the rats, they respond to external cues. With around-the-clock access to rich, palatable foods, we eat more and more often than in decades past—and energy intakes have risen accordingly.

Overeating also accompanies complex human sensations such as loneliness, yearning, craving, addiction, and compulsion. Any kind of prolonged stress may also cause overeating and weight gain.[29] ("What do I do when I'm worried? Eat. What do I do when I'm concentrating? Eat!").

People may also overeat in response to large portions of food. In a classic study, moviegoers ate proportionately more popcorn from large buckets than from small bags. In a wry twist, researchers dispensed large and small containers of 14-day-old popcorn to moviegoers who, despite complaining of the staleness, still ate more popcorn from the larger containers. The effect of the container size was greater than the effect of stale taste on the quantity consumed.

Perception of the size of a previous meal may also influence food intake. Researchers fed study subjects an identical three-egg omelet every day, but told them that the size varied: two eggs on some days and four eggs on others.[30] Each day, they later presented a buffet lunch and measured the food consumed. On "two-egg" days, subjects ate significantly more food from the buffet than on "four-egg" days, even though, in reality, the breakfasts were identical. Clearly, hunger is not the only factor driving people's food choices.

Is Our Food Supply Addictive? People often equate overeating with an addiction, particularly sugar addiction. Right away, it should be said that foods, even highly

Think Fitness — Activity for a Healthy Body Weight

Some people believe that physical activity must be long and arduous to produce benefits, such as improved body composition. Not so. A brisk, 30-minute walk on most days each week can help significantly. To achieve an "active lifestyle" by walking requires an hour a day. Even in increments of a few minutes throughout the day, exercise can measurably improve fitness.

According to the American College of Sports Medicine,

- 150 to 250 minutes per week of physical activity of moderate intensity can help prevent initial weight gain.
- More than 250 minutes per week, particularly when combined with a lower calorie intake, promotes weight loss and may help prevent regain after loss.
- Both aerobic (endurance) and muscle-strengthening (resistance) activities are beneficial, but most people must also restrict calorie intakes to achieve meaningful weight loss.

A useful strategy is to augment your planned workouts with bits of physical activity throughout the day. Work in the garden; work your abdominal muscles while you stand in line; stand up straight; walk up stairs; fidget or tighten your buttocks while sitting in your chair. Chapter 10 provides many more details.

Start now! If you are healthy, but not currently exercising, try this: add a few minutes of daily walking, dancing, biking, etc. to your daily routine for a week, and then assess how you feel. Did the activity become easier with time? Did you feel mentally refreshed afterward? (Most people do.) When you are ready, try extending your activity or speeding up your pace a bit. Then, add some easy stretches and a few strength exercises, such as lifting small weights. Some benefits will be immediately apparent, but others build over time. Chapter 10 lists many of the benefits you can expect to occur.

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Table 9–4

Energy Spent in Activities

To determine the calorie cost of an activity, multiply the number listed by your weight in pounds. Then multiply by the number of minutes spent performing the activity.

Example: Jessica (125 lb) rode a bike at 17 mph for 25 min:

$0.057 \times 125 = 7.125$

$7.125 \times 25 = 178.125$

(about 180 calories)

Activity	Cal/lb Body Weight/min
Aerobic dance (vigorous)	0.062
Basketball (vigorous, full court)	0.097
Bicycling	
13 mph	0.045
15 mph	0.049
17 mph	0.057
19 mph	0.076
21 mph	0.090
23 mph	0.109
25 mph	0.139
Canoeing (flat water, moderate pace)	0.045
Cross-country skiing	
8 mph	0.104
Exergaming (video sports games)	
bowling	0.021
boxing	0.021
tennis	0.022
Golf (carrying clubs)	0.045
Handball	0.078
Horseback riding (trot)	0.052
Rowing (vigorous)	0.097
Running	
5 mph	0.061
6 mph	0.074
7.5 mph	0.094
9 mph	0.103
10 mph	0.114
11 mph	0.131
Soccer (vigorous)	0.097
Studying	0.011
Swimming	
20 yd/min	0.032
45 yd/min	0.058
50 yd/min	0.070
Table tennis (skilled)	0.045
Tennis (beginner)	0.032
Walking (brisk pace)	
3.5 mph	0.035
4.5 mph	0.048
Weight lifting	
light-to-moderate effort	0.024
vigorous effort	0.048
Wheelchair basketball	0.084
Wheeling self in wheelchair	0.030

palatable sweet foods, are not comparable to psychoactive drugs in most respects. Yet evidence supports certain similarities between the brain's chemical responses to both.[31] Pleasure-evoking experiences of all kinds cause brain cells to release the neurotransmitter **dopamine**, which stimulates the reward areas of the brain. The result is feelings of pleasure and desire that create a motivation to repeat the experience.

Taking the idea further, it is plausible that our highly palatable, fat- and sugar-rich food supply could change the brain's reward system and make overeating and weight gain likely. It happens reliably in the brains of rats fed on a changing variety of cookies, cheese, sugar, and other tasty items, and authorities debate whether this effect may occur in people, too.[32]

Other explanations exist. It may be that consciously restricting intakes of delicious foods increases the desire for them. It may also be that some people are more inclined to "throw caution to the wind" and indulge in treats whenever the opportunity arises. Future research must untangle these threads before the truth can be known.

Physical Inactivity Some people may be obese not because they eat too much but because they move too little—both in purposeful exercise and in the activities of daily life. Sedentary **screen time** has all but replaced outdoor play for many people. This is a concern because the more time people spend in sedentary activities, the more likely they are to be overweight—and to incur the metabolic risk factors of heart disease (high blood lipids, high blood pressure, and high blood glucose). Table 9–4 lists the energy costs of some activities and the Think Fitness feature offers perspective on physical activity in weight management.

Can Your Neighborhood Make You Fat? Experts urge people to "take the stairs instead of the elevator" or "walk or bike to work." These strategies help to expend energy: climbing stairs provides an impromptu workout, and people who walk or ride their bicycles for transportation most often meet their needs for physical activity. Many people, however, encounter barriers in their **built environment** that prevent such choices.

Few people would choose to walk or bike on a roadway where there is no safe sidewalk or marked bicycle lane, where vehicles speed closely by, or where the air is laden with toxic carbon monoxide gas and other pollutants from gasoline engines.* Few would choose to walk up flights of stairs in an inconvenient, stuffy, isolated, and unsafe stairwell, typical of modern buildings. In contrast, people living in attractive, affordable neighborhoods with safe biking and walking lanes, public parks, and freely available exercise facilities use them often—their surroundings encourage physical activity.

In addition, residents of lower-income urban or rural areas known as **food deserts** lack access to even one neighborhood grocery store. Often carless, these people must travel for miles by bus, hire a car, or ride with friends to shop for fresh, affordable foods. Nearby convenience stores and fast-food places sell mostly packaged sweets, sugary drinks, refined starches, fried foods, and fatty meats—foods typical of dietary patterns that predict high rates of obesity and chronic diseases.

Programs that promote **food justice** aim to lower grocery costs by establishing community gardens, planting **food forests**, opening food banks, and improving transportation. Along with better access to nutritious foods, residents need culturally relevant nutrition education and promotion to help them make informed choices.[33] Figure 9–10 sums up factors that influence obesity development.

Key Points

- Studies of human behavior identify stimuli that lead to overeating.
- Food environments may trigger brain changes that lead to overeating.
- Too little physical activity, the built environment, and lack of fresh produce and other nutritious foods are linked with overweight and obesity.
- Culturally relevant nutrition education and promotion efforts are needed.

*Carbon monoxide (CO) avidly binds to hemoglobin in the blood, reducing blood oxygen content; CO in air surrounding roadways can reach levels sufficient to impair driving ability.

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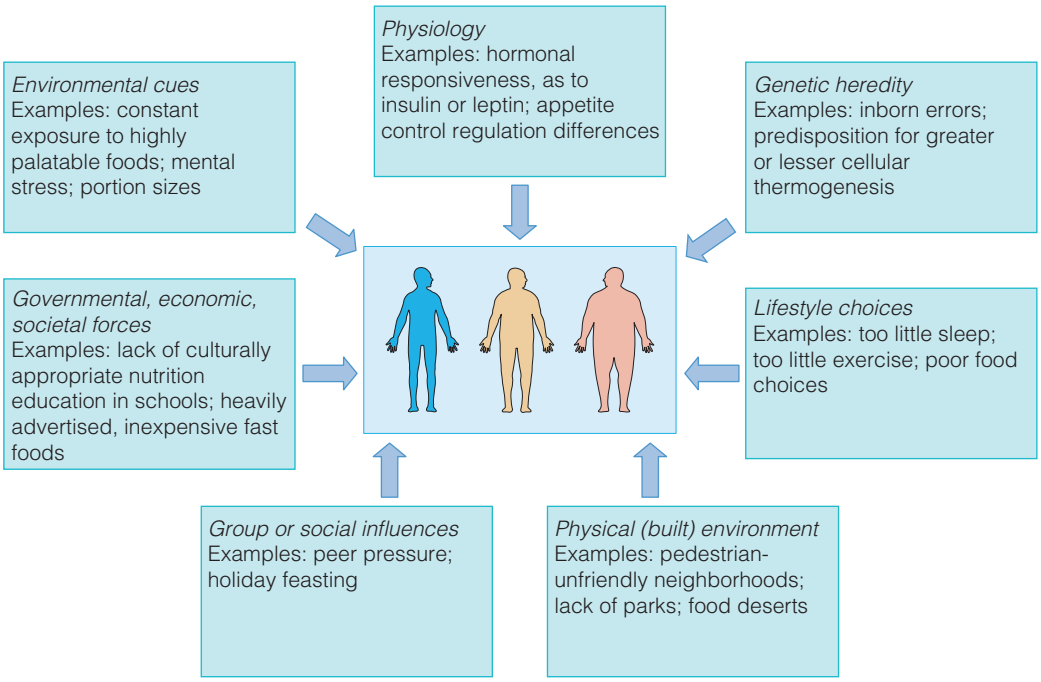
Figure 9–10

Multiple Forces Influence Obesity

Many factors interact to modify an individual's risk of developing obesity.



How the Body Loses and Gains Weight

LO 9.7 Describe the metabolic events that occur in energy deficit and surplus.

The causes of obesity may be complex, but the body's energy balance is straightforward. To lose or gain body fat requires eating less or more food energy than the body expends. A change in body *weight* of a pound or two may not indicate a change in body fat, however—it can indicate shifts in body fluid content, in bone minerals, in lean tissues such as muscles, or in the contents of the bladder or digestive tract. A weight change often correlates with time of day: people generally weigh the least before breakfast.

The type of tissue lost or gained depends on how you go about losing or gaining it. To lose fluid, for example, you can take a "water pill" (diuretic), causing the kidneys to siphon extra water from the blood into the urine, or you can exercise while wearing heavy clothing in hot weather to cause abundant fluid loss in sweat. (Both practices are dangerous and are not recommended.) To gain water weight, you can overconsume salt and water; for a few hours, your body will retain water until it manages to excrete the salt. (This, too, is not recommended.) Most quick weight-change schemes produce large losses of body fluids that register dramatic, temporary changes on the scale.

Tobacco and nicotine e-cigarettes are hazardous and not recommended. Millions of adolescents, particularly girls, take up smoking tobacco or inhaling nicotine from e-cigarettes (vaping) as a means of suppressing appetite.[34] Nicotine blunts feelings of hunger, and smokers tend to weigh less than nonsmokers. Fear of weight gain prevents many people from quitting, too. The best advice to those trying to quit is to adjust eating and exercise habits to maintain weight during and after cessation. To people flirting with the idea of using tobacco or nicotine for weight control, don't do it—many thousands of people who became addicted as teenagers die of tobacco-related illnesses each year.

dopamine (DOH-pah-meen) a neurotransmitter that facilitates many important functions in the brain, including cognition, pleasure, motivation, mood, sleep, and others.

screen time sedentary time spent using an electronic device, such as a television, computer, or video game player.

built environment the buildings, roads, utilities, homes, fixtures, parks, and all the other man-made entities that form the physical characteristics of a community.

food deserts low-income communities where many people do not own cars and live more than a mile from a supermarket or large grocery store (in rural areas, more than 10 miles). Also defined in Chapter 15.

food justice the concept that all people should have sufficient access to nutritious, culturally significant foods.

food forests areas planted with fruit or nut-bearing trees and shrubs that are freely accessible to the public.

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The Body's Response to Energy Deficit

When you eat less food energy than you need, your body draws on its stored fuel to keep going. If a person exercises appropriately, moderately restricts calories, and consumes an otherwise balanced diet that meets carbohydrate and protein needs, the body is forced to use up its stored fat for energy. Body fat literally vanishes into the air as the tissues metabolize it to carbon dioxide, which is exhaled, and water, which is excreted and evaporates.

The Body's Response to Fasting If a person doesn't eat for, say, three whole days, then the body makes one adjustment after another. Less than a day into the fast, the liver's glycogen is essentially exhausted. Where, then, can the body obtain glucose to keep its nervous system going? Not from the muscles' glycogen because that is reserved for the muscles' own use. Not from the abundant fat stores most people carry because these are of no use to the nervous system. The muscles, heart, and other organs use fat as fuel, but at this stage, the nervous system needs glucose. Fat cannot be converted to glucose—the body lacks enzymes for this conversion.* The body does, however, possess enzymes that can convert *protein* to glucose. Therefore, an underfed body sacrifices the proteins in its lean tissue to supply raw materials from which to make glucose.

If the body were to continue to consume its lean tissue unchecked, death would ensue within about 10 days. After all, in addition to skeletal muscle, the blood proteins, liver, digestive tract linings, heart muscle, and lung tissue—all vital tissues—are being burned as fuel. (Fasting or starving people remain alive only until their stores of fat are gone or until half their lean tissue is gone, whichever comes first.) To prevent this, the body puts a key strategy into action: it begins converting fat into ketone bodies, which some nervous system tissues *can* use for energy, and so forestalls the end. This metabolic strategy is ketosis, an adaptation to fasting or carbohydrate deprivation.

Ketosis terms are introduced and defined in Chapter 4, p. 124.

Ketosis In ketosis, instead of breaking down fat molecules all the way to carbon dioxide and water, the body takes partially broken-down fat fragments and combines them to make ketone bodies, compounds that are normally kept to low levels in the blood. It converts some amino acids—those that cannot be used to make glucose—to ketone bodies, too. These ketone bodies circulate in the bloodstream and help to feed the brain; about half of the brain's cells can make the enzymes needed to use ketone bodies for energy. Under normal conditions, the brain and nervous system devour glucose—about 400 to 600 calories' worth each day. After about 10 days of fasting, the brain and nervous system can meet most, but not all, of their energy needs using ketone bodies.

Thus, indirectly, the nervous system begins to feed on the body's fat stores. Ketosis reduces the nervous system's need for glucose, spares muscle and other lean tissue from being quickly devoured, and prolongs the starving person's life. Thanks to ketosis, a healthy person starting with average body fat content and given only water can live totally deprived of food for as long as 6 to 8 weeks.

In summary,

- The brain and nervous system cannot use fat as fuel and demand glucose.
- Body fat cannot be converted to glucose.
- Body protein can be converted to glucose.
- Ketone bodies made from fat can feed some nervous system tissues and reduce glucose needs, sparing protein from degradation.

Figure 9–11 (p. 333) reviews how energy is used during fasting.

Is Fasting Helpful or Harmful? Wise people in many cultures have practiced fasting as a periodic discipline. Fasting is practiced in all major world religions, sometimes in connection with specific holy days. The body tolerates short-term fasting, and in laboratory animals, prolonged calorie restriction has been reported to extend life,

*Glycerol, which makes up 5 percent of fat, can yield glucose but is a negligible source.

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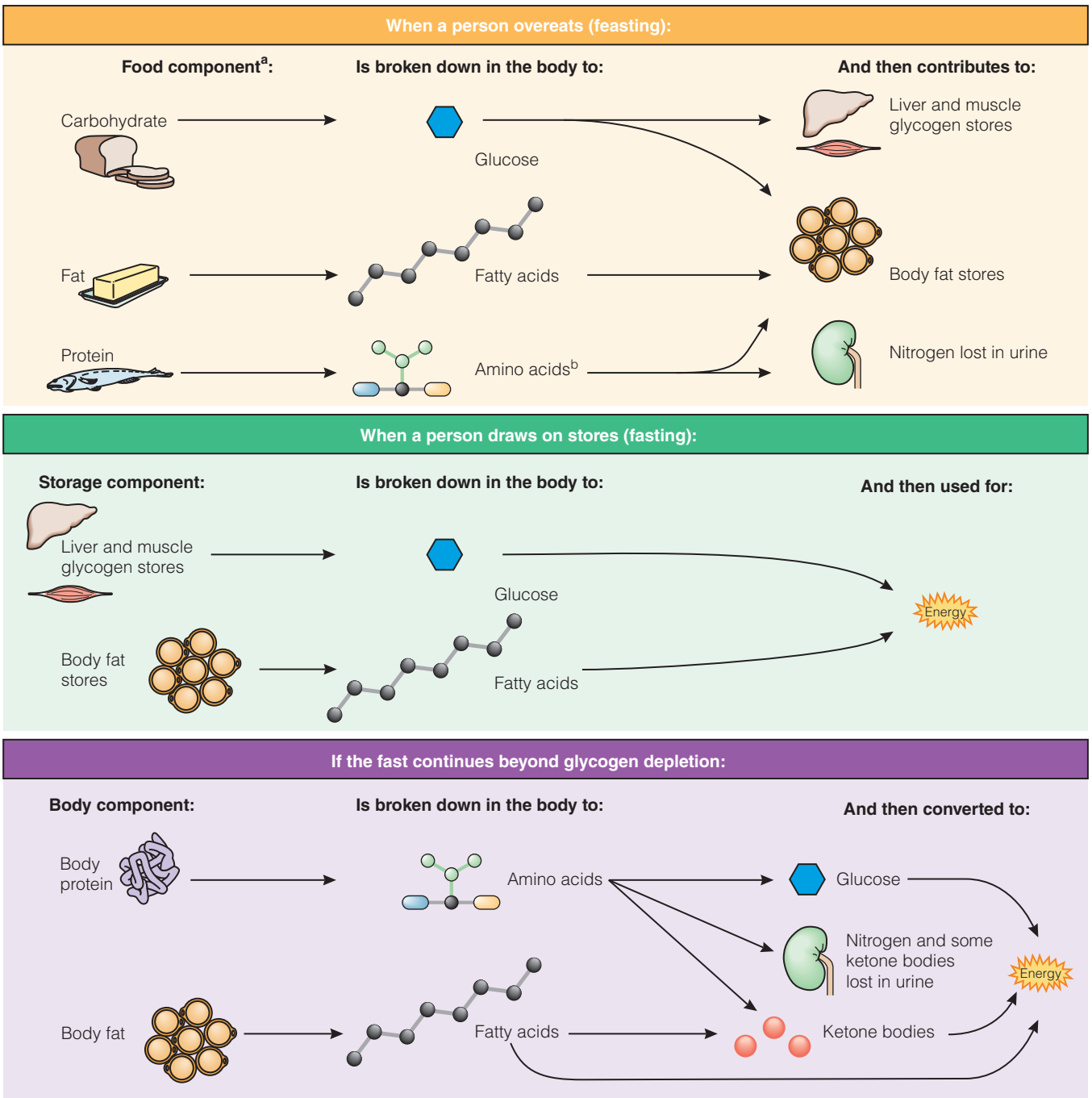
Figure 9–11

Feasting and Fasting



[a]*Alcohol is not included because it is a toxin and not a nutrient, but it does contribute energy to the body. After inactivating the alcohol, the body uses the remaining two-carbon fragments to build fatty acids and stores them as fat.*

[b]*Amino acids are first used to build body proteins. Excess amino acids contribute to body fuel; after removal of their side chains, the backbones can be used to build glucose or fat.*

fend off chronic diseases, and improve cognition. In people, **intermittent fasting**—say, a day or two a week—has not proven superior to ordinary calorie restriction for weight loss.[35] Likewise, no special weight-loss advantage is evident with time-restricted feeding, a scheme in which eating is temporally restricted to a set number of hours each day.[36] Despite claims from salespeople, no evidence suggests that fasting, even with juices or supplement concoctions, "cleanses" the body internally.

intermittent fasting any of a number of temporal patterns of consuming no or little food energy during some portion of a 24-hour day, interspersed with days of normal eating.

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Many who try intermittent fasting soon quit, reporting intolerable feelings of hunger and irritability. Over time, fasting clearly becomes harmful when tissues lack the nutrients they need to assemble new enzymes, red and white blood cells, and other vital components; when it causes lean tissue loss; or when ketosis leads to excessive mineral losses in the urine. A warning about fasting is in order: many people with eating disorders report that fasting or severe food restriction was associated with their loss of control over eating.[37]

Key Points

- When the energy balance is negative, glycogen returns glucose to the blood, and fat tissue supplies fatty acids for energy.
- When fasting or a low-carbohydrate diet depletes glycogen altogether, body protein is called upon to make glucose, while fats supply ketone bodies to help feed the brain and nerves.
- Fasting and supplements are not needed for weight loss or "cleansing."

The Body's Response to Energy Surplus

What happens inside the body when a person does not use up all of the food energy taken in? Previous chapters have already provided the answer—the energy-yielding nutrients contribute the excess to body stores as follows:

- Protein is broken down to amino acids for absorption. Inside the body, these may be used to replace lost body *protein* and, in a person who is exercising or growing, to build new muscle and other lean tissue.
- Excess amino acids, after their nitrogen is removed, are used for energy or are converted to *glucose* or *fat*. The nitrogen is incorporated into urea and excreted in the urine.
- Fat is broken down to glycerol and fatty acids in the digestive tract for absorption. Inside the body, the fatty acids can be broken down for energy or stored as body *fat* with great efficiency. The glycerol can be used for energy or converted to fat and stored (see Figure 9–11, top panel).
- Carbohydrate (other than fiber) is broken down to sugars for absorption. In the body tissues, excesses of these may be built up to *glycogen* and stored, used for energy, or converted to *fat* and stored.
- Alcohol is absorbed and, once inactivated, delivers energy that is used as fuel or converted into body fat for storage (see Figure 9–12).

Figure 9–12
Caloric Alcohol

Each gram of alcohol presents 7 calories of energy to the body—energy that is easily stored as body fat. Mixers add many more calories to a drink (see Controversy 3, p. 99).

Masterfile

Four sources of energy—the three energy-yielding nutrients and alcohol—may enter the body, but they become only two kinds of energy stores: glycogen and fat. Glycogen stores amount to about three-fourths of a pound; fat stores can amount to many pounds. Thus, if you eat enough of any food, be it steak, brownies, or baked beans, much of the excess food energy will be stored as fat within hours.

Ethanol, the alcohol of alcoholic beverages, slows down the body's use of fat for fuel by as much as a third, causing fat to be stored. Body tissues preferentially metabolize toxic ethanol for energy in place of relatively benign fat as a strategy to defend themselves against damage from too much ethanol. This strategy may help to explain the excess abdominal fat tissue of the "beer drinker's belly," actually fat on the thighs, legs, or anywhere the person tends to store surplus fat. Alcoholic beverages are therefore fattening, both through the calories they provide and through alcohol's effects on fat metabolism. Once alcohol addiction sets in, however, people often become thin and malnourished as their body organs fail and their normal appetite for food is replaced by an appetite for alcohol.

In summary,

- Almost any food can make you fat if you eat enough of it. A net excess of energy is almost all stored in the body as fat in fat tissue.

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- Fat from food is particularly easy for the body to store as fat tissue.
- Protein is not held in the body in a storage form. It exists only in muscle and other working proteins.
- Muscle protein is broken down to yield glucose when the brain runs out of carbohydrate energy.
- Dietary protein in excess of need contributes to body fat accumulation.
- Alcohol both delivers empty calories and facilitates storage of body fat.

Key Points

- When energy balance is positive, carbohydrate is converted to glycogen or fat, protein is converted to fat, and food fat is stored as fat.
- Alcohol both delivers empty calories and promotes the storage of body fat.

Achieving and Maintaining a Healthy Body Weight

LO 9.8 Summarize the measures that help in achieving and maintaining a healthy body weight.

Before setting out to change your body weight, think about your motivation for doing so. Many people strive to change their weight not because they want to improve their health but because their weight fails to meet society's ideals of attractiveness. Unfortunately, this kind of thinking sets people up for disappointment and injures their self-image. The human body is not infinitely malleable. Few people who are overweight will ever become rail-thin, no matter what dietary patterns, exercise habits, and behaviors they choose. Likewise, most people who are underweight will remain on the slim side even after spending much effort to put on some bulk.

Modest weight loss of even 3 to 5 percent of body weight in a person who is overweight can quickly produce gains in physical abilities and quality of life, along with improvements in indicators of diabetes and blood lipids.[38] With greater losses, stair climbing, walking, and other tasks of daily living become noticeably easier. Adopting health or fitness as the ideal rather than some ill-conceived image of beauty can avert much misery. Table 9–5 offers some tips to that end.

DisobeyArt/Shutterstock.com

Joy in daily living and physical health are the goals of weight management, not society's damaging ideals of thinness.

Table 9–5

Tips for Accepting a Healthy Body Weight

- Value yourself and others for traits other than body weight; focus on your whole self, including your intelligence, social grace, and professional and scholastic accomplishments.
- Realize that prejudging people by weight is as harmful as prejudging them by race, religion, or sex.
- Use only positive, nonjudgmental descriptions of your body; never use degrading, negative descriptions.
- Accept positive comments from others.
- Accept that no magic diet exists.
- Stop dieting to lose weight. Adopt a healthy eating and exercise lifestyle permanently.
- Follow the USDA Dietary Patterns (Chapter 2 and Appendix E). Never restrict food intake below the minimum levels that meet nutrient needs.
- Become physically active not because it will help you get thin but because it will enhance your health.
- Seek support from loved ones. Tell them of your plan for a healthy life in the body you have been given.
- Seek professional counseling not from a weight-loss counselor but from someone who supports your self-esteem.
- Join with others to fight weight discrimination and stereotypes.

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The rest of this chapter stresses health and fitness as goals and explains the required actions to achieve them. It uses weight only as a convenient gauge for progress. To repeat, effort in three realms produces results:

- Dietary patterns
- Physical activity
- Behavior change[39]

Dietary patterns and physical activity are explained next. Behavior change techniques are discussed in this chapter's Food Feature section.

First, a Reality Check Excess body fat takes years to accumulate. Losing that body fat also takes time, along with patience and perseverance. The person must adopt healthy dietary patterns, take on physical activities, create a supportive environment, and seek out behavioral and social support; continue these behaviors for at least 6 months for initial weight loss; and then continue all of it for a lifetime to maintain the losses. Setbacks are a given, and the size of the calorie deficit required to lose a pound of weight initially is smaller than the deficit required later on. In other words, weight loss is hard at first, and then it gets harder.

The list of what doesn't work is long: fad diets, skipping meals, "diet foods," special herbs and supplements, and liquid-diet formulas, among others. Many fad diets promise quick and easy weight-loss solutions, but as the Consumer's Guide points out, fad diets can interrupt real progress toward life-long weight management. In contrast, people willing to take one step at a time, even if it feels like just a baby step, toward balancing their energy budget are on the right path. An excellent first step is to set realistic goals.

Set Achievable Goals A reasonable first weight goal for an overweight person might be to stop gaining weight. A next goal might be to reduce body weight by 5 to 10 percent over about a year's time.[40] This may sound insignificant, but even small losses can improve health and reduce disease risks. Put another way, shoot for a weight that falls two BMI categories lower than a present unhealthy one. For example, a 5-foot-5-inch woman with hypertension weighing 180 pounds (BMI of 30—see the BMI table in the back of the book, p. E) may aim for a BMI of 28, or about 168 pounds. If her health indicators fall into line with medical standards, she may decide to maintain this weight. If her blood pressure is still high or she has other risks, she may repeat the process to achieve a healthier weight.

Once you have identified your overall target, set specific, achievable, small-step goals for food intake, activity, and behavior changes. One simple and effective first small-step goal might be to reduce or eliminate intake of sugar-sweetened beverages.

Liquid calories were the topic of the **Chapter 8** Consumer's Guide, pp. 337–338.

Dramatic weight loss overnight is not possible or even desirable; a pound or two of body fat lost each week will safely and effectively bring you to your goal. Losses greater or faster than these are not recommended because they are almost invariably followed by rapid regain. New goals can be built on prior achievements, and a lifetime goal may be to maintain the healthier body weight.

Keep Records Keeping records is often critical to success. Recording your food intake and exercise can help you to spot trends and identify areas needing improvement. The Food Feature, later, demonstrates how to maintain a food and exercise diary. Recording changes in body weight can also provide a rough estimate of changes in body fat over time. In addition to weight, measure your waist circumference to track changes in central adiposity.

Key Points

- Setting realistic weight goals proves an important starting point for weight loss.
- Many benefits follow even modest reductions in body fat among people who are overweight.
- Successful weight management takes time and effort; fad diets can be counterproductive.

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A Consumer's Guide to . . . Fad Diets

Over the years, Lauren has tried most of the new fad diets, her hopes rising each time, as though she had never been disappointed: "*This* one has the answer. I have *got* to lose 40 pounds. Plus it only costs $30 to start." Who wouldn't pay a few dollars to get trim?

Lauren and tens of millions of people like her have helped to fuel the growth of a $72 billion-a-year weight-loss industry.[1*] The number of fad-diet books in print could fill a bookstore, and more keep coming out because they continue to make huge profits. Some of them restrict fats or carbohydrates, some disallow certain foods, some advocate certain food combinations, some claim that a person's genetic type or blood type determines the best diet, and others advocate taking unproven weight-loss "dietary supplements."[†]

Unfortunately, most fad diets are more fiction than science. They sound plausible, though, because they are skillfully written. Their authors weave in scientific-sounding words like *eicosanoids* or *adipokines* and bits of authentic nutrition knowledge to create an appearance of credibility and convince the skeptical. This makes it hard for people without adequate nutrition education to evaluate them. Table 9–6 presents some clues to identifying scams among fad diets.

Are Fad Diets All Nonsense?

If fad diets delivered what they promise, the nation's obesity problem would have vanished; if they never worked, people would stop buying into them. In fact, most popular diets do limit calorie intakes and produce weight loss (at least temporarily). Fad diets are particularly ineffective for weight-loss maintenance—people may drop some weight, but they quickly gain it back.[2] Straightforward calorie deficit turns out to be the real key to weight loss—and not the elimination of protein, carbohydrate, or fat or the metabolic mechanisms upon which many fad diets are claimed to be based.

Table 9–6

Clues to Fad Diets and Weight-Loss Scams

It may be a fad diet or weight-loss scam if it:

- Bases evidence for its effectiveness on anecdotes and testimonials.
- Blames weight gain on a single nutrient, such as carbohydrate, or constituent, such as gluten.
- Claims to "alter your genetic code" or "reset your metabolism."
- Eliminates an entire food group, such as grains or milk products.
- Fails to include all costs up front.
- Fails to mention potential risks.
- Fails to plan for weight maintenance following loss.
- Guarantees an unrealistic outcome, such as losing 10 pounds in 3 days.
- Promises easy weight loss with no change in diet or activity; for example, "Lose weight while you sleep."
- Promotes devices, drugs, products, or procedures not approved by the U.S. Food and Drug Administration (FDA) or not scientifically evaluated for safety or effectiveness.
- Specifies a proportion of energy nutrients not in keeping with DRI ranges.
- Recommends using a single food, such as grapefruit, as the key to the program's success.
- Requires you to buy special products not readily available in ordinary supermarkets.
- Has any of the other characteristics of quackery (see Figure C1–1 of Controversy 1, p. 24).

Note: For more tips, search the Internet for the Federal Trade Commission's guide to spotting false weight loss claims, www.consumer.ftc.gov/articles/truth-behind-weight-loss-ads.

Are "Keto" Diets Best?

The term "keto diet" is snappy marketing shorthand for *ketogenic diet*, a currently popular low–carbohydrate diet. At first, a person on any low-carbohydrate diet may lose a few more pounds, largely of water weight associated with glycogen loss, than those consuming a balanced diet, a fact that helps explain the enduring popularity of such schemes. Given time, however, low-carbohydrate diets perform no better than balanced, calorie-restricted diets and people often find them difficult to follow for months on end.

Health risks accompany diets too low or too high in carbohydrate (see Table 9-7). A diet with less than

Table 9–7

Adverse Side Effects of Low-Carbohydrate, Ketogenic Diets

- Constipation
- Elevated uric acid (which may exacerbate kidney disease and cause inflammation of the joints in those predisposed to gout)
- Fatigue (especially if physically active)
- In pregnant women, fetal harm and stillbirth
- Low blood pressure
- Muscle cramps
- Nausea
- Stale, foul taste in the mouth (bad breath)

(continued)

**Reference notes are in Appendix F.*
†The Academy of Nutrition and Dietetics offers evaluations of popular diets on their website, www.eatright.org.

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40 percent of its calories from carbohydrate may increase mortality risk, particularly when animal protein or fat replaces the carbohydrate in the diet.[3] Likewise, high intakes of refined carbohydrates, particularly from added sugars and ultra-processed foods, such as soft drinks, snack cakes, chips, snack crackers, and other treats, also present increased heart attack and mortality risks.[4] Curbing the intake of these foods makes good nutritional sense, and cuts unneeded calories.[5]

Is Extra Protein Helpful?

A meal with sufficient protein may produce enough satiety to help prevent between-meal hunger from derailing a diet plan. In addition, eating enough high-quality protein, along with performing muscle-building resistance exercise, can help minimize muscle loss, an unwelcome side effect of calorie restriction and fat loss.

Some research suggests that a little extra protein (1.2 to 1.6 gram per kilogram body weight) may facilitate weight loss and maintain muscle mass, so long as the dieter can stick with a reduced-calorie diet over time. However, protein *sources* matter, too. Weight *gain*, not loss, is associated with higher intakes of full fat cheeses, chicken with skin, and processed and red meats (particularly hamburger). In contrast, plain yogurt, peanut butter, walnuts, other nuts, chicken without skin, low-fat cheese, and seafood are associated with a healthy body weight.

Are "Paleo" Diets Best?

Proponents of the **paleo diet** claim that modern day dieters should eat like their hunter-gatherer forebears of the Paleolithic Period (Old Stone Age) some 2.5 million years ago. Today's paleo diets allow meat, fish, eggs, certain fruits and vegetables, nuts, seeds, herbs, spices, certain fats and oils, but excludes all processed, ultraprocessed, and refined foods, along with products of agriculture, such as grains, dairy, sugar, and legumes. People eating this diet take in far less sodium and sugar than the average eater. However, in eliminating whole grains, dairy, and legumes, they miss out on valuable fibers, phytochemicals, plant-based protein sources, and some key vitamins and minerals, all factors associated with good health in study after study.

Most people associate the "cave man" diet with red meat, but the real denizens of the Paleolithic Period ate any foods at hand, which varied greatly with season and location.[6] They ate no beef or pork as we know it today—more than 2 million years would pass before people domesticated livestock. Instead, their primary foods included plants, insects, and small animals, but evidence suggests that some ate barley and legumes, and may have ground barley into flour.[7] They also swallowed dirt and rocks, and often died young from the effects of starvation and malnutrition. They labored physically every day to survive.

Little evidence exists to support today's paleo diets for disease reduction.[8] Still, any diet low in ultra-processed foods and ample in fiber-rich fruits and vegetables and lean protein sources can help cut calories and reduce both excess body fat and chronic disease risks. The key is to ensure nutrient adequacy, as well.

paleo diet a popular diet intended for weight loss that promotes meat, fish, eggs, certain fruits and vegetables, nuts, and seeds, but prohibits processed, ultraprocessed, and refined foods, and products of agriculture. *Paleo* is from *Paleolithic*, or the Old Stone Age.

Are Fad Diets Safe?

Fad diets that severely limit or eliminate one or more food groups cannot meet nutrient needs. To fend off critics, such plans usually recommend nutrient supplements (often conveniently supplied by the diet's originators at greatly inflated prices). Real weight-loss experts know this: no pills, not even the most costly ones, can match the health benefits of whole foods.

Although most people can tolerate most diets for a while, exceptions exist. For example, a rare but life-threatening form of blood acid imbalance is associated with a very low-carbohydrate diet. Experts urge caution until research reveals that a weight-loss diet is safe. No one knows the extent to which an extreme fad diet might harm people with a genetic predisposition for intolerance or those with established diseases—the very people who might try dieting to regain their health.

Moving Ahead

Success for the fad-diet industry is built on failure for dieters. As one diet shortcut fails, a new version arises to take its place, replenishing industry profits. Success for dieters takes a longer road: setting realistic goals and eating a nutritious calorie-restricted diet that is sustainable. This approach also means adopting a physically active lifestyle that is flexible and comfortable over a lifetime. Solid plans exist; seek them out for serious help with weight loss.* Armed with nutrition education and common sense, Lauren and other hopeful dieters can avoid costly detours that sap the will and delay true progress.

Review Questions†

1. A diet book that addresses eicosanoids and adipokines can be relied upon to reflect current scholarship in nutrition science and provide effective weight-loss advice. T F
2. Limiting calories is no longer the primary strategy for weight management. T F
3. Meals with sufficient protein may provide more satiety than meals that are low in protein. T F

**An example of a balanced weight-loss plan is Weight Watchers®.*
†Answers to Consumer's Guide review questions are in Appendix G.

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What Food Strategies Are Best for Weight Loss?

Contrary to the claims of faddists, no particular food plan is magical, and no particular food must be either included or excluded. You are the one who will have to live with the plan, so you had better be the one to design it. Remember, you are adopting a healthy eating plan for life so it must consist of satisfying foods that you like, that meet your nutrient needs, that are readily available, and that you can afford.

Aim for an Appropriate Calorie Intake Nutrition professionals often use an overweight person's BMI to calculate the number of calories to cut from the diet. Dieters with a BMI of 35 or greater are encouraged to reduce their daily calories by up to 1,000 calories from their usual intakes. People with a BMI between 25 and 35 should reduce energy intake by 500 to 750 calories a day to produce measurable weight loss each week while retaining lean tissue.

For some weeks or months, weight loss may proceed rapidly. Eventually, these factors may contribute to a slowdown in the rate of loss:

- Metabolism may slow in response to a lower calorie intake and loss of metabolically active lean tissue.
- Less energy may be expended in physical activity as body weight diminishes.

Also, lean body tissue differs from fat tissue in calorie content, and this difference affects the rate of weight loss. Early in dieting, losses are composed of lean tissue (and its associated water), which has fewer calories per pound than later losses, which are composed mostly of fat. Compared with losing a pound of lean tissue, losing a pound of fat takes a greater calorie deficit, so dieters should expect a slowdown in weight loss as they progress past the initial phase.

In the end, most dieters can lose weight safely on a dietary pattern providing approximately 1,200 to 1,500 calories per day for women and 1,500 to 1,800 calories per day for men while still meeting nutrient needs (as demonstrated in Table 9–8). Very low-calorie diets are notoriously unsuccessful at achieving lasting weight loss. They lack necessary nutrients, and may set in motion the unhealthy behaviors of eating disorders and so are not recommended.

Table 9–8

Dietary Patterns for Low-Calorie Diets

To use these patterns, first choose a calorie level that can be expected to produce weight loss, often about 500 calories less per day than your current intake. Then design your dietary pattern to provide a day's food intake. Adjust your intakes to sustain weight loss over time. See Chapter 2 for diet-planning details (pp. 44–51).

	1,200 Calories	1,400 Calories	1,600 Calories	1,800 Calories
Fruit	1 c	1½ c	1½ c	1½ c
Vegetables	1½ c	1½ c	2 c	2½ c
Grains	4 oz	5 oz	5 oz	6 oz
Protein Foods	3 oz	4 oz	5 oz	5 oz
Dairy Products	2½ c	2½ c	3 c	3 c
Oils	4 tsp	4 tsp	5 tsp	5 tsp

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People with a healthy body weight often choose whole grains over refined carbohydrates.

Make Intakes Adequate Healthy dietary patterns for weight loss should include a variety of food to provide all of the needed nutrients. In particular, eating more of these foods is associated with a healthy body weight:

- Fruit, vegetables, nuts, and legumes.
- Fish; poultry without skin; low-fat or nonfat milk products (or fortified soy or other legume substitutes).
- Whole grains.
- Moderate amounts of unsaturated oils.

Dietary patterns should also be moderate in red and processed meat and refined grains and low in salty foods and sugar-sweetened foods and beverages. Such patterns, including Healthy Vegetarian and Healthy Mediterranean-style patterns (see Appendix E), provide nutrient adequacy, reduce inflammation, and are generally associated with health and leanness.

Choose fats sensibly by avoiding most sources of saturated fats and by including enough unsaturated oils to support health but not so much as to oversupply calories. Nuts provide unsaturated fat and protein, and people who regularly eat nuts often maintain healthy body weight. Sufficient protein foods may increase satiety and help preserve lean tissue, including muscle tissue, during weight loss. Remember to limit alcohol, which weakens resolve and can sabotage even the most committed dieters' plans.

A supplement providing vitamins and minerals may be appropriate (Controversy 7, p. 262, explained how to choose one). If you plan resolutely to include all of the foods from each food group that you need each day, you will be satisfied and well nourished and will have little or no appetite left for high-calorie treats.

Avoid Portion Pitfalls Pay careful attention to portion sizes—large portions expand energy intakes, and the monstrous helpings served by restaurants and sold in packages are enemies of the person striving to control weight. Popular 100-calorie single-serving packages may be useful, but only if the food in the package fits into your calorie budget—100 calories of cookies or fried snacks are still 100 calories that can be safely eliminated. Also, eating a reduced-calorie cookie instead of an ordinary cookie saves calories—but eating half the bag defeats the purpose.

Almost every dieter needs to retrain, using measuring cups for a while to learn to judge portion sizes. (The Consumer's Guide of Chapter 2, pp. 49–50, provided some guidelines.) Stay focused on calories and portions—don't be distracted by a product's claims. Read labels and compare *calories* per serving.

Read Menu Labels Meals eaten away from home are notoriously high in calories. To provide consumers with the information they need to make more healthful choices, the FDA requires that eating establishments list the calorie contents of ready-to-eat foods, meals, and some alcoholic beverages on their menus (see Figure 9–13). Although it doesn't take a label to tell people whether, say, broiled, skinless chicken or battered fried chicken is more highly caloric, some differences are not so easily discernible—distinguishing between a fried chicken sandwich and a quarter-pound hamburger, for example. Consumers must also take note of calorie-changing details, such as whether the calories listed on a menu are for a meal or just a sandwich; for a large or small portion size; and for an item with or without caloric add-ons such as bacon, cheese, or mayonnaise and other sauces.

Snacking Three meals a day is standard in our society, but no law says you can't have four or five—just be sure they are smaller, of course. People who eat small, frequent meals can be as successful at weight loss and maintenance as those who eat three.

Pay close attention to snacks. Snacking among Americans has doubled in the past 30 years, and snacks provide almost a third of the empty calories from added fats and sugars that most people take in each day. Save calorie-free or favorite foods or beverages for a planned snack at the end of the day if you need insurance against late-evening hunger. Hungry people are likely to awaken at night to eat, a symptom of **night eating syndrome**.

night eating syndrome a disturbance in the daily eating rhythm associated with obesity, characterized by eating more than half of the daily calories after 7 p.m., awakening frequently at night to eat, and overconsuming calories.

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Figure 9–13

New on the Menu: Calories

FDA regulations require many restaurants to list calorie amounts on their menus.

AP Images/Charles Krupa

Energy Density A dietary pattern consisting mostly of foods that are high in **energy density** is often associated with being both undernourished and overweight.[41] Turning this around, people who wish to be leaner and to improve their nutrient intakes would be well advised to select mostly foods of low energy density. In general, foods high in fat or low in water, such as fatty meats, cookies, or chips, rank high in energy density; foods high in water and fiber, such as fruit and vegetables, rank lower. Foods with lower energy density are bulkier, providing more bites for fewer calories, and thus may be more satisfying (see Figure 9–14, p. 342).

Some energy-dense foods, such as avocados, olive oil, olives, and nuts that are consumed as part of a Healthy Mediterranean-style Dietary Pattern, appear to be compatible with a sustainable healthy body weight.[42] This pattern is also rich in vegetables, fruits, nuts, and seafood, with few or none of the empty-calorie, energy-dense foods that abound in a typical U.S. diet.

Nonnutritive Sweeteners and Alcohol Some people who maintain weight loss report using artificially sweetened beverages liberally. Replacing caloric beverages with water or diet drinks may reduce overall calorie intakes, although research is mixed on whether these choices assist in weight loss.[43] In laboratory animals, chronic exposure to large doses of nonnutritive sweeteners has been reported to temporarily alter the intestinal microbiome, in ways associated with weight gain.[44] In people, consumption of low-calorie sweeteners is not generally reported to have such effects, but research is continuing.[45] In any case, soft drinks of any kind displace milk, and although milk is unlikely to speed weight loss, it contributes important nutrients to the diet.

More on low-calorie sweeteners in **Chapter 12**.

Alcoholic beverages can deliver hundreds of calories to drinkers each day, often without their awareness. Labels of most alcoholic beverages omit calorie amounts, but you can find them on the internet (search for the National Institutes of Health's "Alcohol Calorie Calculator") or in tables, such as Table C3–5 (p. 99). Drinkers should limit their intakes for many reasons.

Prepared Meals People who lack the time or ability to make their own low-calorie foods or to control portion sizes may find it easier to subscribe to a service that delivers frozen prepared meals to their homes. They simply reheat them and eat. Although more costly than conventional foods, such meal plan services can provide portioned, low-calorie, nutritious meals or snacks to support weight loss, and ease the task of diet planning. Ideally, a plan should provide educational materials to help users choose wisely from conventional foods, too, to prevent old habits from returning when the service is ultimately abandoned.

Do the Math:
Calculate energy density.

The energy density of a food can be calculated mathematically. Find the energy density of carrot sticks and French fries by dividing their calories by their weight in grams.

- A serving of carrot sticks, providing 31 cal and weighing 72 g:

$$\frac{31 \text{ cal}}{72 \text{ g}} = 0.43 \text{ cal/g}$$

- A serving of French fries, contributing 167 cal and weighing 50 g:

$$\frac{167 \text{ cal}}{50 \text{ g}} = 3.34 \text{ cal/g}$$

The more calories per gram (cal/g), the greater the energy density.

Now access an online nutrient database and select any food that interests you.[a] Find its calories and gram weight and apply the formula above to determine the food's energy density in cal/g.

[a]Using a Web browser, search for USDA's What's In The Foods You Eat website, currently available at https://reedir.arsnet.usda.gov/codesearchwebapp/(S(jgjhis5s0eisp4f54ouulbfv))/CodeSearch.aspx.

energy density a measure of the energy provided by a food relative to its weight (calories per gram).

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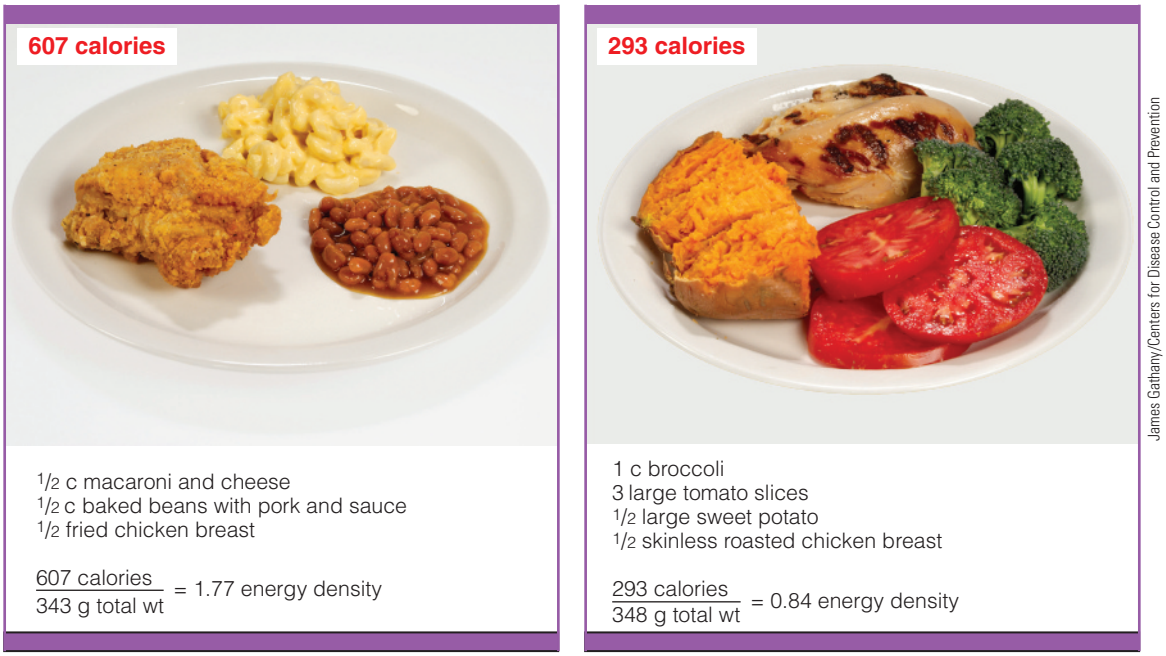
Figure 9–14

Energy Density and Meal Size

The larger meal on the right has fewer than half the calories of the one on the left, but it weighs more, provides more fiber, contains more water, and takes far longer to enjoy than the energy-dense meal on the left.



James Gathany/Centers for Disease Control and Prevention

James Gathany/Centers for Disease Control and Prevention

Key Points

- To achieve and maintain a healthy body weight, set realistic goals, keep records, eat regularly, and expect to progress slowly.
- Be aware of energy density, make the diet adequate and balanced, eliminate excess calories, and limit alcohol intakes.

Physical Activity Strategies

The most successful weight losers and maintainers include physical activity in their plans. However, weight loss through physical activity alone is not easily achieved. Physical activity guidelines were offered in the Think Fitness feature (p. 329).

Advantages of Physical Activity—and a Warning Many people fear that exercising will intensify their hunger. Active people do have healthy appetites, but a workout helps to heighten feelings of satiation, and may delay the onset of hunger.[46] Muscle-strengthening exercise, performed regularly, adds healthful lean body tissue and provides a toned, attractive appearance. In addition, over the long term, lean muscle tissue burns more calories pound for pound than fat does.

Weight-loss dieting triggers small losses of bone mineral density but physically active dieters may avoid some of this loss.[47] In addition, plenty of physical activity promotes restful sleep—and getting enough sleep may reduce food consumption and weight gain. Finally, physical activity helps reduce stress and stress-associated excess eating.

Heed this warning: nonathletes who reward themselves with high-calorie treats for "good behavior," or who consume "energy bars" to ease a workout (a useless strategy for most people), can easily negate any calorie deficits incurred.

Which Activities Are Best? A combination of moderate-to-vigorous aerobic exercise along with strength training at a safe level seems best for health, but any physical activity is better than none. Even moderate walking can enhance the effects of diet

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on fat loss and health.[48] Most important: perform at a comfortable pace within your current abilities. Rushing to improve practically guarantees injury.

Active video games, or *exergames,* and video fitness programs may help meet the physical activity needs of people who like them, but most people lose interest in just a short while. Real sports not only require more energy than their video counterparts but also hold people's interest, year after year.

Hundreds of activities required for daily living also contribute to physical activity: washing the car, raking leaves, taking the stairs, and many, many others. However you do it, be active. Walk. Swim. Skate. Dance. Cycle. Skip. Lift weights. Above all, enjoy moving—and move often.

T. M. O. Pictures/Alamy Stock Photo

Playing a sports video game burns some calories, and the more active the game, the better.

Key Point

- Physical activity greatly augments the rewards of weight loss dieting.

What Strategies Are Best for Weight Gain?

Should a thin person try to gain weight? Not necessarily. If you are healthy, fit, and energetic at your present weight, stay there. However, you may be in danger from being too thin. Warning signs include these: if your physician has advised you to gain; you are excessively tired; you are unable to keep warm; your BMI is in the "underweight" category; or (for women) you have missed at least three consecutive menstrual periods. It can be as hard for a thin person to gain a pound as it is for an overweight person to lose one, but the following strategies may help ease the way.

Choose Foods with High Energy Density Weight gainers need nutritious energy-dense foods. No matter how many sticks of celery you eat, you won't gain weight because celery simply doesn't offer enough calories per bite. Energy-dense foods (the very ones weight-loss dieters are trying to avoid) are often high in fat, but fat energy is spent in building new tissues. If the fat is mostly unsaturated, such foods will not contribute to heart disease risk. Be sure your choices are nutritious—not, say, just candy bars and potato chips.

Because fat contains more than twice as many calories per teaspoon as sugar, its calories add up quickly without adding much bulk. Moreover, its energy is in a form that is easy for the body to store. For those without the skill or ability to create their own high-calorie foods, adding a high-protein, high-calorie liquid or bar-type dietary supplement to regular nutritious meals can sometimes help people who are underweight to gain or maintain weight.

Portion Sizes and Meal Spacing Increasing portion sizes increases calorie intakes. Add extra slices of meats and cheeses to sandwiches and use larger plates, bowls, and glasses to disguise the appearance of the larger portions. Expect to feel full, even uncomfortably so. This feeling is normal, and it passes as the stomach gradually adapts to the extra food.

Eat frequently and keep easy-to-eat foods on hand for quick meals. Make two sandwiches in the morning and eat them between classes in addition to the day's three regular meals. Include favorite foods or ethnic dishes often—the more varied and palatable, the better. Drink beverages between meals, not with them, to save space for higher-calorie foods. Always finish with dessert. Other tips for weight gain are listed in Table 9–9, p. 344.

Physical Activity to Gain Muscle and Fat Food choices alone can produce weight gain, but the gain will be mostly fat. Overly thin people need both muscle and fat, so physical activity is essential in a sound weight-gain plan. Resistance activities are best for building muscles that can help increase healthy body mass. Start slowly and progress gradually to avoid injury. Conventional advice on diet for people building muscle is to eat about 500 to 700 calories a day above normal energy needs. This range often supports both the added activity and the formation of new muscle. Many more facts about building muscles are provided in Chapter 10.

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Table 9–9

Tips for Gaining Weight

In General:	Specifically:	In Addition:
▪ Eat enough to store more energy than you expend—at least 500 extra calories a day. ▪ Exercise to build muscle. ▪ Be patient. Weight gain takes time (a pound per month would be reasonable). ▪ Choose energy-dense foods most often. ▪ Eat at least three meals a day, and add snacks between meals. ▪ Choose large portions and expect to feel full.	▪ Drink caloric fluids—juice, chocolate milk, milkshakes, smoothies. ▪ Pair raw vegetables with rich mayonnaise dips and stuff raw celery with tuna salad (use oil-packed). ▪ Drizzle olive oil on cooked vegetables and salads. ▪ Add avocado to salads instead of cucumber, top with olives instead of pickles, and choose guacamole over salsa. ▪ Toast split whole-grain muffins instead of bread. ▪ Add margarine and sour cream to potatoes and creamy sauces to other vegetables.	▪ Cook and bake often—delicious cooking aromas whet the appetite. ▪ Invite others to the table—companionship often boosts eating. ▪ Make meals interesting—try new vegetables and fruits, add crunchy nuts or creamy avocado, and explore the flavors of herbs and spices. ▪ Keep a supply of favorite snacks, such as trail mix or granola bars, handy for grabbing. ▪ Control stress and relax. Enjoy your food.

Key Points

- Weight gain can be achieved by following a dietary pattern based on nutritious, calorie-dense foods, eaten frequently throughout the day.
- Physical activity helps to build lean tissue.

Medical Treatment of Obesity

LO 9.9 Describe the potential benefits and risks associated with obesity medications and surgeries.

People fatigued from fighting a losing battle with obesity, despite sincere efforts to diet and exercise, may be candidates for treatment with drugs. These approaches can cause dramatic weight loss and often save the lives of people who are obese at critical risk, but they present serious risks of their own.

Obesity Medications

Several FDA-approved weight-loss drugs are available by prescription, but they are not often prescribed.49 For people who are overweight with a BMI of greater than 30 (greater than 27 with heart disease or its risk factors), the benefits of weight loss achieved with the help of these medications may outweigh their substantial side effects. Importantly, weight-loss drugs can help only temporarily, while they are being taken; lifestyle changes are still necessary to help manage weight over a lifetime.

Obesity Surgery

A person with severe obesity—that is, someone whose BMI is greater than 40 (greater than 35 with coexisting heart disease or its risk factors)—urgently needs to reduce body fat. Surgery is often an option for those healthy enough to withstand it. Common surgeries are depicted in Figure 9–15.

Potential Benefits Surgery results can be dramatic. In studies, a majority of surgical patients have achieved a weight loss of at least 20 to 30 percent of their excess body weight and have kept it off for 10 years.[50] Successful surgery often brings immediate and lasting improvements to an impressive array of chronic diseases, including

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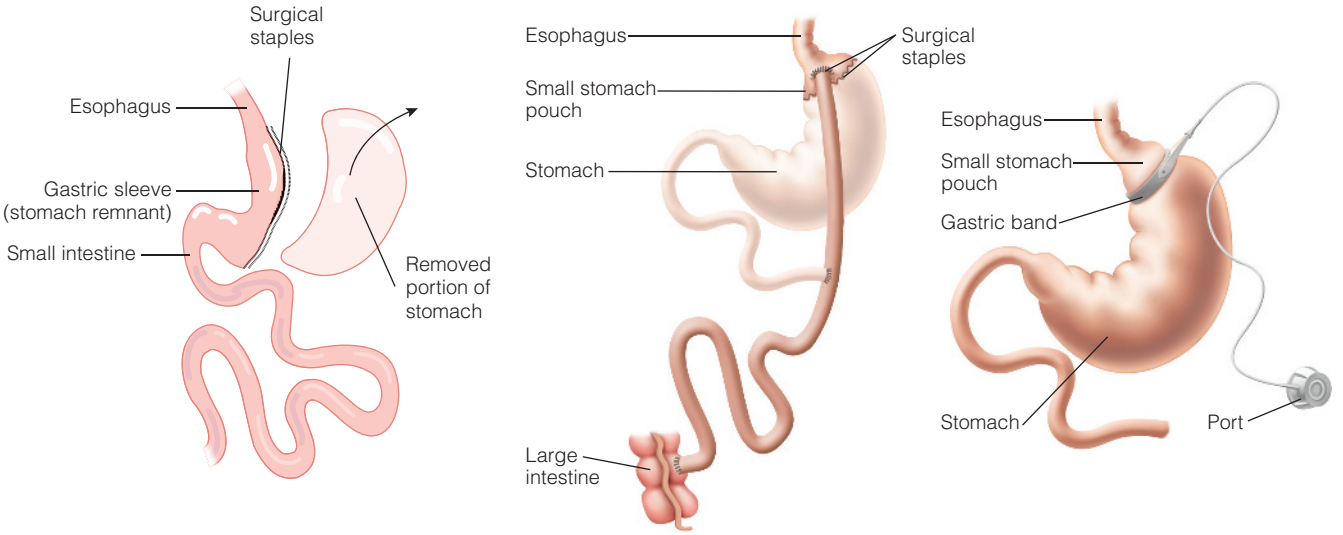
Figure 9–15

Surgical Obesity Treatment

In sleeve gastrectomy, about 80% of the stomach is removed, leaving a tube-like structure; this greatly reduces the stomach's capacity and its output of ghrelin (the hunger hormone).

In gastric bypass, the surgeon constructs a small stomach pouch and creates an outlet directly to the lower small intestine. (Dark areas highlight the redirected flow of food.)

In gastric banding, the surgeon uses a gastric band to reduce the opening from the esophagus to the stomach. The size of the opening can be adjusted by inflating or deflating the band through a port located just under the skin.



diabetes, heart disease, and cancers.[51] It also causes the intestinal bacteria to shift toward a profile associated with leanness, a potentially beneficial change.[52] With obesity-related illnesses in check, longer life-spans may follow for many people.[53]

Such results depend, in large part, on compliance with dietary instructions, before, during, and after surgery. For example, after recovery, patients must eat small portions, chew food completely before swallowing, and drink beverages separately from meals.

Potential Risks Obesity surgery itself presents relatively few risks, but risks compound with additional surgeries to correct problems that can ensue, an all-too common occurrence.[54] Over the long term, surgery is not a sure cure for obesity despite advertisements claiming so. Some people do not lose the expected pounds, and others who lose weight initially regain much of it in a few years' time. Some people require additional treatments for acid reflux; gallstones; infections; nausea, vomiting, diarrhea, and dehydration; low blood glucose, and other conditions that may arise following surgery.[55]

Severe nutrient deficiencies often pose a major threat to health in the years following surgery.[56] Vitamin D deficiency results in bone abnormalities, and vitamin A deficiency causes night blindness and other vision problems. Thiamin deficiency disease, once rare in this country, is becoming more prevalent today as obesity surgeries grow in number.[57] Iron, copper, zinc, vitamin B_{12}, other B vitamins, and other deficiencies are also likely, but nutrient deficiencies can generally be corrected with appropriate supplements. Life-long nutrition and medical supervision following surgery is a must.

Key Points

- Weight-loss drugs, in concert with diet and exercise, may be prescribed for people facing medical risks from obesity.
- For people with severe obesity or obesity with chronic diseases, surgery may pose less of a risk than does the obesity.

Dietary Supplements and Gimmicks

Tens of millions of U.S. consumers, many of whom are not overweight, purchase and take over-the-counter (OTC) diet pills, herbal preparations, or dietary supplements,

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believing them to be safe and effective for weight loss. Such products are not associated with successful weight loss, but taking them may present some risks.[58] OTC diet pills, for example, are associated with the onset of eating disorders. Many dietary supplement products pose substantial risks of toxicity because their ingredients are not strictly regulated. One previously healthy 28-year-old bodybuilder was hospitalized in a coma after taking a dietary supplement containing a known liver toxin, sold to her as a "fat burner." A harmful supplement, ephedra (also called ma huang and banned by the FDA), is sold as a weight-loss "dietary supplement" but has caused cardiac arrest, abnormal heartbeats, hypertension, strokes, seizures, and death. These and many other risky weight-loss "supplements" remain available online.

Also, steam baths and saunas do not melt the fat off the body as claimed, although they may dehydrate you so that you lose water weight. Brushes, sponges, wraps, creams, and massages intended to move, burn, or break up **cellulite** are useless for fat loss. Cellulite—the rumpled, dimpled, stubborn fat tissue on the thighs and buttocks—is simply fat, awaiting the body's call for energy. Such nonsense distracts people from the serious business of planning effective weight-management strategies.

Key Point

- The effectiveness of herbal products and other gimmicks has not been demonstrated, and some may prove hazardous.

Once I've Changed My Weight, How Can I Stay Changed?

Millions have experienced the frustration of achieving a desired change in weight only to see their hard work visibly slip away in a seemingly never-ending cycle: "I have lost 200 pounds over my lifetime, but I was never more than 20 pounds overweight." Disappointment, frustration, and self-condemnation are common in dieters who have slipped back to their original weights or even higher.

LightField Studios/Shutterstock.com

Don't forget to drink enough water—it can produce feelings of fullness, and it's calorie-free.

Self-Efficacy and Other Keys to Success Contrary to popular belief, many people who set out to lose weight do so, and some maintain their losses for years. No one can yet say which of their "secrets of success" may be responsible, but the habits of those individuals are of interest to researchers and dieters alike, and they are offered in Table 9–10. In general, such people believe in their ability to control their weight, an attribute known as **self-efficacy**. They also monitor their intakes and body weights, quickly addressing small **lapses** to prevent major ones. They all use techniques that work for them; people's responses to any one method are highly variable.

Without a doubt, a key to weight maintenance is accepting the task as a life-long endeavor, not a goal to be achieved and forgotten. Most people who maintain weight loss continue to employ many of the routines that reduced their weight in the first place. They cultivate healthy habits, they remind themselves of the continuing need to manage their weight, they monitor their weight and routines, they renew their commitment to regular physical activity, and they reward themselves for sticking with their plans.

Without a life-long plan, those who try to lose weight may become trapped in endless repeating rounds of weight loss and regain—"yo-yo" dieting and **weight cycling**. Weight cycling may pose a risk to the heart if weight rebounds bring surges in blood pressure, blood lipids, or blood glucose.[59] The Food Feature explores how a person who is ready to change can modify daily eating and exercise behaviors into healthy, life-long habits.

Seek Support Group support can prove helpful when making life changes. Some people find it useful to join such groups as Take Off Pounds Sensibly (TOPS), Weight Watchers (WW), Overeaters Anonymous (OA), or others. Others prefer to form their own self-help groups or find support online. The Internet offers numerous opportunities for weight-loss education, counseling, and virtual group support that may be effective alternatives to face-to-face or telephone counseling programs. Well-designed applications for smartphones and other mobile devices can help dieters track food intakes and

cellulite (CELL-yoo-light) a term popularly used to describe dimpled fat tissue on the thighs and buttocks; not recognized in science.

self-efficacy a person's belief in his or her ability to succeed in an undertaking.

lapses periods of returning to old habits.

weight cycling repeated rounds of weight loss and subsequent regain that may pose health risks; also called *yo-yo dieting*.

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Table 9–10

Summary of Lifestyle Strategies for Successful Weight Loss

In addition to calorie control and exercise, people who lost weight and kept it off report using strategies in the following four categories. No one strategy is universally useful—responses vary widely, and individualized weight-loss plans work best.

General
▪ Make a long-term commitment (greater than 6 months' duration).
▪ Target all three weight-management components (eating habits, physical activity, and behavior change).
▪ Monitor food intake and body weight (particularly to maintain weight loss).
▪ Follow a commercial weight-loss program (particularly for initial weight loss).
▪ Target weight management specifically rather than other worthy goals, such as disease prevention.
Eating Habits
▪ Consume a calorie-reduced diet with adequate protein, controlled in fat and carbohydrate.
▪ Focus on the total energy of the diet rather than on the elimination of specific energy-nutrient components.
▪ Limit intakes of types of foods (such as high-sugar foods/beverages, low-fiber foods, or high-fat restaurant foods).
▪ Maintain dietary routines to repeat helpful behaviors.
Physical Activity
▪ Perform 150–250 min/week of moderate physical activity to prevent weight gain; even a few minutes of exercise at a time counts toward the total.
▪ Perform more than 250 min/week of moderate physical activity to promote significant weight loss; even a few minutes of exercise at a time counts toward the total.
▪ Exercise more—on average, an hour a day; the total time may be divided into smaller blocks throughout the day.
▪ Watch less than 10 hours of television per week.
Behavior Change/Nutrition Counseling
▪ Use behavioral change techniques to produce weight loss of 5 percent or more of body weight within about a year's time.
▪ Use cognitive behavior therapy to augment diet and physical activities (see the Food Feature, pp. 348–350).
▪ Obtain structured, individualized nutrition counseling to support weight-loss efforts.
▪ Use Internet-based education and tracking applications, particularly in the short term.
▪ Weigh on a scale at least once a week.
▪ Recognize and reverse minor lapses.

Sources: J. R., Santos and coauthors, Behavioural and psychological pretreatment predictors of short- and long-term weight loss among women with overweight and obesity, Eating and Weight Disorders *25 (2020): 1377–1385, doi: 10.1007/s40519-019-00775-9; 2018 Physical Activity Guidelines Advisory Committee,* 2018 Physical Activity Guidelines Advisory Committee Scientific Report *(Washington, DC: U.S. Department of Health and Human Services, 2018); National Academies of Sciences, Engineering, and Medicine, The challenge of treating obesity and overweight: Proceedings of a workshop, (2017), epub, doi: https://doi.org/10.17226/24855; American College of Cardiology/American Heart Association Task Force on Practice Guidelines and the Obesity Society, Executive summary: Guidelines (2013) for the management of overweight and obesity in adults, Obesity 22 (2014): S5–S39.*

physical activity and to discover calorie information. As with all approaches, choose wisely and avoid scams.

Key Point

- People who succeed at maintaining lost weight believe in their own abilities, keep to their eating routines, keep exercising, and keep track of calorie intakes and body weight.

Conclusion

This chapter winds up where it began, considering the U.S. obesity epidemic as a societal problem. Reversing it may depend at least partly on the public will to support healthy lifestyle choices. Meanwhile, individuals can make choices to influence their own behaviors, as this chapter's Food Feature points out.

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Food Feature

Behavior Change Methods for Weight Management

LO 9.10 Justify the importance of behavior change methods in supporting weight management efforts.

How, exactly, can people change their behaviors? The answer may seem simple—just do it—but longstanding behaviors are often ingrained and may not easily yield to willpower alone. Competent counseling can often help people recognize their own patterns and commit to making changes. Then, behavior change methods can help ease the often difficult process of changing entrenched unhealthy eating and activity patterns.[60]

Motivational Interviewing

Many people with obesity are acutely aware of compelling reasons to adopt a healthier lifestyle. Awareness alone, however, often does not create the resolve they need to actually change their behaviors. They stay on the fence, so to speak, remaining ambivalent and resisting expert advice, sometimes for decades. For some, competent **motivational interviewing (MI)**, a technique developed to help clients break through resistance, can lead to the personal decision of whether to change a behavior.[61] The following five principles underlie the MI approach:

1. Create discrepancy: help the client recognize differences between their goals and their present behaviors.
2. Use empathy and reflective listening: reword what the client has said to ensure understanding.
3. Avoid confrontation: avoid imposition of opposing viewpoints.
4. Adapt to resistance: work with resistance and accept it.
5. Support self-efficacy and optimism for success: facilitate self-discovery that leads to behavior change.

By respecting the person's beliefs, concerns, emotions, and values, client-centered methods such as MI can empower the person to ultimately choose to change.

motivational interviewing (MI) a collaborative, client-centered form of counseling to resolve ambivalence and strengthen motivation for change.

mindfulness training a meditation technique of behavior change therapy that focuses awareness on the present moment with an attitude of curiosity, openness, and acceptance rather than judgment and control.

Mindfulness Training

Once a person is ready to change, a popular meditation technique, **mindfulness training**, may help enact the change.* When individuals become aware of their eating behaviors by focusing on the sensory qualities of food and the body's sensations, they can fully experience each eating occasion, including appetite and satiety signals as they arise (see Table 9–11). Exploring one's own eating behaviors and motivations with curiosity and acceptance but without judgment may ultimately help the individual abandon or reduce unhealthy eating

**Mindfulness meditations originated in the ancient religious teachings of Zen Buddhism.*

Table 9–11

Strategies for Mindful Eating

Mindfulness techniques replace eating control strategies in some behavior change programs. This table provides a few tips toward establishing a more mindful eating routine.

- Before reaching for a favorite food or drink, notice what you are feeling. Are you anxious? Bored? Sad? Lonely? Or do you feel a physical need for food? When you are hungry, eat mindfully. Fill other needs in other ways.
- When you eat, eliminate all other distractions, such as TV, games, or studies, and pay attention to your food, the flatware, and table setting.
- Savor each bite, noting the texture of the food, its basic tastes, aroma, crunchiness or softness, and the sensation of swallowing it.
- While experiencing a food, consider its origins: the plant that grew it, the farmers and workers who produced it, the sun and rain that nurtured it, and the nutrients from the soil that it now offers to you.
- After each bite, check in with your body to see how you are feeling. Do you need more? If yes, eat more in the same mindful manner. Are you full and satisfied? Stop and move on to other activities.
- Let go of past food experiences, and reexamine your food choices today, here, and now. Let go of attachments, such as sweet rewards for good behavior, to allow space for new more functional attachments to emerge.

Source: Adapted from J. B. Nelson, Mindful eating: The art of presence while you eat, Diabetes Spectrum *30 (2017): 171–174, doi:10.2337/ds17-0015.*

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behaviors, particularly those surrounding cravings and binge eating.[62]

In research, study subjects using mindfulness techniques lose about the same amount of weight as those using more traditional approaches.[63] However, users often report significant side benefits, such as stress relief and improved mood, and these improvements may foster ongoing beneficial food and exercise choices.[64]

Behavior Modification Techniques

Behavior modification works by altering both thought processes and behaviors. It is based on the knowledge that habits drive behaviors. Suppose a friend tells you about a shortcut to class. To take it, you must make a left-hand turn at a corner where you now turn right. You decide to try the shortcut the next day, but when you arrive at the familiar corner, you turn right as always. Not until you arrive at class do you realize that you failed to turn left, as you had planned. You can learn to turn left, of course, but at first, you will have to make an effort to remember to do so. After a while, the new behavior will become as automatic as the old one was.

A food and activity diary is a powerful ally to help you learn what particular eating stimuli, or cues, affect you. Such self-monitoring is indispensable for learning to control eating and exercising cues, both positive and negative, and for tracking your progress. Figure 9–16 provides a simple pencil-and-paper food and activity diary for self-monitoring. Web-based food tracking programs and applications for smartphones are also effective tools.

Once you identify the behaviors you need to change, set your priorities, and begin with a few you can handle—practice until they become habitual and automatic, and then select one or two more. For those striving to lose weight, learning to say "No, thank you" might be among the first habits to establish. Learning not to "clean your plate" might follow.

Figure 9–16

A Sample Food and Activity Diary

Record the times and places of meals and snacks, the types and amounts of foods consumed, surroundings and people present, and mood while eating. Describe physical activities, their intensity and duration, and your feelings about them, too. Use this information to structure eating and exercise in ways that serve your physical and emotional needs.

Time	Place	Activity or food eaten	People present	Mood
10:30–10:40	School vending machine	6 peanut butter crackers and 12 oz. cola	by myself	starved
12:15–12:30	Restaurant	Sub sandwich and 12 oz. cola	friends	relaxed & friendly
3:00–3:45	Gym	Weight training	workout partner	tired
4:00–4:10	Snack bar	Small frozen yogurt	by myself	OK

Modifying Behaviors

Behavior researchers have identified six elements useful in replacing old eating and activity habits with new ones:

1. Eliminate inappropriate eating and activity cues.
2. Suppress the cues you cannot eliminate.
3. Strengthen cues to appropriate eating and activities.
4. Repeat the desired eating and physical activity behaviors.
5. Arrange or emphasize negative consequences of inappropriate eating or sedentary behaviors.
6. Arrange or emphasize positive consequences of appropriate eating and exercise behaviors.

Table 9–12, p. 350, provides specific examples of putting these six elements into action.

In addition, be aware that the food marketing industry spends huge sums each year developing cues to modify consumers' behaviors in the opposite direction—toward buying and consuming more snack foods, soft drinks, and other products. These cues work on a subconscious level; they leverage the stronger human hunger and appetite mechanisms to overcome the weaker satiety signals.

Self-Acceptance

A paradox of change is that it takes believing in oneself and honoring oneself to lay the foundation for changing that self. That is, self-acceptance predicts success, while self-loathing predicts failure.

Give yourself credit for your new behaviors; take honest stock of any physical improvements, too, such as lower blood pressure or less painful knees, even without a noticeable change in pant size. Finally, remember to enjoy your emerging fit and healthy self.

(continued)

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Table 9–12

Behavior Modification Tips for Weight Loss

These actions may be useful during both weight-loss and maintenance phases of weight management.

1. Eliminate inappropriate eating cues:
- Don't buy problem foods.
- Eat in one place at the designated time.
- Shop when not hungry.
- Replace large plates, cups, and utensils with smaller ones.
- Avoid vending machines, fast-food restaurants, and convenience stores.
- Serve individual plates; don't serve "family style."
- Measure your portions; avoid large servings or packages of food.

2. Suppress the cues you cannot eliminate:
- Remove food from the table after eating a meal.
- Create obstacles to consuming problem foods—wrap them and freeze them, making them less quickly accessible.
- Control deprivation; plan and eat regular meals.
- Limit screen time and other sedentary activities to one hour a day.
- Slow down eating—always use utensils and put them down between bites.
- Leave some food on your plate.

3. Strengthen cues to appropriate eating and exercise:
- Choose to dine with companions who make appropriate food choices.
- Learn and use appropriate portion sizes.
- Join active groups; ask for reminders.
- Keep sports and play equipment by the door.
- Move more—shake a leg, pace, stretch often; join active groups.

4. Arrange or emphasize negative consequences for inappropriate eating:
- Ask that others respond neutrally to your deviations (make no comments—even negative attention is a reward).
- If you slip, don't punish yourself.

5. Arrange rewards and notice positive effects of your appropriate eating and exercise behaviors:
- Buy tickets to sports events, movies, concerts, or other nonfood amusement.
- Get a massage; indulge in a small purchase; buy flowers.
- Take a hot bath; read a good book; nap; relax.
- Treat yourself to a lesson in a new activity such as handball or tennis.
- Praise yourself; visit friends.

What did you decide?

Chatham / Shutterstock.com

- How can you **control** your body weight, once and for all?
- Why are you **tempted** by a favorite treat when you don't feel hungry?
- How do extra calories from food become **fat** in your body?
- Which popular **diets** are best for managing body weight?

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Self Check

1. (LO 9.1) All of the following are health risks associated with excessive body fat except _____.
   a. kidney disease
   b. sleep apnea
   c. gallbladder disease
   d. low blood lipids
2. (LO 9.1) Today, an estimated 300,000 deaths each year in the United States are related to complications from obesity.
   T F
3. (LO 9.2) Which of the following statements about basal metabolic rate (BMR) is correct?
   a. The greater a person's age, the higher the BMR.
   b. The more thyroxine produced, the higher the BMR.
   c. Fever lowers the BMR.
   d. Pregnancy lowers the BMR.
4. (LO 9.2) The thermic effect of food plays a major role in energy expenditure.
   T F
5. (LO 9.3) The BMI standard is used as one tool for evaluating health risks associated with overweight or obesity.
   T F
6. (LO 9.3) Body fat can be assessed by which of the following techniques?
   a. a blood lipid test
   b. chest circumference
   c. dual energy X-ray absorptiometry
   d. all of the above
7. (LO 9.3) BMI is of limited value for _____.
   a. athletes
   b. pregnant and lactating women
   c. adults older than age 65
   d. all of the above
8. (LO 9.4) The appetite-stimulating hormone ghrelin is made by the _____.
   a. brain
   b. fat tissue
   c. pancreas
   d. stomach
9. (LO 9.4) When the brain receives signals that enough food has been eaten, this is called _____.
   a. satiation
   b. ghrelin
   c. adaptation
   d. none of the above
10. (LO 9.5) Brown adipose tissue _____.
    a. develops during starvation
    b. is a well-known heat-generating tissue
    c. develops as fat cells die off
    d. all of the above
11. (LO 9.5) According to genomic researchers, a single inherited gene is the probable cause of common obesity.
    T F
12. (LO 9.6) In many people, any kind of stress can cause overeating and weight gain.
    T F
13. (LO 9.6) A built environment can support physical activity with _____.
    a. safe biking and walking lanes
    b. public parks
    c. free exercise facilities
    d. all of the above
14. (LO 9.7) Which of the following is a physical consequence of fasting?
    a. loss of lean body tissues
    b. lasting weight loss
    c. body cleansing
    d. all of the above
15. (LO 9.7) The nervous system cannot use fat as fuel.
    T F
16. (LO 9.7) A diet too low in carbohydrate produces physical responses similar to those seen in fasting.
    T F
17. (LO 9.8) The number of calories to cut from the diet to produce weight loss should be based on
    a. the amount of weight the person wishes to lose.
    b. the person's BMI.
    c. the amount of food the person wishes to consume.
    d. the DRI for energy for the person's sex and age.
18. (LO 9.9) Over-the-counter drugs and dietary supplements for weight loss are most often effective and pose little risk.
    T F
19. (LO 9.10) Most people who successfully maintain weight loss do all of the following except
    a. continue to employ many of the routines that reduced their weight in the first place.
    b. obtain at least some guidance from popular diet books.
    c. reward themselves for sticking with their plan.
    d. monitor their weight and routine.
20. (LO 9.10) A goal of motivational interviewing is:
    a. to explain the scientific data that supports the need for behavior change.
    b. to actively oppose resistance in those considering behavior change.
    c. to guide decision making while fostering self-efficacy.
    d. all of the above.
21. (LO 9.11) Adolescents are likely to grow out of early disordered eating behaviors by young adulthood.
    T F

Answers to these Self Check questions are in Appendix G.

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Controversy 9 The Perils of Eating Disorders

LO 9.11 Outline the risk factors, symptoms, and treatments of eating disorders.

Tens of millions of people in the United States, most of them girls and women, suffer from some form of **eating disorder**, such as **anorexia nervosa**, **bulimia nervosa**, and **binge eating disorder** during their lifetime.[1]* Without treatment, many of those who have an eating disorder will incur physical and mental harm, and some will die as a result. (Table C9–1 defines eating disorder terms.)

During adolescence, children may exhibit warnings of disordered eating such as restrained eating, binge eating, purging, fear of being or becoming fat, and distorted body image. Many adolescents diet to lose weight, use diet pills, and choose unhealthy behaviors associated with disordered eating.[2] By college age, the behaviors can be entrenched. Disordered eating behaviors in early life set a pattern that is likely to continue into young adulthood. Importantly, healthful dieting and physical activity in overweight adolescents appear not to trigger eating disorders.

Society's Influence

Why do so many people in our society suffer from eating disorders? Most experts agree that eating disorders have many causes: sociocultural, psychological, and possibly also genetic and neurochemical. Without a doubt, our society sets unrealistic ideals for body weight, especially for girls and women.[3] Normal-weight girls as young as 5 years old are placed "on diets" for fear that they are too fat.

When thinness takes on heightened importance, people begin to view the normal, healthy body as too fat—their body images become distorted. People of all shapes, sizes, and ages—including emaciated fashion models with anorexia nervosa—have learned to be unhappy with their "overweight" bodies. Many take serious risks to lose even more weight. This results in the extreme thinness typical of anorexia nervosa, as illustrated by the young girl in Figure C9–1. Once almost nonexistent in non-Western cultures, eating disorders are now rapidly increasing as global communities internalize thinness as an ideal.

Figure C9–1
Anorexia Nervosa

The extreme weight loss of anorexia nervosa is, in reality, the result of prolonged starvation that poses serious threats to health and life.

Christopher LaMarca/Redux

Table C9–1
Eating Disorder Terms

- **anorexia nervosa** an eating disorder characterized by extreme restriction of energy intake relative to requirements, leading to a dangerously low body weight and a disturbed perception of body weight and shape; seen (usually) in teenage girls and young women (anorexia means "without appetite"; nervos means "of nervous origin").
- **binge eating disorder** an eating disorder whose criteria are similar to those of bulimia nervosa, excluding purging or other compensatory behaviors.
- **bulimia** (byoo-LEEM-ee-uh) **nervosa** recurring episodes of binge eating combined with a morbid fear of becoming fat, usually followed by self-induced vomiting, misuse of laxatives or diuretics, fasting, or excessive exercise.
- **cathartic** a strong laxative.
- **cognitive behavioral therapy** psychological therapy aimed at changing undesirable behaviors by changing underlying thought processes contributing to these behaviors; in anorexia, a goal is to replace false beliefs about body weight, eating, and self-worth with health-promoting beliefs.
- **eating disorder** a disturbance in eating behavior that jeopardizes a person's physical or psychological health.
- **emetic** (em-ETT-ic) an agent that causes vomiting.
- **female athlete triad** a potentially fatal triad of medical problems seen in female athletes: low energy availability (with or without disordered eating), menstrual dysfunction, and low bone mineral density.

Media Messages

Society perpetuates unrealistic body ideals and devalues those who do not conform to them. Beauty pageants, for example, put forth a standard of female desirability—thinner and thinner women over the years have won these events. Magazines, Instagram, and other social websites, films and television, and other media convey a message that to be happy, beautiful, and desirable, one must first be thin.[4] As they search for identity, adolescent girls are particularly vulnerable to such messages.

*Reference notes are in Appendix F.

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Dieting as Risk

Severe food restriction often precedes an eating disorder. Ill-advised "dieting" can create intense stress and extreme hunger and lead to binges. Painful emotions such as anger, jealousy, or disappointment may be turned inward by youngsters, some still in kindergarten, who express dissatisfaction with body weight or say they "feel fat." As weight loss and severe food restriction become more and more a focus, psychological problems worsen, and the likelihood of developing full-blown eating disorders intensifies.

Characteristics of Eating Disorders

From their names alone, the categories of eating disorders may sound distinct, but they often overlap. Also, a person may migrate from type to type. An eating disorder may fail to fall into a clear pattern, but the following three main characteristics of eating disorders have been described:

1. Eating habits or weight-control behaviors have become abnormal.
2. Clinically significant impairments of physical health or psychosocial functioning have materialized.
3. The disturbance is not caused by other medical or psychiatric conditions.

The problems described in this Controversy are typical.

Eating Disorders in Athletes

Athletes and dancers are at special risk for eating disorders. In trying to enhance performance or meet weight or appearance guidelines of a sport, they may severely restrict energy intake, a counterproductive strategy. Severe energy restriction causes a loss of lean tissue that impairs physical performance and imposes a risk of eating disorders. Risk factors for eating disorders among athletes include:

- Young age (adolescence).
- Pressure to excel in a sport.
- Focus on achieving or maintaining an "ideal" body weight, muscular structure, or body fat percentage.
- Participation in a sport or competition that requires a low body weight, a lean appearance, or that is judged on aesthetic appeal, such as gymnastics, wrestling, figure skating, or dance.[5]
- Unhealthy, unsupervised weight-loss dieting at an early age.

Young people need adults in their lives to step in and intervene should any of these factors become evident.

The Female Athlete Triad

In female athletes, three associated medical problems form the **female athlete triad**

- Energy insufficiency
- Low bone density
- Menstrual dysfunction

These are displayed in Figure C9–2.[6] For example, at age 14, Suzanne was a top contender for a spot on the state gymnastics team. Each day, her coach reminded team members that they would not qualify to compete if they weighed more than a few ounces above the assigned weights.

Suzanne weighed herself several times a day to ensure that she did not top her 80-pound limit. She dieted and exercised to extremes; unlike many of her friends, she never began to menstruate. A few months before her 15th birthday, Suzanne's coach dropped her back to the second-level team because of a slow-healing stress fracture. Mentally and physically exhausted, she quit gymnastics. In the ensuing years, Suzanne struggled with an eating disorder rooted in these early experiences with food. Suzanne exhibited all the signs of female athlete triad but no one put them together in time to protect her physical and mental health. Amenorrhea is not a normal adaptation to strenuous physical training but a symptom of something going wrong.

An athlete's body must be heavier for a given height than a nonathlete's body because it contains more muscle and dense bone tissue with less fat. However, coaches often use weight standards, such as BMI, that cannot properly gauge an athlete's body. For athletes, body composition measures such as skinfold tests yield more useful information.

Male Athletes and Eating Disorders

Male athletes—especially dancers, wrestlers, skaters, jockeys, and gymnasts—suffer from eating disorders at a greater rate than their peers, but their gender may cause coaches, parents, and medical professionals to overlook their condition. Adolescent boys, newly aware of developing muscularity, may take dangerous risks involving enhancement products and extreme diets in their quests to attain unachievable physiques.

Male athletes and dancers with eating disorders often deny having them. Under the same pressures as female athletes, males skip meals, restrict fluids, practice in plastic suits, or train in heated rooms to lose a quick 4 to 7 pounds. Many

Figure C9–2

The Female Athlete Triad

In the female athlete triad, extreme weight loss causes both menstruation dysfunction and excessive loss of calcium from the bones, weakening them.

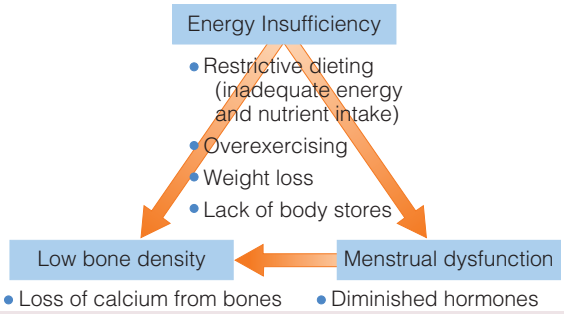

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Table C9–2

Strategies for Combating Eating Disorders

General Guideline
▪ Never restrict food intakes to below the amounts suggested for adequacy by the USDA Dietary Patterns (see Chapter 2).
▪ Eat regularly. People who eat regularly throughout the day rarely get so hungry that hunger dictates their food choices.
▪ If not at a healthy weight, establish a reasonable weight goal based on a healthy body composition.
▪ Allow a reasonable time to achieve the goal. A reasonable rate for losing excess fat is about 1% of body weight per week.
▪ Learn to recognize media image biases, and reject ultrathin standards for beauty. Shift focus to health, compete
Specific Guidelines for Athletes and Dancers
▪ Replace weight-based or appearance-based goals with performance-based goals.
▪ Remember that eating disorders impair physical performance. Seek confidential help in obtaining treatment if needed.
▪ Restrict weight-loss activities to the off-season.
▪ Focus on proper nutrition as an important facet of training, as important as proper technique.

male high school wrestlers, gymnasts, and figure skaters strive for as little as 5 percent body fat. Wrestlers, especially, must "make weight" to compete in the lowest possible weight class to face smaller opponents.

In male athletes who habitually take in too few calories, testosterone production falters, leading to fertility dysfunction, muscle tissue loss, and low bone density. These conditions make fractures and other injuries likely to occur.[7]

For young people, unrealistic standards based on appearance, weight, or body type should be replaced with performance-based standards. Table C9–2 provides some strategies to help athletes and dancers protect themselves against eating disorders.

ITALO/Shutterstock.com

A distorted body image underlies many eating disorders.

Anorexia Nervosa

Julie is 17 years old and a straight-A superachiever in school. She also watches her diet with great care, and she exercises daily, maintaining a heroic schedule of self-discipline. She stands 5 feet 6 inches tall and weighs only 85 pounds, but she is determined to lose weight. She has anorexia nervosa.

Characteristics of Anorexia Nervosa

Julie is unaware that she is undernourished, and she sees no need to obtain treatment. She insists that she is too fat, although her eyes are sunk in deep hollows in her face. She visits pro-anorexia (pro-ana) blogs and websites to find support for her distorted body image and to learn more starvation tips. When Julie looks at herself in the mirror, she sees her 85-pound body as fat. The more Julie overestimates her body size, the more resistant she is to treatment and the more unwilling she is to examine misperceptions.

She has stopped menstruating and is moody and chronically depressed but blames external circumstances. She is close to physical exhaustion, but she no longer sleeps easily. Her family is concerned, and although reluctant to push her, they have finally insisted that she see a psychiatrist. Julie's psychiatrist has prescribed group therapy as a start but warns that if Julie does not begin to gain weight soon, she will need to be hospitalized.

No one knows for certain what causes anorexia nervosa, but some factors are associated with its development. Most people with anorexia nervosa come from middle- or upper-class families. Most are female. People with anorexia nervosa are unaware of their condition. They cannot recognize that a distorted body image that overestimates body weight, a central feature of a diagnosis, is causing the problem. Criteria focus on people who:

- Restrict calorie intake to the point of developing a too-low body weight for health.
- Have an intense fear of body fat or of weight gain (although they often deny it), or strive to prevent weight gain although underweight.
- Hold a false perception of body weight or shape, exaggerate the importance of body weight or shape in their self-evaluation, or deny the danger of being severely underweight.

Many details of diagnostic criteria exist.[8]

Self-Starvation

How can a person as thin as Julie continue to starve herself? Julie uses tremendous discipline to strictly limit her portions of low-calorie foods. She will deny her hunger or dampen its pangs with diet pills. Having become accustomed to so little food, she feels full after eating only a few bites. She can recite the calorie contents of dozens of foods and the calorie costs of as many physical activities. If she feels that she has gained an ounce of weight, she runs or jumps rope until she thinks

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it's gone. She drinks water incessantly to fill her stomach, risking dangerous mineral imbalances and water intoxication. She takes laxatives to hasten the passage of food from her system. She is starving, but she doesn't eat because her need for self-control overrides her need for food.

Physical Perils

From the body's point of view, anorexia nervosa is starvation and thus incurs the same damage as classic severe malnutrition. People with anorexia deplete the body tissues of needed vitamins and minerals, along with carbohydrate, fat, and protein.[9] A young person's growth ceases, normal development falters, and so much lean tissue is lost that basal metabolic rate slows and body temperature drops. The physical ills of anorexia nervosa (summarized in Table C9–3) may clear up with treatment, but its psychological problems and abnormal eating tendencies often linger through life, and may even extend to the next generation.[10] Mothers with anorexia nervosa may underfeed their children, who then fail to thrive or who develop disordered dietary patterns later on.

Anorexia nervosa has the highest mortality rate of all psychiatric disorders. People with anorexia nervosa are five times more likely than their peers to die prematurely, often from heart abnormalities brought on by malnutrition.[11] They are also 18 times more likely to die of suicide.

Treatment of Anorexia Nervosa

Treatment of anorexia nervosa requires a multidisciplinary approach that addresses two areas of concern: the first relating to food and weight and the second involving psychological processes. Teams of physicians, nurses, psychiatrists, family therapists, and dietitians work together to treat people with anorexia nervosa. The expertise of a registered dietitian nutritionist is essential because an appropriate, individually crafted diet is crucial for normalizing body weight and because nutrition counseling is indispensable.

Table C9–3

Physical Harms from Anorexia Nervosa

The symptoms of anorexia nervosa are those of malnutrition. Which symptoms are present and to what degree depends largely on the severity of the condition.

Organs/Systems	Effects
Blood	Anemia develops.
	Blood lipids are altered.
	Blood pressure falls.
	Blood proteins diminish.
Bones	Bone density declines; osteoporosis ensues.
Brain and nerves	Brain tissue shrinks significantly.
	Brain electrical activity becomes abnormal.
	Insomnia develops.
	Nerves lose normal function.
Digestive system and nutrient metabolism	Stomach emptying slows.
	Intestinal absorptive lining shrinks.
	Nutrient absorption is reduced.
	Pancreas slows digestive enzyme output.
	Diarrhea and malnutrition ensue.
	Iron, niacin, and other deficiencies develop.
	Vitamin A collects abnormally in blood.
	Vitamin D blood level declines, despite adequate intake.
Fluid and electrolytes	Cellular potassium losses occur.
	Other electrolytes become unbalanced.
Heart	Heartbeat becomes inefficient, irregular.
	Heart muscles thin and weaken.
	Heart failure; death ensues.
Immunity	Immune response is impaired; antibodies diminish.
Kidneys	Kidneys fail; death ensues.
Reproductive functions	Menstruation ceases (women).
	Sex drive diminishes (women and men).
Skin/hair	Skin grows fine body hair (the body's attempt to keep warm).
	Skin becomes dry and thin.
Temperature regulation	Body temperature falls.

Sources: K. R. Griffiths and coauthors, White matter microstructural differences in underweight adolescents with anorexia nervosa and a preliminary longitudinal investigation of change following short-term weight restoration, Eating and Weight Disorders *26 (2021): 1903–1914, doi: 10.1007/s40519-020-01041-z; S. Portale and coauthors, Pellagra and anorexia nervosa: A case report,* Eating and Weight Disorders *25 (2020): 1493–1496, doi: 10.1007/s40519-019-00781-x; M. M. Fichter and N. Quadflieg, Mortality in eating disorders—results of a large prospective clinical longitudinal study,* International Journal of Eating Disorders *49 (2016): 391–401; A. A. Donaldson and C. M. Gordon, Skeletal complications of eating disorders,* Metabolism *(2015): 943–951; S. Gaudio and coauthors, A systematic review of resting-state functional-MRI studies in anorexia nervosa: Evidence for functional connectivity impairment in cognitive control and visuospatial and body-signal integration,* Neuroscience and Biobehavioral Reviews *71 (2016): 578–589.*

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Clients with low risks for physical harms may benefit from family counseling, **cognitive behavioral therapy**, other psychotherapies, and nutrition guidance.[12] Those with greater risks may also need supplemental formulas to provide extra nutrients and energy. Antidepressant and other drugs are commonly prescribed but rarely help.

Clients in later stages are seldom willing to eat, but if they are, they may recover without other interventions. When starvation leads to severe underweight (less than 75 percent of ideal body weight), high medical risks ensue, necessitating hospitalization. Patients must be stabilized and fed through tubes to forestall death. Even after recovery, however, energy intakes and eating behaviors may never fully return to normal, and relapses are common, particularly during the first year of treatment.[13]

Before drawing conclusions about someone who is extremely thin, be aware that a diagnosis of anorexia nervosa requires professional assessment. People seeking help for anorexia nervosa for themselves or for others should not delay but should visit the National Eating Disorders Association website or pick up the phone and call them.*

Bulimia Nervosa

Sophia is a 20-year-old flight attendant, and although her body weight is healthy, she thinks constantly about food. She alternately starves herself and then secretly binges. When she has eaten too much, she vomits. Few people would fail to recognize that these symptoms signify bulimia nervosa.

Characteristics of Bulimia Nervosa

Bulimia nervosa is distinct from anorexia nervosa and is much more prevalent in both women and men. People with bulimia nervosa often suffer in secret and, when asked, may deny the existence of a problem. Here are some general diagnostic criteria for bulimia nervosa:

- Frequent binge eating behavior—that is, eating a relatively large amount of food in a relatively short period of time, with loss of control over binges.
- Compensation behaviors after binges, such as vomiting or fasting.
- False perceptions of body weight or shape; exaggerations of the importance of body weight or shape in self-evaluation.

Sophia is well educated and close to her ideal body weight, although her weight fluctuates over a range of 10 pounds or so every few weeks. As a young teen, Sophia cycled on and off crash diets.

Sophia seldom lets her bulimia nervosa interfere with her work or other activities. However, she is emotionally insecure, feels anxious at social events, and cannot easily establish close relationships. She is usually depressed and often impulsive. When crisis hits, Sophia responds with an overwhelming urge to binge, a behavior pattern that prevents the weight loss she desires.[14] Her negative self-perceptions drive a perpetual cycle of binge eating and purging (Figure C9–3).

Binge Eating and Purging

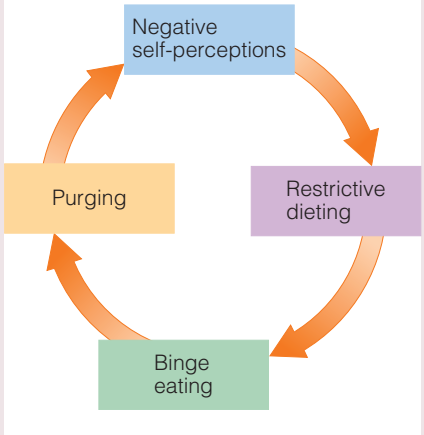
A bulimic binge is a compulsion and unlike normal eating. During a binge, Sophia's eating is rapid and uncontrollable, accelerated by her hunger from previous calorie restriction. She regularly takes in extra food approaching 1,000 calories at each binge, and she may have several binges in a day. Typical binge foods are easy-to-eat, low-fiber, smooth-textured, high-fat, and high-carbohydrate foods, such as cookies, cakes, and ice cream; and she eats the entire bag of cookies, the whole cake, and every spoonful in a carton of ice cream. By the end of the binge, she has vastly overcorrected for her earlier attempts at calorie restriction.

To purge the food from her body, she may use a **cathartic**—a strong laxative that can injure the lower intestinal tract. Or she may induce vomiting, sometimes with an **emetic**—a drug intended as first aid for poisoning. After the binge, she pays the price with hands scraped raw against the teeth during gag-induced vomiting, swollen neck glands and reddened eyes from straining to vomit, and the bloating, fatigue, headache, nausea, and pain that follow.

Figure C9–3

The Cycle of Binge Eating, Purging, and Negative Self-Perception

Each of these factors helps to perpetuate disordered eating.



Physical and Psychological Perils

Purging may seem to offer a quick way to rid the body of unwanted calories, but bingeing and purging have serious physical consequences. Fluid and electrolyte imbalances caused by vomiting or diarrhea can lead to abnormal heart rhythms; one common emetic causes heart muscle damage, and its overuse can cause death from heart failure.† Vomiting causes irritation and infection of the pharynx, esophagus, and salivary glands; erosion of the teeth; and dental caries. The esophagus or stomach may rupture or tear.

Unlike Julie, Sophia is aware that her behavior is abnormal. She wants to recover, and this makes recovery more likely for her than for Julie, who clings to denial.

** The National Eating Disorders website address is www.nationaleatingdisorders.org; the toll-free referral line is (800) 931–2237.*

† The heart-damaging emetic is ipecac (IP-eh-kak).

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Table C9–4

Diet Strategies for Combating Bulimia Nervosa

Planning Principles
▪ Plan meals and snacks; record plans in a food diary prior to eating.
▪ Plan meals and snacks that require eating at the table and using utensils.
▪ Refrain from eating "finger foods."
▪ Refrain from "dieting" or skipping meals.
Nutrition Principles
▪ Eat a well-balanced diet and regularly timed meals consisting of a variety of foods.
▪ Include raw vegetables, salad, or raw fruit at meals to prolong eating times.
▪ Choose whole-grain, high-fiber breads, pasta, rice, and cereals to increase bulk.
▪ Consume adequate fluid, particularly water.
Other Tips
▪ Choose meals that provide protein and fat for satiety and bulky, fiber-rich carbohydrates for immediate feelings of fullness.
▪ Try including soups and other water-rich foods for satiety. Serve them hot to slow the pace of eating.
▪ Consume the amounts of food specified in the USDA Dietary Patterns (see Chapter 2).
▪ Select foods that naturally divide into portions. Select one potato, rather than rice or pasta that can be overloaded onto the plate; purchase yogurt and cottage cheese in individual containers; look for small packages of precut steak or chicken; choose frozen dinners with metered portions.
▪ Include 30 minutes or more of physical activity on most days—exercise may be an important tool in controlling bulimia.

Treatment of Bulimia Nervosa

Effective treatment plans, particularly for children and adolescents, begin with family counseling to empower caregivers to help their family member recover.[15] To gain control over food and establish regular dietary patterns requires adherence to a structured eating and exercise plan. Restrictive dieting is forbidden, for it almost always precedes binges. Many a former bulimia nervosa sufferer has taken a major step toward recovery by learning to consistently eat enough food to satisfy hunger (at least 1,600 calories a day). Table C9–4 offers some ways to begin correcting the eating problems of bulimia nervosa.

Binge Eating Disorder

Charlie is a 40-year-old former baseball outfielder who, after becoming a spectator instead of a player, has gained excess body fat and has been diagnosed with prediabetes. He believes that he has the willpower to diet until he loses the fat. Periodically, he restricts his food intake for several days, only to eventually succumb to cravings for his favorite high-calorie treats. Like Charlie, many people who are overweight end up bingeing after dieting.

Is Binge Eating an Addiction?

A correlation between the addictive nature of binge eating and that of drug abuse has been discussed for decades. Shared key characteristics, such as strong and persistent cravings, unsuccessful efforts to control intakes, and continuation of the behavior despite physical harm or other negative results, give rise to the concept of an *eating addiction.** As explained earlier in this chapter, the effects on the brain of foods rich in sugars and fats mimic those of euphoria-producing drugs in some ways, and similarities exist in psychological and behavioral constructs, too. Although in its early stages, research on the shared features of substance abuse and eating disorders may uncover new treatments for both conditions.

** The* Diagnostic and Statistical Manual, *5th edition, sets diagnostic criteria for substance use disorders. The Yale Food Addiction Scale, an inventory based on substance use criteria, is under review as a potential diagnostic tool for food addiction.*

Treating Binge Eating

Binge eating behavior responds more readily to treatment than other eating disorders. Intervention, even if obtained on a website, improves physical and mental health and may permanently break the cycle of rapid weight losses and gains.

Toward Prevention

Treatments for existing eating disorders have evolved, but prevention of these conditions is far preferable. One approach may be to provide children and adolescents with defenses against influences that promote eating disorders. A set of suggestions intended to help pediatricians avert eating disorders in their patients might also apply to teachers, coaches, and others who deal with children:

1. Encourage positive eating and physical behaviors that can be maintained over a lifetime; discourage dieting, skipping of meals, or the use of diet pills.
2. Promote a positive body image; do not use body dissatisfaction as a motivator for behavior change.
3. Encourage frequent and enjoyable family meals consumed at home; discourage hasty meals eaten alone.
4. Focus not on weight but on healthy eating and physical activities; facilitate healthy eating and physical activity at home.
5. Ask about mistreatment or bullying and address this issue with patients and their families.

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6. Carefully monitor necessary weight loss and prevent the development of semi-starvation.

Protection against eating disorders in the next generation largely depends on the actions of adults in authority today. Perhaps a young person's best defense against eating disorders is to learn about normal, expected growth patterns, especially the characteristic weight gain of adolescence (see Chapter 14), and to learn to respect the inherent wisdom of the body. When people discover and honor the body's real needs for nutrition and exercise, they often will not sacrifice health for conformity.

Critical Thinking

1. Eating disorders are common only in cultures where extreme thinness is an ideal. Who in society do you think sets such ideals? How are these ideals conveyed to others? Suggest some steps that schools, parents, and other influential adults might take to help to minimize the impact of idealized body types on children as they develop their own self-images.
2. Form a small group. Each member of the group gives an example of a role model that he or she would like to emulate. This can be, for example, a teacher, athlete, movie star, or scientist, among others. State all of the reasons for choosing this person as a role model. Now talk about the body type of each role model. Would you like to achieve that body type? Is it possible to do so? Of all the role models discussed in your group, which role model do you believe is the healthiest, and why?

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10 Performance Nutrition

Controversy 10 Ergogenic Aids: Breakthroughs, Gimmicks, or Dangers?

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 10.1 Enumerate the benefits of physical fitness.

LO 10.2 Describe muscle adaptability and the effects of physical training.

LO 10.3 Describe the three energy systems that support the body's muscular work.

LO 10.4 Explain how glucose, fatty acids, and proteins support muscular work.

LO 10.5 Explain why vitamins and minerals are important to athletes.

LO 10.6 Describe the hazards that inadequate fluid intake and temperature extremes present to the working body.

LO 10.7 Summarize the characteristics of the diet that best support physical performance.

LO 10.8 Debate the usefulness and safety of dietary ergogenic aids for improving sports performance.

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What do you think?

- Can **physical activity** help you live longer?
- Do certain foods or beverages help **competitors** win?
- Can **vitamin and mineral supplements** help improve your game?
- Are **sports drinks** better than water during a workout?

In the body, nutrition and **physical activity** go hand in hand. A working body demands energy-yielding nutrients—carbohydrate, lipid, and protein—to fuel physical activity and to build and repair muscle tissues. It also needs fluids, vitamins, and minerals to support these functions. Physical activity, in turn, benefits nutrition by helping to regulate energy-nutrient metabolism, improve body composition, and allow a greater intake of calories from nutritious foods. Combined, a nutritious diet and regular physical activity become a powerful force for good health.

This chapter starts with some basic concepts about health and physical activity. It also provides a framework for understanding **performance nutrition**, and describes how the right foods, fluids, and nutrients help fuel physical activity. The Controversy (page 392) follows up with a discussion about supplements sold with promises of enhanced athletic performance.

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Nutrition and physical activty support each other.

The Benefits of Fitness

LO 10.1 Enumerate the benefits of physical fitness.

Physical fitness develops with performance of physical activity or **exercise**. The body's muscles respond in identical ways, regardless of whether an individual is running around a track or running to catch a bus, so this chapter uses the terms *physical activity* and *exercise* interchangeably.

People's fitness goals vary. An **athlete** may be **training** for competition; the casual exerciser may be working to improve health and body weight. For those just beginning a program of physical fitness, be assured that improvement is not only possible but inevitable. As fitness improves, energy levels rise, and chronic disease risks fall. This relationship also works in reverse: a sedentary lifestyle robs people of their fitness and opens the way for the development of several chronic diseases.

The Nature of Fitness

If you are physically fit, the following describes you: You move with ease and balance. You have endurance that lasts for hours. You are strong and meet daily physical challenges without strain. You are adaptable and resistant to mental stress, depression, or anxiety. As you strengthen your muscles, your posture and self-image respond and improve.

Longevity and Disease Resistance People who regularly engage in moderate physical activity live longer, healthier lives on average than those who are physically inactive.[1*] A sedentary lifestyle is a powerful predictor of the major killer diseases of our time—cardiovascular disease, some forms of cancer, stroke, diabetes, and hypertension. Without sufficient weight-bearing activities, bone and muscle mass dwindle,

*Reference notes are in Appendix F.

physical activity bodily movement produced by the contraction of skeletal muscle that significantly increases energy expenditure and, when performed regularly, can enhance physical and mental health.

performance nutrition an area of nutrition science that pertains to maximizing physical performance in athletes, firefighters, military personnel, and others who must perform at high levels of physical ability. Also called *sports nutrition*.

physical fitness the ability to perform routine physical activities without undue fatigue, and with enough reserve energy to enjoy leisure-time pursuits and respond to emergencies and mental stresses.

exercise planned, structured, and repetitive bodily movement that promotes or maintains physical fitness.

athlete a competitor in any sport, exercise, or game requiring physical skill; for the purpose of this book, anyone who trains at a high level of physical exertion, with or without competition. From the Greek *athlein*, meaning "to contend for a prize."

training regular practice of an activity, which leads to physical adaptations of the body with improvement in flexibility, strength, and/or endurance.

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increasing the likelihood of osteoporosis. Even a daily stretching routine may improve the condition of the arteries, a benefit to anyone who has limited mobility.[2] Despite the well-known health benefits of physical activity (listed in Table 10–1), less than a quarter of adults in the United States meet all of the Physical Activity Guidelines for Americans (see Figure 10–1).[3]

A Molecular Link with Health Small improvements in blood vessel function and blood glucose regulation are detectable after just a single bout of exercise. Some of the credit for these and other benefits of exercise is in part attributable to **myokines**, small hormone-like molecules released by working muscles. Myokines also promote muscle synthesis and they may alter metabolism in ways that oppose chronic diseases.[4*]

Why not manufacture these molecules and press them into "fitness pills" to capture their benefits without physical work? Myokines are just one part of an intricate metabolic choreography of almost 10,000 molecular changes arising with exercise that affect energy use, inflammation, tissue repair, and many other functions.[5] Pills simply cannot replace exercise, so keep moving.

Key Points

- Physical activity and fitness benefit people's physical and psychological well-being and improve their resistance to disease.
- Physical activity improves survival and quality of life in the later years.
- Myokines generated by working muscles may trigger healthy changes in body tissues.

Physical Activity Guidelines

What must you do to reap the health rewards of physical activity? You need only meet the recommendations of the Physical Activity Guidelines for Americans (Figure 10-1).

Table 10–1

Some Benefits of Fitness

Research suggests that most people who become physically active can expect these and other benefits:

- Improved body composition and adipose tissue distribution
- Improved bone density
- Enhanced resistance to colds and other infectious diseases[a]
- Reduced risks of some types of cancers
- Improved circulation and lung function
- Reduced risk factors for cardiovascular disease
- Reduced risk and improved management of type 2 diabetes
- Reduced risk of gallbladder disease
- Reduced incidence and severity of mental anxiety and depression, some forms of dementia, and Parkinson's disease
- Improved cognition
- Improved sleep
- Increased resistance to falls and injury from falls
- Longer life and higher quality of life in the later years

[a]*Regular, moderate physical activity supports healthy immune function, but intense, vigorous, prolonged activity such as a marathon race may temporarily compromise immune function.*

Sources: P. T. Katzmarzyk and coauthors, Sedentary behavior and health: Update from the 2018 Physical Activity Guidelines Advisory Committee, Medicine and Science in Sports and Exercise *51 (2019): 1227–1241, doi: 10.1249/MSS.0000000000001935; D. C. Nieman and L. MI Wentz, The compelling link between physical activity and the body's defense system,* Journal of Sport and Health Science *8 (2019): 201–217, doi: 10.1016 /j.jshs.2018.09.009; 2018 Physical Activity Guidelines Advisory Committee,* 2018 Physical Activity Guidelines Advisory Committee Scientific Report *(Washington, DC: U.S. Department of Health and Human Services, 2018); K. A. Alkadhi, Exercise as a positive modulator of brain function,* Molecular Neurobiology *(2017), epub ahead of print, doi: 10.1007/s12035-017-0516-4.*

myokines (MY-oh-kynz) signaling proteins secreted by working skeletal muscles that contribute to widespread beneficial effects of exercise on body systems (from the Greek *myo,* meaning muscle, and *kino,* meaning movement).

*The molecules include *irisin* and others, often referred to as *exercise mimetics*.

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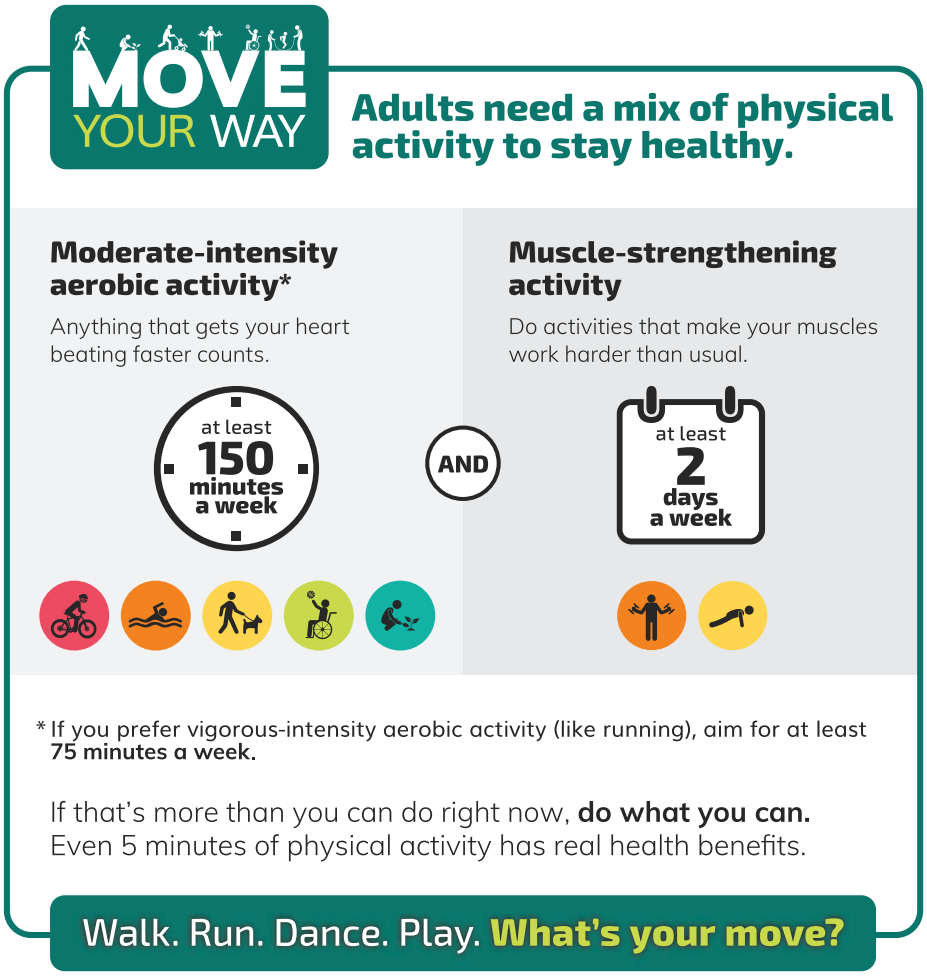
Figure 10–1

Physical Activity Guidelines for Americans

For greatest health benefits, adults need at least 150 to 300 minutes of moderate-intensity aerobic activity each week plus muscle-strengthening activity on at least 2 days each week.



Source: Office of Disease Prevention and Health Promotion, U.S. Department of Health and Human Services, https://health.gov/.

Physical Activity Guidelines for Americans The Physical Activity Guidelines for Americans specify how much **aerobic activity** and **resistance training** (strenthening exercises) are required to improve or maintain health. The length of time (exercise duration) needed to meet these guidelines varies by the **intensity** of the activity—physical activity of moderate intensity must last relatively longer to meet the guidelines; more vigorous exercise can do so in a shorter time. Table 10–2 (p. 364) displays activity intensity levels.

Activity guidelines for children and pregnant women appear in later chapters.

Most health benefits occur with the amounts of activity noted in Figure 10–1, but additional benefits can result from activities of higher intensity, greater frequency, or longer duration. Older people, those with chronic illnesses, and those with disabilities who cannot meet the guidelines should be as active as their conditions allow, based on the advice of their health-care providers. For everyone, some physical activity, even 10 minutes a day, is better than none. Everyone should make safety during exercise a high priority, and the Think Fitness section (p. 367) provides some tips.

aerobic activity physical activity that involves the body's large muscles working at light to moderate intensity for a sustained period of time. Brisk walking, running, swimming, and bicycling are examples. From the Greek, aero meaning "air" + bios meaning "life." Also called *endurance activity*.

resistance training physical activity that develops muscle strength, power, endurance, and mass. Resistance can be provided by free weights, weight machines, other objects, or the person's own body weight. Also called *weight training, resistance exercise*, or *strength exercise*.

intensity in exercise, the degree of effort required to perform a given physical activity.

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Table 10–2

Intensity of Physical Activity

Level of Intensity	Breathing and/or Heart Rate	Perceived Exertion (on a Scale of 0 to 10)	Talk Test	Energy Expenditure	Walking Pace
Light	Little to no increase	<5	Able to sing	<3.5 cal/min	<3 mph
Moderate	Some increase	5 or 6	Able to have a conversation	3.5 to 7 cal/min	3 to 4.5 mph (100 steps per minute or 15 to 20 minutes to walk 1 mile)
High (vigorous)	Large increase	7 or 8	Conversation is difficult or "broken"	>7 cal/min	>4.5 mph

To achieve or maintain a healthy body weight through increasing physical activity demands more than the amount needed for health. Most people with weight-loss goals are best served by combining calorie-restricted diets with increased physical activity.

Guidelines for Sports Performance Athletes who compete in sports require specific types and amounts of physical activity to train for performance, so special guidelines apply to them. Appendix H at the back of the book offers guidelines for sports and fitness from the American College of Sports Medicine that are more specific and also more demanding than the Physical Activity Guidelines for Americans. Appendix H also offers a sample balanced workout program that develops all components of fitness.

Key Point

- The Physical Activity Guidelines for Americans aim to improve physical fitness and the health of the nation.

The Essentials of Fitness

LO 10.2 Describe muscle adaptability and the effects of physical training.

To become physically fit, you need to develop enough **flexibility**, **muscle strength**, **muscle endurance**, and **cardiorespiratory endurance** to allow you to meet the everyday demands of life with some to spare. You also need to achieve a reasonable body composition.

So far, the description of fitness applies to anyone interested in improving health. For athletes, however, excelling in sports performance often becomes the primary motivator for working out. Athletes must strive to develop strength and endurance, of course, but they also need **muscle power** to drive their movements, quick **reaction time** to respond with speed, **agility** to instantly change direction, increased resistance to **muscle fatigue**, and mental toughness to carry on when fatigue sets in.

How Do Muscles Adapt to Physical Activity?

A person who engages in physical activity *adapts* by becoming a little more able to perform the activity after each session. People shape their bodies by what they choose to do (and not do), a concept illustrated in Figure 10–2. Muscle cells and tissues respond to a physical activity **overload** by building, within genetic limits, the structures and metabolic equipment needed to perform the activity.

Muscles are constantly undergoing renovation. Every day, particularly during the fasting periods between meals, a healthy body degrades a portion of its muscle protein

flexibility the capacity of the joints to move through a full range of motion; the ability to bend and recover without injury.

muscle strength the ability of muscles to overcome physical resistance. This muscle characteristic develops with increasing workload rather than repetition and is associated with muscle size.

muscle endurance the ability of a muscle to contract repeatedly within a given time without becoming exhausted. This muscle characteristic develops with increasing repetition rather than increasing workload and is associated with cardiorespiratory endurance.

cardiorespiratory endurance the ability of the heart, lungs, and metabolism to sustain large-muscle exercise of moderate to high intensity for prolonged periods.

muscle power the efficiency of a muscle contraction, measured by force and time.

reaction time the interval between stimulation and response.

agility nimbleness; the ability to quickly change directions.

muscle fatigue diminished force and power of muscle contractions despite consistent or increasing conscious effort to perform a physical activity.

overload an extra physical demand placed on the body; an increase in the frequency, duration, or intensity of an activity. A principle of training is that for a body system to improve, it must be worked at frequencies, durations, or intensities that increase by increments.

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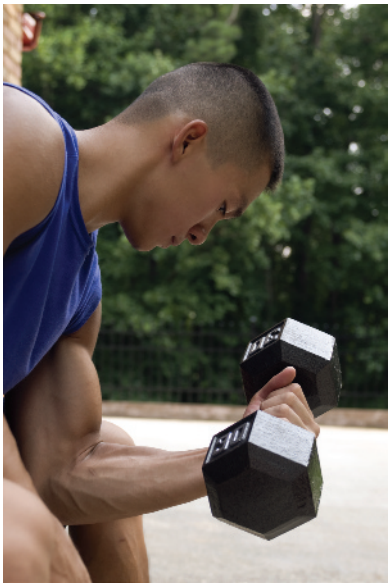
Figure 10–2

Muscles Adapt to Physical Activity

Repeated physical activity prompts the body to build the structures needed to meet the demand.



Amanda Mills

Stephen Mcsweeny/Shutterstock.com

to amino acids and later rebuilds it as amino acids become available during fed periods. A balance between degradation and synthesis maintains the body's lean tissue. To gain muscle strength and size, however, this balance must more often tip toward synthesis, a condition called **hypertrophy**, than toward muscle breakdown, which results in **atrophy**. Physical activity tips the balance toward hypertrophy. The opposite is also true: unused muscles diminish in size and weaken over time—they atrophy.

Muscle hypertrophy is an example of positive nitrogen balance, a concept illustrated in **Figure 6–15** in Chapter 6, p. 197.

Muscle synthesis is conservative. The muscles adapt and build only the proteins they need to cope with the work performed. Muscles engaged in activities that require strength develop greater bulk, whereas those engaged in endurance activities develop more metabolic equipment to combat muscle fatigue. Thus, a tennis player may have one superbly strong arm, while the other is just average; cyclists often have well-developed legs that can pedal for many hours but less development of the arms or chest.

A Balance of Activities For most people, performing a variety of physical activities that work different muscle groups from day to day produces balanced fitness. Stretching enhances flexibility, aerobic activity improves cardiorespiratory and muscle endurance, and resistance training develops the strength, size, and endurance of the worked muscles.

Muscles need rest, too, because it takes a day or two to fully replenish muscle fuel supplies and to repair wear and tear. With greater work comes more damage, and muscles require longer rest periods for a full recovery. (A muscle or joint that remains sore after about a week of rest may be injured and in need of medical attention.)

Targeted Activities A planned program of training can induce the development of specific muscle tissues and fuel systems. The muscle cells of a trained weightlifter store extra glycogen granules, build up strong connective tissues, and add bulk to the special

hypertrophy (high-PURR-tro-fee) an increase in size (for example, of a muscle) in response to use.

atrophy (AT-tro-fee) a reduction in size (for example, of a muscle) because of disuse.

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proteins that contract the muscles, increasing their strength.* In contrast, the muscle cells of a distance swimmer build more of the enzymes and structures needed for aerobic metabolism. Therefore, if you wish to become a better jogger, swimmer, or biker, you should train in ways that benefit your sport. Your performance will improve as your muscles adapt to the activity.

Key Points

- The components of fitness are flexibility, muscle strength, muscle endurance, and cardiorespiratory endurance.
- Muscle protein is built up and broken down every day; muscle hypertrophy occurs when synthesis exceeds degradation; atrophy occurs when degradation is dominant.
- Physical activity builds muscle tissues and the metabolic equipment needed for the activities they are repeatedly called upon to perform.

How Does Aerobic Training Benefit the Heart?

Aerobic endurance training reliably and efficiently improves some key indicators of cardiovascular health.[6] Such exercise, performed regularly, diminishes the risks of diabetes and hypertension, major contributors to heart disease, while improving the blood lipid profile. In addition, aerobic endurance training enhances leanness, a plus for the heart, and confers a fit, toned appearance to the limbs and torso.

Improvements to Blood, Heart, and Lungs Cardiorespiratory endurance enables the working body to remain active with an elevated heart rate over time. As cardiorespiratory endurance improves, the body delivers oxygen to the tissues and removes cellular wastes more efficiently. In fact, the accepted measure of a person's cardiorespiratory fitness is the rate at which the tissues consume oxygen—the maximal oxygen uptake (**VO_{2max}**). This measure reflects many facets of oxygen delivery that improve with regular aerobic exercise.

As the heart muscle grows stronger and larger, the heart's **cardiac output** increases. Each beat empties the heart's chambers more completely, so the heart pumps more blood per beat—its **stroke volume** increases. The resting heart rate slows because a greater volume of blood is moved with fewer beats. Capillary networks proliferate, circulation through the arteries and veins improves, blood moves easily, and blood pressure falls. Figure 10–3 (p. 368) shows the major relationships among the heart, lungs, and muscles, and Table 10–3 describes cardiorespiratory endurance.

Table 10–3

Cardiorespiratory Endurance

Cardiorespiratory endurance is characterized by:

- Increased heart strength and stroke volume
- Slowed resting pulse
- Increased breathing efficiency
- Increased capillary networks.
- Improved circulation and oxygen delivery
- Reduced blood pressure
- Increased blood HDL cholesterol

Source: Y. Hellsten and M. Nyberg, Cardiovascular adaptations to exercise training, Comprehensive Physiology *6 (2015): 1–32.*

Cardiorespiratory Training Activities Effective cardiorespiratory training activities have these characteristics:

- They elevate the heart rate for sustained periods of time.
- They use most of the large-muscle groups of the body (for example, legs and buttocks, or chest and shoulders).

Examples are swimming, cross-country skiing, rowing, fast walking, jogging, running, fast bicycling, soccer, hockey, basketball, in-line skating, lacrosse, and rugby.

The rest of this chapter describes the interactions between nutrients and physical activity. Nutrition alone cannot endow you with fitness or athletic ability, but along with consistent physical activity and the right mental attitude, it complements your effort to obtain them. Conversely, unwise food selections can stand in your way.

Key Points

- Cardiorespiratory endurance training enhances the ability of the heart and lungs to deliver oxygen to body tissues.
- Cardiorespiratory training activities elevate the heart rate for sustained periods of time and engage the body's large muscle groups.

VO_{2max} the maximum rate of oxygen consumption by an individual (measured at sea level).

cardiac output the volume of blood discharged each minute by the heart.

stroke volume the volume of oxygenated blood ejected from the heart toward body tissues at each beat.

*All muscles contain a variety of muscle fibers, but there are two main types—slow-twitch (also called red fibers) and fast-twitch (also called white fibers). Slow-twitch fibers contain extra metabolic equipment to perform aerobic work, which gives them a reddish appearance under a microscope; fast-twitch fibers store extra glycogen required for anaerobic work, giving them a lighter appearance.

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Think Fitness Exercise Safety

Physical activity is clearly beneficial to most people but it is not without risk. For example, the American Heart Association warns that vigorous physical activity, particularly in unfit individuals, can increase the risk of heart attack and even death in those who are susceptible.[7] The physical impact involved in contact sports—football is a typical example—presents serious risks of head and neck injuries. In other activities, torn ligaments, broken bones, and even strains and sprains can sideline participants.

Keeping safe during physical activity depends on both common sense and education. The United States Department of Agriculture (USDA) suggests following these guidelines:

- Choose activities appropriate for your current fitness level.
- Gradually increase the amount of physical activity you perform.
- Be active all week, not just on the weekends.
- Wear appropriate safety gear, including the correct shoes, helmet, pads, and other protection.
- Develop the flexibility and balance needed in your activity.
- Use forethought when choosing when and where to exercise; for example, avoid the hottest hours of the day in summer, choose safe bike paths away from heavy traffic, and run with a buddy on isolated trails.
- Stop the activity and get immediate medical attention for serious symptoms, such as abnormal heartbeat, dizziness, confusion, or pain or pressure in the chest, jaw, neck, or arm.
- People with medical problems or increased disease risks should consult their physicians before beginning any program of physical activity.[8]

In addition, people can easily injure themselves by using improper techniques during strength training, particularly when it involves equipment. Many people can benefit from consulting with a Certified Personal Trainer (CPT), who can help develop a safe and effective individualized exercise program. Some personal trainers have a more advanced credential, the Certified Strength and Conditioning Specialist (CSCS), which requires completion of a college curriculum that includes human anatomy and exercise physiology; they must also pass a nationally recognized examination. Also, unless a trainer possesses a legitimate nutrition credential, he or she is not qualified to dispense diet advice.

Fake nutrition credentials were described in Controversy 1 (p. 23). The same kinds of skullduggery occur in the field of physical training.

Start now! Create a fitness plan that gradually increases both the time and the intensity of your physical activity. Use a calendar to record your daily plan for several weeks; then record your actual activity on each of those days.

Three Energy Systems

LO 10.3 Describe the three energy systems that support the body's muscular work.

Whether belonging to an athlete, a growing child, or an office worker, the human body uses the same energy systems, performing multiple chemical reactions, to fuel its work. These systems include the body's **energy reservoir**, the **anaerobic** fuel system, and the **aerobic** fuel system. All three systems function continuously, supplying energy for the heartbeat, breathing, cellular activities, and other life-sustaining work. Then, when physical activity demands arise, they respond in ways that meet the body's additional energy needs of the moment.

The Muscles' Energy Reservoir

The body's energy reservoir is composed of high-energy compounds that trap and store energy. In the muscles, these high-energy compounds are found exactly where they are needed for muscular work—on the microscopic fibers that contract the muscles.* Whenever muscles move, say, to blink the eyes or type on a keyboard, these high-energy

energy reservoir a system of high-energy compounds that hold, store, and release energy derived from the energy-yielding nutrients and transfer it to cell structures to fuel cellular activities.

anaerobic (AN-air-ROH-bic) not requiring oxygen.

aerobic (air-ROH-bic) requiring oxygen.

*The energy reservoir is also called the *phosphagen system*, referring to high-energy compounds that contain the mineral phosphorus, such as ATP (adenosine triphosphate) and CP (phosphocreatine), key players in energy metabolism.

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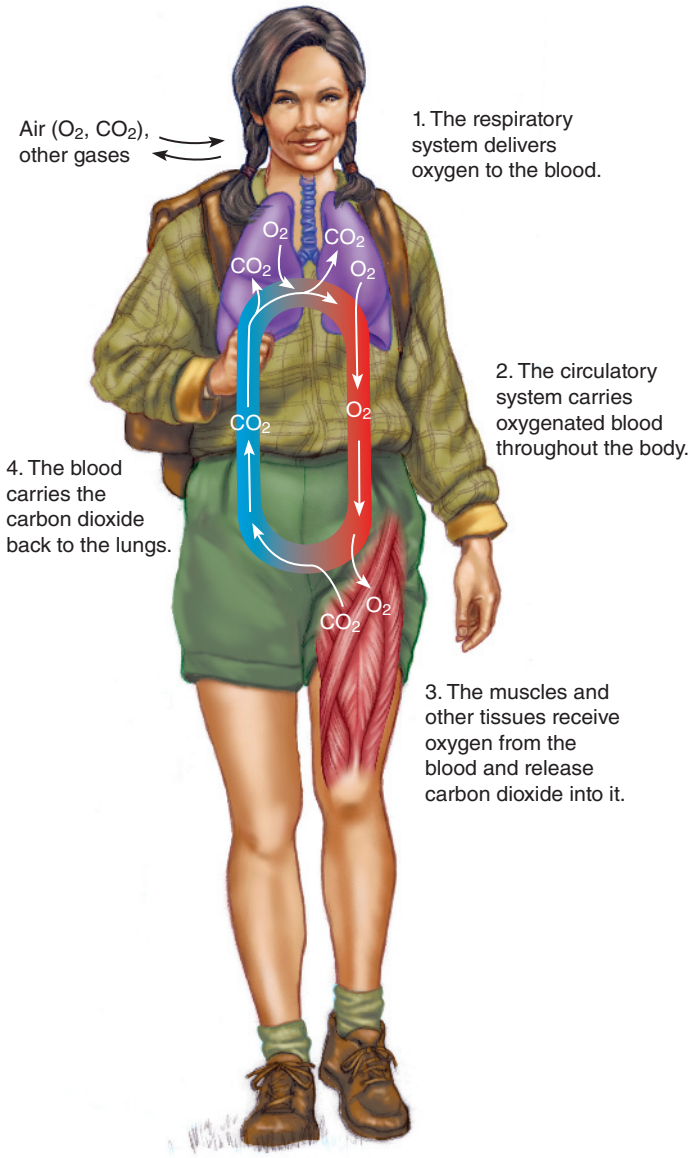
Figure 10–3

Delivery of Oxygen by the Heart and Lungs to the Muscles



The cardiorespiratory system responds to increased demand for oxygen by building up its capacity to deliver oxygen. Researchers can measure cardiovascular fitness by measuring the amount of oxygen a person consumes per minute while working out. This measure of fitness, which indicates the person's maximum rate of oxygen consumption, is called VO_{2max}.

molecules split apart, releasing and transferring their load of pent-up energy to power the work of the muscle tissue.

This ready pool of energy also drives short bursts of intense physical activity lasting up to about 20 seconds, such as when a weightlifter heaves a heavy weight or a child darts to grab the best swing on the playground. Using energy from the reservoir requires no oxygen input, but the reservoir's capacity is very limited, and once depleted, it must be replenished by way of anaerobic and aerobic energy nutrient breakdown, described next, and illustrated in Figure 10-4.

Key Points

- High-energy molecules trap and store energy from energy-yielding nutrients and can transfer that energy to fuel cellular work.
- Bursts of physical activity lasting just seconds require the immediate energy of the reservoir.

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The Anaerobic Energy System

Muscles performing high-intensity work lasting more than a few seconds rely heavily on the anaerobic energy system, sometimes called the *lactic acid system* because it generates the compound **lactate** (as shown in the upper portion of Figure 10–4). This system speeds up as the energy reservoir runs down, drawing on the body's supply of glucose.

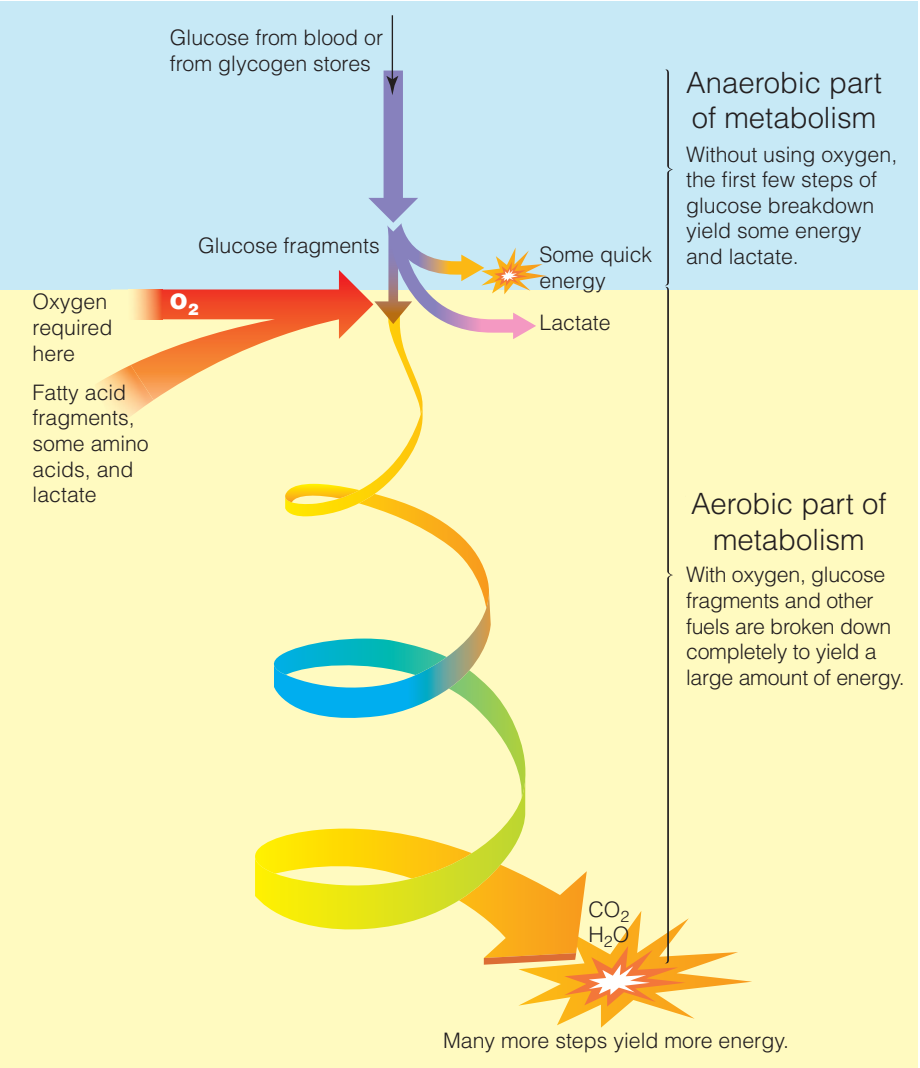
Intense ongoing physical activity that makes it hard "to catch your breath" uses so much energy so quickly that the energy demand outpaces the human body's ability to provide it through its efficient oxygen-using fuel system. The lungs, heart, and blood vessels simply cannot keep up. A person exercising intensely for 3 or 4 minutes—for example, a sprinter racing for 800 meters of distance or a late student running hard to get to class—obtains about half of the needed energy from the anaerobic energy system.

Anaerobic metabolism can generate copious energy, but it extracts only a fraction of the available energy from each glucose molecule by partially breaking it down and quickly moving on to the next, casting aside the by product lactate. No other fuel—not amino acids or fatty acids—can replace glucose in this system. Thus, the anaerobic

Figure 10–4

Glucose and Fatty Acids Releasing Energy in Muscle Cells

When the cells' energy reservoir diminishes, anaerobic and aerobic breakdown of fuels restore it.



lactate an energy-yielding compound produced during the breakdown of glucose in anaerobic metabolism; with training, muscles gain efficiency in using lactate as fuel.

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system draws heavily on glucose stores. Its key advantage, however, is the capacity to produce abundant energy quickly to fuel intense exercise without requiring the input of oxygen.

Key Points

- The anaerobic energy system partially breaks down glucose to yield energy without using oxygen and is particularly important during bouts of high-intensity activity.
- Lactate is produced during anaerobic metabolism.

The Aerobic Energy System

The efficient, oxygen-dependent aerobic energy system wrings every last calorie of energy from each energy nutrient molecule. Glucose, certain amino acids, the body's abundant fatty acids, and even some lactate, are used as fuels. This system demands the input of sufficient oxygen, and although it always delivers a steady stream of energy to the breathing body, the system speeds up during exercise. Aerobic metabolism supplies almost half of a sprinter's energy, whose effort lasts just seconds, but it supplies more than 90 percent of the energy used by a long-distance swimmer who swims for hours on end. Likewise, a jogger can go long distances, breathing easily, the heart beating steadily, relying on aerobic metabolism to supply most of the needed energy.

In contrast to anaerobic metabolism, aerobic metabolism depends more heavily on fatty acids for fuel, sparing glucose and conserving glycogen. The bottom half of Figure 10–4, p. 369, shows that the ample oxygen supplies available during aerobic activity facilitate the extraction of abundant energy from fuels.

Key Points

- The aerobic energy system uses fuels most efficiently and conserves the body's glycogen stores.
- Aerobic metabolism fuels moderate-intensity activity over long duration.

The Active Body's Use of Fuels

LO 10.4 Explain how glucose, fatty acids, and protein support muscular work.

Athletes and other exercisers often hear claims, mostly from sellers of nutrient products, about their need for energy-yielding nutrients. The following sections present the current scientific knowledge about the active person's need for and use of the energy-yielding nutrients.

The Need for Food Energy

Highly active people require copious amounts of fuel for their physical activities, and even more to sustain normal body functions, such as immunity and reproduction. Without sufficient **energy availability**, the hormones, muscles, bones, and other major body organs become impaired.* An athlete in training, for example, requires hundreds or even thousands of calories a day above off-season intakes. So does an adolescent athlete, who must take in sufficient calories of food to support both physical activity and growth.

Pinpointing the energy need of an individual athlete requires special consideration, and methods used for other people (see Chapter 9) may underestimate the need.[9] Some reasons why include:

- *body composition*. An athlete's body composition differs significantly from average.

energy availability the amount of food energy consumed in a day minus the energy expended in physical activity; measured in calories per kilogram of lean body mass.

*A name proposed to describe low energy availability and resulting harms is *relative energy deficiency in sport (RED-S)*.

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- *resting metabolism.* An athlete may use half or less of the total daily energy expenditure to maintain basic body functions, whereas a sedentary person may use up to 80 percent.[10]*
- *work intensity.* An athlete's work intensity is often far greater than average.

Intensive physical work costs more energy to perform and also to recover from. For some minutes or hours following intense activity, the body's metabolism stays high, expending extra fuels even during rest. This phenomenon, known as **excess postexercise oxygen consumption (EPOC)**, can demand significant energy in athletes and other highly active people.[11]

In contrast, the great majority of physically active people who work out lightly two or three times a week for fitness or weight management require few or no extra calories. These active people need only consume a nutritious calorie-controlled diet that follows the dietary patterns of the Dietary Guidelines for Americans, along with proper hydration, to perfectly meet their needs. Fitness seekers who, on learning about EPOC, dream of a quick and easy workout that "burns fat while they sleep" should be aware that a significant threshold of intensity and duration must be met to induce even small postexercise energy expenditures.

© Polara Studios, Inc.

Foods like these are packed with the nutrients that active people need.

Key Points

- Sufficient energy intake is required to fuel physical activity and sustain normal body functions.
- Low energy availability can significantly compromise an athlete's performance and health.
- Excess postexercise oxygen consumption (EPOC) can cost significant energy in some athletes, but most weight-loss seekers do not achieve significant calorie deficits from EPOC.

Carbohydrate: Vital for Exercisers

Glucose is vital to physical activity. In the first few minutes of an activity, muscle glycogen provides the great majority of the extra energy that muscles use for action. This is beneficial to exercisers because glucose quickly yields energy needed for fast action. Glycogen molecules are continually broken down throughout physical activity, and the process speeds up as exercise intensity increases.

Quick Energy from Blood Glucose In addition to using their own glycogen, exercising muscles draw available glucose from the bloodstream. You might suspect, then, that exercise would cause a large drop in blood glucose concentration, but this is not the case. *Before* a fall in the blood glucose can occur, exercise triggers the release of a host of molecular messengers into the bloodstream, including the pancreatic hormone glucagon. Glucagon signals the liver to liberate glucose from its glycogen stores and to make new molecules of glucose for release into the bloodstream. This fresh supply of glucose is rapidly picked up and used by working muscles.

Prolonged Energy from Glycogen Stored glycogen is not inexhaustible. It can yield 2,000 calories of glucose at most. An athlete's fat stores, in contrast, can yield 70,000 calories or more, enough to fuel several marathon races, but fat cannot sustain physical work without glucose. At some point during physical activity, glycogen begins to run out. The liver simply cannot make glucose fast enough to meet the demand.

Glucagon's effects on the liver are explained in **Chapter 4**.

Athletes who begin an activity with full glycogen stores have enough glucose fuel to last during sustained exercise. For most active people, a normal, balanced diet keeps glycogen stores full. For athletes engaged in heavy training or competition, the more

excess postexercise oxygen consumption (EPOC) a measure of increased metabolism (energy expenditure) that continues for minutes or hours after cessation of exercise.

*The measure of energy is the resting metabolic rate, often used in research.

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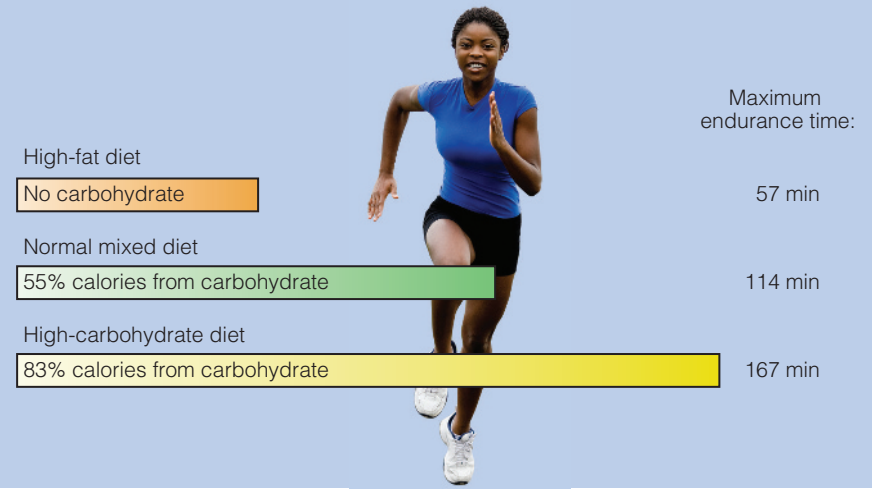
Figure 10–5

The Effect of Diet on Physical Endurance

Carbohydrate supports an athlete's endurance.



Jupiterimages/PHOTOS.com/Getty Images

Source: J. Bergstrom and coauthors, Diet, muscle glycogen, and physical performance, Acta Physiologica Scandinavica *71 (1967): 140–150.*

carbohydrate they eat, the more glycogen the muscles will store (within limits), and the longer the stores will last to support physical activity.

A classic study compared endurance during physical activity in three groups of runners, each on a different diet. For several days before testing, one of the groups ate a normal mixed diet; the second group ate a high-carbohydrate diet; and the third group ate a high-fat diet. As Figure 10–5 shows, the high-carbohydrate diet enabled the athletes to work longest before exhaustion. Current evidence supports high intakes of dietary carbohydrate (about 60 percent of calories) to help sustain endurance by ensuring ample glycogen stores.[12]

Exercise Duration and Intensity Affect Glycogen Use The *duration* of a physical activity, as well as its *intensity*, affects how long glycogen supplies will last. Muscle cells pack their stored glycogen close to their contractile fibers and energy-processing structures to ensure quick access to glucose energy. As the muscles devour their own glycogen, they become ravenous for more glucose and dramatically increase their uptake of blood glucose. Within the first 20 minutes of moderate activity, a person uses up about one-fifth of the available glycogen.

A person who exercises moderately for longer than 20 minutes begins to use less glucose and more fat for fuel. Still, glucose use continues, and if the activity goes on long enough and at a high enough intensity, muscle and liver glycogen stores will run out almost completely (Figure 10–6). When glycogen depletion reaches a certain point, it brings nervous system function almost to a halt, making continued activity at the same intensity impossible. Marathon runners refer to this point of exhaustion as "hitting the wall."

Degree of Training Affects Glycogen Use Consistent training affects glycogen use during activity in two major ways. First, muscles adapt to their work by storing the extra amounts of glycogen needed to support that work. Second, trained muscles burn more fat, and at higher intensities, than untrained muscles, so they require less glucose to perform the same work. A person first attempting an activity uses up much more glucose per minute than an athlete trained to perform it.

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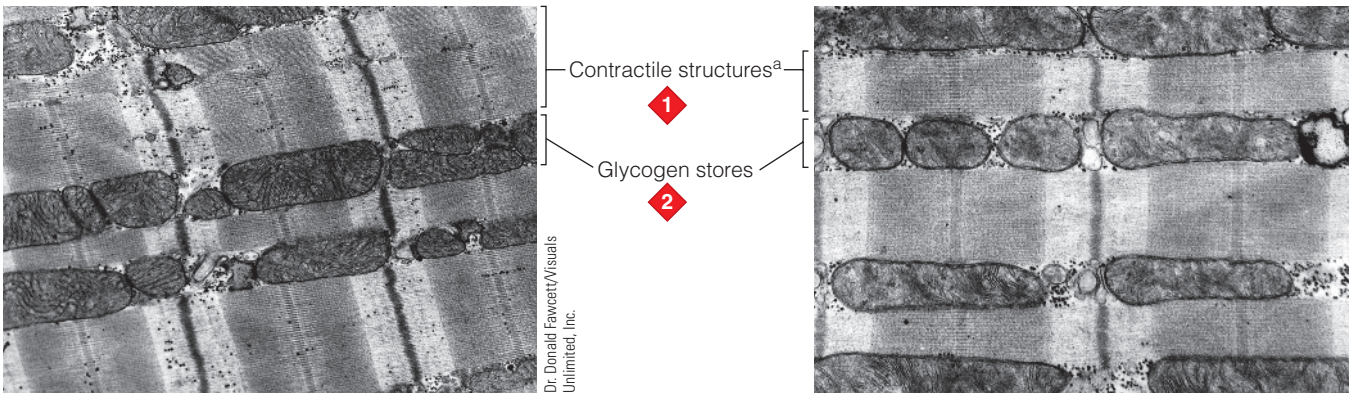
Figure 10–6

Glycogen—Before and After Physical Activity

These electron micrographs magnify part of a muscle cell by 20,000 times, revealing the orderly rows of contractile structures within. The dark granulated substance is glycogen. In the photo on the left, the cell's glycogen stores are full; on the right, they have been depleted by exercise.

1 The orderly rows that appear to be striped at intervals are protein structures that contract the muscles.[a]

2 The black oblong rows between the contractile structures contain much of the muscle's glycogen. More glycogen granules (black dots) are also scattered within the contractile parts (visible at the left but depleted at the right).



[a]*The contractile structures of the muscle cells are myofibrils.*

In summary, these three factors affect glycogen use during physical activity:

- Carbohydrate intake.
- Intensity and duration of the activity.
- Degree of training.

Inflow of Glucose from Food In addition to the body's stored glycogen, glucose from the digestive tract makes its way to the working muscles during activity. For example, carbohydrate taken in during an ultramarathon may have helped some runners to finish a 100-mile race.* During the race, the finishers consumed almost twice as many calories and carbohydrates per hour as nonfinishers. The extra carbohydrate *may* have helped them win, but an alternative explanation exists: the runners who ate more and finished the race may have been less prone to digestive disturbances. Many long-distance runners develop digestive disturbances, such as vomiting, that interfere with eating, and these problems can become severe enough to cause a runner to forfeit a race.[13]

Competitors in sports that require repeated bursts of intense activity, such as basketball or soccer, may also benefit from taking in extra carbohydrate during an event, but research has yet to pinpoint optimal intakes. Before concluding that extra glucose during activity might boost your own exercise performance, consider first whether you engage in sustained endurance activity or repeated high-intensity activity. Do you run, swim, bike, or ski nonstop at a rapid pace for more than an hour at a time? Do you compete in high-intensity games lasting for several hours? Does your sport or training demand several bouts of high-intensity activity in one day, or is it repeated on several successive days? If not, you may not need extra glucose during your activity; a nutrient-dense diet with ample carbohydrate may better serve your needs.

Glucose from Lactate As glucose fuels anaerobic metabolism, it generates lactate (review Figure 10–4, p. 369). Muscles working aerobically (at low intensity) readily

*A marathon is a footrace of 26 miles and 385 yards (42.2 kilometers); an ultramarathon is a race longer than a marathon. (*Ultra* is Latin for *beyond*).

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use up or clear away the small quantities of lactate they produce. At higher intensities, anaerobic metabolism generates excess lactate that accumulates and overflows into the bloodstream which carries it to the liver. The liver possesses enzymes to convert this lactate into new glucose molecules, which then cycle back to the working muscles. Thus, lactate's value during high-intensity exercise becomes clear: it serves as a raw material for new glucose molecules that the muscles can use.[14] In addition, the presence of lactate acts as a signal to the muscles, including heart muscles, to build more of the metabolic equipment needed to perform high-intensity work.

Most people know the burning sensation caused in part by lactate accumulating in a working muscle. However, lactate is not likely a major cause of muscle fatigue.[15] Instead, muscle fatigue consistently follows depletion of muscle glycogen. Other causes may include a drop in muscle pH, depletion of the energy reservoir, excess free radicals, neurotransmitter activities, and other factors. The human experience of fatigue, however, resides in the mind as well as in the muscles, and physiology alone cannot fully explain why one competitor can push past a point where another must stop.

Key Points

- During activity, the hormone glucagon helps prevent a drop in blood glucose.
- Glycogen stores in the liver and muscles affect an athlete's endurance; when glycogen stores are depleted, activity intensity diminishes.
- Intensity and duration of an activity affect glycogen use, as does degree of training.
- Carbohydrate consumption affects glycogen stores and may boost performance during prolonged or repeated exercise.
- Lactate can be recycled into glucose for use by working muscles.

Carbohydrate Recommendations for Athletes

To postpone fatigue and maximize performance, athletes must maintain available glucose supplies for as long as they can. To do so, athletes need abundant dietary carbohydrate. Table 10–4 offers carbohydrate intakes for athletes and others at four

Do the Math:
Calculate an athlete's carbohydrate need.

Find kilograms by dividing pounds by a factor of 2.2.

1 kg = 2.2 lb

For example, for a 130-lb person:

130 lb ÷ 2.2 = 59 kg (rounded)

Now find the recommended grams of carbohydrate per kilogram for an endurance athlete of the same body weight in moderate training (use Table 10–4). In this example, we chose 6 g carbohydrate per kg body weight:

6 × 59 = 354 g carbohydrate per day

Table 10–4

Recommended Daily Carbohydrate Intakes for Athletes

These general research-based guidelines should be adjusted to each athlete's energy needs, training regimen, and performance.

Activity Intesity and Duration	Recomendations (g/kg/day)	Carbohydrate Intakes	
		Male[a]	Female[a]
Low-intensity	3–5	210–350 g (840–1,400 cal)	165–275 g (660–1,100 cal)
Moderate intensity, ≤1 hr/day	5–7	350–490 g (1,400–1,960 cal)	275–385 g (1,000–1,540 cal)
Moderate to high intensity, 1–3 hr/day	6–10	420–700 g (1,680–2,800 cal)	330–550 g (1,320–2,200 cal)
Moderate to high intensity, 4–5 hr/day	8–12	560–840 g (2,240–3,360 cal)	440–660 g (1,760–2,640 cal)

[a]*Daily carbohydrate intakes are based on 70-kilogram (154-pound) reference male and a 55-kilogram (121-pound) reference female. For other active individuals, calculate carbohydrate need by the method shown in the Do the Math feature in the margin.*

Source: Position of the Academy of Nutrition and Dietetics, Dietitians of Canada, and the American College of Sports Medicine: Nutrition and athletic performance, Journal of the Academy of Nutrition and Dietetics *116 (2016): 501–528.*

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activity levels. A minimum number of *grams* of carbohydrate per unit of body weight is necessary to achieve full glycogen stores for a given activity, so amounts are listed in Table 10–4 (column 1) as grams per kilogram of body weight per day (g/kg/d). (The Do the Math feature in the margin demonstrates how to convert pounds to kilograms.) To prepare adequate glycogen stores for days of heavy training or competition, some athletes may benefit from large intakes of carbohydrate—perhaps as much as 12 g/kg/d. The Food Feature (p. 387) demonstrates how to design a diet that delivers the needed carbohydrate.

Carbohydrate before Activity Most of an athlete's glucose is provided by carbohydrate-rich meals consumed throughout the day. In addition, however, glucose taken within a few hours before training or competition is thought to "top off" an athlete's glycogen stores, providing the greatest possible glucose supply to support sustained activity. The **pregame meal** to supply this glucose can take many forms. The Food Feature describes possible options.

A theory called "train low, compete high" suggests that an occasional *low*-carbohydrate training day may increase endurance by forcing muscles to use more fat for fuel and to develop more metabolic equipment for doing so.[16] This scheme may be tolerable for light training, but when athletes want to work their hardest and longest, ample carbohydrate is necessary before, during, and after training and competition.

Stefan Holm/Shutterstock.com

Endurance activities demand fluid and carbohydrate fuel. Don't forget to hydrate.

Carbohydrate during Activity Carbohydrate consumption *during* prolonged activity may improve athletic endurance. Eating during activity can be tricky, though, because it can cause digestive distress severe enough to reduce performance.[17] The best carbohydrate sources during activity are easy to consume, smooth-textured, and low in fiber and fat; such foods facilitate monosaccharide absorption. During long bicycle races, for example, competitors may find that bananas, fruit juices, dried fruits, and energy bars provide carbohydrate energy and help banish distracting feelings of hunger. (Extreme caution is required to prevent choking.) For athletes who cannot eat solid foods while exercising, commercial **high-carbohydrate energy drinks** and commercial **high-carbohydrate gels** are portable alternatives. Such products are higher in calories and carbohydrate than the fluid-replacement sports drinks discussed in the Consumer's Guide (p. 384). Concentrated beverages and gels must be taken with extra water to ensure hydration during activity.

Carbohydrate after Activity Rapid recovery of glycogen stores is important to people who compete or train intensely more than once a day, or on consecutive days with less than a 24-hour recovery period. A window of opportunity opens during the hour or two following glycogen-depleting physical activity, when carbohydrate intake speeds up the rate of glycogen synthesis.[18] This rapid rate of glycogen storage may help restore glycogen for the next bout of high-intensity training or competition. The concept of recovery meals and its application in an athlete's diet are described in the Food Feature (p. 387).

Key Points

- Carbohydrate recommendations for athletes are stated in grams per kilogram of body weight per day.
- Carbohydrate intakes before, during, and after physical exertion can help support the performance of endurance activities.

pregame meal the meal consumed in the hours before prolonged or repeated athletic training or competition, typically designed to boost the glycogen stores of endurance athletes.

high-carbohydrate energy drinks flavored commercial beverages used to restore muscle glycogen after exercise or as pregame beverages.

high-carbohydrate gels semisolid, easy-to-swallow supplements of concentrated carbohydrate, commonly with potassium and sodium added; not a fluid source.

Fat as Fuel for Physical Activity

Unlike the body's limited glycogen stores, fat stores can fuel hours of activity without running out. Body fat is a virtually unlimited source of energy for exercise.

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Early in activity, muscles begin to draw on fatty acids from two sources—fats from stores within the working muscles and fats from fat deposits such as the adipose tissue under the skin. Areas with the most fat to spare donate the greatest amounts. This is why "spot reducing" doesn't work: muscles do not own the fat that surrounds them. Instead, adipose tissue cells release fatty acids into the blood for all the muscles to share. Proof is once again found in a tennis player's arms: the skinfolds measure the same in both arms, even though the muscles of one arm are more developed than those of the other.

Skinfold tests were described in Chapter 9, p. 323.

Activity Intensity and Duration Affect Fat Use Fat can be broken down for energy only by aerobic metabolism. During physical activity of light or moderate intensity, adipose tissue releases fatty acids into the bloodstream that provide most of the fuel for muscular work through aerobic metabolism. When the intensity of activity becomes so great that energy demand surpasses the ability to provide more energy aerobically, the muscles cannot burn more fat. They burn more glucose instead. Adipose tissue seems to adjust its delivery of fatty acids to match the needs of the muscles at work, releasing more during moderate activity and releasing less during high-intensity exercise.

The *duration* of activity also affects fat use. At the start of activity, the blood fatty acid concentration falls, but a few minutes into moderate activity, blood flow through the adipose tissue capillaries greatly increases, and hormones, including epinephrine, signal the fat cells to dismantle their stored triglycerides. Fatty acids flow into the bloodstream at double or triple the normal rate. After about 20 minutes of sustained, moderate aerobic activity, the fat cells begin to shrink in size as they draw on their lipid stores.

Degree of Training Affects Fat Use Training, performed consistently, stimulates the muscles to develop more fat-burning metabolic enzymes, so trained muscles can use more fat at greater exercise intensities than untrained muscles do. With aerobic training, the heart and lungs also become stronger and better able to deliver oxygen to the muscles during high-intensity activities. The improved oxygen supply, in turn, helps the muscles to use more fat for fuel.

Key Point

- The intensity and duration of the activity, as well as the degree of training, affect fat use.

Fat Recommendations for Athletes

For endurance athletes, eating a high-fat, low-carbohydrate diet for even a day or two depletes precious glycogen stores and makes exercise more difficult. Eventually, muscles do adapt to such a diet and use more fat and ketones to fuel activity, but athletes on high-fat diets report greater fatigue and become exhausted much sooner than those consuming high-carbohydrate diets.[19] Ketogenic diets offer no performance advantages, despite the claims made in the popular press.[20]

Essential fatty acids and fat-soluble nutrients are as important for athletes as they are for everyone else, so experts recommend a diet with 20 to 35 percent of calories from fat.[21] Omega-3 fatty acids, in particular, may reduce inflammation—and tissue inflammation is both the result and the enemy of physical performance. This doesn't mean that athletes need fish oil supplements; rather, they need to consume the amounts of fatty fish recommended for health.

As for saturated and trans fats, they pose the same heart disease risk for athletes as they do for other people. Physical activity reduces the risk of cardiovascular disease, but athletes still suffer heart attacks and strokes; low saturated and trans fat intakes reduce these risks.

To summarize, then, these three factors affect fat use during physical activity:

- Fat intake
- Intensity and duration of the activity
- Degree of training

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Physical activity itself triggers the building of muscle proteins.

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Key Points

- Athletes should follow the lipid intake recommendations of the Dietary Guidelines for Americans.
- A diet high in saturated or trans fat raises an athlete's risk of heart disease.

Protein for Building Muscles and for Fuel

The active body uses amino acids from protein to build and maintain muscle and, to some extent, to provide fuel. Physical activity provides the primary signal for building needed muscle proteins and for breaking down other, unneeded ones. Sufficient high-quality dietary protein is of critical importance in this regard.

Does Timing of Protein Intake Matter? In the hours following exercise, muscle protein synthesis accelerates, increasing the demand for amino acids. Some amino acids become available for reuse when old unneeded muscle structures are dismantled to make way for new needed ones. The rest of the demand must be met by diet.

Gradually, over the course of a day or so, muscle synthesis and breakdown slow to a normal resting pace. Repeated over time, these processes build and reshape the muscles to better meet the physical demands placed on them.

In laboratory rats, an infusion of branched chain amino acids (BCAA), particularly **leucine**, injected into a vein causes the rate of protein synthesis to triple for a time, after which the rate drops dramatically. Supplement sellers have used this evidence to imply that people can take oral amino acids or leucine pills to build bigger muscles without exercise, but research does not support this idea.[22] Such supplements provide no athletic advantages, and they present a risk of amino acid imbalance. Muscles can safely obtain all the needed amino acids in the right balance from protein-rich foods.

To support muscle tissue synthesis during training or competition, many experts suggest 4 to 5 small meals that provide moderate amounts (20 to 30 grams) of high-quality protein, consumed at regular intervals throughout the day.[23] In doses too large, say, 40 grams at a sitting, the amino acid influx exceeds the ability of the muscles to synthesize protein, and any excess protein is used as fuel. Table 10–5 lists some options to provide about 20 grams of protein. For most U.S. athletes, eating extra protein or amino acids will not improve muscle size or strength because their regular intakes are ample.

Table 10–5

Food Portions to Provide 20 Grams of Protein

Protein supplements are not superior to high-quality protein foods. Each of these foods provides essential amino acids (20,000 mg per serving) in a digestible and available form. Other protein-rich foods do the same thing.[a]

Food or Beverage	Amount
Almonds	3 oz
Beef, lean ground	3 oz
Cheese, cheddar	3 oz
Chicken, skinless breast	3 oz
Eggs (white)	6 large
Eggs (whole)	3 large
Milk, low-fat	20 oz
Tofu	8 oz
Tuna, light canned	3 oz
Yogurt, Greek-style	8 oz

[a]*Search the USDA Food Composition Database website (https://fdc.nal.usda.gov/) for the protein values of thousands of other foods.*

Protein Use for Fuel Studies of nitrogen balance show that the body speeds up its use of amino acids for fuel during physical activity, just as it speeds up its use of glucose and fatty acids. The factors that regulate protein use during activity are the same three that regulate the use of glucose and fat: diet, exercise intensity and duration, and degree of training.

Regarding diet, sufficient carbohydrate spares protein from being used as fuel. Too little carbohydrate necessitates the conversion of amino acids to glucose.

Exercise intensity and duration also affect the use of protein fuel. Endurance athletes often deplete their glycogen stores and as a result they depend more on amino acids for energy. In contrast, intense anaerobic strength training does not use as much protein for fuel but demands more protein for building muscle tissue.

Finally, the extent of training also affects the use of protein. Particularly in strength sports such as powerlifting, the higher the degree of training, the less protein fuel a person uses during activity of a given intensity. To summarize, the factors that affect protein use during physical activity include:

- Dietary carbohydrate sufficiency.
- Intensity and duration of the activity.
- Degree of training.

Key Points

- Physical activity stimulates muscle cells to both synthesize and break down proteins, resulting in muscle adaptation to activity.

leucine one of the essential amino acids; it is of current research interest for its role in stimulating muscle protein synthesis.

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Table 10–6

Recommended Daily Protein Intakes for Athletes

	Recommendations[a] (g/kg/day)	Protein Intakes (g/day)	
		Males	Females
DRI for adults	0.8	56	44
Recommended intake for athletes	1.2–2.0	84–140	66–110
US average intake		99	68

[a]*Daily protein intakes are based on a 70-kilogram (154-pound) male and 55-kilogram (121-pound) female. For other individuals, calculate protein need by multiplying kg body weight by the grams of protein recommended.*

SOURCES: Position of the Academy of Nutrition and Dietetics, Dietitians of Canada, and the American College of Sports Medicine: Nutrition and athletic performance, Journal of the Academy of Nutrition and Dietetics *116 (2016): 501–528; US Department of Agriculture, Agricultural Research Service, 2014, Nutrient intakes from food and beverages: Mean amounts consumed per individual, by gender and age,* What We Eat in America, *NHANES, 2011–2012. http://www.ars.usda.gov/nea/bhnrc/fsrg.*

- Sufficient protein intake, but not its timing, is critically important for building muscle tissue; supplements are not superior to food for supplying protein.
- Athletes use amino acids for building muscle tissue and for energy; dietary carbohydrate spares amino acids.
- Diet, intensity and duration of the activity, and degree of training affect protein use during that activity.

Protein Recommendations for Athletes

The DRI committee does not recommend greater-than-normal total protein intakes for athletes, but other authorities do. These greater recommendations vary by the nature of the activities performed (see Table 10–6). As is true for carbohydrates, the protein recommendations are stated in grams per kilogram of body weight per day (g/kg/d). The protein amounts suggested for athletes range from 1.2 to 2 grams per kilogram of body weight.

On learning of the protein demands of physical activity, many athletes go to extremes, doubling or tripling the protein-rich foods they eat or taking amino acid supplements "just to be sure." When protein foods crowd out other needed foods and nutrients, this can be a costly mistake in terms of health and performance. Everyday foods, such as milk, beans and rice, chili, omelets, or turkey sandwiches, deliver high-quality protein with the right mix of amino acids and other nutrients to meet the athlete's need. Foods also present no risk of amino acid imbalances, a known drawback of supplements.

You may be wondering whether you eat enough protein for your own activities. In general, a nutritious diet that provides enough total energy and follows the USDA Dietary Patterns provides enough protein for almost everyone.

Key Points

- The USDA Dietary Patterns provide sufficient protein for casual exercisers and most athletes.
- Some athletes require somewhat more daily protein than the DRI.

Vitamins and Minerals—Keys to Performance

LO 10.5 Explain why vitamins and minerals are important to athletes.

Vitamins and minerals are indispensable to the body's work. Many B vitamins participate in releasing energy from fuels. Vitamin C is needed for the formation of the protein collagen, the foundation material of bones, cartilage, and other connective tissues.

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Folate and vitamin B_{12} help to build red blood cells, and iron carries oxygen to working muscles. Vitamin E helps protect tissues from oxidation. Calcium and magnesium allow muscles to contract, and so on. Do active people need more of these vitamins and minerals to support their work? Do they need supplements?

Do Athletes Need Nutrient Supplements?

Many athletes take vitamin and mineral supplements. One of the most common reasons athletes at all levels give for supplement use is "to improve performance."

In truth, most athletes don't need such supplements. In particular, vitamins and most minerals taken just before competition are useless because these nutrients function as small parts of larger working units. After entering the blood from the digestive tract, they must wait for the cells to combine them with their other parts before they can function. This takes time—hours or days. This is true, even if the person is deficient in those nutrients. Also, strenuous physical activity requires abundant energy, and athletes and active people who choose enough nutrient-dense food to meet their greater energy needs effortlessly obtain the vitamins and minerals they need from their diets.

Athletes may incur nutrient deficiencies if they habitually eat too little food or make poor food choices, and deficiencies impede performance. Some active people simply cannot eat enough food to meet the demands of intense training and competition, and so they lose weight. Others starve themselves to meet a sport's weight requirement. (Most authorities oppose rigid weight requirements because athletes often risk their health to meet them.) These people often fail to obtain all of the vitamins and minerals they need, and a daily balanced multivitamin-mineral tablet not exceeding the DRI amounts may prevent damaging deficiencies.

Key Points

- Vitamins and minerals are essential for releasing the energy trapped in energy-yielding nutrients and for carrying out other functions that support physical activity.
- Most active people can meet their vitamin and mineral needs without supplements if they follow the USDA dietary patterns and eat enough nutrient-dense foods to meet their energy needs.

Iron—A Mineral of Concern

Iron deficiency impairs performance because iron must be present to deliver oxygen to the working muscles. The iron-containing molecules of aerobic metabolism and the iron-containing hemoglobin and muscle protein myoglobin play key roles in physical performance. With insufficient iron, aerobic work capacity is compromised, and the person tires easily.

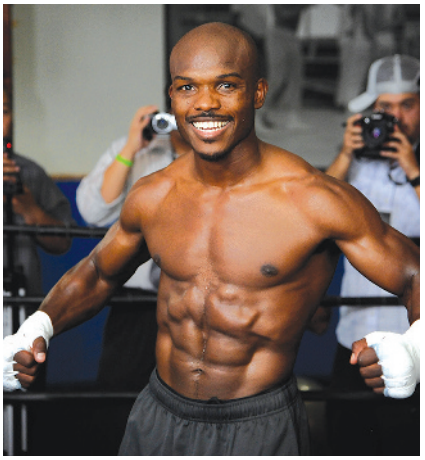
Strenuous endurance training is associated with so-called *sports anemia*, a condition of low blood iron. Its causes are not clear, but increased iron losses in sweat and small blood losses from the digestive tract are thought to play roles. In addition, training enlarges the blood's fluid volume; with fewer red cells distributed in more fluid, the red blood cell count per unit of blood is diminished. Training also accelerates destruction of older, more fragile red blood cells: blood cells are squashed when body tissues, such as the soles of the feet, make high-impact contact with an unyielding surface, such as the ground. However, the body soon replaces the lost red blood cells with new ones, improving the oxygen-carrying capacity of the blood. Most researchers view sports anemia as an adaptive, temporary response to endurance training that goes away by itself without treatment.

True iron deficiency can develop when athletes habitually include too few iron-rich foods in their meals. Athletes may also lose iron in blood loss, such as from menstruation or from digestive tract bleeding that may occur during prolonged endurance activities. Another contributor is the overuse of certain pain-relieving medications, such as aspirin or ibuprofen, which can cause bleeding and iron loss from the digestive tract. Finally, the body releases more of its iron-suppressing hormone, hepcidin,

Hepcidin is discussed in Chapter 8, p. 291.

Figure 10–8
A Vegan Athlete

Timothy Bradley, former world boxing champion, credits his vegan diet for providing an advantage over the competition.



Gene Blevins/ZUMA Press/Alamy Stock Photo

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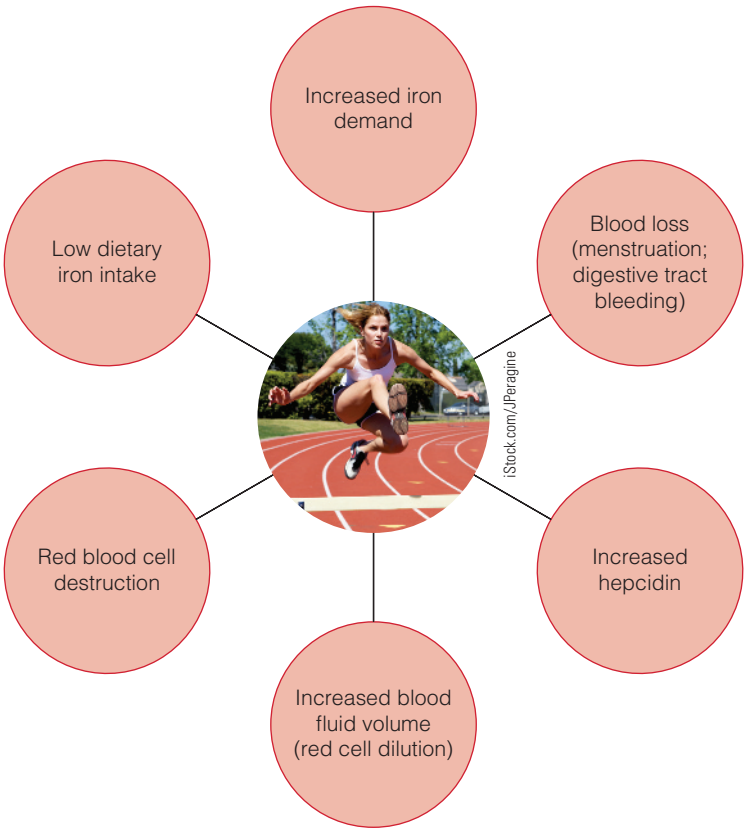
Figure 10–7

Factors Affecting Iron Status of Athletes

When iron deficiency poses a problem for an athlete, one or more of these factors is likely to be involved.



during endurance exercise, further impairing the athlete's iron status.[24] Whatever its cause, iron deficiency impairs athletic performance, an effect that can often be resolved with an iron supplement. Figure 10–7 summarizes factors that affect iron status in athletes.

Vegetarian athletes may also lack iron, because iron from plants is less available than from animal sources.[25] To protect against iron deficiency, vegetarian athletes should make a point of consuming fortified cereals, legumes, nuts, and seeds and including some vitamin C–rich foods with each meal—vitamin C enhances iron absorption. A well-chosen vegetarian diet of nutrient-dense foods can meet nutrient needs, and some athletes even credit their vegetarianism with boosting their performance (see Figure 10–8).

Key Points

- Iron-deficiency anemia impairs physical performance because iron is the blood's oxygen handler.
- Sports anemia is a harmless temporary adaptation to physical activity.

Fluids and Temperature Regulation in Physical Activity

LO 10.6 Describe the hazards that inadequate fluid intake and temperature extremes present to the working body.

The body's need for water, although always greater than the need for any other nutrient, takes on particular urgency during physical activity. If the body loses too much water or the person takes in too much, the body's life-supporting chemistry is compromised.

Water Losses during Physical Activity

The exercising body loses water primarily via sweat; second to that, breathing excretes water, exhaled as vapor. Endurance athletes can lose a quart and a half or more of fluid during *each hour* of activity.

During physical activity, both routes of water loss can be significant, and dehydration is a real threat. The first symptom of dehydration is fatigue. A water loss of greater than 2 percent of body weight can reduce a person's capacity for muscular work.[26] A person with a water loss of about 7 percent is likely to collapse.

Sweat and Temperature Regulation Sweat is the body's coolant. The conversion of water to vapor uses up a great deal of heat, so as sweat evaporates, it cools the skin's surface and the blood flowing beneath it. During exercise, blood flow shifts from the body's internal core to just below the skin's surface, permitting accumulated heat to radiate away. Sufficient water in the bloodstream is therefore crucial to provide sweat, accommodate blood flow to the skin, and still supply muscles with the blood flow they need to perform.

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Table 10–7

Symptoms of Heat Stroke

If you suspect heat stroke, don't wait; immerse the person in cold water to bring down the body temperature, and call 911.

Life-threatening symptoms of heat stroke:

- Clumsiness, stumbling
- Confusion, dizziness, other mental changes, loss of consciousness
- Headache, nausea, vomiting
- Internal (rectal) temperature above 104° F
- Lack of sweating
- Muscle cramping (early warning)
- Racing heart rate
- Rapid breathing
- Skin may feel cool and moist in early stages; hot, dry, and flushed as body temperature rises

Source: American College of Sports Medicine, ACSM's Guidelines for Exercise Testing and Prescription, *10 ed. (Philadelphia: Wolters Kluwer, 2018), pp. 217–225; B. P. McDermott and coauthors, National Athletic Trainers' Association position statement: Fluid replacement for the physically active,* Journal of Athletic Training *52 (2017): 877–895, doi: 10.4085/1062-6050-52.9.02.*

Heat Stroke In hot, humid weather, sweat may fail to evaporate because the surrounding air is already laden with water. Little cooling takes place, and body heat builds up. In such conditions, athletes must take precautions to avoid **heat stroke**—a potentially fatal medical emergency (its symptoms are listed in Table 10–7). To reduce the risk of heat stroke, competitors should adjust gradually to hot, humid climates by increasing their workloads incrementally over several days.[27] In addition, all exercisers should:

1. Drink enough fluid before and during the activity.
2. Rest in the shade when tired.
3. Wear lightweight, loose-fitting clothing that allows sweat to evaporate.

Never wear rubber or heavy suits sold with promises of weight loss during physical activity. They promote profuse sweating, prevent sweat evaporation, and invite heat stroke.

If you experience any of the symptoms in Table 10–7, stop your activity, sip cold fluids, seek shade, wet your skin and clothing, and ask for help. Preventing heat stroke is critical. If someone is experiencing heat stroke, authorities recommend these life-saving measures in this order:

- Immerse the person in ice water to quickly bring the body temperature down.
- Call for emergency help.

Sports teams that train or compete in hot weather are urged to have ice-cold water tubs on hand.

Hypothermia Even in cold weather, the body still sweats and needs fluids. However, the fluids should be warm or at room temperature to help prevent **hypothermia**. Inexperienced runners in long races on cold or wet chilly days may produce too little body heat to keep warm, especially if their clothing is inadequate. Early symptoms of hypothermia include shivers, apathy, and cool arms and legs. As body temperature continues to fall, shivering stops; fine motor skills and memory fail; disorientation and slurred speech ensue. People with these symptoms soon become helpless to protect themselves from further body heat losses and need immediate medical attention.

heat stroke an acute and life-threatening reaction to heat buildup in the body.

hypothermia a below-normal body temperature.

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Tomsickova Tatyana/Shutterstock.com

Active people need extra fluid, even in cold weather.

Key Points

- Evaporation of sweat cools the body, regulating body temperature.
- Heat stroke is a threat to physically active people in hot, humid weather; hypothermia threatens exercisers in the cold.

Fluid and Electrolyte Needs during Physical Activity

Current guidelines urge athletes to prepare for fluid losses by hydrating before activity and to replace lost fluids both during and after activity. Table 10–8 presents one schedule of hydration for physical activity. Such factors as body weight, genetic tendencies, type of sport, exercise intensity, degree of training, and variations in ambient temperature and humidity all affect the extent of fluid and sodium losses through sweat.[28] Sodium lost in sweat sometimes collects visibly as white salts on clothing.

An athlete's **hourly sweat rate** can be determined by weighing before and after exercise. The weight difference is almost all water, and it should be replaced pound for pound (a pound of water measures a little more than 2 cups). Even then, in hot weather, the digestive tract may not be able to absorb enough water fast enough to keep up with an athlete's sweat losses, and some degree of dehydration may be inevitable. A thirsty athlete shouldn't wait to drink. During activity, thirst is an indicator that some degree of fluid depletion has already taken place. After an activity that has produced heavy sweat loss, accelerated sweating continues for a time, and this fluid must also be replaced. The rehydration schedule of Table 10–8 takes this additional loss into account.

hourly sweat rate the amount of weight lost plus fluid consumed during exercise per hour.

Water What is the best fluid to support physical activity? In most cases, just plain cool water, for two reasons: (1) water rapidly leaves the digestive tract to enter the tissues, and (2) it cools the body from the inside out. Endurance athletes are an exception: they may need more from their fluids than water alone. Endurance athletes do need water, but they also may need carbohydrate during prolonged activity to supplement

Table 10–8

Recommended Fluid Intakes Before, During, and After Physical Activity

The amount of fluid required for physical activity varies by the person's weight, genetics, previous hydration level, degree of training, environmental conditions, and other factors.

Timing	Recommendation (ml/kg body weight)	Common Measure	Example: 70-kg Athlete	Example: 55-kg Athlete
≥ 4 hours before activity	5 to 7 ml/kg	≈1 oz/10 lbs	≈1½ to 2 c	≈1 to 1½ c
2 hours before activity	If heavy sweating is expected or if urine is dark in color, additional 3 to 5 ml/kg	plus ≈ 0.6 oz/10 lbs	plus ≈1 c (9 oz)	plus ≈1 c (7 oz)
During activity	Monitor body weight and limit dehydration to <2% body weight	—	Varies[a]	Varies[a]
After activity	Resume normal meals and beverages to restore hydration. If rapid recovery is needed, provide 1.5 liters per kg of body weight lost	2 to 3 c for each pound of body weight lost[b]	Varies	Varies

[a]*A personal hydration plan, based on prior measures of fluid loss (weight) during the activity, is recommended. Most athletes take in 0.4 to 0.8 liters per hour during activity.*

[b]*Hydration is most efficiently achieved with divided doses to provide 2 to 3 c every 20 to 30 min after exercise until the total is consumed.*

Sources: American College of Sports Medicine, ACSM's Guidelines for Exercise Testing and Prescription, *10 ed. (Philadelphia: Wolters Kluwer, 2018), pp. 217–225; Position of the Academy of Nutrition and Dietetics, Dietitians of Canada, and the American College of Sports Medicine: Nutrition and athletic performance,* Journal of the Academy of Nutrition and Dietetics *116 (2016): 501–528.*

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their limited glycogen stores. Sports drinks are designed to provide both fluid and carbohydrate, along with extra electrolytes. These specialized drinks are the topic of this chapter's Consumer's Guide.

Electrolyte Losses and Replacement During physical activity, the body loses electrolytes—the minerals sodium, potassium, and chloride—in sweat. Beginners lose these electrolytes to a much greater extent than do trained athletes because the trained body adapts to conserve them.

To replenish lost electrolytes, a person ordinarily needs only to eat a regular diet that meets energy and nutrient needs, and not restrict normal sodium intake. During intense activity lasting more than 45 minutes in hot weather, sports drinks provide a convenient way to replace both fluids and electrolytes. Friendly, leisure sporting games almost never require electrolyte replacement. However, even casual exercisers can require fluid replacement, particularly in hot weather, and, as mentioned, water is the best fluid source under these conditions. Salt tablets can worsen dehydration and do nothing to improve performance. They increase potassium losses, irritate the stomach, and cause vomiting.

Sodium Depletion and Water Intoxication A dangerous electrolyte imbalance, **hyponatremia**, can arise when athletes sweat profusely for hours and quench their thirst with plain water, but fail to replace lost sodium. The symptoms of hyponatremia overlap somewhat with those of dehydration (see Table 10–9), but salt is needed to reverse hyponatremia; mistakenly giving more water makes the condition worse. Eating salty food can reverse mild cases, but serious symptoms demand immediate medical help.

Athletes who lose a great deal of sodium in their sweat may be prone to debilitating **heat cramps**. To prevent both cramps and hyponatremia, endurance athletes who sweat heavily for four or more hours need to replace sodium during the exertion (not more than one gram of sodium per hour of activity has been recommended). Sports drinks and gels, salty pretzels, and other sodium sources can provide sodium when needed. In the days before the event, especially in hot weather, athletes should not restrict their salt intakes.

Although hyponatremia can pose a threat to some competitive athletes, most exercisers need not make any special effort to replace sodium. Most people's regular diets present more than the UL of sodium, and more than enough for physical activity.

Key Points

- Guidelines recommend hydrating before, during, and after activity.
- Water is the best drink for most physically active people, but some endurance athletes may need the carbohydrate and electrolytes of sports drinks.
- Salt tablets worsen dehydration.
- Hyponatremia is a threat for athletes who sweat profusely for hours, but most exercisers get enough sodium in their normal foods to replace losses.

Other Beverages

Carbonated beverages are not a good choice for meeting an athlete's fluid needs. Although they are composed largely of water, the air bubbles from the carbonation quickly fill the stomach and so may limit fluid intake and cause uncomfortable gas symptoms. They also provide few nutrients other than carbohydrate. Moderate doses of caffeine in beverages do not seem to hamper athletic performance and may even enhance it (see details in the Controversy, p. 392).

Like others, athletes sometimes drink alcoholic beverages, but these beverages are poor choices for fluid replacement for several reasons. Alcohol is a diuretic: it inhibits a hormone that prevents water loss and so promotes the excretion of water. This is exactly the wrong effect for fluid balance and athletic performance.*

*The hormone is antidiuretic hormone (ADH), defined in Controversy 3.

Table 10–9

Hyponatremia: Symptoms and Risk Factors

Symptoms of hyponatremia can mimic those of dehydration, but offering the correct treatment is of vital importance.

Symptoms

- Bloating, puffiness from water retention (shoes tight, rings tight)
- Confusion
- Seizures
- Severe headache
- Vomiting

Risk factors

- Excessive water consumption before or during an event (>1.5 L/hr)
- Exercise duration greater than 4 hours
- Low body weight/BMI <20
- Nonsteroidal anti-inflammatory drug use (for example, aspirin or ibuprofen)

hyponatremia (HIGH-poh-nah-TREE-mee-ah) an abnormally low concentration of sodium in the blood; also defined in Chapter 8.

heat cramps painful cramps of the abdomen, arms, or legs, often occurring hours after exercise; associated with inadequate intake of fluid or electrolytes or heavy sweating.

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A Consumer's Guide to . . . Selecting Sports Drinks

Imagine two thirsty people, both in motion:

- Jack, an accountant, striving to shed some pounds, is panting after his 30-minute jog. He wipes the sweat from his eyes and tries to catch his breath.
- Candace, point guard for her college basketball team, powers into her second hour of training, dripping with sweat from her exertion. She's training every muscle fiber for competition.

Both of these physically active people need to replace the fluid they've lost in sweat. Which kind of fluid best meets their needs?

Certainly, **sports drinks**, **flavored waters**, **nutritionally enhanced beverages**, and **recovery drinks** are popular choices for fluid replacement (see Table 10–10 for terms). Sellers promote these pricey beverages with images of performance excellence, often boosted by celebrity athlete endorsements. Plain, freely available water also meets the fluid needs of most active people, but no celebrities make a case for drinking it. To decide which drink fits what need, consider these three factors: fluid, glucose, and electrolytes.

First: Fluid

Both sports drinks and plain water replace fluid lost in sweat during physical activity. Some people find sports drinks tasty, and if a drink tastes good, they may drink more of it, ensuring adequate hydration. Commercial coconut water or fruit-flavored waters also taste good, but so does plain water with a squirt of lemon or other fruit juice, and it costs much less.

Second: Glucose

Unlike water, sports drinks offer monosaccharides or **glucose polymers** that can help maintain hydration, contribute to blood glucose, and enhance performance under specific circumstances. An athlete performing an endurance activity at moderate or vigorous intensity for longer than an hour may benefit from some extra carbohydrate. An athlete like Candace who participates in a prolonged game that demands repeated intermittent strenuous activity benefits from extra glucose during activity.

For competitive athletes, not just any sugary beverage will do. To ensure water absorption while providing glucose, most sports drinks contain about 7 percent glucose (half the sugar of ordinary soft drinks). Less than 6 percent glucose may not enhance performance, and more than 8 percent can delay fluid passage from the stomach to the intestine, slowing delivery of the needed water to the tissues.

Sports drinks provide easy-to-consume glucose, but research shows that for athletes who can eat during activity, such as cyclists, half of a banana taken every 15 minutes during a 2½- to 3-hour bicycle race sustains blood glucose equally well. Bananas satisfy hunger better, and they supply vitamins, minerals, and fiber in a mix of carbohydrates that the body is well equipped to handle.

Jack, the jogger of our example, needs fluids to replace lost sweat. Sports drink advertisements may claim that he needs extra glucose in his fluid for rapid hydration, but for him, such drinks deliver only unneeded sugar calories in a nutrient-poor beverage. In fact, for anyone who goes for a walk, takes a spin on a bicycle, or exercises to lose weight, the extra carbohydrate of sports drinks is not beneficial because their own glycogen is ample for their efforts. In addition, sipping the drinks may lead to dental caries by continuously bathing their teeth in sugar. Plain, cool, water best meets their fluid needs.

Table 10–10

Sports Drinks and Related Terms

- **flavored waters** lightly flavored beverages with few or no calories, but often containing vitamins, minerals, herbs, or other unneeded substances. Not superior to plain water for athletic competition or training.
- **glucose polymers** compounds that supply glucose not as single molecules but linked in chains somewhat like starch. The objective is to attract less water from the body into the digestive tract.
- **nutritionally enhanced beverages** flavored beverages that contain any of a number of nutrients, including some carbohydrate, along with protein, vitamins, minerals, herbs, or other unneeded substances. Such "enhanced waters" may not contain useful amounts of carbohydrate or electrolytes to support athletic competition or training.
- **recovery drinks** flavored beverages that contain protein, carbohydrate, and often other nutrients; intended to support postexercise recovery of energy fuels and muscle tissue. These can be convenient but are not superior to ordinary foods and beverages, such as chocolate milk or a sandwich, to supply carbohydrate and protein after exercise. Not intended for hydration during athletic competition or training because their high carbohydrate and protein contents may slow water absorption.
- **sports drinks** flavored beverages designed to help athletes replace fluids and electrolytes and to provide carbohydrate before, during, and after physical activity, particularly endurance activities.

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Third: Sodium and Other Electrolytes

Sports drinks offer sodium and other electrolytes to help replace those lost during physical activity, and they increase fluid retention. The sodium they contain may also help maintain the drive to drink fluid because the sensation of thirst depends partly on the sodium concentration of the blood. Most athletes do not need to replace the other minerals lost in sweat immediately; a meal eaten within hours of competition replaces these minerals soon enough.

Most sports drinks are relatively low in sodium (55 to 110 milligrams per serving), so they pose little threat of excessive intake in healthy people. In Jack's case, the sodium in sports drinks is unnecessary.

Moving Ahead

In the end, most physically active people need fluid but none of the extra ingredients in sports drinks, but for certain athletes, the glucose and sodium in sports drinks may provide advantages over plain water. Remember that regardless of the celebrity sales pitch used to market sports drinks, only Allyson Felix runs like Allyson Felix—training and talent do not come in a bottle.

Review Questions*

1. Many sports drinks offer monosaccharides _____.
   a. that may help maintain hydration and contribute to blood glucose
   b. (also called electrolytes) to help replace those lost during physical activity
   c. that provide a nutrient advantage to most people
   d. a and c
2. Which of these advantages do sports drinks provide over plain water?
   a. They taste good and so may lead people to drink more.
   b. They provide the vitamins and minerals that athletes need to compete.
   c. They improve the body's fitness for sport.
   d. b and c
3. People who take up physical activity for weight loss _____.
   a. can increase weight loss by using sports drinks
   b. do not need the calories or sodium of sports drinks
   c. receive a performance boost from sports drinks
   d. all of the above

**Answers to Consumer's Guide review questions are in Appendix G.*

Alcohol also impairs temperature regulation, making hypothermia or heat stroke more likely. It alters perceptions and slows reaction time. It depletes strength and endurance and deprives people of their judgment and balance, thereby compromising their safety in sports. Contrary to popular rumors, beer derives most of its calories from alcohol, not carbohydrate, and is a poor source of vitamins and minerals. Many sports-related fatalities and injuries each year involve alcohol. Do yourself a favor—choose a nonalcoholic beverage.

Key Points

- Carbonated beverages can suppress total fluid intake and cause discomfort in exercisers.
- Alcohol use can impair performance in many ways and is not recommended.

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Putting It All Together

This chapter opened with the statement that nutrition and physical activity go hand in hand, a relationship that by now should be clear. Training and genetics being equal, who would have the advantage in a competition—the person who arrives at the event with full fluid and nutrient stores and well-met metabolic needs or the one who habitually fails to meet these needs? Of course, the well-fed athlete has the edge. Table 10–11 (p. 386) sums up the recommendations for performance nutrition, and the Food Feature, next, demonstrates their application.

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Table 10–11

Overview of Performance Nutrition

An individual's personal goals and the intensity, duration, and frequency of his or her physical activity determine which of these recommendations may be of benefit (see the text).

Nutrients	Dietary Guidelines/DRI Recommendations	Performance Nutrition Recommendations
Energy	Meet but do not exceed calorie needs.	▪ Consume adequate additional calories to support training and performance and to achieve or maintain optimal body weight. ▪ Calorie deficits for weight loss, when needed, should begin in the off-season or early in training. During training, calorie deficits can impede performance.
Carbohydrate	Consume 45% to 65% of calories as carbohydrate; consume at least 130 g of carbohydrate per day to prevent ketosis.	▪ Recommendations vary (see Table 10–4, p. 374). ▪ Carbohydrate deficits impede performance. For moderate or vigorous exercise of 1- to 1.5-hr duration: ▪ *Preexercise:* Consume a high-carbohydrate, low-fiber snack (use proper timing—see text for details). ▪ *Midexercise:* Consume 30 to 60 g of easy-to-digest carbohydrates (sports drinks, gels, or foods) per hour of exercise. For moderate or vigorous exercise of ≥1.5-hr duration; multiple daily competitive events; or high-intensity weight training, all of the above plus: ▪ *Postexercise:* Recover lost glycogen with adequate carbohydrate at the next meal (1 to 3 hr after exercise).
Protein	Consume 10% to 35% of calories from protein (adults); consume 0.8 g/kg/day of protein.	▪ Recommendations vary (see Table 10–6, p. 378). ▪ Most U.S. diets supply sufficient protein for muscle growth and maintenance for most athletes. ▪ *Postexercise:* Consume sufficient high-quality protein at meals and snacks to facilitate and support muscle protein synthesis. ▪ Food is the preferred protein source.
Fat	Consume 20% to 35% of calories from fat (adults); hold saturated fat to 10% of calories; keep trans fat intake low within the context of a healthy diet.	▪ Follow DRI recommendations.
Vitamins and minerals	Consume a well-planned diet of nutrient-dense foods.	▪ Follow DRI recommendations.
Fluid	A wide range of daily fluid intakes maintains hydration in individuals, averaging 13 c (males) or 9 c (females).	▪ Balance fluid intake with fluid loss by hydrating before, during, and after activity (see Table 10–8, p. 382).

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Food Feature Choosing a Performance Diet

LO 10.7 Summarize the characteristics of the diet that best support physical performance.

Many different diets can support physical performance—and no one diet works best for everyone, so preferences should be honored. Perhaps most importantly, the diet should comply with standard diet planning principles to protect the person's health while promoting optimal physical performance.

Defining a Performance Diet

Active people need nutrient-dense foods to supply vitamins, minerals, and other nutrients. Athletes must also eat for energy, and their energy needs can be immense. Frequent between-meal snacks can provide the extra calories needed to maintain body weight (Figure 10–9 offers suggestions).

When athletes try to meet their energy needs with mostly empty-calorie, highly refined or highly processed foods, their nutrition suffers. This doesn't mean that athletes can *never* choose a white-bread, bologna, and mayonnaise sandwich with chips, cookies, and a cola for lunch—these foods supply abundant calories but lack nutrients and are rich in saturated fats and added sugars. Later, though, they should eat a salad of leafy greens with low-fat cheese, plant-based protein, or chicken, or have a big portion of vegetables, along with whole grains and a serving of lean fish or meat to provide needed nutrients.

Carbohydrate

Techniques to achieve full glycogen stores vary with the intensity and duration of the activity. Those performing at high intensities over short times, such as sprinters, weightlifters and hurdlers, require only moderate intakes of carbohydrate from ordinary nutritious balanced diets. Ultraendurance athletes, such as triathletes or bicycle racers who compete in multiday events, need much more. (Refer to Table 10–4, p. 374, to review carbohydrate recommendations for athletes.)

A method used by professional sports nutritionists to maximize an endurance athlete's energy and carbohydrate intakes is to choose vegetable and fruit varieties that are high in both nutrients and energy. A whole cupful of iceberg lettuce supplies few calories or nutrients but a half-cup portion of cooked sweet potatoes is a powerhouse of vitamins, minerals, and carbohydrate energy. Similarly, it takes a whole cup of cubed melon to equal the calories and carbohydrate in a half-cup of fruit canned in juice. Small choices like these, made consistently, can contribute significantly to energy and carbohydrate intakes.

Athletes can have some fun exploring new carbohydrate-rich foods. Try Middle Eastern hummus (chickpea spread) and pita breads, African winter squash or peanut stews, Latin American bean and rice dishes, or Mediterranean tabouli salads. In truth, even the bun of a fast-food sandwich can help fill glycogen stores. Just before a competition is not the time to experiment with new foods—try them early in training or during the off-season.

Adding carbohydrate-rich foods is a sound and reasonable option for increasing intakes, up to a point. It becomes unreasonable when an athlete cannot eat enough nutrient-dense food to meet the need. At that point, some foods with added sugars may be needed, such as breakfast bars, "trail mix" or energy bars, sugar-sweetened milk beverages, liquid meal replacers, or

Figure 10–9
Nutritious Snacks for Athletes

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One ounce of almonds provides protein, fiber, calcium, vitamin E, and unsaturated fats. Similar choices include other nuts or trail mix consisting of dried fruits, nuts, and seeds.

Apostolos Mastoris/Shutterstock.com

Low-fat Greek yogurt contains more protein per serving than regular yogurt but a little less calcium. A similar choice is low-fat cottage cheese.

RoJo Images/Shutterstock.com

Low-fat milk, soy milk, or chocolate milk along with fig bars or oatmeal-raisin cookies offer protein and carbohydrate. A similar choice is whole-grain cereal with low-fat milk.

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Popcorn offers carbohydrate and a fruit smoothie quenches thirst and provides carbohydrate, vitamins, minerals, and other nutrients. A similar choice is pretzels and fruit juice.

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commercial products designed to supply carbohydrate.

Protein

Meats, poultry, and cheeses often head the list of protein-rich foods, but others, such as fish and seafood, eggs, yogurt, plant-based meat replacers, legumes with grains, and peanuts and other nuts boost protein intakes while keeping saturated fats within bounds.

Figure 10–10 demonstrates how to meet an athlete's need for extra nutrients by adding nutritious foods to a lower-calorie dietary pattern to obtain 3,300 calories per day. These meals supply about 125 grams of protein, equivalent to the highest recommended protein intake for an athlete weighing 160 pounds.

Figure 10–10

Nutritious High-Carbohydrate Meals for Athletes

2,600 Calories • 62% cal from carbohydrate (403 g) • 23% cal from fat • 15% cal from protein (96 g)	**3,300 Calories** • 63% cal from carbohydrate (520 g) • 22% cal from fat • 15% cal from protein (125 g)
	Additions
Breakfast: 1 c shredded wheat 1 c 1% low-fat milk 1 small banana 1 c orange juice	The regular breakfast *plus*: 2 pieces whole-wheat toast 1/2 c orange juice 4 tsp jelly
Lunch: 1 turkey sandwich on whole-wheat bread 1 c 1% low-fat milk	The regular lunch *plus*: 1 turkey sandwich 1/2 c 1% low-fat milk Large bunch of grapes
Snack: 2 c plain popcorn A smoothie made from: 1 1/2 c apple juice 1 1/2 frozen banana	The regular snack *plus*: 1 c popcorn
Dinner: Salad: 1 c spinach, carrots, and mushrooms 1/2 c garbanzo beans 1 tbs sunflower seeds 1 tbs ranch dressing 1 c spaghetti with meat sauce 1 c green beans 1 slice Italian bread 2 tsp soft margarine 1 1/4 c strawberries 1 c 1% low-fat milk	The regular dinner *plus*: 1 corn on the cob 1 slice Italian bread 2 tsp soft margarine 1 piece angel food cake 1 tbs whipping cream

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Nutrient Timing

Nutrient timing involves pacing carbohydrate and protein intakes throughout the day for the purpose of favorably influencing some aspect of physical performance or adaptation to exercise. The practice is supported by some, but not all, research.[29]

An example of nutrient timing is the pregame meal. Athletes who train or compete at moderate or vigorous intensity for longer than an hour may benefit from a small, easily digested, high-carbohydrate meal taken in the hours before physical activity. This pregame meal should provide enough carbohydrate to "top off" the athlete's glycogen stores but be low enough in fat and fiber to facilitate digestion. It can be moderate in protein and should provide plenty of fluid to maintain hydration in the work ahead (Figure 10–11 provides examples).

Breads, potatoes, pasta, and fruit juices—carbohydrate-rich foods that are low in fat and fiber—form the base of pregame meals. Although generally desirable, bulky, fiber-rich foods can cause stomach discomfort during activity, so they should be avoided in the hours before exercise.

The size of the meal depends on the activity and the weight of the athlete. With just an hour remaining before training or competition, an athlete should eat very lightly, because a substantial meal eaten within the hour before exercise can inhibit performance and cause digestive distress.

At 3 to 4 hours or more before activity, a regular mixed meal providing plenty of carbohydrate with a moderate amount of protein and fat is suitable. Here are some suggestions:

- *Try these:* toasted deli chicken or turkey sandwich; hard-boiled egg with toast; oatmeal with yogurt; fruit juices; pasta with red sauce; trail mix, granola bars, or energy bars that contain sufficient carbohydrate.
- *Avoid these:* high-fat meats, cheeses, and milk products; other high-fat foods; high-fiber breads, cereals, and bars; raw vegetables; gas-forming foods (such as broccoli, Brussels sprouts, and onions).

In addition, because athletes often compete away from home, Figure 10–11 offers a quick restaurant selection. In a fast-food restaurant, avoid the higher-fat choices, such as fried chicken patties or big burgers; order grilled chicken soft tacos, a grilled chicken sandwich, or the like, and reject add-ons, such as sour cream or full-fat cheese (review the principles of Chapter 5's Food Feature section, p. 167).

Most importantly, athletes should choose what works best for them. One athlete may feel best supported by eating pancakes, eggs, and juice, while another develops nausea and cramps after such a hearty meal. During intense physical activity, blood is shunted away from the digestive system to the working muscles, making digestion difficult. If this is a problem, finish the pregame meal 4 hours before exercise, or eat less food.

Recovery Meals

Athletes who perform intense practice sessions several times daily or who compete for hours on consecutive days need to quickly replenish both energy and glycogen to be ready for the next effort. Several small recovery meals consumed within several hours after exercise may help to speed the process. A turkey sandwich and a homemade milkshake, taken in divided doses, provide the glucose needed to speed up glycogen replenishment. Its protein can speed up protein synthesis, too.

Figure 10–11

Examples of High-Carbohydrate Pregame Meals

Any of the following choices is suitable for a 150-pound athlete who will work with moderate or vigorous intensity for more than an hour. Athletes often must compete away from home, so the 800-calorie meal uses easy-to-find restaurant foods. Add an energy bar to any pregame meal for an extra 200 or so calories and 30 grams of carbohydrate.

Angel Tucker

Angel Tucker

Angel Tucker

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Athletes who have no appetite for solid food after hard work might try drinking carbohydrate-rich beverages, such as low-fat or fat-free chocolate milk. Paying for high-priced, brand-name pregame or recovery drinks is needless. Chocolate milk or homemade shakes are inexpensive and easy to prepare, they allow athletes to decide what to add or leave out, and they serve the need as well as or better than commercial products. For safety, don't drop a raw egg in the blender, because raw eggs may carry bacteria that can cause illness—see Chapter 12.

In contrast to the athletes just described, most people who work out moderately for fitness or weight loss need only to replace lost fluids and resume their normal, healthy diets after activity. If you meet this description but enjoy a postworkout snack, by all means have one. Just remember to eliminate a similar number of calories from your other meals to keep calorie intake in check.

Commercial Products

What about drinks, gels, or candy-like sport bars claiming to provide a competitive edge? These mixtures of carbohydrate, protein (usually amino acids), fat, some fiber, and certain vitamins and minerals often taste good, can be convenient to store and carry, and offer extra calories and carbohydrate in compact packages. Read the labels, though: a chocolate candy-based bar may be too high in fat to be useful. Such products tend to be expensive, and they have no edge over real food for boosting performance.

Jack Andersen/Photodisc/Getty Images

Chocolate milk is a delicious and effective postexercise recovery meal.

Conclusion

Even the most carefully chosen pregame or recovery meals cannot substitute for an overall nutritious diet. Deficits of carbohydrate or fluid, incurred over days or weeks, take a toll on performance that no amount of food or fluid on the day of an event can fully correct. The most vital nutrition choices for athletes are those made day in and day out, in training or during the off-season with a dietary pattern that fully meets nutrient needs.

What did you decide?

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- Can **physical activity** help you live longer?
- Do certain foods or beverages help **competitors** win?
- Can **vitamin and mineral supplements** help to improve your game?
- Are **sports drinks** better than water during a workout?

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Self Check

1. (LO 10.1) All of the following are potential benefits of regular physical activity except
   a. improved body composition.
   b. lower risk of sickle-cell anemia.
   c. improved bone density.
   d. reduced risk of type 2 diabetes.
2. (LO 10.1) To meet the Physical Activity Guidelines for Americans, adults need at least:.
   a. 150 to 300 minutes of moderate-intensity aerobic activity each week.
   b. muscle-strengthening activity on 2 days each week.
   c. 150 to 300 minutes of light-intensity aerobic activity each week.
   d. a and b
3. (LO 10.2) People seeking fitness need primarily to develop muscle power, quick reaction time, and agility.
   T F
4. (LO 10.2) To overload a muscle is never productive.
   T F
5. (LO 10.3) Which of the following energy systems provides the needed energy for a lifter's heave of a heavy weight?
   a. the aerobic system
   b. the cardiovascular system
   c. the energy reservoir
   d. b and c
6. (LO 10.4) Which diet has been shown to increase an athlete's endurance?
   a. high-fat diet
   b. normal mixed diet
   c. high-carbohydrate diet
   d. Diet has not been shown to have any effect.
7. (LO 10.4) A person who exercises moderately for longer than 20 minutes begins to
   a. use less glucose and more fat for fuel.
   b. use less fat and more protein for fuel.
   c. use less fat and more glucose for fuel.
   d. use less protein and more glucose for fuel.
8. (LO 10.4) Aerobically trained muscles burn fat more readily than untrained muscles.
   T F
9. (LO 10.5) Research does not support the idea that athletes need supplements of vitamins to enhance their performance.
   T F
10. (LO 10.5) Which is required as part of myoglobin?
    a. iron
    b. calcium
    c. vitamin C
    d. potassium
11. (LO 10.6) All of the following statements concerning beer are correct *except*
    a. beer is poor in minerals.
    b. beer is poor in vitamins.
    c. beer causes fluid losses.
    d. beer gets most of its calories from carbohydrates.
12. (LO 10.6) In cold weather, athletes who develop disorientation and slurred speech may be exhibiting signs of hypothermia.
    T F
13. (LO 10.6) To prevent both muscle cramps and hyponatremia, endurance athletes who compete and sweat heavily for four or more hours need to
    a. replace sodium during the event.
    b. avoid salty foods before competition.
    c. drink additional plain water during the event.
    d. replace vitamins during the event.
14. (LO 10.7) Athletes should avoid frequent between-meal snacks.
    T F
15. (LO 10.7) Added sugars can be useful in meeting the high carbohydrate needs of some athletes.
    T F
16. (LO 10.7) An athlete's pregame meal should be _____.
    a. low in fat
    b. high in fiber
    c. moderate in protein
    d. a and c
17. (LO 10.7) Which of these foods should form the bulk of the pregame meal?
    a. breads, potatoes, pasta, and fruit juices
    b. meats and cheeses
    c. legumes, vegetables, and whole grains
    d. none of the above
18. (LO 10.8) Before athletic competitions, a moderate caffeine intake
    a. may interfere with concentration.
    b. may enhance performance.
    c. may increase the appetite.
    d. has no effect.
19. (LO 10.8) Strong stimulant drugs
    a. increase cellular energy.
    b. raise muscle oxygen concentrations.
    c. are not detected in dietary supplements.
    d. pose risks of heart attack and stroke.

Answers to these Self Check questions are in Appendix G.

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Controversy 10 Ergogenic Aids: Breakthroughs, Gimmicks, or Dangers?

LO 10.8 Debate the usefulness and safety of dietary ergogenic aids for improving sports performance.

Many athletes are willing to try almost anything that is sold with promises of producing a winning edge or improved appearance, so long as they perceive it to be safe. Store shelves and the Internet abound with heavily advertised **ergogenic aids**, each striving to appeal to performance-conscious people: protein powders, amino acid supplements, caffeine pills, steroid replacers, "muscle builders," vitamins, and more. Some people spend huge sums of money on these products, often heeding advice from trusted friends, coaches, or mentors. (Table C10–1 defines ergogenic aids and related terms.) Do these products work as advertised? And most importantly, are they safe?

Paige and DJ

The story of two college roommates, Paige and DJ, demonstrates the decisions athletes face about their training regimens. After enjoying a freshman year when the first things on their minds were tailgate parties and the last thing—the very last thing—was exercise, Paige and DJ have taken up running to shed the "freshman 15" pounds that have crept up on them. Their friendship, once defined by bonding over extra-cheese pizzas, now focuses on competing in 5-K races.

Paige and DJ both take their nutrition regimens and prerace preparations seriously, but otherwise they are as opposite as can be. DJ sticks to the tried-and-true advice of her older brother, an all-state track and field star. He tells her to train hard, eat a nutritious diet, get enough sleep, drink plenty of fluid on race day, and warm up lightly for 10 minutes before the starting gun. He offers only two other bits of advice: buy the best-quality running shoes available every four months without fail, and always buy them on a Wednesday. Many athletes admit laughingly to such superstitions.

Paige finds DJ's routine boring and woefully out of date. Paige surfs the internet for the latest supplements and buys ergogenic aids advertised in her fitness magazines. She mixes vairous powders into her beverages, chugs down

Table C10–1

Ergogenic Aid Terms

Additional ergogenic aid terms are listed in Table C10–2.

- **anabolic steroid hormones** chemical messengers related to the male sex hormone testosterone that stimulate the building up of body tissues (*anabolic* means "promoting growth"; *sterol* refers to compounds chemically related to cholesterol). In drug form, steroids have serious side effects and are banned in sports.
- **androstenedione** (AN-droh-STEEN-die-own) a precursor of testosterone that elevates both testosterone and estrogen in the blood of both males and females. Often called *andro*, its drug form is sold with claims of producing increased muscle strength, but controlled studies disprove such claims.
- **beetroot** the root portion of the ordinary beet plant; the root vegetable, beet.
- **beta- alanine** a nonessential amino acid that enhances the buffering capacity of skeletal muscle.
- **caffeine** a naturally occurring stimulant found in many common foods and beverages, including chocolate, coffee, and tea, that can produce alertness and reduce reaction time when used in small doses but that causes headaches, trembling, an abnormally fast heart rate, and other undesirable effects in high doses.
- **creatine** a nitrogen-containing compound that combines with phosphate to form a high-energy compound stored in muscle. Some studies suggest that creatine enhances energy and stimulates muscle growth, but long-term studies are lacking; digestive side effects may occur.
- **DHEA (dehydroepiandrosterone)** a hormone made in the adrenal glands that serves as a precursor to the male hormone testosterone; recently banned by the U.S. Food and Drug Administration (FDA) because it poses the risk of life-threatening diseases, including cancer. Falsely promoted to burn fat, build muscle, and slow aging.
- **dietary nitrate** a compound composed of one nitrogen and three oxygen atoms, often concentrated in extracts of vegetables, particularly beetroot, celery, and spinach; nitrate releases oxygen as it undergoes chemical conversions in the body.
- **energy drinks** and **energy shots** sugar-sweetened beverages in various concentrations with supposedly ergogenic ingredients, such as vitamins, amino acids, caffeine, guarana, carnitine, ginseng, and others. Regulation of these drinks by the FDA is lax, and they are often high in caffeine or other stimulants.
- **ergogenic** (ER-go-JEN-ic) **aids** products that supposedly enhance performance, although few actually do so; the term *ergogenic* implies "energy giving" (*ergo* means "work"; *genic* means "give rise to").

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Training serves athletes better than any pills or powders.

beet juice, and takes a handful of caffeine pills and "ergogenic" supplements to get "pumped up" for a race. No matter what her goal, online stores seem to have "best-selling" products for the job. Sure, it takes money (a *lot* of money) to purchase the products and time to mix the potions and return the occasional wrong shipment—often cutting into her training time. But Paige feels smugly smart in her modern approach.

It seems that DJ's brother has given her some helpful advice, but how about Paige? Is she right to expect an athletic edge from taking supplements? Is she safe in taking them?

Ergogenic Aids

Science holds some of the answers to such questions, but finding them requires reading more than just advertising materials. It's easy to see why Paige is misled by fitness magazines—ads often masquerade as informative articles, concealing their true nature. A tangle of valid and invalid ideas in advertorials can appear convincingly scientific, particularly when accompanied by colorful anatomical figures, graphs, and tables. Some even cite such venerable sources as the *American Journal of Clinical Nutrition* and the *Journal of the American Medical Association* to create the illusion of credibility. Keep in mind, however, that these advertorials are created not to teach but to *sell*. Supplement companies capture tens of *billions* of consumer dollars worldwide—and some unscrupulous sellers will gladly mislead athletes for a share of it.

Also, many substances sold as "dietary supplements" escape regulation (see Controversy 7, p. 260, for details). This means that athletes are largely on their own in evaluating supplements for effectiveness and safety. So far, the large majority of legitimate research has not supported the claims made for ergogenic aids. Athletes who hear that a product is ergogenic should ask, "Who is making this claim?" and "Who stands to profit?"

Antioxidant Supplements

Exercise accelerates metabolism, and speeded-up metabolism creates extra free radicals that contribute to inflammation and oxidative stress.[1*] It stands to reason, then, that if exercise produces free radicals and oxidative stress and if antioxidants from foods can quell oxidative stress, then athletes may benefit from taking in more antioxidants. Like many other logical ideas, however, this one falls apart upon scientific examination—research does not support taking antioxidant supplements for athletic performance.[2] In fact, free radical production is a necessary part of a complex signaling system that promotes many of the beneficial responses of the body to physical activity. Flooding the system with excess antioxidants can short-circuit this system and prevent health benefits and improvements in athletic performance from occurring.[3]

**Reference notes are in Appendix F.*

Vegetables and Nitrate

Nitrate is a common compound of nitrogen and oxygen present in air and water, and also in certain vegetables, notably green leafy vegetables, beets (also called *beetroot*), and beet juice. Nitrate and the related compound nitrite are also preservatives added to bacon, hot dogs, lunch meats, and other processed meats.

In laboratories, small increases in high-intensity exercise tolerance are noted among young, healthy, male athletes given nitrate supplements, and some improvements may also occur at lower intensities.[4] Among middle-aged and elderly people, higher nitrate intake from about a cupful of green vegetables each day correlates with greater muscle strength regardless of activity levels, a finding that, if supported by clinical research, holds some promise for preventing muscle strength loss in the later years.[5] The mechanisms by which nitrate improves exercise tolerance and muscle strength are not fully known, but may involve improved blood flow or oxygen supply in the tissues.[6]

Not all studies support performance benefits from nitrate supplementation.[7] Particularly, no improvement is reported among highly trained elite athletes, who may already perform at their biological peak for oxygen efficiency.[8]

In high doses, nitrate or nitrite supplements may interact with medications, and their long-term safety is an open

Olga Bondarenko/Shutterstock.com

Leafy green vegetables and beets are sources of nitrates.

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question (see Chapter 11). High nitrate doses pose a clear threat to infants, and supplements should be kept out of the reach of children.*

Caffeine

Many athletes report that **caffeine** from coffee, tea, **energy drinks**, **energy "shots,"** and other sources provides a physical boost during sports. Caffeine in safe doses (3 mg/kg of body weight) sometimes enhances performance, both in tests of endurance, such as cycling and rowing, and in high-intensity training.[9] Other times, researchers report no caffeine-related improvement in performance.[10] Caffeine is a mild stimulant used by many people to enhance alertness and concentration.

In higher doses, caffeine causes stomach upset, anxiety, irritability, sleep disturbances, dehydration, and irregular heartbeats. Such doses also constrict blood vessels, often increasing blood pressure, and increase the heart rate at a given workload. In addition, other ingredients often added to caffeinated "energy beverages" can have unpredictable effects. Overdoses of caffeine from energy drinks and other sources have caused several deaths among athletes and others in recent years.[11]

Competitors should be aware that college sports authorities prohibit the use of caffeine in amounts greater than 700 milligrams, or the equivalent of eight cups of coffee, prior to competition. Controversy 11 lists caffeine doses in common foods and beverages.

Instead of taking caffeine pills before an event, Paige might be better off engaging in some light activity, as DJ does. Pregame activity stimulates the release of fatty acids and warms up the muscles and connective tissues, making them flexible and resistant to injury. Caffeine does not offer these benefits. Instead, caffeine in high doses acts as a diuretic. DJ enjoys a cup or two of coffee an hour before her races for to boost her performance and her mood.

**A serious lack of oxygen, called "blue baby syndrome," can develop in infants consuming high levels of nitrate or nitrate.*

Creatine

Creatine supplements are widely recommended to and widely used by athletes. Although they clearly do not benefit endurance athletes such as runners, evidence does hint at some other potential benefits.[12] For performance of short-term, repetitive, high-intensity activities such as weight lifting or sprinting, some studies report small but significant increases in muscle strength, power, and size—attributes that support high-intensity activities.[13] However, other studies suggest that resistance training alone, and not creatine supplements, may account for the improvements seen in those studies.

Creatine functions in muscles as part of the high-energy storage compound creatine phosphate (or phosphocreatine), and theoretically the more creatine phosphate in muscles, the higher the intensity at which an athlete can train. The confirmed effect of creatine, however, is weight gain—a potential boon for some athletes but a bane for others. Unfortunately, the gain may be mostly water because creatine causes muscles to hold water.

Meat is a good source of dietary creatine, but there is no need to eat a lot of meat or take supplements to obtain creatine. The obvious best source is the body's own creatine—human muscles can make all the creatine they need.

Buffers

Sodium bicarbonate (baking soda) acts as a buffer, a compound that neutralizes acids. During high-intensity exercise, acids form in the muscles and may contribute to fatigue. Some, but not all, studies suggest a possible benefit from bicarbonate in sports involving repeated bursts of activity, such as many team sports. Unpleasant side effects, such as gas and diarrhea, may make this ergogenic aid impractical.

A buffering effect associated with the amino acid **beta-alanine** has recently received attention from exercise researchers. Although beta-alanine may increase the body's buffering capacity, research has reported mixed effects on exercise performance.[14] A "pins and needles" sensation side effect has been noted.

Paige believes that by taking a handful of amino acid pills and eating a couple of protein bars she can go easier on training and still gain speed on the track, but this is just wishful thinking. Muscles require physically demanding activity, not just protein, to gain in size and performance. Instead of getting faster, Paige will likely get fatter: at 250 calories each, her protein bars contribute 500 calories to her day's intake, an amount that exceeds her exercise expenditures.

Recently, DJ, who snacks on plain raisins and nuts, placed ahead of Paige in 7 of their 10 shared competitions. In one of these races, Paige dropped out because of light-headedness—perhaps a consequence of too much caffeine? Still, Paige remains convinced that to win, she must have chemical help, and she is venturing over the danger line by considering hormone-related products. What she doesn't know is very likely to hurt her.

Hormones and Hormone Imitators

The dietary supplements discussed so far are controversial in the sense that they may or may not enhance athletic performance, but most—in the doses healthy adults commonly take—probably do not pose immediate threats to health or life. In contrast, hormones, such as human growth hormone, **DHEA**, **androstenedione**, testosterone, or others, are risky and they are banned by the World Anti-Doping Agency of the International Olympic Committee and by most professional and amateur sports leagues.

Anabolic Steroid Hazards

Among the most dangerous ergogenic practices is the use of **anabolic steroid hormones**. The body's natural steroid hormones stimulate muscle growth in response to physical activity in both men and women. Injections of "fake" hormones produce muscle size and

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strength far beyond that attainable by training alone—but at great risk to health. These drugs are both dangerous and illegal in sports, yet athletes often use them without medical supervision, simply taking someone's word for their safety. The list of damaging side effects of steroids is long and includes:

- Extreme mental hostility; aggression; personality changes; suicidal thoughts.
- Swollen face; severe, scarring acne; yellowing of whites of eyes (jaundice).
- Elevated risk of heart attack, stroke; liver damage, liver tumors, fatal liver failure; kidney damage; bloody diarrhea.
- In females, irreversible deepening of voice, loss of fertility, shrinkage of breasts, permanent enlargement of external genitalia.
- In males, breast enlargement, permanent shrinkage of testes, prostate enlargement, sexual dysfunction, and loss of fertility.

Don't even consider using these products—just steer clear.

Drugs Posing as Supplements

Repeatedly, tests reveal the presence of powerful prohibited stimulant drugs in dietary supplements sold for athletic performance, weight loss, or mental clarity.[15] These stimulants have serious side effects, such as raising the risk of heart attacks, strokes and seizures.* Soon after the FDA bans one of these drugs, other equally harmful substitutes quickly take its place because the demand is strong, profits are high, and oversight is weak, leaving consumers at risk.[16]

**DMAA, sold as AMP citrate on labels, and its replacement DMBA are examples.*

In addition to stimulants, supplements sold to athletes worldwide often contain undeclared steroid drugs. Taking a supplement contaminated with just 0.00005 percent of a steroid drug can produce a positive drug test. Athletes taking such supplements not only face the physical risks from the substances but also risk being falsely accused of doping and forever banned from competition. Choosing a supplement certified by an independent testing organization, such as the U.S. Pharmacopeial Convention (USP) or Banned Substances Control Group (BSCG), may help reduce the possibility of adulteration.

Conclusion

The general regulatory response to ergogenic claims is "let the buyer beware." In a survey of advertisements in a dozen popular health and bodybuilding magazines, researchers identified more than 300 products containing 235 different ingredients advertised as beneficial, mostly for muscle growth. Not one had been scientifically shown to be effective.

Athletes like Paige who fall for the promises of better performance through supplements are gambling with both their money and their health. They trade one product for another and another when the placebo effect wears thin and the promised miracles fail to materialize. DJ, who takes the scientific approach reflected in this Controversy, faces a problem: How does she tell Paige about the hoaxes and still preserve their friendship?

Explaining to someone that a cherished belief is not true involves a risk: the person often becomes angry with the one telling the truth, rather than with the source of the lie. To avoid this painful outcome, DJ decides to mention only the supplements in Paige's routine that are most likely to cause harm—the overdoses of caffeine and the hormone replacers. As for the whey protein and other supplements, they are probably just a waste of money, and DJ decides to keep quiet about them. Perhaps they are harmless superstitions.

When Paige believes her performance is boosted by a new concoction, DJ understands that the power of her mind is most likely at work—the placebo effect. Don't underestimate that power: it is formidable. You don't need to buy unproven supplements for an extra edge because you already have a real one—your mind. And you can use the extra money you save to buy a great pair of running shoes—perhaps on a Wednesday.

Critical Thinking

1. Most of the time, the buyer is wasting his or her money when buying an ergogenic aid to improve performance. Still, even well-educated athletes often take them. What forces do you think might motivate competitors to "throw caution to the wind" and buy and take unproven supplements sold as ergogenic aids? What role might advertising play?
2. Divide into two groups. One group will argue in favor of the use of ergogenic aids by athletes, and one group will argue against their use. Each group will make a list of ergogenic aids that should be allowed for use by athletes and a list of those that should not be allowed.

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11 Nutrition and Chronic Diseases

Controversy 11 Nutrient–Drug Interactions: Who Should Be Concerned?

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 11.1 Discuss the relationship between risk factors and chronic diseases.

LO 11.2 Describe cardiovascular disease and identify its risk factors.

LO 11.3 Summarize the causes, consequences, and management of type 2 diabetes.

LO 11.4 Describe the relationships between diet and cancer.

LO 11.5 Outline strategies for including sufficient fruit and vegetables in a diet.

LO 11.6 Summarize the concerns surrounding nutrient and drug interactions.

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What do you think?

- Are your food choices damaging your **heart**?
- Can your **diet** affect infectious diseases as well as chronic diseases?
- Is diabetes caused by **eating sugar**?
- Do "natural" foods without **additives** reduce cancer risks?

A disease is a disorder that impairs or disrupts normal body or organ functioning, and often produces characteristic signs or symptoms. One class of diseases, **infectious diseases**, are caused by specific pathogens. Against these, the body's best defenses are its own natural immunity and preventive measures provided by public health services—vaccines and sanitation. Nutrition and the immune system is the topic of this chapter's Consumer Guide (pp. 410–411). Two infectious diseases make the "top ten" list of killers, pneumonia and influenza, but as of August 2021, there were well over 600,000 deaths from COVID-19 in the United States. Provisional leading cause-of-death rankings indicate that COVID-19 was the third leading cause of death in the United States for 2020, behind heart disease and cancer. Thus, despite the tremendous death toll of COVID-19 in 2020, heart disease and cancer still head the list of leading causes of death in the United States. Figure 11–1 shows the final rankings for leading causes of death in 2019 (prior to the COVID-19 pandemic). The red bars in Figure 11–1 show heart disease heads the list, cancers are next, strokes are fifth on the list, and diabetes is in the second tier. Note also that these four threats to life are diet related. These four killers are **chronic diseases**; they are to a great extent preventable by good nutrition, and it befits this book to attend to them.

This chapter describes three types of chronic diseases: cardiovascular disease, diabetes, and cancer. These were selected for special attention because they are leading

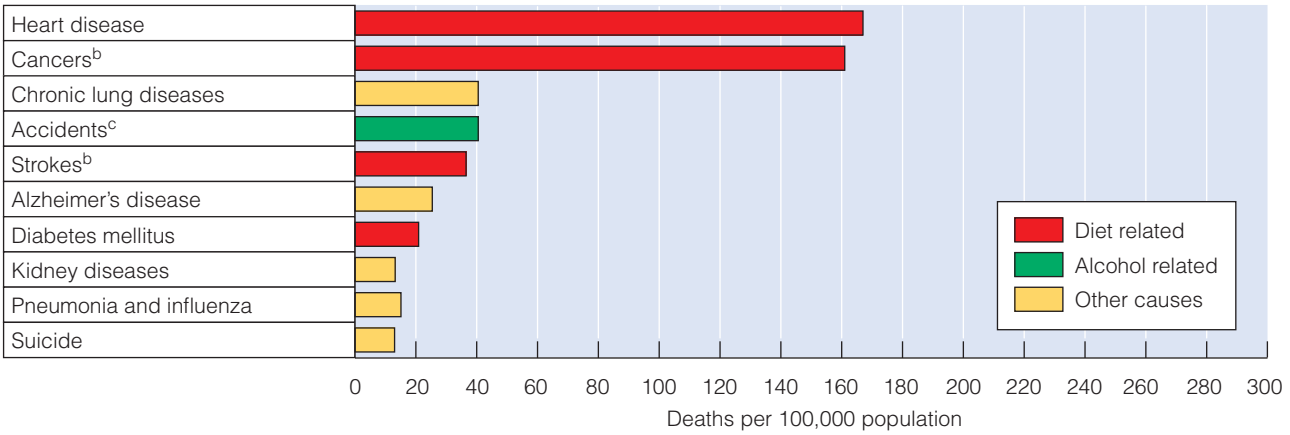
infectious diseases diseases that are caused by bacteria, viruses, parasites, and other microbes and that can be transmitted from one person to another through air, water, or food; by contact; or through vector organisms such as mosquitoes and fleas.

chronic diseases degenerative conditions or illnesses that progress slowly, are long in duration, and lack immediate cures. Chronic diseases limit functioning, productivity, and the quality and length of life. Also defined in Chapter 1.

Figure 11–1

The Ten Leading Causes of Death in the United States[a]

Many deaths have multiple causes, but diet influences the development of several chronic diseases—notably, heart disease, some types of cancer, strokes, and diabetes.



[a]*Rates are age adjusted to allow relative comparisons of mortality among groups and over time.*
[b]*Alcohol increases the risks for some cancers and strokes.*
[c]*Motor vehicle and other accidents are the leading cause of death among people aged 20–24, followed by suicide, homicide, and cancer.*
Source: Data from National Center for Health Statistics: K. D. Kochanek, J. Q. Xu, and E. Arias, Mortality in the United States, 2019, NCHS Data Brief, 395, December 2020.

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causes of **morbidity** and **mortality** in the United States, and because good nutrition can make major contributions to their prevention. For each, the chapter answers three questions: first, how does the disease affect the body? Second, what are its **risk factors**? And third, what steps can be taken to prevent it?

Causation of Chronic Diseases

LO 11.1 Discuss the relationship between risk factors and chronic diseases.

In contrast with the infectious diseases, each of which has a distinct pathogenic cause such as a bacterium or virus, the chronic diseases have suspected contributors known as risk factors. Risk factors are correlated with diseases—that is, they often occur together with diseases, but no single risk factor can be blamed for a disease because the factors work in teams. We can say that a certain virus causes influenza, but we cannot name just a single dietary cause of cancer. We cannot, for example, blame a low-fiber diet. It is a risk factor, yes, but there are many risk factors for cancer, and a low-fiber diet is only one of them. Moreover, every risk factor is implicated in the causation of more than one chronic disease—sometimes, many. Table 11–1 displays the whole, complex picture of the relationships among chronic diseases and their risk factors. (Other risk factors, specific to individual diseases, will appear in later discussions.) And one disease (such as diabetes) may *itself* contribute to other diseases (such as atherosclerosis and hypertension). Figure 11–2 illustrates some of these relationships.

morbidity: a diseased condition or state; ill health.

mortality: death

risk factors traits, conditions, or lifestyle habits that increase people's chances of developing diseases; factors known to be correlated with diseases but not proven to be causal.

Table 11–1

Chronic Disease Risk Factors

Of all of these risk factors, the first two are unalterable: you cannot change your age or heredity. The other risk factors have to do with your lifestyle choices and therefore are, to a great extent, under your control. Your choices can be powerful preventive measures against chronic diseases.

	DISEASES				
	Atherosclerosis	Hypertension	Diabetes (type 2)	Cancers	Obesity
Risk factors that cannot be modified:					
Advancing age	X	X	X	X	
Family history (heredity)	X	X	X	X	X
Modifiable risk factors other than diet:					
Excessive alcohol intake	X	X		X	X
Physical inactivity	X	X	X	X	X
Smoking/tobacco use	X	X		X	
Diet and nutrition risk factors:					
Diet high in added sugars					X
Atherogenic diet (high in saturated and trans fat and low in vegetables, fruit, and whole grains.[a]	X	X		X	X
Diet high in salty/pickled foods		X		X	
Diet low in vitamins and/or minerals	X	X		X	

[a]*An atherogenic diet produces high blood LDL and VLDL and low blood HDL. Such a diet is a CVD risk factor, and these blood-lipid test results, themselves, are also considered risk factors (see Table 11–3, p. 402).*

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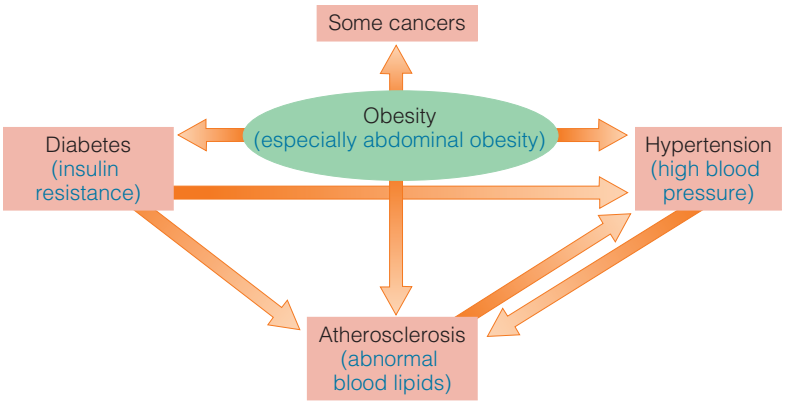
Figure 11–2

Interrelationships among Chronic Diseases

Many chronic diseases are themselves risk factors for other chronic diseases, and all of them are linked to obesity. The risk factors highlighted in blue define the metabolic syndrome (defined on p. 405).



Key Points

- Infectious diseases have a single cause—exposure to a specific pathogen.
- Today's predominant diseases are chronic diseases—cardiovascular diseases, type 2 diabetes, and cancer.
- The chronic diseases have many risk factors in common—among them, excessive alcohol intake, lack of physical activity, smoking/tobacco use, and diet.

Cardiovascular Diseases (CVD)

LO 11.2 Describe cardiovascular disease and identify its risk factors.

In the United States today, more than 100 million people suffer some form of disease of the heart and blood vessels, collectively known as **cardiovascular disease (CVD)**. Cardiovascular disease claims the lives of more than 650,000 people each year in the United States and has been the leading cause of death in this country for decades.[1*] CVD is often called "heart disease," but that is an oversimplification. As the term *cardiovascular disease* implies, CVD includes diseases of the blood vessels as well as the heart. Thus, the term *cardiovascular disease* represents a number of diseases rolled into one.

Atherosclerosis, the common form of hardening of the arteries, is a major underlying cause of most forms of CVD, including **hypertension**.[2] Hypertension in turn, worsens atherosclerosis. The two diseases are so interrelated that each is a risk factor for the other. As a later section describes, dietary patterns that lower the risk of atherosclerosis protect against hypertension as well. No one is completely free of all signs of atherosclerosis. The question is not whether you are developing it, but how far advanced it is and what you can do to slow or reverse it.

Chronic hypertension is one of the most prevalent forms of CVD, afflicting more than 100 million U.S. adults, and its incidence has been rising steadily.[3] Hypertension is a primary cause of **stroke**, a leading cause of death in the United States. People with normal blood pressure generally enjoy longer lives and less commonly suffer from all forms of CVD than those with high blood pressure. An abundance of terminology pertains to cardiovascular diseases. Some of the most common terms are defined in Table 11–2 (p. 400).

cardiovascular disease (CVD) a general term describing diseases of the heart and/or blood vessels. Examples of CVD include hypertension, coronary heart disease, and stroke.

atherosclerosis (ATH-er-oh-scler-OH-sis) a major cause of cardiovascular disease; an arterial disease characterized by deposits known as plaques along the inner walls of the arteries (*athero* means "soft and pasty," referring to the character of the deposits as they form at first; *scleros* means "hard," referring to the same deposits later in the process). The term *arteriosclerosis* means the same thing. (See Table 11–2, "CVD Terms," for *plaques*.)

hypertension high blood pressure.

*Reference notes are in Appendix F.

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Table 11–2

CVD Terms

- **aneurysm** (AN-you-rism) the ballooning out of an artery wall at a point that is weakened by deterioration.
- **coronary heart disease** a chronic, progressive disease characterized by obstructive blood flow in the coronary arteries; also called *coronary artery disease*. The coronary arteries are those that feed the heart muscle itself. See also *peripheral artery disease*.
- **embolus** (EM-boh-luss) a clot that travels through the circulatory system (*embol* means "to insert").
- **embolism:** the event in which an embolus lodges in an artery and suddenly cuts off the blood supply to a part of the body. See also *thrombosis*.
- **fatty streaks** deposits of fat on the inner surfaces of arteries, an early stage in the formation of plaques.
- **foam cells** foamy-looking cells formed during plaque formation: they develop from white blood cells that, while clearing fat from plaques, become engorged with it.
- **heart attack** sudden, unexpected cessation of the heartbeat, respiration, and consciousness, usually caused by a clot lodging in a coronary artery (thrombosis). If not quickly reversed, this is followed by death. Also called *cardiac arrest* or *myocardial infarction* (*myo* means muscle; *infarction* means blockage of blood supply).
- **hemorrhage** (HEM-orr-age) uncontrolled bleeding.
- **peripheral artery disease** any disease or disorder that affects the *peripheral arteries,* those that carry blood to the body's organs other than the heart. See also *coronary artery disease*.
- **plaques** (PLACKS; singular, plaque): mounds of lipid material mixed with smooth muscle cells and calcium that develop in the artery walls in atherosclerosis (*placken* means "patch"). (The same word is also used to describe the accumulation of a different kind of deposit on teeth, which promotes dental caries.)
- **stroke** the shutting off of the blood flow to a part of the brain by a thrombus, an embolus, or the bursting of a blood vessel; these events are termed *cerebral thrombosis, cerebral embolism,* and *cerebral hemorrhage,* respectively. (The *cerebrum* is part of the brain.)
- **thrombosis:** the event in which a thrombus grows large enough to close off a blood vessel and gradually cuts off the blood supply to a part of the body. See also *embolism*.
- **thrombus** a stationary blood clot in the circulatory system.

Key Points

- Cardiovascular disease is the leading cause of death in the United States.
- Atherosclerosis is the major underlying cause of cardiovascular disease, and hypertension is the most prevalent form of cardiovascular disease.
- Atherosclerosis and hypertension are risk factors for each other.

Atherosclerosis and Hypertension

Atherosclerosis begins with damage to the cells lining the arteries, caused by any of several factors: high blood LDL cholesterol, hypertension, diabetes, toxins from cigarette smoking, obesity, and certain viral and bacterial infections.[4] The damage is followed by a series of events that take place over many years:

- Development of **fatty streaks**, especially at branch points.
- Enlargement and hardening of these fat deposits to become **plaques**.
- Narrowing and hardening of the arteries (see Figure 11–3).
- **Inflammation**, which produces abundant free radicals.

Plaque Development Inflammation leads to many more events. The immune system responds by sending white blood cells to the site to try to repair the damage. Particles of LDL cholesterol become trapped in the blood vessel walls, and these become oxidized by abundant free radicals produced during inflammation. White blood cells flood the scene to scavenge and remove the oxidized LDLs, and as they become engorged with oxidized LDL, they take on a foamy appearance (hence the name **foam cells**). Then these foam cells become triggers of oxidation and inflammation that attract more scavengers to the scene. The smooth muscle cells of the arterial walls proliferate in an attempt to heal the damage, but they, too, may become trapped in the plaques. Some plaques become covered with fibrous coatings; some are hardened by calcium deposits. Ultimately, many inner artery walls are virtually covered with rigid, disfiguring plaques.

inflammation the immune system's response to cellular injury characterized by an increase in white blood cells, redness, heat, pain, and swelling. Inflammation plays a role in many chronic diseases.

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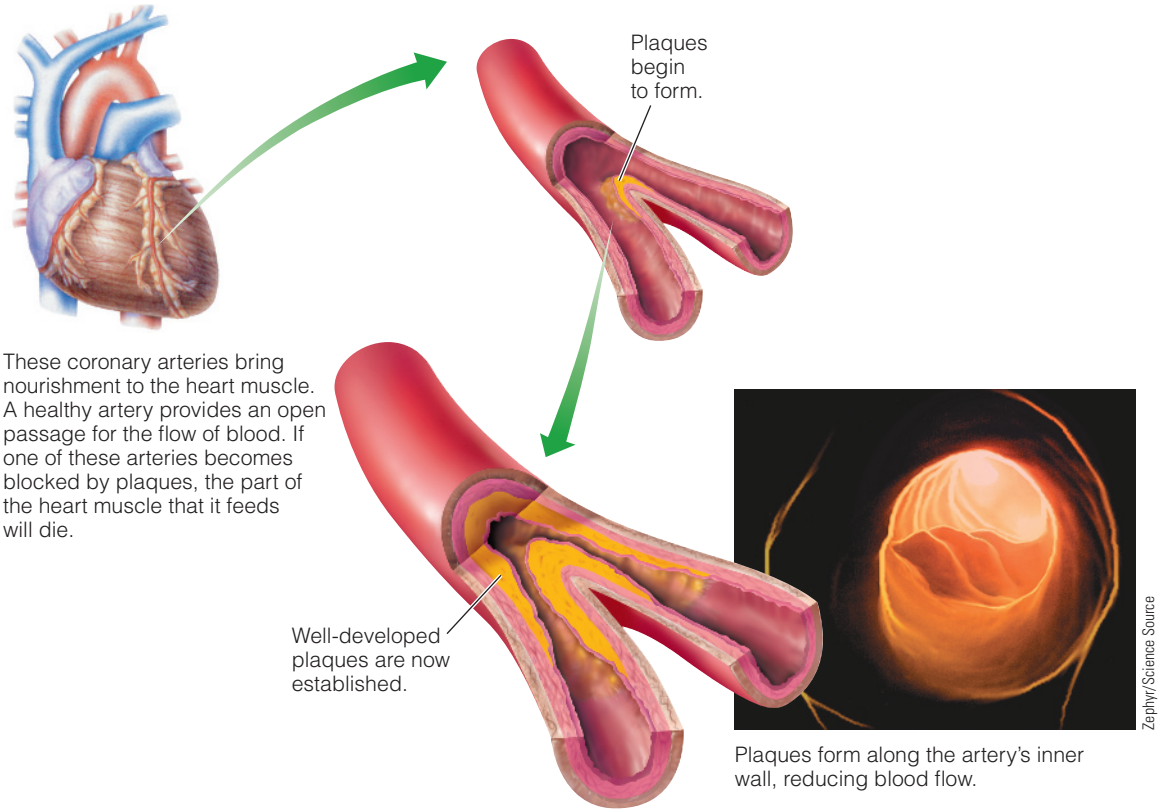
Figure 11–3

The Formation of Plaques in Atherosclerosis

Many people have well-developed plaques by the time they reach age 30.



Once plaques have formed, a spasm of an artery wall or a surge in blood pressure can tear the surface of a plaque, causing it to rupture. Then the body responds to the damage as to an injury—by clotting the blood.

Blood Clot Formation Clots form and dissolve in the blood all the time, and when the processes are balanced, the clots do no harm. As described in Chapter 6, blood clots are a normal and necessary response to injuries that bleed: they shut down blood flow and begin the healing process. In atherosclerosis, though, the balance is disturbed and clots form faster than they dissolve. Arterial damage, plaques in the arteries, and inflammation all favor the formation of blood clots.

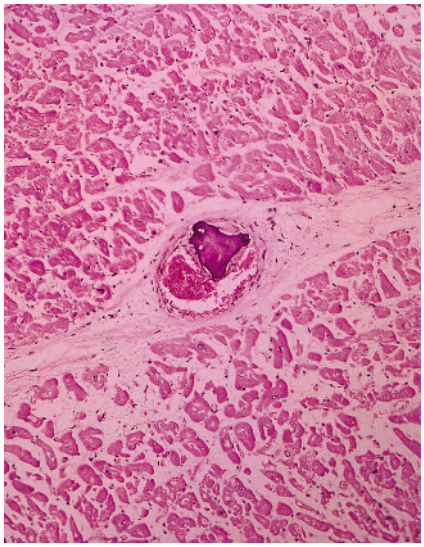
Abnormal blood clotting can trigger life-threatening events. For example, a clot, once formed, may remain attached to a plaque in an artery and grow until it shuts off the blood supply to the surrounding tissue. The tissue starves, slowly dies, and is replaced by nonfunctional scar tissue. Such a stationery clot is called a **thrombus**, and the tissue death it causes is **thrombosis**. A clot can also break loose, becoming an **embolus**, and circulate in the bloodstream until it reaches an artery too narrow to allow its passage. Now called an **embolism**, the clot remains stuck there, and because the episode happens suddenly, the surrounding tissues die quickly (see Figure 11–4). In either situation, once an artery is blocked, it may swell and its walls grow thin, so that it balloons out, becomes weak, and may burst (**aneurysm**). Once a blood vessel has burst, blood leaks rapidly from it (**hemorrhage**) and again, depending on the location, this may be disabling or fatal.

When the words *cerebral* (brain) or *coronary* (heart) modify the terms just introduced, they describe life-threatening events in the brain or heart. For example, such an event in the brain is called a stroke, and such an event in the heart is a **heart attack**.

Figure 11–4

A blood clot

A blood clot in an artery, such as this fatal heart embolism, blocks the blood flow to tissues fed by that artery.


SPL/Science Source

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Atherosclerosis Raises Blood Pressure Plaques in arteries also promote and aggravate hypertension (high blood pressure). Normally, arteries expand with each heartbeat, accommodating the pulses of blood that flow through them, but arteries hardened and narrowed by plaques cannot expand, so the blood pressure rises. High blood pressure then becomes a *symptom* of atherosclerosis. Hardened arteries also fail to let the blood flow freely through the kidneys, which respond as if the blood pressure is low: they release hormones that stimulate the body to retain sodium and water. This, of course, enlarges blood volume and makes the blood pressure still higher in a vicious cycle.

High Blood Pressure Accelerates Atherosclerosis High blood pressure also worsens atherosclerosis. High pressure damages the artery walls, making fatalities more likely. And because plaques are most likely to form at damage sites, atherosclerosis progresses most rapidly at those sites. Thus, the manifestation of each chronic disease precipitates and aggravates the other.

Key Points

- As most people age, atherosclerosis progresses steadily.
- The development of atherosclerosis involves plaque development, blood clot formation, and hypertension.
- Atherosclerosis and hypertension accelerate each other.

Risk Factors for Cardiovascular Disease

Major risk factors for CVD are listed briefly in Chapter 5; they are presented here in full in Table 11–3. Table 11–1 listed risk factors for a number of chronic diseases to show how a risk factor associated with one disease often contributes to others as well. Table 11–3 lists only those risk factors specific to heart disease.

All people reaching middle or old age exhibit at least one of these factors (advancing age itself is a risk factor), and many people have several factors silently increasing

Table 11–3

Major Risk Factors for Heart Disease

Risk factors highlighted with a blue background have relationships with diet. Later figures provide standards by which to judge blood lipids and blood pressure. Page E at the back of the book displays BMI values.

Risk factors that cannot be modified: ▪ Increasing age ▪ Male sex ▪ Family history (heredity)
Risk factors that can be modified: ▪ High blood LDL cholesterol ▪ Low blood HDL cholesterol ▪ High blood triglyceride (VLDL) levels ▪ High blood pressure (hypertension) ▪ Diabetes (type 2) ▪ Obesity (especially central obesity)
▪ Physical inactivity ▪ Cigarette smoking
▪ Excessive alcohol consumption ▪ High intake of sodium
▪ An "atherogenic" diet (high in saturated fats and trans fats and low in vegetables, fruit, and whole grains)

Sources: S. S. Virani and coauthors, Heart disease and stroke statistics—2020 update: A report from the American Heart Association, Circulation 141 (2020): e139–e596.

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their risks.[5] The more of these risks you can and do control, the lower your risks of CVD-induced disability and death. In recognition of the urgency to reduce the prevalence of major risk factors for CVD, the American Heart Association (AHA) has initiated My Life Check, an interactive online tool useful for assessing risks for heart disease and stroke. In addition, Life's Simple Seven lists seven actions associated with ideal cardiovascular health.[6] They inlcude:

- Manage blood pressure.
- Control cholesterol.
- Reduce blood sugar.
- Be active.
- Lose weight.
- Stop smoking.

Each of these reflect risk factors listed in Table 11–3, but Life's Simple 7 are meant to provide simple steps for anyone wishing to improve cardiovascular health.* The rest of this section discusses these and other important risk factors for cardiovascular disease in detail.

A Note about Men and Women At every age, males have a greater risk of CVD than females do, and males suffer heart attacks more often and earlier in life. Regardless, an estimated 44 million U.S. women have CVD and the number is increasing, closing the gap despite substantial progress in awareness, prevention, and treatment. In all its forms, CVD kills more U.S. women, especially those who are postmenopausal, than any other cause.[7] Learning to recognize the symptoms of an oncoming heart attack can be lifesaving: see "Recognize a Heart Attack" in the next section, "Preventive Measures against CVD."

The next risk factors in this series are all in the "can be modified" category, and they are powerful. Important research shows that even people with high genetic risks for CVD can improve their odds of staying healthy by engaging in regular physical activity, not smoking, controlling body weight, and eating health-promoting meals most often.[8] Each one of these four lifestyle choices reduces the risk of heart disease independently, but together, their synergistic effect is dramatic. Adhering to just three of the four cuts heart attack risk in half. Clearly, lifestyle choices can make a major difference to the health of the heart.

High Blood Glucose/Diabetes Any loss of control of blood glucose, even a transitory one, causes the condition of the arteries to deteriorate, and if it progresses to full-blown diabetes, it is a major risk factor for all forms of CVD and mortality.[9] Atherosclerosis progresses rapidly in people with diabetes, blocking blood vessels and obstructing circulation. For an individual with diabetes, the risk of a future heart attack is roughly equal to that of a person *without* diabetes who has already had a heart attack.

Hypertension More than three-fourths of U.S. adults older than 65 have hypertension.[10] Individuals who have normal blood pressure at 55 still have a 90 percent risk of developing high blood pressure during the ensuing years.

If the blood pressure is even slightly above normal, it increases the risk of heart attack and stroke. Moreover, the relationship is proportional: the higher the blood pressure, the greater the risk. This relationship between early signs of hypertension and heart disease risk holds true for men and women, young and old.

Obesity and Physical Inactivity Obesity, especially abdominal obesity, and physical inactivity significantly increase risk factors for CVD, contributing to high LDL cholesterol, low HDL cholesterol, high triglycerides, hypertension, and diabetes.[11] Conversely, weight loss and physical activity protect against CVD by lowering LDL, raising HDL,

*The online assessment and infographics for Life's Simple 7 are available at www.heart.org/en/healthy-living/healthy-lifestyle/my-life-check—lifes-simple-7.

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lowering triglycerides, improving insulin sensitivity, and lowering blood pressure.[12] Obesity is a major cause of high blood pressure, and the combination of obesity and hypertension greatly increases the risk for CVD. Most people with hypertension—an estimated 70 percent—are overweight or obese. Obesity raises blood pressure in several ways: by altering kidney function, by increasing blood volume, and by promoting blood vessel damage through insulin resistance.[13] Excess fat also has miles of extra capillaries through which blood must be pumped.

Smoking/Tobacco Use Cigarette smoking powerfully increases the risk for CVD.[14] The more a person smokes, the higher the risk. Using tobacco in all its forms exposes the heart to damaging toxins, and burdens it by raising the blood pressure. Body tissues starved for oxygen by smoke demand more heartbeats to deliver oxygenated blood, thereby increasing the heart's workload. At the same time, smoking deprives the heart muscle itself of the oxygen it needs to maintain a steady beat. Smoking also damages blood **platelets**, making clots likely to occur.

Excessive Alcohol Intake Drinking alcoholic beverages alters several risk factors underlying CVD. Wine in moderation, mentioned in Controversy 3 on p. 93, may yield some benefits, but in higher doses any alcoholic beverage damages heart tissues, promotes blood clotting, and raises blood pressure.[15] Alcohol in large amounts, even from wine, also increases inflammation. Hypertension is common among people with alcoholism; so are strokes, even when blood pressure is normal. Alcohol, regularly consumed in excess of two drinks per day for men or one for women, is strongly associated with hypertension and may interfere with drug therapy designed to lower blood pressure.

Blood Cholesterol and Triglycerides Low-density lipoprotein (LDL) cholesterol and high-density lipoprotein (HDL) cholesterol in the blood are strongly linked to a person's risk of developing atherosclerosis. LDL carry cholesterol to the cells, including the cells that line the arteries, where it can build up as part of the plaques of atherosclerosis described earlier. The higher the LDL concentration, the more rapid the progression of atherosclerosis; and the lower the LDL concentration, the slower the progression.[16] In clinical trials, interventions that lower blood LDL concentrations significantly reduce the incidence of heart disease. By one estimate, for every 1 percent drop in LDL cholesterol, the risk of heart disease falls 1 percent as well. Figure 11–5 lists blood lipid values that are considered to be healthy and those that exceed the safe limit.

HDL carry cholesterol away from the body's cells to the liver to be assigned to other uses or disposed of. HDL also carry proteins that inhibit inflammation, plaque accumulation, and lipid oxidation—all, valuable services to the body.[17] Thus, low HDL levels can contribute to the development of atherosclerosis. (One might think, then, that the higher the HDL concentration, the better, but above a certain level, higher HDL concentrations produce no greater benefits.)

Triglyceride transporters (VLDLs) are influential too: high blood triglyceride concentrations promote atherosclerosis.[18] About one-fifth of adults in the United States have high blood triglyceride concentrations.[19] These high blood triglyceride levels are associated with a sedentary lifestyle, overweight and obesity (especially abdominal obesity), and type 2 diabetes.

A blood test that reports "high blood triglycerides," "high LDL cholesterol," and "low HDL cholesterol" predicts the further development of plaques and the progression of atherosclerosis. It is clear, then, that one thing you can do to reduce your risk of CVD is to take actions to achieve healthy blood levels of cholesterol and triglycerides (see the top row of Figure 11–5).

Figure 11–5
Adult Standards for Blood Lipids

	Total blood cholesterol (mg/dL)	LDL cholesterol (mg/dL)	HDL cholesterol (mg/dL)	Triglycerides, fasting (mg/dL)
Healthy	<200	<100[a]	≥60	<150[b]
Borderline	200–239	130–159[c]	59–40	150–199
Unhealthy	≥240	160–189[d]	<40	200–499[e]

[a]100–129 mg/dL of LDL indicates a near optimal level.
[b]To further protect the heart and blood vessels, the American Heart Association recommends an optimum *triglyceride level of 100 mg/dL.*
[c]LDL cholesterol–lowering medication may be needed at 130 mg/dL, depending on other risks.
[d]>190 mg/dL of LDL indicates a very high risk.
[e]>500 mg/dL of triglycerides indicates a very high risk.

platelets tiny, cell-like fragments in the blood, important in forming clots (platelet means "little plate").

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Atherogenic Diet Diet also influences the risk of CVD. An **atherogenic diet**—high in saturated fats and trans fats and low in vegetables, fruit, and whole grains—increases LDL cholesterol.[20] Conversely, a dietary pattern such as the Healthy Mediterranean-Style Dietary Pattern (Appendix E) or the DASH dietary pattern (discussed in the Food Feature on p. 422) can often lower the risk of CVD.[21]

High Salt Intake A high intake of salt predicts CVD, and is associated with hypertension, stroke, and stroke mortality.[22] As salt intake increases, so does blood pressure. Most people with hypertension can benefit from consuming less salt.

Risk Factors Combined: Metabolic Syndrome A cluster of five of the previously described risk factors (see Table 11–4) is so powerfully predictive of CVD and diabetes that it has been given a name (**metabolic syndrome**). This syndrome underlies several chronic diseases and notably increases the risks of CVD and type 2 diabetes.[23] Central obesity and insulin resistance are thought to be the primary factors in its development.[24]

Metabolic syndrome, like many of the individual CVD risk factors, involves inflammation and elevates the risk of blood clotting.[25] More than a third of the U.S. adult population meets the criteria for metabolic syndrome, but many are not aware of it and so do not seek treatment.[26] The implications for CVD prevention are profound—correct the syndrome and reduce the risk.

Table 11–4
Metabolic Syndrome

Metabolic syndrome includes any three or more of the following:

- High fasting blood glucose
- Central obesity
- Hypertension
- Low blood HDL
- High blood triglycerides

Key Points

- Risk factors for CVD that cannot be modified include advancing age, male sex, and family history (heredity).
- Major modifiable risk factors for CVD are high LDL cholesterol, low HDL cholesterol, high blood triglycerides, hypertension, diabetes, obesity, physical inactivity, cigarette smoking, excessive alcohol consumption, an atherogenic diet, and a high intake of salt.
- An atherogenic diet with high amounts of saturated and trans fat and low amounts of fruit, vegetables, and whole grains increases risks.
- Metabolic syndrome is a combination of other risk factors, and greatly elevates CVD risk.

Marilyn Barbone/Shutterstock.com

When diets are rich in whole grains, vegetables, and fruit, life expectancies are long.

Preventive Measures against CVD

The steps that follow can help reduce your risk of cardiovascular disease. It will soon be evident that these same steps are protective against a number of other diseases as well.

First, Study Yourself If, like most people, you face a number of heart disease risks, it's important to know just what they are. Once they are known, you can tend first to the ones that will deliver the greatest benefit.

First, assess your present health condition. Then, learn your family medical history. Finally, own and face the lifestyle habits that are harming your health. Here's how.

Treat Diseases/Disorders If you already have diabetes, atherosclerosis, or hypertension, take immediate action. Seek medical help and evaluate the lifestyle choices you are making.

Lose Weight if Overweight Weight loss alone is one of the most effective nondrug treatments for hypertension. People who are overweight and have hypertension can significantly lower blood pressure by losing as little as 5 to 10 percent of their body weight. People who are taking medication to control their blood pressure can often, if they lose weight, cut down their doses or eliminate them altogether. As noted earlier, weight loss in people who are overweight or obese also improves blood lipids and glucose response, reducing risks of CVD and diabetes.

Be Physically Active Physical activity stimulates development of new coronary arteries to nourish the heart muscle. This may be a factor in the excellent recovery observed in people who follow medically prescribed exercise regimens after heart attacks.

atherogenic diet a diet that promotes atherosclerosis—that is, a diet that is high in saturated fats and trans fats and low in vegetables, fruit, and whole grains.

metabolic syndrome the five-member set of symptoms—high fasting blood glucose, central obesity, hypertension, low blood HDL, and high blood triglycerides—any three of which greatly increase a person's risk of developing CVD. Also called *insulin resistance syndrome*.

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Think Fitness

Ways to Include Physical Activity in a Day

The benefits of physical activity are compelling, so why not tie up your athletic shoes, head out the door, and get going? Here are some ideas to get you started:

- Coach a sport.
- Garden.
- Hike, bike, or walk to nearby stores or to classes.
- Mow, trim, and rake by hand.
- Park a block from your destination and walk.
- Play a sport.
- Play with children.
- Take classes for credit in dancing, sports, conditioning, or swimming.
- Take the stairs, not the elevator.
- Walk a dog.
- Walk every day. A common goal is 10,000 steps per day (about 5 miles), to meet the "active" daily activity level, but shorter walks also confer benefits on most people. Use a pedometer to count your steps.
- Wash your car with extra vigor, or bend and stretch to wash your toes in the bath.
- Work out at a fitness club.
- Work out with friends to help one another stay fit.

Also, try these:

- Give away two labor-saving devices to someone who needs them.
- Lift small hand weights while talking on the phone, reading email, or watching TV.
- Stretch often during the day.

Start now! Using the list above as a guide, make your own list of things you can do today to be physically active. Using the calendar you created in Chapter 10, note on each day for the next month the physical activities you have engaged in for that day.

Physical activity also favors lean tissue over fat tissue for a healthy body composition, raises HDLs, improves insulin response, quells inflammatory stimuli, and lowers blood pressure, LDLs, blood triglycerides, and blood glucose.[27] Just 30 minutes or more of brisk walking can improve the odds against heart disease considerably if done at least 5 days a week. If you are pressed for time, 15 minutes of more vigorous physical activity, such as jogging, on at least 5 days a week can provide the same benefits. The Think Fitness feature offers suggestions for incorporating physical activity into your daily routine. Figure 10–1 (p. 363) explains the Physical Activity Guidelines for Americans.

Physical activity also affects the body's hormonal balance in a beneficial way. It reduces the secretion of stress hormones, counteracting stress and lowering blood pressure. It also redistributes body water and eases transit of the blood through the small arteries that feed the tissues, including those of the heart.

Figure 11–6
Know Your Blood Pressure

The most effective single step you can take against hypertension is to learn your own blood pressure.

Iofoto/Shutterstock.com

Control Alcohol Intake Honestly assess your alcohol intake (review Controversy 3). As emphasized elsewhere in this book, *moderate* alcohol use is considered tolerable: no more than one drink per day for a woman and no more than two for a man. This amount seems safe, relative to heart health. (Unfortunately, this amount of drinking poses another risk for women: the risk of breast cancer, so other routes to relaxation may prove safer.)

Don't Smoke Tobacco smoke has already been mentioned as producing arterial injuries. For this and a hundred other reasons, smokers are advised to quit, and nonsmokers are advised to avoid exposure to secondhand smoke. It is difficult, but it is well worth the effort: When smokers quit, their risks of heart disease begin to diminish within a few months.

Learn Your Family History Early heart disease in siblings or parents is a major risk factor. The more family members affected and the earlier the age of onset, the greater the risk.[28] These relationships reflect a genetic influence on CVD risk, and specific genetic links are under investigation. At present, the relationships are tangled and likely to become more so before being sorted out.

Know Your Blood Pressure The most effective single step you can take to protect yourself from hypertension is find out whether you have it (see Figure 11–6).

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High blood pressure presents no symptoms you can feel, but during a checkup, a health-care professional can take an accurate resting blood pressure reading and advise you. A single "high" reading should be questioned; a second one tentatively confirms the diagnosis of hypertension; and thereafter, the pressure should be checked at regular intervals. A professional reading is best: self-test machines in drugstores and other public places, although convenient, are often inaccurate.

When blood pressure is measured, two numbers are important: the pressure during contraction of the heart's large, lower chambers (the ventricles) and the pressure during their relaxation. The numbers are reported as a fraction, with the first number representing the systolic pressure (ventricular contraction), and the second number the diastolic pressure (ventricular relaxation). Refer to Figure 11–7 to see how to interpret your resting blood pressure.

Ideal resting blood pressure is lower than 120/80. Values above this (up to 129/<80) indicate elevated blood pressure, which suggests that taking steps to reduce the blood pressure may help avert illness later on. Above this borderline level, the risks of heart attacks and strokes rise in direct proportion to increasing blood pressure (see Figure 11–7).

Figure 11–7

Adult Standards for Blood Pressure: Systolic/Diastolic

Measurements are expressed as millimeters of mercury (mm Hg)

Normal	<120/<80
Elevated	120–129/<80
Hypertension	≥130/≥80

Source: P. Muntner and coauthors, Potential U.S. population impact of the 2017 American College of Cardiology/American Heart Association High Blood Pressure Guideline, Circulation, *137 (2018): 109–118.*

Determine Your Heart Disease Risk Risk evaluation plays an important role in CVD intervention. The AHA, together with the American College of Cardiology, sets assessment standards for evaluating a person's risk of developing CVD.[29] For adults who are 20 to 39 years of age, assessment of cardiovascular risk factors every 4 to 6 years is recommended. For adults who are 40 to 75 years of age, clinicians should routinely assess cardiovascular risk factors. If the risk is high, treatment guidelines define when physicians should prescribe cholesterol-lowering medications.

Recognize a Heart Attack In the event that a heart emergency occurs, one's ability to recognize the symptoms can be lifesaving. The sooner medical help arrives, the more likely a person is to recover. The Centers for Disease Control and Prevention (www.cdc.gov) lists the five major symptoms of a heart attack:

- Pain or discomfort in the jaw, neck, or back
- Feeling weak, light-headed, or faint
- Chest pain or discomfort
- Pain or discomfort in arms or shoulders
- Shortness of breath

Importantly, women may or may not experience classic symptoms such as chest discomfort. Women are more likely to experience unusual fatigue, dizziness or weakness, nausea, and breaking out in a cold sweat.

Reduce Your Salt/Sodium Intake High intakes of salt and/or sodium are associated with hypertension and a large number of deaths from hypertension-related diseases such as stroke.[30] Lowering sodium intake is the key to successful management: as salt intake diminishes, blood pressure goes lower in synchrony. This direct relationship is reported at all levels of intake, from very low to much higher than average, and provides additional protection against heart disease in other ways as well.

The World Health Organization (WHO) estimates that a significant reduction in sodium intake could reduce by half the number of people requiring medication for hypertension and greatly reduce CVD mortality. Most authorities recommend that everyone, even those with normal blood pressure, restrict sodium intakes, not to exceed the DRI Chronic Disease Risk Reduction Level of 2,300 milligrams of sodium per day. Individuals with hypertension are advised to further limit sodium intake to 1,500 milligrams of sodium per day.[31]

Increase Potassium Intake Potassium may help regulate blood pressure. The well-known relationship between sodium intake and hypertension may be modulated, in part, by dietary potassium. Some research suggests that the benefits of reduced dietary sodium may be enhanced with greater intakes of potassium.[32] Optimal blood

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pressure is most often observed when the diet is both low in sodium and high in potassium. Therefore, eating plans often recommended to prevent and treat hypertension, such as the DASH diet, emphasize potassium-rich fruits and vegetables while keeping sodium intake in check.[33]

Follow a Healthy Dietary Pattern The defensive moves just described can all help to prevent CVD, but equally important or even more so is a person's dietary pattern. An individual eats three meals, 365 days a year, more than 1,000 meals a year, or 40,000 meals by the age of 40. The choices made at mealtimes tremendously influence cardiovascular health.

Nutrition has many connections with diet, both negative and positive. The negative relationships—obesity, saturated fat intakes, salt intake, and alcohol consumption—have already been presented. It remains to demonstrate the many positive ways in which a nutritious diet can promote cardiovascular health.

A main objective of a defensive diet is to lower blood triglycerides and cholesterol—that is, to reduce blood VLDL and LDL. And just as a diet high in saturated and trans fats raises LDL, a diet low in those lipids lowers them. Where people in the world consume diets high in saturated fat and low in fish, fruit, vegetables, nuts, legumes, and whole grains, blood cholesterol is high and heart disease takes a toll on health and life. Conversely, where people consume mostly unsaturated dietary fats and abundant fish, fruit, vegetables, nuts, legumes, and whole grains, blood cholesterol levels and heart disease rates are low.[34]

It matters, too, what people choose to eat in place of saturated fats. Replacing saturated fats with polyunsaturated or monounsaturated fats helps to lower LDL cholesterol and lowers the risk of death from CVD.[35] Polyunsaturated fat tends to have the greater effect. Table 5–7 (p. 170) offers practical ways to cut down on saturated (solid) fats and replace them with polyunsaturated and monounsaturated oils.

Are high-carbohydrate foods a good choice? Clearly not all of them: refined starches and added sugars have the potential to worsen heart disease risk by elevating blood triglycerides and inflammation and by reducing HDL cholesterol.[36] People with elevated triglycerides may find that replacing refined starches with complex-carbohydrate foods such as whole grains, legumes, and vegetables helps to improve their blood lipid profiles.

Fish oil is the richest food source of DHA and EPA, eicosanoid products of an omega-3 fatty acid, which oppose blood clots and support heart health.[37] A diet that includes two fatty fish meals per week, as the AHA recommends, may therefore help to protect against blood clotting better than a diet that lacks fish. For people with heart disease, even more fatty fish than this is recommended, and a physician may prescribe fish oil supplements. However, as is true for most nutrients, too much is as bad as too little—DHA in large amounts may *promote* blood clots, so supplements should be taken only with a physician's approval.[38]

Eicosanoids and omega-3 fatty acids were introduced on page 158 in Chapter 5.

Nutrient Supplements, Drugs, Herbal Remedies People who want to get all the nutrients that help keep blood pressure low may turn to vitamin-mineral supplements, but these have shown no promise for lowering blood pressure. What does help is to consume the dietary pattern described many times before: low in saturated fat, with abundant fruit, vegetables, fish, whole grains, and low-fat dairy products that provide the needed nutrients while holding sodium intake within bounds. Some people simply doubt the power of ordinary foods and their nutrients to improve health, and turn to **complementary** and **alternative medicines (CAM)**. Each year, U.S. consumers spend tens of billions of dollars on CAM treatments. Some CAM therapies have been used for centuries, but few have been tested for safety and effectiveness. Nutrient-drug interactions are the topic of Controversy 11, p. 426, but the Controversy also points out how certain herbs may interact with drugs, sometimes dangerously.

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Table 11–5

How Much Does Changing the Dietary Pattern Lower LDL Cholesterol?

For those who need to lower LDL cholesterol, this table offers a perspective on the magnitude of results that may be possible.

Diet-Related Component	Modification	Possible LDL Reduction
Saturated fat	<7% of calories	8–10%
Weight reduction (if overweight)	Lose 10 lb	5–8%
Soluble, viscous fiber	5–10 g/day	3–5%

Should diet and physical activity fail to normalize blood pressure, antihypertensive drugs such as diuretics can be lifesaving. These drugs lower blood pressure by increasing fluid loss so as to lower the blood volume.

Diets to Reduce CVD Risks All in all, dietary measures to reduce CVD risks can be very much worth taking. Table 11–5 illustrates the power of diet-related factors in reducing the risk of CVD, and the "Mediterranean diet," which delivers an excellent combination of foods for this purpose, is presented in Appendix E. The diet reduces the risks of CVD to a greater degree than might be expected from its effects on blood lipids alone.[39] A number of beneficial features of such diets may share the credit, among them the vitamins, minerals, fibers, antioxidant phytochemicals, and omega-3 fatty acids.

Another such diet, described in this chapter's Food Feature, is the DASH diet (Dietary Approaches to Stop Hypertension), on which researchers confer high praise. Trials of the DASH diet, which is rich in fruit, vegetables, nuts, whole grains, and low-fat dairy products, have shown that it offers many welcome benefits to eaters. It lowers blood pressure more effectively than salt restriction alone.[40] It also improves vascular function, lowers total cholesterol and LDL cholesterol, and reduces inflammation.[41] Compared with the typical American diet, the DASH plan provides more fiber, potassium, magnesium, and calcium; emphasizes legumes and fish over red meats; limits added sugars and sugar-containing beverages; and meets other recommendations of the Dietary Guidelines for Americans. The plan seems to work well, not only for people who are eating meals provided by researchers, but also for those freely choosing and preparing their own foods according to its directions.

Manage Lifestyle Changes Adopting lifestyle changes can be challenging. Making major adjustments to eating and physical activity patterns is not the easy route to heart health that everyone would like, but such changes form a powerful and safe combination for improving today's health and tomorrow's health prospects. The compounding protection from a recommended dietary pattern and a physical activity regimen becomes clear: the effects of each small choice add to the beneficial whole.

Key Points

- Lifestyle changes to lower the risk of CVD include increasing physical activity, achieving a healthy body weight, reducing exposure to tobacco smoke, and eating a heart-healthy diet.
- Dietary measures to lower LDL cholesterol include reducing intakes of saturated fat and trans fat, along with consuming generous quantities of nutrient-dense fruit, vegetables, legumes, nuts, fish, and whole grains.

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A Consumer's Guide to . . . Nutrition and the Immune System

Every day, your immune system defends against thousands of attacks by microorganisms. Should an agent of disease encounter a weakened immune defense, illness is the likely outcome. As the world endured the COVID-19 pandemic, medical science bore witness to the power of the immune system to determine who among us escapes illness, who develops mild symptoms, and who succumbs to serious disease.[1]* Both nutrition and chronic metabolic diseases directly affect the functioning of the immune system.

Chronic Diseases and Immune Defenses

A person with a metabolic disease who contracts a serious infection faces a severalfold greater threat to life than does a metabolically healthy person with the same infection.[2] In a sample of 300,000 U.S. citizens who tested positive for COVID-19, those with a chronic metabolic disease required hospitalization six times more often, and their illnesses were 12 times more often fatal, than in patients without those conditions.[3] Although improvements in chronic diseases often follow changes in diet and other lifestyle habits, it's often too late to take action once a serious infection has taken hold.

A Kingpin Link: Inflammation

Inflammation is common to both chronic diseases and serious infections, and nutrition plays important roles in controlling inflammation.[4] In a healthy system, inflammation is kept in check by compounds, often nutrients, that oppose it. Antioxidant nutrients, such as zinc and vitamin C, along with other nutrients that support their functions, must be continuously replenished from the diet.[5]

Within seconds after a microbe invades a healthy body, a sudden storm of inflammatory compounds flood the affected tissues, causing characteristic swelling and redness of infection. By increasing blood flow and drawing immune system cells to the area, inflammation helps the tissues to fight infection. In a healthy system, once the threat is neutralized, inflammation resolves and normal balance is restored. In metabolic diseases, however, this balance becomes disturbed, and harmful chronic low-grade inflammation continues unabated in many body tissues, including tissues of the immune system itself, weakening it. A weakened immune system is less able to fight off infectious agents when they arrive. An "inflammation storm" of the lungs is characteristic of respiratory failure in severe COVID-19 infection.†

Nourishing Your Immune Defenses

Immune tissues and cells are among the first in the body to be impaired by nutrient deficiencies or toxicities. When deprived of essential nutrients, these critical immune components dwindle in size and number, leaving the body vulnerable to infections.[6] Table 11–6 lists some other roles for nutrients in immunity.

An example is vitamin D. Before the discovery of antibiotics, physicians knew that people ill with tuberculosis often benefited from "taking some sun," but almost a century would pass before scientific evidence fully explained the links among sun exposure, vitamin D, and immunity. Vitamin D interacts with immunity in at least three ways. It modulates certain immune-related gene activities in white blood cells, cells that are critical to effective immune defenses. Sufficient vitamin D also appears to slow viral replication, and it may reduce injurious inflammation, particularly in sensitive body linings, such as the linings of the lungs and heart.[7] People with vitamin D deficiency appear to have higher rates of positive results when tested for the COVID-19 virus than people sufficient in vitamin D.[8]

One more example demonstrates the complexity of the interactions between a nutritious diet and immunity. The health of beneficial microbial communities of the colon depends upon a steady supply of high-quality, fiber-rich carbohydrate in the diet, In turn, such healthy microbiota contribute to maintenance of the body's immunity.[9]

Research shows that doses of nutrients do not benefit hospitalized COVID-19 patients, not even zinc, vitamin C, or vitamin D.[10] Despite this, supplement sellers are quick to suggest otherwise. A warning: Most so-called "immune-strengthening" dietary supplements are hoaxes. In well-fed people, taking excess nutrients from supplements does not trigger extra immune power and toxic excesses often do the opposite.

Moving Ahead

For the best chance of staying well, nourish your immune system in accordance with sound nutrition principles as presented in this text. In addition, exercise regularly, reduce excessive body fat, avoid tobacco, minimize alcohol intakes, and get enough sleep. Seek medical testing to detect metabolic diseases in their early treatable stages; have your

** Reference notes are in Appendix F.*

† This surge of inflammation, known as a cytokine storm, *is associated with such serious COVID-19 outcomes as severe respiratory distress requiring breathing support and multiple-organ failure.*

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blood nutrient levels checked and correct deficiencies. Get immunizations on schedule. Don't be distracted by hustlers preying on emotions to hawk unneeded or potentially damaging products. Most importantly, start now, before serious infection sets in. As any sailor knows, you can't build your boat while you're sailing it.

Review Questions*

1. Chronic diseases bear no relation to outcomes of infectious diseases. T F
2. Taking nutrient supplements is an effective treatment for preventing infectious diseases in well-fed people. T F
3. Nourishing the immune system is simple—just follow the sound nutrition principles presented in this text. T F

**Answers to Consumer's Guide review questions are in Appendix G.*

Table 11–6

Selected Nutrient Roles in Immune Function

The immune system requires all nutrients for optimal functioning. The nutrients listed here have well-known, specific roles in immunity.

Nutrient	Key Role(s) in Immune Function
Vitamin A	Maintains healthy skin, lung, and other epithelial and mucosal tissues (barriers to infection); plays roles in cellular replication and specialization that support immune cell and antibody production and the anti-inflammatory response
Vitamin D	Regulates immune cell genetic activities involved in white blood cell and antibody production; maintenance of barrier defenses, suppression of inflammation, and other widespread roles in immunity
Vitamins C and E	Help quench inflammation and protect against oxidative damage
Selenium	Helps quench inflammation; may reduce virulence of some viruses
Zinc	Helps maintain an effective immune response; antiviral activity
Protein	Maintains healthy skin and other epithelial tissues (barriers to infection); participates in the synthesis and function of the organs and cells of the immune system and antibody production
Omega-3 fatty acids	Help to resolve inflammation after an immune response through production of lipid mediators, among other roles

Sources: P. T. James and coauthors, The role of nutrition in COVID-19 susceptibility and severity of disease: A systematic review, Journal of Nutrition *151 (2021): 1854-1878; J. Zhang and coauthors, Association between regional selenium status and reported outcome of COVID-19 cases in China, editorial,* American Journal of Clinical Nutrition *(2020): 1297–1303; D. Wu and coauthors, Nutritional modulation of immune function: Analysis of evidence, mechanisms, and clinical relevance,* Frontiers in Immunology *(2019), doi: 10.3389/fimmu.2018.03160; S. Huang and coauthors, Role of vitamin A in the immune system,* Journal of Clinical Medicine *7 (2018), doi: 10.3390/jcm7090258; S. A. Read and coauthors, The roles of zinc in antiviral immunity,* Advances in Nutrition *10 (2019):696–710; F. Sassi, C. Tamone, and P. D'Amelio, Vitamin D: Nutrient, hormone, and immunomodulator,* Nutrients *(2018), doi:10.3390/nu10111656; M. C Basil and B. D Levy, Specialized Pro-Resolving Mediators: Endogenous Regulators of Infection and Inflammation,* Nature Reviews Immunology *16 (2016): 51–67, doi: 10.1038/nri.2015.4; H. Steinbrenner and coauthors, Dietary selenium in adjuvant therapy of viral and bacterial infections, Advances in Nutrition 6 (2015): 673–682.*

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Diabetes

LO 11.3 Summarize the causes, consequences, and management of type 2 diabetes.

At the start of this chapter, **diabetes** was identified not only as a major risk factor for CVD, but also as a leading cause of death in the United States. Recent decades have seen a sharp rise in the rate of type 2 diabetes afflicting both adults and children: more than 34 million people in all have diabetes.[42] In addition, well over one-third of U.S. adults, or 88 million more, have **prediabetes**, exhibiting warning signs of diabetes to come.

There are two common forms of diabetes, type 1 and type 2. Both disorders involve insulin and blood glucose and both pose similar risks to health; they differ in their typical ages of onset and in the presence or absence of insulin. **Type 1 diabetes** accounts for 5 to 10 percent of all cases. It usually sets in during childhood or adolescence but it can begin at any age, even late in life. Although type 1 diabetes is far less common than type 2, worldwide an estimated 1.1 million children and adolescents (under age 20) have type 1 diabetes and it is increasing in prevalence each year.[43]

The predominant type of diabetes, **type 2 diabetes**, is closely linked with obesity and is responsible for 90 to 95 percent of cases in both adults and children.[44] Although type 2 diabetes typically appears later in life, it has been on the rise among children and adolescents, following current trends in obesity among U.S. youth. In type 2 diabetes, there is an inadequate response of the body's cells to the hormone insulin—that is, **insulin resistance**. Table 11–7 displays other distinguishing characteristics.

Type 1 diabetes is an **autoimmune disorder**. A person's own immune cells mistakenly attack and destroy the insulin-producing cells of the pancreas. The rate of pancreatic cell destruction in type 1 diabetes varies. In infants and children, destruction is rapid; in adults, it is slow.[45] Eventually, the damaged pancreas no longer produces enough insulin to control blood glucose adequately. Then, after each meal, glucose concentration builds up in the blood, while body tissues are simultaneously starving for glucose, a life-threatening situation. The person must receive insulin from an external source to assist the tissues in taking up the glucose they need from the bloodstream.

Insulin is a protein, and if it were taken orally, the digestive tract would digest it. Insulin must therefore be taken as daily injections, inhaled in powder form, or pumped from an insulin pump that delivers it through a tiny tube implanted under the skin. Some

diabetes (dye-uh-BEET-eez) metabolic diseases characterized by elevated blood glucose arising from insufficient or ineffective insulin, or both. The technical term is *diabetes mellitus* (*mellitus* means "honey-sweet" in Latin, referring to the presence of sugar in the urine).

prediabetes a condition in which the blood glucose concentration is above normal, but not high enough to be diagnosed as diabetes; a major risk factor for diabetes and cardiovascular diseases.

type 1 diabetes the less common type of diabetes in which the pancreas produces little or no insulin.

type 2 diabetes the more common type of diabetes in which the body's cells fail to respond to insulin.

insulin resistance a condition in which a normal or high concentration of circulating insulin produces a subnormal glucose-uptake response in muscle, liver, and adipose tissue.

autoimmune disorder a disease in which the body develops antibodies against its own proteins and then proceeds to destroy cells containing these proteins.

Table 11–7
Type 1 and Type 2 Diabetes Compared

	Type 1	Type 2
Percentage of cases	5–10%	90–95%[a]
Associated characteristics	Autoimmune disease, viral infections, family history	Aging, overweight or obesity, family history, heart disease, elevated blood lipids, hypertension, psychological depression, some medications
Primary problems	Destruction of insulin-producing cells of the pancreas, insulin deficiency	Insulin resistance, insulin deficiency (relative to needs)
Insulin secretion	Little or none	Varies; may be normal, increased, or decreased
Requires insulin	Always	Sometimes

[a] *Incidence of type 2 diabetes is increasing in children and adolescents; in more than 90% of these cases, it is associated with overweight or obesity and a family history of type 2 diabetes.*

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insulin pumps also monitor blood glucose and report its levels throughout the day. Fast-acting and long-lasting forms of insulin allow more flexibility in managing meals and treatments, but users must still plan ahead to balance blood insulin and glucose consumption.

The rest of this section focuses on type 2 diabetes, for several reasons. Type 2 is much more prevalent than type 1; it has more known risk factors; and many strategies can prevent it.

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Key Points

- Prediabetes silently threatens the health of tens of millions of people in the United States.
- Type 1 diabetes is an autoimmune disease that attacks the pancreas and abolishes its ability to produce insulin; it necessitates that insulin be provided from an external source.
- Type 2 diabetes, the predominant type, is closely linked with obesity.
- A primary characteristic of type 2 diabetes is insulin resistance—an inadequate response of the body's cells to insulin.

How Does Type 2 Diabetes Develop?

In type 2 diabetes, the body's cells are deprived of some or all of the glucose energy they need, even as both glucose and insulin build up in the blood. The glucose that is circulating in the blood would normally enter cells freely with the help of insulin from the pancreas, but now the cells are failing to respond to it.

When the muscle, fat, and other cells become insulin resistant and fail to take up glucose from the blood, the blood glucose concentration rises. The pancreas responds by producing more and more insulin, but to no avail. Eventually, the overtaxed cells of the pancreas begin to fail and reduce their insulin output, while blood glucose soars farther out of control. Chronically elevated blood glucose taxes the kidneys with the task of excreting the excess (this produces the familiar diabetes symptom of sugar in the urine) and alters metabolism in virtually every cell of the body. Some cells convert excess glucose to toxic alcohols. In other cells, glucose becomes attached to working protein molecules, rendering them nonfunctional. When blood glucose is high and the cells are starved for energy, a triad of telltale symptoms appears:

- Intense hunger, although there is plenty of glucose in the blood, the cells are starved for energy
- Frequent urination, because the kidneys are filtering excess sugar out of the blood and having to draw water from the body to excrete it
- Intense thirst, because the frequent urination brings about dehydration

Recognizing these symptoms and seeking medical help as soon as possible can often help to minimize the consequences of untreated diabetes.

Key Points

- In type 2 diabetes, insulin resistance causes glucose and insulin to build up in the bloodstream.
- Recognizing the symptoms of diabetes and seeking treatment are important steps for protecting health.

Harms from Diabetes

A common misconception still held by too many people is that diabetes is of little real consequence to health. In fact, diabetes is a dangerous disease that can strike anyone at any time (Table 11–8, p. 414 dispels other false ideas.) The altered metabolism from uncontrolled blood glucose damages many organs and tissues. Should these critical systems begin to fail, both health and life are jeopardized.

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Table 11–8

Common Misconceptions about Diabetes

These misconceptions foster false negative stereotypes about diabetes and needlessly blame people for contracting it.

Misconceptions	Facts
People who are overweight or obese will eventually develop type 2 diabetes.	Being overweight is just one risk factor for type 2 diabetes; others include advancing age, ethnicity, and family history. Anyone can develop diabetes.
Diabetes is contagious.	Diabetes is a chronic disease, not an infectious disease.
Eating too much sugar causes diabetes.	Excess sugar intake, particularly from sugarsweetened beverages, is *associated* with diabetes. It is not a known *cause* of the disease.
Diabetes isn't too serious. Just follow medical advice and it can't harm you.	Diabetes is a progressive disease that causes more deaths each year than breast cancer and doubles the chance of a heart attack. Over time, more medications may be needed to help control blood glucose and minimize harms to the body.
People with diabetes need to eat a lot of special diabetic cookies, crackers, and other products.	People with diabetes benefit most from a dietary pattern that follows the Dietary Guidelines for Americans, with a few modifications. Expensive "dietetic" cookies and crackers are low-nutrient treats and offer no special benefits.
People with diabetes can't eat bread, fruit, potatoes, or sweet treats.	Portion size is key for all carbohydrate-rich foods. Small servings of whole grains, fruit, and starchy vegetables contribute important nutrients and fiber to a healthful diet. On special occasions, a small dessert may be allowable after a well-chosen meal or after exercise.

Source: Adapted from American Diabetes Association, Myths about diabetes (2021), available at www.diabetes.org/diabetes-risk/prediabetes/myths-about-diabetes.

Diseases of the Large Blood Vessels Atherosclerosis tends to develop early, progress rapidly, and become severe in people with diabetes. The interrelationships among insulin resistance, obesity, hypertension, and atherosclerosis help explain why the most common causes of death in people with long-term diabetes are heart attacks and strokes.

This close relationship between the CVD and diabetes is reflected in their risk factors. When you study the CVD risk factors listed in Table 11–3 (p. 402), it's easy to spot their overlap with these three risk factors for type 2 diabetes:

1. *Advancing age*. Diabetes testing should begin at age 45 for everyone.
2. *Family history (heredity)*. Having a close relative with type 2 diabetes increases the risk.[46]
3. *Overweight and obesity*. Most, but not all, people with type 2 diabetes are overweight, and obesity can foster insulin resistance.[47]

In addition, race and ethnicity affect diabetes risk: Black Americans, Hispanic Americans, certain Asian Americans, Native Americans, and Pacific Islanders all have increased risks for type 2 diabetes.

Impaired Kidney, Eye, and Nerve Function In diabetes, the structures of the blood vessels and nerves become damaged, leading to diminished blood circulation and nerve function. Poor circulation leads to dry skin and a tendency to develop slow-healing injuries and infections. Critical organs become inefficient and begin to fail all over the body. Reduced blood flow to the kidneys damages them, often making it

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necessary to cleanse the blood outside of the body by means of kidney **dialysis** or, in late stages, to undergo kidney transplant. Poor circulation to the eyes impairs vision and can lead to blindness. Diabetes is the leading cause of both kidney failure and blindness in adults in the United States.[48] Poor circulation at the extremities makes the peripheral nerves insensitive to the pain that would otherwise signal injury or infection, so injuries and infections of the feet and hands go undetected. These events can lead to death of tissue (gangrene), necessitating amputation of the affected limbs (most often the feet).

Key Points

- In type 2 diabetes, atherosclerosis develops early and progresses rapidly.
- Chronically elevated blood glucose alters metabolism in virtually every cell in the body.
- Type 2 diabetes damages blood vessels and nerves, impairing circulation and nerve function, and causing kidney damage, vision problems, and infections.

Diabetes Prevention and Management

Just as risk factors for type 2 diabetes and CVD overlap, so do many of the strategies for prevention. This makes it all the more urgent for people with high risks to pay attention and take action.

Know Your Family History Inquire what health challenges your relatives have faced. Given the warnings implied by a family history of diabetes, people can take steps to forestall the disease, but once in its grip, the body often fails to prevent the damage, even with the best of medical care.

Get Tested Prediabetes, a fasting blood glucose concentration just slightly higher than normal, presents few or none of the warning signs of diabetes, but tissue damage may be progressing, and type 2 diabetes may soon develop.[49] Diagnosis can be made using any of several tests, among them the **fasting plasma glucose test** and a nonfasting **A1C test**.[50] In a fasting test, a clinician draws a patient's blood after at least 8 hours of fasting and measures the glucose concentration. A healthy person's blood glucose will fall within the normal range, but in a person with prediabetes it may still be high from the meal eaten the night before. (Normal and diabetic glucose values are shown in Figure 11–8.) In a nonfasting A1C test, a blood indicator reveals how well blood glucose has been controlled over the past few months. A registered dietitian nutritionist, a Certified Diabetes Educator, or a physician can help those with prediabetes or diabetes learn to manage their conditions.

Figure 11–8
Diabetes Test Standards

Diagnosis	Fasting plasma glucose	A1C
Normal	70–99 mg/dL	<5.7%
Prediabetes	100–125 mg/dL	5.7–6.4%
Diabetes	≥126 mg/dL	≥6.5%

Source: American Diabetes Association, Classification and Diagnosis of Diabetes, Diabetes Care 43 *(2020): S14–S31.*

Lose Weight if Overweight If you are overweight, losing just 5 percent of your body weight, and maintaining that loss, can significantly reduce your risk of diabetes.[51] For some people who are obese, weight-loss surgery becomes necessary and can often resolve their diabetes, but relapses are common and surgery imposes serious risks of its own as described in Chapter 9.[52] In making treatment decisions, a person-centered approach that respects the individual's needs, preferences, and values works best.

Be Physically Active Plan to get at least 30 minutes of physical activity on most days of the week—and do it. The contributions that physical activity can make to prevention and control of type 2 diabetes are invaluable. Physical activity helps the body shed excess fat and strengthens tissue response to insulin.[53] Increasing physical activity can help delay the onset of diabetes and regulate blood glucose in established cases, sometimes so successfully that medication can be reduced or eliminated. (A person with type 1 diabetes should seek medical advice on exercise because it can bring on **hypoglycemia**.) Like a juggler who keeps three balls in the air, a person with diabetes must constantly balance three factors—diet, exercise, and medication—to properly control blood glucose.

Choose Your Diet with Care Diet is an important component of diabetes treatment. The American Diabetes Association recognizes that a variety of dietary patterns—for

dialysis (die-AL-uh-sis) in kidney disease, treatment of the blood to remove toxic substances or metabolic wastes; more properly, *hemodialysis*, meaning "dialysis of the blood" (*hemo* refers to blood, *dia* means to separate).

fasting plasma glucose test a test that measures the current blood glucose concentration in a person who has not ingested any caloric foods or beverages for at least 8 hours; it can detect both prediabetes and diabetes (*plasma* is the fluid part of whole blood).

A1C test a blood test that measures the percentage of hemoglobin (a blood protein) with glucose molecules attached to it. The test reflects how well blood glucose has been controlled over the past few months and can aid in diagnosing type 2 diabetes. (Also called a *glycosylated hemoglobin test* or *HbA1C test; Hb* stands for hemoglobin.)

hypoglycemia (HIGH-poh-gly-SEE-me-uh) an abnormally low blood glucose concentration, often accompanied by symptoms of anxiety, rapid heartbeat, and sweating. Also defined in Chapter 4.

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example, DASH, presented in the Food Feature on p. 422, the Healthy Mediterranean-Style Dietary Pattern (Appendix E,) and the Healthy Vegetarian Dietary Pattern (Appendix E)—are all acceptable for the management of diabetes.[54] Such dietary patterns can be designed based on personal preferences and metabolic goals.

Control Carbohydrate Intake Controlling carbohydrate intake is crucial to regulating blood glucose. To maintain near-normal blood glucose concentrations and maximize the effectiveness of drug therapy, choose a dietary pattern designed to deliver the same amount of carbohydrate each day, spaced evenly throughout the day. Eating too much carbohydrate at one time can raise blood glucose too high; eating too little can produce hypoglycemia.

The dietary pattern that best meets the goals of blood glucose management is one that derives its carbohydrates from whole foods (fruit, vegetables, legumes, whole grains, and low-fat dairy products) in well-timed meals and in amounts sufficient to balance the body's available insulin. Many people learn to simplify their food selections using the food list system developed for this purpose (see Appendix D).

A common misconception is that people with diabetes need only to omit sugary foods, but as far as blood glucose is concerned, the *amount* of carbohydrate matters more than its *source*. (Most carbohydrates become glucose during digestion and metabolism.) Sugar recommendations for people with diabetes are similar to those for the general population, which suggests limiting foods and beverages with added sugars. Of course, sugars and sugary foods must be counted as part of the daily carbohydrate allowance.

As described in Chapter 4, sugar alcohols (such as sorbitol) have lower glycemic effects than glucose or sucrose and may be used as sugar substitutes. Nonnutritive sweeteners (such as aspartame, saccharin, and sucralose) contain no digestible carbohydrates and can also be used in place of sugar. Their nature and safety are topics of Chapter 12.

Anna Kucherova/Shutterstock.com

Dietary Fat People with diabetes are advised to follow the *Dietary Guidelines for Americans* regarding saturated fat and trans fat intakes. These recommendations include reducing saturated fat intake to less than 10 percent of calories and limiting trans fat as much as possible. As is true for the general population, foods rich in omega-3 fatty acids are recommended for those with diabetes because such foods exert beneficial effects on lipoproteins and the prevention of heart disease.

Protein An ideal protein intake to control blood glucose or to improve CVD risk factors in diabetes has not been determined. Protein intake should, therefore, be individualized, but for most people, the protein DRI establishes a safe and adequate intake.

Alcohol Intake Alcohol intake, if any, should be moderate. Alcohol consumption increases the risk of hypoglycemia, especially in those using insulin or insulin-releasing drugs.

Diet Recommendations Summed Up Effective medical nutrition therapy can help stabilize blood glucose, control blood lipids, achieve and maintain healthy body weight, and normalize blood pressure in people with diabetes.[55] For an individualized approach, a person's cultural pattern and preferences should be honored; and factors such as insulin use, other medication use, and blood pressure must be accommodated. Anyone with diabetes should pay strict attention to the Dietary Guidelines for Americans, particularly concerning intakes of nutrient-dense foods, sodium, saturated fats, and added sugars.

A wide variety of meal plans can meet these recommendations. People at risk for diabetes can do no better than to begin following these recommendations long before symptoms appear.

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In conclusion, among the previous recommendations, research shows that these three lifestyle elements most consistently and dramatically reduce people's risks of developing diabetes:

- Achieving and maintaining a healthy body weight.
- Adopting and maintaining a dietary pattern of regularly timed, healthy meals that are moderate in calories, low in saturated fat, and high in vegetables, legumes, fruit, low-fat or fat-free dairy products, fish, poultry, and whole grains.
- Engaging in a program of regular physical activity.

Key Points

- The first steps in diabetes prevention involve self-study: learn your family history and risks and get tested for symptoms of developing diabetes.
- To slow or halt the progression of diabetes, one should lose weight if overweight, and learn to manage blood glucose levels by balancing physical activity, carbohydrate intake, and drug therapy recommended by a health care provider.
- A diet consisting of nutrient-dense foods and low in saturated fat and added sugars can play a crucial role in controlling the symptoms and progression of type 2 diabetes.

Cancer

LO 11.4 Describe the relationships between diet and cancer.

Second only to cardiovascular disease as a leading cause of disability and death in the United States is **cancer**. More than 1.9 million new cancer cases and 608,000 deaths from cancer are expected to occur in the United States in 2021.[56] Still, the past few decades have revealed a small but steady trend toward declining cancer deaths.[57] Early detection and treatment have transformed several common cancers from intractable killers to curable diseases or treatable chronic illnesses. Although the potential for cure is promising, *prevention* of cancer remains preferable by far.

Cancer exists in perhaps the widest variety of types and has the most diverse causes of any chronic disease. Some cancers are known to be caused primarily by genetic factors, and they run in families regardless of lifestyle choices. Others are linked with microbial infections.* However, for the vast majority of cancers, lifestyle choices and environmental exposures are the major risk factors.[58] For example, avoiding tobacco in any form, eating a nutritious diet, maintaining a healthy weight, and engaging in physical activity on a regular basis, have the potential to eventually reduce much of the global burden of cancer.[59] Unfortunately, given current trends, overweight and obesity may overtake smoking as the leading risk factor for cancer. As a positive effect of knowledge of this kind, the incidence of hormone-related breast cancer has dropped significantly since women have stopped taking hormone replacement therapy for symptoms of menopause.

The Cancer Disease Process

Cancer arises in the genetic material inside a person's cells. The process, called **carcinogenesis**, usually proceeds slowly and continues for several decades. It often begins when a cell's genetic material sustains damage from a **carcinogen** such as radiation, a free radical, or another cancer-causing chemical. Damage from these insults occurs every day, but cells can often deflect or promptly repair it. If the damage is not repaired and the cell becomes unable to faithfully replicate its genetic material, it dies by way of a sort of cellular suicide, thereby preventing its progeny from inheriting faulty genes.

Occasionally, a damaged cell doesn't die off but continues to live and becomes unable to halt its own reproduction. In a healthy, well-nourished person, the immune system steps in

cancer a group of diseases characterized by the uncontrolled growth and spread of abnormal cells.

carcinogenesis (car-SIN-oh-JEN-eh-sis) the process of cancer development (*carcin* means "cancer"; *gen* means "gives rise to").

carcinogen (car-SIN-oh-jen) a cancer-causing substance; asbestos and tobacco smoke are examples of carcinogens.

*Examples include viral hepatitis and liver cancer, human papilloma virus and cervical cancer, and *H. pylori* bacterium (the ulcer bacterium) and stomach cancer.

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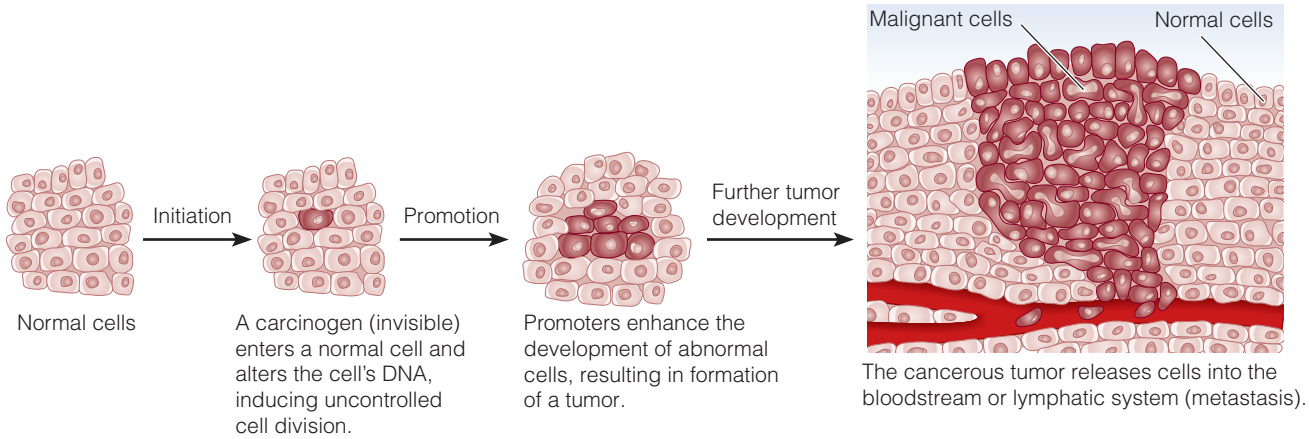
Figure 11–9
Cancer Development



to destroy such cells.[60] If, however, the immune system falters, the damaged cell reproduces uncontrollably and the result is a mass of abnormal tissue—a tumor. Life-threatening cancer begins with an event called **initiation**. Following this, **promoters**, such as hormones or environmental factors, stimulate tumor growth. Then the tumor overwhelms the healthy tissue in which it developed, or exports its cells through the bloodstream to other parts of the body to initiate other tumors (**metastasis**). Figure 11–9 depicts these events.

Key Point

- Cancer arises from genetic damage and develops in steps.

Cancer Risk Factors

Can people's behaviors affect their risks of contracting cancer? In many cases, they can. This section describes many lifestyle factors that influence cancer risk, and the next section, "Cancer Prevention," gives details of the measures people can take to minimize that risk.

Advancing Age First among the unalterable risk factors for cancer, as for all chronic diseases, advancing age makes people increasingly vulnerable to the disease. The effects of habits you have engaged in from the start of your life add up to exert a powerful influence on your later health.

Family History (Heredity) This factor, too, is one you cannot change, but knowing whether cancer runs in your family can give you a head start on taking preventive steps. Inherited susceptibility to cancer accounts for only a small proportion of cancer cases.

Chronic Inflammation Inflammation plays a central role in cancer.[61] Chronic inflammation may set in during the development of obesity, heart disease, diabetes, or other diseases, and can then accelerate the development of cancer.

Diet Certain dietary factors substantially influence cancer development.[62] The degree of risk imposed by food depends partly on the eater's genetic makeup and partly on some other influences still to be discovered. Some dietary factors believed to be important in cancer causation and prevention are discussed below.

Weakened Immunity The immune system can identify and fight cancer cells just as it fights allergens, toxins, and other foreign invaders. However, when immunity is weak, due to nutrient deficiencies, medical procedures, hormone treatments, or other influences, the body becomes defenseless against cancer development.

initiation an event, probably occurring in a cell's genetic material, caused by radiation or by a chemical carcinogen, that can give rise to cancer.

promoters factors such as certain hormones or environmental factors that do not initiate cancer but speed up its development once initiation has taken place.

metastasis (meh-TASS-ta-sis) movement of cancer cells from one body part to another, usually by way of the body fluids.

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Infections Certain viral, bacterial, and parasitic infections present risks of particular kinds of cancer. (To give just one example, infection with human papilloma virus carries a risk of cervical cancer.) In many cases, the mode of action seems to be that these infections weaken the immune system's cancer-fighting ability.

Obesity and Estrogen Obesity is clearly a risk factor for cancers, especially those of the colon, endometrium, pancreas, kidney, esophagus, and breast (in postmenopausal women).[63] These cancers originate differently depending on the organ. For example, in the case of breast cancer in postmenopausal women, the hormone estrogen is involved: women with obesity have more circulating estrogen than women who are lean do, because adipose tissue converts other hormones into estrogen and then releases it into the blood. In women of healthy weight, blood estrogen drops dramatically beyond menopause, but in obesity, fat tissue continues to produce estrogen beyond menopause, extending the exposure and increasing the breast cancer risk.[64]

Alcohol with Smoking Alcohol intake by itself raises the risk of cancers of the mouth, throat, esophagus, colon, and breast, and alcoholism often damages the liver in ways that promote liver cancer.[65] When drinkers add smoking to the insults inflicted on the body by alcohol, the rate of cancers of the head and neck rises significantly.

Carcinogens in Red and Processed Meats Population studies spanning the globe for more than 30 years consistently report that diets high in red meat and **processed meat** increase the risk of colon cancer.[66] Processed meats are listed among human carcinogens by the WHO. They contain additives, nitrites or nitrates, which contribute a pink color and deter bacterial growth in meats. In the digestive tract, nitrites and nitrates form other nitrogen-containing compounds that may be carcinogenic.

Cooking Methods Cooking meats at high temperatures (frying, broiling) causes amino acids and creatine in the meats to combine and form carcinogens.[67] Grilling meat, fish, or other foods—even vegetables—over a direct flame causes fat and added oils to splash on the fire and then vaporize, creating other carcinogens that rise and stick to the food. Smoking foods has the same effect. Eating these foods, or even well-browned meats cooked to the crispy, well-done stage, introduces carcinogens into the digestive system. A steady diet of foods containing these toxins can overwhelm defenses and increase cancer risk.

Iron Iron, both from the diet and from body stores, is under study for links with promotion of colon cancer. How iron may promote cancer is not known, but iron is suspected because it is a powerful oxidizing agent that can damage DNA.[68] A high-meat diet generously supplies iron and also correlates with colon cancer risks.

Fried Foods French fries and potato chips contain another offending substance, acrylamide, which is produced when they are fried or baked at high temperatures. In the body, some acrylamide is metabolized to a substance that may damage the genes, producing mutations. Based on this finding, acrylamide is classified as "a probable human carcinogen." New to the market is a genetically modified potato that forms less acrylamide when fried or baked. (Controversy 12 on p. 469 explores the pros and cons of genetic engineering.)

A Note about Environmental Carcinogens Environmental factors also present risks of cancer. Overexposure to the sun, especially without the use of sunscreen or protective clothing, incurs a risk of skin cancer. Exposure to radiation, as when a nuclear accident occurs, poses a cancer risk; and there are many other such cases. These are beyond this book's scope.

Key Point

- Obesity, alcohol and tobacco use, and diets high in red and processed meats are associated with cancer development.

Keith Homan/Shutterstock.com

Many consumers appreciate the availability of meats processed without added nitrites or nitrates.

processed meat meat preserved by smoking, curing, or salting or by the addition of preservatives.

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Cancer Prevention

The list of cancer risk factors just presented offers many opportunities for preventive efforts. Many of these are similar or identical to those that were described for CVD and diabetes, demonstrating that any good health habit offers far-reaching benefits.

First, a Note of Reassurance about Carcinogens in Foods Many people want to avoid all foods that contain carcinogens. This is impossible, though, because all foods, even the purest wild and natural foods, contain carcinogens together with thousands of other chemicals and nutrients needed by the body. The body easily detoxifies the minute amounts of carcinogens that occur in common foods and fear is not warranted. Feel free to enjoy your coffee, toast, and coffee cake.*

Some people also fear that food additives are carcinogenic. In this realm, too, fear is not warranted. Additives are held to strict standards in the United States. No additive approved for U.S. use causes cancer when used appropriately in food. Food *contaminants* may, however, enter foods by accident and may prove to be powerful carcinogens or be converted to carcinogens as the body breaks them down. Most contaminants are monitored in the U.S. food supply, and ordinarily they are present, if at all, in amounts much lower than would pose risks to consumers.

A key to evaluating the safety of foods is to note how frequently you eat them. Small quantities of a carcinogen in any food may add up to large quantities if you eat that food every day. Nutritionists encourage their clients to eat a "balanced *and varied* diet," and that is the place to begin to craft a cancer-prevention strategy.

Figure 11–10

Examples of Cruciferous Vegetables

Cruciferous vegetables belong to the cabbage family: arugula, bok choy, broccoli, broccoli sprouts, Brussels sprouts, cabbages (all sorts), cauliflower, greens (collard, mustard, turnip), kale, kohlrabi, rutabaga, and turnip root.

Shulevskyy Volodymyr/Shutterstock.com

Eat a Balanced and Varied Diet An estimated 40 percent of all cancers are caused by a combination of excess body weight, physical inactivity, excess alcohol consumption, and poor diet.[69] Dietary patterns that rely heavily on fat, meat, alcohol, and excess calories and that underuse fruit and vegetables have been the targets of abundant cancer research. Constituents of the diet relate to cancer in several ways: some may initiate cancer, some may promote it, and (here's some good news) some may protect against it. Also, for a person who has cancer, diet can make a crucial difference in recovery. All of this research has yielded the recommendations and strategies for reducing cancer risk presented in Table 11–9.

Fiber-Rich Foods Many studies show that as people increase their dietary fiber intakes, their risks for colon cancer decline.[70] Possible mechanisms of this protective effect include binding, diluting, and speeding removal of potential carcinogens from the digestive tract. If a meat-rich, calorie-dense diet is implicated in cancer causation, and if a vegetable-rich, whole grain-rich diet is associated with prevention, then wouldn't vegetarians have a lower incidence of these cancers? They do, as discussed in Controversy 6, p. 207.

Whole Foods and Phytochemicals Granted, whole foods have already been emphasized time and again in this book, but it bears repeating: whole foods, not single nutrients, are most influential in cancer prevention. Fruits and vegetables, for example, contain a wide variety of nutrients and phytochemicals that may reduce oxidative damage to cell structures, including DNA, the material of genes.[71] In addition, some phytochemicals are thought to act as **anticarcinogens**, promoting the buildup of the body's arsenal of carcinogen-destroying enzymes. Figure 11–10 displays **cruciferous vegetables**—broccoli, brussels sprouts, cabbage, cauliflower, collard greens, turnips, and the like which contain a variety of potentially protective phytochemicals. Research suggests that some of these phytochemicals may exert their protective effect by way of epigenetic actions (a topic of Controversy 13, p. 512).[72] Also, of course, whole, plant-based foods are rich in fibers, whose cancer-opposing virtues have already been mentioned.

anticarcinogens compounds in foods that act in any of several ways to oppose the formation of cancer.

cruciferous vegetables vegetables with cross-shaped blossoms, members of the cabbage family. Intakes of these vegetables are associated with low cancer rates in human populations. Examples are broccoli, brussels sprouts, cabbage, cauliflower, rutabagas, and turnips.

*Coffee contains acetaldehyde, acetic acid, acetone, atractylosides, butanol, cafestol palmitate, chlorogenic acid, dimethyl sulfide, ethanol, furan, furfural, guaiacol, hydrogen sulfide, isoprene, methanol, methyl butanol, methyl formate, methyl glyoxal, propionaldehyde, pyridine, and 1,3,7,-trimethylxanthine. Toast and coffee cake contain acetic acid, acetone, butyric acid, caprionic acid, ethyl acetate, ethyl ketone, ethyl lactate, methyl ethyl ketone, propionic acid, and valeric acid.

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Table 11–9

Recommendations and Strategies for Reducing Cancer Risk

Recommendations	Strategies
Body weight: Be a healthy weight. Keep your weight within the healthy range and avoid weight gain in adulthood.	Follow the USDA Healthy US-Style Dietary Pattern for your appropriate energy level. Engage in regular physical activity.
Processed foods: Limit consumption of "fast foods" and other processed foods high in fat, starches, or sugars. Limiting these foods helps control energy intake and maintain a healthy weight.	Limit consumption of "fast foods," many pre-prepared dishes, snacks, bakery foods and desserts, and candy.
Sugar-sweetened beverages: Limit consumption of sugar-sweetened beverages. Drink mostly water and unsweetened drinks.	Do not consume sugar-sweetened beverages.
Physical activity: Be physically active. Be physically active as part of everyday life—walk more and sit less.	Engage in at least 150 minutes of moderate-intensity physical activity or 75 minutes of vigorous-intensity activity or an equivalent combination throughout the week. Limit sedentary behaviors such as sitting, lying down, watching television, or other forms of screen-based recreation.
Plant foods: Eat a diet rich in whole grains, vegetables, fruit, and beans. Make whole grains, vegetables, fruit, and legumes a major part of your usual daily diet.	Consume a diet that provides at least 30 grams of fiber per day from food sources. At most meals, include foods containing whole grains, non-starchy vegetables, fruit, and legumes such as lentils and beans. Eat a diet high in all types of plant foods including at least 5 servings of a variety of non-starchy vegetables and fruit every day.
Alcoholic drinks: Limit alcohol consumption.	For cancer prevention, it's best not to drink alcohol.
Red and processed meats: Limit consumption of red and processed meats. Eat no more than moderate amounts of red meat, such as beef, pork, and lamb. Eat little, if any processed meat.	If you eat red meat, limit consumption to no more than about three portions per week. Three portions is equivalent to about 12 to 18 ounces cooked weight of red meat. Consume very little, if any, processed meat.
Dietary supplements: Do not use supplements for cancer prevention.	High-dose supplements are not recommended for cancer prevention—aim to meet nutritional needs through diet alone.

Source: World Cancer Research Fund, American Institute for Cancer Research, Diet, Nutrition, Physical Activity and Cancer: A Global Perspective*, Continuous Update Project, A summary of the Third Expert Report, 2018, www.dietandcancerreport.org*

Supplements Cannot Provide What Foods Provide Vitamin E, vitamin C, and beta-carotene received attention in Controversy 7, p. 260. Suffice it to say here that supplements of these nutrients have not been proved to prevent cancer. In fact, once cancer is established, these antioxidants not only will *not* cure it, but may advance it.

Use Alcohol Sparingly or Abstain from Use If they choose to drink alcohol, men should drink no more than two drinks a day; women no more than one. Don't combine alcohol use with smoking.

Achieve and Maintain a Healthy Body Weight throughout Life Follow the USDA Dietary Pattern that provides the calorie level that is appropriate for you. Limit consumption of energy-dense foods and refrain from drinking beverages with added sugars. Consume "fast foods" sparingly if at all.

Engage in Regular Physical Activity An energy budget that balances caloric intake with caloric output may reduce the risk of developing some cancers. People whose lifestyles include regular, vigorous physical activity are seen to have lower risks of several cancers, including colon, breast, endometrial, kidney, bladder, and stomach.[73] This effect may be attributable partly to the healthier body weights of the exercisers and partly to changes in hormone levels and immune functions induced by exercise.[74] Consistent with this finding is the advice to engage in an average of at least 20 minutes of moderate-intensity or 10 minutes of vigorous-intensity physical activity per day and to

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Regular intake of whole foods like these, not individual chemicals, lowers people's cancer risks.

limit sedentary behaviors such as sitting, lying down, watching television, and other forms of screen-based recreation.

Cooking Consumers can take these steps to minimize carcinogen formation during cooking:

- Marinate meats before cooking, and roast or bake them in the oven.
- When grilling, line the grill with foil, or wrap the food in foil.
- Take care not to burn foods.

In addition, limit intakes of crispy, browned French fries and chips and other well-browned foods.

Other Food and Nutrient Effects Research findings pile up: numerous experimental results credit one or another nutrient or type of food with a protective effect against one or another type of cancer. In summary, what can we do to protect ourselves against this cruel disease? Eat. Eat well. Exercise. Exercise a lot—and joyfully.

Key Points

- Contaminants and naturally occurring toxins can be carcinogenic but they are monitored in the U.S. food supply, and the body is equipped to handle typical doses of most kinds.
- Foods containing ample fiber, nutrients, and phytochemicals may be protective against cancer.
- Maintaining a healthy body weight and engaging in regular physical activity throughout life may reduce the risk of developing some cancers.

Conclusion

Nutrition is often associated with promoting health, and medicine with fighting disease, but no clear line separates nutrition from medicine. Every major agency involved with health promotion or medicine recommends a varied dietary pattern of whole foods as part of a lifestyle that provides the best possible chance for a long and healthy life. The Food Feature that follows describes an example of such a dietary pattern, the DASH diet.

Food Feature

The DASH Diet: Preventive Medicine

LO 11.5 Outline strategies for including sufficient fruit and vegetables in a diet.

An esteemed former surgeon general once said, "If you do not smoke or drink excessively, your choice of diet can influence your long-term health prospects more than any other action you might take."* Indeed, healthy young adults today are privileged to be among the first generations with enough nutrition knowledge to lay a truly strong foundation of health for today and tomorrow. Figure 11–11 illustrates this point.

**C. Everett Koop, 1988.*

Dietary Guidelines and the DASH Diet

The more detailed our knowledge about nutrition science, it seems, the simpler the truth becomes: people who consume the adequate, balanced, calorie-controlled, moderate, and varied diet recommended by the Dietary Guidelines for Americans enjoy a longer, healthier life than those who do not. The DASH eating plan, presented in Appendix E, can help people meet these goals.

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"Knowing is not enough; we must apply. Willing is not enough; we must do."

—Goethe

To lower saturated fat intakes, the DASH diet emphasizes fruit, vegetables, whole grains, and fat-free or low-fat dairy products. It also features fish, poultry, and nuts instead of some of the red meat so common in U.S. diets. Compared with the typical American diet, the foods of the DASH diet provide greater intakes of fiber, as well as potassium, calcium, and magnesium, minerals shown to lower blood pressure.

Because the DASH diet centers on fresh, unprocessed, or lightly processed foods, it delivers less sodium, too. It seems, with regard to sodium, "the lower the better" for reducing blood pressure. Even at higher sodium intakes, however, the DASH diet can still produce a drop in blood pressure, although not as great as with sodium restriction.

Changes in diet are often best attempted a few at a time. A good place to start is by increasing the intake of fruit and vegetables.

Fruit and Vegetables: Eat More

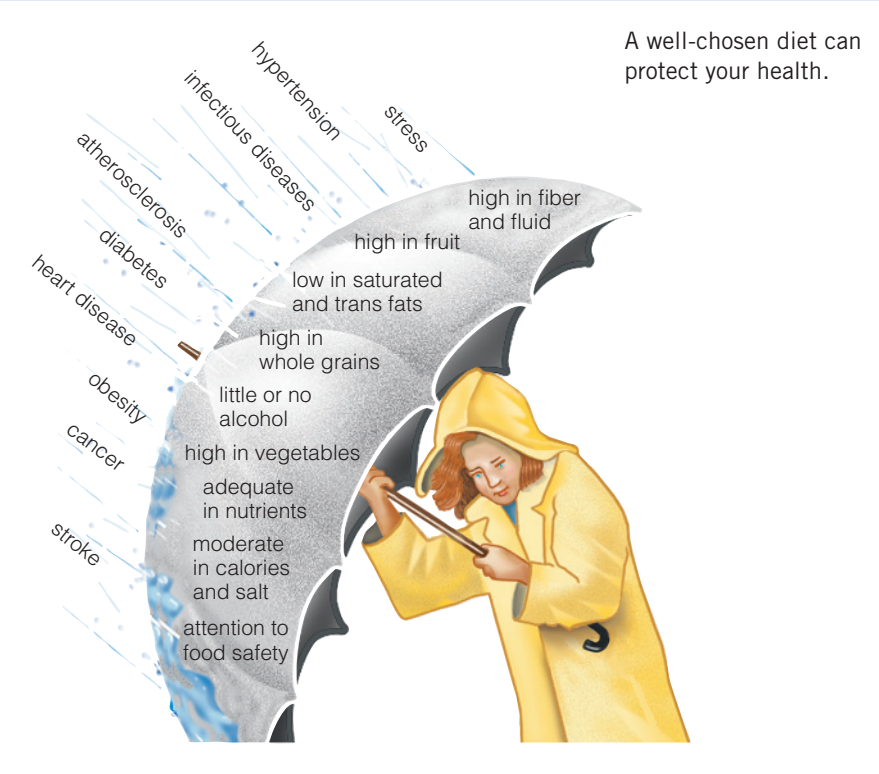
The Dietary Guidelines Committee, the American Heart Association, the American Diabetes Association, the American Cancer Society, and many other national organizations work together to urge people to meet the recommended intakes of a variety of fruit, vegetables, and legumes—not just for the nutrients they provide but also for the phytochemicals that combine synergistically to promote health. The amounts to aim for depend on personal factors described in Chapter 2. Table 11–10 (p. 424) offers some tips for increasing your intakes of fruit, vegetables, and legumes. Who knows? Foods destined to become your favorites may still await you on the produce shelves. An adventurous spirit is a plus in this regard.

Conclusion

In the end, people's choices are their own. Whoever you are, we encourage you to take the time to work out ways of making your diet meet the guidelines you now know will support your health. If you are healthy and of normal weight, if you are physically active, and if your diet on most days follows the Dietary Guidelines, then you can indulge occasionally in a cheesy pizza, marbled steak, or banana split—or even a greasy fast-food burger and fries—without inflicting much damage on your health. (Once a week may be harmless, but less frequently is better.) Especially, take time to enjoy your meals: the sights, smells, and tastes of good foods are among life's greatest pleasures. Joy, even the simple joy of eating, contributes to a healthy life.

Figure 11–11

Proper Nutrition Shields against Diseases



(continued)

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Table 11–10

Strategies for Consuming Enough Fruit, Vegetables, and Legumes

Many people do not eat the recommended amounts and varieties of fruit, vegetables, and legumes, but these foods are indispensible to a nutritious diet. All nutrient-dense forms count: fresh, frozen, canned, dried, and 100% juice.

Foods	Strategies
All vegetables	▪ Include vegetables of all kinds in meals and snacks; fresh, frozen, and canned vegetables all count, but choose low-fat, low-sodium varieties most often. ▪ Keep cut raw vegetables, such as carrot and celery sticks, in the refrigerator for quick snacks. ▪ Visit a salad bar to buy ready-to-eat vegetables if you are in a hurry. ▪ Try a new vegetable once each month. Read some cookbooks for ideas.
Dark green, red, and orange vegetables	▪ Add chopped dark green leafy vegetables or red and orange vegetables to main dishes, such as stir-fries, soups, and casseroles. ▪ Serve side dishes of dark green salad greens or cooked or raw broccoli, spinach, or other dark green vegetables often. Choose cooked or raw red and orange vegetable dishes, too, such as tomato-based dishes, cooked hard squashes, or sliced cooked carrots. ▪ If calories are not a problem for you, try sweet potato fries as an occasional treat. ▪ Order vegetable side dishes when eating out and ask for sauces and dressings to be served on the side.
Legumes (beans, peas, lentils, and soy products)	▪ Keep a variety of low-sodium canned legumes, such as kidney beans, chickpeas (garbanzo beans), black beans, and others on hand. ▪ Use rinsed, drained beans as salad toppers. For interest, marinate them in lemon juice, garlic, and seasonings. ▪ Mash beans with lemon juice, olive oil, and seasonings, and use it as a topping for crackers, celery, or raw zucchini rounds, as a dip for vegetable sticks, or as a sandwich spread. ▪ Add beans, peas, or lentils to soups and casseroles. ▪ Try new ethnic legume recipes or try new bean dishes in restaurants, such as black beans and rice, white bean chili, lentil veggie burgers, or dal (spicy Indian-style beans, peas, or lentils). ▪ Try using soy products such as soy milk, ground meat and burger replacers, tofu, and soy snacks.
Fruit	▪ Choose whole or cut fruit more often than fruit juice. ▪ Keep a variety of fresh, frozen, low-sugar canned, and dried fruit on hand to choose for snacks or to use in cereal, yogurt, salads, or desserts. ▪ Replace syrup, sugars, and other sweet toppings with berries, cut peaches, applesauce, or fruit mixtures. ▪ Blend smoothies from bananas, fruit juice, and berries with ice or yogurt. ▪ Fruit canned in 100% fruit juice is preferable to fruit canned in sugary syrups.

What did you decide?

- Are your food choices damaging your **heart**?
- Can your **diet** affect infectious diseases as well as chronic diseases?
- Is diabetes caused by **eating sugar**?
- Do "natural" foods without **additives** reduce cancer risks?

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Self Check

1. (LO 11.1) Chronic diseases have distinct causes, known as risk factors.
   T F
2. (LO 11.1) Which of the following is a risk factor for cardiovascular disease?
   a. high blood HDL cholesterol
   b. low blood pressure
   c. low blood LDL cholesterol
   d. diabetes
3. (LO 11.2) Atherosclerosis is simply the accumulation of lipids within the artery wall.
   T F
4. (LO 11.2) An "atherogenic diet" is high in saturated fat and trans fat.
   T F
5. (LO 11.2) Men suffer more often from heart attacks than women do, making CVD a man's disease.
   T F
6. (LO 11.2) Smoking powerfully raises the risk for CVD in men and women in all of the following ways except
   a. reducing the heart's workload.
   b. making blood clots more likely.
   c. directly damaging the heart with toxins.
   d. raising the blood pressure.
7. (LO 11.2) Which of the following minerals may help regulate blood pressure?
   a. phosphorus
   b. iron
   c. potassium
   d. zinc
8. (LO 11.2) The most important step that a person can take to protect against hypertension is to be tested for it.
   T F
9. (LO 11.3) Diabetes is a major risk factor for CVD.
   T F
10. (LO 11.3) The recommended diet to improve type 2 diabetes is
    a. low in carbohydrates.
    b. as low in fat as possible.
    c. controlled in carbohydrates.
    d. a and b.
11. (LO 11.3) For managing type 2 diabetes, regular physical activity can help by redistributing the body's fluids.
    T F
12. (LO 11.4) For the great majority of cancers, lifestyle factors and environmental exposures are the major risk factors.
    T F
13. (LO 11.4) Which of the following is or are associated with an increase in cancer risk?
    a. alcohol intake
    b. a high intake of red meat
    c. a high intake of processed meats
    d. all of the above.
14. (LO 11.5) The DASH diet is designed for athletes who compete in sprinting events.
    T F
15. (LO 11.5) The DASH diet is characterized by ample intakes of _____.
    a. fruit and vegetables
    b. whole grains
    c. artificial fats
    d. a and b
16. (LO 11.6) Nutrient-drug interactions are common in people who:
    a. take a medicine for long time or take multiple medications.
    b. drink alcohol.
    c. are poorly nourished to begin with.
    d. all of the above.

Answers to these Self Check questions are in Appendix G.

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Controversy 11 Nutrient–Drug Interactions: Who Should Be Concerned?

LO 11.6 Summarize the concerns surrounding nutrient–drug interactions.

A 45-year-old Chicago business executive attempts to give up smoking with the help of nicotine gum. She replaces smoking breaks with beverage breaks, drinking frequent servings of tomato juice, coffee, and colas. She is discouraged when her stomach becomes upset and her craving for tobacco continues unabated, despite the nicotine gum. Problem: nutrient–drug interaction.

A 14-year-old girl develops frequent and prolonged respiratory infections. Over the past 6 months, she has suffered constant fatigue despite adequate sleep, has had trouble completing school assignments, and has given up playing volleyball because she runs out of energy on the court. During the same 6 months, she has been taking antacid pills several times a day because she heard this was a sure way to lose weight. Her pediatrician has diagnosed iron–deficiency anemia. Problem: nutrient–drug interaction.

A 30-year-old schoolteacher who benefits from antidepressant medication attends a faculty wine and cheese party. After sampling the cheese with a glass or two of red wine, his face becomes flushed. His behavior prompts others to drive him home. In the early morning hours, he awakens with severe dizziness, a migraine headache, vomiting, and trembling. An ambulance delivers him to an emergency room where a physician takes swift action to save his life. Problem: nutrient–drug interaction.

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The Potential for Harm

People sometimes think that medical drugs do only good, not harm. As the opening stories illustrate, however, both prescription and over-the-counter (OTC) medicines can have unintended consequences, among which are significant interactions with nutrition.[1]*

Figure C11–1 shows that drugs can interact with foods, nutrients, and herbs in a number of ways. Each may affect the absorption, action, metabolism, or excretion of the others.

Some drugs are known to interact with specific nutrients (see Table C11–1). In addition, alcohol is infamous for its interactions with nutrients, and the more alcohol ingested, the more likely that a significant nutrient interaction will occur (see Controversy 3, p. 93).

** Reference notes are in Appendix F.*

Factors that Make Interactions Likely

Significant interactions do not occur every time a person takes a drug. The potential for interactions is greatest in those who take medicines for a long time, who take multiple drugs, who drink alcohol daily, or who are poorly nourished to begin with. The risk of an adverse effect rises substantially among people with chronic diseases or other conditions that may require five or more daily medications for months or years.[2] The risks compound further when herbs and other supplements are added to the mix.

The details of nutrient–drug interactions are many and far more extensive than can be presented here. These discussions are intended to raise awareness of the most common ones and offer a preventive strategy.

Figure C11–1

How Foods, Drugs, and Herbs Can Interact

The arrows show that foods, drugs, and herbs can interfere with each other's absorption, actions, metabolism, or excretion.

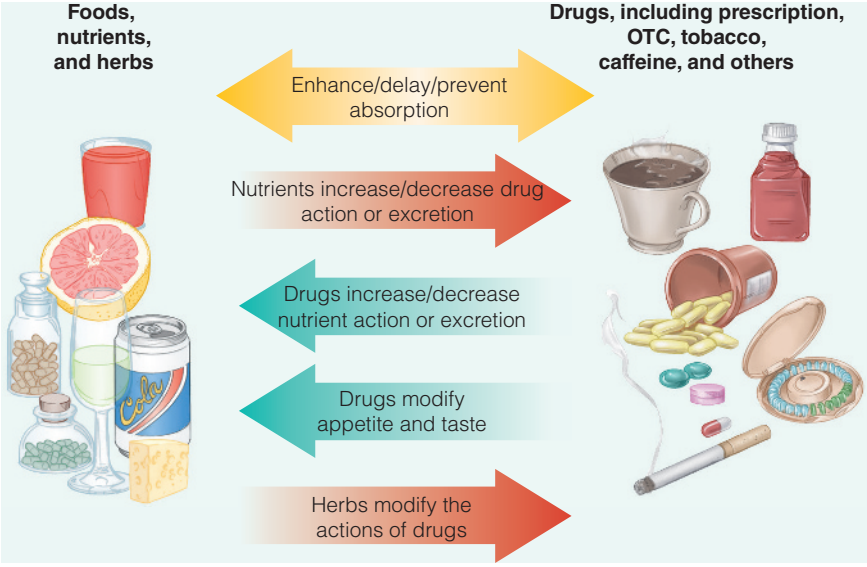

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Table C11–1

Selected Nutrient–Drug Interactions

Drugs	Effects on Nutrient Absorption	Effects on Nutrient Excretion	Effects on Nutrient Metabolism
Acid controllers	Reduce iron, folate, and vitamin B_{12} absorption		
Antacids (aluminum containing)	Reduce iron, folate, and vitamin B_{12} absorption	Accelerate calcium and phosphorus excretion	May accelerate destruction of thiamin
Antibiotics (long-term usage)	Reduce absorption of fats, amino acids, folate, fat-soluble vitamins, vitamin B_{12}, calcium, copper, iron, other minerals	Accelerate excretion of folate, niacin, potassium, riboflavin, and vitamin C	Destroy vitamin K–producing bacteria and reduce vitamin K production
Antidepressants (monoamine oxidase inhibitors, MAOI)			Slow breakdown of tyramine, producing dangerous blood pressure spikes and other symptoms on consuming tyramine-rich foods (Table C11–2, p. 428) or alcoholic beverages (sherry, vermouth, red wines, some beers)
Aspirin (large doses, long-term usage)	Reduces folate absorption and blood concentration	Accelerates excretion of thiamin, vitamin C, and vitamin K; causes iron and potassium losses through gastric blood loss	
Caffeine		Accelerates excretion of small amounts of calcium and magnesium	Stimulates release of fatty acids into the blood
Diabetes drug (metformin, long-term use)	Reduces vitamin B_{12} absorption and blood concentration		
Cholesterol-lowering "statin" drugs (Zocor, Lipitor)			Grapefruit juice slows drug metabolism, causing buildup of high drug levels. Potentially life-threatening muscle toxicity can result.
Diuretics		Raise blood calcium and zinc; lower blood folate, phosphorus, electrolytes, vitamin B_{12}; increase excretion of calcium, water-soluble nutrients	Interfere with storage of zinc
Laxatives (effects vary with type)	Reduce absorption of many nutrients	Accelerate excretion of all unabsorbed nutrients	
Oral contraceptives	Reduce absorption of folate, may improve absorption of calcium	Cause sodium retention	Raise blood vitamin A, vitamin D, copper, and iron; may lower blood beta-carotene, riboflavin, vitamin B_{12}, and vitamin C; may elevate requirements for riboflavin and vitamin B_6; alter blood lipids

Absorption of Drugs and Nutrients

The business executive described earlier felt the effects of chemical incompatibility. Acids from the tomato juice, coffee, and colas she drank before chewing the nicotine gum kept the nicotine from being absorbed into the bloodstream through the lining of her mouth as intended, and so did not quell her craving. Instead, it traveled to her stomach and caused nausea.*

**These items also interfere with the action of nicotine gum: beer; coffee; condiments (ketchup, mustard, and soy sauce); juices (apple, grape, orange, and pineapple); and lemon-lime soda.*

Similarly, dairy products or calcium-fortified juices interfere with the absorption of certain antibiotics. Drug label instructions, such as "Take on an empty stomach" or "Do not combine with dairy products," help avert most such interactions.

Certain drugs can also interfere with the small intestine's absorption

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of minerals. This interaction explains the experience of the tired 14-year-old. Her overuse of antacids neutralized her stomach's normal acidity, on which iron absorption depends. The medicine bound tightly to the iron molecules, forming an insoluble, unabsorbable complex. Her iron stores already bordered on deficiency, as iron stores for young girls typically do, so her misuse of antacids pushed her over the edge into iron-deficiency anemia.

Chronic laxative use can also lead to malnutrition. Laxatives can carry nutrients through the intestines so rapidly that vitamins in the tract have no time to be absorbed. Mineral oil, a laxative the body cannot absorb, can rob a person of important fat-soluble vitamins and potentially beneficial phytochemicals by dissolving them and carrying them out in the feces.

Metabolic Interactions

The teacher who landed in the emergency room was taking an antidepressant medicine, one of the monoamine oxidase inhibitors (MAOI). At the party, he suffered a dangerous chemical interaction between the medicine and the compound tyramine in his cheese and wine. Tyramine is produced during the fermenting process in cheese and wine manufacturing. Table C11–2 lists some foods high in tyramine.

The MAOI medication works by depressing the activity of enzymes that destroy the brain neurotransmitter dopamine. With less enzyme activity, more dopamine is left, and depression lifts. As a side effect, the drug also depresses enzymes in the liver that destroy tyramine. Ordinarily, the man's liver would have quickly destroyed the tyramine from the cheese and wine, but due to the MAOI medication, tyramine built up and caused the potentially fatal reaction.

Phytochemicals in foods, spices, and herbal supplements also affect drug metabolism.[3] A chemical constituent of grapefruit juice suppresses an enzyme responsible for breaking down many kinds of medical drugs. With less drug breakdown, doses build up to toxic levels in the body. A person who drinks either grapefruit or cranberry juice and also takes the blood-thinning drug warfarin may exhibit delayed blood clotting with dangerously prolonged bleeding times.

Table C11–2

Some Foods High in Tyramine

- Aged cheeses
- Aged meats
- Alcoholic beverages (beer, wine)
- Anchovies
- Caviar
- Fava beans
- Fermented foods (sauerkraut, sausages)
- Feta cheese
- Lima beans
- Mushrooms
- Pickled fish or meat
- Prepared soy foods (miso, tempeh, tofu)
- Smoked fish or meat
- Soy sauce
- Yeast extract (Marmite); yeast supplements

Note: The tyramine content of foods depends on storage conditions and processing; thus, the amounts in similar products can vary substantially.

Caffeine

People in every society use caffeine in some form for its well-known "wake-up" effect. Caffeine is a true stimulant drug. Like all stimulants, it increases the respiratory rate, heart rate, and secretion of stress and other hormones. Caffeine also raises the blood pressure, an effect that lasts for hours after consumption.[4] In addition, caffeine interacts with a wide range of medical drugs, such as antibiotics and heart and lung medications. The same set of liver enzymes that metabolize the drugs also break down caffeine, and competition for the enzymes slows the body's clearance of both.

Caffeine's interactions with foods and nutrients are subtle but may be significant because caffeine is ubiquitous in foods and beverages—see Figure C11–2. Chocolate bars, colas, and other foods favored by children contain caffeine, and children are most sensitive to its effects. Many popular cold and headache remedies also offer about a cup of coffee's worth of caffeine per dose because, in addition to being a mild pain reliever in its own right, this amount of caffeine remedies the caffeine-withdrawal headache that no other pain reliever can touch.

Excessive intakes of caffeine (>400 milligrams per day for adults) can lead to caffeine toxicity and fatal cardiovascular events. Deaths from pure powdered caffeine, sold as a "dietary supplement" but actually a powerful stimulant drug, have triggered Food and Drug Administration (FDA) warnings to manufacturers and other actions. A single teaspoon of the powder delivers the caffeine of more than 30 cups of coffee.

Caffeine is also a diuretic (which causes water loss from the body). However, when taken in moderation, caffeinated beverages can contribute to daily fluid intakes without impairing the body's water balance (see Chapter 8 for details).

Regarding moderate caffeine intakes, research is limited, but it mostly refutes any causative links between daily caffeine and cancer, cardiovascular disease, or birth defects. In fact, observational research suggests that consuming caffeine or coffee, including decaffeinated coffee, may reduce the risk of type 2 diabetes.[5] Such correlations cannot establish cause, however. It may be that people who choose coffee over sugar-sweetened soft drinks take in fewer calories and weigh less, and that it is these factors that reduce diabetes risk. More research is needed to clarify these associations.

Nicotine Products

Both tobacco and electronic vaping products are delivery systems for the drug nicotine. Tobacco's dangers are well known, and most are beyond the scope of nutrition. Smoking does depress hunger and, as a result,

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Figure C11–2

Caffeine in Foods and Beverages

These foods and beverages all contain caffeine, but few, if any, of their labels state how much. A product's manufacturer may offer caffeine information on its website.

[a]*Home-brewed drip coffee averages about 100 mg caffeine per 8-ounce cup; commercial strong coffee (Starbucks) delivers about 160 mg per cup.*

[b]*Caffeine content per ounce varies widely among brands.*

[c]*Milk chocolate has about 6 mg caffeine per ounce.*

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sometimes reduces body fat; it also accelerates the breakdown of vitamin C, upping requirements.

Herbal Remedies, Alcohol, Other Drugs

Herbs can also interact with drugs, sometimes dangerously (see Table C11–3, p. 430).[6] For example, people may take ginkgo biloba hoping to improve memory (evidence disproves this effect), but they may instead experience increased bleeding (ginkgo opposes blood clotting). When combined with other blood-thinning medications, such as aspirin or vitamin E, ginkgo biloba is associated with dangerous hemorrhaging.

People who drink alcohol take note: alcohol interacts with a wide range of medications, including cardiovascular agents, central nervous system agents, and metabolic agents, causing symptoms ranging from nausea and headaches to loss of coordination, internal bleeding, heart problems, and breathing difficulties. When taken with diuretics, alcohol can cause dehydration; when taken with sedatives such as sleeping pills or pain relievers, alcohol can suppress brain areas that maintain breathing, heart rate, and other life-sustaining functions. Always check with the prescribing physician about whether a medication may interact with alcohol.

Compounds in marijuana produce an enhanced enjoyment of eating, especially of sweets. Proposed medical uses of marijuana include relieving certain types of chronic pain, increasing food intake among patients with failing appetites and weight loss (in HIV/AIDS and other wasting diseases), and quelling nausea and vomiting caused by cancer and its treatments.[7] It also may produce significant adverse effects such as addiction (particularly in adolescents), panic attacks, disorientation, confusion, increased heart rate, and lung problems.[8]

Many other drugs of abuse cause loss of appetite, weight loss, and malnutrition among people who abuse them heavily. The stronger the craving for the drug, the less a drug abuser wants nutritious food. Rats given unlimited access to cocaine will

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Table C11–3

Selected Herb and Drug Interactions

These herbs pose varying degrees of risk with regard to drug interactions. This table lists those with strongest evidence first, followed by those with moderate evidence. Other herbs may also pose interaction risks but documentation is lacking.

Herb	Drug Interaction
Ginseng (normal usage)	Increases drug breakdown in the liver, rendering many medications ineffective.
Goldenseal (normal usage)	Inhibits drug breakdown, allowing drug buildup with high risk of toxicity.
St. John's wort	Increases drug breakdown in the liver, rendering many medications less effective.
Echinacea	May increase drug breakdown in the liver, rendering a some medications ineffective.
Garlic (prolonged exposure to concentrated extracts)	May potentiate anticoagulants (blood thinners), increasing anticlotting effects of aspirin, ibuprofen, and related drugs.
Ginkgo biloba (high doses)	May potentiate anticoagulants, increasing anticlotting effects of aspirin, ibuprofen, and related drugs.
Kava	May interact with depressants acting on the central nervous system, increasing drowsiness and inhibiting motor reflexes.

Source: National Institutes of Health, National Center for Complementary and Integrative Health, 2021, www.nccih.nih.gov/health/providers/digest/herb-drug-interactions.

choose the drug over food until they die of starvation. Drug abusers face multiple nutrition problems, and an important aspect of addiction recovery is their identification and correction.

Personal Strategy

In conclusion, when you need to take a medicine, do so wisely. Ask your physician, pharmacist, or other health-care provider for specific instructions about the doses, times, and how to take the medication—for example, with meals or on an empty stomach. If you notice new symptoms or if a drug seems not to be working well, consult your physician.

In general, strive to live life with less chemical assistance. If you are sleepy, try a 15-minute nap or 15 minutes of stretching exercises instead of a 15-minute coffee break. The coffee will stimulate your nerves for an hour, but the alternatives can refresh your attitude for the rest of the day. If you suffer constipation, try getting enough exercise, fiber, and water for a few days. Chances are that a laxative will be unnecessary. Given adequate nutrition, rest, exercise, and hygiene, your body's ability to fine-tune itself may surprise you.

Critical Thinking

1. List all of the foods and drinks that you consume in one day that contain caffeine. Calculate your total caffeine intake. Do you think this intake is appropriate for you? Why or why not?
2. Choose three nutrient–drug interactions that are of concern to you. Create a chart that lists each interaction and states how the interaction affects absorption, excretion, and metabolism.

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12 Food and Water Safety and Food Technology

Controversy 12 Bioengineered Foods: What Are the Pros and Cons?

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 12.1 Describe microbial foodborne illnesses and practices that can prevent them.

LO 12.2 Identify the categories of foods that most often cause foodborne illnesses.

LO 12.3 Outline technological advances aimed at reducing microbial food contamination.

LO 12.4 Describe natural toxins, pesticide residues, and contaminants in food.

LO 12.5 Compare potential advantages and drawbacks of organic and conventional foods.

LO 12.6 Compare the safety of drinking water from different sources.

LO 12.7 Describe the uses and safety characteristics of some common food additives.

LO 12.8 Describe applications of food-safety practices in various settings.

LO 12.9 Summarize the advantages and disadvantages of producing foods through bioengineering.

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What do you think?

- Are most foods from grocery stores **germ-free**?
- Should you **refrigerate** leftover party foods after the guests have gone home?
- Which poses the greater risk: raw **sushi** from a sushi master or food additives?
- Is tap water or bottled water safest to drink?

Consumers in the United States enjoy food supplies ranking among the safest in the world. They are also among the most abundant and the most pleasing. Along with this abundance comes a consumer responsibility to distinguish between choices leading to food **safety** and those that pose a **hazard**. This chapter begins by pointing out common hazards, and then goes on to offer practical instruction for avoiding them.

As human populations grow and food supplies become more global, new food-safety challenges arise that require new processes, new technologies, and greater cooperation to solve. Food safety is therefore a moving target. The **Food and Drug Administration (FDA)** is the major agency charged with ensuring that the U.S. food supply is safe, wholesome, sanitary, and properly labeled (see Table 12–1 for agency terms, p. 434). It focuses much effort on these areas of concern:

1. *Microbial* **foodborne illness**. Each year, 48 million Americans (one in every six) becomes ill, 128,000 are hospitalized, and 3,000 die from foodborne illnesses.[1*]
2. *Natural toxins in foods*. These constitute a hazard mostly when people consume large quantities of single foods either by choice (fad diets) or by necessity (poverty).
3. *Residues in food*.
   a. *Environmental and other contaminants* (other than pesticides). Household and industrial chemicals are increasing yearly in number and concentration, and their impacts are hard to foresee and to forestall.
   b. *Pesticide residues*. A subclass of environmental contaminants, these are listed separately because they are applied intentionally to foods and, in theory, can be controlled.
   c. *Animal drugs*. These include hormones and antibiotics that increase growth or milk production and combat diseases in food animals.
4. *Nutrients in foods*. These require close attention as more and more highly processed and artificially constituted foods appear on the market.
5. *Intentional approved food additives*. These are of less concern because so much is known about them that they pose little risk to consumers.
6. *Genetically engineered foods*. Such foods are listed last because they undergo rigorous scrutiny before going to market.

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With the privilege of abundance comes the responsibility to choose and handle foods wisely.

Within its powers, the FDA is vigilant in overseeing the food supply at home and abroad to safeguard the health of U.S. consumers.[2] When foodborne illness occurs, the FDA acts quickly to identify and resolve the cause.

Despite the best efforts of the FDA and others, foodborne illnesses are extraordinarily likely to occur and the burden of illness is increasing. It seems that as one organism comes under control, others emerge to take its place. Achieving the ultimate goal—fewer total foodborne illnesses—requires ever-increasing vigilance on the part of regulators, food industries, and consumers.

safety the practical certainty that injury will not result from the use of a product or substance.

hazard a state of danger; referring to any circumstance in which harm is possible under normal conditions of use.

foodborne illness illness transmitted to human beings through food or water; caused by an infectious agent (*foodborne infection*) or a poisonous substance arising from microbial toxins, poisonous chemicals, or other harmful substances (*food intoxication*). Also commonly called *food poisoning*.

*Reference notes are in Appendix F.

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Table 12–1

Food Regulatory Agencies

Each agency oversees programs and systems aimed at maintaining and improving the safety of the food supply.

CDC (Centers for Disease Control and Prevention) a branch of the U.S. Department of Health and Human Services that is responsible for, among other things, identifying, monitoring, and reporting on foodborne illnesses and outbreaks (*www.cdc.gov*).
EPA (Environmental Protection Agency) a federal agency that is responsible for, among other things, regulating pesticides and establishing water quality standards (*www.epa.gov*).
FAO (Food and Agriculture Organization) an international agency (part of the United Nations) that has adopted standards to regulate pesticide use, among other responsibilities (*www.fao.org*).
FDA (Food and Drug Administration) the federal agency responsible for ensuring the safety and wholesomeness of all dietary supplements and foods processed and sold in interstate and international commerce except for some aspects of meat, poultry, and eggs (which are under the jurisdiction of the USDA); setting standards for food composition and product labeling; and issuing recalls when problems arise (*www.fda.gov*).
USDA (U.S. Department of Agriculture) the federal agency responsible for enforcing standards for the wholesomeness and quality of meat, poultry, and eggs produced in the United States; conducting nutrition research; and educating the public about nutrition (*www.usda.gov*).
WHO (World Health Organization) an international agency concerned with promoting health and eradicating disease (*www.who.int*).

Microbes and Food Safety

LO 12.1 Describe microbial foodborne illnesses and practices that can prevent them.

Some people brush off the threat from foodborne illnesses as less likely and less serious than the threat of flu, but they are misinformed. Foodborne illnesses, caused by disease-causing **microbes** (**pathogens**), pose real threats to health and life, and some increasingly do not respond to standard antibiotic drug therapy.[3] Even normally mild foodborne illnesses can be lethal for a person who is ill or malnourished; has a compromised immune system; lives in an institution; has liver or stomach illnesses; or is pregnant, very old, or very young.

If digestive tract disturbances are the major or only symptoms of your next bout of what some people dismiss as a "stomach bug," chances are that what you really have is a foodborne illness. By learning something about these illnesses and taking a few preventive steps, you can maximize your chances of staying well. Understanding the nature of the microbes responsible is the first step toward defeating them.

microbes a shortened name for *microorganisms*; minute organisms too small to observe without a microscope, including bacteria, viruses, and others.

pathogens bacteria, viruses, fungi, and other microbes capable of causing illness. *Pathogenic* is the adjective form.

intoxication a state of physical harm caused by a toxin; poisoning.

enterotoxins poisons that act on mucous membranes, such as those of the digestive tract.

neurotoxins poisons that act on the cells of the nervous system.

How Do Microbes in Food Cause Illness in the Body?

Microorganisms can cause foodborne illness either by infection or by **intoxication**. Infectious agents, such as *Salmonella* bacteria or hepatitis viruses, infect the tissues of the human body and multiply there, causing illness. Some bacteria produce **enterotoxins** or **neurotoxins**, poisonous chemicals that they release as they multiply. These toxins are absorbed into the tissues and cause various kinds of harm, ranging from mild stomach pain and headache to paralysis and death.

Table 12–2 lists the microbes responsible for 90 percent of U.S. foodborne illnesses, hospitalizations, and deaths. It also lists their food sources, general symptoms, and prevention methods. Many other illness-causing microbes exist. The steps outlined in this chapter can reduce or eliminate all of them.

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Table 12–2

Causes, Symptoms, and Prevention of Common Microbial Foodborne Illnesses

Organism Name	Most Frequent Food Sources	Onset and General Symptoms	Prevention Methods[a]
Foodborne Infections			
Campylobacter (KAM-pee-loh-BAK-ter) bacterium	Raw and undercooked poultry, unpasteurized milk, contaminated water	Onset: 2 to 5 days. Diarrhea, vomiting, abdominal cramps, fever; sometimes bloody stools; lasts 2 to 10 days.	Cook foods thoroughly; use pasteurized milk; use sanitary food-handling methods.
Clostridium (claw-STRID-ee-um) ***perfringens*** (per-FRINGE-enz) bacterium	Meats and meat products held at between 120°F and 130°F	Onset: 8 to 16 hours. Abdominal pain, diarrhea, nausea; lasts 1 to 2 days.	Use sanitary food-handling methods; use pasteurized milk; cook foods thoroughly; refrigerate foods promptly and properly.
Escherichia coli; E. coli (esh-eh-REEK-ee-uh-KOH-lye) bacterium (including Shiga toxin–producing strains)[a]	Undercooked ground beef, unpasteurized milk and juices, raw fruit and vegetables, contaminated water, and person-to-person contact	Onset: 1 to 8 days. Severe bloody diarrhea, abdominal cramps, vomiting; lasts 5 to 10 days.	Cook ground beef thoroughly; use pasteurized milk; use sanitary food-handling methods; use treated, boiled, or bottled water.
Listeria (lis-TER-ee-AH) bacterium	Unpasteurized milk; fresh soft cheeses; luncheon meats, hot dogs	Onset: 1 to 21 days. Fever, muscle aches; nausea, vomiting, blood poisoning; complications in pregnancy; meningitis (stiff neck, severe headache, and fever); lasting neurological damage; death.	Use sanitary food-handling methods; cook foods thoroughly; use only pasteurized milk products and cheeses.
Norovirus	Person-to-person contact; raw foods, salads, sandwiches	Onset: 1 to 2 days. Vomiting; lasts 1 to 2 days.	Use sanitary food-handling methods.
Salmonella (sal-moh-NEL-ah) bacteria (>2,300 types)	Raw or undercooked eggs, meats, poultry, raw milk and other dairy products, shrimp, frog legs, yeast, coconut, pasta, and chocolate	Onset: 1 to 3 days. Fever, vomiting, abdominal cramps, diarrhea; lasts 4 to 7 days; can be fatal.	Use sanitary food-handling methods; use pasteurized milk; cook foods thoroughly; refrigerate foods promptly and properly.
Toxoplasma (TOK-so-PLAZ-ma) ***gondii*** parasite	Raw or undercooked meat; contaminated water; raw goat's milk; ingestion after contact with infected cat feces	Onset: 7 to 21 days. Swollen glands, fever, headache, muscle pain, stiff neck.	Use sanitary food-handling methods; cook foods thoroughly.
Foodborne Intoxications			
Clostridium (claw-STRID-ee-um) ***botulinum*** (bot-chew-LINE-um) bacterium produces botulin toxin, responsible for causing botulism	Anaerobic environment of low acidity (canned corn, peppers, green beans, soups, beets, asparagus, mushrooms, ripe olives, spinach, tuna, chicken, chicken liver, liver pâté, luncheon meats, ham, sausage, stuffed eggplant, lobster, and smoked and salted fish)	Onset: 4 to 36 hours. Nervous system symptoms, including double vision, inability to swallow, speech difficulty, and progressive paralysis of the respiratory system; often fatal; leaves prolonged symptoms in survivors.	Use proper canning methods for low-acid foods; refrigerate homemade garlic and herb oils; avoid commercially prepared foods with leaky seals or with bent, bulging, or broken cans. Do not feed honey to infants.
Staphylococcus (STAF-il-oh-KOK-us) ***aureus*** bacterium produces staphylococcal toxin	Toxin produced in improperly refrigerated meats; egg, tuna, potato, and macaroni salads; cream-filled pastries	Onset: 1 to 6 hours. Diarrhea, nausea, vomiting, abdominal cramps, fever; lasts 1 to 2 days.	Use sanitary food-handling methods; cook food thoroughly; refrigerate foods promptly and properly.

Note: Travelers' diarrhea is most commonly caused by E. coli, Campylobacter jejuni, Shigella, *and* Salmonella.

[a] *E. Coli O157, O145, and other Shiga toxin-producing bacteria cause toxin-mediated infections—they release toxins as their colonies grow in the body.*

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To prevent botulism from homemade flavored oils, wash and dry fresh herbs before use, and keep the oil refrigerated. Discard it after a week to 10 days.

The most common cause of food intoxication is the *Staphylococcus aureus* bacterium, but the most infamous is undoubtedly *Clostridium botulinum*, an organism that produces a toxin so deadly that an amount as tiny as a single grain of salt can kill several people within an hour. *Clostridium botulinum* grows in **anaerobic** conditions such as those found in improperly canned (especially home-canned) low-acid foods, home-fermented foods such as tofu, and homemade garlic or herb-infused oils stored at room temperature.* **Botulism** quickly paralyzes muscles, making seeing, speaking, swallowing, and breathing difficult and demands immediate medical attention. Warning signs of botulism are listed at the bottom of Table 12–3.

The botulinum toxin and a few others are heat sensitive and can be destroyed by boiling, but this is not recommended because poisoning could occur if even a trace of the toxin remained intact. Other toxins, such as that from *Staphylococcus aureus*, are heat-resistant and so remain hazardous even after the food is cooked.

Key Points

- Each year in the United States, tens of millions of people suffer mild to life-threatening foodborne illnesses, despite efforts of governmental agencies to prevent them.
- Pregnant women, infants, toddlers, older adults, and people with weakened immune systems are most vulnerable to harm from foodborne illnesses.
- Foodborne illnesses arise from microbial infections or bacterial toxins.

Food Safety from Farm to Plate

A safe food supply depends on safe food practices on the farm or at sea; in processing plants; during transportation; and in supermarkets, institutions, and restaurants (see Figure 12–1). Equally critical in the chain of food safety, however, is the final handling of food by people who purchase it and consume it at home. Tens of millions of people needlessly suffer preventable foodborne illnesses each year because they make their own mistakes in purchasing, storing, or preparing their food.

Table 12–3

Dangerous Symptoms of Foodborne Illnesses

Some bouts of foodborne illness may be mild and clear up on their own, but others pose serious threats. Any of the following symptoms demand medical attention.

Get medical help for these symptoms:

- Bloody stools.
- Dehydration.
- Diarrhea of more than 3 days' duration.
- Fever of longer than 24 hours' duration.
- Headache with muscle stiffness and fever.
- Numbness, muscle weakness, tingling sensations in the skin.
- Rapid heart rate, fainting, dizziness.
- Severe intestinal cramps.

Warning signs of botulism—a medical emergency:

- Difficulty breathing.
- Difficulty swallowing.
- Double vision.
- Weak muscles.

anaerobic without oxygen.

botulism an often fatal foodborne illness caused by the botulinum toxin, a toxin produced by the *Clostridium botulinum* bacterium, which grows without oxygen in nonacidic canned foods.

*Complete, up-to-date home canning instructions are available in the USDA's *Complete Guide to Home Canning*, available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, or online at www.uga.edu/nchfp/publications.

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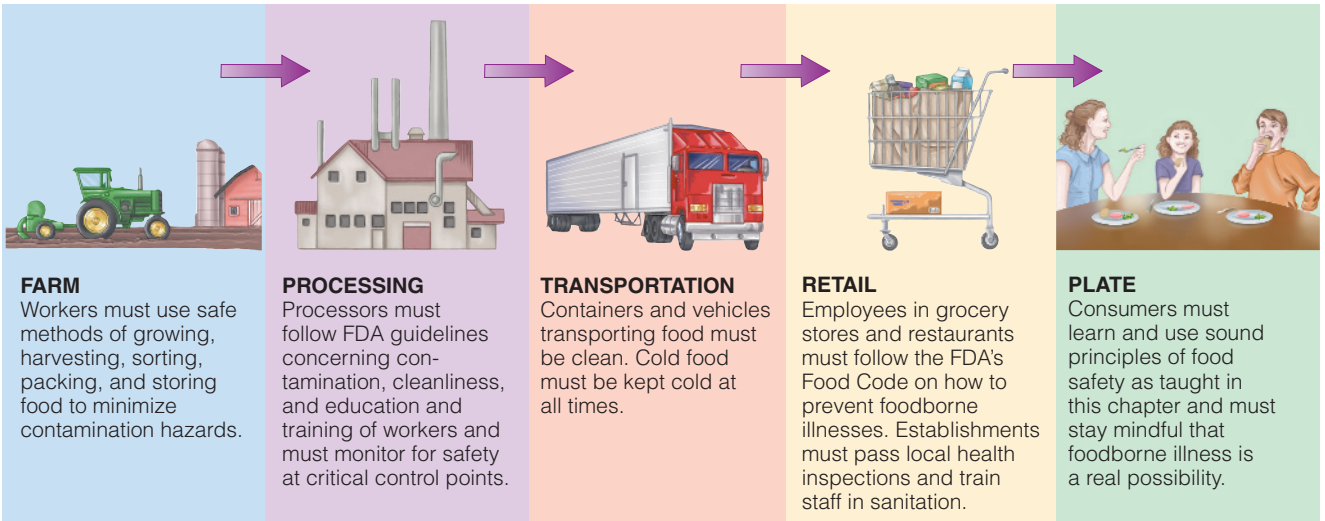
Figure 12–1

From Farm to Plate: Make Food Safe



How Outbreaks Occur Commercially prepared food is usually safe, but an **outbreak** of illness from this source often makes the headlines because outbreaks can affect many people at once. Dairy farmers, for example, rely on **pasteurization**, a process that heats milk to kill most pathogens, thereby making the milk safe to consume. When a major dairy develops a flaw in its pasteurization system, hundreds of cases of illness can occur as a result.

Other types of farming require other safeguards. Growing food usually involves soil, and soil contains abundant bacterial colonies that can contaminate food. Animal waste deposited onto soil may introduce pathogens. Additionally, farm workers and other food handlers who are ill can easily pass pathogens to consumers through the routine handling of fruit, vegetables, or grains during and after harvest, a particular concern with regard to foods consumed raw, such as lettuce or cucumbers.

Attention on *E. coli* Several strains of the *E. coli* bacterium produce a particularly dangerous protein known as **Shiga toxin**, a cause of severe disease. The most notorious strain, *E. coli* O157:H7, caused a widespread outbreak in 2021 when consumers across five states ate contaminated produce, but outbreaks can also arise from other strains of Shiga toxin–producing *E. coli* (STEC).* Outbreaks of severe or fatal STEC illnesses focus national attention on two important issues: first, that raw foods routinely contain live pathogens and, second, that strict industry controls are essential to make foods safe.

In most cases, STEC disease involves bloody diarrhea, severe intestinal cramps, and dehydration starting a few days after eating tainted meat, raw milk, or contaminated fresh raw produce. In the worst cases, **hemolytic-uremic syndrome** causes a dangerous failure of the kidneys and organ systems that very young, very old, or otherwise vulnerable people may not survive. Antibiotics and self-prescribed antidiarrheal medicines can make the condition worse because they increase absorption and retention of the toxin. Severe cases require hospitalization.

FDA Food Safety Modernization Act The **FDA Food Safety Modernization Act (FSMA)** aims to lower stubbornly high rates of foodborne illnesses in an increasingly complex food system.† It fosters technologies that enhance microbe traceability

outbreak two or more cases of a disease arising from an identical organism acquired from a common food source within a limited time frame. Government agencies track and investigate outbreaks of foodborne illnesses, but tens of millions of individual cases go unreported each year.

pasteurization the treatment of milk, juices, or eggs with heat sufficient to kill certain pathogenic (disease-causing) microbes commonly transmitted through these foods; not a sterilization process. Pasteurized products retain bacteria that cause spoilage.

Shiga toxin (SHIG-uh) any of a group of protein toxins produced as certain bacteria strains multiply; when absorbed, Shiga toxins cause severe illness.

hemolytic-uremic (HEEM-oh-LIT-ic you-REEM-ick) **syndrome** a set of severe, sometimes fatal, symptoms, including abnormal blood clotting with kidney failure, damage to the central nervous system, and damage to other organs; a result of infection with Shiga toxin–producing *E. coli* and particularly likely to occur in children.

FDA Food Safety Modernization Act (FSMA) a law enacted in 2016 to build a new system of domestic and international controls for the detection, prevention, and correction of microbial contamination of the U.S. food supply.

*Shiga toxin was named for the Japanese researcher who discovered the microbial cause of dysentery more than 100 years ago.

†Read more about the FDA Food Safety Modernization Act at www.fda.gov/Food/GuidanceRegulation/FSMA/.

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to help uncover sources of contamination and speed FDA's response to an outbreak.[4] Another important goal is to establish a food safety culture in which safeguarding the nation's food supply is everyone's concern.

Food Industry Controls Inspections of U.S. meat-processing plants, performed every day by USDA inspectors, help to ensure that these facilities meet government standards. Other food facilities are inspected less often, but FSMA regulations require that all producers of food sold in the United States must employ a **Hazard Analysis Critical Control Point (HACCP) plan** to help prevent foodborne illnesses at their source. Each slaughterhouse, producer, packer, distributor, and transporter of susceptible foods must identify "critical control points" in its procedures that pose a risk of food contamination or bacterial growth (*E. coli*, a common bacterial threat, is depicted in Figure 12–2). Once a control point is identified, the food producer must devise and implement verifiable ways to eliminate or minimize the risk.

The HACCP system is a proven method of controlling microbial contamination, and its effectiveness is evident: *Salmonella* contamination of U.S. poultry, eggs, ground beef, and pork has been greatly reduced, and *E. coli* infection from meats has dropped dramatically since HACCP plans were implemented in these industries.

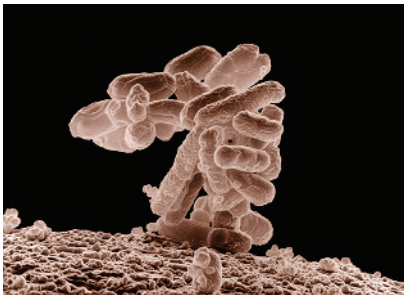
Grocery Safety for Consumers Canned and packaged foods sold in grocery stores are generally safe, but accidents do happen, and foods can become contaminated. FDA scientists track outbreaks of illnesses due to large-scale contamination and trace both likely production sources and distribution paths to prevent or minimize consumer exposure. When food contamination is suspected, batch numbering facilitates the food's recall through public announcements in the media and other means.

You can help protect yourself, too. Shop at stores that look and smell clean. Check the freshness dates printed on many food packages, and choose the freshest ones. "Sell by" and other dates of Table 12–4 do not reflect a food's safety, however (baby formula is the exception: its dates are legally defined). Instead, they indicate the time of the food's best quality, and are intended to help retailers manage their inventories. For consumers, applying these dates too strictly can lead to unnecessary food waste.

If a can or package is bulging, leaking, ragged, soiled, or punctured, don't buy it—turn it in to the store manager. A badly dented can or a mangled package is useless in protecting food from microorganisms, insects, or other spoilage. Many jars have safety "buttons" on the lid, designed to pop up once the jar is opened; make sure that they have not "popped." Frozen foods should be solidly frozen, and those in chest-type freezer cases should be stored below the frost line. Check fresh eggs and reject cracked ones.

Figure 12–2
Bacterial Growth

Bacterial colonies grow quickly when a single bacterium encounters favorable conditions. For example, each oblong-shaped *E. coli* in this stack can reproduce every 20 minutes or so, doubling the colony size in a process that continues until conditions change. (*E. coli* magnified 7,000 times).



Eric Erbe/Christopher Pooley/United States Department of Agriculture

Hazard Analysis Critical Control Point (HACCP) plan a systematic plan to identify and correct potential microbial hazards in the manufacturing, distribution, and commercial use of food products. *HACCP* may be pronounced "HASS-ip."

Table 12–4
Are Your Foods Expiring?

Although dates on food packages reflect food quality more often than food safety, they can alert both sellers and consumers to a product's degree of freshness.

- *Sell by:* Specifies the shelf life of the food. After this date, the food may still be safe for consumption if it has been handled and stored properly. Also called *pull date*.
- *Best if used by:* Specifies the last date the food will be of the highest quality. After this date, quality is expected to diminish, although the food may still be safe for consumption if it has been handled and stored properly. Also called *freshness date* or *quality assurance date*.
- *Expiration date:* The last day the food should be consumed. All foods except eggs should be discarded after this date. For eggs, the expiration date refers to the last day the eggs may be sold as "fresh eggs." For safety, purchase eggs before the expiration date, keep them in their original carton in the refrigerator, and use them within 30 days.[a]
- *Pack date:* The day the food was packaged or processed. When used on packages of fresh meats, pack dates can provide a general guide to freshness.

[a]*For best quality, use eggs within 3 weeks of purchase.*

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Finally, shop for frozen and refrigerated foods and fresh meats last, just before leaving the store.

Key Points

- Farm-to-plate food safety requires that farmers, processors, transporters, retailers, and consumers use effective food safety methods to prevent foodborne illnesses.
- Bacteria multiply quickly when conditions are favorable to them.
- FSMA is a law enacted to protect the U.S. food and pet food supplies.
- Consumers should carefully inspect foods before purchasing them.

Safe Food Practices for Individuals

Staying mindful of food safety can prevent much misery from intestinal illnesses. Be aware that food can provide ideal conditions for bacteria to multiply and to produce toxins. Bacteria, particularly pathogens, require these three conditions to thrive:

- Nutrients
- Moisture
- Warmth, 40°F to 140°F (4°C to 60°C)*

To defeat bacteria, you must prevent them from contaminating food or deprive them of one of these conditions. Four practices illustrated in Figure 12–3 can help achieve these goals.

Any food with an "off" appearance or odor should be thrown away, of course, and not even tasted. However, you cannot rely on your senses of smell, taste, and sight to warn you because most hazards are not detectable by odor, taste, or appearance. As the old saying goes, "When in doubt, throw it out."

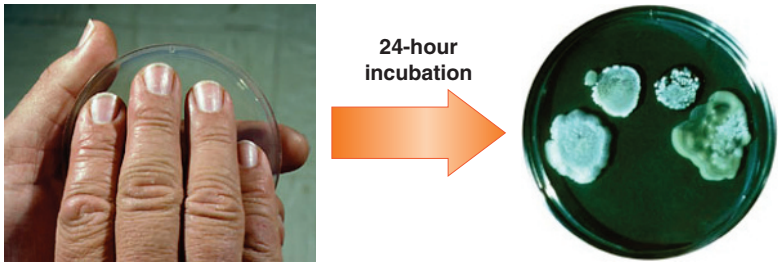
Keep Clean Keeping your hands and surfaces clean requires using freshly washed utensils and new or disinfected towels and washing your hands properly, not just rinsing them, particularly before and after handling raw foods. Normal, healthy skin is covered with bacteria, some of which may cause foodborne illness when deposited on moist, nutrient-rich food and allowed to multiply, as Figure 12–4 illustrates. Remember to use a nail brush to clean under your fingernails when washing your hands and tend to routine nail care—artificial nails, long nails, chipped polish, and even a hangnail

Figure 12–3
Fight Bac!

Four ways to keep food safe. The Fight Bac! website is at www.fightbac.org.

Figure 12–4
Why Wash Your Hands?

The photo on the left shows a person's clean-looking but unwashed hand touching a sterile, moist, nutrient-rich gel in a laboratory dish. After 24 hours in a warm incubator, the large colonies provide visible evidence of the microorganisms that were transferred from the hand to the gel.



Source: Photos courtesy of A. Estes Reynolds, George A. Schuler, James A. Christian, and William C. Hurst.

*The FDA suggests these temperatures to consumers at the FDA/CFSAN website; see www.fda.gov. For food industry professionals, the FDA makes other recommendations; see U.S. Public Health Service and Food and Drug Administration, *Food Code* (College Park, Md.: U.S. Department of Health and Human Services, 2017), available at www.fda.gov.

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Figure 12–5

Proper Hand Washing Prevents Illness

You can avoid many illnesses by following these hand washing procedures before, during, and after food preparation; before eating; after using the bathroom, changing a diaper, blowing your nose, coughing, or sneezing; after handling animals or their food or waste; or after handling garbage. Wash hands more frequently when someone near you is sick.

Source: Centers for Disease Control and Prevention, When and how to wash your hands (2016), available at www.cdc.gov/handwashing/when-how-handwashing.html.

harbor more bacteria than do natural, clean, short, healthy nails. Figure 12–5 delineates steps to thorough hand washing.

For routine cleansing, washing your hands with ordinary soap and water is effective. Using an alcohol-based hand-sanitizing gel can also provide killing power against many bacteria and most viruses. Following up a good washing with a sanitizer may provide an extra measure of protection when someone in the house is ill or when preparing food for an infant, an elderly person, or someone with a compromised immune system.* If you are ill or have open cuts or sores, stay away from food preparation.

Microbes love to nestle down in small, damp spaces, such as the inner cells of kitchen sponges or the pores between the fibers of wooden cutting boards.[5] To reduce their numbers on sponges, surfaces, and utensils, you have four choices, each with benefits and drawbacks:

1. Poison the microbes with highly toxic chemicals such as bleach (one teaspoon per quart of water). Chlorine kills most organisms. However, chlorine is toxic to handle, it can ruin clothing, and when washed down household drains into the water supply, it forms chemicals harmful to people and wildlife.
2. Kill the microbes with heat. Soapy water heated to 140°F kills most harmful organisms and washes away most others. This method takes effort, though, because the water must be truly scalding hot, well beyond the temperature of the tap.
3. Use an automatic dishwasher to combine both methods. It washes in water hotter than hands can tolerate, and most dishwasher detergents contain chlorine.

*Effective hand sanitizers contain between 60 and 70 percent isopropyl alcohol.

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4. Use a microwave oven to kill microbes on sponges. Place the *soaking wet* sponge in a microwave oven, and heat it a minute or two until it is steaming hot (times vary). Cautions: handle hot sponges with tongs to avoid scalding your hands, and heat only wet sponges in the microwave oven; dry sponges can catch on fire.

The third and fourth options—washing in a dishwasher and microwaving—kill virtually all bacteria trapped in sponges, while soaking in a bleach solution misses more than 10 percent. Whatever the method, the effect is temporary and bacteria quickly return. The best action may be to replace kitchen sponges at least weekly, even if they don't appear worn. Even better, skip the sponges and use a stack of kitchen dish cloths that can be tossed in the laundry daily.

Keep Separate Raw foods, especially meats, eggs, and seafood, are likely to contain illness-causing bacteria. To prevent bacteria from spreading, keep the raw foods and their juices away from ready-to-eat foods. (This is called **cross-contamination** of foods.) For example, if you take burgers out to the grill on a plate, wash that plate in hot, soapy water before using it to retrieve the cooked burgers. If you use a cutting board to cut raw meat, wash the board, the knife, and your hands thoroughly with soap before handling other foods—and particularly before making a salad or other foods that are eaten raw. Many cooks keep a separate cutting board just for raw meats.

Cook Cook foods long enough to reach a safe internal temperature. The USDA urges consumers to use a food thermometer to test the temperatures of cooked foods and not to rely on appearance. Place the probe of a food thermometer in the thickest part of the food, away from bone and gristle, and wash the probe between readings to prevent transferring bacteria from the uncooked food to the finished product. Table 12–5 provides a glossary of thermometer terms. Figure 12–6 (p. 442) lists safe internal temperatures for various kinds of cooked foods.

After cooking, hot foods must be held at 140°F or higher until served. A temperature of 140°F on a thermometer feels hot, not just warm. Even well-cooked foods, if handled improperly prior to serving, can cause illness. Delicious-looking meatballs on a buffet may harbor bacteria unless they have been kept steaming hot. After the meal, cooked foods should be refrigerated immediately or within two hours at the maximum (one hour if room temperature approaches 90°F, or 32°C). If food has been left out longer than this, throw it out.

Chill Chilling and keeping cold food cold starts when you leave the grocery store. If you are running errands, make the grocery store your last shop so that perishable items do not stay in the car too long. (If ice cream begins to melt, it has been too long.) An ice chest or insulated bag can help keep foods cold during transit. Upon arrival home, load foods into the refrigerator or freezer immediately. Table 12–6 (p. 443) lists some safe keeping times for foods stored in the refrigerator at or below 40°F. Foods older than this should be discarded, not consumed.

To ensure safety, thaw frozen meats or poultry in the refrigerator, not at room temperature. Marinate meats in the refrigerator, too. To thaw a food more quickly, submerge it in cold (not hot or warm) water in waterproof packaging or use a microwave to thaw food just before cooking it. Many foods such as individually packaged chicken breasts, fish fillets, steaks, and prepared meals, can simply be cooked from the frozen state—just increase the cooking time and use a thermometer to ensure that the food reaches a safe internal temperature.

Chill prepared or cooked foods in shallow containers, not in deep ones. A shallow container allows quick chilling throughout; deeper containers take too many hours to chill through to the center, allowing bacteria time to grow.

Cold meats and mixed salads make a convenient buffet, but keep perishable items safe by placing their containers on ice during serving. This applies to all perishable foods, including custards, cream pies, and whipped-cream or cream-cheese treats. Even pumpkin pie, because it contains milk and eggs, should be kept cold.

Table 12–5

Glossary of Thermometer Terms

- **appliance thermometer** a thermometer that verifies the temperature of an appliance. An *oven thermometer* verifies that the oven is heating properly; a *refrigerator/freezer thermometer* tests for proper refrigerator temperature (<40°F, or <4°C) or freezer temperature (0°F, or –17°C).
- **fork thermometer** a utensil combining a meat fork and an instant-read food thermometer.
- **instant-read thermometer** a thermometer that, when inserted into food, measures its temperature within seconds; designed to test temperature of food at intervals.
- **oven-safe thermometer** a thermometer designed to remain in the food to give constant readings during cooking.
- **pop-up thermometer** a disposable timing device commonly used in turkeys. The center of the device contains a spring that "pops up" when food reaches the right temperature.
- **single-use temperature indicator** a disposable instant-read thermometer that changes color to indicate temperature.This type is often used in commercial food establishments to eliminate cross-contamination.

cross-contamination the contamination of food through exposure to utensils, hands, or other surfaces that were previously in contact with contaminated food.

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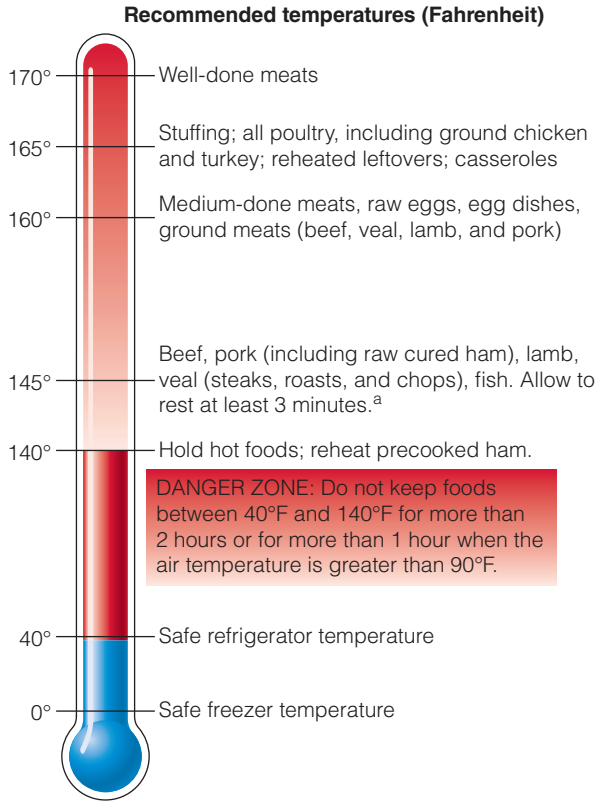
Figure 12–6

Food-Safety Temperatures

Cooking and cooling foods to proper temperatures reduces microbial threats. To properly test a food's temperature, insert a meat thermometer into the thickest part of a cut of meat or into the center of a casserole, leftovers, or stuffing, preventing the thermometer from touching the pan's bottom surface.



[a]During the 3 minutes after meat is removed from the heat source, its temperature remains constant or continues to rise, which destroys pathogens.

Key Points

- Foodborne illnesses are common, but the great majority of cases can be prevented.
- To protect themselves, consumers should remember these four practices: clean, separate, cook, chill.

Which Foods Are Most Likely to Cause Illness?

LO 12.2 Identify the categories of foods that most often cause foodborne illnesses.

Some foods are more likely to harbor illness-causing microbes than others. Foods that are high in moisture and nutrients and those that are chopped or ground are especially favorable hosts. Bacteria reproduce rapidly in many protein foods when given the chance. Pathogens also lodge on produce, and as you will learn, it is a threat to take seriously.[6]

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Protein Foods

Protein-rich foods require special handling. When produced on an industrial scale, protein foods are often mingled together, such as in tanks of raw milk, vats of raw eggs, or masses of ground meats or poultry. Mingling causes problems when a pathogen from a single source contaminates the whole batch.

Packages of raw meats, for example, bear labels to instruct consumers on meat safety (see Figure 12–7, page 444).* Meats in the grocery cooler very often contain bacteria and provide a moist, nutritious environment perfect for microbial growth. Therefore, people who prepare meat should follow these basic meat-safety rules:

- Cook all meat and poultry to the suggested temperatures.
- Never defrost meat or poultry at room temperature or in warm water. The warmed outside layer of raw meat fosters bacterial growth.
- Don't cook large, thick, dense, raw meats or meatloaf in the microwave. Microwaves leave cool spots that can harbor microbes. Reminder: never prepare foods that will be eaten raw, such as lettuce or tomatoes, with the same utensils or on the same cutting board as was used to prepare raw meats, such as hamburgers.

In addition, the FDA warns against washing raw poultry or meat before cooking it to prevent spattering bacteria-containing droplets onto kitchen surfaces or other foods in the area.[7] Finally, always remember to wash your hands thoroughly after handling raw meat.

Unrelated to sanitation, a **prion** disease of cattle and wild game such as deer and elk, **bovine spongiform encephalopathy (BSE)**, causes a rare but fatal brain disorder in human beings who consume meat from afflicted animals.† U.S. beef industry regulations minimize the risk of contracting BSE from eating beef.

Ground Meats In addition to the mingling problem mentioned earlier, ground meat or poultry is handled more than meats left whole, and grinding exposes much more surface area for bacteria to land on. Experts advise cooking these foods to the well-done stage. Use a thermometer to test the internal temperature of poultry and meats, even hamburgers, before declaring them done. Don't trust appearance alone: burgers often turn brown and appear cooked before their internal temperature is high enough to kill harmful bacteria. Figure 12–8 (p. 444) reviews hamburger safety.

Stuffed Poultry A stuffed turkey or chicken raises special concerns because bacteria from the bird's cavity can contaminate the stuffing. During cooking, the center of the stuffing can stay cool long enough for bacteria to multiply. For safe stuffed poultry, follow the Fight Bac core principles—clean, separate, cook, and chill. In addition:

- Cook any raw meat, poultry, or shellfish before adding it to stuffing.
- Mix wet and dry ingredients right before stuffing into the cavity and stuff loosely; cook immediately afterward in a preheated oven set no lower than 325°F (use an oven thermometer to make sure).
- Use a meat thermometer to test the center of the stuffing. It should reach 165°F.

To repeat: test the stuffing. Even if the poultry meat itself has reached the safe temperature 165°F, the center of the stuffing may be cool enough to harbor live bacteria. Better yet, bake the stuffing separately.

Raw and Undercooked Eggs Eating undercooked eggs at home accounts for a small but significant portion of U.S. *Salmonella* infections.[8] Bacteria from the intestinal tracts of hens often contaminate eggs as they are laid, and some bacteria may enter the eggs themselves. All commercially available eggs are washed and sanitized before packing, and some are pasteurized in the shell to make them safer. The FDA requires measures to control *Salmonella* and other bacteria on major egg-producing poultry farms.

Table 12–6

Safe Food Storage Times: Refrigerator (≤40°F)[a]

For products with longer shelf lives, rotate them like restaurants do. "First-In-First-Out" means to check dates and use up older products first.

1 to 2 Days	
Raw ground meats, breakfast or other raw sausages; raw fish or poultry; gravies	
3 to 5 Days	
Raw steaks, roasts, or chops; cooked meats, poultry, vegetables, and mixed dishes; lunchmeats (packages opened); mayonnaise salads (chicken, egg, pasta, tuna); fresh vegetables (spinach, green beans, tomatoes)	
1 Week	
Hard-cooked eggs, bacon, or hot dogs (opened packages); smoked sausages or seafood; milk, cottage cheese	
1 to 2 Weeks	
Yogurt; carrots, celery, lettuce	
2 to 4 Weeks	
Fresh eggs (in shells); lunchmeats, bacon, or hot dogs (packages unopened); dry sausages (pepperoni, hard salami); most aged and processed cheeses (Swiss, brick)	
2 Months	
Mayonnaise (opened jar); most dry cheeses (Parmesan, Romano)	

[a] *For additional information, see www.fda.gov/downloads/Food/ResourcesForYou/HealthEducators/UCM109315.pdf.*

prion a disease agent consisting of an unusually folded protein that disrupts normal cell functioning. Prions cannot be controlled or killed by cooking or disinfecting, and the disease they cause cannot be treated. Prevention is the only form of control.

bovine spongiform encephalopathy (BOH-vine SPUNJ-ih-form en-SEH-fal-AH-path-ee) **(BSE)** an often fatal illness of the nerves and brain observed in cattle and wild game and in people who consume affected meats. Also called *mad cow disease*.

* The USDA's Food Information Hotline answers questions about meat, poultry, and seafood safety: 1–888-MPHOTLINE.

† The human disease is variant Creutzfeldt-Jakob disease (vCJD).

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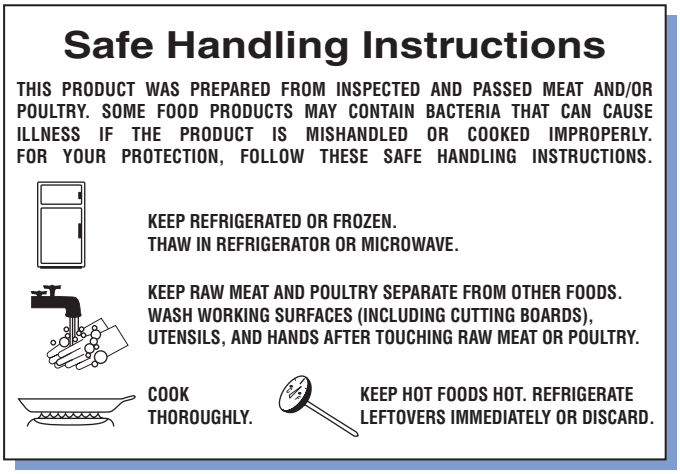
Figure 12–7

Food Safety Labels for Meat and Poultry

Following food safety instructions for meat and poultry minimizes bacterial growth and cross-contamination.

Safe handling label for raw meat and poultry



For consumers, egg cartons bear reminders to keep eggs refrigerated, cook eggs until their yolks are firm, and cook egg-containing foods thoroughly before eating them.

What about tempting foods like homemade ice cream, hollandaise sauce, unbaked cake batter, or raw cookie dough that contain raw or undercooked eggs? Healthy adults can enjoy them if they are made safer by using pasteurized eggs or liquid egg products instead of regular eggs. However, even these products, because they are made from raw eggs, may contain a few live bacteria that survived pasteurization, making them unsafe for pregnant women, the elderly, young children, or people with weakened immunity.

Seafood Properly cooked fish and other seafood sold in the United States are safe from microbial threats. However, even the freshest, most appealing, raw or partly cooked seafood can harbor pathogenic viruses; parasites, such as worms and flukes; and bacteria that cause illnesses ranging from stomach cramps to severe, life-threatening conditions. Table 12–7 lists beliefs about raw seafood that can make people sick.

The dangers posed by seafood are increasing. As burgeoning human populations along the world's shorelines release more contaminants into lakes, rivers, and oceans, the seafood living there becomes less safe to consume. Viruses that cause human diseases have been detected in some 90 percent of the waters off the U.S. coast and easily contaminate filter feeders such as clams and oysters. Government agencies monitor commercial fishing areas and close unsafe waters to harvesters, but illegal harvesting is common.

As for **sushi** or "seared" partially raw fish, even a master chef cannot detect microbial dangers that may lurk within. The marketing term "sushi grade," often applied to seafood to imply wholesomeness, means only that the fish was frozen to below zero temperatures for long enough to kill off adult parasitic worms. Freezing does not make raw fish entirely safe to eat. Only cooking can kill all worm eggs, bacteria, and other microorganisms. Safe sushi is made from properly acidified rice (the vinegar reduces pH and thereby retards bacterial growth), cooked seafood, seaweed, vegetables, avocados, and other safe delicacies, and then is held at cold temperatures until it is consumed. Experts unanimously agree that today's high levels of microbial contamination make eating raw or lightly cooked seafood too risky, even for healthy adults.

Raw Milk Products Unpasteurized raw milk and raw milk products (often sold as "health food") cause the majority of dairy-related illness outbreaks. The bacterial counts of raw milk are unpredictable and even organic raw milk from a trusted dairy can cause severe illness. Drinking raw milk presents a real risk with no advantages—the nutrients in pasteurized milk and raw milk are identical.

Figure 12–8

Hamburger Safety

A safe hamburger is cooked well done (internal temperature of 160°F) and has juices that run clear. Place it on a clean plate when it's done.

Wavebreak Media Ltd./Alamy Stock Photo

Table 12–7

Raw Seafood Myths and Truths

Myth	Truth
▪ If a raw seafood was consumed in the past with no ill effect, it is safe to do so today.	▪ Each harvest bears separate risks, and seafood is increasingly contaminated.
▪ Drinking alcoholic beverages with raw seafood will "kill the germs."	▪ Alcoholic beverages cannot make contaminated raw seafood safe.
▪ Putting hot sauce on raw oysters and other raw seafood will "kill the germs."	▪ Hot sauce exerts no effect on microbes in seafood.

sushi a Japanese dish that consists of vinegar-flavored rice, seafood, and colorful vegetables, typically wrapped in seaweed. Some sushi contains raw fish; other sushi contains only cooked ingredients.

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Even in pasteurized milk, a few bacteria may survive, so milk must be refrigerated to hold bacterial growth to a minimum. Shelf-stable milk, often sold in boxes, is sterilized by an **ultra-high temperature** treatment and so needs no refrigeration until it is opened.

Key Points

- Raw meats and poultry pose special microbial threats and so require special handling.
- Consuming raw eggs, milk, or seafood is risky.

Raw Produce

The Dietary Guidelines urge people to eat enough fruit and vegetables, but if consumers eat these foods raw, they must take steps to avoid foodborne illnesses. Foods such as lettuce, salad spinach, tomatoes, melons, berries, herbs, and scallions grow close to the ground, making them vulnerable to bacterial contamination from the soil, animal waste runoff, and manure fertilizers. Contamination often arises when growers and producers make sanitation mistakes. For this reason, the FSMA law described earlier includes a **Produce Safety Rule**, which regulates growing and working conditions on farms, and requires safety plans from both U.S. and international produce suppliers.

Washing produce at home to remove dirt and debris is important, too, and Table 12–8 provides some guidance. However, washing may not entirely remove certain bacterial strains. These strains—*E. coli*, among others—exude a sticky, protective coating that glues microbes to each other and to food surfaces, forming a **biofilm** that can survive home rinsing or even industrial washing.[9] Somewhat more effective is vigorous scrubbing with a vegetable brush to dislodge bacteria; rinsing with vinegar, which may help cut through biofilm; and removing and discarding the outer leaves from heads of leafy vegetables, such as cabbage and lettuce, before washing. Vinegar doesn't sterilize foods, but it can reduce bacterial populations, and is safe to consume.

Unpasteurized Juices Unpasteurized or raw juices and ciders pose a special problem. Juice producers mingle fruit from many different trees and orchards, and any bacteria introduced into a batch of juice can multiply rapidly in the sugary fluid. Labels of unpasteurized juices must carry the warning, as shown in Figure 12–9. Especially infants, children, the elderly, and people with weakened immune systems should never be given raw or unpasteurized juice products. Refrigerated pasteurized juices, reconstituted frozen juices, and shelf-stable juices in boxes, cans, or pouches are generally safe.

Sprouts Sprouts (alfalfa, clover, radish, and others) grow in the same warm, moist, nutrient-rich conditions that microbes need to thrive. A few bacteria or spores on sprout seeds can quickly bloom into widespread contamination of the sprouts; both commercial and homegrown raw sprouts pose this risk. Sprouts are often eaten raw, but the

Figure 12–9

Warning Label for Unpasteurized Juice

Unpasteurized or untreated juice must bear the following warning on its label:

WARNING: This product has not been pasteurized and therefore may contain harmful bacteria that can cause serious illness in children, the elderly, and persons with weakened immune systems.

Table 12–8

How to Wash Produce

Follow these steps:

- Wash your hands (see Figure 12–5, p. 440).
- Wash fruit and vegetables (organic, conventional, or homegrown) thoroughly under running water before cutting or peeling. Use a vinegar rinse to help cut through biofilm.
- Wash produce that will be peeled to remove dirt and bacteria that could be transferred from the peel to the edible parts by the peeler or knife.
- Scrub firm produce, such as melons and cucumbers, with a clean produce brush to dislodge dirt and bacteria.
- Cut away any damaged or bruised parts.
- Dry with a clean cloth.
- Prewashed, ready-to-eat produce needs no further washing; if you choose to rewash it, avoid contamination by following the basic rules of food safety.

ultra-high temperature a process of sterilizing food by exposing it for a short time to temperatures above those normally used in processing.

Produce Safety Rule a set of science-based standards put forth by the FDA that minimize microbial hazards during commercial growing, harvesting, packing, and storing of fruit and vegetables intended for U.S. consumption.

biofilm a layer of microbes mixed with a sticky, protective coating of proteins and carbohydrates exuded by certain bacteria.

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only sure way to make sprouts safe is to cook them. The elderly, young children, pregnant women, and those with weakened immunity are particularly vulnerable.

Key Points

- Produce causes many foodborne illnesses each year.
- Proper washing and refrigeration can reduce risks.
- Cooking ensures that sprouts are safe to eat.

Other Foods

Careful handling can reduce microbial threats from other foods, too. The foods discussed next are common in the food supply, and their safety deserves attention.

Imported Foods Today, over half of the fresh fruits, a third of the fresh vegetables, and 94 percent of the fish and seafood consumed in the United States are imported from other countries, as illustrated in Figure 12–10.[10] This poses an enormous food-safety challenge—the methods and standards of many thousands of food producers in far-away countries vary substantially. Cooked, frozen, irradiated, or canned imported foods and foods from developed areas with effective food-safety policies are generally safe. Concerns arise, however, about fresh produce, fish, shrimp, and other susceptible foods that originate in areas where food-safety practices are lax and contagious diseases are **endemic**.

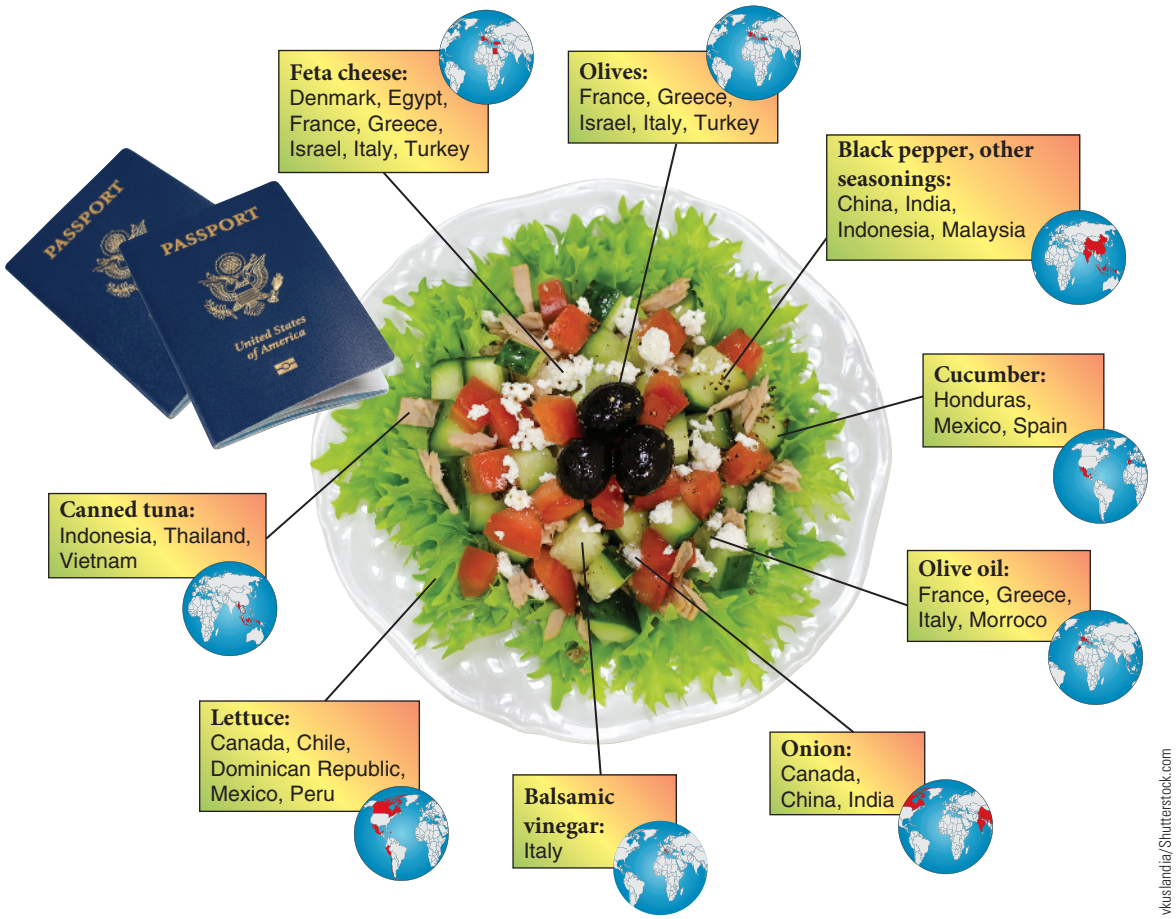
endemic common or prevalent in a particular area or group of people.

To greatly reduce these risks, the FDA's new FSMA rules now require verification that imported foods have been produced and handled in keeping with U.S.

Figure 12–10

How Far Did Your Salad Travel?

A simple salad on a U.S. dinner plate may result from worldwide efforts to provide it.



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food safety standards.[11] In addition, to help U.S. consumers distinguish between imported and domestic foods, regulators require certain foods, including fish and shellfish, perishable items other than beef or pork, and some nuts to bear a **country of origin label** specifying where they were produced.

Grigor Unkovski/Shutterstock.com

Honey Honey can contain dormant spores of *Clostridium botulinum* that, when eaten, can germinate and begin to grow and produce their deadly botulinum toxin within the human body. Mature, healthy adults have their own internal defenses against this threat, but infants under one year of age should never be fed honey.

Picnics and Lunch Bags Picnics can be fun, and packed lunches are a convenience, but to keep them safe, do the following:

- Choose foods that are safe without refrigeration, such as whole fruit and vegetables, breads and crackers, shelf-stable foods, and canned spreads, fish and seafood, and cheeses to open and use on the spot.
- Chill lunch bag foods and pack them in a thermal lunch bag with several reusable ice packs. Food at room temperature in a paper bag may be unsafe to eat by lunchtime.
- Choose well-aged cheeses, such as cheddar and Swiss; skip fresh cheeses, such as cottage cheese and Mexican queso fresco. Aged cheese does well without chilling for an hour or two; for longer times, carry it on ice in a cooler or thermal lunch bag.

A handy tip: freeze beverages, such as juice boxes or pouches, to replace ice packs in a thermal bag. As the beverages thaw in the hours before lunch, they keep the foods cold.

Note that individual servings of cheese or cold cuts prepackaged with crackers and promoted as lunch foods keep well, but they are high in saturated fat and sodium, and they cost triple the price of the foods purchased separately. Additionally, their excessive packaging adds to the nation's waste disposal burden.

Mayonnaise, despite its reputation for easy spoilage, is itself somewhat spoilage-resistant because of its acidity. Mayonnaise mixed with chopped ingredients in pasta, meat, or vegetable salads, however, spoils readily. The chopped ingredients have extensive surface areas for bacteria to invade, and cutting boards, hands, and kitchen utensils used in preparation often harbor bacteria. For safe chopped raw foods, start with clean chilled ingredients, and then chill the finished product in shallow containers; keep it chilled before and during serving; and promptly refrigerate any remainder.

Take-Out Foods and Leftovers Many people rely on take-out foods—rotisserie chicken, pizza, Chinese dishes, and the like—for parties, picnics, or weeknight suppers. When buying these foods, food-safety rules apply: hot foods should be steaming hot, and cold foods should be thoroughly chilled.

Leftovers of all kinds make a convenient later lunch or dinner. However, microbes on serving utensils and in the air can quickly contaminate freshly cooked foods; for safety, refrigerate them promptly and reheat them to steaming hot (165°F) before eating. Discard any portion held at room temperature for longer than 2 hours from the time it was served at the table until you place it in your refrigerator. Follow the 2, 2, and 4 rules of leftover safety: within 2 hours of cooking, refrigerate the food in clean, shallow containers about 2 inches deep, and use it up within 4 days or toss it out. Exceptions: stuffing and gravy must be used within 2 days, and if room temperature reaches 90°F, all cooked foods must be chilled after 1 hour of exposure. Remember to use shallow containers, not deep ones, for quick chilling.

Consumers bear a responsibility for food safety, and an essential step is to cultivate awareness that foodborne illness is likely. They must discard old misconceptions that put them at risk and adopt an attitude of self-defense to prevent illness. The Food Feature, later, describes how.

Key Points

- Many foods are imported, and the FDA is working to improve their safety.
- Honey should never be fed to infants.
- Lunch bags, picnics, and leftovers require safe handling.

country of origin label (COOL) the required label stating the country of origination of certain imported fish and shellfish, certain other perishable foods, certain nuts, peanuts, and ginseng. Meats and poultry are no longer subject to COOL labeling.

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Advances in Microbial Food Safety

LO 12.3 Outline technological advances aimed at reducing microbial food contamination.

Advances in technology, such as pasteurization, have dramatically improved the quality and safety of foods over the past century. Today, other technologies promise similar benefits, but some raise concerns among consumers.

Is Irradiation Safe?

Food **irradiation** has been extensively evaluated over the past 50 years. Approved in more than 40 countries, its use is endorsed by numerous health agencies, including the **World Health Organization (WHO)** and the American Medical Association. Food irradiation protects consumers and offers other benefits:

- *Control of foodborne illnesses*. Irradiation effectively eliminates many organisms that cause foodborne illnesses, such as *Salmonella, E. coli*, and parasites.
- *Preservation*. Irradiation curbs spoilage and extends the shelf life of foods by destroying or inactivating organisms; it can also destroy the mold that produces the cancer-causing toxin **aflatoxin**.
- *Control of insects*. Irradiation penetrates tough exoskeletons to destroy insects on imported fruit. Irradiation also reduces the need for other pest-control practices that may harm the fruit.
- *Delay of sprouting and ripening*. Irradiation inhibits the sprouting of onions and potatoes and delays the ripening of many kinds of fruit to increase shelf life.
- *Sterilization*. Irradiation can be used to sterilize some products, such as dried herbs, spices, and teas. In hospitals, sterilized foods are useful for patients with severely impaired immunity.

All irradiated foods except spices must be identified as such on their labels (see Figure 12–11).

Figure 12–11
Radura Symbol

This "radura" logo is the international symbol for foods treated with irradiation.

How Irradiation Works Irradiation exposes foods to controlled doses of gamma rays from the radioactive element cobalt 60. As the rays pass through living cells, they disrupt DNA, proteins, and other internal structures, killing or deactivating the cells. For example, low radiation doses can kill the growing cells in the "eyes" of potatoes, preventing them from sprouting. Low doses also delay the ripening of bananas, avocados, and other fruits. Higher doses easily penetrate tough insect exoskeletons and mold and bacterial cell walls to destroy them. Irradiation works even on frozen food, making it uniquely useful in protecting foods such as whole frozen turkeys.

Irradiation does not sterilize most foods because doses high enough to kill all microorganisms would also destroy the food. This raises an important point: irradiation is intended to complement, not replace, other traditional food-safety methods. Irradiation cannot entirely protect people from poor sanitation on the farm, in industry, or at home. In approved doses, irradiation does not noticeably change the taste, texture, or appearance of most foods, and it does not make foods radioactive.

Consumer Concerns about Irradiation Many consumers associate radiation with cancer, birth defects, and mutations, so they respond negatively to the idea of irradiating foods.[12] Some erroneously fear that food will become contaminated with radioactive particles. More realistic fears concern transporting radioactive materials, training workers to handle them safely, and safely disposing of spent wastes, which remain radioactive for many years. The food industry must comply with strict operating standards and regulations.

Finally, some worry that irradiated foods are no longer nutritious. Small amounts of certain vitamins are destroyed by irradiation, but the losses are no greater than losses incurred during canning or drying.

irradiation the application of ionizing radiation to foods to reduce insect infestation or microbial contamination or to slow the ripening or sprouting process. Also called *cold pasteurization*.

World Health Organization (WHO) an agency of the United Nations charged with improving human health and preventing or controlling diseases in the world's people.

aflatoxin (af-lah-TOX-in) a toxin from a mold that grows on corn, grains, peanuts, and tree nuts stored in warm, humid conditions; a cause of liver cancer prevalent in tropical developing nations. (To prevent it, discard shriveled, discolored, or moldy foods.)

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Key Points

- Irradiation controls mold and insects, sterilizes spices and teas, delays ripening and sprouting, and destroys pathogenic bacteria.
- Consumers have concerns about the effects of irradiation on foods, workers, and the environment.

Modified Atmosphere Packaging

Common packaging methods improve the safety and shelf life of many fresh and prepared foods. Vacuum packaging or **modified atmosphere packaging (MAP)** reduces the oxygen inside a package. This makes it possible for unopened packages of soft pasta noodles, baked goods, prepared foods, fresh and cured meats, seafood, dry beans and other dry products, and ground and whole-bean coffee to stay fresh and safe much longer than they would in conventional packaging. Reducing oxygen:

- Inhibits growth of oxygen-dependent microbes.
- Prevents discoloration of cut vegetables and fruit.
- Prevents spoilage of fats by rancidity and development of "off" flavors.
- Slows ripening of fruit and vegetables and enzyme-induced breakdown of vitamins.

Perishable foods packaged with MAP must still be chilled properly to keep them safe from microbes that flourish in anaerobic environments, such as the *Clostridium botulinum* bacterium. Chilling precut salad greens is also a must: temperatures above 50°F cause a dangerous change in *E. coli* bacteria strains present in MAP-bagged lettuces that helps them to survive the eater's stomach acid, increasing their ability to cause infection.

Other innovations may prove useful for controlling microbial growth in foods and extending their shelf life. Packaging food in an edible antimicrobial film both preserves the food and cuts down on plastic wrap waste. High-powered ultrasound can **sanitize** produce by dislodging or destroying microbes in hard to reach crevices, such as those of leafy greens.[13] Advances in technology continue to make the food supply safer.

Microbial foodborne illnesses undoubtedly pose the most immediate threat to consumers, but other factors also affect food safety. The next sections address some of these concerns.

Boonchuay1970/Shutterstock.com

Key Points

- Modified atmospheric packaging inhibits growth of oxygen-dependent microbes and slows rancidity and ripening.
- Scientific advances continuously improve food safety.

Toxins, Residues, and Contaminants in Foods

LO 12.4 Describe natural toxins, pesticide residues, and contaminants in food.

Nutrition-conscious consumers often wonder if our nation's foods are made unsafe by chemical contamination. The FDA, along with the Environmental Protection Agency (EPA), regulates many chemicals in foods that occur as a result of human activities. A later section describes these substances. First, some toxins produced naturally by the foods themselves are worthy of attention.

Natural Toxins in Foods

Some people think they can eliminate all poisons from their diets by eating only "natural" foods. On the contrary, nature has provided many plants with natural poisons to fend off diseases, insects, and other predators. Humans rarely suffer harm from such poisons, but the potential for harm does exist.

modified atmosphere packaging (MAP) a technique used to extend the shelf life of perishable foods; the food is packaged in a gas-impermeable container from which air is removed or to which an oxygen-free gas mixture, such as carbon dioxide and nitrogen, is added to deprive microbes of oxygen.

sanitize to reduce microorganisms on surfaces. For foods, sanitizing makes them safer to consume. Sterilizing destroys all microorganisms present.

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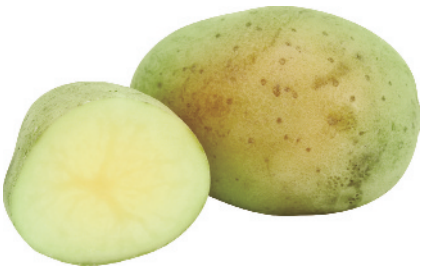
Figure 12–12

Solanine: A Natural Toxin

Exposure to light causes the bitter toxin solanine to form under the skin of potatoes. The green color that signals its presence is from chlorophyll that forms under the same conditions.



iStock.com/Human

Potatoes provide a common example. They contain many natural poisons, including solanine, a powerful, bitter, neurotoxin. Solanine isn't itself green in color, but it forms alongside harmless green chlorophyll when sufficient light rays strike the potato, shown in Figure 12–12. The small amounts of solanine normally found in potatoes are harmless, but solanine can build up to toxic levels when potatoes are exposed to light during storage. Cooking does not destroy solanine, but much of a potato's solanine develops in a thin layer just beneath the skin so it can often be peeled off, making the potato safe to eat. If a potato's flesh tastes bitter, however, throw it out.

Solanine, along with other naturally occurring toxins (Table 12–9), serves as a reminder of three principles. First, poisons are poisons, whether made by people or by nature. It's not the source of a compound that makes it hazardous but its chemical structure. Second, any substance—even pure water—can be toxic when consumed in excess. Third, by choosing a variety of foods, the eater can dilute the toxins found in any one food by the volume of all the other foods in the diet.

Key Points

- Natural foods contain natural toxins that can be hazardous under some conditions.
- To avoid harm from toxins, choose a variety of foods and eat them in moderation.

Pesticides

The use of **pesticides** helps ensure the survival of food crops, but the damage pesticides do to the environment is considerable and increasing. Moreover, there is some question about whether the widespread use of pesticides has truly increased overall yields of food. Even with extensive pesticide use, the world's farmers lose large quantities of their crops to pests every year.

The use of pesticides on food crops demonstrates a principle inherent to nutrition decision making: the expected benefits of an action or inaction must be weighed against its risks. In general, agricultural pesticides:

- Protect crops from insect damage.
- Increase potential yield per acre.

Table 12–9

A Sampling of Natural Toxins

Herbs	Belladonna and hemlock are infamous poisonous herbs, but sassafras is also toxic; it contains the carcinogen and liver toxin safrole, which is so potent that it is banned from use in foods and beverages.
Cabbage family	Raw cabbage, turnips, mustard greens, and radishes all contain small quantities of harmful goitrogens, compounds that can interfere with thyroid hormone production and when eaten in excess, enlarge the thyroid gland.
Foods with cyanogens	Cyanogens, precursors to the deadly poison cyanide, are found in bitter varieties of cassava, a root vegetable staple for many people. Most cassava is low in cyanogens. Apricot and cherry pits present the cyanogen amygdalin, a fake cancer cure often passed off as a vitamin.[a] This poison kills cancer cells but only at doses that can kill the person, too. Other fruit pits contain lower concentrations.
Seafood red tide toxin	Seafood may occasionally become contaminated with the so-called *red tide* toxin from algae blooms. Eating the contaminated seafood can cause paralysis.

[a]*Also called laetrile and, erroneously, vitamin* B_{17}.

pesticides chemicals used to control insects, diseases, weeds, fungi, and other pests on crops and around animals. Used broadly, the term includes *herbicides* (to kill weeds), *insecticides* (to kill insects), and *fungicides* (to kill fungi).

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But they also:

- Accumulate in the food chain.
- Kill valuable pollinators, such as bees.
- Kill pests' natural predators, including birds and insects.
- Pollute the water, soil, and air.

Scientists, farmers, and consumers must weigh the risks and benefits to determine their best course of action.

Do Pesticides on Foods Pose a Hazard to Consumers? Many pesticides are broad-spectrum poisons that damage all living cells, not just those of pests. Their use can harm the plants and animals in natural systems, and they also present risks to people who produce, transport, and apply them. High doses of pesticides in laboratory animals cause birth defects, sterility, tumors, organ damage, and central nervous system impairment. Such high doses are extremely unlikely to occur in human beings, however, except through accidental spills. Minute quantities of pesticide **residues** on agricultural products can survive processing, and traces are often present in foods served to people, but these amounts pose negligible risks to most people (see the Consumer's Guide section).

Lew Robertson/Corbis/Getty Images

Wash fresh fruit and vegetables to remove pesticide residues.

Especially Vulnerable: Infants and Children Infants and children are more susceptible than adults to the ill effects of pesticides for four reasons. First, the immature human detoxifying system cannot effectively cope with poisons, so they tend to stay longer in the body. Second, a child's developing brain cannot yet fully exclude pesticides, many of which kill insects by interfering with normal nerve and brain chemistry.

Third, children's bodies are small in size, yet their pesticide exposure is often greater than that of adults. Children pick up pesticides through normal child behaviors, such as playing outdoors on treated soil or lawns; handling sticks, rocks, and other contaminated objects; crawling on treated carpets, furniture, and floors; placing fingers and toys in their mouths; seldom washing their hands; and using fingers instead of utensils to grasp foods.

Fourth, children eat proportionally more food per pound of body weight than do adults, and even the trace amounts of pesticides on foods can contribute to total exposure. Fortunately, these traces rarely exceed allowable limits, and most can be further reduced by washing produce thoroughly and following the other guidelines in Table 12–10 (p. 452).* Another possibility for reducing pesticide exposure is to choose **organic foods**—read the Consumer's Guide for perspective.

Regulation of Pesticides The EPA sets a **reference dose** for the maximum residue of an approved pesticide allowable in foods. Over 10,000 regulations set reference doses for hundreds of pesticide chemicals approved for use on U.S. crops. These limits generally represent between 1/100th and 1/1,000th of the highest dose that still causes *no adverse health effects* in laboratory animals. If a pesticide is misused, growers risk fines, lawsuits, and destruction of their crops.

Although the EPA sets limits, both the USDA and the FDA regularly test crop and food product samples for compliance. Over decades of testing, rarely have these agencies found residues above approved limits.[14] This makes sense because growers are not eager to waste capital by overusing costly chemicals.

Pesticide-Resistant Insects Ironically, some pesticides also promote the survival of the very pests they are intended to wipe out. A pesticide aimed at certain insects may kill almost 100 percent of them, but because of the genetic variability of large populations, a few hardy individuals survive exposure. These resistant insects then multiply free of competition and soon produce offspring with inherited pesticide resistance that attack the crop with enhanced vigor. Controlling resistant insects requires application of different pesticides, which leads to the emergence of a population of insects that survive multiple pesticides. The same biological sequences

residues whatever remains; in the case of pesticides, those amounts that remain on or in foods when people buy and use them.

organic foods to be labeled *organic*, foods must meet strict USDA production regulations—that is, they must be produced without synthetic pesticides, herbicides, fertilizers, drugs, and preservatives and without bioengineering or irradiation.

reference dose an estimate of the intake of a substance over a lifetime that is considered to be without appreciable health risk; for pesticides, the maximum amount of a residue permitted in a food. Formerly called *tolerance limit*.

*For answers to questions about pesticides, call the 24-hour National Pesticide Information Center: 1–800–858-PEST.

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Table 12–10

Ways to Reduce Pesticide Residue exposure

In addition to these steps, remember to eat a variety of foods to minimize exposure to any one pesticide.

- Trim the fat from meat, and remove the skin from poultry and fish; discard fats and oils in broths and pan drippings. (Pesticide residues concentrate in the animal's fat.)
- Select fruit and vegetables with intact skins.
- Wash fresh produce in running water.[a] Use a soft brush, and rinse thoroughly.
- Use a knife to peel an orange or grapefruit; do not bite into the peel.
- Discard the outer leaves of leafy vegetables such as cabbage and lettuce.
- Peel waxed fruit and vegetables; waxes don't wash off and can seal in pesticide residues.
- Peel vegetables such as carrots and fruit such as apples when it seems necessary. (Peeling removes not only pesticides that remain in or on the peel but also fibers, vitamins, and minerals.)
- Choose organically grown foods, which generally contain fewer pesticides.

[a]*Soaking produce for 10 minutes in a mild baking soda solution may also help to remove pesticides.*

occur when herbicides and fungicides are repeatedly applied to weeds and fungal pests. One alternative to this destructive series of events is to manage pests using a combination of improved farming techniques and biological controls, as organic farmers do.

Natural Pesticides Pesticides are not produced only in laboratories; they also occur in nature. The nicotine in tobacco and phytochemicals of celery are examples.* Another, Bt pesticide, an insecticidal peptide made by a common soil bacterium. (Reminder: *Peptide* refers to bonds that link amino acids.) This pesticide is extracted and sprayed on organic farm crops and **organic gardens**; it is also produced in the tissues of genetically engineered crops (see the Controversy section).

If farmers could create an ideal pesticide, it would destroy pests in the field and then disappear, leaving no trace of toxic residue either on the food or in the soil. Unfortunately, though, many pesticides are **persistent**: they remain on food and in the environment after their work is done. Peptide pesticides, having shorter lifetimes, make a better choice than most other pesticides.

Key Points

- Pesticides can be part of safe food production but can also be hazardous if mishandled.
- Insects may adapt to pesticides and become resistant to them when they are used repeatedly.
- Many pesticides linger in the environment and harm wildlife.

Animal Drugs—What Are the Risks?

Consumers often express concern that the meats and animal products they eat may be contaminated with chemical treatments and drugs used on farm animals. These may be valid concerns, but the world's scientists are far more alarmed by a serious related threat: the emergence and rapid spread of diseases caused by drug-resistant bacterial pathogens that have ceased to respond to any antibiotic therapy. Nearly 3 million people in the United States suffer such infections each year, and over 35,000 do not survive them.[15]

organic gardens gardens grown with techniques of *sustainable agriculture*, such as using fertilizers made from composts (decayed organic materials) and introducing predatory insects to control pests, in ways that have minimal impact on soil, water, and air quality.

persistent of a stubborn or enduring nature; with respect to food contaminants, the quality of remaining unaltered and unexcreted in plant foods or in the bodies of animals and human beings.

*The celery plant produces psoralens that repel insects.

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A Consumer's Guide to . . . Understanding Organic Foods

LO 12.5 Compare potential advantages and drawbacks of organic and conventional foods.

Sales of certified organic foods have skyrocketed from under $4 billion in 1997 to $50 billion in 2020.[1]* Even at a 10 to 40 percent higher price, organic foods appeal to consumers who believe that they are buying the freshest, best-tasting, most nutrient-packed, chemical-free, non-genetically modified foods available. Just the word *organic* conjures up positive feelings in some consumers, an effect aptly named "the halo effect." When people were asked to judge two *identical* yogurts, they rated the yogurt bearing an "organic" label as more nutritious, lower in fat, more flavorful, and worth more money than a yogurt labeled "regular"—but in fact only the labels differed. The halo effect held true for identical cookies and potato chips, too—people thought those labeled "organic" tasted better.

Besides wanting pure foods, many people are also willing to pay extra for foods produced with little impact on the Earth and with respect for animals. Are they getting what they are paying for?

**Reference notes are in Appendix F.*

Organic Rules

A U.S. farmer or manufacturer selling *certified organic* food must pass USDA inspections at every step of production, from the seed sown in the ground, through the making of compost for fertilizer, to the manufacturing and labeling of the final product. Figure 12–13 describes the meanings of organic food labels. In contrast, foods labeled "natural," "free-range," "locally grown," or with other wholesome-*sounding* words are not held to any standards to bear out such claims.

The National Organic Program develops, implements, and administers production, handling, and labeling standards for organic agricultural products. Enforcement has proved difficult, however, and compliance problems are common. Program officials are working to solve these problems and close open loopholes.

Pesticide Residues and Health

When tested, organic foods generally contain no pesticides, or at least lower concentrations than similar, conventionally grown products. Also, it is clear that eating a diet of organic foods measurably reduces pesticide exposure. When scientists measured a marker for pesticide exposure in urine samples from thousands of people across the United States, they found that people who reported eating organic foods had the lowest concentrations of the marker—an indication that they had been exposed to less pesticide.

Does this mean that eating a diet of organic foods is better for health than eating a conventional diet? Evidence is suggestive but inconclusive.[2] For example, researchers of one study reported lower cancer risks with more frequent organic food consumption.[3] These authors accounted for such factors as diet quality, exercise, and smoking but could not entirely rule out effects from other factors.

The typical pesticide exposure in the United States represents an amount 10,000 times below the level at which known risks begin to rise. Children are more sensitive than adults to pesticides, and their risks are less well defined, so

Figure 12–13

Labels on Organic Food Products

Organic foods that have met USDA standards may use this seal on their labels.

Foods made with 100 percent organic ingredients may claim "100% organic" and use the seal.

Foods made with at least 95 percent organic ingredients may claim "organic" and use the seal.

Foods made with at least 70 percent organic ingredients may list up to three of those ingredients on the front panel.

Foods made with less than 70 percent organic ingredients may list them on the side panel, but cannot make any claims on the front.

U.S. Department of Agriculture (USDA)

(continued)

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parents may wish to reduce their children's exposure from all sources, including foods. The extra cost of organic food may buy nothing more than peace of mind for parents, however.

To Bean or Not To Bean

A popular consumer group advocates choosing organically grown varieties of certain fruits and vegetables. Their list correctly reflects the results of federal tests for pesticide residues on produce—the foods they name the "dirty dozen" test highest for one or more pesticide residues.[4] So far, so good. However, the group then goes on to urge consumers to choose organic varieties of these foods, implying that they can reduce their health risks by doing so. But this doesn't tell the whole story—the health risks from eating properly washed conventional varieties of those foods are infinitesimally small.

Still, the risk from pesticide residues is not zero, and many people fear harm from unfamiliar chemicals applied to food in any amount. Such worries are emotional, not scientific, and they can needlessly put consumers in a bind. If people cannot afford organic foods but fear that conventional foods may harm them, they may limit the amount or variety of fruits and vegetables they take in. This unwise choice greatly increases health risks.[5]

Nutrient Composition

Few nutrient differences exist between conventional and organic plant-based foods, and these generally fall within expected variations among food crops. Small nutrient differences occur with varying soil types, soil nutrients, seasonal rainfall, or other factors. However, organic foods may be higher in certain phytochemicals. This makes sense because plants, unassisted by pesticides, muster their own phytochemical defenses to ward off insects and other dangers.

Some organic meat and milk may provide a little more omega-3 fatty acids than conventional products, but only if the animals foraged in pastures where wild plants grew.[6] Animals raised on fields of planted grass develop less omega-3 fatty acids.

The most meaningful nutrient comparisons are not between organic and conventional foods but between whole foods and heavily processed ones, a comparison made clear in the Consumer's Guide of Chapter 7, p. 240. Organic candy bars, soy desserts, and fried vegetable snack chips are no more nutritious (or less fattening) than ordinary treats, and they can throw health-seeking consumers off course.

Environmental Benefits

Ideally, growers of organic foods use *sustainable* agricultural techniques (see Chapter 15 and Controversy 15) that minimize harm to the environment. They add composted animal manure or vegetable matter instead of the synthetic, petroleum-based fertilizers that run off into waterways and pollute them. They battle pests and diseases by using a pesticide derived from a bacterial toxin, by rotating crops each season, by introducing predatory insects to kill off pests, or by picking off large insects or diseased plant parts by hand.

Farmers and ranchers who sell organic eggs, dairy products, and meats must provide their animals with at least some access to outdoor environments. Such animals do not receive growth hormones, daily antibiotics, and the other drugs that become necessary when conventionally raised animals are stressed in overcrowded pens. Without overcrowding, runoff of animal waste, a threat to the nation's waterways, is reduced, too.

Organics' Potential Pitfalls

Foods contaminated with untreated manure or feces from fertilizer, runoff, or wild animals can harbor dangerous bacteria, but such contamination is equally likely to occur in organic foods and conventional foods. Proper composting (decaying) of manure-based fertilizers eliminates pathogens.

Organic ingredients imported from other countries often cost less than domestic ingredients and so make attractive alternatives to dollar-conscious organic food manufacturers. These options are becoming more reliable as FSMA regulations improve food safety procedures and close gaps in oversight for overseas producers. Still, shipping organic ingredients over long distances violates principles of sustainability.

Moving Ahead

The practical marketplace advice, based on science, is this: buy safe, affordable conventionally grown fruit and vegetables, wash them well, and consume them with confidence. If you prefer the taste of organic fruits and vegetables, if you appreciate extra care of animals and the environment, and if you can afford them, you can choose organics with equal confidence.

If you want organic foods at bargain prices, you might ask for oddly shaped, or overripe or underripe produce at farmer's markets. Alternatively, try growing some leafy greens, herbs, and tomatoes in pots on a sunny deck—a surprisingly simple and rewarding endeavor. Whatever your choice, choose nutritious fruit and vegetables in abundance.

Review Questions*

1. To be labeled *100% organic*, a food must
   a. be inspected before it is sold.
   b. contain at least 95% organic ingredients.
   c. be labeled "natural" or "free range."
   d. contain only 100% organic ingredients.
2. The risk to health from pesticides in foods is exceedingly small. T F
3. Organic candy bars, soy desserts, and fried vegetable snack chips
   a. are not more nutritious than ordinary treats.
   b. are superior sources of nutrients for children.
   c. are a less-fattening alternative to nonorganic snack foods.
   d. can provide adequate daily intakes of important organic minerals.

**Answers to Consumer's Guide review questions are found in Appendix G.*

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Livestock and Antibiotic-Resistant Microbes For a half-century, ranchers and farmers have dosed livestock with antibiotic drugs as part of a daily feeding regimen to ward off infections common in animals living in crowded conditions.[16] These drugs also speed up animal growth and increase feed efficiency, but their use solely for these purposes is prohibited.

When bacteria too frequently encounter antibiotics, they adapt, losing their sensitivity to the drugs over time. The resulting **antibiotic-resistant bacteria** cause severe infections in people—infections that do not yield to standard antibiotic therapy, and often end in fatality.

So long as antibiotics are overused, new resistant pathogens will emerge, and once here, they pose a grave threat to all people. Particularly vulnerable are people with compromised immunity and those in hospitals undergoing surgeries. Almost three million U.S. citizens suffer antibiotic-resistant infections annually, and well over 35,000 of them die.[17]

Federal voluntary guidelines urge farmers to use antibiotics only under veterinary care and only to prevent, control, or treat diseases, but these suggestions have not curbed antibiotic overuse. Instead, increasing global use of antibiotics in livestock threatens to squander a true medical miracle and render it powerless.

PeopleImages/Getty Images

Overcrowding of farm animals makes infections likely to occur.

Growth Hormone in Meat and Milk Cattle producers in the United States commonly inject their herds with **bovine growth hormone (BGH)**, to spur lean tissue growth, augment milk production, and reduce feed requirements. The FDA and WHO deem the use of BGH to be safe, and the FDA does not require testing of food products for traces of it.

Ranchers use BGH because more meat and milk on less feed yields higher profits. The environment may profit as well. Smaller herds that eat sparingly require less cleared land and fewer resources are necessary to produce and transport their feed. Tests of conventional milk, produced without applications of BHG, and organic milk reveal no differences in terms of antibiotic, bacteria, hormone, or nutrient contents.

Arsenic in Foods **Arsenic**, a naturally occurring element from the Earth's crust and an infamous poison, is administered in tiny amounts to poultry flocks to kill parasites that would otherwise stall their growth. Arsenic thus builds up in poultry meat, wastes, and feathers. This adds to the natural arsenic content of water and soil, and ultimately increases the arsenic in the food supply, including baby foods.[18] The FDA has asked manufacturers of baby foods to test and limit arsenic in their products. Some baby food manufacturers have discontinued products that are most likely to contain arsenic.

Foods such as rice and apple juice—even organic apple juice—contain small amounts of arsenic. For apple juice, the FDA is confident in its safety for people who consume normal amounts and vary their choices. People with gluten sensitivities, especially children, often have unusually high intakes of rice, one of the few gluten-free grains, increasing their exposure to arsenic. Other sources of arsenic include fish and shellfish, eggs, milk products, and drinking water.

Key Points

- FDA-approved hormones, antibiotics, and other drugs are used to promote growth or increase milk production in conventionally grown animals.
- Antibiotic-resistant bacteria pose a serious and growing threat.

Environmental Contaminants

As world populations increase and become more industrialized, concerns grow about contamination of foods. A **food contaminant** is anything in food that does not belong there.

Harmfulness of Contaminants The potential for harm from a contaminant depends partly on how long it lingers in the environment or in the human body—that

antibiotic-resistant bacteria bacterial strains that cause increasingly common and potentially fatal infectious diseases that do not respond to standard antibiotic therapy. An example is MRSA (pronounced MER-suh), a multidrug-resistant *Staphyloccocus aureus* bacterial strain.

bovine growth hormone (BGH) a hormone (somatotropin) produced naturally in the pituitary gland of the brain in cattle that promotes growth and milk production; the drug form is produced industrially by genetically engineered bacteria.

arsenic a poisonous metallic element. In trace amounts, arsenic is believed to be an essential nutrient in some animal species. Arsenic is often added to insecticides and weed killers and, in tiny amounts, to certain animal drugs.

food contaminant any substance occurring in food by accident; any food constituent that is not normally present.

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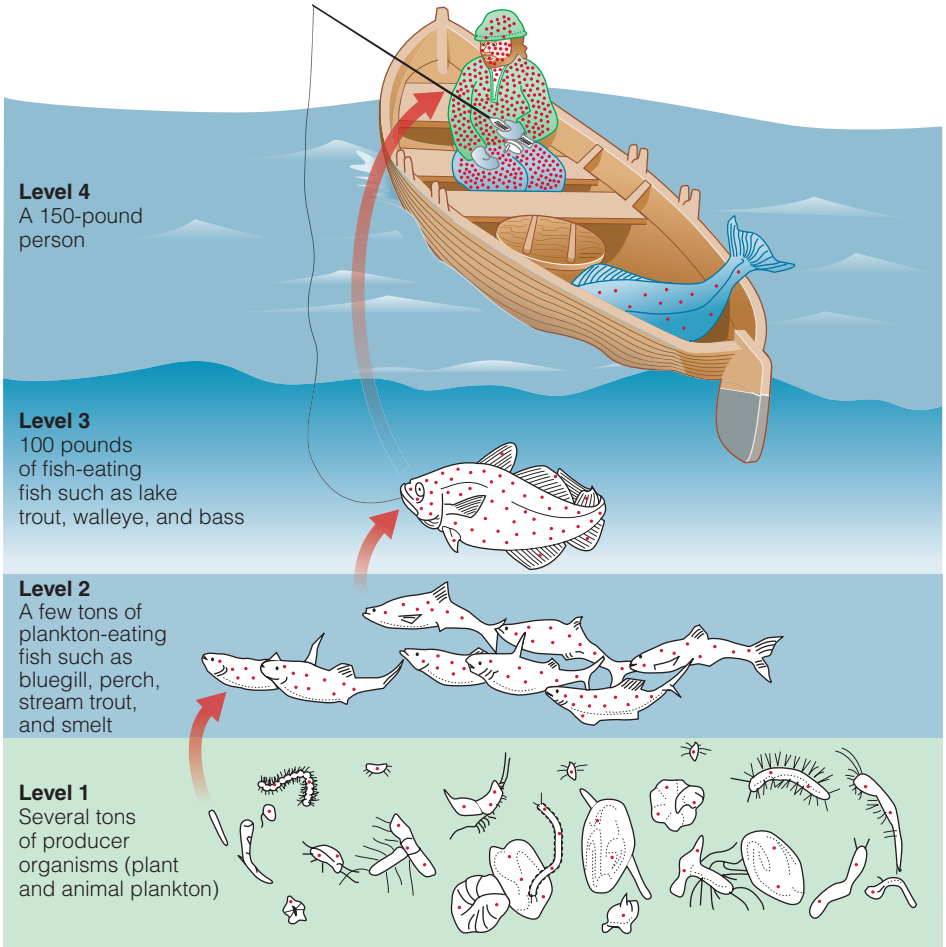
Figure 12–14

Bioaccumulation of Toxins in the Food Chain



Key:
Toxic chemicals

4 If none of the chemicals are lost along the way, people ultimately receive all of the toxic chemicals that were present in the original plants and plankton.

3 Contaminants become further concentrated in larger fish that eat the small fish from the lower part of the food chain.

2 Contaminants become more concentrated in small fish that eat the plants and plankton.

1 Plants and plankton at the bottom of the food chain become contaminated with toxic chemicals, such as methylmercury (shown as red dots).

is, on how *persistent* it is. Some contaminants are short-lived because microorganisms, sunlight, or oxygen breaks them down. Some contaminants stay in the body for only a short time because the body rapidly excretes or destroys them. Such contaminants present little cause for concern.

Other contaminants linger and resist environmental breakdown, and they interact with the body's systems without being metabolized or excreted.[19] These contaminants can accumulate at higher concentrations in each level of the food chain, a process called **bioaccumulation**—see Figure 12–14. Many species consumed by people come from the middle of the food chain.

The toxic effect of a chemical depends largely on two factors: the degree of the chemical's **toxicity** and the degree of human exposure. In small enough amounts, even poisonous substances may be tolerable and of no consequence to health; in larger amounts, even innocuous substances may be dangerous. The old saying, "The dose makes the poison," means that with a large enough dose, normally benign substances, even sand, can kill a person. It is equally true that even poisons can be benign in miniscule doses.

How much of a threat do environmental contaminants pose to the food supply? It depends on the contaminant. In general, the threat remains small because the FDA monitors contaminants in foods and issues warnings when food contamination is detected. Mercury, described next, is just one of many potentially toxic contaminants in the food supply.

bioaccumulation the accumulation of a contaminant in the tissues of living things at higher and higher concentrations along the food chain.

toxicity the ability of a substance to harm living organisms. All substances, even pure water or oxygen, can be toxic in high enough doses.

PCBs (polychlorinated biphenyls) stable, oily synthetic chemicals, once used in hundreds of U.S. industrial operations, that persist today in underwater sediments and contaminate fish and shellfish. Now banned from use in the United States, PCBs circulate globally from areas where they are still in use. PCBs cause cancer, nervous system damage, immune dysfunction, and a number of other serious health effects.

heavy metal any of a number of mineral ions such as mercury and lead, so called because they are of relatively high atomic weight; many heavy metals are poisonous.

Mercury in Seafood Mercury, **PCBs**, and other hazardous substances are often detected in food fish species worldwide, but the **heavy metal** mercury is of special concern.

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Scientists learned of mercury's potential for harm through tragedy. In the mid-20th century, more than 120 people, including 23 infants, in Minamata, Japan, became ill with a strange disease, as depicted in Figure 12–15. Mortality was high, and the survivors suffered progressive, irreversible blindness, deafness, loss of coordination, and severe mental and physical retardation.*

Finally, the cause of this misery was discovered: manufacturing plants in the region were discharging mercury into the waters of the bay, where aquatic bacteria metabolized it into the nerve poison methylmercury. The fish in the bay were accumulating the poison in their bodies, and townspeople who regularly ate fish from the bay fell ill. The infants' mothers had eaten fish during their pregnancies, but were spared because the poison concentrates in fetal tissues.

Today, in the United States, scientists warn that methylmercury concentrations in our nation's ocean and freshwater fisheries, and also in some popular food fish species, are unacceptably high and growing higher by the year. The FDA advises all pregnant women, women who may become pregnant, nursing mothers, and young children to avoid certain marine fish species known to be high in methylmercury (Chapter 5 weighs the benefits of eating seafood against the risks).[20]

No one expects the tragic results of the 1950s to occur again, but efforts to reduce methylmercury concentrations in global fisheries are needed to help protect these valuable and imperiled resources. Methylmercury is persistent in the environment, so today's efforts to reduce pollution of ocean, lake, and river waters will take years to be effective.

Figure 12–15

Mercury Toxicity Disease

When mercury poisons a developing fetus, the result is severe. This person has Minamata disease, the lifelong crippling of body and mind from mercury poisoning before birth.

Reuters/Alamy Stock Photo

Key Points

- Persistent environmental contaminants present in food pose a small but significant risk to U.S. consumers.
- Mercury and other contaminants pose the greatest threats during pregnancy, lactation, and childhood.

Water Safety and Sources

LO 12.6 Compare the safety of drinking water from different sources.

Drinking water supplies in the United States are among the safest in the world. Yet, over 7 million Americans get sick every year from diseases spread through water used for drinking, swimming, and other purposes.[21] Water can transmit microbes, toxins, and pollutants that cause illness.

Drinking Water

Only 1 percent of all the earth's water is **potable**, and all of the usable fresh water comes from two sources—**surface water** and **groundwater**. Surface water occurs in lakes, streams, and rivers, which are directly exposed to contamination from microbes or pesticides that wash in from land. Too often, industry and energy operations dump their wastes, sometimes directly into nearby water bodies, rather than safely dispose of them.

Surface water contamination is often reversible because rainfall and snowmelt constantly refresh and cleanse streams, lakes, and rivers. As moving water tumbles over rocks and riverbeds, aeration and sunlight help cleanse the water, while aquatic plants and microorganisms trap certain contaminants and break down others.

Groundwater presents a different case. Located in underground **aquifers**, groundwater is contaminated more slowly than surface water, but when contaminants above ground seep through the soil to the water lying below, they tend to stay there. Groundwater is especially susceptible to contamination from hazardous waste sites, dumps and landfills, underground tanks of gasoline, and improperly discarded household or industrial chemicals and solvents.

potable (POH-teh-bul) safe and suitable for drinking.

surface water water that comes from lakes, rivers, and reservoirs.

groundwater water that comes from underground aquifers.

aquifer a section of porous rock or sediment that is saturated with groundwater originating from precipitation that seeps through the soil.

*Minamata disease was named for the location of the disaster.

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Pure rivers, lakes, and streams represent irreplaceable water recources.

Is Tap Water Safe to Drink?

Public water systems are both monitored for contaminants and treated to make tap water safe to drink. During treatment, a disinfectant (usually, chlorine) is added to kill bacteria. The addition of chlorine to public water is an important public health measure that all but eliminated such life-threatening waterborne diseases as typhoid fever. On the negative side, chlorination is often associated with increased bladder cancer risk and harms to the environment.[22]

Most tap water is safe to drink because it is tested regularly for toxins and treated to kill disease-causing microbial organisms. The EPA is charged with ensuring the safety of public water systems. However, as municipal water systems age and water demand grows, the risk of contamination increases. An extreme example was a widely reported incident in a Michigan city; government officials there failed to comply with regulations and many people were needlessly exposed to toxic levels of lead and other hazards.

Is Bottled Water Safest?

Consumers who doubt the safety of tap water often pay 250 to 10,000 times more per gallon to buy bottled water instead. The FDA regulates bottled water processing, packaging, and labeling, and sets quality and safety standards similar to those governing public water systems. Bottled water is neither safer nor healthier than properly regulated, tested, and treated tap water. In fact, some bottled waters come from the same municipal sources as tap water. Recalls of bottled waters have occurred because of E. coli contamination. Drinking directly from a bottle contaminates the leftover water with bacteria from the mouth. As a safeguard, the FDA recommends that consumers should refrigerate bottled water after opening.

Disposable plastic water bottles require considerable fossil fuel (and many gallons of water) to produce and transport, and they pose serious disposal problems. Single-serving bottles can be recycled, but 80 percent of the 35 *billion* plastic water bottles purchased in the United States each year end up in landfills, in incinerators, or as litter on land or they degrade to become **microplastics** in rivers, lakes, and oceans. Microplastics harm wildlife and are potentially harmful to people.[23] By using stainless steel or other reusable water bottles, consumers can save money for themselves, protect wildlife, and reduce waste in their communities.

Key Points

- Both surface water and groundwater may contain infectious microorganisms, environmental contaminants, pesticide residues, and additives.
- The EPA monitors public water systems to ensure tap water safety.

Are Food Additives Safe?

LO 12.7 Describe the uses and safety characteristics of some common food additives.

It may be comforting to learn that food **additives** rank low on the FDA's list of food worries. Thousands of food additives are approved for use in the United States, and most are strictly controlled and well studied for safety. Some common classes of additives and their functions in foods are listed in Table 12–11.

microplastics particles of plastic debris of less than 5 mm in size that contaminate water and soil. Microplastics arise from breakdown of disposable consumer plastic products, such as water and soda bottles, and industrial or other plastic waste.

additives substances that are added to foods but are not normally consumed by themselves as foods.

Regulations Governing Additives

Before using a new additive in food products, a manufacturer must test the additive and satisfy the FDA on two counts:

- It is effective (it does what it is supposed to do).
- It can be detected and measured in the final food product.

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Table 12–11

Selected Food Additives and Their Functions

Agent Types	Function in Foods	Examples
Antimicrobial agents (preservatives)	Prevent food spoilage by mold or bacterial growth.	Acetic acid (vinegar), benzoic acid, nitrates and nitrites, propionic acid, salt, sugar, sorbic acid.
Antioxidants (preservatives)	Prevent oxidative changes and delay rancidity of fats; prevent browning of fruit and vegetable products.	BHA, BHT, propyl gallate, sulfites, vitamin C, vitamin E.
Artificial colors	Add color to foods.	Certified food colors such as dyes from vegetables (beet juice or beta-carotene) or synthetic dyes (tartrazine and others).
Artificial flavors, flavor enhancers	Add flavors; boost natural flavors of foods.	Amyl acetate (artificial banana flavor), nonnutritive sweeteners, MSG (monosodium glutamate), salt, spices, sugars.
Bleaching agents	Whiten foods such as flour or cheese.	Peroxides.
Chelating (KEE-late-ing) agents (preservatives)	Prevent discoloration, off flavors, and rancidity.	Citric acid, malic acid, tartaric acid (cream of tartar).
Nutrient additives	Improve nutritional value.	Vitamins and minerals.
Stabilizing and thickening agents	Maintain emulsions, foams, or suspensions or lend the desired thick consistency to foods.	Dextrins (short glucose chains), pectin, starch, or gums such as agar, carrageenan, guar, and locust bean.

Then the manufacturer must provide proof that it is safe (causes no birth defects or other injuries) when fed in large doses to experimental animals. This formal process may take several years. Then manufacturers must comply with a host of other regulations that ensure the proper use and application of the additive as well. For example, additives may *not* be used in any application where they disguise faulty or inferior products, or deceive consumers, or significantly destroy nutrients in foods.

The GRAS List Many additives are exempted from complying with the procedures just described because they have been used for a long time and their use entails no known hazards. More and more additives are being submitted to the FDA for inclusion on the **generally recognized as safe (GRAS) list**.[24] No additives are permanently approved, however; all are periodically reviewed as new facts emerge.

The Margin of Safety An important distinction between toxicity and hazard arises during evaluation of an additive's safety. Toxicity is a general property of all substances; hazard is the capacity of a substance to produce injury *under conditions of its use*.* As mentioned, all substances can be toxic at some level of consumption, but they are called hazardous only if they are toxic in the amounts ordinarily consumed. To determine risk, experimenters feed test animals the substance at different concentrations throughout their lifetimes.

An approved food additive has a wide **margin of safety**. Most additives that involve risk are allowed in foods only at concentrations at least 100 times lower than the highest concentration at which the risk is still zero (1/100). Some *natural* toxins produced in food by plants occur at levels that bring their margins of safety close to 1/10. For some trace elements, it is about 1/5, meaning than five time the amount normally used would be hazardous. People commonly consume table salt in daily amounts only three to five times less than those that cause serious toxicity.

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Salt and sugar: two long-used preservatives.

generally recognized as safe (GRAS) list a list, established by the FDA, of food additives long in use and believed to be safe.

margin of safety in reference to food additives, a zone between the concentration normally used and that at which a hazard exists.

*The Delaney Clause, a legal requirement of zero cancer risk for additives, is no longer universally applied.

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Without additives, bread would quickly mold, and lunchmeat would soon spoil.

Risks and Benefits of Food Additives Most additives used in foods offer benefits that may outweigh their risks or that may make the risks worth taking. In the case of color additives that only enhance the appearance of foods without improving their health value or safety, no amount of risk may be deemed worth taking. In contrast, the FDA finds it worth taking a small, uncertain risk associated with nitrites on processed meats because nitrites are proven to inhibit harmful bacterial growth in these foods.

Key Points

- Food additives must be safe, effective, and measurable in the final product for FDA approval.
- Approved additives have wide margins of safety.

Additives to Improve Safety and Quality

Some additives improve food safety. They restrict bacterial growth or otherwise enhance food quality in ways many people take for granted.

Salt and Sugar Since before the dawn of history, salt has been used to preserve meat and fish; sugar, a relative newcomer to the food supply, serves the same purpose in jams, jellies, and canned and frozen fruit. Both salt and sugar work by withdrawing water from the food; microbes cannot grow without sufficient moisture. Safety questions surrounding these two preservatives center on their overuse as flavoring agents—salt and sugar make foods taste delicious and are often added with a liberal hand. Chapters 4 and 8 provided detailed discussions of these issues.

Nitrites The *nitrites* added to meats and meat products help preserve their color (especially the pink color of hot dogs and other cured meats) and to inhibit rancidity and thwart bacterial growth. In particular, nitrites prevent growth of the deadly *Clostridium botulinum* bacterium. Even though nitrites are useful, they raise safety issues. Once in the stomach, nitrites can be converted to nitrosamines, chemicals linked with colon cancer in animals. Other nitrite sources, such as tobacco and beer, may be more significant than foods as sources of nitrosamine-related compounds. Still, processed meats are associated with an elevated risk of colon cancer and death, so cautious consumers limit intakes of these foods.

Sulfites Sulfites prevent oxidation in many processed foods, in alcoholic beverages (especially wine), and in drugs. Some people experience dangerous allergic reactions to the sulfites, so their use is strictly controlled. The FDA prohibits sulfite use on food meant to be eaten raw (fresh grapes are an exception), and it requires foods and drugs to list on their labels any sulfites that are present. For most people, sulfites do not pose a hazard in the amounts used in products, but they have one other drawback. Because sulfites can destroy significant amounts of thiamin in foods, you can't count on a food that contains sulfites to contribute to your daily thiamin intake.

Key Points

- Sugar and salt have the longest history of use as additives to prevent food spoilage.
- Nitrites and sulfites have advantages and drawbacks.

Flavoring Agents

Many additives add desirable flavors to foods. One group, the **nonnutritive sweeteners** and low-calorie sweeteners , may be added by manufacturers or by consumers at home.

Nonnutritive Sweeteners Nonnutritive sweeteners make foods taste sweet without promoting dental decay, raising blood glucose concentrations, or providing the empty calories of sugar.[25] The human taste buds perceive many of them as supersweet, so just tiny amounts are added to foods and beverages to achieve the desired sweet taste. The FDA endorses the use of nonnutritive sweeteners as safe over a lifetime when used within **acceptable daily intake (ADI)** levels. Table 12–12 provides some details about the nonnutritive sweeteners, including ADI levels.

nonnutritive sweeteners sweet-tasting synthetic or natural food additives that offer sweet flavor but with negligible or no calories per serving; also called *artificial sweeteners, intense sweeteners, noncaloric sweeteners*, and *very low-calorie sweeteners*.

acceptable daily intake (ADI) the estimated amount of a sweetener that can be consumed daily over a person's lifetime without any adverse effects.

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Table 12–12

U.S.-Approved Nonnutritive and Low-Calorie Sweeteners

Sweetener	Chemical Composition	Digestion/ Absorption	Sweetness Relative to Sucrose[a]	Energy (cal/g)	Acceptable Daily Intake (ADI) and Estimated Equivalent[b]	Approved Uses
Acesulfame potassium or acesulfame-K (Sunette, Sweet One)	Potassium salt	Not digested or absorbed	200	0	15 mg/kg body weight[c] (30 cans diet soda)	General use, except in meat and poultry; tabletop sweeteners; heat stable
Advantame	Aspartame derivative, similar to neotame	Rapidly digested; poorly absorbed	20,000	0	32.8 mg/kg body weight (4,000 packets of sweetener)	General use, except in meat and poultry; heat stable at baking temperatures
Aspartame (NutraSweet, Equal, others)	Amino acids (phenylalanine and aspartic acid) and a methyl group	Digested and absorbed	180	4[d]	50 mg/kg body weight[e] (18 cans diet soda)	General use in all foods and beverages; warning to population with PKU; degrades when heated
Luo han guo	Glycosides extracts from monk fruit	Digested and absorbed	150–300	1	No ADI determined	GRAS[f]; general use as a food ingredient and tabletop sweetener
Neotame	Aspartame with an additional side group attached	Not digested or absorbed	7,000	0	18 mg/day	General use, except in meat and poultry
Saccharin (SugarTwin, Sweet'N Low, others)	Benzoic sulfimide	Rapidly absorbed and excreted	300	0	5 mg/kg body weight (10 packets of sweetener)	Tabletop sweeteners, wide range of foods, beverages, cosmetics, and pharmaceutical products
Stevia (Sweetleaf, Truvia, Pure Via)	Glycosides extracted from the leaves of the stevia herb	Digested and absorbed	200–300	0	4 mg/kg body weight	GRAS[f]; tabletop sweeteners, a variety of foods and beverages
Sucralose (Splenda)	Sucrose with Cl atoms instead of OH groups	Not digested or absorbed	600	0	5 mg/kg body weight (6 cans diet soda)	Baked goods, carbonated beverages, chewing gum, coffee and tea, dairy products, frozen desserts, fruit spreads, salad dressing, syrups, tabletop sweeteners
Tagatose[g] (Nutralose, Nutrilatose, Tagatesse)	Monosaccharide similar in structure to fructose; naturally occurring or derived from lactose	Not well absorbed	0.9	1.5	7.5 g/day	GRAS[f]; bakery products, beverages, cereals, chewing gum, confections, dairy products, dietary supplements, energy bars, tabletop sweeteners

[a]Relative sweetness is determined by comparing the approximate sweetness of a sugar substitute with the sweetness of pure sucrose, which has been defined as 1.0. Chemical structure, temperature, acidity, and other flavors of the foods in which the substance occurs all influence relative sweetness.

[b]Based on a person weighing 70 kg (154 lb).

[c]Recommendations from the World Health Organization limit acesulfame-K intake to 9 mg/kg of body weight per day.

[d]Aspartame provides 4 cal/g, as does protein, but because so little is used, its energy contribution is negligible. In powdered form, it is sometimes mixed with lactose, however, so a 1-g packet may provide 4 cal.

[e]Recommendations from the World Health Organization and in Europe and Canada limit aspartame intake to 40 mg/kg of body weight per day.

[f]Generally recognized as safe. For stevia, one of its extracts (but not other forms) has GRAS status.

[g]Tagatose is a poorly digested sugar.

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Through the years, questions have emerged about the safety of nonnutritive sweeteners, particularly saccharin and aspartame. For example, early research indicated that large quantities of saccharin caused bladder tumors in laboratory animals, but research today does not support a causative link in people.

Early animal research suggested possible harm from nonnutritive sweeteners to microbial communities in the intestine. Today's evidence does not support this effect in people who use these sweeteners; moderate amounts are unlikely to harm to the microbiome.[26]

Aspartame, a sweetener made from two amino acids (phenylalanine and aspartic acid) is one of the most thoroughly studied food additives ever approved by the FDA. Evidence linking aspartame with chronic diseases is weak or nonexistent. However, aspartame's phenylalanine base poses a threat to those with the inherited disease phenylketonuria (PKU), a disease that, without a low phenylalanine diet, can damage the developing brain in children. Food labels warn people with PKU of the extra phenylalanine in aspartame-sweetened foods (see Figure 12–16). In any case, artificially sweetened foods and drinks have no place in the diets of infants or toddlers. A person with digestive or other problems who has found that a sweetener causes symptoms should use a different sweetener.

Use of nonnutritive sweeteners in weight control is a topic of **Chapter 9**.

Monosodium Glutamate (MSG) MSG, the sodium salt of the amino acid glutamic acid, is used widely in restaurants, especially Asian restaurants.* In addition to enhancing other flavors, MSG itself presents a basic taste (termed *umami*) independent of the well-known sweet, salty, bitter, and sour tastes. The amino acid glutamate in MSG is chemically indistinguishable from the glutamate present in food proteins, and the body metabolizes both in the same way.[27]

Figure 12–16

Nonnutritive Sweeteners on Food Labels

INGREDIENTS: ARTIFICIAL AND NATURAL FLAVORING, TITANIUM DIOXIDE (COLOR), ASPARTAME, ACESULFAME POTASSIUM, STEVIA.
PHENYLKETONURICS: CONTAINS PHENYLALANINE.

This partial ingredient list is for a sugar-free food.

Products containing aspartame must carry a warning for people with phenylketonuria.

Nutrition Facts

Serving Size 8 oz
Servings 6
Calories 0

Amount per serving	% DV*
Total Fat 0g	0%
Sodium 0mg	0%
Total Carb. 0g	0%
Sugars 0g	
Protein 0g	

*Percent Daily Values (DV) are based on a 2,000 calorie diet.

Not a significant source of other nutrients.

Products containing less than 0.5 g of sugar per serving can claim to be "sugarless" or "sugar-free."

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*The MSG trade name is Accent.

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In a few sensitive individuals, MSG produces adverse reactions known as the **MSG symptom complex**. Plain broth with MSG seems most likely to bring on symptoms in sensitive people, whereas carbohydrate-rich foods, such as rice or noodles, seem to protect against them. Deemed safe for adults, MSG is prohibited in baby foods. The FDA requires that food labels disclose each additive, including MSG, by its full name.

Key Points

- People with PKU should avoid the nonnutritive sweetener aspartame.
- The flavor enhancer MSG may cause reactions in people with sensitivities to it.

Fat Replacers and Artificial Fats

Fat replacers and artificial fats, introduced in Chapter 5, are ingredients that provide some of the taste, texture, and cooking qualities of fats but with fewer or no calories. Many fat replacers are derived from carbohydrate, protein, or fat, and these provide a few calories (but fewer than the fats they replace). Carbohydrate-based fat replacers are used primarily as thickeners or stabilizers in foods such as soups and salad dressings. Protein-based fat replacers provide a creamy feeling in the mouth and are often used in foods such as ice creams and yogurts. Fat-based replacers act as emulsifiers and are heat stable, making them most versatile in shortenings used in cake mixes and cookies.

An artificial fat, **olestra**, was once commonly used to make fat-free chips and snack foods but its popularity and use has declined in recent years. Digestive enzymes cannot break its chemical bonds, so olestra cannot be absorbed. Olestra binds fat-soluble vitamins and phytochemicals, causing their excretion; to partly prevent these losses, manufacturers saturate olestra with vitamins A, D, E, and K. Large doses can cause digestive distress, but no serious problems are known to occur with normal use.

Key Points

- Fat replacers and artificial fats substitute for the fats in processed foods.
- Olestra, an artificial fat, is no longer widely used.

Incidental Food Additives

Consumers are often unaware that many substances can migrate into food during production, processing, storage, packaging, or consumer preparation. These substances, although called indirect or **incidental additives**, are really contaminants because no one intentionally adds them to foods. Examples of incidental additives include compounds released from plastics; tiny bits of glass, paper, metal, and the like from packages; or unavoidable filth, such as tiny amounts of rodent hairs or insect fragments. Incidental additives are well regulated, and once discovered in food, their safety must be confirmed by strict procedures like those governing intentional additives.

BPA The incidental additive **BPA** migrates into many foods and beverages from plastic-lined food cans, soft-drink cans, and certain clear, hard plastic water bottles. BPA and its analogs have raised concerns among scientists who have reported potential disrupting effects on metabolism, hormonal activities, reproduction, neurological development, and problem behavior in young children.[28] In rats, preliminary findings seem to indicate little significant effect, particularly in terms of cancer formation.[29] In people, greater exposure has recently been linked with a higher mortality risk.[30] More research is needed to support or refute this finding.

Manufacturers have replaced BPA in baby bottles, toddler "sippy" cups, and infant formula packaging because of the potential risks. The FDA so far concludes that BPA is safe but is continuing to investigate its effects.

Microwave Packages Some microwave products are sold in "active packaging" that participates in cooking the food. Pizza, for example, may rest on a cardboard pan coated with a thin film of metal that absorbs microwave energy and may heat up to

MSG symptom complex the acute, temporary, and self-limiting reactions, including burning sensations or flushing of the skin with pain and headache, experienced by sensitive people upon ingesting large doses of MSG.

olestra a nonnutritive artificial fat made from sucrose and fatty acids; also called *sucrose polyester*; trade name, *Olean*.

incidental additives substances that can get into food not through intentional introduction but as a result of contact with the food during growing, processing, packaging, storing, or some other stage before the food is consumed. Also called *accidental* or *indirect additives*.

BPA (bisphenol A) a compound that hardens plastic and a component of epoxy resin. BPA can leach from some plastic containers into the foods and beverages contained inside.

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500°F (260°C). During the intense heat, some particles of the packaging components migrate into the food. This is expected; the particles have been tested for safety.

In contrast, incidental additives from plastic packages may not be entirely safe for consumption. To avoid them, do not reuse disposable plastic margarine tubs or single-use trays from microwavable meals for microwaving other foods. Use glass or ceramic containers or plastic ones labeled as safe for the microwave. In addition, wrap foods in microwave-safe plastic wraps, waxed paper, cooking bags, parchment paper, or white microwave-safe paper towels instead of ordinary wraps before microwave cooking.

Key Points

- Incidental additives enter food during processing and are regulated; most do not constitute a hazard.
- Consumers should use only microwave-safe containers and wraps for microwaving food.

Conclusion

To sum up the messages of this chapter, the ample U.S. food and water supplies are largely safe, and hazards are rare. Foodborne microbial illnesses pose the greatest threat by far, and an urgent need exists for new preventive technologies and procedures, along with greater consumer awareness. The Food Feature that follows aims to help you apply food safety principles to real-life situations.

Food Feature

Handling Real-Life Challenges to Food Safety

LO 12.8 Describe applications of food-safety practices in various settings.

Syda Productions/Shutterstock.com

Following food-safety rules is important in all settings.

Some people spend more energy worrying about food additives, which are virtual nonissues, than about foodborne illnesses, which are real threats. They accept yearly bouts of intestinal illness as inevitable, often not even realizing that they are food-related, but these illnesses can and should be prevented. This Food Feature can help you to apply the protective behaviors described earlier in this chapter when you are on the spot in real-life situations.

Take Inventory

A good place to begin any behavior change is with an inventory of current habits. Take the quiz in Table 12–13 to assess how well you know and apply the rules of food safety. If some of the concepts cause you to stumble, go back and review the chapter sections that explain them.

Be Observant

Pathogenic microbes are everywhere, and they multiply fast when given the chance. Stay alert to danger signs whenever and wherever you eat. At a barbeque, potluck, or picnic, don't be shy about checking how raw meats or cold cuts and mixed foods are stored or transported. Have they been refrigerated or packed with ice in coolers? The coolers should ride in air-conditioned vehicle interiors, and not in hot trunks. Are raw meats and vegetables kept separate at every step? Have grilled meats been cooked to safe internal temperatures (and measured with a thermometer)? Also, note the time at which perishable

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Table 12–13

Can You Pass the Kitchen Food-Safety Quiz?

How food-safety savvy are you? Give yourself 2 points for each correct answer.

1. The temperature of the refrigerator in my home is
- A. 50°F (10°C).
- B. 40°F (4°C).
- C. I don't know; I don't own a refrigerator thermometer.

2. The last time we had leftover cooked stew or other meaty food, the food was
- A. cooled to room temperature and then put in the refrigerator.
- B. put in the refrigerator immediately after the food was served.
- C. left at room temperature overnight or longer.

3. If I use a cutting board to cut raw meat, poultry, or fish and then use it to chop another food, the board is
- A. reused as is.
- B. wiped with a damp cloth or sponge.
- C. washed with soap and water.
- D. washed with soap and hot water and then sanitized.

4. The last time I had a hamburger, I ate it
- A. rare.
- B. medium.
- C. well done.

5. The last time there was cookie dough where I live, the dough was
- A. made with raw eggs, and I sampled some of it.
- B. store-bought, and I sampled some of it.
- C. baked and then sampled.

6. I clean my kitchen counters and food preparation areas with
- A. a damp sponge that I rinse and reuse.
- B. a clean sponge or cloth and water.
- C. a clean cloth with hot water and soap.
- D. the same as above and then a bleach solution or other sanitizer.

7. When dishes are washed in my home, they are
- A. washed in an automatic dishwasher and then air-dried.
- B. left to soak in the sink for several hours and then washed with soap in the same water.
- C. washed right away with hot water and soap in the sink and then air-dried.
- D. washed right away with hot water and soap in the sink and immediately towel-dried.

8. The last time I handled raw meat, poultry, or fish, I cleaned my hands afterward by
- A. wiping them on a towel.
- B. rinsing them with warm tap water.
- C. washing them with soap and water.

9. Meat, poultry, and fish products are defrosted in my home by
- A. setting them on the counter.
- B. placing them in the refrigerator.
- C. microwaving and cooking promptly when thawed.
- D. soaking them in warm water.

10. I realize that eating raw seafood poses special problems for people with
- A. diabetes.
- B. HIV infection.
- C. cancer.
- D. liver disease.

ANSWERS

1. Refrigerators should stay at 40°F or less, so if you chose answer B, give yourself 2 points; 0 for other answers.

2. Answer B is the best practice, worth 2 points. 0 for other answers.

3. If answer D best describes your household's practice, give yourself 2 points; if C, 1 point.

4. Give yourself 2 points if you picked answer C; 0 for other answers.

5. If you answered A, you may be putting yourself at risk for infection from bacteria in raw shell eggs. Answer C—eating the baked product—will earn you 2 points; answer B, 1 point. Commercial dough is made with pasteurized eggs, but some bacteria may remain.

6. Answer C or D will earn you 2 points each; answer B, 1 point; answer A, 0.

7. Answers A and C are worth 2 points each; other answers, 0.

8. The only correct practice is answer C, worth 2 points; 0 for others.

9. Give yourself 2 points if you picked B or C; 0 for others.

10. This is a trick question: all of the answers apply. Give yourself 2 points for knowing one or more of the risky conditions.

RATING YOUR HOME'S FOOD-SAFETY PRACTICES

20 points: You can feel confident about the safety of foods served in your home.

12 to 19 points: Reexamine food-safety practices. You are violating some key rules.

11 points or below: Take steps immediately to correct food-handling, storage, and cooking practices to protect yourself and your household from the dangers of foodborne illnesses.

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Table 12–14

More Food-Safety Myths and Truths

Myths	Truths
▪ "The five-second rule: a food that falls to the floor is safe if it is picked up within five seconds."	▪ Food dropped on a microbe-laden hard surface, such as a floor, becomes contaminated the moment it lands.
▪ "If it tastes and smells okay, it's safe to eat."	▪ Most microbial contamination is undetectable by human senses.
▪ "We have always handled our food this way, so it must be safe."	▪ Past generations did not recognize the causes of illness.
▪ "I sampled it a couple of hours ago and didn't get sick, so it is safe to eat."	▪ Illnesses often take half a day or longer to develop.

cold or hot foods, such as potato salad or baked beans, are set out at room temperatures for serving. After two hours have passed, stop eating them. Remember that tainted foods often look, smell, and taste wholesome.

Beware of False Thinking

Many people rely on myths and platitudes to guide their food-safety practices, but these reflect false thinking. Some examples of common myths were presented in Table 12–7 (p. 444), and Table 12–14 offers more. You may not be able to talk others out of their long-held beliefs, and in truth, your your only compulsory task is to keep yourself safe by standing firm on your knowledge of food-safety principles.

Take Action

If food-safety rules are broken, you have two choices: inform the person in charge or fellow diners of the dangers, or simply protect yourself by enjoying the available safe foods, such as breads, intact fruit, boiled eggs, and hard cheeses. Be forewarned that the first choice, informing people, entails a social risk: they may dismiss your concerns, or worse, take offense. This risk may be deemed worth taking, however, because foodborne illnesses can be serious. In any case, protect yourself.

Stay alert to challenges that may arise, perhaps when friends gather at a restaurant to enjoy raw shellfish or raw sushi, or they are eating raw cookie dough in someone's home. Don't be tempted to go along; let your food-safety knowledge guide you. In the case of raw shellfish, you might mention that raw seafood is very likely to harbor pathogens. Then order your oysters or clams baked, broiled, fried, or steamed to the well-done stage, or substitute cooked peel-and-eat shrimp. In the case of sushi, safer options abound: rolls made with real or imitation crab, cooked shrimp, fish, or eel, or refreshing vegetable rolls. That way, you can enjoy the gathering without endangering your health.

The cookie dough scenario is trickier because no options may exist, particularly in someone's home. There, you may have to take a stand. Politely refuse the dough, explain the risk, and say you'll wait for the baked cookies instead. You might also ask for something else, such as a glass of water or other beverage. This request gives your host a chance to provide something you want, while creating a distraction from the dough. Others may also follow your lead, but in any case, it's better to endure a brief moment of social discomfort than days of physical pain and illness. Only you know what challenges you are likely to encounter, and it helps decide in advance what you will do to protect your health.

Restaurants and cafeterias must pass regular inspections for cleanliness and adherence to food-safety rules, yet some manage to break the rules and stay in business. When dining out, be observant. If a restaurant floor or table appears dirty or if the bathroom is grimy, chances are that the staff is lax about food-safety rules in the kitchen, too. Choose another place to eat. Once you have ordered, if a food such as meatloaf and gravy, which should be piping hot, arrives at the table lukewarm, send it back and order something else. Likewise, if a dish such as shrimp cocktail or chicken salad, which should be chilled, arrives at room temperature, send it back. You'll be protecting yourself and doing restaurant owners a favor by alerting them to a problem.

Conclusion

To prevent illness, you must act on the strength of your knowledge, before a risk becomes an illness. Don't be lulled by a false sense of security or by mythical thinking. Take charge of your health and apply your food-safety knowledge whenever you eat. If your friends follow your lead, your knowledge and actions will keep them safe, too, compounding your benefits.

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What did you decide?

- Are most foods from grocery stores **germ-free**?
- Should you **refrigerate** leftover party foods after the guests have gone home?
- Which poses the greater risk: raw **sushi** from a sushi master or food additives?
- Is tap water or bottled water safest to drink?

Self Check

1. (LO 12.1) FSMA is a law intended to improve food safety for people and pets.
   T F
2. (LO 12.1) Some microorganisms produce illness-causing _____.
   a. neurotoxins and enterotoxins
   b. neurotransmitters and aflatoxins
   c. enzymes and hormones
   d. none of the above.
3. (LO 12.2) To prevent foodborne illnesses, the refrigerator's temperature should be less than _____.
   a. 70°F
   b. 65°F
   c. 40°F
   d. 30°F
4. (LO 12.2) Which of the following may be contracted from fresh raw or undercooked seafood?
   a. hepatitis
   b. worms and flukes
   c. viral intestinal disorders
   d. all of the above.
5. (LO 12.2) Which of the following organisms can cause hemolytic-uremic syndrome?
   a. *L. monocytogenes*
   b. *C. jejuni*
   c. *E. coli*
   d. *Salmonella*
6. (LO 12.2) The threat of foodborne illness from meats or seafood is serious, but produce causes illness only rarely.
   T F
7. (LO 12.2) Infants under one year of age should never be fed honey because it can contain spores of *Clostridium botulinum*.
   T F
8. (LO 12.3) Which of the following is correct concerning fruit that has been irradiated?
   a. They decay and ripen more slowly.
   b. They lose substantial nutrients.
   c. They lose their sweetness.
   d. They emit gamma radiation.
9. (LO 12.3) Irradiation can
   a. destroy vitamins.
   b. sterilize spices.
   c. make food radioactive.
   d. promote sprouting.
10. (LO 12.3) Food packaging can contribute to food safety.
    T F
11. (LO 12.4) It is possible to eliminate all toxins from your diet by eating only "natural" foods.
    T F
12. (LO 12.4) Pregnant women are advised not to eat certain species of fish because the FDA and the EPA have detected unacceptably high lead levels in them.
    T F

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13. (LO 12.5) Evidence does not suggest that conventional foods pose health risks or that using organic products reduces risks.
T F

14. (LO 12.5) Compared with conventionally grown produce, organic produce is often
   a. lower in pesticides.
   b. higher in phytochemicals.
   c. both a and b.
   d. none of the above.

15. (LO 12.6) Water from public systems (tap water)
   a. requires frequent home testing for microorganisms.
   b. is less healthful than bottled water.
   c. is disinfected to kill most microorganisms.
   d. is drawn from less natural sources than bottled water.

16. (LO 12.7) Incidental food additives
   a. help to preserve foods.
   b. consist mostly of added sugars and salt.
   c. are really contaminants.
   d. none of the above.

17. (LO 12.7) Nitrites added to foods
   a. prevent the growth of the deadly *Clostridium botulinum* bacterium.
   b. preserve the pink color of hot dogs.
   c. are linked with colon cancer.
   d. all of the above.

18. (LO 12.8) Food safety rules for consumers can protect you only when you act on them.
T F

19. (LO 12.8) On noticing a food safety problem at a friend's house, you should:
   a. ignore infractions of food-safety rules to preserve the friendship.
   b. avoid eating the unsafe food, and consider informing your friend.
   c. call the FDA.
   d. ignore the infractions but see a medical professional later to diagnose potential illnesses.

20. (LO 12.9) Selective breeding
   a. involves manipulating an organism's genes in a laboratory.
   b. has been used for thousands of years.
   c. allows scientists to cross species boundaries.
   d. all of the above

21. (LO 12.9) A genetically engineered rice variety in existence today supplies sufficient beta-carotene to fight vitamin A deficiency and childhood blindness worldwide.
T F

Answers to these Self Check questions are in Appendix G.

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Controversy 12 Bioengineered Foods: What Are the Pros and Cons?

LO 12.9 Summarize the advantages and disadvantages of producing foods through bioengineering.

With or without their awareness, most people in this country consume foods that contain products of **bioengineering**. As Figure C12–1 illustrates, most U.S. soybeans and animal feed corn (*not* sweet corn consumed by people) are **genetically modified organisms (GMOs).**[1]* Ubiquitous food additives, such as soy lecithin and high-fructose corn syrup, are made from these genetically engineered plant materials and enter the human food supply in processed foods. Other GMOs, such as apples, papayas, potatoes, and summer squash, are consumed directly.[2] Some consumers recoil from the idea of eating genetically engineered products, and whole countries have banned such foods outright. Some objections are based on credible ideas, but most others arise from emotional fears, distrust of technology, and misinformation. This Controversy sorts some scientific facts from fiction, starting with definitions of **biotechnology** terms (see Table C12–1 (p. 470)).

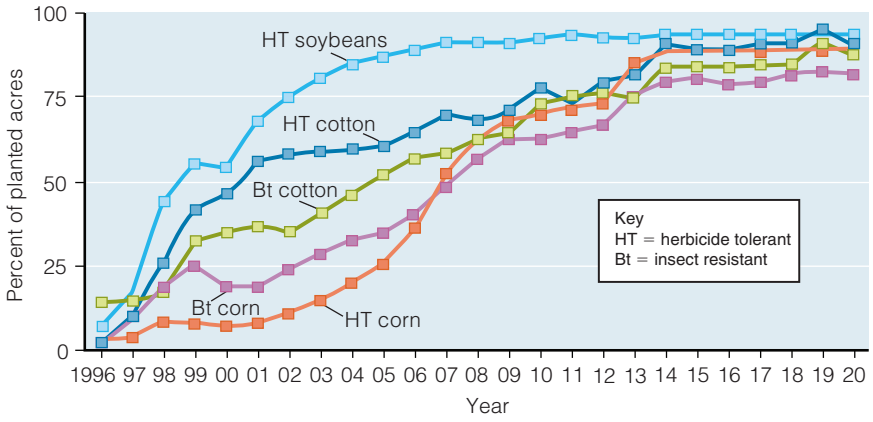
Advances in biotechnology have raised hopes of solving some of today's most pressing food and energy problems while boosting profits for farmers and other producers. Although **recombinant DNA (rDNA) technology** may seem futuristic, its roots lie in genetic events that have been occurring unaided for untold millions of years. Human beings have exploited these processes from the advent of agriculture.

*Reference notes are in Appendix F.

Figure C12–1

Adoption of Bioengineered Crops In the United States, 1996–2020

Almost all U.S. soybeans (light blue line) and most cotton and corn are now bioengineered crops. Economic advantages account for this shift away from conventional crops.



Source: USDA Economic Research Service, Recent trends in GE adoption, 2021, www.ers.usda.gov.

Selective Breeding

Season after season, farmers influence the genetic makeup of food plants and animals by selecting only the best farm animals and plants for breeding. Today's lush, hefty, healthy agricultural crops and animals, from cabbage and squash to pigs and cattle, are the result of thousands of years of **selective breeding**. Consumers of today's large cobs of sweet corn, for example, may not recognize the original wild native corn with its sparse four or five kernels to a stalk (shown in Figure C12–2 (p. 470)).

Today, accelerated selective breeding techniques involve hundreds of thousands of cross-bred seeds planted on vast acreages. To develop crops with desired traits, DNA data from successful seedlings are analyzed by computer. Seedlings with the right genes are grown to maturity and reproduced to yield new breeds in a relatively short time. Some unusually colorful carrots, including the purple, light yellow, or deep red varieties now seen in some specialty grocery stores, are products of this kind of selective breeding. Selective breeding must stay within the boundaries of a species—a carrot, for example, cannot be crossed with a mosquito. Recombinant DNA technology, however, knows no such limits.

Recombinant DNA Technology

With economy, speed, and precision, rDNA technology can change one or more characteristics of a living thing. The genes for a desirable trait in one organism are transferred directly into another organism's DNA. With advancements in **gene editing**, scientists can now alter molecules within a single gene's

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Table C12–1

Biotechnology Terms

- **biotechnology** the science of manipulating biological systems or organisms to modify their products or components or create new products; biotechnology includes recombinant DNA technology and traditional and accelerated selective breeding techniques.
- **clone** an individual created asexually from a single ancestor, such as a plant grown from a single stem cell; a group of genetically identical individuals descended from a single common ancestor, such as a colony of bacteria arising from a single bacterial cell; in genetics, a replica of a segment of DNA, such as a gene, produced by bioengineering.
- **gene editing** a method of bioengineering that employs CRISPR technology to alter an organism by adding, removing, or substituting molecules or sequences, or activating genes within a DNA strand. The acronym CRISPR refers to a particular DNA sequence employed in the method.
- **bioengineering** (BYE-oh-en-jeh-NEER-ing) the direct, intentional manipulation of the genetic material of living things in order to obtain some desirable inheritable trait not present in the original organism. Three areas of bioengineering are biological, agricultural, and biomedical engineering. Also called *genetic engineering*.
- **genetically modified organism (GMO)** popular term referring to an organism produced by bioengineering; the term *genetically engineered organism (GEO)* is more scientifically accurate.
- **outcrossing** the unintended breeding of a domestic crop with a related wild species.
- **plant pesticides** substances produced within plant tissues that kill or repel attacking organisms.
- **recombinant DNA (rDNA) technology** a technique of bioengineering whereby scientists directly manipulate the genes of living things; includes methods of removing genes, doubling genes, introducing foreign genes, and changing gene positions to influence the growth and development of organisms.
- **selective breeding** a technique of genetic modification whereby organisms are chosen for reproduction based on their desirability for human purposes, such as high growth rate, high food yield, or disease resistance, with the intention of retaining or enhancing these characteristics in their offspring.
- **stem cell** an undifferentiated cell that can mature into any of a number of specialized cell types. A stem cell of bone marrow may mature into one of many kinds of blood cells, for example.
- **transgenic organism** an organism resulting from the growth of an embryonic, stem, or germ cell into which a new gene has been inserted.

Figure C12–2

Corn: A Product of Selective Breeding

The wild corn on the left, with its sparse kernels, bears little resemblance to today's large, full, sweet ears (right).

Smithsonian Photo by Antonio Mortaner

Kowit Lanchu/Shutterstock.com

DNA strand for increasingly precise results. Figure C12–3 compares the genetic results of selective breeding and rDNA technology. Table C12–2 presents examples of biotechnology research directions.

Obtaining Desired Traits

Using rDNA technology, scientists can confer useful traits, such as disease resistance, on food crops. To make a disease-resistant potato plant, for example, the process begins with the DNA of an immature cell, known as a **stem cell**, from the "eye" of a potato. Into that stem cell scientists insert a gene snipped from the DNA of a virus that attacks potato plants (enzymes do the snipping). This

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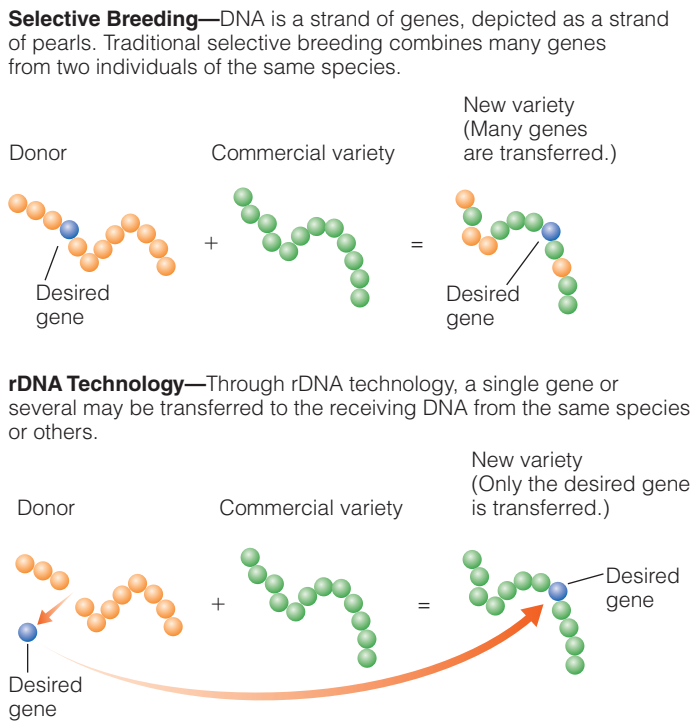
Figure C12–3

Comparing Selective Breeding and rDNA Technology



gene codes for a harmless viral protein, not the infective part.

The newly created stem cell is then stimulated to replicate itself, creating **clone** cells—exact genetic replicas of the modified cell. With time, what was once a single cell grows into a **transgenic organism**—in this case, a potato plant that makes a piece of viral protein in each of its cells. The presence of the viral protein stimulates the potato plant to develop resistance against an attack from the real wild virus in the potato field.

Plants make likely candidates for bioengineering because a single plant cell can often be coaxed into producing an entire new plant. Animals can also be genetically modified, however. Under development is a line of goats that, thanks to a spider's gene, express spider silk protein in their milk. Once processed, the stronger-than-steel silk fiber can be used to make artificial ligaments and bulletproof vests.

Suppressing Unwanted Traits

This rDNA technology can also remove an unwanted protein from a plant by silencing the genes responsible for its creation. For example, scientists have created a safer peanut by silencing the genes for proteins that commonly cause allergic reactions. Likewise, a GE potato can be made into safer potato chips and French fries because it is engineered to have less of an amino acid that forms a carcinogenic toxin during frying.* Apples that stay white after slicing instead of turning brown are available in markets; gluten-free wheat may be next.

The Promises and Problems of Bioengineering

Supporters hail bioengineering as nothing short of a revolutionary means of overcoming many of the planet's pressing problems, such as food shortages, nutrient deficiencies, medicine shortages, dwindling farmland, lack of renewable energy sources, and environmental degradation. A few examples follow.

**The Innate Potato makes less acrylamide when fried.*

Table C12–2

Some Examples of Bioengineering Research Directions

Research in bioengineering is currently directed at creating:

- Crops and animals with added desired traits, such as altered nutrient composition, extended shelf life, freedom from allergy-causing constituents, or resistance to diseases or pests.
- Crops that survive harsh conditions, such as heavily polluted or salty soils or droughts.
- Microorganisms that produce needed substances, such as pharmaceuticals, hydrocarbon fuels, or other products that are absent or limited in nature.
- New diagnostic and therapeutic tools to detect and treat diseases.

Human Nutrition

Rice leads the way in a genomic revolution of the world's food supply. A rice (called *Golden Rice*) provides up to 35 micrograms of absorbable beta-carotene per gram of rice; white rice provides none. Figure C12–4 (p. 472) compares Golden Rice with white rice. Rice is an excellent vehicle for delivering vitamin A to areas of the world where rice is a staple food: everyone there eats rice, often several times a day. In comparison, carrots, famously rich in beta-carotene, are not a preferred food in those places. A single bowlful (about a cup and a half) of Golden Rice provides about 60 percent of a child's vitamin A need, a significant contribution in the fight against deficiency diseases and childhood blindness worldwide.

Uncertainty fostered by anti-GMO activists has cast doubt about the safety of Golden Rice around the

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Figure C12–4

Golden Rice

Beta-carotene, the vitamin A precursor, gives Golden Rice its yellow hue.

Courtesy of Gani Serrano

Figure C12–5

Two Salmon Compared

These two salmon are the same age, but the GMO salmon reached market size much faster.

Source: fda.gov

world. Twenty years after its development, few countries are growing it.[3] Meanwhile, with each passing year, vitamin A deficiency harms hundreds of thousands of children worldwide.

Other GMO rice varieties, some offering supplemental iron and folate, could relieve much iron-deficiency anemia and folate deficiency around the world.[4] Still others may resist drought, floods, or insects and thus provide more food for hungry populations. Not just rice but worldwide staples like cassava roots or potatoes can be "biofortified" with minerals, vitamins, fatty acids, or promising phytochemicals. In the case of cassava, it can also be made safer by reducing its concentration of naturally occurring toxins.

Molecules from Microbes

The genes of microorganisms have been altered to make pharmaceutical and industrial products. For example, a transgenic bacterial factory now mass-produces the hormone insulin used by people with diabetes. Another bacterium received a bovine gene to make the enzyme rennin, necessary in cheese production. (Historically, rennin was harvested from the stomachs of calves, an expensive process.)

Greater Crop Yields

Most of today's genetically engineered crops are of two types: herbicide-resistant and insect-resistant, both used to improve yields and protect farmed land. Herbicide-resistant crops, for example, offer weed control with less soil tillage by allowing farmers to spray whole fields, not just weeds, with glyphosate-based herbicides (pronounced gly-FOSS-ate).* The weeds die, their roots hold soil in place between the rows, and the crops grow normally. After years of such spraying, however, some weeds have developed vigorous resistance to glyphosate. Weeds grow large and spread fast despite repeated sprayings, forcing many farmers to return to old tillage methods to control them and thereby exposing vast quantities of farm topsoil to wind and water erosion.

As for insect-resistant crops, GMOs make what the EPA calls **plant pesticides**—pesticides made by the plant tissues themselves. For example, a type of feed corn produces a pesticide that kills a common corn-destroying worm, thereby greatly increasing yields per acre of farmland.

**Glyphosate is the active ingredient in the herbicide* Roundup.

In areas where people cannot afford to lose a single morsel of food and where plant diseases and insects can claim up to 80 percent of a season's yield, genetically engineered plants can save whole crops, delivering relief to millions of chronically hungry people.

A Fast-Growing Fish

The FDA recently approved a genetically altered farm-raised salmon that received genes from two other fish species.† The added genes code for a hormone that stimulates faster than normal growth in the new salmon, cutting production time, as illustrated in Figure C12–5. After extensive scientific review, the FDA concluded that the salmon is as safe to eat as other fish, and that its new DNA and its growth hormone are also safe both for consumers and the fish itself.[5]

To protect natural systems, the FDA set stringent rules requiring insulated, closed, inland environments for raising the new salmon. Containment is crucial, because if they escape, the larger bioengineered salmon could have a survival advantage over wild species.

†The new salmon is called AquAdvantage, developed by AquaBounty Technologies.

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Concerns about GMOs

Consumers rightly want to know about any potential risks from bioengineering. The FDA, too, asks whether genetically altered foods differ substantially from other foods in their nutrient contents or safety.

Nutrient Composition

Except for intentional variation created through bioengineering, the nutrient composition of genetically modified foods is identical to that of traditional foods. From the body's point of view, Golden Rice is the same as plain rice, plus a beta-carotene supplement. GMOs may contribute to *overdoses* of nutrients or phytochemicals, but they pose no unusual threat of deficiencies.

Accidental Ingestion of Drugs from Foods

Genetically modified corn, soybeans, rice, and other food crops that make human and animal drugs and industrial proteins must be grown indoors in selected locations. Their containment areas, however, often border on farms where conventional or organic food crops are grown. Critics fear that DNA from drug-producing GMOs might contaminate the food supply by cross-pollination, despite USDA oversight. Disasters such as tornadoes, floods, or other events could carry the pollen long distances, thereby inadvertently introducing the man-made genes into ordinary farm crops, in which they would not be detected and from which they could not be retrieved.

Pesticide Residues

Industry scientists contend that bioengineering could virtually end problems associated with pesticide use on foods. The consequences of human error can be eliminated, they say, when introduced genes determine not only the nature but also the quantity of pesticide produced. Critics counter that although GMOs may be protected from one or two common pests that may or may not be present on a particular field, farmers must still spray insecticides to kill other pests that are devouring their crops. Also, still more worrisome, constant exposure is inducing crop-destroying insects to develop resistance to natural plant pesticides.

Pesticides that are sprayed onto crops can be largely removed from food by washing or peeling produce, but consumers cannot remove pesticides that form within the tissues of a genetically modified fruit or vegetable. Still, plant pesticides are highly unlikely to cause health problems because they are made of peptide chains (small protein strands) that human digestive enzymes readily denature. Plant pesticides, like other pesticide residues, are regulated and approved by the FDA.

Unintended Health Effects

The possibility exists that GMOs may have unintended and therefore unpredictable effects on human health. A lesson comes from an unexpected negative effect of selective breeding. Over many years, celery growers had crossed their most attractive celery plants because consumers paid a premium for good-looking celery. Unknown to the growers, however, the most beautiful celery contained a great deal of a natural plant pesticide, and its concentration increased with each breeding cycle. Farm and grocery workers who handled the celery began suffering from serious skin rashes until the problem was finally traced to high levels of the natural pesticide in the beautiful plants.

Another example (this time an unintended *benefit* of bioengineering) involves a carcinogenic fungus that sometimes grows on corn.* Upon producing a strain of corn that carried a plant pesticide to control worm damage and then observing this corn for several generations, scientists discovered that the crop suffered far fewer attacks by the dangerous fungus. It turns out that the worms spread the fungus as they burrowed into cobs of ordinary corn, but the plant pesticide in the genetically engineered corn killed the worms and stopped the fungus from spreading.

** The fungus (Aspergillus flavus) produces the carcinogenic toxin aflatoxin.*

Environmental Effects

Between 1996 and 2018, farming with genetically engineered crops reduced the use of insecticides by almost 2 billion pounds of active ingredients worldwide.[6] At the same time, the use of glyphosate herbicides that GMOs resist, has greatly increased, making it unnecessary to use more highly toxic and persistent herbicides in the fields. Also, herbicide-resistant crops require far less plowing to kill weeds and so minimize soil erosion (more about soil conservation in Controversy 15).

The possibility of **outcrossing**, the accidental cross-pollination of plant pesticide crops with related wild weeds remains a concern. If a weed inherits a pest-resistant trait from a neighboring field of genetically engineered crops, it gains an enormous survival advantage over other, possibly important, wild species and crowds them out.[7]

Loss of species is another serious threat. By propagating only a few crop varieties worldwide, humankind becomes vulnerable to serious losses in a changing environment. Species that teeter on the brink of extinction today may hold critical genetic traits that could help food crops to survive in harsher future conditions.

Concerns for wildlife also exist. In the laboratory, monarch butterfly larvae die when fed pollen from pesticide-producing corn. In real life, wild butterflies do not seem to consume enough toxic corn pollen for populations to be harmed. The new technology may even protect some percentage of the dwindling monarchs and other harmless or beneficial insects that die when they feed on conventionally sprayed fields.

Ethical Arguments about Bioengineering

In the end, consumer acceptance determines the applications of genetic engineering. Some people fear that by

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tampering with the basic blueprint of life, bioengineering will sooner or later unleash mayhem into the defenseless world. No degree of risk is justified, they say, because although it raises profits for biotechnology companies and farmers, its products provide little direct benefit to consumers. Others object to bioengineering on religious grounds, holding that genetic decisions are best left to nature or a higher power. Table C12–3 summarizes some of these issues.

Regulation of GMOs

The FDA evaluates the safety of today's genetically modified fruit, vegetables, and grains for human consumption and takes the position that we can confidently assume that they are safe unless they differ substantially from similar foods already in use. To help consumers who wish to avoid GMOs, Congress passed a law to mandate uniform labeling of GMO foods, and these labels are displayed in Figure C12–6.[8] Meanwhile, consumers are snapping up foods and other products—even detergents and other items unrelated to bioengineering—that bear "non-GMO" labels.

The Final Word

For those who would worry themselves into a diet of crackers and water, abundant evidence supports eating sufficient fruits and vegetables regardless of their source. Stay alert for well-documented, scientific information about bioengineering, food technology, and their effects on our rapidly changing food supply. Armed with scientific knowledge, you can make informed choices about your diet.

Figure C12–6

Bioengineering Food Labels

Most bioengineered foods and foods with ingredients derived from them may soon bear labels such as these.

Critical Thinking

1. Summarize options and roadblocks to obtaining only non-GMO foods.
2. Suggest possible motivations of industry, growers, and consumers for supporting/opposing GMOs.

Table C12–3

Bioengineering of Foods: Point, Counterpoint

Arguments in Opposition to Bioengineering	Arguments in Support of Bioengineering
1. *Ethical and moral issues*. It's immoral to "play God" by mixing genes from organisms unable to do so naturally. Religious and vegetarian groups object to genes from prohibited species occurring in their allowable foods.	1. *Ethical and moral issues*. Scientists throughout history have been persecuted and even put to death by fearful people who accuse them of playing God. Yet today, many of the world's citizens enjoy a long and healthy life of comfort and convenience thanks to once-feared scientific advances put to practical use.
2. *Imperfect technology*. The technology is young and imperfect, and potential effects are impossible to predict. Toxins are as likely to be produced as are the desired traits.	2. *Advanced technology*. Recombinant DNA and gene editing technologies are precise and reliable. Many of the most exciting recent advances in medicine, agriculture, and technology have been made possible by the application of this technology.
3. *Environmental concerns*. The power of genetically modified organisms to change the world's environments is unknowable until such changes actually occur—then the "genie is out of the bottle." Once out, the genie cannot be put back in the bottle because insects, birds, and the wind and sea distribute genetically altered seeds, eggs, and pollen to points unknown.	3. *Environmental protection*. Bioengineering may be the only hope of saving rain forests and other habitats from destruction by impoverished people desperate for arable land. Through bioengineering, farmers can make use of previously unproductive areas such as salty soils and arid lands.
4. "*Genetic pollution*." Some kinds of pollution can be cleaned up with money, time, and effort, but once genes are spliced into living organisms, those organisms forever bear the imprint of human tampering.	4. *Genetic improvements*. Genetic side effects are more likely to benefit the environment than to harm it.
5. *Crop vulnerability*. Once pests and diseases have adapted to successfully attack one genetically homogeneous crop, then all such crops around the world are defenseless against them. Diversity is key to defense.	5. *Improved crop resistance*. Pests and diseases can be specifically fought on a case-by-case basis. Biotechnology is the key to defense.

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Table C12–3 (*continued*)

Biongineering of Foods: Point, Counterpoint

6. *Loss of gene pool*. Loss of genetic diversity threatens to deplete valuable gene banks from which scientists can develop new agricultural crops.	6. *Gene pool preserved*. Thanks to advances in genetics, laboratories around the world are able to stockpile the genetic material of millions of species that, without such advances, would have been lost forever.
7. *Profit motive*. Bioengineering will profit industry more than the world's poor and hungry.	7. *Everyone profits*. Industries benefit from bioengineering, and a thriving food industry benefits the nation and its people, as demonstrated by countries lacking such industries.
8. *Unproven safety for people*. Testing of genetically altered products for human safety is lacking. The whole population is an unwitting experimental group in a nationwide laboratory study for the benefit of industry.	8. *Safe for people*. Testing of genetically altered products for human safety is unnecessary because the products are essentially the same as the original foodstuffs.
9. *Increased allergens*. Protein allergens, made by genes, can unwittingly be transferred into foods as byproducts of bioengineering for other traits.	9. *Control of allergens*. Genes that code for allergens can be transferred into foods, but these are known and avoidable. In fact, bioengineering can be used to *reduce* allergens in foods. Allergen-free peanuts have been developed, a help for allergic people.
10. *Decreased nutrients*. A fresh-looking GMO vegetable may be kept in a store's inventory for weeks while nutrient quality diminishes.	10. *Increased nutrients*. Genetic modifications can easily enhance the nutrients in foods.
11. *No product tracking*. Without labeling, the food industry cannot track problems to the source.	11. *Excellent product tracking*. The identity and location of genetically altered foodstuffs are known, and they can be tracked when problems arise.
12. *Overuse of glyphosate herbicide*. Farmers, knowing that their crops are resistant, will overuse herbicides in an attempt to kill weeds.	12. *Conservative use of* glyphosate herbicide. Farmers will not waste expensive herbicide in repeated applications when the prescribed amount gets the job done the first time.
13. Glyphosate, the herbicide sprayed on GMO crops, is blamed for causing autism, cancer, and other maladies in people.	13. Glyphosate in huge quantities is toxic to cells; heavy farm exposure may be linked with non-Hodgkin's lymphoma, a blood cancer. Evidence on consumer use is lacking.
14. *Increased consumption of pesticides*. When a pesticide is produced by the flesh of produce, consumers cannot wash it off the skin of the produce with running water as they can with most ordinary sprays.	14. *Reduced pesticides on foods*. Pesticides produced by plants in tiny amounts known to be safe for consumption are more predictable than applications by agricultural workers who make mistakes. Because other genetic manipulations will eliminate the need for postharvest spraying, fewer pesticides will reach the dinner table.
15. *Lack of oversight*. Government oversight is run by industry people for the benefit of industry—no one is watching out for consumers.	15. *Sufficient regulation, oversight, and rapid response*. The National Academy of Sciences has established a protocol for the safety testing of bioengineered foods. Government agencies are efficient in identifying and correcting problems as they occur in the industry.

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13 Life Cycle Nutrition: Mother and Infant

Controversy 13 How Do Today's Food Choices Affect Future Generations?

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 13.1 Describe the roles of nutrition before and during pregnancy.

LO 13.2 Summarize the evidence against alcohol use during pregnancy.

LO 13.3 List the effects of diabetes, hypertension, and preeclampsia on pregnancy.

LO 13.4 Explain how nutrition supports lactation.

LO 13.5 Identify nutrition practices that promote an infant's well-being.

LO 13.6 List five feeding guidelines that encourage normal eating behavior and autonomy in a child.

LO 13.7 Describe the emerging science of nutritional genomics.

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What do you think?

- Can a **man's lifestyle habits** affect a woman's future pregnancy?
- How much **alcohol** consumed by a pregnant woman will harm her developing fetus?
- Are **breast milk** and **formula** equally good for an infant's health?
- Can infants **thrive** on breast milk or formula alone?

All people need the same nutrients but in differing amounts throughout life. This chapter is the first of two on life's changing nutrient needs. It focuses on two life stages that are critically important to an infant's life-long health—its development before birth and its first year of life.

Andriy Popov/Alamy Stock Photo

Both parents can prepare in advance for a healthy pregnancy.

Pregnancy: The Impact of Nutrition on the Future

LO 13.1 Describe the roles of nutrition before and during pregnancy.

People normally think of nutrition as personal, affecting them alone. For a woman who is pregnant, or who soon will be, however, nutrition choices today profoundly affect the health of her future child and the adult that the child will one day become. The nutrient demands of pregnancy are extraordinary.

Preparing for Pregnancy

Before she becomes pregnant, a woman must establish eating habits that will optimally nourish both herself and the infant she will bear. Early in pregnancy, before a woman may even realize she is pregnant, the **embryo** undergoes rapid and significant developmental changes that depend on good nutrition. Later, the growing **fetus** demands ample nutrients for optimal development. Table 13–1 (p. 478) offers strategies for women of reproductive age who want to become pregnant and women who are pregnant, to achieve food and nutrient intakes for optimal pregnancy outcomes.

Some heritable traits do not result from DNA variations but arise from epigenetic influences before or during pregnancy—see **Controversy 13**, pp. 512–515.

Fathers-to-be are also wise to examine their eating and drinking habits. For example, leading a sedentary lifestyle and consuming too few fruit and vegetables may affect men's **fertility** (and the fertility of their children), and men who drink too much alcohol or encounter other toxins in the weeks before conception can sustain damage to their sperm's genetic material.[1*] When both partners adopt healthy habits, they will be better prepared to meet the demands of parenting that lie ahead.

Prepregnancy Weight Before pregnancy, all women, but underweight women in particular, should strive for an appropriate body weight. A woman who begins her pregnancy underweight and who fails to gain sufficiently during pregnancy is very likely to bear a baby with a dangerously **low birthweight**.[2] Infant birthweight is the most potent single indicator of an infant's future health. A low-birthweight baby, defined as one who weighs less than 5½ pounds (2,500 grams), is nearly 40 times

embryo (EM-bree-oh) the stage of human gestation from the third to the eighth week after conception.

fetus (FEET-us) the stage of human gestation from 8 weeks after conception until the birth of an infant.

fertility the capacity of a woman to produce a normal ovum periodically and of a man to produce normal sperm; the ability to reproduce.

low birthweight a birthweight of less than 5½ pounds (2,500 grams); used as a predictor of probable health problems in the newborn and as a probable indicator of poor nutrition status of the mother before and/or during pregnancy. Low-birthweight infants may be born prematurely, or, if born at full term may be small for gestational age because they suffered growth failure in the uterus.

*Reference notes are in Appendix F.

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Table 13–1

Food and Nutrient Intake Strategies to Promote Healthy Pregnancy Outcomes

A variety of strategies may help women of reproductive age and women who are pregnant achieve food and nutrient intakes that promote optimal pregnancy outcomes. These strategies include:

1. Achieve a healthy weight before pregnancy, and strive for gestational weight gains within the recommendations presented in Table 13–6 on page 487.
2. Before and during pregnancy, choose dietary patterns that are higher in vegetables, fruits, whole grains, nuts, legumes, seafood, and vegetable oils, and lower in added sugars, refined grains, and red and processed meats. These dietary patterns protect against poor maternal-fetal outcomes in pregnancy and are consistent with general healthy dietary advice.
3. Choose foods and beverages that are good sources of iron, folate, calcium, choline, magnesium, protein, fiber, and other potential shortfall nutrients.
4. Do not avoid potential allergenic foods during pregnancy unless it is medically warranted.
5. Consume seafood in accordance with recommendations: at least 8 and up to 12 ounces of a variety of seafood per week, from choices that are lower in methylmercury and higher in omega-3 fatty acids (see Table 13–9 on page 491).
6. Abstain from alcohol consumption. Drinking during pregnancy, especially in the first few months of pregnancy, may result in negative behavioral or neurological consequences in the children. No safe level of alcohol consumption during pregnancy has been established.
7. Select foods in accordance with food safety recommendations, including avoiding unpasteurized milk and soft cheeses, undercooked meats, and limiting processed meats.

Source: Adapted from Dietary Guidelines Advisory Committee, Scientific Report of the 2020 Dietary Guidelines Advisory Committee: Advisory Report to the Secretary of Agriculture and Secretary of Health and Human Services *(Washington, DC: US Department of Agriculture, Agricultural Research Service, 2020).*

more likely to die in the first year of life than a normal-weight baby. To prevent low birthweight, underweight women are advised to gain weight before becoming pregnant and to strive to gain adequately thereafter.

When nutrient supplies during pregnancy fail to meet demands, a developing fetus may adapt to the deprivation in ways that may make obesity or chronic diseases more likely in later life.[3] Nutrient deficiency coupled with low birthweight is the underlying cause of more than half of all the deaths worldwide of children under 5 years of age. In the United States, the infant mortality rate in 2019 was just under 6.0 deaths per 1,000 live births.[4] This rate, though higher than that of some other developed countries, represents a significant decline over the last two decades and is a tribute to public health efforts aimed at reducing infant deaths.

Low birthweight may also reflect heredity, disease conditions, smoking, and drug use and alcohol use during pregnancy. Even with optimal nutrition and health, some women give birth to small infants for unknown reasons. Nevertheless, poor nutrition of the mother is a major factor causing low birthweight—and an avoidable one.

High birthweight, often associated with maternal obesity, may present problems of its own. Infants born to women who are obese are likely to be large for gestational age, weighing more than 9 pounds at birth.[5] Problems associated with a high birthweight include a difficult labor and delivery, birth trauma, and **cesarean section**.[6] Consequently, these babies have a greater risk of poor health and death than infants of normal weight. Women with obesity are more likely to suffer gestational diabetes, hypertension, and complications during and infections and hemorrhage after the birth.[7] In addition, women who are overweight or obese have a greater risk of giving birth to infants with heart defects and other abnormalities.[8]

Obesity and overnutrition during pregnancy may also have long-term effects. Maternal obesity increases a child's risk of obesity, heart disease, type 2 diabetes, and asthma throughout life.[9] A woman with obesity who strives for a healthy body weight before her pregnancy will be helping to protect both herself and her child.

cesarean (see-ZAIR-ee-un) **section** surgical childbirth, in which the infant is taken through an incision in the woman's abdomen.

uterus (YOO-ter-us) the womb, the muscular organ within which the infant develops before birth.

placenta (pla-SEN-tuh) the organ of pregnancy in which maternal blood and fetal blood circulate in close proximity and exchange nutrients and oxygen (flowing into the fetus) and wastes (picked up by the mother's blood).

gestation the period of about 40 weeks (three trimesters) from conception to birth; the term of a pregnancy.

A Healthy Placenta and Other Organs A woman's nutrition before pregnancy is crucial because it determines whether her **uterus** will be able to support the growth of a healthy **placenta** during the first month of **gestation**. The placenta is both a supply depot and a waste-removal system for the fetus. If the placenta works perfectly, the fetus wants for nothing; if it doesn't, no alternative source of sustenance is available, and the fetus will fail to thrive. Figure 13–1 shows that the placenta is a mass of tissue

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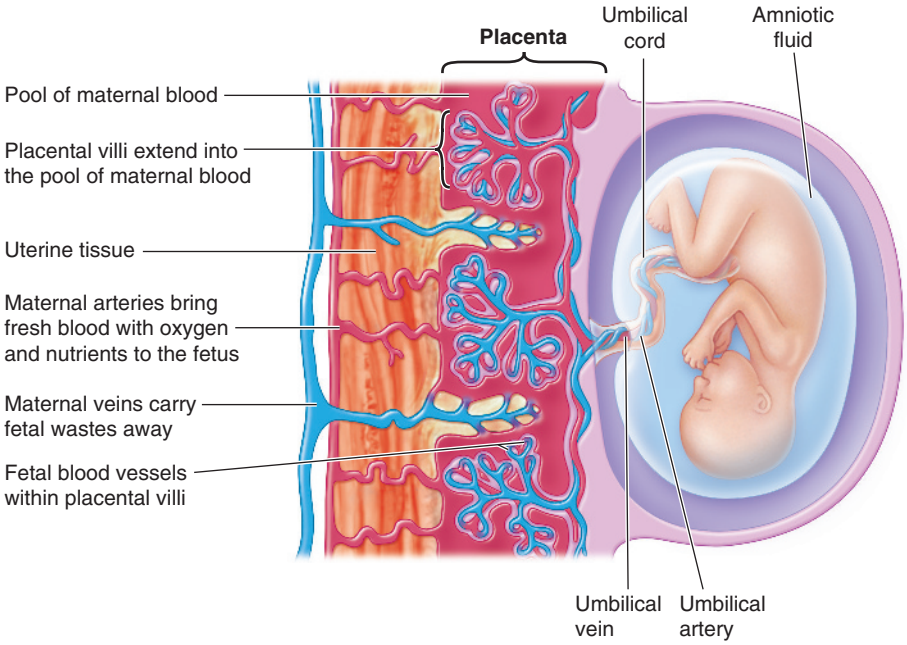
Figure 13–1

The Placenta

The placenta delivers oxygen and nutrients from the mother to the fetus and returns waste from the fetus to the mother, but no actual mingling of maternal and fetal blood occurs. Instead, the placental villi absorb nutrients and oxygen from the maternal pool of blood and release them to the fetus via fetal blood vessels. Likewise, the fetus returns wastes via the placental villi.



in which maternal and fetal blood vessels intertwine and exchange materials. The two bloods never mix, but the barrier between them is thin. Using the **umbilical cord** as a conduit, nutrients and oxygen move easily from the mother's blood into the fetus's blood, and wastes move out of the fetal blood to be excreted by the mother. Thus, by way of the placenta, the mother's digestive tract, respiratory system, and kidneys serve not only her own needs but also those of the fetus, whose organs are not yet functional. The **amniotic sac** surrounds and cradles the fetus, which floats inside its cushioning fluids.

The placenta is a highly metabolic organ that actively gathers up hormones, nutrients, and antibodies from the mother's blood and releases them into the fetal bloodstream. The placenta also produces numerous and diverse hormones that act to maintain pregnancy and prepare the mother's breasts for **lactation**. Is it any wonder that a healthy placenta is essential for the developing fetus?

If the mother's nutrient stores are inadequate during placental development, no amount of nutrients later on in pregnancy can make up for the lack. If the placenta fails to form or function properly, the fetus will not receive optimal nourishment. After getting such a poor start on life, the child may be ill equipped, even as an adult, to store sufficient nutrients, and a girl may later be unable to grow an adequate placenta or bear healthy full-term infants. For this and other reasons, a woman's poor nutrition during her early pregnancy can affect not only her *children* but also her *grandchildren*.

Key Points

- Adequate nutrition before pregnancy establishes physical readiness and nutrient stores to support placental and fetal growth.
- Both underweight and overweight women should strive for appropriate body weights before pregnancy.
- Newborns who weigh less than 5½ pounds or more than 9 pounds face greater health risks than normal-weight babies.

umbilical (um-BIL-ih-cul) **cord** the ropelike structure through which the fetus's veins and arteries reach the placenta; the route of nourishment and oxygen into the fetus and the route of waste disposal from the fetus.

amniotic (AM-nee-OTT-ic) **sac** the "bag of waters" in the uterus in which the fetus floats.

lactation production and secretion of breast milk for the purpose of nourishing an infant.

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The Events of Pregnancy

The newly fertilized **ovum** is called a **zygote**. It begins as a single cell and rapidly divides into many cells during the days after fertilization. If all goes well, within 2 weeks, the cluster of cells embeds itself in the uterine wall in the process known as **implantation**, and the placenta begins to grow there. Minimal growth in size takes place at this time, but it is a crucial period in development, during which adverse influences such as smoking, drug abuse, and malnutrition lead to failure to implant or to abnormalities such as neural tube defects. These mishaps can cause loss of the developing embryo, often before the woman knows she is pregnant.

The Embryo and Fetus During the next 6 weeks, the embryo registers astonishing physical changes (see Figure 13–2). At eight weeks, the fetus has a complete central nervous system, a beating heart, a fully formed digestive system, well-defined fingers and toes, and the beginnings of facial features.

In the last 7 months of pregnancy, the fetal period, the fetus grows prodigiously. Periods of rapid cell division occur in organ after organ. The amniotic sac fills with fluid, and the mother's body changes. The uterus and its supporting muscles increase in size, the breasts may become tender and full, the nipples may darken in preparation for lactation, and the mother's blood volume increases by half to accommodate the added load of materials it must carry. Gestation lasts approximately 40 weeks and ends with the birth of the infant. The 40 or so weeks of pregnancy are divided into thirds, each of which is called a **trimester**.

A Note about Critical Periods Each organ and tissue type grows with its own characteristic pattern and timing. The development of each can take place only at a certain time—the **critical period**. Whatever nutrients and other environmental conditions are necessary during this period must be supplied on time if the organ is to reach its full potential. If the development of an organ is limited during a critical period, recovery is impossible. For example, the fetus's heart and brain are well developed at 14 weeks; the lungs, 10 weeks later. Therefore, early malnutrition impairs the heart and brain; later malnutrition impairs the lungs.

ovum the egg, produced by the mother, that unites with a sperm from the father to produce a new individual.

zygote (ZYE-goat) the product of the union of ovum and sperm; a fertilized ovum.

implantation the stage of development, during the first 2 weeks after conception, in which the fertilized egg (fertilized ovum or zygote) embeds itself in the wall of the uterus and begins to develop.

trimester a period representing one-third of the term of gestation. A trimester is about 13 to 14 weeks long.

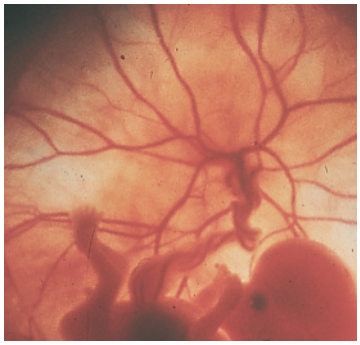
critical period a finite period during development in which certain events may occur that will have irreversible effects on later developmental stages. A critical period is usually a period of cell division in a body organ.

Figure 13–2

Stages of Embryonic and Fetal Development

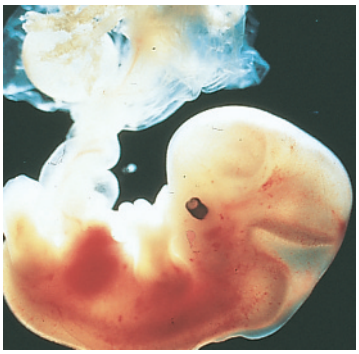
Petit Format/Nestle/Science Source

(1) A newly fertilized ovum, called a zygote, is about the size of the period at the end of this sentence. Less than 1 week after fertilization, the zygote has rapidly divided many times and has become ready for implantation.



Petit Format/Nestle/Science Source

(3) A fetus after 11 weeks of development is just over an inch long. Notice the umbilical cord and blood vessels connecting the fetus with the placenta.



Petit Format/Nestle/Science Source

(2) After implantation, the placenta develops and begins to provide nourishment to the developing embryo. An embryo 5 weeks after fertilization is about ½ inch long.

Michael Clement/Masterfile

(4) A newborn infant after 9 months of development measures close to 20 inches in length. The average birthweight is about 7½ pounds. From 8 weeks to term, the infant has grown 20-fold in length and 50-fold in weight.

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The effects of malnutrition during critical periods of pregnancy are seen in defects of the nervous system of the embryo (explained later), in a child's poor dental health, and in an adolescent's and adult's vulnerability to infections and possibly higher risks of diabetes, hypertension, stroke, or heart disease.[10] The effects of malnutrition during critical periods are irreversible: abundant and nourishing food, fed after the critical time, cannot remedy harm already done.

Table 13–2 identifies characteristics of a **high-risk pregnancy**. The more factors that apply, the higher the risk. All pregnant women, especially those in high-risk categories need **prenatal** medical care, including dietary advice.

Key Points

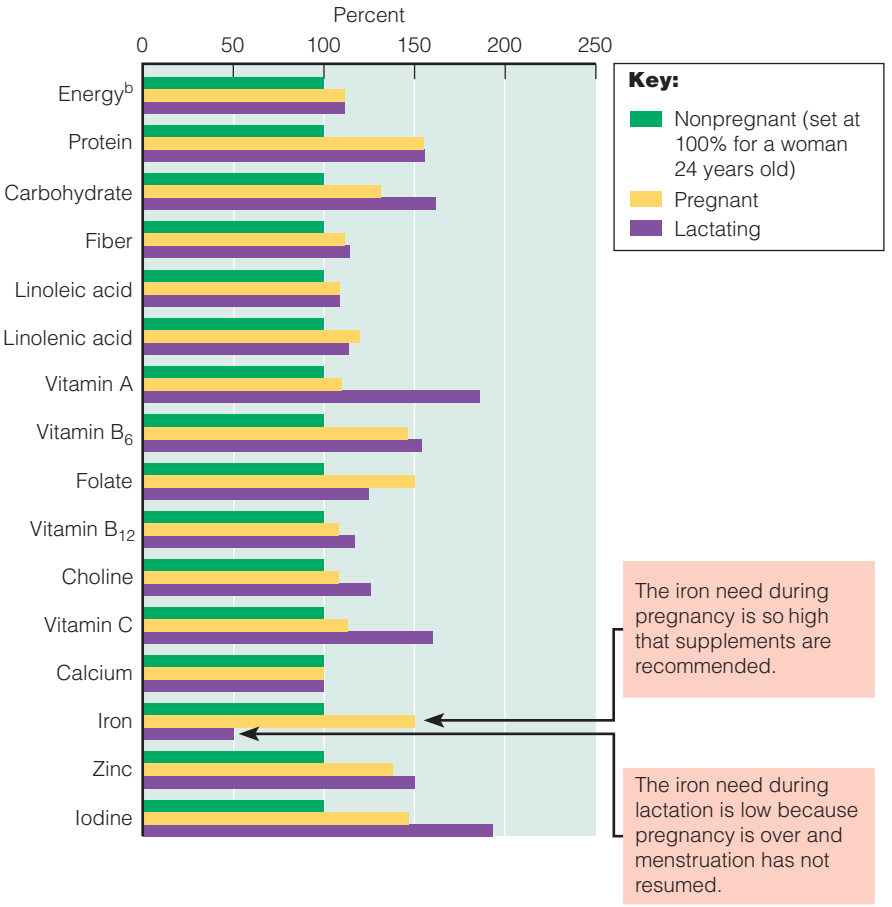
- Implantation, fetal development, and critical period development depend on maternal nutrition status.
- The effects of malnutrition during critical periods are irreversible.

Increased Needs for Nutrients

During pregnancy, a woman's nutrient needs increase more for certain nutrients than for others. Figure 13–3 shows the percentage increase in nutrient intakes recommended for pregnant or lactating women compared with nonpregnant women: notice how much longer the yellow and purple bars are than the green ones. The nutrient demands

Figure 13–3

Comparison of Selected Nutrient Recommendations for Nonpregnant, Pregnant, and Lactating Women[a]



[a]*Values for other nutrients are listed at the back of the book, pages* A *and* B.

[b]*Energy allowance during pregnancy is for the 2nd trimester; energy allowance during the 3rd trimester is slightly higher. No additional allowance is provided during the 1st trimester. Energy allowance during lactation is for the first 6 months; energy allowance during the second 6 months is slightly higher.*

Table 13–2

High-Risk Pregnancy Factors

- Prepregnancy BMI either <18.5 or ≥25
- Insufficient or excessive pregnancy weight gain
- Nutrient deficiencies or toxicities; eating disorders
- Poverty, lack of family support, low level of education, limited food availability
- Smoking, alcohol, or other drug use
- Age, especially 15 years or younger or 35 years or older
- Many previous pregnancies (3 or more in mothers younger than age 20; 4 or more in mothers age 20 or older)
- Short or long intervals between pregnancies (<18 months or >59 months)
- Previous history of problems such as low- or high-birthweight infants
- Multiples (twins, triplets, or more)
- Pregnancy-related hypertension or gestational diabetes
- Diabetes; heart, respiratory, or kidney disease; genetic disorders; special diets and medications

high-risk pregnancy a pregnancy characterized by risk factors that make it likely the birth will be complicated by premature delivery, difficult birth, retarded growth, birth defects, and early infant death. A *low-risk pregnancy* has none of these factors.

prenatal (pree-NAY-tal) before birth.

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Table 13–3

Daily Food Choices for Pregnancy (2nd and 3rd Trimesters) and Lactation

Food Group	Amount	Sample Menu	
Fruit	2 c	**Breakfast** 1 whole-wheat English muffin 2 tbs peanut butter 1 c low-fat vanilla yogurt ½ c fresh strawberries 1 c orange juice	**Dinner** Chicken cacciatore 3 oz chicken ½ c stewed tomatoes 1 c rice ½ c summer squash 1½ c salad (spinach, mushrooms, carrots) 1 tbs salad dressing 1 slice Italian bread 2 tsp soft margarine 1 c low-fat milk
Vegetables	3 c		
Grains	8 oz	**Midmorning snack** ½ c red grapes 1 oz pretzels	
Protein Foods	6½ oz	**Lunch** Sandwich (tuna salad on whole-wheat bread) ½ carrot (sticks) 1 c low-fat milk	
Milk	3 c		

Note: This sample meal plan provides about 2,500 calories (55% from carbohydrate, 20% from protein, and 25% from fat) and meets most of the vitamin and mineral needs of pregnant and lactating women.

of pregnancy are high, and a woman must make mindful food choices; her body will also do its part by maximizing nutrient absorption and minimizing nutrient losses.

Energy, Carbohydrate, Protein, and Fat Energy needs vary with the progression of pregnancy. In the first trimester, a pregnant woman needs no additional energy, but her energy needs rise as pregnancy progresses. She requires an additional 340 daily calories during the second trimester and an extra 450 calories each day during the third trimester. A woman can easily meet the need for extra calories by selecting more nutrient-dense foods from the five food groups. Table 13–3 offers sample menus for pregnant and lactating women.

Ample carbohydrate (ideally, 175 grams or more per day and certainly no less than 135 grams) is necessary to fuel the fetal brain and spare the protein needed for fetal growth. Whole-grain breads and cereals, dark green and other vegetables, legumes, and citrus and other fruit provide carbohydrates, nutrients, and phytochemicals along with fiber, which will help alleviate the constipation that many pregnant women experience.

The protein DRI during pregnancy calls for 25 grams per day more than for nonpregnant women. Pregnant women can easily meet their protein needs by selecting meats, seafood, low-fat dairy products, and protein-containing plant foods such as legumes, whole grains, nuts, and seeds. Protein supplements during pregnancy can be harmful to infant development, and their use is discouraged.

The high nutrient requirements of pregnancy leave little room in the diet for excess fat, especially solid fats such as fatty meats and butter. The essential fatty acids, however, are particularly important to the growth and development of the fetus.[11] The brain is composed mainly of lipid material and depends heavily on long-chain omega-3 and omega-6 fatty acids for its growth, function, and structure. Fish consumption during pregnancy provides a rich source of omega-3 fatty acids and may improve brain development and cognition in infants.[12] Table 5–5 (p. 159) lists food sources of omega-3 and omega-6 fatty acids.

Key Points

- Pregnancy brings physiological adjustments that demand increased intakes of energy and nutrients.
- A balanced nutrient-dense diet is essential for meeting nutrient needs.

Of Special Interest: Folate and Vitamin B_{12} Two vitamins famous for their roles in cell reproduction—folate and vitamin B_{12}—are needed in increased amounts

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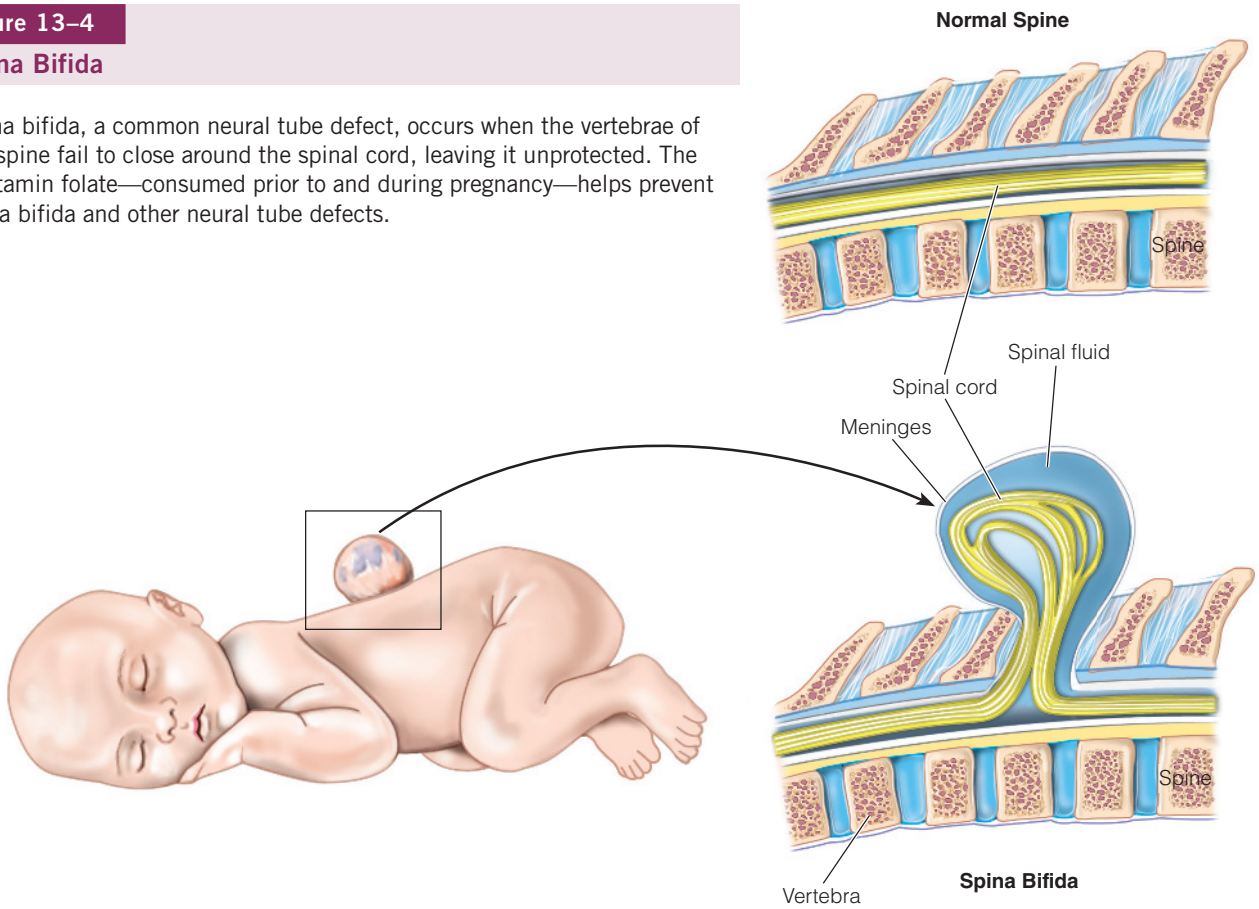
Figure 13–4
Spina Bifida

Spina bifida, a common neural tube defect, occurs when the vertebrae of the spine fail to close around the spinal cord, leaving it unprotected. The B vitamin folate—consumed prior to and during pregnancy—helps prevent spina bifida and other neural tube defects.



during pregnancy. New cells are laid down at a tremendous pace as the fetus grows and develops. At the same time, the number of the mother's red blood cells must rise because her blood volume increases, a function requiring more cell division and therefore more vitamins. To accommodate these needs, the recommendation for folate during pregnancy increases from 400 to 600 micrograms a day.

As described in Chapter 7, folate plays an important role in preventing neural tube defects. To review, the early weeks of pregnancy are a critical period for the formation and closure of the **neural tube** that will later develop to form the brain and spinal cord. By the time a woman suspects she is pregnant, usually around the sixth week of pregnancy, the embryo's neural tube normally has closed. A **neural tube defect (NTD)** occurs when the tube fails to close properly. Each year in the United States, an estimated 3,000 pregnancies are affected by NTDs.[13] The two most common types of NTD are anencephaly (no brain) and spina bifida (split spine).

In **anencephaly**, the upper end of the neural tube fails to close. Consequently, the brain is either missing or fails to develop. Pregnancies affected by anencephaly often end in miscarriage; infants born with anencephaly die shortly after birth.

Spina bifida is characterized by incomplete closure of the spinal cord and its bony encasement (see Figure 13–4). The membranes covering the spinal cord and sometimes the cord itself may protrude from the spine as a sac. Spina bifida often produces paralysis in varying degrees, depending on the extent of spinal cord damage. Mild cases may not be noticed. Moderate cases may involve curvature of the spine, muscle weakness, mental handicaps, and other ills; severe cases can result in death. A neural tube defect can occur in any pregnancy, but certain factors make it more likely (see Table 13–4, p. 484).[14]

To reduce the risk of neural tube defects, women who are capable of becoming pregnant are advised to obtain 400 micrograms of folic acid daily from supplements, fortified foods, or both, *in addition* to eating folate-rich foods (see Table 13–5, p. 484). The DRI committee recommends synthetic folate—folic acid—in supplements and fortified foods because it is better absorbed than the folate naturally present in foods. Foods that

neural tube the embryonic tissue that later forms the brain and spinal cord.

neural tube defect (NTD) a group of abnormalities of the brain and spinal cord that may appear at birth, caused by interruption of the normal early development of the neural tube.

anencephaly (an-en-SEFF-ah-lee) an uncommon and always fatal neural tube defect in which the brain fails to form.

spina bifida (SPY-na BIFF-ih-duh) one of the most common types of neural tube defects, in which gaps occur in the bones of the spine. Often the spinal cord bulges and protrudes through the gaps, resulting in a number of motor and other impairments.

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Table 13–4

Risk Factors for Neural Tube Defects

A neural tube defect can occur in any pregnancy, but these factors elevate the risk:

- A personal or family history of a pregnancy affected by a neural tube defect
- Maternal diabetes or obesity
- Maternal use of certain medications
- Mutations in folate-related enzymes
- Overheating or fever
- Inadequate folate

naturally contain folate are still important, however, because they contribute to folate intakes while providing other needed vitamins, minerals, fiber, and phytochemicals.

The folic acid enrichment of grain products (cereal, grits, pasta, rice, bread, and the like) sold commercially in the United States has improved the folate status of women of childbearing age and lowered the number of neural tube defects that occur each year.[15] A safety concern arises, however. Pregnant women also need a greater amount of vitamin B_{12} to assist folate in the manufacture of new cells. Because high intakes of folate complicate the diagnosis of a vitamin B_{12} deficiency, quantities of 1 milligram of folic acid or more require a prescription. Most over-the-counter (OTC) multivitamin supplements contain 400 micrograms of folic acid; supplements for pregnant women usually contain at least 800 micrograms.

People who eat meat, eggs, or dairy products receive all the vitamin B_{12} they need, even for pregnancy. Those who exclude all foods of animal origin from the diet need vitamin B_{12}–fortified foods or supplements.

Key Points

- Folate and vitamin B_{12} play key roles in cell replication and are needed in large amounts during pregnancy.
- Folate plays an important role in preventing neural tube defects.

Choline Although not defined as a vitamin, choline is commonly grouped with the B vitamins. Choline is a dietary component that is vital for the structural integrity of cell membranes, the synthesis of an important neurotransmitter, and the metabolism of lipids. During fetal development, choline is needed for the normal development of the brain and spinal cord.[16] During pregnancy, large amounts of choline are delivered to the fetus via the placenta. This transfer of choline from mother to fetus depletes maternal stores.

The DRI value for choline in pregnancy is set at 450 milligrams per day, which is slightly higher than for nonpregnant women. Because prenatal supplements do not typically contain choline, pregnant women are advised to include choline-rich foods such as eggs, dairy products, legumes, and meats and seafood regularly in their meals.

Vitamin D and Calcium Vitamin D and the minerals involved in building the skeleton—calcium, phosphorus, and magnesium—are in great demand during pregnancy. Insufficient intakes may adversely affect fetal bone growth and tooth development.

Vitamin D plays a vital role in calcium absorption and use. Severe maternal vitamin D deficiency interferes with normal calcium metabolism and, in rare cases, may cause the vitamin D–deficiency disease rickets in a newborn.[17] Regular exposure to sunlight and consumption of vitamin D–fortified milk are usually sufficient to provide the recommended amount of vitamin D during pregnancy (15 μg), which is the same as for

Table 13–5

Rich Folate Sources[a]

Natural Folate Sources	Fortified Folic Acid Sources
Liver (3 oz) 221 μg DFE[b]	Highly enriched ready-to-eat cereals (¾ c) 680 μg DFE[c]
Lentils (½ c) 179 μg DFE	Pasta, cooked (1 c) 154 (average value) μg DFE
Chickpeas or pinto beans (½ c) 145 μg DFE	Rice, cooked (1 c) 153 μg DFE
Asparagus (½ c) 134 μg DFE	Bagel (1 small whole) 156 μg DFE
Spinach (1 c raw) 58 μg DFE	Waffles, frozen (2) 78 μg DFE
Avocado (½ c) 61 μg DFE	Bread, white (1 slice) 48 μg DFE
Orange juice (1 c) 74 μg DFE	
Beets (½ c) 68 μg DFE	

[a]*Folate amounts for these and thousands of other foods are listed in the USDA Nutrient Database, https://ndb.nal.usda.gov/ndb/search/list.*

[b]*Dietary folate equivalent (see Chapter 7).*

[c]*Folic acid in cereals varies; read the Nutrition Facts panel of the label.*

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nonpregnant women. The vitamin D in prenatal supplements helps protect many, but not all, pregnant women from inadequate intakes.

A woman's intestinal absorption of calcium doubles early in pregnancy, and the extra mineral is stored in her bones. Later, as the fetal bones begin to calcify, a dramatic shift of calcium across the placenta occurs. In the final weeks of pregnancy, more than 300 milligrams of calcium a day are transferred to the fetus. Still unknown is whether the extra calcium added to the mother's bones early in pregnancy is withdrawn later to help meet the fetus's needs.

Typically, young women in this country take in too little calcium. Of particular importance, pregnant women younger than age 25, whose own bones are still actively depositing minerals, should strive to meet the DRI by increasing their intakes of calcium-rich foods. The calcium DRI for pregnant women is the same as for nonpregnant women of the same age group. To meet it, the USDA Dietary Patterns suggest consuming 3 cups per day of fat-free or low-fat milk or the equivalent in dairy products. Women who exclude dairy products need calcium-fortified foods such as soy milk, orange juice, and cereals. Less preferred is a daily supplement of 600 milligrams of calcium. Some research suggests that calcium supplementation during pregnancy may protect against hypertensive disorders during pregnancy in women who are at high risk and in those with low calcium intakes.[18]

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Iron A pregnant woman needs iron to help increase her blood volume and to provide for placental and fetal needs. A developing fetus draws heavily on the mother's iron stores to accumulate sufficient stores of its own to last through the first 4 to 6 months after birth. During the second and third trimesters of pregnancy, the hormone hepcidin, which regulates iron balance, is suppressed, and the mobilization of iron from maternal stores is enhanced.[19] The transfer of iron to the fetus is regulated by the placenta, which gives the iron needs of the fetus priority over those of the mother.[20] Even a woman with inadequate iron stores transfers a considerable amount of iron to the fetus. In addition, blood losses are inevitable at birth, especially during delivery by cesarean section, further draining the mother's iron supply. Women who enter pregnancy with iron-deficiency anemia have greater-than-normal risks of delivering low-birthweight or preterm infants.

During pregnancy, the body makes several adaptations to help meet the exceptionally high need for iron. Menstruation, the major route of iron loss in women, ceases, and absorption of iron increases up to threefold. Even so, to help prevent iron supplies from dwindling during pregnancy, all women capable of becoming pregnant are advised do three things:

1. Choose foods rich in heme iron (meat, fish, and poultry), which is most readily absorbed.
2. Choose additional iron sources, such as eggs, vegetables, and legumes.
3. Along with foods rich in iron, choose foods that enhance its absorption, such as vitamin C–rich fruits and vegetables.

Without corrective action, a woman's iron deficit worsens with each successive pregnancy. Few women enter pregnancy with adequate iron stores, so a daily 30-milligram iron supplement is recommended early in pregnancy. A woman with a severe deficiency may need more. To enhance iron absorption, the supplement should be taken between meals and with liquids other than milk, coffee, or tea, which inhibit iron absorption.

Zinc Zinc is vital for protein synthesis and cell development during pregnancy. Typical zinc intakes of pregnant women are lower than recommendations, but fortunately zinc absorption increases when intakes are low. Large doses of iron can interfere with zinc absorption and metabolism, but most prenatal supplements supply the right balance of these minerals for pregnancy. Zinc is abundant in protein-rich foods such as shellfish, meat, and nuts.

Key Points

- Choline is needed for the normal development of the fetus's brain and spinal cord.
- Adequate vitamin D and calcium are indispensable for normal fetal bone development.
- Iron supplements are recommended for pregnant women.
- Zinc is needed for protein synthesis and cell development during pregnancy.

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Prenatal Supplements A healthy pregnancy and optimal infant development depend heavily on the mother's diet.[21] Pregnant women can meet most of their nutrient needs—except for iron—by making wise food choices. Even so, physicians routinely recommend **prenatal supplements**, which provide more folate, iron, and calcium than regular supplements. Women with poor diets need them urgently, as do women in these high-risk groups: women carrying twins or triplets and women who smoke cigarettes, drink alcohol, or abuse drugs. For these women in particular, prenatal supplements may reduce the risks of preterm delivery, low infant birthweights, and birth defects.

Key Points

- Physicians routinely recommend daily prenatal multivitamin–mineral supplements for pregnant women.
- Prenatal supplements are most likely to benefit women who do not eat adequately, who are carrying twins or triplets, or who smoke cigarettes, drink alcohol, or abuse drugs.

Food Assistance Programs

The nationwide **Special Supplemental Nutrition Program for Women, Infants, and Children (WIC)** provides vouchers redeemable for nutritious foods, along with nutrition education and referrals to health and social services, for pregnant and lactating women and their children in need.[22] WIC-sponsored foods include baby foods, eggs, dried and canned beans and peas, tuna fish, peanut butter, fruit and vegetables and their juices, iron-fortified cereals, milk and cheese, soy-based beverages and tofu, whole-wheat bread, and other whole-grain products. WIC encourages breastfeeding and offers incentives to mothers who feed their infants breast milk. For infants given infant formula, WIC also provides iron-fortified formula.

Almost 7 million people—most of them infants and young children—receive WIC benefits each month. Proven benefits from WIC participation include improved nutrient status and growth among infants and children, improved iron status among pregnant women, reduced risks of infant mortality and low birthweight, and reduced maternal and newborn medical costs. In addition to WIC, the Supplemental Nutrition Assistance Program (formerly the Food Stamp Program) can help stretch a family's grocery dollars when paychecks are too low to cover life's most basic needs.

Key Points

- Food assistance programs such as WIC can provide nutritious food for pregnant women of limited financial means.
- Participation in WIC during pregnancy can reduce iron deficiency, infant mortality, low birthweight, and maternal and newborn medical costs.

How Much Weight Gain Is Ideal during Pregnancy?

Women must gain weight during pregnancy—fetal and maternal well-being depends on it. Ideally, a woman will have begun her pregnancy at a healthy weight, and she will gain appropriately for her prepregnancy body mass index (BMI) and the number of fetuses she carries, as shown in Table 13–6. The benefits of proper weight gain include a lower risk of surgical birth, a greater chance of having a healthy birthweight baby, and other positive outcomes for both mothers and infants. Many women exceed the recommended ranges, however, and a few fall short.[23] To improve pregnancy outcomes, researchers and health-care providers are placing greater emphasis on preventing excessive weight gains during pregnancy than in the recent past.[24]

Weight loss during pregnancy is not recommended.[25] A woman who is obese is advised to gain between 11 and 20 pounds for the best chance of delivering a healthy baby. Ideally, women who are overweight will achieve a healthy body weight before becoming pregnant, avoid excessive weight gain during pregnancy, and postpone weight loss until after childbirth.

The ideal weight-gain pattern for a woman who begins pregnancy at a healthy weight is 3½ pounds during the first trimester and 1 pound per week thereafter. If a

prenatal supplements nutrient supplements specifically designed to provide the nutrients needed during pregnancy—particularly folate, iron, and calcium—without excesses or unneeded constituents.

Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) a USDA program designed to assist families with low-incomes by offering pregnant and lactating women and those with infants or preschool children coupons redeemable for specific foods that supply the nutrients deemed most necessary for growth and development. For more information, visit www.fns.usda.gov/wic/women-infants-and-children-wic

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Table 13–6

Recommended Pregnancy Weight Gains Based on Prepregnancy Weight

	Recommended Weight Gain	
Prepregnancy Weight	***For single birth***	***For twin birth***
Underweight (BMI <18.5)	28 to 40 lb (12.5 to 18.0 kg)	Insufficient data to make recommendation
Healthy weight (BMI 18.5 to 24.9)	25 to 35 lb (11.5 to 16.0 kg)	37 to 54 lb (17.0 to 25.0 kg)
Overweight (BMI 25.0 to 29.9)	15 to 25 lb (7.0 to 11.5 kg)	31 to 50 lb (14.0 to 23.0 kg)
Obese (BMI ≥30)	11 to 20 lb (5.0 to 9.0 kg)	25 to 42 lb (11.0 to 19.0 kg)

Source: Institute of Medicine, Weight Gain during Pregnancy: Reexamining the Guidelines (Washington, DC: National Academies Press, 2009).

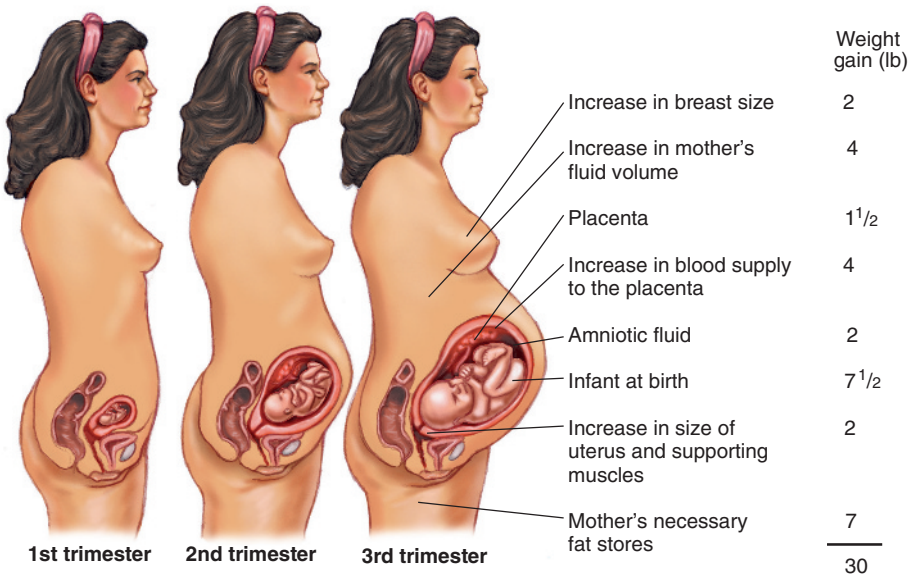
woman gains more than is recommended early in pregnancy, she should not restrict her energy intake later on to lose weight. A sudden, large weight gain is a danger signal, however, because it may indicate the onset of preeclampsia (see the section titled "Troubleshooting"). The weight a pregnant woman gains includes maternal and fetal fat and lean tissue, as well as the placenta and amniotic fluid (see Figure 13–5). The fat she gains is needed later to support lactation.

Weight Loss after Pregnancy

A pregnant woman loses some weight at delivery. In the following weeks, she loses more as her blood volume returns to normal and she loses accumulated fluids. Typically, a woman does not immediately return to her prepregnancy weight. In general, the more weight a woman gains beyond the needs of pregnancy, the more she retains and the more likely she will continue to gain over the next several years. Even without excessive gain, most women tend to retain a few pounds with each pregnancy. When the weight gain has added up to more than a few pounds, risks of diabetes and hypertension in future pregnancies, as well as chronic diseases later in life, can increase. Women who achieve a healthy

Figure 13–5

Components of Weight Gain during Pregnancy



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weight prior to the first pregnancy and maintain it between pregnancies best avoid the cumulative weight gain that threatens health later on.

Key Points

- Appropriate weight gain is essential for a healthy pregnancy.
- Weight gain recommendations are influenced by the prepregnancy BMI and number of fetuses in the pregnancy.

Should Pregnant Women Be Physically Active?

An active, physically fit woman experiencing a normal, healthy pregnancy can and should continue to exercise throughout pregnancy, adjusting the intensity and duration as the pregnancy progresses. Staying active improves the fitness of the mother-to-be, facilitates labor, helps to prevent or manage gestational diabetes and gestational hypertensive disorders, and reduces psychological stress.[26] Active women report fewer discomforts throughout their pregnancies and are more likely to meet weight gain recommendations and retain habits that help to lose excess weight and regain fitness later.

Pregnant women should choose low-impact activities and avoid sports in which they might fall or be hit by other people or objects. (For some safe activity suggestions, see the Think Fitness box.) Pregnant women with medical conditions or pregnancy complications should seek medical advice before engaging in physical activity. (A few more guidelines are offered in Figure 13–6.) Several of the guidelines are aimed at preventing excessively high internal body temperature and dehydration, both of which can harm fetal development. To this end, pregnant women should also stay out of saunas, steam rooms, and hot whirlpools.

Key Points

- Physically fit women can continue physical activity throughout pregnancy but should choose activities wisely.
- Pregnant women should avoid sports in which they might fall or be hit and should not become overheated or dehydrated.

Teen Pregnancy

The number of infants born to teenaged mothers has steadily declined during the last 50 years. Despite this decline, however, the U.S. teen birthrate is still one of the highest among industrialized nations. In 2017, about 195,000 infants were born to teenaged U.S. mothers.[27]

Figure 13–6

Guidelines for Physical Activity during Pregnancy

Pregnant women can enjoy the benefits of physical activity.

DO		DON'T
Do exercise regularly (most, if not all, days of the week). Do warm up with 5 to 10 minutes of light activity. Do 30 minutes or more of moderate physical activity. Do cool down with 5 to 10 minutes of slow activity and gentle stretching. Do drink water before, during, and after exercise. Do eat enough to support the additional needs of pregnancy plus exercise. Do rest adequately.	Ampyang/Shutterstock.com	Don't exercise vigorously after long periods of inactivity. Don't exercise in hot, humid weather. Don't exercise when sick with fever. Don't exercise while lying on your back after the first trimester of pregnancy or stand motionless for prolonged periods. Don't exercise if you experience any pain or discomfort. Don't participate in activities that may harm the abdomen or involve jerky, bouncy movements. Don't scuba dive.

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Think Fitness — Physical Activities for Pregnant Women

Is there an ideal physical activity for pregnant women? There might be. Swimming and water aerobics offer advantages over other activities during pregnancy. Water cools and supports the body, provides a natural resistance, and lessens the impact of the body's movement, especially in the later months. Water aerobics can help reduce the intensity of back pain during pregnancy. Other activities considered safe and comfortable for pregnant women include walking, light strength training, rowing, yoga, and climbing stairs.

Start now! Ready to make a change? If you weren't exercising regularly before you became pregnant, talk to your doctor before undertaking an activity. Track your activity daily with pencil and paper, or with any of a number of online or digital fitness trackers. Take note of and celebrate your progress.

A pregnant adolescent presents a special case of intense nutrient needs. Young teenage girls have a hard enough time meeting nutrient needs for their own rapid growth and development, let alone those of pregnancy. Simply being young and physically immature increases the risks of pregnancy complications. Pregnant adolescents are less likely to receive early prenatal care and are more likely to smoke during pregnancy—two factors that predict low birthweight and infant death.[28]

The typical energy-dense, but nutrient-poor, diet of pregnant adolescents intensifies the risk of low-birthweight infants. Common complications among adolescent mothers include iron-deficiency anemia (which may reflect poor diet and inadequate prenatal care) and prolonged labor (which reflects the mother's physical immaturity). On a positive note, maternal deaths are less common in mothers younger than age 20.

The rates of stillbirths, preterm births, and low-birthweight infants are high when either parent is a teen. Adequate nutrition and appropriate weight gain during pregnancy are indispensable components of prenatal care for teenagers and can substantially improve the outlook for both mother and infant.

A pregnant teenager with a healthy body weight is encouraged to gain about 35 pounds. Pregnant and lactating teenagers can follow the USDA Healthy U.S.-Style Dietary Pattern presented in Table E–1 (p. E-2), choosing a calorie level high enough to support adequate, but not excessive, weight gain.

Key Points

- Pregnant teenage girls have extraordinarily high nutrient needs and an increased likelihood of problem pregnancies.
- Adequate nutrition and appropriate weight gain for pregnant teenagers can substantially improve outcomes for mothers and infants.

Why Do Some Women Crave Pickles and Ice Cream While Others Can't Keep Anything Down?

Does pregnancy give a woman the right to demand pickles and ice cream at 2 a.m.? Perhaps so, but not for nutrition's sake. Food cravings and aversions during pregnancy are common but do not seem to reflect real physiological needs. In other words, a woman who craves pickles is probably not in need of salt. In the United States, food cravings commonly include ice cream, chocolate, fruit, and salty foods like chips.[29] Food cravings and aversions that arise during pregnancy may be due to hormone-induced changes in taste and sensitivities to smells, and they quickly disappear after the birth.

Some pregnant women respond to cravings by eating nonfood items such as laundry starch, clay, soil, or ice—a practice known as pica. Pica may be practiced for cultural reasons that reflect a society's folklore. Chapter 8 provides more details.

The nausea of "morning sickness" may actually occur at any time and may even be a welcome sign of a healthy pregnancy because it arises from the normal, expected hormonal changes of early pregnancy. Morning sickness typically peaks at 9 weeks

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Table 13–7

Tips for Relieving Common Discomforts of Pregnancy

To alleviate the nausea of pregnancy:

- On waking, get up slowly.
- Eat dry toast or crackers.
- Chew gum or suck hard candies.
- Eat small, frequent meals whenever hunger strikes.
- Avoid foods with offensive odors.

To prevent or alleviate constipation:

- Eat foods high in fiber.
- Exercise daily.
- Drink at least 8 cups of liquids a day.
- Respond promptly to the urge to defecate.
- Use laxatives only as prescribed by a physician.

To prevent or relieve heartburn:

- Relax and eat slowly.
- Chew food thoroughly.
- Eat small, frequent meals.
- Drink liquids between meals.
- Avoid spicy or greasy foods.
- Sit up while eating.
- Wait an hour after eating before lying down.
- Wait 2 hours after eating before exercising.

of gestation and resolves within a month or two. Many women complain that odors, especially cooking smells, make them feel nauseated, so minimizing odors may provide some relief. Traditional strategies for quelling nausea are listed in Table 13–7. Some women do best by simply eating what they desire whenever they feel hungry. Morning sickness can be persistent, however, and if it interferes with normal eating for more than a week, a woman should seek medical help to prevent nutrient deficiencies.

As the hormones of pregnancy alter her muscle tone and the thriving fetus crowds her intestinal organs, an expectant mother may complain of heartburn or constipation. Raising the head of the bed with two or three pillows can help relieve nighttime heartburn. A high-fiber diet, physical activity, and a plentiful fluid intake will help relieve constipation. Pregnant women should use laxatives or heartburn medications only if their physician prescribes them.

Key Points

- Food cravings usually do not reflect physiological needs, and some may interfere with nutrition.
- Nausea arises from normal hormonal changes of pregnancy.

Some Cautions for Pregnant Women

Some choices that pregnant women make or substances they encounter can harm the fetus, sometimes severely. Smoking and other threats all deserve consideration, but alcohol constitutes an even greater threat to fetal health and is given a section of its own.

Cigarette Smoking Parental smoking can injure an otherwise healthy fetus or newborn. Unfortunately, an estimated 10 percent of pregnant women in the United States smoke, and rates are even higher for unmarried women and non–high school graduates.[30]

Constituents of cigarette smoke, such as nicotine, carbon monoxide, arsenic, and cyanide, are toxic to a fetus.[31] Smoking restricts the blood supply to the growing fetus and so limits the delivery of oxygen and nutrients and the removal of wastes. It slows fetal growth, can reduce brain size, and may impair the intellectual and behavioral development of a child later in life. Smoking during pregnancy damages fetal blood vessels, an effect that is still apparent at the age of 5 years.

A mother who smokes is more likely than others to have a complicated birth and a low-birthweight infant. The more a mother smokes, the smaller her baby will be. Of all preventable causes of low birthweight in the United States, smoking exerts the greatest impact. Both cigarette smoking during pregnancy and infant exposure to **environmental tobacco smoke** (secondhand smoke) increase the risk of **sudden infant death syndrome (SIDS)**. Table 13–8 lists complications of smoking during pregnancy.

Alternatives to smoking—such as e-cigarettes (vaping), using snuff, chewing tobacco, or using nicotine-replacement therapy—are not safe during pregnancy. A woman who uses nicotine in any form and who expects to become pregnant or is already pregnant should quit.

Table 13–8

Complications Associated with Smoking during Pregnancy

- Fetal growth restriction
- Preterm birth
- Low birthweight
- Premature separation of the placenta
- Miscarriage
- Stillbirth
- Sudden infant death syndrome
- Congenital malformations

Medicinal Drugs and Herbal Supplements Medicinal drugs taken during pregnancy can cause birth defects. A pregnant woman should not take OTC drugs or any medications unless they are prescribed by her physician; even then, she should read the labels and take warnings seriously.

Some pregnant women mistakenly consider herbal supplements to be safe alternatives to medicinal drugs and take them to relieve nausea, promote water loss, alleviate depression, aid sleep, or for other reasons. Some herbal products may be safe, but almost none have been tested for safety or effectiveness during pregnancy. Pregnant women should stay away from herbal supplements, teas, or other products unless their safety during pregnancy has been established.

Drugs of Abuse Drugs of abuse such as methamphetamine and cocaine easily cross the placenta and impair fetal growth and development. Furthermore, such drugs

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Table 13–9

Advice for Pregnant (and Lactating) Women Eating Fish

Best choices Eat 2–3 servings/week	Anchovy, Atlantic croaker, Atlantic mackerel, black sea bass, butterfish, catfish, clam, cod, crab, crawfish, flounder, haddock, hake, herring, lobster, mullet, oyster, Pacific chub mackerel, perch, pickerel, plaice, pollock, salmon, sardine, scallop, shad, shrimp, skate, smelt, sole, squid, tilapia, trout, tuna (canned light), whitefish, whiting
Good choices Eat 1 serving/week	Atlantic tilefish, bluefish, buffalo fish, carp, Chilean sea bass, grouper, halibut, mahi mahi, monkfish, Pacific croaker, rockfish, sablefish, sea trout, sheepshead, snapper, Spanish mackerel, striped bass, tuna (yellowfin and albacore, white tuna, canned and fresh/frozen), white croaker
Poor choices Avoid eating	King mackerel, marlin, orange roughy, shark, swordfish, Gulf of Mexico tilefish, tuna (bigeye)

Source: FDA and EPA, Eating fish: What pregnant women and parents should know (2017), available at www.fda.gov/Food/ResourcesForYou/Consumers/ucm393070.htm.

are responsible for preterm births, low-birthweight infants, and sudden infant deaths. If infants who are impaired in these ways survive, they suffer central nervous system damage: their cries, sleep, and behaviors early in life are abnormal, and their cognitive development later in life is impaired. They may be hypersensitive or underaroused; many suffer the symptoms of withdrawal.[32] Delays in their growth and development persist throughout childhood and adolescence.

Environmental Contaminants Pregnant women who are exposed to contaminants such as lead may bear low-birthweight infants with delayed mental and psychomotor development and who therefore struggle to survive. During pregnancy, the heavy metal lead readily moves across the placenta into the fetus's body, inflicting severe damage on a developing fetal nervous system. For pregnant women, choosing a diet free of lead contamination takes on extra urgency. Adequate dietary calcium can help defend against lead toxicity by reducing its absorption.

Fatty fish is a good source of omega-3 fatty acids, but some species contain large amounts of the pollutant mercury that can harm the developing fetal brain and nervous system (described in Chapter 12). The benefits of eating fish and shellfish greatly outweigh the dangers so pregnant and lactating women are urged to consume 8 to 12 ounces of lower-mercury cooked or canned fish and seafood per week (see Table 13–9), and to avoid the high-mercury species listed at the bottom of the table.[33]

Foodborne Illness The vomiting and diarrhea caused by many foodborne illnesses can leave a pregnant woman exhausted and dangerously dehydrated. Particularly threatening, however, is **listeriosis**, which can cause miscarriage, stillbirth, or severe brain or other infections in fetuses and newborns.[34] Pregnant women are more likely than other healthy adults to contract listeriosis. A woman with listeriosis may develop symptoms such as fever, vomiting, and diarrhea in about 12 hours after eating a contaminated food and serious symptoms may develop 1 to 6 weeks later. A blood test can reliably detect listeriosis, and antibiotics given promptly to a pregnant sufferer can often prevent infection of the fetus or newborn. To protect herself and her fetus from listeriosis, a pregnant woman should follow all of the food safety advice given in Chapter 12. In addition, she should observe the following recommendations:

- Use only pasteurized juices and dairy products; eat soft cheeses such as feta, brie, Camembert, panela, "queso blanco," "queso fresco," and blue-veined cheeses such as Roquefort only if the label clearly states the products are made from pasteurized milk; do not drink raw (unpasteurized) milk or eat foods that contain it.
- Do not eat hot dogs or luncheon or deli meats unless they are heated until steaming hot.
- Thoroughly cook meat, poultry, eggs, and seafood.

environmental tobacco smoke the combination of exhaled smoke (mainstream smoke) and smoke from lighted cigarettes, pipes, or cigars (sidestream smoke) that enters the air around smokers and may be inhaled by other people. Also called *second-hand smoke*.

sudden infant death syndrome (SIDS) the unexpected and unexplained death of an apparently well infant; the most common cause of death of infants between the second week and the end of the first year of life; also called *crib death*.

listeriosis a serious foodborne infection that can cause severe brain infection or death in a fetus or a newborn; caused by the bacterium *Listeria monocytogenes*, which is found in soil and water.

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- Wash all fruit and vegetables.
- Avoid refrigerated patés or smoked seafood or fish labeled "nova-style," "lox," or "kippered." Canned varieties are generally safe.
- Do not eat ham, chicken, or seafood salads made in delicatessens, restaurants, or stores. Make these salads at home following food safety guidelines, or buy canned varieties.

Vitamin–Mineral Overdoses Many vitamins and minerals are toxic when taken in excess. Excess vitamin A is widely known for causing malformations of the cranial nervous system in the fetus. Intakes before the seventh week of pregnancy appear to be the most damaging. For this reason, vitamin A supplements are not given during pregnancy unless there is specific evidence of deficiency, which is rare.

Restrictive Dieting Restrictive dieting, even for short periods, can be hazardous during pregnancy. In particular, low-carbohydrate diets or fasts that cause ketosis deprive a growing fetal brain of needed glucose and may impair cognitive development. Such diets are also likely to lack other nutrients vital to fetal growth. Regardless of prepregnancy weight, pregnant women need adequate diets to support healthy fetal development.

Sugar Substitutes Low-calorie sweeteners have been studied extensively and found to be acceptable during pregnancy if used within the FDA's guidelines. Women with inborn errors of metabolism should not use products that contain compounds that they can't metabolize. For example, a woman with phenylketonuria (PKU) should not ingest the low-calorie sweetener aspartame.

Caffeine Caffeine crosses the placenta, and the fetus has only a limited ability to metabolize it. Even so, women can safely consume up to two cups a day without apparent ill effects on their pregnancy duration or outcome.[35] Limited evidence suggests that heavy use—intakes equaling more than three cups of coffee a day—may increase risks of miscarriage and low birthweight.[36] The most sensible course is to limit caffeine consumption to the equivalent of two cups of coffee or three 12-ounce cola beverages a day. Caffeine amounts in foods and beverages are displayed in Controversy 11 (p. 429).

Figure 13–7

Mixed Messages in Alcohol Advertisements

Labels on alcoholic beverages often display "healthy" images, but their warnings must tell the truth.

Key Points

- Smoking during pregnancy delivers toxins to the fetus, restricts fetal growth, and limits the delivery of oxygen and nutrients and the removal of wastes.
- Smoking and other drugs, contaminants such as mercury, foodborne illnesses, large supplemental doses of nutrients, weight-loss diets, and excessive use of low-calorie sweeteners and caffeine should be avoided during pregnancy.

Drinking during Pregnancy

LO 13.2 Summarize the evidence against alcohol use during pregnancy.

Alcohol is arguably the most hazardous drug to future generations because it is legally available, heavily promoted, and widely abused. Society sends mixed messages concerning alcohol. Beverage companies promote images of drinkers as healthy and active. Opposing these images, health authorities warn that alcohol can injure health, especially during pregnancy (see Figure 13–7). Every container of beer, wine, liquor, or mixed drinks for sale in the United States is required to warn purchasers of the dangers of drinking during pregnancy.

Alcohol's Effects

Women of childbearing age need to know about alcohol's harmful effects on a fetus. Alcohol crosses the placenta freely and is directly toxic:

- A sudden dose of alcohol can halt the delivery of oxygen through the umbilical cord. The fetal brain and nervous system are extremely vulnerable to a deficit of oxygen or glucose, and alcohol causes both by disrupting placental functioning.

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Alcohol slows cell division, reducing the number of cells produced and inflicting abnormalities on those that are produced and all of their progeny.

- During the first month of pregnancy, the fetal brain is growing at the rate of 100,000 new brain cells a minute. Even a few minutes of alcohol exposure during this critical period can exert a major detrimental effect.
- Alcohol interferes with placental transport of nutrients to the fetus and can cause malnutrition in the mother; then all of malnutrition's harmful effects compound the effects of the alcohol.
- Before fertilization, alcohol can damage the ovum or sperm in the mother- or father-to-be, leading to abnormalities in the child.

Key Points

- Alcohol crosses the placenta and is directly toxic to the fetus.
- Alcohol limits oxygen delivery to the fetus, slows cell division, and reduces the number of cells that organs produce.

Fetal Alcohol Syndrome

Drinking alcohol during pregnancy threatens the fetus with irreversible brain damage, growth restriction, mental retardation, facial abnormalities, vision abnormalities, and many more health problems—a spectrum of symptoms known as **fetal alcohol spectrum disorders**, or **FASD**. Children at the most severe end of the spectrum (those with all of the symptoms) are defined as having **fetal alcohol syndrome**, or **FAS**. The life-long mental retardation and other tragedies of FAS can be prevented by abstaining from drinking alcohol during pregnancy. Once the damage is done, however, the child remains impaired for life. Figure 13–8 shows the facial abnormalities of FAS, which are easy to recognize. A visual picture of the internal harm is impossible, but that damage seals the fate of the child. FASD is the leading cause of preventable developmental delays and intellectual disabilities in the world.

Even when a child does not develop full FAS, prenatal exposure to alcohol can lead to less severe, but nonetheless serious, mental and physical problems. The cluster of mental problems is known as **alcohol-related neurodevelopmental disorder (ARND)**, and the physical malformations are referred to as **alcohol-related birth defects (ARBD)**.* Some of these children show no outward sign of impairment, but others are short in stature or display subtle facial abnormalities. Many perform poorly in school and in social interactions and suffer a subtle form of brain damage. Mood disorders and problem behaviors, such as aggression, are common.

Many children with ARND or ARBD go undiagnosed until problems develop in the preschool years. Upon reaching adulthood, such children are ill equipped for employment, relationships, and the other facets of life most adults take for granted. Alcohol exposure before birth may alter the person's later response to alcohol and other mind-altering drugs, making addictions likely.

Key Points

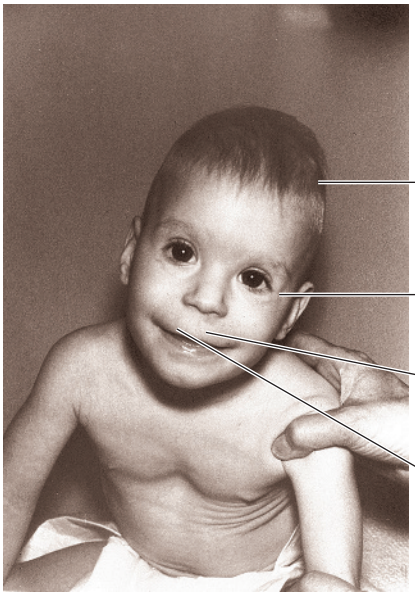
- The severe birth defects of fetal alcohol syndrome arise from damage done to the fetus by alcohol.
- Lesser conditions, ARND and ARBD, also arise from alcohol use in pregnancy.

Experts' Advice

Despite alcohol's injurious potential, 1 of every 9 pregnant women report drinking alcohol in the past 30 days, and among those women, about one-third report "binge" drinking (four or more drinks on one occasion).[37] Controversy 3, p. 93, defines binge drinking and other alcohol-related terms.

*Formerly, ARND and ARBD were grouped together and called fetal alcohol effects (FAE).

Figure 13–8
Typical Facial Characteristics of FAS



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fetal alcohol spectrum disorders (FASD) a spectrum of physical, behavioral, and cognitive disabilities caused by prenatal alcohol exposure.

fetal alcohol syndrome (FAS) the cluster of symptoms including brain damage, growth restriction, mental retardation, and facial abnormalities seen in an infant or child whose mother consumed alcohol during her pregnancy.

alcohol-related neurodevelopmental disorder (ARND) behavioral, cognitive, or central nervous system abnormalities associated with prenatal alcohol exposure.

alcohol-related birth defects (ARBD) malformations in the skeletal and organ systems (heart, kidneys, eyes, ears) associated with prenatal alcohol exposure.

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Women who know they are pregnant and choose to drink alcohol often ask, "How much alcohol is too much?" The damaging effects are dose dependent, becoming greater as the dose increases. Even one drink a day threatens neurological development and behavior. Low birthweight and FAS are reported among infants born to women who drink 1 ounce of alcohol (two drinks) per day during pregnancy, and birth defects are common in infants whose mothers drank 2 ounces a day. Compared with women who do not drink, those who consume five or more drinks *per week* experience a sizable and significant increase in stillbirths. The most severe impacts are observed within the first 2 months, when a woman may not even suspect that she is pregnant.

Researchers have looked for a "safe" alcohol intake limit during pregnancy and have found none.[38] Their conclusion: abstinence from alcohol is the only acceptable course of action for pregnant women. Given such evidence, the Dietary Guidelines for Americans and the American Academy of Pediatrics (AAP) state that women should stop drinking as soon as they *plan* to become pregnant, an important step for fathers-to-be as well. The authors of this book recommend this choice, too. For a pregnant woman who has already been drinking alcohol, the best advice is "Stop now." A woman who has drunk heavily during the first two-thirds of her pregnancy can still prevent some organ damage by stopping during the third trimester.

Key Points

- Alcohol's damaging effects on the fetus are dose dependent, becoming greater as the dose increases.
- Abstinence from alcohol in pregnancy is critical to preventing irreversible damage to the fetus.

Troubleshooting

LO 13.3 List the effects of diabetes, hypertension, and preeclampsia on pregnancy.

Disease during pregnancy can endanger the health of the mother and the health and growth of the fetus. If discovered early, many diseases can be controlled—another reason early prenatal care is recommended.

Diabetes

Pregnancy presents special challenges for the management of diabetes. Pregnant women with unmanaged type 1 or type 2 diabetes may experience episodes of severe hypoglycemia or hyperglycemia, preterm labor, and pregnancy-related hypertension. Infants may be large or may suffer physical and mental abnormalities or other complications such as respiratory distress. Signs of fetal health problems are apparent even in prediabetes, when maternal glucose is only slightly above normal.

Ideally, a woman with signs of diabetes will receive the prenatal care necessary to achieve blood glucose control. During the first trimester and throughout the pregnancy, this control is associated with the lowest frequency of maternal, fetal, and newborn complications. Continued diabetes management after pregnancy will guard the woman's long-term health.

Some women are prone to develop a pregnancy-related form of diabetes, **gestational diabetes**, which puts both mother and child at risk for later problems. The infant may have a high birthweight and face a higher-than-normal risk of illness and mortality; the birth may be difficult and necessitate a cesarian section, and the mother may develop type 2 diabetes later in life, especially if she is overweight.[39] For this reason, health care professionals strongly advise against excessive weight gain during—and after—pregnancy. Weight gains after pregnancy increase the risk of gestational diabetes in the next pregnancy.

When gestational diabetes is identified early and managed properly, the most serious risks fall dramatically. To ensure prompt diagnosis and treatment, at the first prenatal visit physicians screen all women who are overweight (BMI $\geq$ 25) and who have one or more additional risk factors for type 2 diabetes. (Risk factors include high

gestational diabetes abnormal glucose tolerance appearing during pregnancy.

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blood pressure, a family history of diabetes or heart disease, previous gestational diabetes, and membership in a family that is Latino, African American, Native American, Asian American, or Pacific Islander.) In addition, at 24 to 28 weeks of gestation, all pregnant women not previously diagnosed with diabetes are tested for glucose intolerance.[40]

Hypertension

Hypertension during pregnancy may be **chronic hypertension** or **gestational hypertension**. Chronic hypertension is generally present before and remains after pregnancy. In gestational hypertension, blood pressure usually returns to normal during the first few weeks after childbirth. Both types of hypertension pose risks to the mother and fetus; the higher the blood pressure, the worse the risk. In addition to heart attack and stroke, high blood pressure may increase the likelihood of growth restriction, preterm birth, and separation of the placenta from the wall of the uterus before the birth. Both chronic hypertension and gestational hypertension also increase the risk of preeclampsia.

Preeclampsia

Preeclampsia involves both high blood pressure and protein in the urine. Recently, however, preeclampsia is described as more than a hypertensive disorder with renal dysfunction. Preeclampsia is a pregnancy-specific multisystemic syndrome involving placental stress, inflammation, and metabolic dysfunction.[41] Much remains to be learned about the prevention and treatment of preeclampsia, but the World Health Organization recommends calcium supplementation for pregnant women at high risk of preeclampsia or those with low calcium intakes to help prevent preeclampsia.[42] Preeclampsia usually occurs in first pregnancies (see Table 13–10 for its warning signs), almost always appears after 20 weeks of gestation, and starts to disappear within a few days after delivery. Because delivery is the only known cure, preeclampsia is a leading cause of medically induced preterm delivery.

Preeclampsia affects almost all of the mother's organs—the circulatory system, liver, kidneys, and brain. If the condition progresses, she may experience seizures; when this occurs, the condition is called **eclampsia**. Maternal deaths during pregnancy are rare in developed countries, but among those that do occur, eclampsia is one of the most common causes. Preeclampsia and eclampsia demand prompt medical attention.

Key Points

- If discovered early, many diseases of pregnancy can be controlled—an important reason early prenatal care is recommended.
- Gestational diabetes, hypertension, and preeclampsia are problems of some pregnancies that must be managed to minimize associated risks.

Table 13–10

Warning Signs of Preeclampsia

- Hypertension
- Protein in the urine
- Upper abdominal pain
- Severe and constant headaches
- Swelling, especially of the face
- Dizziness
- Blurred vision
- Sudden weight gain (1 lb/day)

Lactation

LO 13.4 Explain how nutrition supports lactation.

As the time of childbirth nears, a woman must decide whether she will feed her baby breast milk, infant formula, or both. These are the only foods recommended for infants during the first 4 to 6 months of life. This section focuses on how the mother's nutrition supports the making of breast milk, and the next section describes how the infant benefits from drinking breast milk.

Breastfeeding requires some thoughtful planning. A woman who plans to breastfeed her baby should begin to prepare toward the end of her pregnancy. No elaborate or expensive preparations are needed, but an expectant mother can read one of the many handbooks available on breastfeeding or consult a **certified lactation consultant**, employed at many hospitals.* This certification is often a stepping stone to the International Board Certified Lactation Consultant (IBCLC), which is the most comprehensive lactation certification. One of the major focuses of an IBCLC is

*La Leche League is an international organization that helps women with breastfeeding concerns: www.lalecheleague.org.

chronic hypertension in pregnant women, hypertension that is present and documented before pregnancy; in women whose prepregnancy blood pressure is unknown, the presence of sustained hypertension before 20 weeks of gestation.

gestational hypertension high blood pressure that develops in the second half of pregnancy and usually resolves after childbirth.

preeclampsia (PRE-ee-CLAMP-seeah) a potentially dangerous condition during pregnancy characterized by hypertension and protein in the urine.

eclampsia (eh-CLAMP-see-ah) a severe complication during pregnancy in which seizures occur.

certified lactation consultant a health-care provider, often a registered nurse or a registered dietitian nutritionist, with specialized training and certification in breast and infant anatomy and physiology who teaches the mechanics of breastfeeding to new mothers.

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global health: improving breastfeeding outcomes, lowering health costs, and advancing breastfeeding programs and policies. Health-care professionals play an important role in providing encouragement and accurate information on breastfeeding. Part of the preparation involves learning what dietary changes are needed because adequate nutrition is essential to successful lactation. A later section offers tips for breastfeeding.

In rare cases, women produce too little milk to nourish their infants adequately. Severe consequences, including infant dehydration, malnutrition, and brain damage, can occur if the condition goes untreated for long. Early warning signs of insufficient milk are dry diapers (well-fed infants wet about six to eight diapers a day) and infrequent bowel movements.

Nutrition during Lactation

A nursing mother produces about 25 ounces of milk a day, with considerable variation from woman to woman and in the same woman from time to time. The volume produced depends primarily on the infant's demand for milk. The more milk the infant needs, the more a well-nourished mother's body will produce, enough to feed the infant—or even twins—amply.

In addition to nourishing the infant, lactation reduces the mother's risks of developing type 2 diabetes, hypertension, and heart disease.[43] Research has yet to explain these associations fully, but one hypothesis suggests that lactation provides a transition time to reset the many metabolic changes that occur during pregnancy. Given these health benefits for mothers and the many well-established benefits for infants, most health care providers. encourage women to breastfeed.

Energy Cost of Lactation Producing milk costs a woman almost 500 calories per day above her regular need during the first 6 months of lactation. To meet this energy need, the woman is advised to eat an extra 330 calories of food each day. The other 170 calories can be drawn from the fat stores she accumulated during pregnancy. The food energy consumed by the nursing mother should carry with it abundant nutrients. A lactating woman's nutrient recommendations are listed at the back of the book, pp. A and B. Look again at Table 13–3 (p. 482) for sample menus to meet them.

Fluid Need Breast milk is 88 percent water, so nursing mothers are advised to drink extra fluid each day (about a quart more than nonlactating women, or 13 cups total) to protect themselves from dehydration.* As a way of remembering, many women make a habit of drinking a glass of milk, juice, or water each time the baby nurses, as well as at mealtimes.

Variations in Breast Milk A common question is whether a mother's milk may lack a nutrient if she fails to get enough in her diet. The answer differs from one nutrient to the next, but in general the effect of nutritional deprivation of the mother is to reduce the *quantity* rather than the *quality* of her milk.

Women can produce milk with adequate protein, carbohydrate, fat, folate, and most minerals, by drawing upon maternal stores even when their own supplies are limited. This is most evident in the case of calcium: dietary calcium exerts no effect on the calcium concentration of breast milk, but maternal bones lose some of their density during lactation if calcium intakes are inadequate. Such losses are generally made up quickly when lactation ends, and breastfeeding has no long-term harmful effects on women's bones.

Foods with strong or spicy flavors (such as onions or garlic) may alter the flavor of breast milk. A sudden change in the taste of the milk may annoy some infants, whereas familiar flavors may enhance enjoyment. Flavors imparted to breast milk by the mother's diet can influence the infant's later food preferences.[44] A mother who is breastfeeding her infant is advised to eat whatever nutritious foods she chooses. If a particular food seems to cause an infant discomfort, the mother can eliminate that food from her diet for a few days to see if the problem goes away.

Infants with strong family histories of food allergies generally benefit from breastfeeding. Current evidence, however, does not support a major role for maternal dietary restrictions during lactation to prevent or delay the onset of food allergies in infants.[45]

*The DRI for *total* water intake during lactation is 3.8 L/day. This includes 3.1 L, or about 13 cups of total beverages, including water.

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Lactation and Weight Loss A common question is whether breastfeeding promotes loss of the extra body fat accumulated during pregnancy. Studies on this question have not provided a definitive answer. How much weight a woman retains after pregnancy depends on her gestational weight gain and the duration and intensity of breastfeeding. Many women who follow recommendations for gestational weight gain and breastfeeding can readily return to prepregnancy weight by 6 months after giving birth. Neither the quality nor the quantity of breast milk is adversely affected by moderate weight loss, and infants grow normally. Physical activity is also compatible with breastfeeding and infant growth.[46] A gradual weight loss (1 pound per week) is safe and does not reduce milk output. Too large an energy deficit, especially soon after birth, will inhibit lactation.

Key Points

- Lactating women need extra fluid and adequate energy and nutrients for milk production.
- Malnutrition diminishes the quantity of the milk without altering quality.
- Moderate weight loss during lactation does not adversely affect the quality or quantity of breast milk.

When Should a Woman Not Breastfeed?

Some substances impair maternal milk production or enter breast milk and interfere with infant development, making breastfeeding an unwise choice. Some medical conditions also prohibit breastfeeding.

Alcohol and Illicit Drugs Alcohol enters breast milk and can adversely affect the production, volume, composition, and ejection of breast milk, as well as overwhelming an infant's immature alcohol-degrading system. Blood alcohol peaks within one hour after ingestion of even moderate amounts (equivalent to a can of beer). This amount may alter the taste of the milk to the disapproval of a nursing infant, who may, in protest, drink less milk than normal. Mothers who use illicit drugs should not breastfeed. Breast milk can deliver doses of drugs so high that they cause irritability, tremors, hallucinations, and even death in infants.

Tobacco and Caffeine Many women who quit smoking during pregnancy resume after delivery. Lactating women who smoke tobacco produce less milk, and milk of lower fat content, than do nonsmokers. Consequently, infants of smokers gain less weight. A lactating woman who smokes not only transfers nicotine and other chemicals to her infant via her breast milk but also exposes the infant to hazardous sidestream smoke. Babies who are "smoked over" experience a wide array of health problems—poor growth, hearing impairment, vomiting, breathing difficulties, and even unexplained death.[47]

Excess caffeine can make a breastfed infant jittery and wakeful. As during pregnancy, caffeine consumption should be moderate during breastfeeding.

Medications Many medications pose no danger during breastfeeding, but others may suppress lactation or may be secreted into breast milk and harm the infant. If a nursing mother must take such a medicine, then breastfeeding must be put on hold for the duration of treatment. Meanwhile, the flow of milk can be sustained by pumping the breasts and discarding the milk. A nursing mother should consult with her physician before taking medicines or even herbal supplements—herbs may exert unpredictable effects on breastfeeding infants.

Many women wonder about using oral contraceptives during lactation. One type that combines the hormones estrogen and progestin may suppress milk output and shorten the duration of breastfeeding. In contrast, progestin-only pills have no effect on breast milk or breastfeeding and are considered appropriate for lactating women.

Environmental Contaminants A woman sometimes hesitates to breastfeed because she has heard warnings that contaminants in fish, water, and other

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foods may enter breast milk and harm her infant. Although some contaminants do enter breast milk, others may be filtered out. To limit mercury intake, lactating women should heed the advice for pregnant women eating fish that are presented in Table 13–9 (p. 491). With the exception of rare massive exposure to a contaminant, the many benefits of breastfeeding far outweigh any minor risks from environmental hazards in the United States.

Maternal Illness If a woman has an ordinary cold, she can continue nursing without worry. The infant will probably catch it from her anyway, and thanks to immunological protection, a breastfed baby may be less susceptible than a formula-fed baby. Recommendations regarding COVID-19 and breastfeeding vary widely, depending on the source. The World Health Organization (WHO) encourages breastfeeding. The woman should wear a mask and wash her hands before and after holding her infant. The AAP suggests the separation of the mother who is COVID-19 positive from her infant and the use of expressed breast milk rather than breastfeeding. The Centers for Disease Control and Prevention (CDC) suggest shared decision making between the family and the health-care team with regard to breastfeeding.[48]

The human immunodeficiency virus (HIV), responsible for causing HIV/AIDS, can be passed from an infected mother to her infant during pregnancy, at birth, or through breast milk, especially during the early months of breastfeeding. In developed countries such as the United States, where safe alternatives are available, HIV-positive women should not breastfeed their infants.[49] In developing countries, where feeding inadequate, unbalanced, or contaminated formulas causes more than 1 million infant deaths each year, breastfeeding can be critical to infant survival. In each case, the most appropriate infant-feeding option depends on individual circumstances, including the health status of the mother and the local situation, as well as the health services available. The WHO recommends exclusive breastfeeding for infants of HIV-infected women for the first 6 months of life unless replacement feeding is acceptable, feasible, affordable, sustainable, and safe for mothers and their infants. Alternatively, HIV-exposed infants may be protected by receiving drugs known as antiretrovirals while being breastfed.

Key Points

- Breastfeeding is not advised if a mother's milk is contaminated with alcohol, drugs, or environmental pollutants.
- Most ordinary infections such as colds do not affect breastfeeding infants, but HIV/AIDS may be transmitted through breast milk.

Feeding the Infant

LO 13.5 Identify nutrition practices that promote an infant's well-being.

Early nutrition affects later development, and early feedings establish eating habits that influence nutrition throughout life. Trends change, and experts may argue the fine points, but nourishing a baby is relatively simple. Common sense and a nurturing, relaxed environment go far to promote the infant's well-being. Table 13–11 details key recommendations of the *Dietary Guidelines for Americans, 2020–2025* for infants and toddlers from birth to 2 years of age.

Nutrient Needs

A baby grows faster during the first year of life than ever again, as shown in Figure 13–9. Pediatricians closely monitor the growth of infants and children because growth directly reflects their nutrition status. An infant's birthweight doubles by about 5 months of age and triples by the age of 1 year. (If a 150-pound adult were to grow like this, the person would weigh 450 pounds after a single year.) The infant's length changes more slowly than weight, increasing about 10 inches from birth to 1 year. By the end of the first year, the growth rate slows considerably; an infant typically gains less than 10 pounds

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Table 13–11

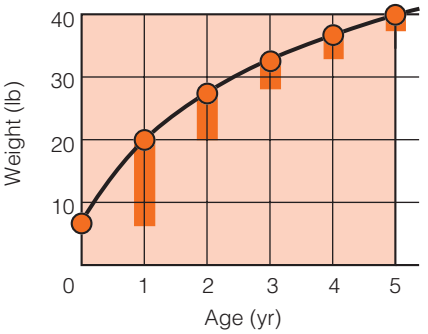
Dietary Guidelines for Americans 2020–2025: Key Recommendations for Infants and Toddlers from Birth to 2 Years of Age

- For about the first 6 months of life, exclusively feed infants human milk. Continue to feed infants human milk through at least the first year of life, and longer if desired. Feed infants iron-fortified infant formula during the first year of life when human milk is unavailable.
- All infants who are fed human milk exclusively, or those who receive both human milk and iron-fortified infant formula, need supplemental vitamin D beginning soon after birth.
- At about 6 months, introduce infants to nutrient-dense complementary foods.
- Introduce infants to potentially allergenic foods along with other complementary foods.
- Encourage infants and toddlers to consume a variety of foods from all food groups. Include foods rich in iron and zinc, particularly for infants fed human milk.
- Avoid foods and beverages with added sugars. Infants and young children have no room in their diet for added sugars.
- Limit foods and beverages higher in sodium.
- As infants wean from human milk or infant formula, transition to a healthy dietary pattern.

Figure 13–9

Weight Gain of Human Infants and Children in the First 5 Years of Life

The colored vertical bars show how the yearly increase in weight gain slows its pace over the years.



during the second year and grows about 5 inches in height. By the age of 2, healthy children have attained approximately half of their adult height.

Not only do infants grow rapidly, but also their basal metabolic rates are remarkably high—about twice those of adults, based on body weight. The rapid growth and metabolism of an infant demand an ample supply of all the nutrients. Of special importance during infancy are the energy nutrients and the vitamins and minerals critical to the growth process, such as vitamin A, vitamin D, and calcium.

Because they are small, babies need smaller *total* amounts of these nutrients than adults do, but as a percentage of body weight, babies need more than twice as much of most nutrients. Infants require about 100 calories per kilogram of body weight per day; most adults require fewer than 40. Figure 13–10 (p. 500) compares a 5-month-old baby's needs (per unit of body weight) with those of an adult man. You can see that differences in vitamin D and iodine, for instance, are especially extraordinary.

Monkey Business Images/Shutterstock.com

Growth slows in later infancy, but babies become more active.

At around 6 months of age, energy needs begin to increase less rapidly as the growth rate begins to slow down, but some of the energy saved by slower growth is spent in increased activity. When their growth slows, infants spontaneously reduce their energy intakes. Parents can expect their babies to adjust their own food intakes to their changing needs; there is no need to force or coax them to eat more than they want.

One of the most important nutrients for infants, as for everyone, is water. The younger a child is, the more of its body weight is water. Breast milk or infant formula normally provides enough water to replace fluid losses in a healthy infant. If the environmental temperature is extremely high, however, infants need supplemental water.[50] Much more of an infant's body water is between the cells and in the vascular space, and this water is easy to lose. In the event of rapid fluid loss due to vomiting or diarrhea, an electrolyte solution designed for infants (available in drug stores) is needed.

Key Points

- An infant's birthweight doubles by about 5 months of age and triples by 1 year.
- Infants' rapid growth and development depend on adequate nutrient supplies, including water from breast milk or formula.

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Figure 13–10

Nutrient Recommendations for a 5-Month-Old Infant and an Adult Male Compared on the Basis of Body Weight

Infants may be relatively small and inactive, but they use large amounts of energy and nutrients in proportion to their body size to keep all their metabolic processes going.

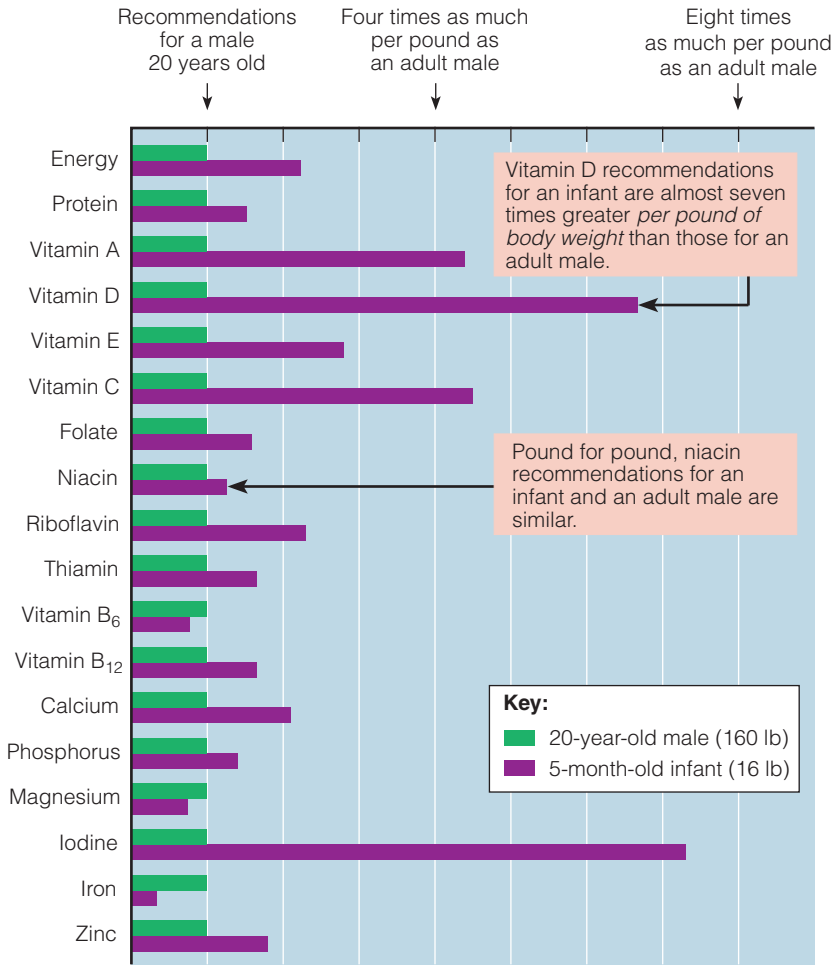

Table 13–12

Benefits of Breastfeeding

For Infants:

- Provides the appropriate composition and balance of nutrients.
- Provides hormones that promote physiological development.
- Protects against a variety of infections.
- May protect against excessive weight gain during childhood.
- Protects against food allergies.
- Reduces the risk of sudden infant death syndrome.

For Mothers:

- Contracts the uterus.
- Delays the return of regular ovulation, thus lengthening birth intervals. (It is not, however, a dependable method of contraception.)
- Conserves iron stores (by prolonging amenorrhea).
- May protect against breast and ovarian cancer, type 2 diabetes, hypertension, and heart disease.

Other:

- Saves on doctor visits for infant illness.
- Saves costs of formulas, bottles, brushes, etc.
- Is an environmentally sustainable option.

Why Is Breast Milk So Good for Babies?

Many medical and professional organizations advocate breastfeeding for the best infant nutrition and for the many other benefits it provides both infant and mother (shown in Table 13–12). The AAP and the Academy of Nutrition and Dietetics recommend **exclusive breastfeeding** for 6 months and breastfeeding with complementary foods for at least 12 months as an optimal feeding pattern for infants.[51] All legitimate nutrition authorities share this view, but some makers of baby formula try to convince women otherwise—see the Consumer's Guide (p. 504).

Breast milk excels as a source of nutrients for young infants. With the exception of vitamin D (discussed later), breast milk meets all of a healthy infant's needs for the first 4 months of life. Breast milk also conveys immune factors, which both protect an infant against infection and inform its body about its local environment.

Breastfeeding Tips Breast milk is more easily and completely digested than infant formula, so breastfed infants usually need to eat more frequently than formula-fed infants do. During the first few weeks, the routine recommended to promote optimal milk production and infant growth is approximately 8 to 12 feedings a day,

exclusive breastfeeding an infant's consumption of human milk with no supplementation of any type (no water, no juice, no nonhuman milk, and no foods) except for vitamins, minerals, and medications.

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on demand, whenever the infant begins to show signs of hunger such as restlessness, increased activity, or suckling motions. Crying is a late indicator of hunger.[52] An infant who nurses every 2 to 3 hours and sleeps contentedly between feedings is adequately nourished. As the infant gets older, stomach capacity enlarges and the mother's milk production increases, allowing for longer intervals between feedings.

When breastfeeding, the baby draws about half of the milk that is in the breast within the first two or three minutes of suckling, but should be encouraged to continue to nurse on that breast for as long as he or she wishes, before being offered the second breast. The infant's suckling, as well as the complete removal of milk from the breast, stimulates lactation. Begin each feeding on the breast that was offered second, the last time.

Wong Sze Yuen/Shutterstock.com

Breastfeeding is a natural extension of pregnancy—the mother's body continues to nourish the infant.

Energy Nutrients in Breast Milk Compared with the milk recommended for adults, breast milk is far lower in protein but higher in fat. Yet for infants, breast milk is nature's most nearly perfect food, providing the clear lesson that people at different stages of life have different nutrient needs.

The carbohydrate in breast milk (and standard infant formula) is lactose. In addition to being easily digested by infants, lactose enhances calcium absorption. The carbohydrate component of breast milk also contains abundant oligosaccharides, which are present only in trace amounts in cow's milk and infant formula made from cow's milk.[53] Breast milk oligosaccharides help protect an infant from infection by preventing the binding of pathogens to the infant's intestinal cells.[54] Breast milk oligosaccharides also protect against infections by way of the infant's intestinal microbiota. Oligosaccahrides in breast milk provide an energy source for the beneficial intestinal bacteria, which in turn educates the developing immune system.[55]

The lipids in breast milk (and infant formula) provide the infant's main source of energy. Breast milk contains a generous proportion of the essential fatty acids linoleic acid and linolenic acid, as well as their longer-chain derivatives, arachidonic acid and DHA. Most formulas today also contain added arachidonic acid and DHA (read the label). Infants can produce some arachidonic acid and DHA from linoleic and linolenic acid, but some infants may need more than they can make.

DHA is the most abundant fatty acid in the brain and is also present in the retina of the eye. DHA accumulation in the brain is greatest during fetal development and early infancy.[56] Research has focused on the visual and mental development of breast-fed infants and infants fed standard formula with and without DHA added. Results of these studies are mixed, perhaps because of slight differences in experimental design.[57] Adding DHA to standard infant formulas has no adverse effects, and most standard formulas are currently fortified with both DHA and arachidonic acid.

The protein in breast milk is largely **alpha-lactalbumin**, a protein the human infant can easily digest. Another breast milk protein, **lactoferrin**, is an iron-gathering compound that helps absorb iron into the infant's bloodstream, keeps intestinal bacteria from getting enough iron to grow out of control, and kills certain bacteria.

Vitamins and Minerals in Breast Milk With one exception—vitamin D—the vitamin content of the breast milk of a well-nourished mother is ample. Even vitamin C, for which cow's milk is a poor source, is supplied generously. The concentration of vitamin D in breast milk is low, however, and vitamin D deficiency impairs bone mineralization. Vitamin D deficiency is most likely in infants who are not exposed to sunlight daily, have darkly pigmented skin, and receive breast milk without vitamin D supplementation. About a decade ago, recommendations for infants increased for two reasons. First, rickets, the vitamin D–deficiency disease, has been diagnosed among U.S. infants. Second, the AAP recommends that infants younger than 6 months be protected from direct sunlight, eliminating this source of vitamin D.

As for minerals, the calcium content of breast milk is ideal for infant bone growth, and the calcium is well absorbed. Breast milk is also appropriately low in sodium. The limited amount of iron in breast milk is highly absorbable, and its zinc, too, is absorbed well, thanks to the presence of a zinc-binding protein.

Supplements for Infants Pediatricians may prescribe supplements containing vitamin D, iron, and fluoride (after 6 months of age) as outlined in Table 13–13 (p. 502).

alpha-lactalbumin (lact-AL-byoo-min) the chief protein in human breast milk.

lactoferrin (lack-toe-FERR-in) a factor in breast milk that binds iron and keeps it from supporting the growth of the infant's intestinal bacteria.

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Table 13–13

Supplement Recommendations for Full-Term Infants

Recommendations for all supplements should be based on the health-care provider's assessment of the infant.

Supplements	Birth	4 months	6 months
Vitamin D	All infants who are: ▪ Exclusively breastfed. ▪ Receiving less than 1 qt (32 oz) of vitamin D–fortified formula per day.	As recommended at birth.	As recommended at birth.
Iron (1 mg per kg of body weight per day)		All infants who are: ▪ Exclusively breastfed. ▪ Receiving more than one-half of their daily feedings as breast milk and no iron-containing complementary foods.	May not be needed once iron-containing foods are introduced.
Fluoride			All infants who are: ▪ Exclusively breastfed. ▪ Receiving ready-to-use formulas (which are made with water low in fluoride). ▪ Receiving formula mixed with water that contains little or no fluoride (less than 0.3 ppm).

Source: Adapted from the American Academy of Pediatrics, Pediatric Nutrition, *8th ed., eds. R. E. Kleinman and F. R. Greer (Itasca, IL: American Academy of Pediatrics, 2020).*

iStock.com/ryasick

colostrum (co-LAHS-trum) a milklike secretion from the breasts during the first day or so after delivery before milk appears; rich in protective factors.

Vitamin K nutrition for newborns presents a unique case. A newborn's digestive tract is sterile, and vitamin K–producing bacteria take weeks to establish themselves in the baby's intestines. To prevent bleeding in the newborn, the AAP recommends that a single dose of vitamin K be given at birth.

The AAP currently recommends a vitamin D supplement for all infants who are breastfed exclusively and for any infants who receive less than 1 liter (1,000 milliliters) or 1 quart (32 ounces) of vitamin D–fortified formula daily.[58] Despite these recommendations, most infants in the United States are consuming inadequate amounts of vitamin D.

Immune Factors in Breast Milk Breast milk offers the infant unsurpassed protection against infection.[59] Its protective factors include antiviral agents, anti-inflammatory agents, antibacterial agents, and infection inhibitors.

During the first 2 or 3 days of lactation, the breasts produce **colostrum**, a premilk substance containing antibodies and white cells from the mother's blood. Colostrum (like breast milk) helps protect the newborn infant from infections against which the mother has developed immunity—precisely those in the environment likely to infect the infant. For example, maternal antibodies in colostrum and breast milk inactivate harmful bacteria within the infant's digestive tract before they can start infections.[60] This explains, in part, why breastfed infants have fewer intestinal infections than formula-fed infants.

Breastfeeding also protects against other common illnesses of infancy, such as middle ear infections and respiratory illnesses.[61] Compared with formula-fed infants, breastfed infants have fewer allergic reactions such as asthma, wheezing, and skin rash.[62] Even the risk of SIDS is lower among breastfed infants.[63] This protective effect is stronger when breastfeeding is exclusive, but any amount of breast milk for any duration is protective against SIDS. Similarly, breast milk may offer protection against

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excessive weight gain in childhood and later, although findings are inconsistent.[64] Researchers note that many other factors—socioeconomic status, various infant and child feeding practices, and especially the mother's weight—strongly predict a child's body weight.

In addition to their protective features, colostrum and breast milk contain hormones and other factors that stimulate the development and maintenance of an infant's digestive tract. Clearly, breast milk is a very special substance.

Key Points

- With the exception of vitamin D, breast milk provides all the nutrients healthy infants need for the first 4 months of life.
- Breast milk offers infants unsurpassed protection against infection—including antiviral agents, anti-inflammatory agents, antibacterial agents, and infection inhibitors.

Formula Feeding

Formula feeding offers an acceptable alternative to breastfeeding. Nourishment for infants from formula is adequate, and parents can choose this course with confidence. All currently available infant formulas meet all of the energy and nutrient requirements for healthy, full-term infants during the first 6 months of life. After that time, formulas, along with a variety of solid foods, continue to supply a significant part of the infant's nutrient needs. One advantage of formula feeding is that parents can see how much milk the infant drinks during feedings. Another is that family members other than the mother can participate in feeding the infant, giving them a chance to develop the special closeness that feeding fosters.

Mothers who return to work soon after giving birth may choose formula for their infants, but they have another option. Breast milk can be pumped into bottles and given to the baby in day care. At home, mothers may breastfeed as usual. Many mothers use both methods—they breastfeed for at least a month but **wean** their children within the first year. If infants are less than a year old, mothers must wean them onto *infant formula*, not onto plain cow's milk of any kind—whole, reduced-fat, low-fat, or fat-free. Infant formula is available as a powdered or liquid concentrate that must be mixed with water according to label directions and as a ready-to-feed liquid. The powdered form is the least expensive option.

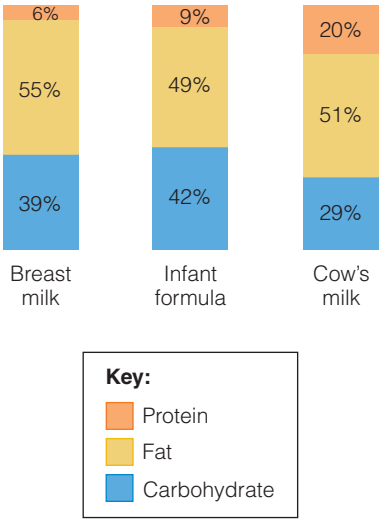
Infant Formula Composition The substitution of formula feeding for breastfeeding involves striving to copy nature as closely as possible. Human milk and cow's milk differ; cow's milk is significantly higher in protein, calcium, and phosphorus, for example, to support the calf's faster growth rate. Thus, to prepare a formula from cow's milk, the formula makers must first dilute the milk, improve its digestibility, and then add carbohydrate and nutrients to make the proportions comparable to those of human milk. Figure 13–11 compares the energy–nutrient balances of breast milk, standard infant formula, and cow's milk. Notice the higher protein concentration of cow's milk, which can stress the infant's kidneys. The AAP recommends that all formula-fed infants receive iron-fortified infant formulas.[65] Use of these formulas has increased in recent decades and is credited with the decline of iron-deficiency anemia in U.S. infants.

Special Formulas Ordinary formulas are inappropriate for some infants. Special formulas have been designed to meet the dietary needs of infants with specific conditions such as prematurity or inherited diseases. Most infants allergic to milk protein can drink formulas based on soy protein.[66] Soy formulas also use cornstarch and sucrose instead of lactose and so are tolerated by infants with lactose intolerance as well. They are also useful as alternatives to milk-based formulas for vegan families. Infants who are allergic to both cow's milk protein and soy protein may tolerate formulas based on **hydrolyzed protein**.

Figure 13–11

Percentages of Energy-Yielding Nutrients in Breast Milk, Infant Formula, and Cow's Milk

The average proportions of energy-yielding nutrients in human breast milk and formula differ slightly. In contrast, cow's milk provides more protein and less carbohydrate than the ideal amounts for infants.



wean to gradually replace breast milk with infant formula or other foods.

hydrolyzed (HIGH-druh-lyzed) **protein** a commercial protein ingredient made by way of hydrolysis, a type of chemical reaction that splits molecules, in this case long protein chains, into smaller fragments and attaches water components to make the split possible. Makers of infant formulas hydrolyze the proteins in cow's milk or soybeans to make them less allergenic and more digestible for infants. *Hydro* = water, *lysis* = to cleave.

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A Consumer's Guide to . . . Formula Advertising versus Breastfeeding Advocacy

Formula feed or breastfeed? New mothers must answer this question amid the whirlwind of physical and emotional changes associated with pregnancy and delivery. For a few women, breastfeeding may be contraindicated by illness or physical condition; in a few more cases, special needs of the infant may make breastfeeding impossible. The strong scientific consensus holds, however, that breastfeeding is preferable for all other infants, so why do so many women continue to choose formula? For some, the time and logistics required for breastfeeding compete with work or school schedules; for many others, the decision to forgo breastfeeding is influenced by the aggressive advertising of formulas.

Formula versus Breastfeeding

Advertisements of infant formulas often create the illusion that formula is identical to human milk. No formula can match the nutrients, agents of immunity, and environmental information conveyed to infants through human milk, but the ads are convincing: "Like mother's milk, our formula provides complete nutrition" or "Our brand is scientifically formulated to meet your baby's needs." Misleading or aggressive marketing tactics like these can undermine a woman's confidence concerning her choice to breastfeed, and lack of confidence causes many women to abstain or quit prematurely.[1*]

Formula promoters have in the past aggressively marketed their products—and still do, to some extent in this country and more extensively elsewhere. They give coupons and samples of free formula to pregnant women or arrange for hospitals to distribute these come-ons. However, these practices are on the decline, most likely thanks to a global effort to promote and support breastfeeding, the Baby Friendly Hospital Initiative.

** References are in Appendix F.*

Table 13–14

Tips for Successful Breastfeeding

- Learn about the benefits of breastfeeding.
- Initiate breastfeeding within 1 hour of birth.
- Ask a health-care professional to explain how to breastfeed and how to maintain lactation.
- Give newborn infants no food or drink other than breast milk unless medically indicated.
- Breastfeed on demand.
- Offer no artificial nipples or pacifiers to breastfeeding infants.[a]
- Find support groups, books, or websites that help troubleshoot breastfeeding problems.

[a]Compared with nonusers, infants who use pacifiers breastfeed less frequently and stop breastfeeding at a younger age.

Breastfeeding Advocacy

National efforts to promote breastfeeding seem to be working, too: the percentage of infants who were breastfed at least for a while rose from 60 percent among those born in 1994 to 84 percent among those born in 2017.[2] Despite this positive trend, only about 58 percent of infants are still breastfeeding at 6 months of age, and about 35 percent are still doing so at age one.

Many hospitals employ certified lactation consultants who specialize in helping new mothers establish healthy relationships with their newborns. Table 13–14 lists tips for successful long-term breastfeeding.

Where Breastfeeding Is Critical

Infant formula is an appropriate substitute when breastfeeding is specifically contraindicated, but for most infants, the benefits of breast milk outweigh those of formula. Formula-fed infants in developed nations are healthy and grow normally, but they miss out on the breastfeeding advantages described in this text.

In developing nations, however, the consequence of choosing not to breastfeed can be tragic. Feeding formula is often fatal to infants when poverty limits access to formula mixes, clean water for safe formula preparation, and medical help when needed. The WHO strongly supports breastfeeding for the world's infants in its Baby-Friendly Hospital Initiative and opposes the marketing of infant formulas to new mothers.

Moving Ahead

Women are free to choose between breast milk and formula. Breast milk is recommended and is a thrifty choice; infant formula, bottles, and paraphernalia are expensive for anyone's wallet, particularly after the initial coupons run out. During pregnancy, parents-to-be should seek out the facts about each feeding method and be aware that sophisticated formula advertisements are designed to make sales and not primarily to help potential customers make the best choice.

Review Questions[†]

1. Commercial infant formula is more reliable than breast milk because it has been scientifically engineered for complete nutrition. T F
2. About 60 percent of U.S. infants are still breastfeeding at one year of age. T F
3. Lactation consultants are employed by hospitals to help new mothers understand the advantages of feeding their babies with infant formula. T F

[†]Answers to Consumer's Guide review questions are found in Appendix G.

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The Transition to Cow's Milk For good reasons, the AAP advises that substituting cow's milk in place of breast milk or infant formula is not appropriate during the first year of life.[67] In some infants, particularly those younger than 6 months of age, cow's milk causes intestinal bleeding, which can lead to or aggravate iron deficiency. Cow's milk is a poor iron source. Its higher calcium and lower vitamin C contents also inhibit iron absorption. In summary, plain cow's milk threatens an infant's iron status in three ways: it causes iron loss through bleeding, it fails to provide iron, and its high calcium and low vitamin C contents reduce the bioavailability of iron from other foods. Clearly, then, offering cow's milk in place of breast milk or infant formula is a poor choice during the first year of life. Based on updated food allergy guidelines, however, when infants begin complementary foods (between 4 and 6 months of age), it is acceptable to introduce cow's milk protein in the form of whole-milk yogurt or as an ingredient baked or cooked into other age-appropriate foods.

Romrodphoto/Shutterstock.com

An infant thrives on formula offered with affection.

Once a baby has reached a year of age and is receiving at least two-thirds of total daily food energy from a balanced mixture of cereals, vegetables, fruits, and other foods, whole cow's milk (in the context of an overall diet that supplies 30 percent of calories from fat) is an acceptable and recommended beverage. If there is concern about obesity or a family history of cardiovascular disease, reduced-fat milk can be considered starting at 1 year of age.[68] After the age of 2, a transition to low-fat or fat-free milk can take place, but care should be taken to provide adequate dietary fat.

Key Points

- Infant formulas are designed to resemble breast milk in nutrient composition.
- After the baby's first birthday, whole cow's milk can replace formula.

An Infant's First Solid Foods

Complementary foods can be introduced into the diet as infants becomes physically ready to handle them. An infant is born knowing how to suckle but cannot handle any kind of solid food at first. By 2 to 3 months of age, the healthy infant's gastrointestinal tract is able to efficiently digest and absorb virtually all nutrients.[69] Therefore, by the time complementary foods are introduced, no foods need to be avoided because of intestinal immaturity. At 4 months or so, the tongue can move against the palate to swallow soft foods. At about 6 months of age, the first teeth may erupt, but not until sometime during the second year can the baby begin to handle chewy food.

When to Introduce Solid Food The AAP supports exclusive breastfeeding for 6 months but recognizes that infants are often ready to accept some solid foods between 4 and 6 months of age.[70] Complementary foods can provide needed nutrients that are no longer supplied adequately by breast milk or formula alone. The foods chosen must be those that the infant is developmentally capable of handling both physically and metabolically. The exact timing depends on the infant's needs, readiness, and tolerance to the food, as shown in Table 13–15 (p. 506).

How to Introduce First Foods It bears repeating that early feeding strategies are critical in establishing healthy food preferences and habits that last throughout life. Infants (and toddlers) learn solely from their caregivers what, when, and how to eat. Caregivers must therefore understand how infants signal hunger and satiety (see Table 13–15) and how to respond to these signals appropriately—a process known as **responsive feeding**.[71] When a caregiver clearly and consistently responds to a child's needs at mealtimes, the child learns to identify internal hunger, thirst, and satiety signals; to ask for food or beverages when hungry or thirsty; and to stop eating when full. As Chapter 14 points out, the parent or caregiver is responsible for what the child eats, while the infant or child should be allowed to decide *how much*, and even *whether* to eat.

A relatively new approach for introducing first foods to infants is called **baby-led weaning**. Instead of spoon-feeding puréed foods, infants are offered graspable, soft

complementary foods nutrient- and energy-containing solid or semisolid foods (or liquids) fed to infants in addition to breast milk or infant formula.

responsive feeding an interactive feeding process in which a young child signals hunger and satiety vocally, through facial expressions, and through motor actions; the caregiver recognizes these cues and responds promptly in an emotionally supportive and developmentally appropriate manner. In this way, the child experiences a predictable response to hunger and satiety signals that supports healthy eating behaviors.

baby-led weaning a method of introducing complementary foods to infants in which the infant is offered a variety of single, graspable, soft foods to eat.

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Table 13–15

Infant Development and Recommended Foods

Each stage of development builds on the previous stage, the foods from an earlier stage continue to be included in all later stages.

Age (mo)	Physical and Developmental Milestones	Satiety Signals	Hunger Signals	Foods Introduced into the Diet
0–4	Turns head toward any object that brushes cheek. Initially swallows using back of tongue; gradually begins to swallow using front of tongue as well. Strong reflex (extrusion) to push food out during first 2 to 3 months.	Seals lips together. Turns head away. Stops sucking. Falls asleep when full.	Wakes and moves around. Sucks on fist. Cries or fusses. Opens mouth while feeding to indicate wanting more.	Breast milk or infant formula.
4–6	Extrusion reflex diminishes, and ability to swallow nonliquid foods develops. Sits erect with support at 6 months. Begins chewing. Brings hand to mouth. Grasps objects with hand.	Sucks slowly or stops sucking. Turns head away and leans back.	Cries or fusses. Indicates desire for food by smiling or cooing during feeding. Indicates desire for food by opening mouth and leaning forward.	Iron-fortified cereal mixed with breast milk, formula, or water. Puréed meats, legumes, vegetables, and fruits.
6–8	Able to feed self with fingers. Develops pincher (finger to thumb) grasp. Begins to drink from cup.	Slows eating. Pushes food away.	Reaches for spoon or food. Points to food.	Textured vegetables and fruits.
8–10	Begins to hold own bottle. Sits unsupported.	Shuts mouth tightly or pushes food away.	Reaches for and grabs spoon and food. Shows excitement when food is presented.	Breads and cereals from table. Yogurt. Pieces of soft, cooked vegetables and fruits from table. Small amounts of finely cut meats, fish, casseroles, cheese, eggs, and legumes.
10–12	Begins to master spoon. Spills less.	May begin using words such as "no," "all done," or "get down." Plays with or throws food when done.	Indicates desire for specific food with words or sounds.	Increasingly varied foods in larger portion sizes.[a]

[a]Portions of foods for infants and young children are smaller than those for an adult. For example, a grain serving might be ½ slice of bread instead of 1 slice or ¼ cup of rice instead of ½ cup.

Sources: Adapted in part from Complementary Feeding, in Pediatric Nutrition, 8th ed., eds. R. E. Kleinman and F. R. Greer (Itasca IL: American Academy of Pediatrics, 2020): pp. 163–186; R. Pérez-Escamilla, S. Segura-Pérez, and M. Lott, Feeding guidelines for infants and young toddlers, Nutrition Today *52 (2017): 223–231.*

finger foods. The goal of baby-led weaning is self-feeding, which promotes hand-eye coordination, self-regulation, and independence. Some parents use a combination of spoon feeding and baby-led weaning, as some infants readily accept baby-led weaning, while others may take longer to get used to it.

Foods to Provide Iron, Zinc, and Vitamin C Rapid growth demands iron. At about 4 to 6 months, infants begin to need more iron than body stores plus breast milk or iron-fortified formula can provide. In addition to breast milk or iron-fortified formula, infants can receive iron from iron-fortified cereals and, once they readily accept solid foods, from protein foods such as meat, poultry, seafood, eggs, and legumes (see Figure 13–12). Iron-fortified cereals contribute a significant amount of iron to an infant's diet, but the iron's bioavailability is poor.[72] Caregivers can enhance iron absorption from iron-fortified cereals by serving vitamin C–rich foods with meals.

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The concentration of zinc in breast milk is initially high but decreases sharply over the first few months of lactation. Although the infant's ability to absorb the zinc in breast milk is efficient, it does not fully meet the infants' zinc need over time. Infant formulas are fortified with zinc at concentrations higher than those in breast milk. Breastfed infants depend more on complementary foods to provide adequate zinc intakes than do formula-fed infants. Infant cereals are not routinely fortified with zinc, so again, the best sources are protein foods such as meats, poultry, seafood, eggs, and legumes. (Zinc is less well absorbed from legumes than from the other protein foods.)

The best sources of vitamin C are fruits and vegetables (see Snapshot 7–5, p. 234). Fruit juice is a source of vitamin C, but too much juice can cause diarrhea in young children.[73] Furthermore, too much fruit juice contributes excessive calories and displaces other nutrient-rich foods. The AAP recommends no fruit juice for infants before 1 year of age and limiting juice for toddlers (1 to 3 years of age) to 4 ounces per day. For children 4 to 6 years of age, limiting juice to 6 ounces per day is recommended. Fruit juices should be diluted and served in a cup, not a bottle.

Developing Physical Readiness for Solid Foods Foods introduced at the right times contribute to an infant's physical development. The ability to swallow food develops at around 4 to 6 months, and food offered by spoon helps to develop swallowing ability. Between 4 and 7 months of age, infants can sit up, can handle finger foods, and begin to teethe.[74] At that time, soft finger foods such as thin small rectangles of watermelon or avocado, about the size of your pinky, may be introduced to promote the development of manual dexterity and control of the jaw muscles. These feedings must take place under the watchful eye of an adult to prevent choking. Soft round foods such as string cheese must be cut lengthwise into narrow strips or avoided altogether. Grapes and cherry tomatoes should be cut into small pieces. Table 13–16 lists foods that require especially attentive oversight. Nonfood items of small size should always be kept out of the infant's reach to prevent choking.

Some parents want to feed solids as early as possible on the theory that "stuffing the baby" at bedtime will promote sleeping through the night. There is no proof for this theory. Babies start to sleep through the night when they are ready, no matter when solid foods are introduced.

Preventing Food Allergies To prevent allergies or identify them promptly, experts recommend introducing each new food singly in a small portion and waiting a few days before introducing the next new food.[75] For example, an infant cereal such as oatmeal may be first, followed by meats, vegetables, and fruit. If a food causes an allergic reaction (skin rash, digestive upset, or respiratory discomfort), discontinue its use before going on to the next food.

Food allergies in the United States, especially peanut allergies, have increased over the past few decades. New guidelines recommend introducing peanut-based foods early (between 4 and 11 months), rather than later (between 12 and 36 months) to prevent peanut allergy. Infants at high risk—those with severe eczema or egg allergies—need medical approval and oversight, but for most other infants, parents may start adding peanut-containing foods such as watered-down peanut butter or processed peanut products to the diet in the same way oatmeal and mashed vegetables are introduced.[76] As mentioned earlier, introducing cow's milk protein in the form of yogurt or cooked into other age-appropriate foods is also acceptable, as is introducing small amounts of other commonly allergenic foods such as eggs, wheat, soy, and fish.

Choice of Infant Foods For many decades, parents and caregivers of infants and toddlers have relied on commercial baby foods to offer a wide variety of palatable, nutritious foods in a safe and convenient form. In recent years, however, some infant and toddler foods and juices have been found to contain unacceptable levels of inorganic arsenic and other toxic heavy metals. These findings have raised serious concerns and prompted the

Figure 13–12
Iron Sources for Infants

Every bite matters. Foods such as iron-fortified infant cereals (shown here with sliced bananas); cooked, crumbled ground beef; mashed sweet potatoes or oven baked sweet potato strips; tofu; scrambled egg strips; cooked chicken; and a variety of legumes cooked until soft help provide the needed quantity of iron to infants.

Angel Tucker

Table 13–16
Choking Prevention

To prevent choking, do not give infants or young children:
▪ Gum
▪ Popcorn, chips, or pretzel nuggets
▪ Large raw apple slices
▪ Whole grapes, whole cherries
▪ Raw celery; raw carrots
▪ Whole beans
▪ Hot dog slices
▪ Sausage sticks or slices
▪ Hard or gel-type candies
▪ Marshmallows
▪ Nuts
▪ Peanut butter
Keep these nonfood items out of their reach:
▪ Coins, balloons, small balls, pen tops, other similar-sized items

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With the first birthday comes the possibility of drinking cow's milk for the first time.

government to recommend testing, labeling, voluntary replacement of toxic ingredients, and stricter standards for maximum levels of toxic metals in infant foods.[77] Once implemented, these safeguards will give parents the information they need to make informed decisions. Until that time, parents are advised to: ease up on fruit juice, make their own infant foods, minimize baby food snacks such as rice puffs, and offer a wide variety of infant foods. Cereals from other grains such as oatmeal, as well as finely chopped meats and soft vegetables, are appropriate when introducing complementary foods.

Homemade infant foods can be nutritious as long as the cook minimizes nutrient losses during preparation. Ingredients for homemade foods should be fresh, whole foods without added salt, sugar, or seasonings. Puréed food can be frozen in ice cube trays, providing convenient-sized blocks of food that can be thawed, warmed, and fed to the infant.

Infants and young children are vulnerable to foodborne illnesses. An infant's caregiver must be on guard against food poisoning and take precautions against it as described in Nutrition in Practice 2. For example, hands and equipment must be kept clean. In addition, use a clean spoon to portion out the amount of food the infant will eat into a separate dish, so as not to contaminate the leftovers that will be stored for later use.

Foods to Omit To prevent choking, foods that are hard, slippery, or crunchy, including the foods listed in Table 13–15, should not be offered to infants or toddlers. Sweets of any kind (including baby food "desserts") have no place in a baby's diet. The food energy they contribute can promote obesity, and they deliver few or no nutrients to support growth. Products containing sugar alcohols such as sorbitol should also be limited, as these may cause diarrhea. Salty canned vegetables are inappropriate for babies, but unsalted varieties provide a convenient source of well-cooked vegetables. Maintaining an awareness of foodborne illnesses and taking precautions against them are imperative—even a normally mild foodborne illness can seriously harm an infant or young child. Infants should not be given unpasteurized milk, milk products, or juices; raw or undercooked eggs, meat, poultry, fish, or shellfish; or raw sprouts. Honey and corn syrup should never be fed to infants because of the risk of botulism.

Foodborne illnesses and their prevention are topics of **Chapter 12, p. 434**.

Beverages and Foods at 1 Year At a year of age, whole cow's milk can become a primary source of many of the nutrients an infant needs; 2 to 3 cups a day meet those needs. More milk than this displaces iron-rich foods and can lead to the iron-deficiency anemia known as **milk anemia**. If powdered milk is used, it should contain some fat. Despite some infant formula brands' aggressive marketing of "toddler milks," (sugar sweetened milk-based drinks for toddlers) and misconceptions about their benefits, these drinks are not recommended for toddler consumption. In fact, pediatric experts specifically recommend against feeding toddler milks to young children because children do not need them and the drinks contain added sugars.[78] A variety of other foods—protein foods such as meat, poultry, seafood, eggs, and legumes; iron-fortified cereal; enriched or whole-grain bread; fruit; and vegetables—should be supplied in amounts sufficient to round out total energy needs. Ideally, the 1-year-old sits at the table, eats many of the same foods everyone else eats, and drinks liquids from a cup, not a bottle. Although transitioning from the bottle to a sippy cup instead of an open cup is common, this practice may not reduce the risks of prolonged bottle use such as iron deficiency, excessive weight gain, and tooth decay.[79] Therefore, transition to an open cup is optimal. Table 13–17 shows a sample menu that meets the requirements for a 1-year-old.

In the United States and countries around the world, infants and toddlers enjoy a wide variety of traditional ethnic foods. For example, mashed cooked pinto or black beans in Hispanic dishes, deliciously flavored hummus from Mid-Eastern cuisines, and stir-fried tofu, typical of some Asian dishes, are all nutritious additions to toddler meals.

milk anemia iron-deficiency anemia caused by drinking so much milk that iron-rich foods are displaced from the diet.

Key Points

- At 6 months, an infant may be ready to try some solid foods.
- By 1 year, the child should be eating foods from all food groups.

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Table 13–17

Sample Meal Plan for a 1-Year-Old

	Sample Menu
Breakfast	1 scrambled egg 1 slice whole-wheat toast ½ c whole milk
Morning Snack	½ c yogurt ¼ c fruit[a]
Lunch	½ grilled cheese sandwich: 1 slice whole-wheat bread with 1 slice cheese ½ c vegetables[b] (steamed carrots) ¼ c water
Afternoon Snack	½ c fruit[a] ½ c toasted oat cereal
Dinner	1 oz chopped meat or ¼ c well-cooked mashed legumes ½ c rice or pasta ½ c vegetables[b] (chopped broccoli) ½ c reduced-fat or low-fat milk

Note: This sample menu provides about 1,000 calories.

[a]Include citrus fruit, melons, and berries.

[b]Include dark green, leafy vegetables and red and orange vegetables.

Looking Ahead

The first year of life is the time to lay the foundation for future health. From the nutrition standpoint, the problems most common in later years are obesity and dental disease. Prevention of obesity may also help prevent the obesity-related diseases: cardiovascular disease, diabetes, and cancer.

The most important single measure to undertake during the first year is to encourage eating habits that will support continued normal weight as the child grows. This means introducing a variety of nutritious foods in an inviting way (not forcing the baby to finish the bottle or baby food jar) and avoiding concentrated sweets and empty-calorie foods while encouraging physical activity. Parents should not teach babies to seek food as a reward, to expect food as comfort for unhappiness, or to associate food deprivation with punishment. If they cry for companionship, pick them up—don't feed them. If they are hungry, by all means, feed them appropriately. More pointers are offered in this chapter's Food Feature.

Dentists strongly discourage the practice of giving a baby a bottle as a pacifier and recommend limiting treats. Sucking for long periods of time pushes the normal jaw line out of shape and causes a bucktoothed profile: protruding upper and receding lower teeth. Prolonged sucking on a bottle of milk or juice also bathes the upper teeth in a carbohydrate-rich fluid that favors the growth of acid-producing bacteria, which dissolve tooth materials. Babies regularly put to bed with bottles sometimes have teeth decayed all the way to the gum line, a condition known as nursing bottle tooth decay, as shown in Figure 13–13.

Key Points

- The early feeding of an infant lays the foundation for life-long eating habits.
- The most important single measure to undertake during the first year is to encourage eating habits that will support continued normal weight as the child grows.

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Older babies love to eat what their families eat. Let them enjoy their food.

Figure 13–13

Nursing Bottle Tooth Decay—An Extreme Example

The upper teeth have decayed all the way to the gum line.

Ted Croll/Science Source

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Food Feature

Mealtimes with Infants

LO 13.6 List five feeding guidelines that encourage normal eating behavior and autonomy in a child.

The nurturing of a young child involves more than just nutrition. Those who care for young children are responsible for providing not only food, milk, and water but also a safe, loving environment in which a child can grow and develop physical and emotional health and security.

Foster a Sense of Autonomy

Anyone feeding a 1-year-old has to be aware that the child's exploring and experimenting are normal and desirable behaviors. The child is developing a sense of autonomy that, if allowed to develop, will provide the foundation for later assertiveness in choosing when and how much to eat and when to stop eating.

Some Feeding Guidelines

In light of the developmental and nutrient needs of 1-year-olds and in the face of their often contrary and willful behavior, a few feeding guidelines may be helpful:

- *Discourage unacceptable behavior (such as standing at the table or throwing food) by removing the child from the table to wait until later to eat.* Be consistent and firm, not punitive. For example, instead of saying "You make me mad when you don't sit down," say, "The fruit salad tastes good—please sit down and eat some with me." The child will soon learn to sit and eat.
- *Let young children explore and enjoy food.* This may mean eating with fingers for a while. Learning to use a spoon will come in time. Children who are allowed to touch, mash, and smell their food while exploring it are likely to accept it.
- *Don't force food on children.* Rejecting new foods is normal, and acceptance is likely as children become familiar with new foods through repeated opportunities to taste them. Instead of saying "You cannot go outside to play until you taste your carrots," say "You can try the carrots again another time."
- *Provide nutritious foods, and let children choose which ones, and how much, they will eat.* Gradually, they will acquire a taste for different foods.
- *Limit sweets.* Infants and young children have little room for empty-calorie foods in their daily energy allowance. Do not use sweets as rewards for eating meals.
- *Don't turn the dining table into a battleground.* Make mealtimes enjoyable. Teach healthy food choices and eating habits in a pleasant atmosphere. Mealtimes are not the time to fight, argue, or scold.

These recommendations reflect a spirit of tolerance that best serves the emotional and physical interests of infants. This attitude, carried throughout childhood, helps children to develop a healthy relationship with food. The next chapter continues the story of nutrition through life.

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What did you decide?

- Can a **man's lifestyle habits** affect a woman's future pregnancy?
- How much **alcohol** consumed by a pregnant woman will harm her developing fetus?
- Are **breast milk** and **formula** equally good for an infant's health?
- Can infants **thrive on breast** milk or infant formula alone?

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Self Check

1. (LO 13.1) A pregnant woman needs an extra 450 calories above the allowance for nonpregnant women during which trimester(s)?
   a. first
   b. second
   c. third
   d. first, second, and third
2. (LO 13.1) A major reason why a woman's nutrition before pregnancy is crucial is that it determines whether her uterus will support the growth of a normal placenta.
   T F
3. (LO 13.1) A deficiency of which nutrient during pregnancy appears to be related to an increased risk of neural tube defects in newborns?
   a. vitamin B_6
   b. folate
   c. calcium
   d. niacin
4. (LO 13.1) The pregnant woman's body helps conserve iron by
   a. triggering food cravings.
   b. reducing physical activity.
   c. increasing iron excretion.
   d. increasing iron absorption.
5. (LO 13.1) Which of the following preventative measures should a pregnant woman take to avoid contracting listeriosis?
   a. avoid feta cheese
   b. avoid pasteurized milk
   c. thoroughly heat hot dogs
   d. a and c
6. (LO 13.2) Fetal alcohol spectrum disorders (FASD) are the leading cause of preventable developmental delays and intellectual disabilities in the world.
   T F
7. (LO 13.2) Which of the following does not characterize the damage done by alcohol during pregnancy?
   a. halts delivery of oxygen through the umbilical cord
   b. stimulates maternal appetite and therefore increases fetal nutrition
   c. slows cell division
   d. interferes with placental transport of nutrients to the fetus
8. (LO 13.2) The American Academy of Pediatrics urges all women to drink only moderately during pregnancy.
   T F
9. (LO 13.3) Without proper management, type 1 or type 2 diabetes during pregnancy can cause all except
   a. severe nausea.
   b. severe hypoglycemia or hyperglycemia.
   c. preterm labor.
   d. pregnancy-related hypertension.
10. (LO 13.3) When women in developed countries die of pregnancy complications, the cause is often eclampsia.
    T F
11. (LO 13.4) To support lactation, a breastfeeding woman needs more of the following:
    a. fluid
    b. fluoride
    c. energy
    d. a and c
12. (LO 13.4) Maternal dietary calcium intake has no effect on the calcium content of breast milk.
    T F
13. (LO 13.4) Lactating women who smoke tobacco
    a. transfer nicotine and other chemicals to their infants through their breast milk.
    b. produce more milk than nonsmokers.
    c. produce milk with a higher fat content, damaging the infant's arteries.
    d. b and c
14. (LO 13.5) Breastfed infants may need supplements of
    a. fluoride, iron, and vitamin D.
    b. zinc, iron, and vitamin C.
    c. vitamin E, calcium, and fluoride.
    d. vitamin K, magnesium, and potassium.
15. (LO 13.5) Protective factors in breast milk include
    a. antiviral agents.
    b. anti-inflammatory agents.
    c. antibacterial agents.
    d. all of the above.
16. (LO 13.5) Which of the following foods poses a choking hazard to infants and small children?
    a. pudding
    b. marshmallows
    c. hot dog slices
    d. b and c
17. (LO 13.5) A sure way to get a baby to sleep through the night is to feed solid foods as soon as the baby can swallow them.
    T F
18. (LO 13.6) Fostering a sense of autonomy in a 1-year-old includes allowing the child to explore and experiment with her food.
    T F
19. (LO 13.6) In light of the developmental needs of 1-year-olds, parents should allow such behaviors as standing at the table and throwing food.
    T F
20. (LO 13.7) The area of study that examines how environmental factors influence gene expression without changing the DNA is known as:
    a. macrobiotics
    b. polymorphism
    c. epigenetics
    d. phytochemistry

Answers to these Self Check questions are in Appendix G.

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Controversy 13 How Do Today's Food Choices Affect Future Generations?

LO 13.7 Describe the emerging science of nutritional genomics.

An emerging science, **nutritional genomics**, is providing clues to some long-standing mysteries that lie in the realm between nutrition and genetics.[1] How is it possible, for example, that a pregnant woman's diet forever affects the health of her children and grandchildren? Why do children born during a famine often become obese later in life? And if genes underlie chronic diseases, how can identical twins, with their identical DNA, develop different diseases?

This Controversy explores just a fraction of the details known about nutritional genomics and human development. Table C13–1 defines some relevant terms. To review DNA information, turn to Chapter 3 (p. 69); for protein synthesis, turn to Chapter 6 (p. 185).

DNA and the Epigenome—Nature's Pen-and-Pencil Set

DNA molecules remain relatively stable throughout life, and once inherited, genes change little from conception until death. Lying just outside the DNA is a different, more changeable bank of inheritable information—the **epigenome**. The two work together to make all of the body's proteins, from cellular enzymes to the structural proteins of muscles and bones. (Chapter 6 lists the many functions of proteins.)

DNA and the epigenome have been likened to nature's pen-and-pencil set. DNA provides its instructions written in ink, so to speak—the sequence for making a protein is largely permanent. In contrast, the epigenome is written in pencil in the margins and allows for erasures and changes. Many of those changes occur in response to environmental factors, including diet. The area of study that examines how environmental factors influence gene expression without changing DNA is known as **epigenetics**.

The epigenome has no genes of its own but it controls the activity of genes located along the strands of DNA. More specifically, the epigenome regulates protein synthesis by activating or silencing (deactivating) genes.

Formation of the Epigenome

Shortly after conception, dramatic events take place that form the epigenome. Soon after an ovum is fertilized, even before it implants in the uterus, all the information-carrying compounds of the epigenome strip away from the DNA and then swiftly reassemble to form a new epigenome. Much of the new epigenome is faithfully replicated from the parent cells, but some of it changes in response to environmental cues.

This marks a critical period in gestation in which environmental factors can most strongly modify future health tendencies. Evidence suggests that at this time factors such as alcohol and other drugs, environmental pollutants, famine or overfeeding, nutrient deficiencies or excesses, tobacco smoke and other toxins, ultraviolet radiation, or even excessive psychological stress, may alter the epigenome as it forms.[2]

As development continues, an embryo's cells repeatedly divide to form new tissues and organs. During this process, each new cell receives a copy of the original DNA along with its new epigenome, which repeats any alterations that may have occurred earlier. When epigenome alterations affect health risks, they set into motion health tendencies that cascade down through generations.[3]

Table C13–1

Terms

bioactive food components nutrients and phytochemicals in foods that alter physiological processes, often by interacting, directly or indirectly, with the genes.

epigenetics (ep-ih-gen-EH-tics) the science of heritable changes in gene function that occur without changes in the DNA sequence.

epigenome (ep-ih-GEE-nohm) the collection of molecules associated with chromosomes that modulate protein replication by the genes.

histones (HISS-tones) proteins that lend structural support to the chromosome structure and that help activate or silence gene expression.

methyl (METH-il) groups molecular fragments consisting of one carbon and three hydrogen atoms that, among their many roles, can alter gene expression when attached by enzymes to strands of DNA.

nutritional genomics the science of how food and its components interact with the genome.

stem cells cells that replicate and mature to become many different types of specialized cells within the body tissues.

How Does an Eye Become an Eye?

Given that every cell of the body receives exactly the same genes, how do cells become different body parts? A cone cell of a person's eye, for example, and a blood-producing cell of the same person's bone marrow contain identical DNA but, luckily for the person, the epigenome activates and silences genes along the DNA strand to ensure that each cell type makes only the correct proteins to perform that organ's specialized functions.

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How Does the Epigenome Control Protein Synthesis?

Proper body functioning demands the timely production of an astounding number of different proteins. To make it all run smoothly takes a number of epigenetic mechanisms working together. This section presents just two: the actions of large globular proteins known as **histones** and small molecular fragments called **methyl groups**.*

Histones

Millions of histones reside in the chromosomes, where they both shape and control the DNA (Figure C13–1). Like a thread wound around a spool, sections of a DNA "thread" are tightly wrapped around these histone "spools." The wrapped DNA segments are silent—their genes lack the physical space required to synthesize proteins. Histones, however, have the power to change this arrangement in response to chemical signals from the body's internal environment, including the availability of nutrients and other food constituents.

Histones detect environmental signals by way of their little protein "tails" that stick out from their DNA wrappings (look closely at Figure C13–1). These tails serve as landing sites for molecular compounds from the environment that reflect current conditions. When a need for a particular protein is evident, histones respond by relaxing their grip on the wraps of DNA that hold the genes for that protein. The genes then stretch out and have room to work. Genes on these stretched-out segments can then synthesize the needed proteins—these genes are activated, or *expressed*.

Methyl Groups

Methyl groups may regulate gene expression by attaching directly onto DNA (find the methyl groups on Figure C13–1) or onto histone tails. Typically, when a methyl group attaches to a gene, a process called *methylation*, the gene is silenced—its protein-making capacity is disabled. Removal of the methyl group frees the gene to replicate its protein.

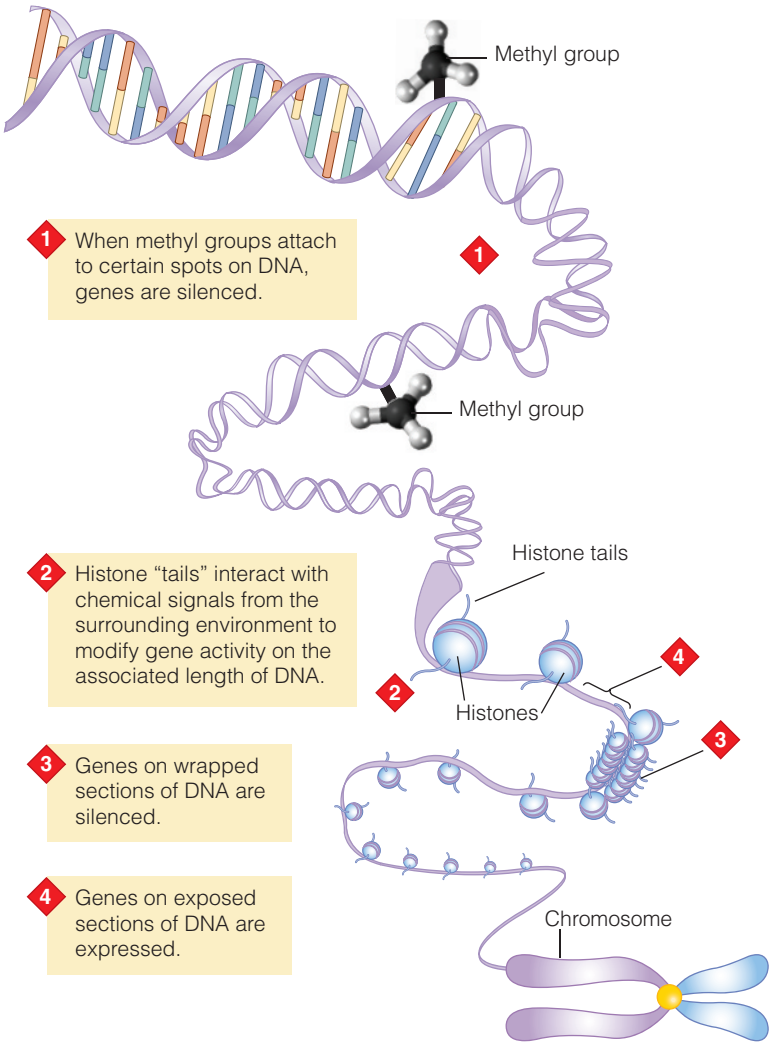
Here's where nutrition comes in. Many molecular signals to which genes respond, such as methyl groups and molecules that attend them, originate in food. **Bioactive food components** such as nutrients and phytochemicals, or compounds generated during their metabolism, play critical roles in this regard.

**Other mechanisms include acetylation of DNA, noncoding regulatory RNA molecules, and activities of other chromatin remodeling factors.*

Figure C13–1

Two Epigenetic Factors and Gene Activity

This figure depicts histones, large globular protein "spools" that wrap lengths of DNA. Other epigenetic factors also exist, such as the methyl groups in this illustration, tiny one-carbon structures that attach directly to a DNA strand, modifying its activity. Another is a form of RNA (not shown).



B Vitamins, Methyl Groups, and Yellow Mice

A powerful example of how nutrients affect protein synthesis involves the influence of the B vitamin folate on DNA methylation. Folate (along with other B vitamins)

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is essential for transferring methyl groups from molecule to molecule, including to DNA molecules. With too little folate, sufficient methylation may not occur in key areas.

This effect is illustrated in the photo of two mice in Figure C13–2. Despite their strikingly different appearance, both these mice possess a gene that tends to produce fat, yellow pups, but their mothers, called *dams*, were fed different diets before and during pregnancy. The dam of the lean, brown mouse received doses of the B vitamins folate and vitamin B_{12}. These vitamins took part in transferring methyl groups to DNA molecules, thereby silencing the gene for "yellow and fat." The result was brown, lean pups.

These findings may relate to human beings, too, and underscore the importance of proper nutrition during pregnancy.[4] The effects of nutrient imbalances, both shortages and excesses, during pregnancy are unpredictable.

How Food Choices Today Affect Future Generations

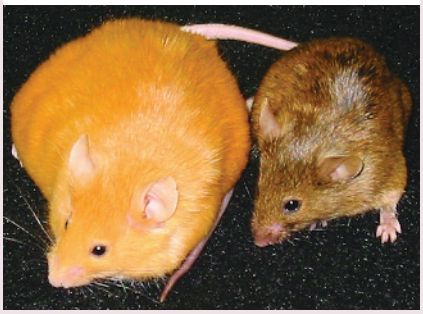
The most enduring effects of diet during pregnancy arise when epigenetic changes occur in a female embryo. This is because a female embryo forms all of the reproductive cells that will ripen later in her life during her own childbearing years. Each of those primitive reproductive cells receives a copy of the epigenome, along with modifications arising from the mother's diet.[5] The food choices a mother makes during pregnancy can therefore reach through two generations, affecting both her daughter and her grandchildren.

In addition, evidence is mounting to suggest that long before conception occurs, male sperm cells are altered by environmental factors, including diet.[6] In laboratory animals, three generations must pass before the epigenetic "memory" of an epigenome-changing event is fully erased.[7] For the best outcomes in childbearing, therefore, all people must attend to their diet and other lifestyle habits throughout their reproductive years.

Figure C13–2

B Vitamins and the Epigenome

These two mice share an identical gene that tends to produce fat, yellow mice. The mother of the lean, brown mouse received supplemental B vitamins that silenced the gene.



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Can a Human Fetus Be "Programmed" to Develop Chronic Diseases?

A theory called *fetal programming* holds that changes occurring at critical points in human development permanently alter metabolism in ways that greatly increase the chances of developing obesity and chronic diseases in later life.[8] Researchers suspect that these outcomes may result from modifications to the epigenome.

Research Evidence

Animal studies support the fetal programming theory. Starvation, nutrient deficiency, oxygen deprivation, or overfeeding during gestation reliably produce offspring that display markers of metabolic diseases, such as obesity, diabetes, and heart disease.[9] Studies of people are problematic, however—it would be unethical to starve pregnant women to measure the effects of deprivation on their children. Instead, researchers use public records to correlate health outcomes with times of famine that have occurred around the world.[10]

Starvation and Obesity

Children conceived or born during times of famine are often small for their gestational age. As they mature into adulthood, they tend to develop obesity, diabetes, heart disease, and other metabolic diseases more often than infants born at times of plenty. It may be that energy deprivation forces the developing fetus to conserve every calorie to survive, skewing the body's energy balance in favor of body fat storage at the level of the epigenome. These thrifty traits, according to the theory, then remain with the person throughout life, setting the stage for obesity.

Likewise, children born to mothers with obesity also have a greater risk of becoming obese and developing metabolic diseases later on.[11] Exactly how these opposite conditions, starvation and obesity, produce the same disease tendencies is unknown.

Can Epigenetic Changes Occur in Later Life?

The most profound changes to the epigenome take place during early development but some changes still occur through adolescence and even into old age (Figure C13–3). As cells and tissues age and wear out, **stem cells** continuously mature and divide to replace them. Epigenetic changes in a stem cell can affect the tissues arising from it, sometimes in ways that make cancer likely to occur.[12] As mentioned, earlier folate in the diet is required for normal methylation to silence unneeded gene activity. People who lack sufficient folate in their diets suffer colon cancer more often, and when their tumor DNA is tested, methylation changes are evident.[13]

Not all changes to the stem cell epigenome are harmful, and some may be protective. A hallmark of cancer is uncontrolled cell replication—genes that would normally stop cells from multiplying have been silenced. In test tubes, sulforaphane, a phytochemical found in broccoli and other cruciferous vegetables,

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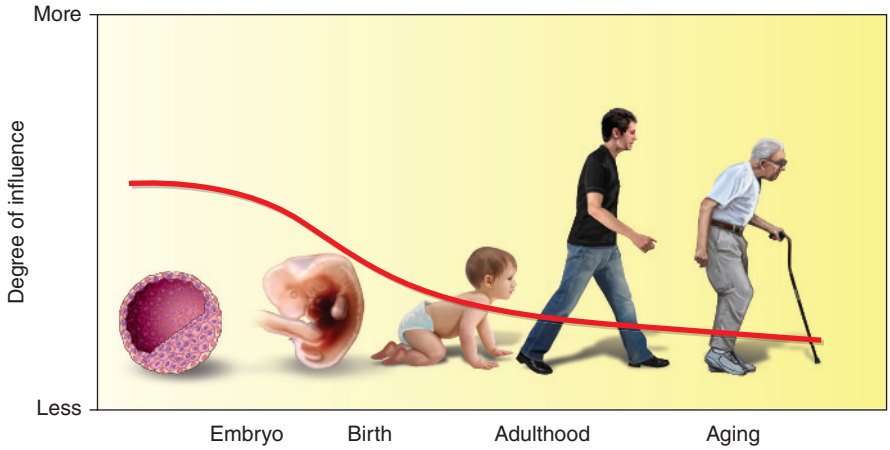
Figure C13–3

An Epigenome Timeline

The epigenome changes in response to environmental influences. This occurs most dramatically during the earliest stages of development but some changes continue throughout life.



reverses some of these epigenetic changes and reinstates control of cell division.[14] In mice, sulforaphane inhibits certain cancers. In human studies, ingestion of one cup of broccoli sprouts measurably alters histone activities in blood cells. Can adults change their epigenome and prevent cancer by eating broccoli, then? The answer is not fully known, but people who regularly consume cruciferous vegetables often have lower-than-average rates of certain cancers.

The Twins Mystery

A potential solution to the mystery of how identical twins can develop different diseases now emerges. Although twins have identical DNA, their life experiences vary, and these experiences may change the epigenome in different ways. One may live on fast food while the other chooses a nutritious diet, one may take up smoking while the other takes up jogging, one may be exposed to industrial toxins at work, and so forth. In short, different environmental influences throughout life may change genetic expression in ways that increase or decrease disease risks.[15] A popular saying captures this concept: Your DNA is not your destiny.

Conclusion

Many secrets remain buried in the realm of nutritional genomics, and researchers have only begun to probe for them.[16] To maintain the epigenome, the evidence so far supports consuming an adequate nutrient-dense diet, such as the ones specified in Appendix E, during every life stage. Particularly for young adults, epigenetic changes take place in sperm and fertilized ova long before pregnancy occurs. The knowledge that genes do not entirely dictate your destiny empowers you to put your nutrition education to work, protecting both your health and the health of future generations.

Critical Thinking

1. Nutritional genomics and epigenetics are related areas of science. Why is it important to be aware of nutrition's influence on the genes?
2. It is clear that genetic expression in a developing embryo can be changed by environmental factors. Should parents try to influence that development by intentionally controlling these environmental factors? If so, which factors, and why?

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14 Child, Teen, and Older Adult

Controversy 14 Childhood Obesity and Early Chronic Diseases

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 14.1 Describe nutrient needs, eating habits, and dietary cautions for early and middle childhood.

LO 14.2 Summarize the nutrient needs of adolescents.

LO 14.3 Identify the dietary factors associated with successful and healthy aging.

LO 14.4 Describe the changes in nutrient needs that occur as people age.

LO 14.5 Describe the concerns associated with regularly eating alone.

LO 14.6 Describe the challenges associated with childhood obesity.

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What do you think?

- Do you need **special information** to properly nourish children, or are they like "little adults" in their needs?
- Do you suspect that symptoms you feel may be caused by a **food allergy**?
- Are **teenagers** old enough to decide for themselves what to eat?
- Can good nutrition help you live **better and longer**?

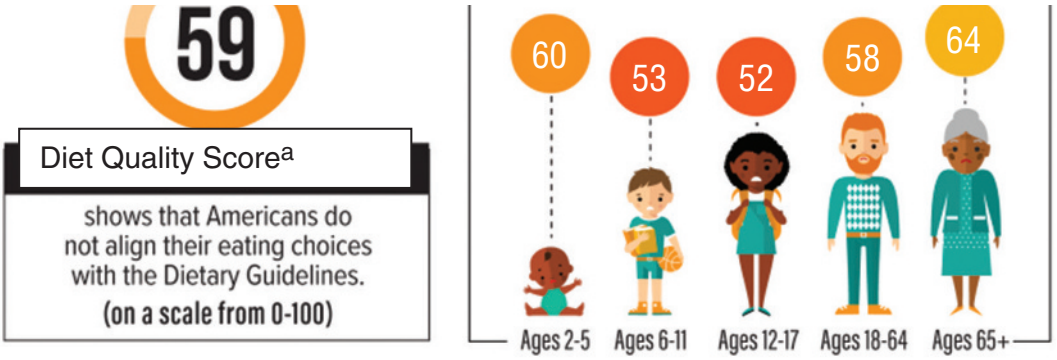
To grow and to function well in the adult world, children need a firm background of sound eating habits, which begin during the second half of infancy with the introduction of solid foods. At that point, the person's nutrition story has just begun; the plot thickens. Nutrient needs change in childhood and throughout life, depending on the rate of growth, sex, activities, and many other factors. Nutrient needs also vary from individual to individual, but universal recommendations are available and useful.

Most people in the United States, including most children and adolescents, do not meet the ideals of the Dietary Guidelines. They eat far too few fruits, vegetables, legumes, and whole grains, and too much added sugar, refined grains, saturated fat, and salt, mostly in the form of ultra-processed foods.[1*] Diet quality varies somewhat over the lifespan, but as Figure 14–1 illustrates, all age groups—even toddlers—have room for improvement.

Figure 14–1

U.S. Diet Quality Through the Lifespan

U.S. diets score low in diet quality across the age groups when measured against the Dietary Guidelines for Americans.



Source: Dietary Guidelines Advisory Committee 2020, Scientific Report of the 2020 Dietary Guidelines Advisory Committee: Advisory Report to the Secretary of Agriculture and the Secretary of Health and Human Services, *(Washington, DC: U.S. Department of Agriculture, Agricultural Research Service, 2020), Part D. Chapter 1, p. 56.*

[a]*Diet quality scores derive from the Healthy Eating Index, a tool that establishes a diet's degree of compliance with the key recommendations of the Dietary Guidelines for Americans.*

*Reference notes are in Appendix F.

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The consequences of such diets may not be evident to casual observers, but nutritionists know that nutrient deficiencies during growth often have far-reaching effects on physical and mental development. Likewise, dietary excesses during childhood often set up lifelong struggles against obesity and chronic diseases (see the Controversy, p. 554).

Early and Middle Childhood

LO 14.1 Describe nutrient needs, eating habits, and dietary cautions for early and middle childhood.

Imagine growing 10 inches taller in just 1 year, as the average healthy infant does during the first dramatic year of life. At age 1, infants have just learned to stand and toddle, and growth has slowed by half; by 2 years, they can take long strides with solid confidence and are learning to run, jump, and climb. These accomplishments reflect the accumulation of a larger mass, greater density of bone and muscle tissue, and refinement of nervous system coordination. These same growth trends, a lengthening of the long bones and an increase in musculature, continue until adolescence but more slowly.

Mentally, too, children make rapid advances, and proper nutrition is critical to normal brain development. A child malnourished at age 3 often demonstrates diminished mental capacities compared with peers at age 11.

Feeding a Healthy Young Child

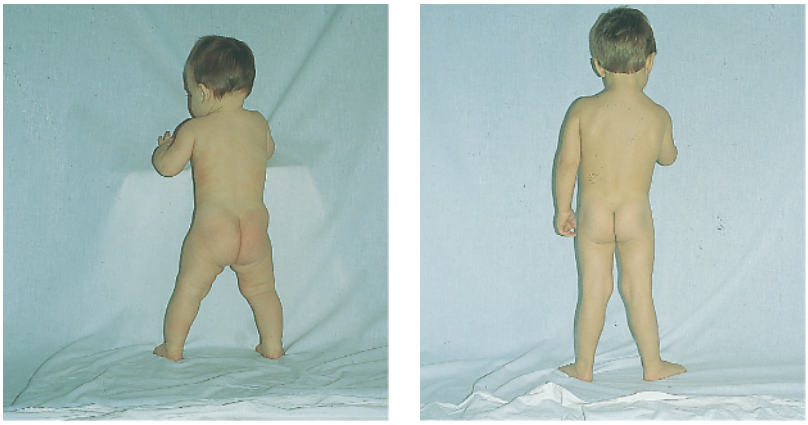
At no time in life do human nutritional needs change faster than during the second year. From 12 to 24 months, a child's diet must shift from infant foods consisting of mostly formula or human milk to mostly modified adult foods. This doesn't mean, of course, that milk loses its importance in a toddler's diet—it remains a central source of calcium, protein, and other nutrients. Table E-2 in Appendix E presents the USDA dietary patterns for toddlers ages 12 through 23 months who are no longer receiving human milk or infant formula.

Figure 14–2 shows the extraordinary changes that take place in a young child's body during the second year of life. During this remarkable period, the demand for

Figure 14–2

Body Shape of 1-Year-Old and 2-Year-Old Compared

The body shape of a 1-year-old (left) changes dramatically by age 2 (right). The 2-year-old has grown leaner and taller; the muscles (especially in the back, buttocks, and legs) have firmed and strengthened; and the leg bones have lengthened.



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nutrients is greater than those that can be provided by milk alone. Further, the toddler years are marked by bustling activity made possible by new muscle tissue and refined neuromuscular coordination. To support both their activity and their growth, toddlers need nutrients and plenty of them.

Appetite Regulation An infant's appetite decreases markedly near the first birthday and fluctuates thereafter. At times, children seem insatiable; at other times, they seem to live on air and water. Parents and other caregivers need not worry: given an ample selection of nutritious foods at regular intervals, internal appetite regulation in healthy, normal-weight children guarantees that their overall energy intakes will remain remarkably constant and will be right for each stage of growth.

This ideal situation depends on restriction of low-nutrient, high-calorie foods, however. Today's children too often consume a constant stream of tempting foods high in added sugars and fats, refined grains, and calories throughout the day, short-circuiting normal hunger and satiety cues. Children who receive regularly timed snacks and meals of a variety of nutritious foods, with only occasional special treats, are most likely to gain weight appropriately and grow normally. The Dietary Guidelines for Americans recommend these actions to caretakers:

- *Avoid added sugars.* Infants and young children have virtually no room in their diet for added sugars.
- *Avoid foods higher in sodium*. Limit salty snacks and commercial toddler foods with added salt.
- *Avoid honey and unpasteurized foods and beverages*. Foodborne illnesses from these sources can cause serious illness or death among infants (see Chapter 12).
- *Establish a healthy beverage pattern.* An important part of establishing an overall healthy dietary pattern is careful consideration of beverages.

Beverage choices are so important to the nutrition of young children that a later section, Beverages, is devoted to them.

Energy Individual children's energy needs vary widely, depending on their size, growth, and physical activity. As children grow, the total calorie need increases, but per pound of body weight, the need declines from the extraordinarily high demand of infancy. Table 14–1 lists calorie needs for sedentary children and adolscents, but

Table 14–1

Estimated Daily Energy Needs for Sedentary Children and Adolescents

Males (age)	Energy (cal/day)	Females (age)	Energy (cal/day)
2–3 yr	1,000	2–3 yr	1,000
4–5 yr	1,200	4–7 yr	1,200
6–8 yr	1,400	8–10 yr	1,400
9–10 yr	1,600	11–13 yr	1,600
11–12 yr	1,800	14–18 yr	1,800
13–14 yr	2,000		
15 yr	2,200		
16–18 yr	2,400		

Note: Sedentary describes a lifestyle that includes only the activities typical of independent living.

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children who are active, large for age, or growing rapidly need more than this amount. A USDA website provides a DRI calculator to help to estimate a child's calorie needs.*

Some children, notably those fed vegan diets, may have difficulty meeting their energy needs. Whole grains and many kinds of vegetables and fruits provide plenty of fiber and nutrients, but their low energy content may make them inadequate to support growth. Soy products, other legumes, and nut or seed butters offer more concentrated sources of energy and nutrients to support optimal growth and development in these children.

Protein The total amount of protein needed increases somewhat as a child grows larger. On a pound-for-pound basis, however, an older child's need for protein decreases slightly relative to a younger child's need (see the DRI values, at the back of the book, pp. A and B). Protein needs of children are well covered by typical U.S. diets and well-planned vegetarian diets.

Carbohydrate and Fiber Glucose use by the brain sets the carbohydrate intake recommendations. A one-year-old's brain is large relative to the size of the body, so the glucose required by a 1-year-old falls in the adult range (see the back of the book, p. A). Fiber recommendations derive from adult intakes and should be adjusted downward for children who are picky eaters and take in little energy.

Fat and Fatty Acids Keeping dietary saturated and trans fats within bounds may help protect children from developing early signs of adult diseases. Taken to extremes, however, a low-fat diet can lack energy and essential nutrients required for growth. The essential fatty acids are critical to proper development of nerve, eye, and other tissues.

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Children's small stomachs can hold only so much food, and fat provides a concentrated source of food energy needed for growth. For children, aged 1 to 3 years, dietary fat recommendations are 30 to 40 percent of energy; older children aged 4 to 18 years require 25 to 35 percent of energy from fat.[2]

Vitamins and Minerals As a child grows larger, so does the demand for vitamins and minerals. On a pound-for-pound basis, a 5-year-old's need for, say, vitamin A is about double the need of an adult man. A balanced diet of nutritious foods can meet children's needs for most nutrients.

Vitamin D and iron supplements sometimes become necessary. On average, children's intakes of vitamin D in the United States are inadequate and blood tests reveal that many children are low in the vitamin. The DRI committee recommends that vitamin D–fortified foods, including milk, ready-to-eat cereals, and juices, should provide 15 micrograms vitamin D daily to maximize children's absorption of calcium and ensure normal, healthy bone growth. Outdoor play on sunny days contributes vitamin D, as well (remember to use sunscreen). When supplements become necessary take care: vitamin D toxicity poses a threat to children who are given high doses. Nutrients from other kinds of supplements typically duplicate the ones children already receive in ample amounts from nutritious foods. Well-nourished children therefore need no other supplements except one: iron, which deserves a section of its own.

Iron Iron deficiency in children is a major problem worldwide and remains a concern for U.S. children aged 1 year and older.[3] Following infancy, children progress from a diet of iron-rich infant foods such as breast milk, iron-fortified formula, and iron-fortified infant cereal to a diet of adult foods and iron-poor cow's milk. Their stores of iron from birth are soon exhausted, but their rapid growth demands new red blood cells for a larger volume of blood. Compounding the problem is the variability in toddlers' appetites: sometimes 2-year-olds are finicky, sometimes they eat voraciously, and

*The USDA DRI calculator is available at www.nal.usda.gov/fnic/dri-calculator/.

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Table 14–2

USDA Dietary Patterns for Children (1,000 to 1,800 Calories)

Height, weight, growth rate, and other factors determine a child's energy needs.

Food Group	1,000 cal	1,200 cal	1,400 cal	1,600 cal	1,800 cal
Fruit	1 c	1 c	1½ c	1½ c	1½ c
Vegetables	1 c	1½ c	1½ c	2 c	2½ c
Grains (half whole grains)	3 oz	4 oz	5 oz	5 oz	6 oz
Protein foods	2 oz	3 oz	4 oz	5 oz	5 oz
Milk	2 c	2½ c	2½ c	3 c	3 c

sometimes they may enter phases where they opt for milk and juice in place of solid foods. All of these factors—switching to whole milk and unfortified foods, diminished iron stores, and unreliable food consumption—make iron deficiency likely at a time when iron is critically needed for normal growth and development. A later section revisits iron deficiency and its consequences for the brain.

To prevent iron deficiency, children's foods must deliver 7 to 10 milligrams of iron per day. To achieve this goal, snacks and meals should include iron-rich foods. Although milk is an important source of dietary calcium, needed for the growth of dense, healthy bones, excessive intakes should be avoided, as it can displace iron-rich foods, including lean meats, fish, poultry, eggs, legumes, and whole-grain or enriched grain products, from the diet.

Planning Children's Meals To provide all the needed nutrients, children's meals should include a variety of foods from each food group in amounts suited to their appetites and needs. Table 14–2 displays the USDA Dietary Patterns for children who need 1,000 to 1,800 calories per day. MyPlate online resources for children, parents, and educators translate dietary patterns into messages that can help promote better nutrition for the nation's children (see Figure 14–3).

Beverages A panel of nutrition and health experts recently reviewed U.S. and international policies for beverage intakes for young children and made the recommendations listed in Table 14–3 (p. 522).[4] Plain water and nonfat or low-fat dairy milk are the preferred beverages to quench the thirst and meet fluid needs of young children, The choice of low-fat or nonfat milk helps children to meet nutrient needs while staying within daily calorie allowances to promote a healthy body weight. Some underweight children may need extra calories, however, so higher fat milk choices may be recommended by a health-care provider. Whole fruit is preferred over juice because it provides all of the fiber and phytochemicals of the whole fruit that juices lack. Juicing also concentrates the sugars of fruits, which can lead to dental caries when consumed too frequently.

Figure 14–3

MyPlate Resources for Children

The MyPlate website offers many age-appropriate resources, including games, that teach basic nutrition principles to children. They are free and available at www.myplate.gov/life-stages/kids.

Key Points

- The diets of most U.S. children and adolescents need improvement.
- Other than specific recommendations for vitamin D and iron, well-fed children do not need supplements.
- USDA Dietary Patterns provide for adequate nourishment for growth without obesity.
- Careful beverage selection supports the fluid and nutrient needs of children.

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Table 14–3

Beverage Recommendations for Children

Recommended Beverages	Ages 2–3 Years	Ages 4–5 Years	Notes
Plain water	1 to 4 cups (8 to 32 oz) per day	1.5 to 5 cups (12 to 40 oz) per day	Intakes vary within ranges depending on environment, exercise, and other fluids consumed.
Unflavored pasteurized milk	Up to 2 cups (16 oz.) per day skim (fat-free) or low-fat (1%) milk	Up to 2.5 cups (20 oz) per day skim (fat-free) or low-fat (1%) milk	
Limit			
100% juice	No more than 0.5 cup (4 oz) per day 100% juice	No more than 0.5 to 0.75 cup (4 to 6 oz) per day 100% juice	Whole fruit is preferred over fruit juice.
Not Recommended			
Plant milks/Nondairy beverages	Use only in medical necessity or to meet specific dietary preferences (vegan, for example)	Use only in medical necessity or to meet specific dietary preferences (vegan, for example)	If dairy milk is fully replaced, a registered dietitian nutritionist should guide replacement of key nutrients of dairy milk.
Flavored milk	Not recommended	Not recommended	Added sugars intake should be minimized.
Toddler milk	Not recommended	Not recommended	"Transitioning" milk products, from breast or formula to dairy milk, offer no advantages over a normal diet.
Beverages with lowcalorie sweeteners	Not recommended	Not recommended	Safety evidence is lacking for young children.
Caffeinated beverages	Not recommended	Not recommended	
Sugar-sweetened beverages (soda, fruit-flavored drinks, sports and energy drinks, sweetened waters, and sweetened coffee and tea drinks)	Not recommended	Not recommended	Evidence supports adverse effects, such as excess calorie intake and body fat accumulation, reduced nutrient intake, dental caries, and later metabolic diseases.

Source: Adapted from M. Lott and coauthors, Healthy beverage consumption in early childhood: Recommendations from key national health and nutrition organizations, Technical Scientific Report (Durham, NC: Healthy Eating Research, 2019), http://healthyeatingresearch.org.

Mealtimes and Snacking

The early childhood years present the parents' greatest chance to influence lifelong food choices that will promote the child's health today and reduce chronic disease risks later on. The challenge is to deliver nutrients in the form of meals and snacks that are both nutritious and appealing so that children will learn to enjoy a variety of health-promoting, nutritious foods.

Current U.S. Children's Food Intakes Most children eat too few fruits and vegetables: on average, they do not obtain even half of the needed amounts of total vegetables or whole grains. Sugar-sweetened beverages and desserts are too often added to the diet in early life, and their intakes increase with age, while intakes of health-promoting whole foods decrease. When children develop preferences for nutrient-poor selections, winning their acceptance of the nutritious foods they need can prove challenging.

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Dealing with Children's Preferences Many children prefer sweet fruits and mild-flavored vegetables served raw or undercooked because they are crunchy and easy to eat. Cooked foods should be served warm, not hot, because a child's mouth is much more sensitive than an adult's. The flavors should be mild because a child has more taste buds.

Little children prefer small portions of food served at little tables. If offered large portions, children may fill up on favorite foods, ignoring others. Toddlers often go on food jags—consecutive days of eating only one or two favored foods. For food jags lasting a week or so, make no response because 2-year-olds regard any form of attention as a reward. After 2 weeks of serving the favored foods, try serving small portions of many foods, including the favored items. Invite the child's friends to occasional meals, and make other foods as attractive as possible.

Bribing a child to eat certain foods by, for example, allowing extra television time as a reward for eating vegetables often fails to produce the desired effect: the child will likely *not* develop a preference for those foods. Likewise, when children are forbidden to eat favorite foods, they yearn for them more intensely—the opposite of the well-meaning caregiver's goal. Include favorites as occasional treats.

Most children can safely enjoy occasional treats of high-calorie foods, but such treats should also be nutritious. From the milk group, ice cream or pudding is good now and then; from the grains group, whole-grain or enriched cakes, oatmeal cookies, snack crackers, or even small doughnuts are an acceptable occasional addition to a nutritious diet. These foods encourage a child to learn that pleasure in eating is important. A steady diet of these treats, however, leads to nutrient deficiencies, obesity, or both.

Picky Eaters The diets of picky eaters often score low in nutrition quality.[5] A fear of new foods, **food neophobia**, often underlies picky eating and is almost universal among toddlers and preschoolers. Without so much as a taste, the child rejects new foods on sight, but the reason why is unclear. The child may remember disliking a food with a similar appearance or aroma. The behavior may be rooted in the genes, evolving as a protective mechanism that prevented curious ancestral toddlers from tasting toxic plants in their environments. Severe food neophobia can harm a child's health, growth, or social interactions and should be evaluated by a pediatrician.

In the meantime, some practical tips can help. First, keep an upbeat but persistent attitude: a child may ignore or reject a food the first 14 times it is offered but on the 15th may suddenly recognize it as a familiar, accepted food in the diet. Parents' negative attention or attempts to force "just a taste" before the child is ready interrupt this learning process. Offering new foods at the beginning of a meal when the child is hungry often works best. So does serving the child samples of the healthful foods that others are enjoying. For better or worse, children often follow the examples of siblings, friends, and adults.[6] The tips offered in Table 14–4 (p. 524) can often make mealtimes go more smoothly.

food neophobia (NEE-oh-FOE-beeah) the fear of trying new foods, common among toddlers.

Child Preferences versus Parental Authority Just as parents are entitled to their likes and dislikes, a child who genuinely and consistently rejects a food should be allowed the same privilege. Also, children should be believed when they say they are full: the "clean-your-plate" dictum should be stamped out for all time. Children who are forced to override their own satiety signals are in training for obesity.

A bright, unhurried atmosphere with a positive emotional climate is conducive to good appetite and provides a climate in which a child can learn to enjoy eating health-promoting foods.[7] Parents who beg, cajole, and demand that their children eat make power struggles inevitable. A child may find mealtimes unbearable if she is accompanied by a barrage of accusations—"Susie, your hands are filthy . . . your report card . . . and clean your plate!" The child's stomach recoils as both body and mind react to stress of this kind.

Honoring children's preferences does not mean allowing them to dictate the diet, however, because children naturally prefer fatty, sugary, and salty foods, such as heavily advertised snack chips, cookies, crackers, fast

Frances Sizer

Little children like to eat small portions of food at little tables.

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Table 14–4

Tips for Feeding Picky Eaters

Medical attention is needed if a child fails to eat enough to support healthy growth and development. Otherwise, these tips often help.

Get Them Involved	
Children are more likely to try foods when they feel a sense of ownership. Include them in	
▪ Meal planning.	▪ Food preparation.
▪ Grocery shopping.	▪ Gardening and harvesting the foods they eat.
Be Creative	
▪ Serve vegetables as finger foods with dips or spreads.	▪ Encourage (don't force) children's interest and enthusiasm for nutritious foods, such as legumes or whole grains, by using them in craft projects.
▪ Use cookie cutters to cut fruit and vegetables into fun shapes.	
▪ Serve traditional meals out of order (e.g., breakfast for dinner).	
Enhance Favorite Recipes	
▪ Blend, slice, or shred vegetables into sauces, casseroles, pancakes, or muffins.	▪ Bake brownies with black beans or cookies with lentils as an ingredient (find recipes on the Internet).
▪ Serve fruit over cereal, yogurt, or ice cream.	
Model and Share	
▪ Be a role model to children by eating healthy foods yourself. Offer to share your healthy snacks with them.	▪ Encourage children to taste at least one bite of each food served at a meal.
▪ Children may need multiple exposures to new foods before they accept them, so do continue offering foods that children initially reject.	
Respect and Relax	
▪ Children tend to eat sporadically. They have small stomachs and so tend to fill up fast and become hungry again soon after eating.	▪ Focus on the child's overall weekly intake of food and nutrients rather than on daily consumption.

foods, and sugary cereals and drinks. When children's tastes are allowed to rule the family's pantry, everyone's nutrition suffers because busy parents often eat the foods they prepare for children. The responsibility for *what* the child is offered to eat lies squarely with the adult caregiver, but the child should be allowed to decide *how much* and even *whether* to eat.

Many parents overlook perhaps the single most important influence on their children's food habits—their own habits. Parents who don't prepare, serve, and eat carrots shouldn't be surprised when their children refuse to eat carrots. Conversely, parents who share food shopping and cooking tasks with children, and who enjoy nutritious foods at family meals, set healthy patterns for children to follow.

Snacking Parents often find that their children snack so much that they are not hungry at mealtimes. This is not a problem if children are taught how to snack—nutritious snacks are just as health promoting as small meals. Table 14–5 provides healthy snack ideas from each food group that many children like to eat.

Restaurant Choices It takes some artful maneuvering to choose nutritious restaurant meals that children can enjoy. Children's menus reliably offer fatty, salty sandwiches, "nuggets," and French fries. For better choices:

- Ask to split a regular meal among several children.
- Choose from appetizers, soups, salads, and side dishes.

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Table 14–5

Healthy Snack Ideas from Each Food Group

Well-planned snacks that include two or more food groups, such as yogurt with fruit, a mini bagel with hummus, or whole-grain cereal with milk, provide a wide variety of needed nutrients.

▪ Grains	Ready-to-eat cereal, whole-grain crackers, mini rice or wheat cakes, sliced bread, mini bagel, graham crackers, whole-wheat tortilla
▪ Vegetables	Veggie "matchsticks" (thin sticks) made from fresh carrots[a] or zucchini,[a] bell pepper rings, cut cherry tomatoes,[a] green beans, sugar peas, avocados, steamed broccoli
▪ Fruits	Thin apple slices,[a] tangerine sections, strawberry halves, banana, pineapple, kiwi, peach, mango, nectarine, melon, cut grapes,[a] berries, diced dried apricots[a]
▪ Dairy Products	Low-fat cheese slices or string cheese[a], mini yogurt cup, fat-free or low-fat milk or soy milk, low-fat cottage cheese
▪ Protein Foods	Egg slices or wedges, peanut butter,[a] bean dip, hummus, black beans, thin strips of lean turkey[a] or chicken,[a] shelled pumpkin seeds, soy "burger" or "sausage" slices

[a]These foods can pose a choking hazard unless cut into small pieces. Cherry tomatoes and grapes should be halved; string cheese should be served in thin strips, not cut into discs. Plain peanut butter by the spoonful can also cause choking; small amounts spread on bread, fruit, or other foods that help to disperse it in the mouth are safer.

- Order vegetable toppings and lean meats on pizza (skip the sausages and hamburger).
- Request water, fat-free milk, or fruit juice (not punch or soft drinks) for beverages.

Parents who make nutritious restaurant choices for themselves also set good examples for children.

Choking A child who is choking may make no sound, so an adult should keep an eye on children when they are eating. A child who is coughing most often dislodges the food and recovers without help. To prevent choking, encourage the child to sit when eating—choking is more likely when children are running or reclining. Round foods such as grapes, nuts, hard candies, and pieces of hot dog can become lodged in a child's small windpipe. Other potentially dangerous foods include tough meat chunks, popcorn, chips, and peanut butter eaten by the spoonful. (More foods and nonfood items that pose a choking hazard were listed in Table 13–16, p. 507.)

Food Skills Children love to be included in meal preparation, and they like to eat foods they helped to prepare (see Table 14–6, p. 526). A positive experience is most likely when tasks match developmental abilities and are undertaken in a spirit of enthusiasm and enjoyment, not criticism or drudgery. Praise for a job well done (or at least well attempted) expands a child's sense of pride and helps to develop skills and positive feelings toward healthy foods.

Key Points

- Healthy eating habits are learned in childhood, and parents teach best by example.
- Choking can often be avoided by supervising children during meals and excluding hazardous foods.
- Children enjoy helping to prepare meals when the tasks match their abilities.

Courtesy of Frances Sizer

Children enjoy helping when tasks are matched to their abilities.

How Do Nutrient Deficiencies Affect a Child's Brain?

Children with nutritional deficiencies exhibit both physical and behavioral symptoms: they feel sick and out of sorts, and they may be irritable and aggressive or sad and withdrawn. Such children may be labeled "hyperactive," "depressed," or "unlikable."

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Table 14–6

Food Skills and Developmental Milestones of Preschool Children[a]

Food Skills	Developmental Milestones
Age 1 to 2 years	
▪ Uses a spoon ▪ Lifts and drinks from a cup ▪ Helps scrub fruits and vegetables, tear lettuce or greens, snap green beans, or dip foods ▪ Can be messy; can be easily distracted	▪ Large muscles develop ▪ Experiences slowed growth and decreased appetite ▪ Develops likes and dislikes ▪ May suddenly refuse certain foods
Age 3 years	
▪ Spears food with a fork ▪ Feeds self independently ▪ Helps wrap, pour, mix, shake, stir, or spread foods ▪ Follows simple instructions	▪ Medium hand muscles develop ▪ May suddenly refuse certain foods ▪ Begins to request favorite foods ▪ Makes simple either/or food choices
Age 4 years	
▪ Uses all utensils and napkin ▪ Helps measure dry ingredients ▪ Learns table manners	▪ Small finger muscles develop ▪ Influenced by TV, media, and peers ▪ May dislike many mixed dishes
Age 5 years	
▪ Measures liquids ▪ Helps grind, grate, and cut (soft foods with dull knife) ▪ Uses hand mixer with supervision	▪ Fine coordination of fingers and hands develops ▪ Usually accepts food that is available ▪ Eats with minor supervision

[a]*These ages are approximate. Healthy, normal children develop at their own pace.*

Diet–behavior connections are of keen interest to caregivers who both feed children and live with them.

Iron deficiency, for example, exerts well-known and widespread effects on children's behavior and intellectual performance, even before anemia shows up in a blood test. Iron transports oxygen, making it critical to cellular energy metabolism. It is also required to produce key neurotransmitters that regulate the ability to pay attention, which is crucial to learning. Consequently, iron deficiency not only causes an energy crisis, but also weakens the motivation to persist at intellectually challenging tasks, impairs attention span, and undermines a child's ability to learn.[8] Despite widespread food fortification, iron deficiency remains a key problem among U.S. children, from toddlers to adolescents.

Only a health-care provider, such as a registered dietitian nutritionist, should make the decision to give a child a single-nutrient iron supplement. Iron is toxic, and overdoses can easily injure or even kill a toddler or child who accidentally ingests iron pills. All supplements should be kept out of children's reach.

Key Point

- Iron deficiency and toxicity pose threats to children.

The Problem of Lead

Lead is not a nutrient but an indestructible, toxic heavy metal that is common in the environment. It offers no health benefits, and once in the body, it is difficult to excrete. More than 500,000 children in the United States, most younger than age 6, have blood lead concentrations high enough to cause mental, behavioral, and physical health problems.

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Sources of Lead Babies love to explore and put everything into their mouths, including chips of old lead paint, jewelry that contains lead, and other unlikely objects. Lead may also leach into a home's drinking water supply from old lead pipes and end up in a baby's formula and the family's beverages. In older children, lead dust mixed into outdoor soil can stick to clothing and hands and eventually be consumed. Appreciable lead has also been found in pigments, stained glass, lead crystal glassware, ammunition, ceramic glazes, traditional medicines, and even makeup and skin creams. Once exposed to lead, infants and young children absorb 5 to 10 times as much of the toxin as adults do.

Harm from Lead There is virtually no safe level of lead for the developing body. Lead can build up so silently in a child's body that caregivers may not notice its symptoms until it's too late. Figure 14–4 identifies several organs affected by elevated blood lead concentrations, but these are just examples. Lead affects every body organ. Tragically, once symptoms set in, medical treatments may not reverse all of the functional damage. Some impairments may linger long beyond childhood.[9]

The physiological effects of long-term elevated blood lead include reduced bone and muscle growth, neurological damage, mental health problems, kidney malfunction, hearing impairment, speech and language difficulties, and developmental delays. Among school-age children, early lead exposure is linked with lower-than-average scores on IQ tests, and poor academic performance.

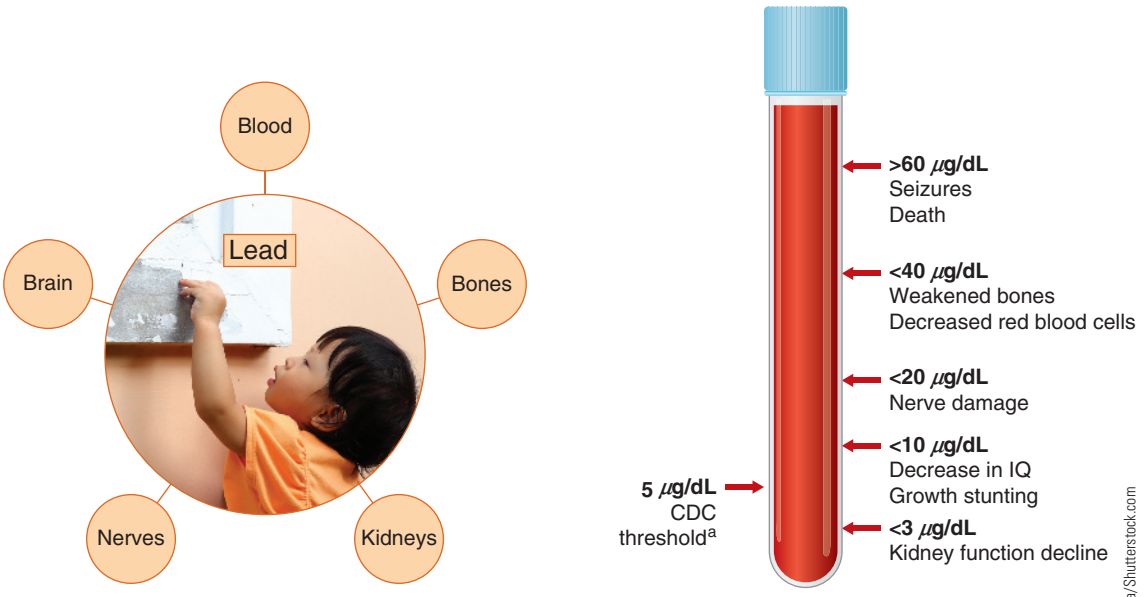
As lead toxicity gradually injures the kidneys, nerves, brain, bone marrow, and other organs, a child may slip into a coma, may have convulsions, and may even die if an accurate diagnosis is not made in time. Older children with high blood lead may be mislabeled as delinquent, aggressive, or learning disabled.

Lead and Nutrient Interactions Poor nutrient status influences the likelihood of a child's suffering harm from lead. Children absorb more lead if they lack the minerals iron, calcium, and zinc, which compete with lead for absorption. Lead also displaces these minerals from their sites of action in the body, limiting their biological functions. Even slight calcium, iron, or zinc deficiencies can open the door to lead toxicity.

Figure 14–4

Lead Toxicity and Body Organs

Even small exposures to lead can damage the developing body and mind.



chaipanya/Shutterstock.com

[a]The CDC uses a level of 5 μg/dL to identify children who require case management and areas that need public health actions to reduce lead exposure.

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Table 14–7

Steps to Prevent Lead Exposure

To protect children:

- If your home was built before 1978, wash floors, windowsills, and other surfaces weekly with warm water and detergent to remove dust released by old lead paint; clean up flaking paint chips immediately.
- Feed children balanced, timely meals with ample iron and calcium.
- Prevent children from chewing on old painted surfaces.
- Refrain from letting young children wear jewelry made of unknown metals.
- Wash children's hands, bottles, and toys often.
- Wipe soil off shoes before entering the home.
- Ask a pediatrician whether your child should be tested for lead.

To safeguard yourself:

- Avoid daily use of handmade, imported, or old ceramic mugs or pitchers for hot or acidic beverages, such as juices, coffee, or tea. Commercially made U.S. ceramic, porcelain, and glass dishes or cups are safe. If ceramic dishes or cups become chalky, use them for decorative purposes only.
- Do not use lead crystal decanters for storing alcoholic or other beverages.
- If your home is old, it may have lead pipes. Run the water for a minute before using it, especially before the first use in the morning.

Table 14–8

Common Food Allergens

Nine foods cause up to 90 percent of all food-allergic reactions.

▪ Peanuts[a]	▪ Soy
▪ Tree nuts[a]	▪ Fish[a]
▪ Milk	▪ Shellfish[a]
▪ Eggs	▪ Sesame seeds
▪ Wheat	

[a] *These foods are most likely to cause anaphylactic shock.*

Bans on leaded gasoline, leaded house paint, and lead-soldered food cans have dramatically reduced the amount of lead in the U.S. environment in past decades and have produced a steady decline in children's average blood lead concentrations. However, lead still remains a threat in older communities where homes still have lead pipes and layers of old lead paint inside, the primary sources of lead in most children's lives.[10*] Some tips for avoiding lead toxicity are given in Table 14–7.

Key Point

- Blood lead concentrations have declined in recent times, but no concentration is harmless.

Food Allergies, Intolerances, and Aversions

Today, up to 8 percent of U.S. children younger than 4 years old are diagnosed with food **allergies**.[11] The prevalence of these afflictions is on the rise, but no one knows exactly why.

allergies immune reactions to foreign substances, such as components of foods. Also called *hypersensitivities* by researchers.

antigen a substance foreign to the body that elicits the formation of antibodies or an inflammation reaction from immune system cells. Food antigens are usually large proteins. Inflammation consists of local swelling and irritation and attracts white blood cells to the site.

antibodies large protein molecules that are produced in response to the presence of antigens to inactivate them. Also defined in Chapter 6.

histamine a substance that participates in causing inflammation; produced by cells of the immune system as part of a local immune reaction to an antigen.

anaphylactic (an-ah-feh-LACK-tick) **shock** a life-threatening whole-body allergic reaction to an offending substance.

Food Allergies A true food allergy occurs when a food protein or other large molecule enters body tissues and triggers an immune response. Most food proteins are dismantled to smaller fragments in the digestive tract before absorption, but some larger fragments enter the bloodstream. The immune system of an allergic person reacts to the foreign molecules as it does to any other **antigen**: it releases **antibodies**, **histamine**, and other defensive agents to attack the invaders. For some, a food allergy can elicit a life-threatening reaction of **anaphylactic shock**, which can present symptoms such as tingling of the tongue, throat, or skin or difficulty breathing. The nine foods that cause the great majority of food allergy reactions are listed in Table 14–8.[12]

If a child is known to react to allergens with a life-threatening response, three courses of action are required. First, the child's family and school must guard against any ingestion of the allergen. Second, easy-to-administer doses of the life-saving drug

*The Environmental Protection Agency (EPA) provides a toll-free telephone hotline for lead information: 1 (800) 424-LEAD [5323], or visit their website: www.epa.gov/lead.

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epinephrine must be kept close at hand and quickly administered in such emergencies (see Figure 14–5). Third, all adults who interact with the child or the child's food must be educated about the specific allergy and how to ensure the child's safety.

Allergen Avoidance Avoiding allergens can be tricky because they often sneak into foods in unexpected ways. For example, a pork chop (an innocent food) may be dipped in egg (egg allergy) and breaded (wheat allergy) before being fried in peanut oil (peanut allergy); marshmallow candies may contain egg whites; lunchmeats may contain milk protein binders; and so forth.

Invisible traces of allergen from, say, peanut butter left on tables, chairs, or other surfaces can easily contaminate the hands of a severely allergic child and cause a life-threatening reaction. Scrupulous cleaning of surfaces and regular hand washing by the allergic child can often prevent such an occurrence. Exposure can also occur when the allergen is inhaled. However, the protein allergens of peanuts are not volatile—that is, they do not fly off the food into the air under normal conditions, such as when they are being eaten.

Caregivers of allergic children must pack safe lunches and snacks at home and ask school officials to strictly enforce a "no-swapping" policy in the lunchroom. To prevent nutrient deficiencies, caregivers must also provide adequate substitutes that supply the essential nutrients that were in the omitted foods. Nutrition counseling and growth monitoring are recommended for all children with food allergies.

Chapter 13 addresses new peanut guidelines for infants.

Food Labels Food labels must announce the presence of common allergens in plain language. For example, a food containing "textured vegetable protein" must say "soy" on its label. Similarly, "casein," a protein in milk, must be identified as "milk." Consumers with food allergies rely heavily on the accuracy of food labels (Figure 14–6 provides an example). Table 14–9 (p. 530) lists symptoms associated with allergic reactions to food.

Figure 14–5

Preventing Anaphylactic Shock

An epinephrine "pen" can deliver prompt life-saving treatment to a person suffering from anaphylactic shock.

True Images/Alamy Stock Photos

Figure 14–6

A Food Allergy Warning Label

A food that contains, or may contain, even a trace amount of any of the most common food allergens must clearly say so on its label. For instance, if a product contains the milk protein casein, the label must say "contains milk," or the ingredients list must include "milk." The sunflower seeds below carry a warning about peanut allergy—traces of peanuts may have contaminated the seeds during processing.

Protein 7g

Vitamin A	0%	• Vitamin C	0%
Calcium	2%	• Iron	10%

*Percent Daily Values are based on a 2,000 calorie diet. Your daily values may be higher or lower depending on your calorie needs.

	Calories:	2,000	2,500
Total fat	Less than	65 g	80 g
Sat fat	Less than	20 g	25 g
Cholesterol	Less than	300 mg	300 mg
Sodium	Less than	2,400 mg	2,400 mg
Total Carbohydrate		300 g	375 g
Dietary Fiber		25 g	30 g

Calories per gram
Fat 9 • Carbohydrate 4 • Protein 4

INGREDIENTS: SUNFLOWER SEEDS, SUNFLOWER OIL AND/OR COTTONSEED OIL.

ALLERGY INFORMATION: THIS PRODUCT IS PRODUCED ON PACKAGING EQUIPMENT SHARED WITH PEANUT AND TREE NUT PRODUCTS.

Glow Images/Getty Images

epinephrine (epp-ih-NEFF-rin) a hormone of the adrenal gland that counteracts anaphylactic shock by opening the airways and maintaining heartbeat and blood pressure.

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Table 14–9

Symptoms of an Allergic Reaction to Food

Any of these symptoms can occur in minutes or hours after ingesting an allergen:

- *Airway*. Difficulty breathing, wheezing, asthma.
- *Digestive tract*. Vomiting, abdominal cramps, diarrhea.
- *Eyes*. Irritated, reddened eyes.
- *Mouth and throat*. Tingling sensation, swelling of the tongue and throat.
- *Skin*. Hives, swelling, rashes.
- *Other*. Drop in blood pressure, loss of consciousness; in extreme reactions, death.

Detection of Food Allergy Allergies have one or two components. They always involve antibodies, and they sometimes involve symptoms. Therefore, allergies cannot be diagnosed from symptoms alone. No simple diagnostic test for food allergy exists.

A food allergy that causes symptoms right away is easy to identify because its symptoms correlate with the time of eating the food. A delayed reaction, taking 24 hours or more, is more difficult to pinpoint. For mild symptoms, a good starting point is to keep a record of food intakes and symptoms. If the symptoms correlate with a food, then a blood test for elevated levels of food-specific antibodies may help achieve a diagnosis. Additionally, a skin prick test, in which a clinician applies droplets of food extracts to the skin and then lightly pricks or scratches the skin, or other tests can suggest the likelihood of an allergy. The preferred test, a clinical oral food challenge, is lengthy and expensive and entails some risks, and so is less often employed.

Scientific-sounding allergy quackery may deceive people into believing that every malady from cancer to mental depression is caused by food allergies. Beware of fake tests that supposedly determine for the "patient" which foods or supplements to buy (from the quack) to relieve the "allergy."

Food Intolerance and Aversion A **food intolerance** is characterized by unpleasant symptoms that consistently occur after consumption of certain foods: lactose intolerance is an example. Unlike allergy, a food intolerance does not involve an immune response. A **food aversion**, an intense dislike of a food, may be a biological response to a food that once caused trouble. To repeat, when an important staple food must be excluded from the diet, regardless of the reason why, the child's caretakers must find other foods to provide the omitted nutrients.

Foods are often unjustly blamed when behavior problems arise, but children who are sick from any cause are likely to be cranky. The next section singles out one such type of misbehavior.

food intolerance an adverse reaction to a food or food additive not involving an immune response.

food aversion an intense dislike of a food, biological or psychological in nature, resulting from an illness or other negative experience associated with that food.

hyperactivity (in children) a syndrome characterized by inattention, impulsiveness, and excess motor activity; usually diagnosed before age 7, lasts 6 months or more and usually entails no mental illness or mental retardation. Properly called *attention-deficit/hyperactivity disorder (ADHD)*.

learning disability a condition resulting in an altered ability to learn basic cognitive skills such as reading, writing, and mathematics.

Key Points

- Food allergies afflict many U.S. children, and vigilance is required to prevent life-threatening anaphylactic shock.
- Food labels must alert consumers to the presence of common allergens.
- Food aversions may be related to food allergies or to adverse reactions to food.

Can Diet Make a Child Hyperactive?

Attention-deficit/hyperactivity disorder (ADHD), or **hyperactivity**, is a **learning disability** that occurs in 5 to 10 percent of young, school-aged children—or in 1 to 3 in every classroom of 30 children.[13] ADHD is characterized by chronic inability to pay attention, along with overly active behavior and poor impulse control. It can delay growth, lead to academic failure, and cause major behavioral problems. Although some children improve with age, many reach the college years or adulthood before they receive a diagnosis and, with it, the possibility of treatment.

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Allergies, Additives, and Sugar Food allergies have been blamed for ADHD. Restricting common food allergens and synthetic food additives or increasing the child's intake of omega-3 fatty acids have been reported to reduce symptoms, but research has not yet identified any consistent links between nutrition and ADHD.[14] Meanwhile, parents who wish to avoid common food allergens or food additives can find them listed with the ingredients on food labels.

Many teachers, parents, grandparents, and others assert that some children react behaviorally to sugar. Most researchers, however, have dismissed the "sugar-behavior" theory because almost no scientific evidence supports it. Sugary foods and beverages clearly displace more nutritious choices from the diet, and nutrient deficiencies are known to cause behavioral problems. Sugar itself, however, is unlikely to do so.

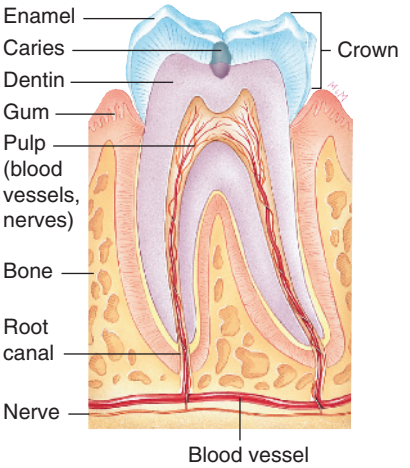
Managing ADHD Symptoms Common sense says that all children get unruly and "hyper" at times. A child who often fills up on caffeinated colas or "energy" drinks, misses lunch, becomes too cranky to nap, misses out on outdoor play, and spends hours in front of a television or other screen media suffers stresses that can trigger chronic patterns of crankiness. In a child with ADHD, a pattern of such behaviors makes coping with the symptoms all the more difficult. Behavioral therapy and medication are the cornerstones of treatment, but caregivers who begin to limit screen time and insist on sufficient sleep, regular mealtimes, a nutritious diet, and daily vigorous outdoor play may see additional improvements.[15]

Key Points

- ADHD is not caused by food allergies, additives, or sugar intakes.
- Consistent care and a nutritious diet may help in coping with ADHD symptoms.

Figure 14–7
Dental Caries

Caries begin when acid dissolves the enamel that covers the tooth. If not repaired, the decay may penetrate the dentin and spread into the pulp of the tooth, causing inflammation and an abscess.



Dental Caries

Dental caries are a serious public health problem afflicting many U.S. children.[16] A very lucky few *never* get dental caries because they have an inherited resistance; others have a sealant applied to their teeth during childhood to stop caries before they can begin. Another method used to fend off dental decay is fluoridation of community water. Perhaps the greatest weapon against caries is simple oral hygiene. But diet has something to do with dental caries, too.

Pashu Ta Studio/Shutterstock.com

To prevent caries, sticky carbohydrate-rich foods should be removed from the teeth soon after eating.

How Caries Develop Caries develop as acids produced by bacterial growth in the mouth eat into tooth enamel (see Figure 14–7). Bacteria form colonies in **plaque**, which sticks more and more firmly to tooth surfaces unless they are brushed, flossed, or scraped away. Eventually, the acid of plaque creates pits that deepen into cavities. The cavities can be treated by a dentist—the decay is removed and replaced with filling material.

Advanced Dental Disease Left alone, plaque works its way below the gum line until the acid erodes the roots of teeth and the jawbone in which they are embedded, loosening the teeth and leading to infections of the gums. Bacteria from inflamed, infected gums can then migrate by way of the bloodstream to other tissues, causing illness. Gum disease severe enough to threaten tooth loss afflicts the majority of U.S adults by their later years.

Food and Caries Bacteria thrive on carbohydrate, producing acid for 20 to 30 minutes after carbohydrate exposure. Of prime importance is the length of time the teeth are exposed to carbohydrate, and this depends to a great extent on whether the teeth are brushed soon afterward as well as on the food's composition, how sticky it is, how long it lasts in the mouth, and the frequency of consumption.

dental caries decay of the teeth (*caries* means "rottenness"). Also called *cavities*.

plaque (PLACK) a mass of microorganisms and their deposits on the surfaces of the teeth, a forerunner of dental caries and gum disease. (The term *plaques* is used to describe accumulations of fatty material in arteries, as explained in Chapter 11.)

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Table 14–10

The Caries Potential of Foods

Low Caries Potential	
These foods are less damaging to teeth:	
▪ Eggs, legumes	▪ Pizza
▪ Fresh fruit, canned fruit packed in water	▪ Popcorn, pretzels
▪ Lean meats, fish, poultry	▪ Sugarless gum and candy,[a] diet soft drinks
▪ Milk, cheese, plain yogurt	▪ Toast, hard rolls, bagels
▪ Most cooked and raw vegetables	
High Caries Potential	
Brush teeth immediately after eating these foods:	
▪ Cakes, muffins, doughnuts, pies	▪ Jams, jellies, preserves
▪ Candied sweet potatoes	▪ Lunchmeats containing added sugar
▪ Chocolate milk	▪ Meats or vegetables made with sugary glazes
▪ Cookies, granola or "energy" bars, crackers	▪ Oatmeal, oat cereals, oatmeal baked goods[c]
▪ Dried fruit (raisins, figs, dates)	▪ Peanut butter containing added sugar
▪ Frozen or flavored yogurt	▪ Potato and other snack chips
▪ Fruit juices or drinks	▪ Ready-to-eat sugared cereals
▪ Fruit in syrup	▪ Sugared gum, sugar-sweetened soft drinks, candies, honey, sugar, molasses, syrups
▪ Gummy "fruit snacks" or "fruit strips"[b]	▪ Toaster pastries
▪ Ice cream or ice milk	

[a]*Cariogenic bacteria cannot efficiently metabolize the sugar alcohols in these products, so they do not contribute to dental caries.*
[b]*These products often contain more concentrated fruit sugar than fruit.*
[c]*The soluble fiber in oats makes this grain particularly sticky and therefore cariogenic.*

Table 14–11

Breakfast Ideas for Rushed Mornings

With some planning, even a rushed morning can include a nutritious breakfast.

- Make sandwiches or tortilla wraps ahead of time. Freeze, thaw or heat, and serve with juice. Fillings may include peanut butter, low-fat cream cheese or other cheeses, jams, fruit slices, refried beans, or meats.
- Teach school-aged children to help themselves to dry cereals, milk, and juice. Keep unbreakable bowls and cups in low cabinets, and keep milk and juice in small plastic pitchers on a low refrigerator shelf.
- Keep a bowl of fresh fruit and small containers of shelled nuts, trail mix (the kind without candy), or roasted peanuts for grabbing.
- Mix granola or other whole-grain cereal into 8-oz tubs of yogurt.
- Toast whole-grain frozen waffles—no syrup needed—to grab and go.
- *Nontraditional choices:* Carrot sticks served with yogurt or bean dip, or leftover casseroles, stews, or pasta dishes, eaten hot or cold.

Table 14–10 lists foods of both high and low caries potential. Beverages such as soft drinks, orange juice, and sports drinks not only contain sugar but also have a low pH, and their acidic nature can erode the tooth enamel, weakening it. Limiting sugar intake to 10 percent or less of total calories can minimize the development of dental caries throughout life.

Key Point

- Carbohydrate-rich foods contribute to dental caries.

Is Breakfast Really the Most Important Meal of the Day for Children?

A nutritious breakfast is a central feature of a child's diet that supports healthy growth and development. When a child consistently skips breakfast or is allowed to choose sugary foods (candy or marshmallows) in place of nourishing ones (whole-grain cereals), the child will fail to get enough of several nutrients. Nutrients missed from a skipped breakfast are rarely "made up" at lunch and dinner but are most often left out completely that day.[17]

Children who regularly skip breakfast are more likely to be overweight, and may have difficulty paying attention in the classroom and underperform on tasks requiring concentration; they may also achieve lower grades in key subjects at school.[18] Table 14–11 offers ideas for quick, nourishing breakfasts. Common sense tells us that it is unreasonable to expect anyone to study and learn when no fuel has been provided, and even a mid-morning snack can boost flagging attention.

The U.S. government funds nutritious, high-quality meals, including breakfast, for U.S. schoolchildren. For students from families with low incomes, such meals are available at no or low cost, ensuring that all schoolchildren have access to the nutrients they need to perform their best. Additionally, when schools participate in federal school meal programs, student attendance improves, and tardiness declines.

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Key Points

- Breakfast supports school performance.
- Free or reduced-priced nutritious school meals are available to children from families with low incomes.

How Nourishing Are the Meals Served at School?

In the United States today, more than 30 million school-aged children receive lunches through the National School Lunch Program. More than half of these lunches are offered free of cost or at a reduced price.[19] Ten million children also eat breakfast at school through the National School Breakfast Program. For many children living in poverty, school food programs constitute their major source of nutrients each day.

The National School Lunch and Breakfast Programs The USDA-regulated school meals provide age-appropriate servings of needed foods each day (see Table 14–12). These lunches are designed to meet, on average, at least a third of the

Table 14–12
School Lunch Patterns

	Grades		
	K–5	6–8	9–12
Food Group	Amount per week (minimum per day)		
Fruit[a] (cups)	2½ (½)	2½ (½)	5 (1)
Vegetables[a] (cups)	3¾ (¾)	3¾ (¾)	5 (1)
Dark green	≥½	≥½	≥½
Red and orange	≥¾	≥¾	≥1¼
Legumes	≥½	≥½	≥½
Starchy	≥½	≥½	≥½
Other	≥½	≥½	≥¾
Any additional vegetables to meet total requirement	1	1	1½
Grains (oz equivalents)	8–9 (1)	8–10 (1)	10–12 (2)
Protein foods (oz equivalents)	8–10 (1)	9–10 (1)	10–12 (2)
Fluid milk[b] (cups)	5 (1)	5 (1)	5 (1)
Other			
Calories	550–650	600–700	750–850
Saturated fat (% of total calories)	<10	<10	<10
Sodium (mg)	≤640	≤710	≤740
Trans fat (g per serving)	0	0	0

[a]No more than half of the fruit or vegetable servings may be in the form of juice. All juice must be 100% full strength.

[b]Fluid milk must be low-fat (unflavored) or fat-free (flavored or unflavored).

Source: USDA, School Meals, Nutrition Standards for School Meals (2017), available at www.fns.usda.gov.

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recommended intake for protein, calcium, iron, vitamin A, and vitamin C, and to largely align with key the recommendations of the Dietary Guidelines for Americans.[20]

Current school meal patterns and nutrition standards ensure the availability of fruit, vegetables, whole grains, and fat-free and low-fat milk, foods and beverages children need for health and growth. Regulations also specify that nutrient needs must be met within specified calorie ranges based on age/grade groups for children. As school meals edge closer to meeting the Dietary Guidelines for Americans, the diet quality of U.S. children who eat those meals also improves.[21]

Competitive Foods at School In addition to USDA school lunches, private vendors in school lunchrooms also offer **competitive foods**. Nation-wide, USDA's Smart Snacks in School regulations now require that competitive foods and beverages, including those sold in vending machines, offer students healthy options with more fruit, vegetables, dairy products, and whole grains.[22] They must also meet standards for calories, sodium, fat, saturated fat, trans fat, and added sugars. Each state may also set stricter policies.

Key Points

- School meals are designed to provide at least a third of certain nutrients that children need daily, while restricting constituents that are limited by the Dietary Guidelines for Americans.
- Competitive foods are also required to meet specific nutritional standards.

Nutrition in Adolescence

LO 14.2 Summarize the nutrient needs of adolescents.

Teenagers are not fed; they eat. Their food choices profoundly affect their health, both now and in the future. In the face of increasing demands on their time, including after-school jobs, social activities, sports, and home responsibilities, older children easily fall into irregular eating habits, relying on quick snacks or fast foods for meals. Within this setting, **adolescence** brings about major physical transformations and a psychological search for identity, acquired largely through trial and error.

Parents, peers, and the media are the primary influential forces shaping adolescents' behaviors and beliefs.[23] As adolescents gain more independence, their diet quality often deteriorates; they choose fewer nutritious whole foods and more sugar, fat, salt, caffeine, and empty calories.

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Nutritious snacks play an important role in an active teen's diet.

The Adolescent Growth Spurt The adolescent **growth spurt** brings rapid growth and hormonal changes that affect every organ of the body, including the brain. An average girl's growth spurt begins at 10 or 11 years of age and peaks at about 12 years. Boys' growth spurts begin at 12 or 13 years of age and peak at about 14 years, tapering off at about 19. Two adolescents of the same age may vary in height by a foot, but if growing steadily, each is fulfilling his or her genetic destiny according to an inborn schedule of events.

Energy Needs and Physical Activity The energy needs of adolescents vary tremendously depending on growth rate, sex, body composition, and physical activity. An active, growing boy of 15 may need 3,500 calories or more a day just to maintain his weight, but an inactive girl of the same age whose growth has slowed may need fewer than 1,800 calories to avoid unneeded weight gain. Energy balance is often difficult to regulate in this society—more than 21 percent of adolescents are obese.[24] On the output side, physical activity often declines sharply, and adolescents rarely meet physical activity guidelines.

Weight Standards and Body Composition Weight standards meant for adults are useless for adolescents. Physicians use growth charts to track height and weight gains in adolescents (as demonstrated in Figure C14–1 of the Controversy (p. 555). Parents should monitor progress and guard against comparisons that can mar a child's self-image.

competitive foods unregulated meals, including fast foods, that compete side by side with USDA-regulated school lunches.

adolescence the period from the beginning of puberty until maturity.

growth spurt the marked rapid gain in physical size usually evident around the onset of adolescence.

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Girls normally develop somewhat higher percentages of body fat than boys do, a fact that causes much needless worry. Teens face tremendous pressures regarding body image, and many readily believe scams that promise slenderness or good-looking muscles through "dietary supplements." Healthy, normal-weight teenagers are often "on diets" and many are susceptible to negative influences that can trigger the onset of eating disorders (see Controversy 9, p. 352).

Key Points

- Teenagers gain independence and often begin to make their own food choices.
- The adolescent growth spurt demands additional energy and nutrients.
- The normal gain of body fat during adolescence may be mistaken for obesity, particularly in girls.

Nutrient Needs

Needs for vitamins, minerals, the energy-yielding nutrients, and in fact all nutrients are greater during adolescence than at any other time of life except pregnancy and lactation. The need for iron is particularly high, as all teenagers gain body mass and total blood volume and girls begin menstruation.

The Special Case of Iron The increase in need for iron during adolescence occurs in both sexes—but for different reasons. A boy needs more iron at this time to develop extra lean body mass. A girl needs extra iron to gain lean body mass, too, but also to support menstruation. In addition, growth spurts demand still more iron, regardless of the age or sex of the adolescent. This shifting requirement makes pinpointing an adolescent's need tricky, as Table 14–13 demonstrates.

Iron intakes often fail to keep pace with increasing needs, especially for girls, who typically consume fewer iron-rich foods such as meat and fewer total calories than boys. Not surprisingly, iron deficiency is especially prevalent among adolescent girls who are menstruating. Adolescents who live with food insecurity—that is, those who miss meals, eat fewer nutritious foods, or make other food-related compromises of poverty—face increased risks of developing iron deficiencies compared with food-secure children.

Table 14–13

Iron Requirements in Adolescence

Iron DRI for adolescent boys:

- 9–13 years, 8 mg/day
  - During growth spurt, 10.9 mg/day
- 14–18 years, 11 mg/day
  - During growth spurt, 13.9 mg/day

Iron DRI for adolescent girls:

- 9–13 years, 8 mg/day
  - If menstruating, 10.5 mg/day
  - If menstruating during growth spurt, 11.6 mg/day
- 14–18 years, 15 mg/day
  - During growth spurt, 16.1 mg/day

Calcium and the Bones Adolescence is a crucial time for bone development. The bones are rapidly growing longer (see Figure 14–8, p. 536) thanks to a special bone structure, the **epiphyseal plate**, which disappears as a teenager reaches adult height. At the same time, the bones are gaining density, laying down the calcium needed later in life. Calcium intakes must be high to support the development of **peak bone mass**.

Among U.S. adolescents, low calcium intakes have reached crisis proportions. Today, just 37 percent of U.S. high-school students reported drinking any milk at all.[25] Milk is a rich source of calcium, providing nearly 300 milligrams per cup. Paired with a lack of physical activity, low calcium intakes can compromise the development of peak bone mass, greatly increasing the risk of osteoporosis later on.

In the United States, most adolescents drink sugar-sweetened beverages daily. The choice of a sweetened beverage in place of milk or an equally nutritious milk replacement, when repeated time and again, poses two threats: it increases calorie intake and therefore the likelihood of obesity, and it can deprive growing bones of needed nutrients and prevent them from reaching their full attainable density.

Vitamin D Vitamin D is as essential as calcium for proper bone growth and development of bone density. Adolescents who do not receive 15 μg of vitamin D from vitamin D–fortified milk (2.5 μg per cup of fat-free milk) and other vitamin D–fortified foods each day should take vitamin D in a supplement.

Key Points

- The need for iron increases during adolescence in both boys and girls.
- Sufficient calcium and vitamin D intakes are also crucial during adolescence.

epiphyseal (eh-PIFF-ih-seal) **plate** a thick, cartilage-like layer that forms new cells that are eventually calcified, lengthening the bone (*epiphysis* means "growing" in Greek).

peak bone mass highest attainable bone density for an individual, developed during the first three decades of life; also defined in Chapter 8.

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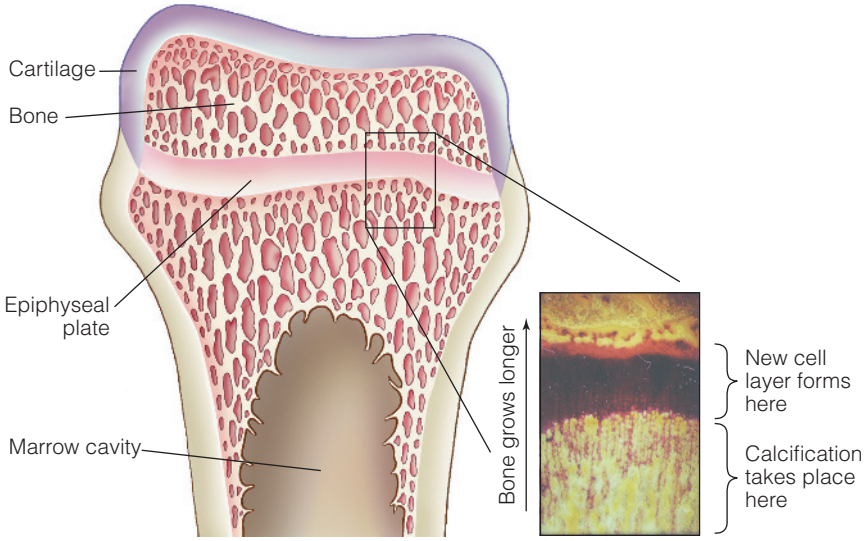
Figure 14–8

Growth of Long Bones

Bones grow longer as new cartilage cells accumulate at the top portion of the epiphyseal plate and older cartilage cells at the bottom of the plate are calcified.



Dr. David Phillips/Visuals Unlimited, Inc.

Menstruation and Acne

Two other physical changes stand out as important in adolescence. Menstruation and acne pose special concerns to many adolescents. At the onset of menstruation, major changes for girls ensue. The hormones that regulate the menstrual cycle affect not just the uterus and the ovaries but the metabolic rate, glucose tolerance, appetite, food intake, and, often, mood and behavior as well. Up to 80 percent of menstruating girls and women report uncomfortable menstrual symptoms and up to 40 percent meet the criteria for **premenstrual syndrome**, or **PMS**.

Notable changes in energy balance may occur during the 2 weeks prior to menstruation, including changes in metabolic rate, increased appetite (particularly for sweets), and increases in alcohol use among adults. For a girl or woman striving to lose weight, then, it may be easier to reduce calorie intakes during other times. Otherwise, she is fighting a natural, hormone-governed increase in appetite.

No nutrient or supplement is known to improve PMS, and huge doses of vitamins or minerals can cause harm. A few simple strategies may offer some relief:

- Eat small, frequent meals.
- Eat a diet that follows the Dietary Guidelines for Americans.
- Minimize caffeine intake and don't smoke.
- Get enough exercise and sleep.
- Reduce stress.[26]

With just these few changes, food cravings, bloating, and stress may improve and mood often brightens.

The hormones of adolescence trigger changes in the skin that very often lead to acne. Genes clearly play a role in who gets acne and who doesn't, but other factors also affect its development. The Consumers' Guide near here provides details.

premenstrual syndrome (PMS) a cluster of symptoms that some women experience prior to and during menstruation. They include, among others, abdominal cramps, back pain, swelling, headache, painful breasts, and mood changes.

Key Points

- Menstrual cycle hormones affect metabolism, glucose tolerance, and appetite.
- Acne arises with hormone changes.

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A Consumer's Guide to . . . Acne and Diet

The great majority of people aged 11 to 25 years experience **acne** to at least some degree, and many suspect a link with diet.* An internet user who seeks information about "acne and diet" may encounter 20 million results, many of them making false marketing claims for untested treatments.[2] Although conclusive evidence in the area of acne and diet is lacking, a growing interest among today's researchers promises future progress.

Acne Development

Fluctuations in the body's **androgen** hormones that occur with puberty are the primary cause of acne. Androgens stimulate the skin's oil glands to produce oil and speed up skin cell replication and replacement (Figure 14–9 provides a few details). As old cells die off, they can collect in hair follicles and form a plug—an acne lesion. Other hormones and factors also contribute to acne. For example, the growth-regulating hormone IGF-1 augments androgen activity, triggering acne formation.[3†] A person's genetic inheritance also greatly affects the processes leading to acne development and its severity.

Vitamin A

The oral prescription drug isotretinoin, made from vitamin A, improves severe acne but unwanted side effects, such as extremely dry skin, muscle pain, or even psychological depression, discourage many people from using it.[4‡] Vitamin A itself exerts no effect on acne, and high-dose vitamin A supplements can be toxic. This doesn't stop unscrupulous sellers from marketing vitamin A compounds as acne treatments, however.

** Reference notes are in Appendix F.*

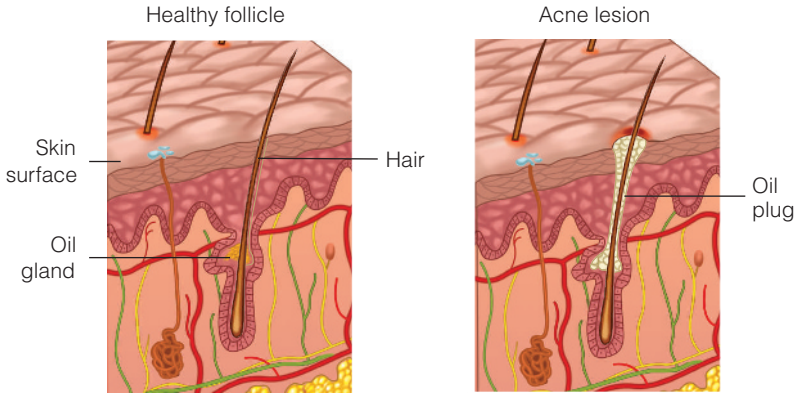
† IGF-1 is short for insulin-like growth factor-1, *a hormone that resembles insulin in structure but functions primarily in regulating growth.*

‡ Isotretinoin is pronounced EYE-so-TRET-IN-oh-in.

Figure 14–9

How Acne Forms

In acne, androgens speed up oil production and skin cell reproduction. As skin cells are replaced, dead cells build up in hair follicles and plug the exits, trapping excess oil. Soon, bacteria proliferate in the ducts, resulting in inflammation and lesions.



Can Foods Cause Acne?

People often suspect that eating French fries, chocolate, pizza, or sweets cause acne flare-ups, but only weak evidence exists in this regard. Two problems in the field of diet and acne are reliance on self-reported food intakes and self-assessment of acne outbreaks, methods that invite human error into the results. Still, some evidence suggests involvement of a food group that may not immediately come to mind with regard to acne—dairy milk and milk products.

Dairy

Observational studies suggest a correlation between consuming dairy products and acne development.[5] To boost the statistical power of existing research, a group of scientists performed a meta-analysis.[6] They selected 14 studies and analyzed the combined results. A positive link emerged between acne and dairy food intake but the authors warn that this result should be viewed with caution because of weaknesses among the original studies.

The hypothesis is made stronger by a biologically plausible explanation. Milk naturally contains the hormone IGF-1, mentioned earlier, and other hormones and precursors needed for growth in young animals. These hormones survive processing and are naturally present in milk products that people consume.

acne chronic inflammation of the skin's follicles and oil-producing glands characterized by comedones (pimples) and other skin lesions; usually associated with the maturation of young people.

androgen any of a number of steroid hormones that promote male features and play roles in metabolism, reproductive functioning, and other functions. Both males and females produce androgens.

(continued)

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Logically, because IGF-1 stimulates androgens and androgens trigger acne, the case against milk seems likely. Logic is not proof, however. No one should give up dairy products to improve acne until research reveals causation, and even then, only when substitutions fully replace the nutrients of milk in the diet.

A Total Diet Connection

What about pizza, fast foods, sugar, and other common acne suspects? In a recent population study of over 24,000 French adults, greater intakes of milk, fatty foods, and sugary foods and beverages were linked with greater problems with acne.[7] Again, observation cannot prove cause, and clinical research is needed to support or refute these associations.

Carbohydrate Quality and Acne

Dietary carbohydrate affects the body's hormone production, including the production of IGF-1. Researchers evaluated changes in blood IGF-1 concentration in relation to the quality and quantity of dietary carbohydrate. The experimental group ate a diet with a low glycemic load while the control group continued their regular diets.[8] After 2 weeks, a small but significant reduction in blood IGF-1 was observed among the experimental group, but no change occurred in the control group. Whether acne itself was affected is unknown because measurable changes in acne require a longer time to develop than this study allowed. Still, no harm can come from replacing refined grains, sugary soft drinks, and ultraprocessed carbohydrates with more health-promoting foods, and it may even improve the condition of the skin.

Other Links

Proper nutrition and hydration is essential to healthy skin, but some people go overboard taking supplements, a counterproductive tactic.[9] Supplements of vitamin B_{12}, for example, may cause normal skin bacteria to produce inflammatory compounds and worsen acne instead of relieving it.[10] Most other vitamins and minerals bear little relation to acne. Stress worsens it.

Moving Ahead

One remedy always works: time. While waiting, attend to basic needs. Nourish and hydrate your skin by following the Dietary Guidelines, drinking plenty of water, and by limiting highly refined and ultraprocessed foods. If dairy products cause problems, find replacements to supply the calcium, vitamin D, and other nutrients provided by dairy foods. Exercise to stimulate blood flow to the skin, and rest to restore its cells. Relax, get a little (but not too much) sun, and try swimming. The sun's rays kill bacteria and water washes some of it away.

Review Questions*

1. The primary cause of acne is hormones. T F
2. Taking supplements of vitamin A or vitamin B_{12} can often improve acne. T F
3. Diet bears no relationship with acne in research. T F

**Answers to Consumer's Guide review questions are in Appendix G.*

Dietary Patterns and Nutrient Intakes

During adolescence, food habits often change for the worse, and teenagers may miss out on nutrients they need. Teens may skip breakfast; choose less milk, fruit, juices, and vegetables; and consume substantial sugar-sweetened drinks each day. The percentage of U.S. teens who eat enough fruit and vegetables is dismally low—just 7 percent meet the guideline for fruit and 2 percent for vegetables.[27] Such habits may bear a relationship to weight gain and higher disease risks in adulthood.

Roles of Adults Ideally, adults become **gatekeepers**, controlling the type and availability of foods in the teenager's environment. Teenage sons and daughters and their friends should find plenty of nutritious, easy-to-grab food in the refrigerator (meats for sandwiches, raw vegetables, fruit, milk, and fruit juices) and more in the cabinets (breads, peanut butter, nuts, popcorn, cereals). In reality, in many households today, all the adults work outside the home, and teens both shop for and prepare meals. This may yield an unexpected benefit if adults set limits on food choices: adolescents involved in preparing family meals often consume more nourishing diets than uninvolved teens.[28]

gatekeepers with respect to nutrition, key people who control other people's access to foods and thereby affect their nutrition profoundly. Examples are a spouse who buys and cooks the food, a parent who feeds the children, and a caregiver in a day-care center.

Snacks On average, about a fourth of a teenager's total daily energy intake comes from snacks, which, if chosen carefully, can contribute needed protein and other nutrients. Nutritious protein-rich snacks may also ward off between-meal hunger, protecting against overeating and obesity.

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Gatekeepers can help teenagers choose wisely by delivering nutrition information at "teachable moments." Teens prone to weight gain will often open their ears to news about calories in fast foods. Athletic teens may best receive information about meal timing and sports performance. Still others are fascinated to learn of the skin's need for vitamins and fluid. Gatekeepers must set a good example, keep lines of communication open, and stand by with plenty of nourishing food and reliable nutrition information, but the rest is up to the teens themselves. Ultimately, they make the choices.

Key Point

- Gatekeepers can encourage teens to meet nutrient requirements by providing nutritious snacks.

The Later Years

LO 14.3 Identify the dietary factors associated with successful and healthy aging.

The title of this section may imply it is about older people, but it is relevant even if you are only 20 years old—how you live and think at age 20 affects the quality of your life at 60 or 80. According to an old saying, "As the twig is bent, so grows the tree." Unlike a tree, however, you can bend your own twig.

As the Twig Is Bent . . . Before you will adopt nutrition behaviors to enhance your health in old age, you must accept on a personal level that you, yourself, are aging. Heredity, as well as lifestyle factors, influences aging, but no one escapes the physical, emotional, and social changes that occur. Nutrition plays many documented roles that are critical to successful aging, however.[29] In general, people who reach old age in good mental and physical health most often:

- Are nonsmokers.
- Abstain from alcohol or drink only moderately.
- Are physically active (they walk, bike, swim, or otherwise spend more than 150 minutes per week in physical activity).
- Are well nourished and, in particular, consume sufficient fruit and vegetables.
- Maintain healthy body weights.

They also keep a cheerful attitude and are seldom depressed.

Life Expectancy The "graying" of America is a continuing trend. Since 1950, the population older than age 65 has almost tripled, and numbers of people older than age 85 have increased sevenfold. People reaching and exceeding age 100 have doubled in number in recent decades, a trend evident among many of the world's populations.

How long a person can expect to live depends on several factors. An estimated 70 to 80 percent of the average person's **life expectancy** depends on individual health-related behaviors, with genes determining the remaining 20 to 30 percent. In the United States, an average person can expect to live an average of 79 years.[30*]

Human Life Span The biological schedule that we call aging cuts off life at a genetically fixed point in time. The human **life span** is believed to be 125 years. Even this limit may one day be challenged with advances in medical and genetic technologies. One caution: to date, scientists who study the aging process have found no specific diet or nutrient supplement that will increase **longevity**, despite hundreds of claims to the contrary. Perhaps more important than lifespan is one's "health span"—the number of years a person spends enjoying good health without chronic diseases to spoil them.

Key Points

- Life expectancy for U.S. adults is increasing, but the human life span is set by heredity.
- Life choices can greatly affect how long a person lives and the quality of life in the later years.

life expectancy the average number of years lived by people in a given society.

life span the maximum number of years of life attainable by a member of a species.

longevity long duration of life.

[*] The U.S. average life expectancy was diminished by one year in 2020 due to deaths from COVID-19 infections.

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Physical activity promotes health and independent living in the later years.

Nutrition in the Later Years

LO 14.4 Describe the changes in nutrient needs that occur as people age.

Nutrient needs become more individual with age, depending on genetics and individual medical history. For example, one person's stomach acid secretion, which helps in iron absorption, may decline, so that person may need to choose iron-rich foods more often. Another person may have difficulty storing folate due to past liver damage and therefore have increased folate needs. Table 14–14 lists some changes that can affect nutrition. Because physiological changes advance with age, separate DRI values are set for people 51 to 70 years old and for those older than 70 (see the back of the book, pp. A and B).

Energy, Activity, and the Muscles

With advancing age, people typically need to take in fewer calories. One reason is that the number of active cells in each organ often decreases and the metabolism-controlling hormone thyroxine diminishes, reducing the body's resting metabolic rate. Another reason is that older people are often less physically active and lose muscle tissue, resulting in **sarcopenia**, an age-related loss of muscle tissue with serious health implications. Sarcopenia can occur in active adults, but inactivity accelerates lean tissue loss.

Energy Recommendations After about the age of 50, the intake recommendation for energy assumes about a 5 percent reduction in energy output per decade. Some of the decline in energy need may be avoidable, however. Staying physically active boosts energy needs and supports physical and mental health. Physical activity and an adequate diet also oppose a destructive spiral of sedentary behavior and mental and physical losses in the elderly, called *geriatric failure to thrive*. The set of conditions associated with failure to thrive includes:

- Diminished physical ability to function; inability to shop, cook, or prepare meals.
- Depression or anxiety.
- Malnutrition, with impaired immunity, slow wound healing, slow recovery from surgery, and periodic hospitalizations.
- Weight loss and appetite loss with sarcopenia.

Table 14–14

Physical Changes of Aging that Affect Nutrition

DIGESTIVE TRACT	Intestines lose muscle strength, resulting in sluggish motility that can lead to constipation. Stomach inflammation, abnormal bacterial growth, and reduced acid output impair digestion and absorption. Pain and fear of choking may cause food avoidance or reduced intake.
HORMONES	Among many hormone changes, the pancreas secretes less insulin and cells become less responsive to it, causing abnormal glucose metabolism.
MOUTH	Tooth loss, gum disease, and reduced saliva output impede chewing and swallowing. Choking may become likely; pain may cause avoidance of hard-to-chew foods.
SENSORY ORGANS	Diminished sight can make food shopping and preparation difficult; diminished senses of smell and taste may reduce appetite.
BODY COMPOSITION	Weight loss and decline in lean body mass lead to lowered energy requirements.

sarcopenia (SAR-koh-PEE-nee-ah) age-related loss of skeletal muscle mass, muscle strength, and muscle function.

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Such signs should be taken seriously, and immediate steps should be taken to remedy them.

Weight Loss and Overweight Involuntary weight loss in an older person demands immediate attention. It may be the result of some easily reversible factor, or it may reflect a disease condition that requires treatment; in either case, a diagnosis is needed. In the absence of disease, offering favorite foods in five or six small, high-calorie meals each day instead of three larger ones often helps increase food and calorie intake. Many older people prefer smaller portions of a variety of foods at mealtimes.

Obesity poses serious problems for many elders. Particularly when muscle strength becomes insufficient to handle their excess body weight, these elderly people face a progressive loss of mobility and self-reliance.[31] For them, there is little leeway in the diet for foods of low nutrient density, such as ultra-processed foods rich in added sugars, fats, and alcohol. Weight-loss dieting should be approached with caution for this group because weight loss often worsens loss of muscle and bone tissue. When achieved with a nutritious calorie-controlled diet along with adequate dietary protein and regular exercise, weight loss can safely reduce body fat, improve health, and benefit muscle functioning.

Physical Activity This chapter's Think Fitness feature (p. 542) emphasizes the importance of physical activity in maintaining body tissue integrity throughout life. People spending energy in physical activity can also eat more food, gaining nutrients. Sadly, more than 80 percent of people age 65 and older fail to meet exercise guidelines and thereby limit their own health and fitness in their later years.[32] Any movement is better than no movement: participating in leisure activities or performing just a few minutes daily of light activity can be of benefit. Some hospitalized people in their late 80s improved their strength after just 5 *days* of resistance training.[33]

Figure 14–10 emphasizes this point: the photos compare cross sections of the thighs of a young woman and of an older woman to demonstrate the sarcopenia typical of sedentary aging, which brings with it destructive weakness, poor balance, and deterioration of health and vigor. Resistance training and consuming an adequate diet through life often helps to prevent at least some of this muscle loss.

Key Points

- Energy needs decrease with age.
- Failure to thrive, involuntary weight loss, and obesity pose health threats during aging.
- Physical activity helps maintain lean tissue and improve health during aging.

Protein Needs

The protein DRI remains about the same for older people as for young adults. Some research suggests that a little extra protein beyond the DRI may stimulate muscle protein synthesis in healthy, mobile older adults and shift nitrogen balance toward the positive. When people are immobilized, however, extra protein cannot prevent muscle loss.[34]

For older people who have lost their teeth, chewing tough, protein-rich meats sufficiently to allow their proper use by the body becomes next to impossible. They need soft-cooked protein sources, such as well-cooked, stewed or chopped meats, milk-based soups, soft cheeses, eggs, or fish. Those with chronic constipation, heart disease, or diabetes may benefit most from fiber-rich, low-fat protein sources, such as legume–whole grain combinations.

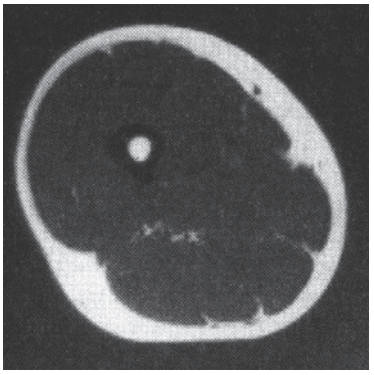
As energy needs decrease, lower-calorie protein sources, such as lean tender meats, poultry, fish, boiled eggs, fat-free milk products, and legumes can help hold weight to a healthy level. Underweight or malnourished older adults need the opposite—energy-dense protein sources such as eggs scrambled with margarine, tuna salad with mayonnaise, peanut butter, and milkshakes. Should a flagging appetite reduce food intake, supplemental nutrient-fortified formulas in liquid, pudding, cookie, or other forms between meals can supply needed energy, protein, and other nutrients.

Figure 14–10

Muscle Loss in Aging: Sarcopenia

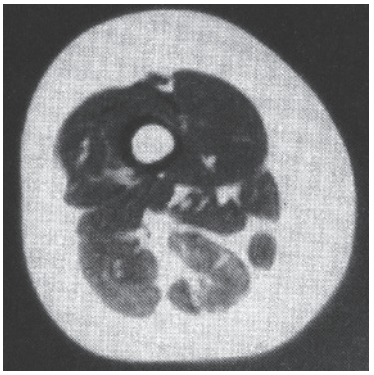
These photos show cross sections of two women's thighs. They may appear to be about the same size from the outside, but the 20-year-old woman's thigh is dense with muscle tissue (dark areas), while the 64-year-old woman's thigh has lost muscle and gained fat. Such debilitating muscle loss is prevalent in aging but not inevitable. Optimal protein nutrition and strength-building physical activity can oppose its development.

20-year-old woman's thigh



Courtesy of Dr. William Evans

64-year-old woman's thigh



Courtesy of Dr. William Evans

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Think Fitness

Physical Activity for the Older Adult

The Physical Activity Guidelines for Americans recommend that, ideally, older adults strive to perform at least 150 minutes of aerobic physical activity each week, and engage in muscle-strengthening activities on two or more days per week. Table 14–15 lists key activity guidelines for older adults. Older adults who do so have more lean body mass, better balance, stronger immune systems, and improved sleep quality; they suffer fewer injuries from falls, experience fewer symptoms of arthritis, enjoy better overall health, and even live longer than their less-fit peers. Simply put, active older people resemble much younger people physiologically.

Provide Protein

At some point, perhaps as early as age 60 or 70, the muscles lose efficiency in adding new muscle tissue in response to resistance training.[35] Some extra protein at each meal may help in this regard because, compared with younger muscles, older muscles require relatively greater amounts of protein to speed up post-exercise protein synthesis (see Chapter 10 for details). Middle-aged and older people who wish to retain vitality should start now and continue to build and defend their muscle mass through life.

Table 14–15

Key Activity Guidelines for Older Adults

In addition to the general activity guidelines for adults, these guidelines apply to older adults only.

- As part of their weekly activity, older adults should include balance training, aerobic exercise, and muscle-strengthening activities.
- Older adults should gauge their level of effort to their level of fitness to improve without injury.
- Those with chronic conditions should learn whether and how the conditions affect their ability to perform physical activity safely.
- When chronic conditions prevent meeting the 150-minute weekly aerobic activity goal for adults, older adults should be as physically active as their abilities and conditions allow.

Source: Physical Activity Guidelines for Americans, 2nd edition, 2018 U.S. Department of Health and Human Services.

Customize the Plan

Each elderly person faces different degrees and types of physical limitations. Therefore, each should exercise in his or her own way. Modest exercise, such as a 10-minute walk a day, a flexibility routine, or resistance training (even while seated) provides progressive benefits for those with limited abilities.[36] Great achievements are possible, improvements are inevitable, and it's never too late to benefit.

Start now! Ready to make a change? If you are an older person, or if you care for an older person, devise a sensible exercise plan and track the amout of physical activity performed each day for 1 week. At the end of that week, evaluate the total physical activity and decide if a higher or lower activity level is desirable and achievable for the following week. Strive for progress, not an external ideal.

Key Point

- Protein DRI values remain about the same through adult life, but changes in physical condition may affect both the amounts of protein needed and its sources.

New Africa/Shutterstock.com

Carbohydrates and Fiber

Ample whole-grain breads, cereals, rice, and pasta provide the steady supply of carbohydrate that the brain demands for optimal functioning. The fiber in these foods takes on extra importance in aging to prevent constipation, a common complaint among older adults and nursing home residents in particular.

For fiber sources and tips for increasing intakes, see Figure 4–16, p. 131.

Fruits and vegetables supply soluble fibers and other food components to help ward off chronic diseases. With aging, however, come problems of transportation, limited cooking facilities, and chewing disabilities that limit some elderly people's intakes of fresh fruit and vegetables. For these people, a blender can yield a refreshing, nutritious drink from frozen or fresh fruit and vegetables, a banana, and some milk or yogurt. In truth, most older adults, even those without limitations, fail to obtain the recommended 25 or so grams of fiber each day (14 grams per 1,000 calories). When low fiber intakes

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combine with low fluid intakes, inadequate exercise, and many medications, constipation becomes inevitable.

Key Point

- Adequate fiber can help older adults to avoid constipation.

Fats and Arthritis

Older adults must stay aware of fat intakes for several reasons. Consuming enough of the essential fatty acids and limiting intakes of saturated and trans fats remain priorities for disease prevention in aging.

Arthritis The common type of **arthritis**, osteoarthritis, often causes loss of mobility as people age. Normally, the ends of healthy bones are protected by small sacs of fluid that act as joint lubricants. With arthritis, the sacs erode, cartilage and bone ends disintegrate, joints become malformed, and moving becomes painful. In people with overweight or obesity, loss of body weight and moderate physical activity often bring relief.[37] Excess body weight stresses the load-bearing joints, such as knees, and it also increases inflammation, factors associated with cartilage breakdown.

Rheumatoid arthritis arises from an immune system malfunction: the immune system mistakenly attacks the bone coverings as if they were foreign tissue. The lining around the joints becomes swollen and inflamed, and as a result, joints become painful to move. Some people may find relief with dietary changes, such as increasing fatty fish intake, reducing red meat intake, or switching to a Mediterranean or plant-based diet, but research is lacking to make a single recommendation based on strong science.[38]

Many ineffective or unproven "cures" are sold for arthritis relief. Research is mixed on whether the popular dietary supplements chondroitin sulfate and glucosamine, both components of cartilage, provide relief.[39]

Gout A form of inflammatory arthritis known as gout affects millions of U.S. adults, and its prevalence increases with age. An increased incidence of gout has been observed with "triggers" such as insulin resistance, overweight, and a "Western-style" diet high in meats, sweets, and fats. Adherence to a diet known for its other health benefits, the DASH diet, is associated with a lower risk of gout. (The DASH diet plan is in Appendix E.)

Key Point

- Arthritis causes pain and immobility, and older people with arthritis often fall for quack cures.

Vitamin Needs

Vitamin needs change as people age. Among factors affecting these needs are changes in absorption, metabolism, and excretion of vitamins.

Vitamin A Vitamin A stands alone among the vitamins in that its absorption appears to increase with aging. For this reason, some researchers have proposed lowering the vitamin A requirement for aged populations. Others resist this proposal because foods containing vitamin A and its precursor beta-carotene confer health benefits and because many of these foods, notably green leafy vegetables, are frequently lacking in the diet.

Vitamin D Changes with aging can interfere with vitamin D metabolism, so the DRI for vitamin D is slightly higher for older people (DRI lists are at the back of the book, pp. A and B). In aging, these factors combine to reduce blood vitamin D concentrations:

- Intakes decrease with lower intake of fortified milk.
- Sunlight exposure decreases as more time is spent indoors.
- Synthesis in the skin declines fourfold.
- Activation by the kidneys diminishes.

Supplements may help normalize blood vitamin D and may prevent some number of falls in elderly people. Anyone with osteoporosis should follow medical advice.

arthritis a usually painful inflammation of joints caused by many conditions, including infections, metabolic disturbances, or injury; usually results in altered joint structure and loss of function.

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Vitamin B_{12} By age 60, reduced stomach acid production can reduce the ability to absorb vitamin B_{12} from food, making deficiency likely. Stomach acid-reducing medications, frequently prescribed for older people, worsen the problem and may sharply raise the risk of vitamin B_{12} deficiency. Many elderly people suffer marginal deficiencies, but most of these cases go unrecognized and untreated because vitamin B_{12} tests are rarely performed. Elderly people often choose less meat and milk, rich suppliers of vitamin B_{12}, so dietary insufficiency may also contribute to these deficiencies. Synthetic vitamin B_{12} is reliably absorbed and injections are available. Much misery can be averted by testing for and reversing deficiencies of vitamin B_{12} in older adults.

Diet and Vision Losses of vision in the elderly correlates with loss of life that cannot be explained by other risk factors. Dark green, leafy vegetables, which are rich in certain carotenoid phytochemicals, may protect the eyes from one cause of blindness: macular degeneration.* Carotenoid and other nutrient supplements are unproven for eye protection, although some physicians may prescribe them in hopes of slowing the advance of macular degeneration.

By age 75, half of all U.S. adults have developed **cataracts**. A cataract is a clouding of the lens that impairs vision and leads to blindness. Only 5 percent of people younger than 50 years have cataracts; afterward, the percentage jumps to between 20 and 30 percent. The lens of the eye is easily oxidized. Observational studies suggest that a diet that includes foods rich in certain antioxidant nutrients—carotenoids, vitamin C, and vitamin E—may reduce the risk of early onset and progression of cataracts.[40] Supplements of antioxidant nutrients appear ineffective against cataracts.

Key Points

- Vitamin A absorption increases with aging.
- Elderly people are vulnerable to deficiencies of vitamin D and vitamin B_{12}.

Water and the Minerals

Dehydration is a major risk for older adults. Total body water decreases with age, so even mild stresses, such as a hot day or a fever, can quickly dehydrate the tissues. The thirst mechanism may diminish, and even healthy older people may go for long periods without drinking. The kidneys also lose efficiency in recapturing water before it is lost as urine.

Dehydration then leads to problems such as constipation, bladder problems, and mental confusion that is easily mistaken for **senile dementia**. This effect may occur with a water loss of as little as 2 percent of body weight. In a person with asthma, dehydration thickens mucus in the lungs, blocking airways and leading to pneumonia. In a bedridden person, dehydration can lead to **pressure ulcers**. To prevent dehydration, older adults should consume sufficient fluid each day. The beverage recommendations for adults 51 years and older suggest that women consume 9 cups of fluid each day; for men, recommendations increase to 13 cups. Table 14–16 offers some beverage and fluid strategies to meet specific needs of elderly people.

Iron Iron status generally improves in later life, especially in women after menstruation ceases and in those who take iron supplements, eat red meat regularly, and include vitamin C–rich fruit in their daily diet. When iron-deficiency anemia does occur, diminished appetite with low food intake is often the cause. Aside from diet, other factors make iron deficiency likely in older people:

- Chronic blood loss from ulcers or hemorrhoids.
- Poor iron absorption due to reduced stomach acid secretion.
- Antacid use, which interferes with iron absorption.
- Use of medicines that cause blood loss, including anticoagulants, aspirin, and arthritis medicines.

cataract (CAT-uh-ract) clouding of the lens of the eye that can lead to blindness. Cataracts can be caused by injury, viral infection, toxic substances, genetic disorders, and possibly some nutrient deficiencies or imbalances.

senile dementia the loss of brain function beyond the normal loss of physical adeptness and memory that occurs with aging.

pressure ulcers damage to the skin and underlying tissues as a result of unrelieved compression and poor circulation to the area; also called *bed sores*.

*The carotenoids are lutein and zeaxanthin, which help to form pigments of the macula of the eye.

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Table 14–16

Fluid Strategies for Older Adults

Fluid choices, strategically made, can improve the nutrition status of an elderly person.

For underweight elderly:

- Blended "smoothies" of frozen bananas, frozen strawberries, or other frozen fruit, whole milk or soy milk, ice cream, flavored with chocolate syrup or powdered sugar.
- Commercial liquid meal replacers.
- 100% fruit juice.
- Hearty soups of soft-cooked meat, legumes, pasta, and vegetables; milk-based seafood or vegetable bisques; puréed or chunky, depending on chewing capacity and preference.
- Puddings made with sugars and whole milk or full-fat milk substitutes.

For overweight elderly:

- Blended "smoothies" of frozen no-sugar strawberries or other frozen fruit, with nonfat milk, nonfat half and half or soy milk, crushed ice, and artificial sweetener.
- Broth-based vegetable soups, hot or cold, puréed or chunky, depending on chewing capacity and preference.
- Black or artificially sweetened tea or coffee, hot or iced.
- Reduced-calorie fruit juices.
- Vegetable juices.
- Plain or sparkling water with a squeeze of orange, lemon, or lime.
- Puddings made with artificial sweetener and nonfat milk or milk substitutes.

Older people take more medicines than others, and drug and nutrient interactions are common.

Zinc Zinc deficiencies, common in older people, are known to impair immune function and may increase the likelihood of infectious diseases, such as pneumonia. Zinc deficiency can also depress the appetite and blunt the sense of taste, thereby reducing food intakes and worsening zinc status. Many medications interfere with the body's absorption or use of zinc, and an older adult's medicine load can worsen zinc deficiency.

Multinutrient Supplements Overall, elderly people often benefit from a single balanced low-dose vitamin and mineral supplement. Older people taking such supplements suffer fewer sicknesses caused by infection. A summary of the effects of aging on nutrient needs appears in Table 14–17 (p. 546).

Key Point

- Aging alters vitamin and mineral needs; some rise, while others decline.

Can Diet Choices Lengthen Life?

Although people cannot alter the year of their birth, they can probably alter the length and quality of their lives. Lifestyle choices make a difference.

Lifestyle Factors Have you ever noticed that some older adults seem younger than their chronological ages? Research on this observation has focused on health habits of older people and has identified three major factors related to nutrition:

- Abstinence from, or moderation in, alcohol use
- Regular nutritious meals
- Weight control

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Table 14–17

Summary of Nutrient Concerns in Aging

Nutrient	Effects of Aging	Comment
Energy	Need decreases.	Physical activity moderates the decline.
Fiber	Low intakes make constipation likely; beneficial for controlling weight and reducing the risk of heart disease and type 2 diabetes.	Inadequate water intakes and physical activity, along with some medications, compound risks of constipation.
Protein	Needs may stay the same or slightly increase; intake often decreases.	Low-fat milk and other high-quality protein foods are appropriate; high-fiber legumes provide protein and other nutrients.
Vitamin A	Absorption increases.	Supplements normally not needed.
Vitamin D	Increased likelihood of inadequate intake; synthesis in skin tissue declines.	Daily moderate exposure to sunlight may be of benefit.
Vitamin B_{12}	Malabsorption of some forms.	Foods fortified with synthetic vitamin B_{12} or a supplement may be of benefit in addition to a balanced diet.
Water	Lack of thirst and increased urine output make dehydration likely.	Mild dehydration is a common cause of confusion.
Iron	In women, status improves after menopause; deficiencies linked to chronic blood losses and low stomach acid output.	Stomach acid is required for absorption; antacid or other medicine use may aggravate iron deficiency; vitamin C and meat enhance absorption.
Zinc	Intakes are often inadequate and absorption may be poor, but needs may also increase.	Medications interfere with absorption; deficiency may depress appetite and sense of taste.
Calcium	Intakes may be low; osteoporosis becomes common.	Lactose intolerance commonly limits milk intake; calcium-rich substitutes or supplements are needed (consider supplements that include vitamin D).
Potassium	Increased intake may decrease the risk of high blood pressure.	Include fruits, vegetables, and low-fat or fat-free milk and yogurt in the diet.
Sodium	Decreasing intake might lower the risk of high blood pressure.	Choose and prepare foods with little to no added salt; consider herbs or salt substitutes to add flavor to foods.

Three additional factors are recognized: regular adequate sleep, abstinence from smoking, and regular physical activity. The physical health of those who engage in all six positive health practices may be comparable to that of people 30 years younger who engage in few or none. Some changes of aging, such as graying hair and reduced senses of smell, taste, and eyesight are inescapable, but others may yield to individual life choices (see Table 14–18).

Energy Restriction Evidence that diet might influence the life span emerged decades ago when researchers starved young rats, feeding them diets extremely low in energy. The starved rats stopped growing, while a group of control rats ate and grew normally. Many of the starved rats died young from malnutrition. The survivors, although permanently deformed from their ordeal, remained alive far beyond the normal life span for such animals and developed diseases of aging much later than normal. Since then, this result has been repeated in many species.

With moderate energy restriction, animals also retain youthfulness longer and develop fewer disease risk factors such as high blood pressure, and glucose intolerance. At the cellular level, improvements in metabolic systems and stem cell maintenance likely underlie these life-extending changes.[41]

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Although energy restriction may improve chronic disease risks, severe restriction also stunts growth and can damage some systems. Moreover, without supplements, calorie-restricted diets lack needed nutrients. Scientists are hoping to discover drugs that mimic the benefits of calorie restriction while minimizing risks. For now, however, any supplement or treatment claiming to prolong human life is a hoax.

Key Point

- In rats and other species, food energy deprivation lengthens the lives of individuals.

Aging and Inflammation

A free-radical theory blames chronic inflammation for physical deterioration in aging. As people age, the body's internal antioxidant enzymes diminish and the immune system loses function. When illness strikes, the immune system becomes overstimulated but less able to cope with the challenge. The result is chronic inflammation, with increasing frailty and illness (see Chapter 11).[42]

One potential link between aging and inflammation is **telomere** length.[43] Telomeres are protein and DNA structures that cap off and protect the exposed vulnerable ends of chromosomes. With repeated cell divisions throughout life, telomeres shorten in length and become less able to protect the chromosomes from assaults by such factors as enzymes, inflammation, and toxins. A theory of aging suggests that characteristics expected in normal aging, such as graying hair and loss of subcutaneous fat and collagen, may in fact result from shortened telomeres. Factors believed to influence the length of telomeres are listed in Table 14–19. Importantly, no supplement, product, or gimmick can restore telomere length or extend life, although marketers try to convince us otherwise. Fresh fruits, vegetables, whole grains, and a good pair of walking shoes are better investments.

Key Points

- Inflammation is linked with shortened telomeres and other physical effects of aging.
- Claims for life extension through antioxidants or other supplements are unsubstantiated.

Table 14–19

Factors Affecting Telomere Length

These factors, some under individual control, are associated with shortened telomeres.

- Advanced age
- Alcohol intake
- Chronic inflammation
- Genetic inheritance
- Male sex
- Mental depression and excessive stress
- Obesity and overweight
- Physical inactivity
- Smoking; previous smoker
- Ultra-processed food intake
- Low intake of fruit, vegetables, legumes, nuts, and seeds

Sources: K. J. Turner, V. Vasu, and D. K. Griffin, Telomere biology and human phenotype, Cells *8 (2019), doi: 10.3390/cells8010073; L. Alonso-Pedrero and coauthors, Ultra-processed food consumption and the risk of short telomeres in an elderly population of the Seguimiento Universidad de Navarra (SUN) Project,* American Journal of Clinical Nutrition *111 (2020): 1259–1266, doi: 10.1093/ajcn/nqaa075; M. Crous-Bou, J. L. Molinuevo, and A. Sala-Vila, Plant-rich dietary patterns, plant foods and nutrients, and telomere length, Advances in Nutrition 10 (2019): S296-S303; doi: 10.1093/advances/nmz026.*

Table 14–18

What to Expect in Aging

Changes with Age You Probably Can Slow or Prevent

By exercising, eating an adequate diet, reducing stress, and planning ahead, you may be able to slow or prevent:

- ✓ Wrinkling of skin due to sun damage
- ✓ Some forms of mental confusion
- ✓ Elevated blood pressure
- ✓ Accelerated resting heart rate
- ✓ Reduced lung capacity and oxygen uptake
- ✓ Increased body fat
- ✓ Elevated blood cholesterol
- ✓ Slowed energy metabolism
- ✓ Decreased maximum work rate
- ✓ Loss of sexual functioning
- ✓ Loss of joint flexibility
- ✓ Diminished oral health: loss of teeth, gum disease
- ✓ Bone loss
- ✓ Digestive problems, constipation

Changes with Age You Probably Must Accept

These changes are probably beyond your control:

- ✓ Graying hair
- ✓ Balding
- ✓ Some drying and wrinkling of skin
- ✓ Impairment of near vision
- ✓ Some loss of hearing
- ✓ Reduced taste and smell sensitivity
- ✓ Reduced touch sensitivity
- ✓ Slowed reactions (reflexes)
- ✓ Slowed mental function
- ✓ Diminished visual memory
- ✓ Menopause (women)
- ✓ Loss of fertility (men)
- ✓ Loss of joint elasticity

telomere (TELL-oh-meer) structures of DNA and protein that cap the ends of each chromosome and maintain genomic stability; telomeres shorten with cell replication throughout life, losing function over time. From the Greek *télos*, meaning *end*.

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Can Diet Affect the Course of Alzheimer's Disease?

Alzheimer's disease (AD), the most prevalent form of senile dementia, is the sixth leading cause of death in the United States overall, and, among older people, only heart disease and cancer pose greater threats to life.[44] The devastation of AD occurs when areas of the brain that coordinate memory and cognition become littered with clumps of abnormal protein fragments and tangles of nerve tissue that damage or kill brain cells.* Soon, memory fails and reasoning powers diminish, followed by loss of communication skills; loss of physical capabilities; onset of anxiety, delusions, depression, anger, inappropriate behavior, and sleep disturbance; and eventually loss of life itself. Once the destruction begins, the outlook for its reversal is bleak.

Age is the primary risk factor for AD. Genetic factors and oxidative stress also increase the risk. Reactive minerals, such as iron, copper, and zinc may play roles in AD processes, but the true cause is unknown. Antioxidant supplements do not appear effective in preventing AD or slowing its progression.[45] Drug treatments so far may slow loss of function but they do not cure the disease or stop its relentless progression.[46]

Preliminary evidence suggests that nutrition may play a minor role in the prevention or treatment of AD.[47] Healthy dietary patterns such as the Dietary Approach to Stop Hypertension (DASH) and the Mediterranean diet may be associated with slower cognitive decline in some forms of dementia.[48] Similarly, physical activity may also improve cognitive function and slow the decline in activities of daily living.[49]

Maintaining appropriate body weight may be the most important nutrition concern for adults with AD. Depression and forgetfulness can dampen the appetite and diminish food intake. A caregiver for a person with AD should strive to provide well-liked, nutritious meals and snacks with regular timing. A cheerful atmosphere encourages food consumption and offering a limited variety of ready-to-eat foods in bite-size pieces can help to avoid mealtime confusion. Controlling distractions such as music, television, telephone, and even children can help focus attention at mealtimes.

In addition to AD, other forms of dementia are common among the elderly. Figure 14–11 presents some controllable risk factors associated with them.

Key Points

- Alzheimer's disease causes brain deterioration in many people older than age 65.
- Nutrition care gains importance as Alzheimer's disease progresses.

Food Choices of Older Adults

Most older people are independent, socially sophisticated, mentally lucid, fully participating members of society who report being happy and healthy. Many have cut down on intakes of saturated fats and are eating slightly more vegetables and whole-grain breads, although few meet the recommended intakes of these foods. Older people who eat a wide variety of foods are better nourished and enjoy a better quality of life than those who subsist on a monotonous diet. Grocers assist older people by prominently displaying good-tasting, low-fat, nutritious foods in easy-to-open, single-serving packages with labels that are easy to read.

Wavebreak Media Ltd/Alamy Stock Photo

Shared meals can be the high point of the day.

Nutrient Intakes and Obstacles to Adequacy An alarming number of elderly people fail to meet their nutrient needs. In a study of elderly women, for example, just one-third reported meeting their need for fiber, 18 percent met the need for calcium, even fewer took in sufficient vitamin E or vitamin D, and only half took in enough protein or potassium.[50]

Many factors affect the food choices and nutrient intakes of older people. These include diminished eyesight, which poses an obstacle to shopping and cooking, and tooth loss, which makes chewing difficult and choking likely. People living alone are likely to consume poorer-quality diets than those living with spouses.

Two other factors stand out: increasing use of multiple medications and abuse of alcohol. People older than age 65 consume about a fourth of all the medications, both

*The protein fragments are called *beta-amyloid*.

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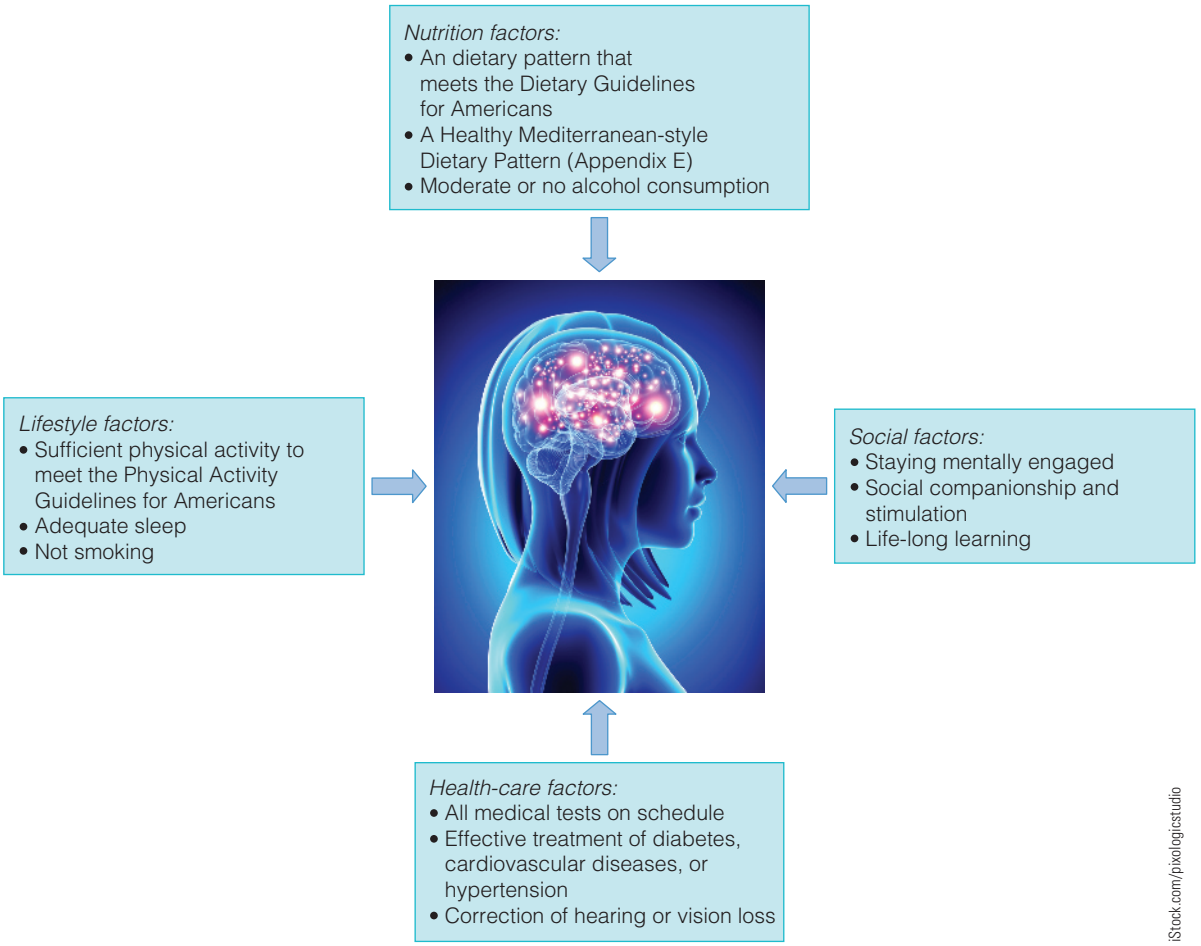
Figure 14–11

Controlling Dementia Risk Factors

Dementia is common among elderly people and often unpreventable, but some factors associated with its development can be controlled.



Sources: Adapted from P. B. Gorelick and coauthors, Defining optimal brain health in adults: A presidential advisory from the American Heart Association/American Stroke Association, Stroke (2017), *epub ahead of print, doi.org/10.1161/STR.0000000000000148; B. Sabayan and F. Sorond, Reducing risk of dementia in older age,* Journal of the American Medical Association *317 (2017): 2028.*

prescription and over-the-counter (OTC), sold in the United States. Although these medications enable people with health problems to live longer and more comfortably, they also pose a threat to nutrition status because they may interfere with nutrients, depress the appetite, or alter taste perception (see Controversy 11, p. 426).

The incidence of alcoholism, alcohol abuse, or problem drinking among the elderly in the United States is estimated at between 2 and 10 percent. Loneliness, isolation, and depression accompany overuse of alcohol and lessen nutrient intakes. Table 14–20 (p. 550) provides an easily remembered means of identifying those who might be at risk for malnutrition.

Programs that Help Several federal programs can provide help for older people.[51] The Supplemental Nutrition Assistance Program (SNAP) assists elders with low incomes by supplementing their monthly food budgets. The Administration on Aging coordinates services governed by the Older Americans Act, including the provision of nutritious meals in a social congregate setting, education and shopping assistance, counseling and referral to other needed services, and transportation to necessary appointments. For the homebound, Meals on Wheels volunteers deliver meals to the door. Nutritionists

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Table 14–20

Predictors of Malnutrition in the Elderly

Here is a quick and easy-to-remember list of factors that increase the likelihood of malnutrition in the elderly. The first letters spell the word *DETERMINE.*

To Determine:	Ask:
Disease	▪ Do you have an illness or condition that changes the types or amounts of foods you eat?
Eating poorly	▪ Do you eat fewer than two meals a day? Do you eat fruits, vegetables, and milk products daily?
Tooth loss or mouth pain	▪ Is it difficult or painful to eat?
Economic hardship	▪ Do you have enough money to buy the food you need?
Reduced social contact	▪ Do you eat alone most of the time?
Multiple medications	▪ Do you take three or more different prescribed or OTC medications daily?
Involuntary weight loss or gain	▪ Have you lost or gained 10 pounds or more in the last 6 months?
Needs assistance	▪ Are you physically able to shop, cook, and feed yourself?
Elderly person	▪ Are you older than 80?

are wise not to focus solely on nutrient and food intakes of the elderly because enjoyment and social interactions may be as important as food itself.

Many older people, even able-bodied ones with financial resources, find themselves unable to perform all the needed cooking, cleaning, and shopping tasks. For anyone living alone, and particularly for those of advanced age, it is important to work through the problems that food preparation presents. This chapter's Food Feature presents some ideas.

Key Points

- Food choices of the elderly are affected by aging, altered health status, and changed life circumstances.
- Federal programs can help provide nourishment, transportation, and social interactions.

Food Feature Single Survival and Nutrition on the Run

LO 14.5 Describe the concerns associated with regularly eating alone.

A single person of any age, whether a busy student in a college dormitory, an elderly person in a retirement apartment, or a professional in an efficiency suite, faces challenges in obtaining nourishing meals. People without access to kitchens and freezers find storing foods problematic, so they often eat out. Following is a collection of ideas gathered from single people who have devised ways to nourish themselves despite obstacles.

Is Eating in Restaurants a Wise Choice?

Restaurant foods are convenient, but can such foods meet nutrient needs or support health as well as homemade foods? The answer is "perhaps," but making it so takes some effort. A few chefs and restaurant owners are concerned with the nutritional health of their patrons, but more often chefs strive only to please the palate. Restaurant foods are often overly endowed with calories, saturated fat, added sugar, and salt but often lack fiber, folate, or calcium. Vegetables and fruits may be in short supply, and a single meat or pasta portion may exceed an entire day's recommended intake. To improve restaurant meals, follow these suggestions:

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David Buffington/AGE Fotostock

Shopping for and preparing nutritious foods for one person takes some special know-how.

- Restrict your portions to sizes that do not exceed your energy needs.
- Ask that excess portions be placed in take-out containers at the start of the meal.
- Ask for extra vegetables, fruits, or salad.
- Request whole-grain breads and pasta (more restaurants now supply these, and others may do so with repeated requests).
- Make judicious choices of foods that stay within intake guidelines for saturated fats, added sugars, and salt.

The Food Feature of Chapter 5 (pp. 167–171) offered specific suggestions for ordering fast food and other foods with an eye to keeping fat intakes within bounds, and Chapter 8 listed foods high in sodium. Table 14–21 provides tips for single survival in the grocery store and at home.

Managing Loneliness

Loneliness affects many people, young and old alike, and can negatively influence overall health. For nutrition's sake, among many reasons, it is important to attend to loneliness, and mealtimes provide an opportunity to do so. People who are living alone must learn to connect food with socializing. Invite guests and make enough food so that you can enjoy the leftovers later on. If you know of a friend or acquaintance who frequently eats alone, you can bet that person would love to join you for a meal now and then.

Table 14–21

Smart Shopping and Creative Cooking

Smart Shopper Tips

- Make a list to reduce impulse buying; buy on sale, and use coupons for needed items.
- Watch sizes: gallons of milk may be cheaper than pints per ounce, but the savings are lost if the milk sours. Dry milk and small shelf-stable milk boxes often make sense.
- Bulk staple foods, such as dry milk, oatmeal, ready-to-eat cereals, or rice are cheapest, but they must be stored properly (see Chapter 15 for hints to avoid food waste).
- If freezer space allows, buy whole chickens or "family pack" meats at bargain prices. Divide into single servings, mark the date on the bag or container, freeze, and use as needed. Likewise, divide ground beef or turkey into burger-size portions for freezing, place in freezer storage bags, and flatten for easy stacking and quick thawing.
- Ask grocers to break open large packages of fresh foods; buy only the amount you can use up. More expensive but convenient small bags of cut and washed fresh vegetables may be an option.
- Frozen vegetables in large resealable bags are more economical than small boxes.
- Freeze a loaf of whole-grain bread; defrost or toast as needed.
- Eggs keep for weeks in the refrigerator. After their sell-by date, hard-boil and refrigerate them for handy protein servings that last a week longer.
- Buy several tomatoes, pears, and other fresh fruits in various stages of ripeness: a ripe one to eat right away, a nearly ripe one to eat soon after, and a green one to ripen in a few days.
- Buy ready-to-heat and eat foods from the grocery store delicatessen section—these cost less than similar foods from restaurants. Choose nutrient-dense items; skip stuffing, macaroni and cheese, meat loaf and gravy, vegetables in sauce, mayonnaise-dressed mixed salads, and fried foods.
- Buy a ready-roasted chicken; use the main pieces for several dinners; simmer the remainder with herbs and vegetables in a broth for soup.

Creative Kitchen Tricks

- Divide a head of cauliflower or broccoli into thirds. Cook one-third right away; marinate one-third in Italian salad dressing to use later in a salad; toss the remainder into a casserole, soup, or stew, or eat it raw with dip for a crunchy snack.
- Stir-fry ready-to-use blends of cabbage, snow peas, and onions; bags of slaw-cut vegetables; or raw vegetables for a delicious dinner. Add Asian seasonings and leftover chicken or seafood. Bonus: one pan to wash.
- Microwavable bags of brown rice cost more but provide a whole-grain food for those less able to cook.
- Treat leftovers with respect. Nothing beats a plate of delicious leftovers for speed and convenience—plate, reheat in the microwave, and eat.
- Use nutritious frozen dinners judiciously (caution: these can be very high in added fats, added sugars, and salt—read Nutrition Facts panels). Round out the meal with a salad, a whole-grain roll, and a glass of fat-free milk.
- Plan to have leftovers that can be frozen for later meals. Freeze in microwave-safe containers for nutritious home-cooked dinners that heat quickly in the microwave and taste delicious.

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What did you decide?

- Do you need **special information** to properly nourish children, or are they like "little adults" in their needs?
- Do you suspect that symptoms you feel may be caused by a **food allergy**?
- Are **teenagers** old enough to decide for themselves what to eat?
- Can good nutrition help you live **better and longer**?

Self Check

1. (LO 14.1) Children often fail to consume adequate amounts of _____.
   a. dairy
   b. meats
   c. vegetables
   d. sugar-sweetened beverages
2. (LO 14.1) A healthy child's normal appetite control system
   a. cannot be trusted to provide the right level of calories for growth.
   b. can be short-circuited by a constant stream of highly palatable foods that are high in added sugars, fats, salt, and refined grains.
   c. holds the child's appetite constant, without much fluctuation from day to day.
   d. none of the above.
3. (LO 14.1) On a pound-for-pound basis, a 5-year-old's need for vitamin A is about double the need of an adult man.
   T F
4. (LO 14.1) Which of the following can contribute to choking in children?
   a. peanut butter eaten by the spoonful
   b. hot dogs and tough meat
   c. grapes and hard candy
   d. all of the above.
5. (LO 14.1) Lead toxicity in young children
   a. is no longer a problem in the United States.
   b. is especially likely in a child whose diet lacks calcium, iron, or zinc.
   c. arises primarily from ingesting of foods packed in metal cans.
   d. all of the above.
6. (LO 14.1) Research to date supports the idea that food allergies or intolerances are common causes of hyperactivity in children.
   T F
7. (LO 14.1) A child who ate cream of broccoli soup and became ill now feels ill whenever it is served. The child most likely has a _____.
   a. food allergy
   b. food intolerance
   c. food aversion
   d. food antibody
8. (LO 14.2) Which of the following is most commonly deficient in adolescents?
   a. folate
   b. zinc
   c. iron
   d. vitamin D
9. (LO 14.2) Which of the following may worsen the symptoms associated with PMS?
   a. exercise
   b. caffeine
   c. vitamin D
   d. all of the above.
10. (LO 14.2) Which of the following is *not* associated with peak bone mass?
    a. physical activity
    b. scholastic achievement
    c. vitamin D status
    d. calcium intake
11. (LO 14.3) Physical changes of aging that can affect nutrition include _____.
    a. reduced stomach acid
    b. increased saliva output
    c. tooth loss and gum disease
    d. a and c

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12. (LO 14.3) In research, which of the following is associated with a longer life span in many species?
    a. energy restriction
    b. superoxide dismutase
    c. omega-3 fatty acids
    d. none of the above.
13. (LO 14.4) Nutrition does not seem to play a role in the causation of osteoarthritis.
    T F
14. (LO 14.4) Vitamin A absorption decreases with age.
    T F
15. (LO 14.4) Antioxidant supplements have been shown to slow down the progression of Alzheimer's disease.
    T F
16. (LO 14.4) A person planning a nutritious diet for an elderly person should pay particular attention to providing enough _____.
    a. vitamin A
    b. vitamin B_{12}
    c. iron
    d. b and c
17. (LO 14.4) The word DETERMINE is an acronym used in assessing an elderly person's _____.
    a. risk of malnutrition
    b. bone integrity
    c. degree of independence
    d. all of the above.
18. (LO 14.5) Single elderly people who routinely eat alone most often prefer isolation and should be left to themselves.
    T F
19. (LO 14.6) To treat mild obesity in healthy children, a first goal is to_____.
    a. reduce their weight by 10 percent while they grow taller
    b. bring their weight within their healthy weight range within 6 weeks
    c. slow their rate of gain while they grow taller
    d. a and b

Answers to these Self Check questions are in Appendix G.

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Controversy 14 Childhood Obesity and Early Chronic Diseases

LO 14.6 Describe the challenges associated with childhood obesity.

When most people think of health problems in children and adolescents, they often think of dental caries and acne, not type 2 diabetes and hypertension. Today, however, serious risk factors and "adult diseases" often accompany obesity in children.

Trends in Childhood Obesity

Childhood obesity numbers are high and getting higher, not only in the United States but all around the globe.[1*] Globally, childhood obesity has risen tenfold in the past four decades. In this country today, one of every five children aged 2 to 19 years is clinically obese, and many more are overweight.[†]

All groups of children are affected, but obesity most commonly occurs among children who are male, older, physically inactive, and who have parents who are obese, and have less education and lower or middle incomes. Many are of African American or Hispanic descent.

The Challenge of Childhood Obesity

Most parents do not recognize the development of obesity in their own children, let alone the associated health risks it poses.[2] A professional evaluation eliminates guesswork.[3]

Physical and Emotional Perils

Excessive body weight in the young is more than just a cosmetic problem. Table C14–1 summarizes the physical complications that can accompany obesity in children.

Table C14–1

Physical Complications of Obesity during Childhood

These conditions increase a child's risks for chronic diseases now and into adulthood.

- Abnormal blood lipid profile
  - High total cholesterol
  - High triglycerides
  - High LDL cholesterol
- High blood pressure
- High fasting insulin
- Structural changes to the heart
- Asthma
- Breathing difficulties (sleep apnea)
- Fatty liver

Sources: U.S. Preventive Services Task Force, Screening for obesity in children and adolescents: US Preventive Services Task Force Recommendation Statement, 2017; L. Hurt and coauthors, Diagnosis and screening for obesity-related conditions among children and teens receiving Medicaid—Maryland, 2005–2010, Morbidity and Mortality Weekly Report *63 (2014): 305–308.*

Obese children frequently also suffer psychologically. Adults discriminate against them, and peers make thoughtless comments or reject them based on their physical appearance. An obese child is likely to develop a poor self-image, a sense of failure, and a passive approach to life.

The emotional penalties of childhood obesity are often amplified by the media. Children's movies often denigrate or stigmatize fat people as social misfits. Social media abounds with negative judgments of overweight children, particularly girls. Unfortunately, children have few defenses against these unfair portrayals and readily internalize negative self-images.

The Star-Ledger/Saed Hindash/The Image Works

Children with obesity often develop type 2 diabetes, among other illnesses.

Identifying Childhood Obesity

How can you tell if a child is overweight or just stocky and healthy? Certainly not by just looking: guesswork can produce wrong conclusions. It takes a trained professional using the right tools to make the correct assessment.

A physician or registered dietitian nutritionist can accurately calculate a child's BMI and interpret it using a growth chart, as shown in Figure C14–1. Because body fat differs between boys and girls and changes with age, BMI-for-age percentiles are calculated for children and teens using gender-specific growth charts. Children and adolescents from the 85th to the 94th percentile on growth charts are considered *overweight*; those at the 95th percentile and above are considered *obese*.

Meet Darla and Gabby

Eight-year-old Gabriella and her worried mother Darla tell a typical story of childhood obesity, and they model some appropriate responses. Recently, a note from the school nurse explained that during a routine screening, Gabby's BMI-for-age percentile was found to be too high. The nurse is suggesting

** Reference notes are in Appendix F.*

† Obesity defined as having a body mass index in the top 5 percent of age and gender group; overweight, in the top 15 percent.

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further tests for risk factors of chronic diseases because Gabby's BMI of 22 places her in the obese weight category (the green dot in Figure C14–1). With Gabby's health in danger, Darla's concern grows: "I didn't know that a little baby fat at Gabby's age could be a threat. Both my father and his father died of diabetes-related conditions, so I'm worried."

Development of Type 2 Diabetes

Diabetes in adults often takes years to develop after obesity sets in. In children with obesity, type 2 diabetes sets in rapidly and aggressively with increasing weight, too often leading to the onset of chronic diseases at an early age.[4]

Diabetes is most often diagnosed around the age of puberty, but type 2 diabetes is rapidly encroaching on younger age groups as children grow fatter. Ethnicity (Native American, African, Asian, or Hispanic descent) increases the risk, as does having a family history of type 2 diabetes. Chapter 11 described the risks associated with type 2 diabetes and revealed its connections with cardiovascular disease (CVD).

Determining exactly how many children suffer from type 2 diabetes is tricky. A child with type 2 diabetes may lack telltale symptoms, such as glucose in the urine, ketones in the blood, weight loss, or excessive thirst and urination, so diabetes often advances undetected. Without treatment, children with diabetes are left undefended against its ravages.

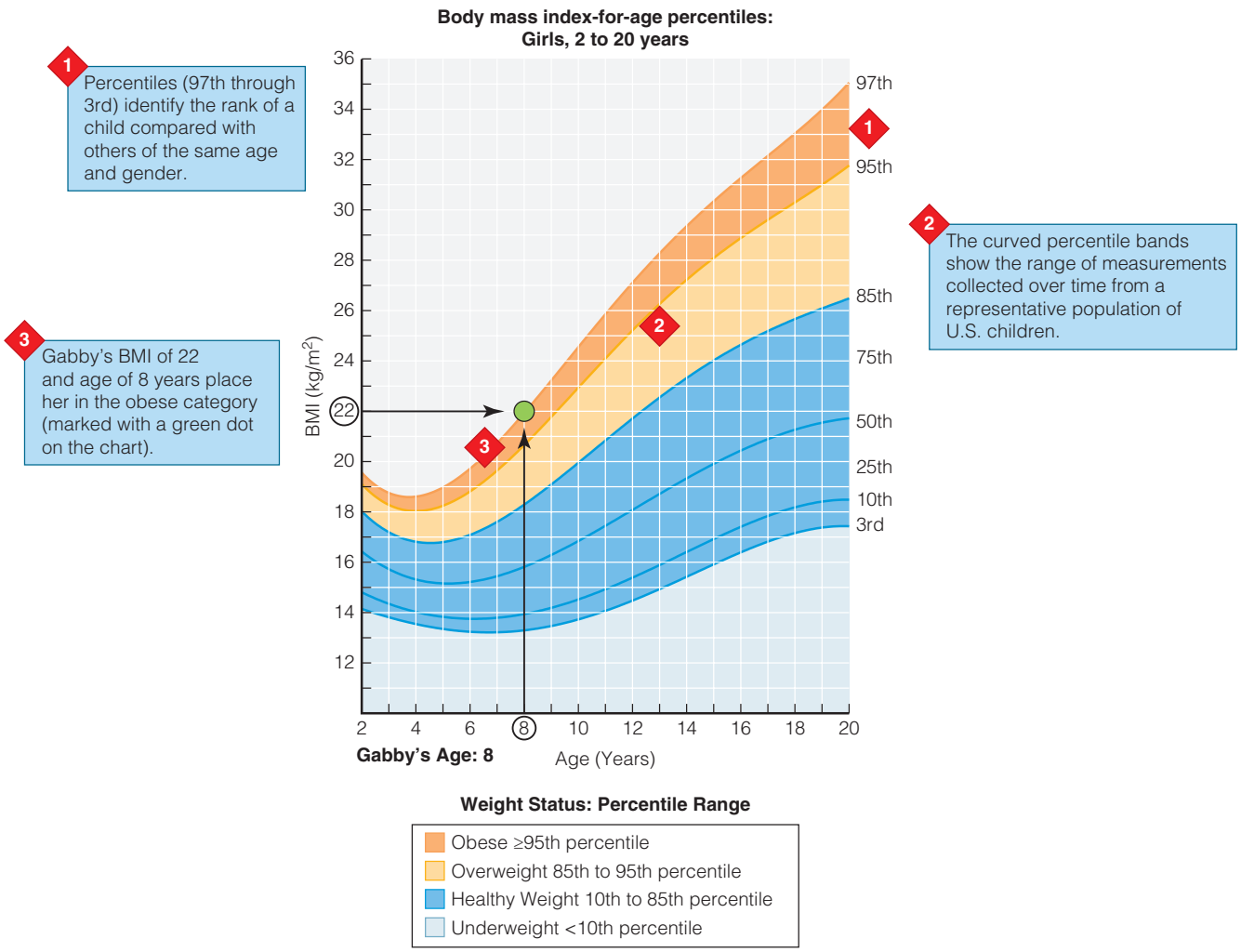
Development of Heart Disease

Atherosclerosis, first apparent as heart disease in adulthood, begins in youth. By adolescence, most children have

Figure C14–1

Assessing Body Mass Index in Children: An Example

Growth charts reflect population-wide data for children's BMI values as they age. Gabby is female, so this chart is for girls; a chart for boys is offered at the back of the book, p. E.



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formed fatty streaks in their coronary arteries. By early adulthood, the arterial lesions that make heart attacks and strokes likely have formed.

An estimated 70 percent of obese children and adolescents have at least one risk factor for CVD, such as diabetes, high blood pressure, or an abnormal lipid profile.[5] These risks are directly related with the degree of obesity—the greater the BMI, the greater the risks. In addition, adolescents who take up smoking greatly compound their risks.

High childhood BMI alone does not always predict inescapable adult heart disease. Overweight and obese youth who grow up to become normal-weight adults have average risks, and may escape that fate altogether.

The note from Gabby's school nurse prompted medical testing, including a family history, a fasting blood glucose test, a blood lipid profile, and a blood pressure test. Luckily, the results for both glucose and blood pressure are normal.

High Blood Cholesterol

Gabby's blood lipid results, however, confirm her mother's fears: her LDL cholesterol is 135—too high for optimal health. Cholesterol standards for children and adolescents are shown in Table C14–2.

As children mature into adolescents, they often choose more ultra-processed foods rich in saturated fats (pizza, burgers, snack cakes, and so forth), and their blood cholesterol levels tend to rise. Further, sedentary children and adolescents have lower HDL, higher LDL, and higher blood pressure than those who are physically active.

Family history sometimes predicts high blood cholesterol. If the parents or grandparents suffered from early heart disease, chances are that a child's blood cholesterol will be higher than average and will remain so throughout life. Diabetes, smoking, being overweight, and eating diets high in saturated and trans fats also raise the risk of preventable illnesses.

Table C14–2

Cholesterol Standards, Ages 2 to 18 Years

Disease Risk	Total Cholesterol (mg/dL)	LDL Cholesterol (mg/dL)
Acceptable	<170	<110
Borderline	170–199	110–129
High	≥200	≥130

Note: Adult values appeared in Chapter 11.

High Blood Pressure

High blood pressure in a child or adolescent is a concern—it can signal the early onset of hypertension. Childhood hypertension, left untreated, tends to worsen with time and can damage the heart and it can increase risks later in life, particularly during pregnancy.[6] Diagnosis of hypertension in children must be done by professionals who will account for age, gender, and height; simple tables of standards like the ones for adults are useless for children.

Dramatic improvements often occur when children with hypertension take up regular aerobic activity and hold their weight down as they grow taller ("grow into their weight"). Restricting sodium intake also causes an immediate drop in most children's and adolescents' blood pressures.

Obesity in Childhood Predicts Obesity in Adulthood

Unopposed, obesity often advances through childhood into adulthood, steadily worsening with age. Importantly, not every overweight child grows to be an obese adult; those who reach adulthood with healthy BMI values escape obesity's perils, a highly desirable outcome. To understand how this happens, researchers looked at two paths among overweight children, one leading to adult obesity and the other to a healthy BMI. Their results suggest that children who avoid obesity often reduce their rates of gain early in childhood, before age 5 or so.[7] Their rate of gain begins to slow or hold steady as they grow. The same thing shows up again in many adolescents—the rate of gain slows, allowing them to grow into healthy weight adults. Therefore, parents of overweight children, particularly those with obese children, should take action during early childhood and again in adolescence. These ages seem to offer critical windows of opportunity for changing a child's weight gain trajectory and helping the child to launch into adulthood with a healthy BMI.

Early Childhood Influences on Obesity

Children begin early to learn behaviors that affect their health. Parents and other caregivers have unique—once in a lifetime, really—opportunities to help children form healthy habits that pave the way to becoming healthy adults.

Calories—and Cautions

Gabby, who loves sweets, budgets her pocket money (she's saving for her own phone) to join her friends for a chocolate almond bar (250 calories) every day after school. In addition, she knows how to bake peanut butter cookies from a roll of refrigerated dough and enjoys eating two cookies each night at bedtime (another 240 calories). Gabby knows that nuts and peanut butter are better than candy for health, but she doesn't understand that the negative effects from excess calories of fat and sugar greatly outweigh the health benefits from nuts in her chocolate almond bar and cookies.

Intuitively, Darla would like to eliminate these treats. However, excessive restriction of sweets or calories can intensify cravings, contribute to eating in the absence of hunger, and spark unnecessary battles about food. Worse, children who feel deprived or hungry may begin to sneak banned foods or hide them and binge on them in secret—behaviors that often predict eating disorders. What to do?

Figure C14–2 lists frequent high-calorie snacking as a contributing factor in a child's weight gain, but good-tasting snacks and meals are important to all children. A balanced approach may be to include favorite high-calorie treats occasionally in the context of structured, nutritious, and appealing meals and snacks.

Screen Time

The less physically active children are, the more likely they are to be overweight.

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Figure C14–2

Factors Affecting Childhood Weight Gain

The more of these factors in a child's life, the greater the likelihood of unhealthy weight gain.

Food Factors
- Eating when not hungry; eating while watching TV or doing homework.
- Exposure to advertising that promotes high-calorie foods.
- Fast-food meals more than once a week.
- Frequent meals of fried or sugary foods and beverages.
- Frequent snacks consisting of high-energy foods, such as candies, cookies, crackers, fried foods, and ice cream.
- Irregular or sporadic mealtimes; missed meals.

Jose Luis Pelaez Inc./Blend Images/Getty Images

Activity/Sleep Factors
- Insufficient sleep.
- Lack of access to recreational facilities.
- Less than 20 minutes of physical activity, such as outdoor play, each day.
- More than an hour of sedentary activity, such as television, each day.

Family and Other Factors
- High birth weight.
- Family with low income.
- Not breastfed.
- Overweight family members, particularly parents.
- Tall for age.

The American Academy of Pediatrics discourages media time before two years of age, a limit of one hour per day for children ages 2 to 5, and two hours of quality media entertainment, including TV and computers, for older children to help prevent obesity.[8] However, U.S. children ages 8 to 18 spend more than seven hours *each day*, on average, engaged in screen time playing video games, watching TV, or using smart phones, tablets, or computers. For many children, screen time has largely replaced vigorous outdoor play and exercise. The more hours spent on screen time, the greater the risk of obesity (Figure C14–3).

In addition to reducing physical activity, screen time promotes food habits that foster obesity. A child paying attention to television is particularly vulnerable to the multiple food advertisements run during prime child viewing times. The ads are intended to increase the child's recognition of, preference for, and ultimately intake of, unhealthy foods and beverages. Young children cannot yet grasp the concept of advertising for profit, so the ads largely succeed.

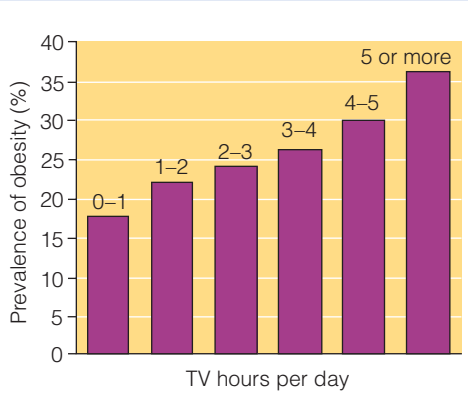
Darla recalls, "My sisters and I hit the door on Saturday mornings with sandwiches in a bag. We explored, climbed trees, played softball with our friends, jumped in puddles, and played 'tag.' But Gabby and her friends have 252 television channels to choose from, not to mention video games and the internet—no wonder they never play outside!"

Food Advertising to Children

Children influence a huge portion of the nation's food spending—up to $200 billion of their own pocket money each year and hundreds of billions more in annual family purchases of foods, beverages, and restaurant meals. To capture these dollars, the food industry loads children's TV programs, games, and social media content with advertisements for ultra-processed foods and sugar-sweetened beverages.[9] Appealing animated "spokescharacters" speak directly to children to spark their desire for sugar-coated breakfast cereals, cookies, salty chips, sugar-sweetened beverages, and fat-laden fast foods. Alternatively, food companies target children with sophisticated messages that connect the featured products with children's needs for fun, love, and social acceptance.

Figure C14–3

Prevalence of Obesity by Hours of TV per Day, Children Ages 10 to 15 Years



Source: Centers for Disease Control and Prevention, Youth Risk Behavior Survey, available at www.cdc.gov.

Food marketing agencies develop child-attracting "advergames,"—that is, games built around a manufacturer's foods and beverages that foster brand loyalty in young children. Social media platforms urge children to communicate about products and encourage them to bring their friends to the sites. Free "gifts" are popular, too, such as brand-related computer screensavers, emojis, or wallpapers, which remind children of the brand. Children respond to such persuasion by asking for and consuming more of the target foods.[10]

Some food companies have pledged to encourage a healthier lifestyle for children by devoting all advertising to healthier foods, limiting the use of child-attracting characters,

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and halting the advertisement of foods in elementary schools. However, progress is slow and many advertisements target children. The American Heart Association has taken a position in support of increased regulation, and the WHO has released guidelines for responsible food marketing to children.[11]

Preventing and Reversing Overweight in Children

Prevention and reversal of childhood obesity are national priorities, and the earlier in life the efforts begin, ideally before age 6 years, the greater the likelihood of success.[12] Parents play key roles, from the selection of early feeding practices to the shaping of eating behaviors and attitudes later on. Schools can reinforce home efforts by weaving nutrition and physical activity concepts into both core curricula and after-school programs.[13] Other actions include engaging students in daily physical activity and providing health-promoting meals, while eliminating unhealthy food and drink choices.

For a child who is healthy and overweight or mildly obese, a typical first goal is to slow the rate of gain while the child grows taller. This is preferable to weight loss because diet restriction can easily interfere with normal growth. Severe obesity or health problems create an urgent need for weight loss and surgical treatment may become an option.[14]

Gabby's pediatrician has recommended lifestyle changes to improve both her BMI and her blood lipids. Darla is motivated: "I need to take some action!" A warning to Darla: the lifestyle changes may sound easy, but implementing them may prove difficult—people's behaviors are notoriously resistant to change. Further, involving Gabby at the planning stage is critical for success.

Family Patterns

Whole families may be eating too much, dieting inappropriately, and exercising too little, and unwittingly setting unhealthy patterns for children.[15] Therefore, successful plans for stabilizing a child's weight center on whole-family lifestyle changes because when parents set patterns for family behaviors, the children will most often follow their lead and not feel singled out (see Table C14–3).

Table C14–3

Parent Strategies Against Childhood Obesity

The whole family can benefit from health-promoting habits such as these:

Meet Nutrient Needs

- Focus family meals and snacks on vegetables, fruit, and whole-grain foods.
- Include low-fat or non-fat milk or dairy products.
- Choose lean meats, poultry, fish, lentils and beans for protein.
- Encourage drinking water, not sugar-sweetened beverages, to quench thirst.
- Provide recommended amounts of 100 percent fruit juices (but no more).

Adjust Food Behaviors

- Set a good example and demonstrate positive behaviors for children to imitate.
- Adjust recipes to limit added sugars, sodium, refined grains, and calories.
- Involve children in shopping for and preparing family meals.
- Learn and serve appropriate portions for each stage of growth (see the next chapter).
- Set regular mealtimes and dine together frequently.
- Offer nutritious breakfast options, such as high-fiber whole-grain foods, low-fat milk, and fruit.
- Slow down eating and pause to enjoy table companions; stop eating when full.
- Never use foods to reward or punish behaviors.
- Obtain parent and child nutrition education or family counseling to guide family-based behavioral interventions as needed.

Plan for Physical Activity and Sleep

- Involve children in daily active outdoor play or structured physical activities, as a family or with friends.
- Limit screen time; make it a rule that TV is not watched during meals.
- Celebrate any family special event or holiday with an outdoor activity, such as a softball game, a hike, or a summer swim.
- Post a calendar of scheduled family meals and activity events where everyone can read it.
- Work with schools to institute schoolwide food and activity policies to support healthy body weights and prevent obesity.
- Insist on regular bedtimes and adequate sleep.
- Provide a quiet environment during sleeping hours, without television, video games, or other distractions.

Sources: Centers for Disease Control and Prevention, Healthy weight—It's not a diet, it's a lifestyle!: Tips for parents—Ideas to help children maintain a healthy body weight, 2014, available at www.cdc.gov; WebMD, Healthy eating habits for your child, 2014, available at www.webmd.com/children/guide/kids-healthy-eating-habits.

Lifestyle Changes First, Medical Treatments Later

A general rule for treating overweight children is "Lifestyle changes first; medications later, if at all." Children with elevated disease risk factors, such as high blood cholesterol or family histories of early heart disease, should still first be treated with diet and physical activity, but if blood cholesterol remains high after 6 to 12 months, then certain drugs may be used to lower blood cholesterol without interfering with normal growth or development. Obesity medications are not recommended for use in children and young adolescents.

Surgical options are gaining acceptance for severely obese, physically

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mature adolescents, particularly those with obesity-related health problems who have failed at previous lifestyle modifications and who can adhere to life-long changes in daily routines. Surgery can improve risk factors for CVD, such as hypertension and elevated blood lipids, and also relieve some of the other physical and psychological burdens that impairs daily quality of life for such children.[16] Intensive management of postsurgical symptoms is a must, and careful evaluation of individual symptoms and referrals to appropriate specialists can often avert serious problems (see Chapter 9).

Achievable Goals, Loving Support

To preserve a child's healthy sense of self, setting realistic, specific, and achievable goals is a first priority. Keeping a positive, upbeat attitude is another. The reverse—impossible goals and critical, blaming adults—may damage a child's developing self-image and may pave the way for eating disorders later on.

Most of all, Darla must let Gabby know that she is loved, regardless of weight. Blame is useless and can trigger emotional withdrawal of the child just when active engagement is needed most. By being supportive, Darla can help Gabby grow to become a healthy young woman with positive attitudes about food and herself. Meanwhile, she must make some changes to diet and physical activity—but exactly which ones? And how?

One good place to start may be the 5-2-1-0 method of organizing daily goals.[17] Each day, the child should have:

- 5 fruit and vegetable servings.
- 2 hours or less of screen time.
- 1 hour or more of physical activity (Table C14–4 has some tips).
- 0 sugar-sweetened beverages.

In addition, government agencies offer help to anyone with Internet access. Several reliable websites that teach parents and children practical ways to attain healthy body weights and to make healthy daily choices include:

- Choose MyPlate (www.choosemyplate.gov)
- Team Nutrition (http://teamnutrition.usda.gov)
- Let's Move: America's Move to Raise a Healthier Generation of Kids (letsmove.obamawhitehouse.archives.gov)

Diet Moderation, Not Deprivation

All children should eat appropriate amounts and kinds of foods, regardless of body weight (Chapter 14 provides many details). For the health of the heart, children older than 2 years of age benefit from the same diet recommended for older individuals—one that follows the Dietary Guidelines for Americans. Such a diet benefits blood lipids without compromising nutrient adequacy, physical growth, or neurological development.

Darla decides to set some goals for providing nutritious, good-tasting lower-calorie foods at regular mealtimes. She also recognizes that pleasure is important, too. She knows that Gabby loves her daily chocolate treat and the social opportunity it creates with her peers. To give Gabby more healthy alternatives to chocolate, Darla lets Gabby choose from among some lower-calorie treats. Gabby now enjoys 100-calorie cereal bars—almost as much as chocolate—and agrees to purchase them when she meets with her friends after school. This simple change saves 150 calories a day. Gabby loves peanut butter, so instead of her evening cookies, she opts for apple slices spread with a little peanut butter, which cuts the evening snack calories in half and eliminates added sugars without leaving her feeling hungry or deprived.

Restaurant Food and Added Sugars

A steady diet of the offerings on most "children's menus" in restaurants, such as fried chicken nuggets, hot dogs, and French fries, invites both nutrient shortages and gains of body fat. Often, better choices can be found among appetizers, soups, salads, and side selections, and the best establishments offer steamed vegetables, fresh fruit, and broiled or grilled poultry on menus for both children and adults.

U.S. children and teens consume considerably more than the recommended limit of added sugars. The Dietary Guidelines for Americans recommend limiting sugars to a maximum of 10 percent of daily calories, but on average, U.S. children and teens far exceed that amount.[18] Sugar-sweetened beverages (SSB), including soft drinks, fruit drinks, and energy or water drinks with added sugars, remain a major source of added sugar in the American diet. Research has linked SSB consumption with excess body fat in children and increased risks of chronic diseases in children and adults (see Controversy 4, p. 136). Sugary foods and beverages are best reserved for occasional treats.

Physical Activity and Sleep

Physical activity assists with controlling body weight, reducing blood pressure, raising HDL cholesterol levels, and improving self-esteem and confidence. Yet children are spending only an average of 30 minutes each day engaged in moderate physical activity, only half of the current recommendation of 60 minutes a day (see Table C14–4, p. 560). Not surprisingly, children who are more physically active have healthier cardiovascular profiles than their less active counterparts.[19] Parents can promote physical activity in youth by setting limits on sedentary screen time, providing appealing opportunities for active play, and joining in the fun.

As for sleep, research supports sufficient sleep as a factor in preventing childhood obesity.[20] When researchers deprived preschoolers of about 3 hours of their normal sleep time for a day, the children consumed about 20 percent more calories than usual, 25 percent more sugar, and 26 percent more carbohydrate. This overeating effect persisted, to a lesser degree, on the following day.[21] According to the National Sleep Foundation, about 30 percent of preschoolers

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Table C14–4

Physical Activity for Children

The Physical Activity Guidelines for Americans specifies these activities for children 6 to 17 years of age. It is important to encourage young people to participate in physical activities that are appropriate for their age, that are enjoyable, and that offer variety.

- Children and adolescents should participate in 60 minutes (1 hour) or more of physical activity daily.
- Aerobic: Most of the 60 or more minutes a day should be either moderate- or vigorous-intensity aerobic physical activity. Walking, bike riding, practicing martial arts, and dancing are examples.[a]
- Muscle-strengthening: As part of their 60 minutes of daily physical activity, children and adolescents should include muscle-strengthening physical activity on at least 3 days a week. Resistance can be provided by free weights, weight machines, other objects, or the person's own body weight.
- Bone-strengthening: As part of their 60 or more minutes of daily physical activity, children and adolescents should include bone-strengthening physical activity on at least 3 days of the week. Hopping, skipping, or jumping, and running sports such as basketball and tennis are examples.

[a]*Chapter 10 specifies activities that characterize various intensity levels.*

do not sleep enough; the organization's recommendations for sleep are presented in Table C14–5.

Darla's Efforts and Gabby's Future

"Currently, we're achieving five of our goals," says Darla, "but with Gabby's input, I've planned additional goals. First, Gabby and I are getting up a little earlier in the mornings to eat a nutritious, high-fiber breakfast. Gabby's doctor explained that breakfast is important because it can help her focus at school and contributes nutrients that may be lacking later in the day. Second, I'm packing her a healthy, tasty, lower-calorie lunch for school. It's easy to make a week's lunches ahead: I make seven whole-grain sandwiches or wraps on the weekend and freeze them. Then, each morning, I just toss one into Gabby's lunch bag with a low-fat yogurt, or low-fat cheese sticks, and water (not soda!). I'm also including some nutritious snacks that she enjoys, like baby carrots, nuts, and raisins, to sustain her energy and tempt her away from higher-calorie snacks on some days.

"Third, because we both have a sweet tooth, I keep ready-to-eat snacks of fresh fruit, like grapes and strawberries, in clear plastic containers on a refrigerator shelf at eye level. Fourth, although I work days and go to school three nights a week, we have started a new tradition: family meal night each Friday at six o'clock sharp. Gabby and I choose the menu during the week and look forward to making dinner together. Fifth, we switched from full-sized dinnerware to pretty new luncheon-sized plates and small dessert-sized bowls. Gabby is charmed with the bright colors, and we both find the small portions satisfying.

"Although my daughter's idea of a good vegetable has always been a fried potato, she's gradually opening up to trying new foods, which is goal number six. During Friday meal preparation, she's tried bites of broccoli, green beans—even squash! French fries are now just an occasional treat when we eat out. Gabby is doing great, and I'm going to keep offering her healthy new foods to try because it takes a while for a child to acquire a taste for a new food.

"Goal number seven has proved harder: we must start walking together, but when? I need to let her see that I am serious about my personal fitness, but I'm tired after work, and my studies gobble my time. To get Gabby moving after school, I've offered her credits toward her new phone in exchange for physical chores, such as raking, planting flowers, vacuuming, and washing the car—and when she gets her phone, we've agreed to get a music app and have nightly dance-offs to her favorite songs. Today though, rain or shine, tired or not, I'm going to lace up my running shoes and walk around our neighborhood with Gabby.

"I love my smart, stubborn, sturdy girl—no matter her shape or size! But I know her future will be cast by what we are doing right now. She will grow into her weight if we can hold the line with our new healthy habits. I see her potential to do great things, and what she is learning today about taking care of herself she can pass on to others—maybe to her own children."

Table C14–5

Recommended Daily Sleep: Toddlers through Teens

Sleep needs can vary, and an individual child may need an hour or two more or less than these ranges.

Toddlers	11 to 14 hours
Preschoolers	10 to 13 hours
School-Age Children	9 to 11 hours
Teenagers	8 to 10 hours

Source: Data from Sleep Duration Recommendations, National Sleep Foundation, sleepfoundation.org.

Critical Thinking

1. Who do you believe is responsible for childhood obesity? Organize a chart listing the changes a family and child can make to combat obesity. Include changes in food intake and activity patterns.
2. Draw a picture that represents the concept of energy balance that you could use as a visual aid in explaining this concept to a 10- to 12-year-old.

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15 Hunger and the Future of Food

Controversy 15 How Can We Feed Ourselves Sustainably?

Learning Objectives

After completing this chapter, you should be able to accomplish the following:

LO 15.1 Describe food insecurity in the United States.

LO 15.2 Describe the severity and extent of poverty and starvation in the developing world.

LO 15.3 Describe how extreme poverty affects nutrition status in adults and children.

LO 15.4 Describe threats to the world's food supply, including the scope of food waste and loss.

LO 15.5 Outline the steps that governments, private enterprises, and individuals can take to ensure a sustainable food supply.

LO 15.6 List the four criteria that define a sustainable diet.

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What do you think?

- With our abundant food supply, is anyone in the United States **hungry**?
- Can **one person** make a difference to the world's problems?
- Will the Earth yield **enough food** to feed human populations in the future?
- Is a meal's **monetary price** its only cost?

In 2019, more than 800 million of the world's people experienced persistent **hunger**—not the healthy appetite triggered by anticipation of a meal, but the chronic pain, illness, and weakness caused by a lack of food.[1*] That year, millions of people died of hunger-related causes, and among these deaths, one child died every 10 seconds.[2] As shocking as these numbers appear, they were about to get worse. The following years brought a pandemic viral disease, COVID-19, that imposed a **food crisis** unlike any before it, throwing millions more people into hunger and starvation. With harsh clarity, these events exposed serious vulnerabilities of the world's food supply, many of them tied to environmental degradation.

The enormity of world hunger presents daunting challenges. How can we ensure that all people have equal access to enough nutritious foods to live active, healthy lives? How can we increase food production to meet the needs of a growing human population while defending the Earth's natural resources on which life depends, such as rich soil, clean air, and pure water? The solutions depend on decisive and immediate actions in many areas, including production and distribution of food, preservation and restoration of the natural environment, and more prudent use of the food already available.

The tragedy described on these pages may seem at first to be beyond the influence of the ordinary person. What possible difference can one person make? As it turns out, quite a bit. Students in particular play a powerful role in bringing about change. Students everywhere are helping to change governments, support education, improve human predicaments, and solve environmental problems. Students offer major services to their own communities through soup kitchens, home repair programs, and childhood education. The young people of today are the world's single best hope for a better tomorrow.

"Never doubt that a small group of thoughtful, committed people can change the world. Indeed, it is the only thing that ever has."

—Margaret Mead

Ariel Skelley/DigitalVision/Getty Images

Each person's efforts can help to bring about needed change.

U.S. Food Insecurity

LO 15.1 Describe food insecurity in the United States.

In the United States today, more than 37 million people, including more than 11 million children, live in poverty and cannot obtain the food they need to maintain good health.[3] Said another way, one of every nine U.S. households lives with hunger or the threat of hunger. The numbers of Figure 15–1 (p. 564) derive from the most recent USDA data but do not reflect the increased **food insecurity** imposed by the COVID-19 pandemic, estimated to affect an additional 17 million U.S. citizens, including 7 million more children.[4] These are not just numbers but real people who are facing food shortages, many for the first time in their lives. Table 15–1 (p. 564) clarifies terms specific to U.S. hunger and Table 15–2 (p. 565) demonstrates the plight of people with food insecurity.

hunger physical discomfort, illness, weakness, or pain beyond a mild uneasy sensation arising from a prolonged involuntary lack of food; a consequence of food insecurity.

food crisis a steep decline in food availability with a proportional rise in hunger and malnutrition at the local, national, or global level.

*Reference notes are in Appendix F.

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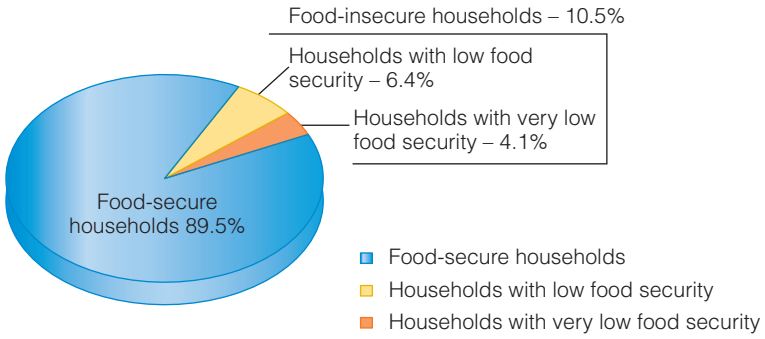
Figure 15–1

Food Security of U.S. Households, 2019

In 2019, over a tenth of U.S. households were food-insecure. In 2020, food insecurity increased due to the economic impact of COVID-19.



Source: USDA, Economic Research Service, using data from the December 2019 Current Population Survey Food Security Supplement.

Food Poverty in the United States

food poverty hunger occurring when enough food exists in an area but some of the people cannot obtain it because they lack money, are being deprived for political reasons, live in a country at war, or suffer from other problems such as underemployment, unemployment, or lack of transportation.

In developed countries, hunger results primarily from **food poverty**. People go without nourishing meals not because there is no food nearby to purchase but because they lack sufficient money to pay both for the food they need and for other necessities, such as clothing, housing, medicines, and utilities. To make ends meet, they skip costly fresh fruit, milk, seafood, lean meat, and whole grains, compromising household diet quality.[5] The likelihood of food poverty increases with problems such as abuse of alcohol and other drugs, mental or physical illness, lack of awareness of or access to available food programs, and reluctance to accept what some perceive as "government handouts" or charity.

Table 15–1

U.S. Food Security Terms

Food security exists on a continuum. Food security status is assessed in the context of specially designed questions (see Table 15–2, on the next page).

Term	Definition	Example
Food Security		
▪ **High food security**	No reported indications of food access problems or limitations.	A family that has a full refrigerator and pantry, without shortages.
▪ **Marginal food security**	One or two reported indications of problems—typically of anxiety over food sufficiency or shortage of food in the house. Little or no indication of changes in diets or food intake.	A parent who worries that the food purchased will not last until the next paycheck.
Food Insecurity		
▪ **Low food security**	Reports of reduced quality, variety, or desirability of diet. Little or no indication of reduced food intake.	A family whose diet centers on inexpensive, low-nutrient foods such as refined grains, processed meats, sweets, and fats.
▪ **Very low food security**	Reports of multiple indications of disrupted dietary patterns and reduced food intake.	A family in which one or more members have gone to bed hungry, have lost weight, or have not eaten for a whole day because they did not have enough food.

Source: United States Department of Agriculture, Economic Research Service, Definitions of food security, available at http://www.ers.usda.gov.

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Table 15–2

Food Security Questions for U.S. Households

Questions such as these help identify households that have trouble meeting their basic food needs. Households reporting two or fewer of these conditions are classified as *food secure*; those with more than two are *food insecure* (for scoring details, visit the website listed in the source note).

1. "We worried whether our food would run out before we got money to buy more." Was that often, sometimes, or never true for you in the last 12 months?
2. "The food that we bought just didn't last and we didn't have money to get more." Was that often, sometimes, or never true for you in the last 12 months?
3. "We couldn't afford to eat balanced meals." Was that often, sometimes, or never true for you in the last 12 months?
4. In the last 12 months, did you or other adults in the household ever cut the size of your meals or skip meals because there wasn't enough money for food? (Yes/No)
5. (If yes to question 4) How often did this happen—almost every month, some months but not every month, or in only 1 or 2 months?
6. In the last 12 months, did you ever eat less than you felt you should because there wasn't enough money for food? (Yes/No)
7. In the last 12 months, were you ever hungry, but didn't eat, because there wasn't enough money for food? (Yes/No)
8. In the last 12 months, did you lose weight because there wasn't enough money for food? (Yes/No)
9. In the last 12 months, did you or other adults in your household ever not eat for a whole day because there wasn't enough money for food? (Yes/No)
10. (If yes to question 9) How often did this happen—almost every month, some months but not every month, or in only 1 or 2 months?

Source: A. Coleman-Jensen, M. P. Rabbitt, C. A. Gregory, A. Singh, USDA Economic Research Service, Household Food Security in the United States in 2016, (2017), Economic Research Report *237, available at www.ers.usda.gov/publications/pub-details/?pubid=84972.*

To stretch meager food supplies, adults may skip meals or cut their portions. When desperate, they may be forced to break social rules—begging from strangers, stealing from markets, consuming pet foods, or even scavenging through garbage cans. In the latter case, the foods they find may be spoiled or contaminated and inflict dangerous foodborne illnesses that compound the harm to health from borderline malnutrition. Children in such families sometimes go hungry for entire days until the adults can obtain food.

Poverty, Obesity, and Disease Poverty and obesity often exist side by side, sometimes within the same household or even in the same person.[6] With obesity comes an increased risk of developing chronic diseases, such as diabetes and hypertension, while poverty worsens the outlook for controlling those diseases. Figure 15–2 (p. 566) illustrates the relationships among poverty, malnutrition, and obesity.

Although it sounds paradoxical that a lack of funds and obesity should coexist, some explanations lie within U.S. food availability and pricing. People living with poverty often have low-quality diets, that is, diets failing to meet the recommendations of the Dietary Guidelines for Americans.[7] A person working long hours for little pay may lack transportation to grocers selling fresh foods, which then must be prepare at a home that might lack basic cooking equipment. Instead, when the family is hungry and money and time are short, convenience stores and fast-food restaurants can fill empty bellies with good-tasting, inexpensive, but low-quality foods, such as doughnuts, snack cakes, big hamburgers, French fries, and soft drinks. Even in grocery stores, sugary artificial fruit punch costs less than fresh fruit; hotdogs and bologna sandwich meat cost less than fresh lean poultry and seafood; and refined white bread is often the cheapest on the shelf. Over time, such choices lead to overconsumption of calories, fats, salt, and sugar, while providing too few nutrients and other healthful constituents of whole foods. In short, this diet fosters obesity, nutrient insufficiencies, and chronic diseases.

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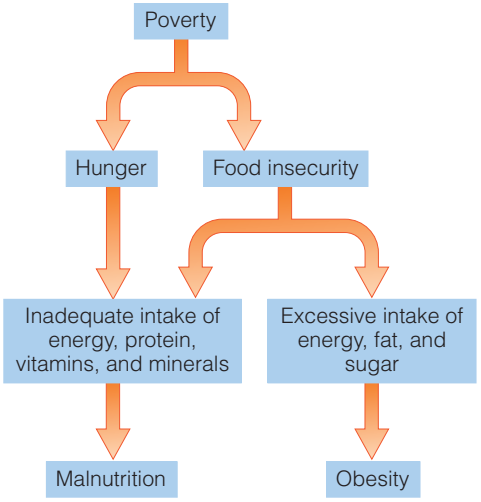
Figure 15–2

How Poverty Fosters Malnutrition and Obesity

People in poverty often experience hunger and food insecurity, which lead to malnutrition and poor health.



Key Points

- World food supplies are vulnerable to adverse events, such as pandemics and environmental degradation.
- As poverty in the United States increases, food insecurity does, too.
- Children living in food-insecure households often lack the food they need.
- People with low food security may suffer obesity alongside hunger in the same community, family, or person.

What U.S. Food Programs Address Low Food Security?

An extensive network of food assistance programs delivers life-giving food daily to tens of millions of U.S. citizens living in poverty (see Table 15–3). Such programs help to alleviate some of the food uncertainty that accompanies poverty, and can lead to more healthful dietary choices.

Nationwide Efforts The centerpiece U.S. food program is the Supplemental Nutrition Assistance Program (SNAP),* administered by the United States Department of Agriculture (USDA). It helps to feed tens of millions of citizens, about half of them children, but

Table 15–3

U.S. Federal and State Food Assistance Programs

This is a sampling of national and state programs aimed at reducing hunger in the United States.

- Commodity Supplemental Food Program
- Child and Adult Care Food Program
- Emergency Food Assistance Program
- Food Distribution Program on Indian Reservations
- National School Lunch and Breakfast Programs (see Chapter 14)
- Special Supplemental Nutrition Program for Women, Infants, and Children (WIC; see Chapter 13)
- Supplemental Nutrition Assistance Program (SNAP), formerly called the Food Stamp Program

*SNAP was formerly known as the Food Stamp Program.

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these benefits may not meet the entire cost of a health-promoting diet for all family members.[8] Eligible households receive electronic debit transfer cards that they use like cash to purchase more food and food-bearing plants and seeds than they could otherwise afford. The benefits do not extend to tobacco, cleaning items, alcohol, or other nonfood items. To help stretch the food budget, the USDA provides guidance on planning thrifty meals, together with daily menus and recipes.

SolStock/Getty Images

School breakfasts and lunches provide children in need with nourishment at little or no cost.

Community Efforts To *relieve* hunger when government programs fall short, concerned citizens in many communities work through local agencies and religious organizations to help deliver food to hungry people. National **food recovery** programs, such as Feeding America, coordinate the efforts of **food banks**, **food pantries**, **emergency kitchens**, and homeless shelters that provide food to tens of millions of people a year.*

To *eradicate* hunger, a community must do much more than provide immediate food relief to its citizens. It must also identify and root out the underlying causes of hunger by:

- identifying and concentrating on communities most affected.
- committing to ending racial and gender discrimination and disparities, which create and worsen poverty.
- strengthening and implementing U.S. programs that aim to address hunger and poverty.
- supporting policies that protect lower-wage workers and enable them to become financially secure.

To rephrase a well-known adage: If you give a man a fish, he will eat for a day. If you teach him to fish so that he can buy his own gear and bait, he will eat for a lifetime—and help to feed you, too.

Key Points

- Government programs help relieve poverty and hunger for many people.
- Communities help to build food security by eliminating the forces that cause or worsen poverty.

World Poverty and Hunger

LO 15.2 Describe the severity and extent of poverty and starvation in the developing world.

In the developing world, poverty and hunger are intense. Figure 15–3 (p. 568) identifies nations of the world that suffer most from insufficiency. Figure 15–4 (p. 569) offers a glimpse into the daily struggles to survive in such conditions. The primary problem is still food poverty, and in the hardest hit areas, the poverty is extreme.

The Staggering Statistics Grasping the severity of poverty in the developing world can be difficult, but some statistics may help. One-fifth of the world's people have no land and no possessions *at all*. They survive on less than two U.S. dollars a day, they lack water that is safe to drink, and they cannot read or write.[9] The world's "poorest poor" spend about 80 percent of all they earn on food, but still they are hungry and malnourished. The average U.S. housecat eats twice as much protein every day as one of these people, and the yearly outlay for keeping that cat is greater than that person's annual income.

Women and Children The world's poorest people are usually women and children. Many societies around the world undervalue females, providing girls with poorer diets, less or no education, and fewer opportunities than boys. Malnourished girls become

food recovery collecting wholesome surplus food for distribution to people who lack food.

food banks facilities that collect and distribute food donations to authorized organizations feeding the hungry.

food pantries community food collection programs that provide groceries to be prepared and eaten at home.

emergency kitchens programs that provide prepared meals to those who need them. *Mobile emergency kitchens* can be dispatched to wherever the need is greatest; permanent facilities are often called *soup kitchens* or *congregate meal sites*.

*For information about food pantries, food banks, and other agencies in your community, call the National Hunger Hotline: (800) GLEAN-IT.

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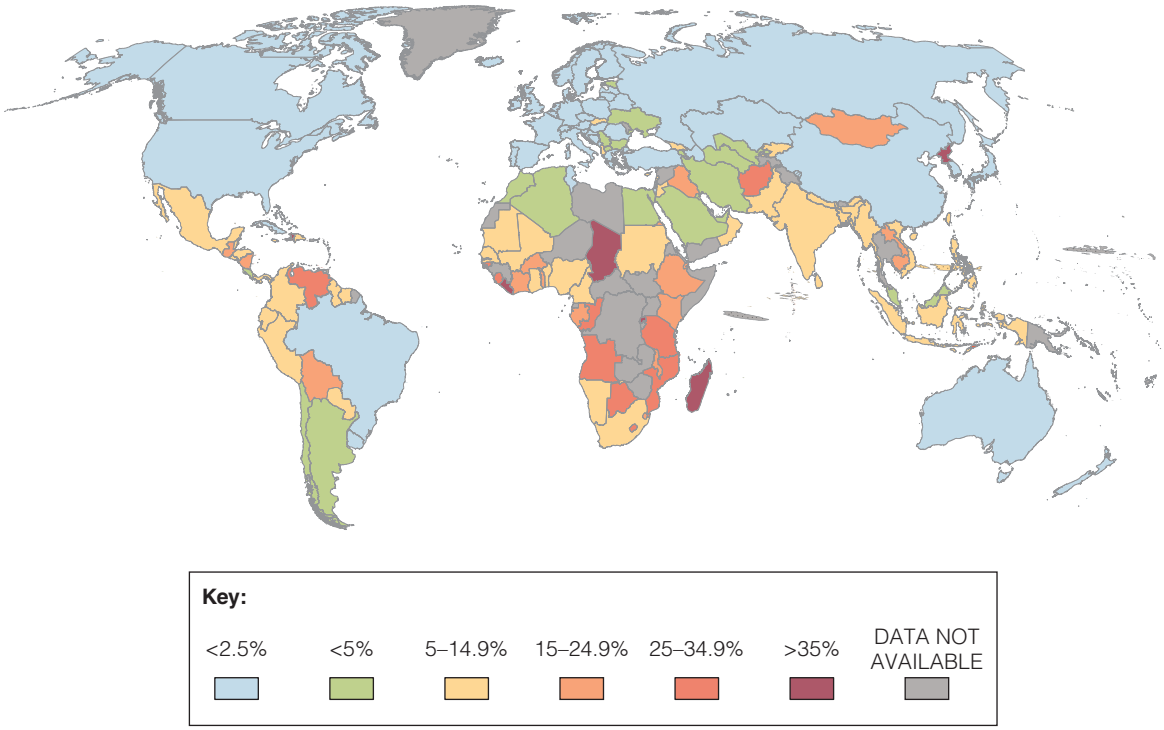
Figure 15–3

World Chronic Hunger Hot Spots

Hunger is most prevalent in the developing world.



Source: FAO, IFAD, UNICEF, WFP and WHO. 2020. The State of Food Security and Nutrition in the World 2020: Transforming food systems for affordable healthy diets. Rome, FAO, www.wfp.org/publications/state-food-security-and-nutrition-world-sofi-report-2020

malnourished mothers who give birth to low-birthweight infants—so the cycle of hunger, malnutrition, and poverty continues.[10] Those who survive simply cannot work hard enough to rise out of poverty. Most would have no borrowing power even if credit were available, and they lack the money needed to build even small businesses and incomes.

An irony of poverty is that it drives people, even those without sufficient food, to bear more children. An impoverished family depends on its children to farm the land, haul water, and care for the adults in their old age. Malnutrition, accidents, and diseases kill many young children. Therefore, parents often bear extra children to ensure that some will survive to adulthood.

Famine The most visible form of hunger is **famine**, an extreme food crisis in which multitudes of people in an area starve and die. The natural causes of famine—droughts, floods, and pests—occur, of course, but they take second place behind political and social causes.[11] For people of marginal existence, a sudden increase in food prices, a drop in workers' incomes, a change in government policy, or outbreak of war can suddenly leave millions hungry. The World Food Programme of the United Nations responds to food emergencies around the globe.

Intractable hunger and poverty remain enormous challenges to the world. In parts of Africa and the Middle East, killer famines recur whenever human conflicts converge with droughts in countries that have little food in reserve even in a peaceful year. Racial, ethnic, and religious hatred along with monetary greed often underlie the food deprivation of whole groups of people. Farmers become warriors and agricultural fields become battlegrounds while citizens starve. Food becomes a weapon when warring factions repel international famine relief, or steal it for themselves, in hopes of starving their opponents before they themselves succumb.

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Figure 15–4

Images of World Poverty

Unclean water and poor sanitation spread parasites and infectious diseases that claim many lives, particularly among the young. To help feed the family, every pair of hands is needed, even children's.

Pascal Parrot/stringer/Getty Images

SOPA Images/LightRocket/Getty Images

Key Points

- Natural causes, along with political and social causes, contribute to hunger and poverty in many developing countries.
- Women and children are generally the world's poorest poor.

The Malnutrition of Extreme Poverty

LO 15.3 Describe how extreme poverty affects nutrition status in adults and children.

In the world's most impoverished areas, persistent hunger inevitably leads to malnutrition. Multitudes of adults suffer day to day from the effects of malnutrition, but medical personnel often fail to properly diagnose these conditions. Most often, adults with malnutrition feel vaguely ill; they lose fat, muscle, and strength—they are thin and getting thinner. Their energy and enthusiasm are sapped away. With unrelenting food shortages, observable nutrient deficiency diseases develop.

Hidden Hunger—Vitamin and Mineral Deficiencies

Almost 2 billion people worldwide who consume sufficient calories still lack the variety and quality of foods needed to provide sufficient vitamins and minerals—they suffer the hidden hunger of deficiencies. Nutrient deficiency diseases emerge as body systems begin to fail. Iron, iodine, vitamin A, and zinc are most commonly lacking, and the results can be severe—learning disabilities, mental retardation, impaired immunity, blindness, incapacity to work, and premature death.

These tragedies are devastating not only to individuals but also to entire nations. When many citizens suffer from mental retardation or blindness, or are incapacitated from parasites and serious infections, or die early from malnutrition, national economies decline as productivity ceases and health-care costs soar.

The World Health Organization sets broad goals to ensure access to safe, nutritious, and sufficient food for all people at all times, and to extinguish all forms of malnutrition.[12] The COVID-19 pandemic dealt these goals a severe setback. An estimated 100 million additional people have been thrown into hunger, and many millions of them are children.

Eddie Gerald/Alamy Stock Photo

Donated food may temporarily ease hunger for some, but it is usually sporadic and insufficient to prevent nutrient deficiencies or support growth.

Key Points

- Malnutrition in adults most often appears as general thinness and loss of muscle.
- Vitamin and mineral deficiencies cause much misery worldwide.

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Table 15–4

Characteristics of Severe Acute Malnutrition (SAM) and Chronic Malnutrition

	Severe Acute Malnutrition	Chronic Malnutrition
FOOD DEPRIVATION	Current or recent lack of food	Long term lack of food quantity or quality
PHYSICAL FEATURES	Rapid weight loss Wasting (marasmus: underweight for height; small upper-arm circumference) Edema (kwashiorkor)	Stunting (short for age)

Note: Vitamin and mineral deficiencies are common in both types of malnutrition.

Figure 15–5

Arm Circumference

Measuring a child's mid-upper-arm circumference helps to assess the severity of SAM.

Florian Plaucheur/Getty Images

Consequences of Childhood Malnutrition

In contrast to malnourished adults, young impoverished and malnourished children often exhibit specific, more readily identifiable conditions. The form malnutrition takes in a hungry child depends partly on the nature of the food shortage that caused it. The most perilous condition, **severe acute malnutrition (SAM)**, occurs when food suddenly becomes unavailable, as in drought or war. Less immediately deadly but still damaging to health is **chronic malnutrition**, the unrelenting chronic food deprivation that occurs in areas where food supplies are chronically scanty and food quality is poor. Table 15–4 compares key features of SAM with those of chronic malnutrition.

SAM About 10 percent of the world's children suffer from SAM, often diagnosed by their degree of **wasting**. In the form of SAM called **marasmus**, lean and fat tissues have wasted away, burned off to provide energy to stay alive. Children with marasmus weigh too little for their height, and their upper arm circumferences measure smaller than normal (see Figure 15–5). Loose skin on the buttocks and thighs often sags down, so that these children look as if they are wearing baggy pants. They often feel cold and are obviously ill. Sadly, such children are described as just "skin and bones."

Some starving children face this threat to life by engaging in as little activity as possible—not even crying for food. Others cry inconsolably. All of the muscles, including the heart muscle, are weak and deteriorating. Enzymes are in short supply, and the GI tract lining deteriorates. Consequently, what little food is eaten often cannot be absorbed.

A less common form of SAM is **kwashiorkor**. Its distinguishing feature is edema, a fluid shift out of the blood and into the tissues that causes swelling. Loss of hair color is also common, and telltale patchy and scaly skin develops, often with sores that fail to heal. In a dangerous combination condition—**marasmic kwashiorkor**—muscles waste, but the wasting may not be apparent because the child's face, limbs, and abdomen are swollen with edema. Historically, kwashiorkor was attributed to too little protein in the diet, but today researchers recognize that the meager diets of starving children do not differ much—they all lack protein and many other nutrients.

Each year, 3.1 million children, some 6 children *every minute*, die as a result of poor nutrition. Most of them do not starve to death—they die from the diarrhea and dehydration that accompany infections, such as malaria, measles, and pneumonia.

Chronic Malnutrition A much greater number of children worldwide live with chronic malnutrition. They subsist on diluted cereal drinks that supply scant energy and even less protein; such food allows them to survive but not to thrive. Intestinal parasites drain nourishment away, too. Growth ceases because they chronically lack the nutrients required to grow normally—they develop **stunting**, and it can be irreversible.[13] They may appear normal because their bodies are normally proportioned,

severe acute malnutrition (SAM) life-threatening malnutrition caused by recent severe food restriction; characterized in children by underweight for height (wasting).

chronic malnutrition malnutrition caused by long-term food deprivation; characterized in children by short height for age (stunting).

wasting in malnutrition, thinness for height, indicating recent rapid weight loss or failure to gain, often from severe acute malnutrition.

marasmus (ma-RAZ-mus) severe malnutrition characterized by poor growth, dramatic weight loss, loss of body fat and muscle, and apathy. From the Greek word meaning "dying away."

kwashiorkor (kwash-ee-OR-core, kwash-ee-or-CORE) severe malnutrition characterized by failure to grow and develop, edema, changes in the pigmentation of hair and skin, fatty liver, anemia, and apathy.

marasmic kwashiorkor a particularly lethal form of severe acute malnutrition, in which a child's dangerously reduced lean body tissue is masked by edema, making the condition hard to detect.

stunting low height for age, indicating limited growth in children due to chronic malnutrition.

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but these stunted children may be no larger at age 4 than at 2, and they often suffer the miseries of malnutrition: frequent infections and diarrhea, and vitamin and mineral deficiencies.

Key Points

- Malnutrition in adults is widespread but is often overlooked; severe observable deficiency diseases develop as body systems fail.
- Many of the world's children suffer from wasting due to severe acute malnutrition, the deadliest form of malnutrition.
- Many more children's growth is stunted because they chronically lack the nutrients needed to grow normally.

Medical Nutrition Therapy

Loss of appetite and impaired nutrient absorption interfere with attempts to provide nourishment to a malnourished child. Even with hospital care, many children do not recover from SAM—their malnutrition proves fatal.[14] For a chance to restore metabolic balance and to resume physical growth and mental development, children with SAM need medication and nursing care for their illnesses, and skillful reintroduction of nutrients from specially formulated fluids and foods.

Children dehydrated from diarrhea need immediate rehydration. With severe fluid and mineral losses, blood pressure drops and the heartbeat weakens. The right fluid, given quickly by knowledgeable providers, can help raise the blood pressure and strengthen the heartbeat, thereby averting death. Health-care workers save millions of lives each year by reversing dehydration with **oral rehydration therapy (ORT)**. In addition, such children need adequate sanitation and a safe water supply to prevent infectious diseases.

Once medically stable, malnourished children benefit from **ready-to-use therapeutic food (RUTF)**, specially formulated food products intended to promote rapid reversal of weight loss and nutrient deficiencies.[15] Manufacturers blend smooth pastes of oil and sugars with ground peanuts, powdered milk, or other protein sources and seal premeasured single doses in sterilized pouches. RUTF are ready to eat: they need not be mixed with water (a plus in areas with unclean water sources) or prepared in any way, and the pouches resist bacterial contamination. Importantly, RUTF can be safely stored for 3 to 4 months without refrigeration, a rare luxury in many impoverished areas.

Cost is the downside of commercial RUTF products: they are expensive to buy and ship to impoverished areas. A child may need to receive daily RUTF for up to 3 months for a full recovery with a low risk of relapse. To lower the cost, RUTF pastes can often be made on site from affordable local ingredients, increasing its availability to children suffering from severe malnutrition (see Figure 15–6).

Key Points

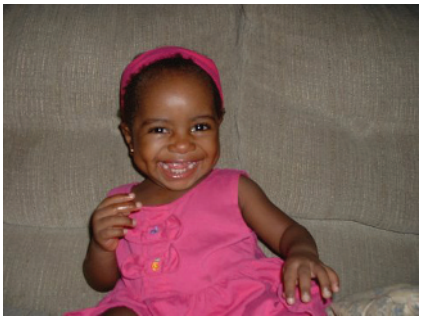
- Oral rehydration therapy and ready-to-use therapeutic foods, if properly administered, can save the lives of starving children.
- Commercial RUTF products are costly, but similar foods made from local ingredients cost less.

Figure 15–6

A Medical Nutrition Therapy Rescue

This 2-year-old girl was suffering from severe acute malnutrition. After a few weeks of medical nutrition therapy, she gained substantial weight and health along with a new appetite for living.

Courtesy Kids Against Hunger



Courtesy Kids Against Hunger

The Future Food Supply and the Environment

LO 15.4 Describe threats to the world's food supply, including the scope of food waste and loss.

Banishing hunger for all of the world's people poses two major challenges. The first is to provide enough food to meet the needs of the Earth's growing population without destroying the natural resources and conditions needed to continue producing food. The second challenge is to ensure that all people have access to enough nutritious food to live active, healthy lives.

oral rehydration therapy (ORT) oral fluid replacement for children with severe diarrhea caused by infectious disease. A simple recipe for ORT: ½ L boiled water, 4 tsp sugar, ½ tsp salt.

ready-to-use therapeutic food (RUTF) highly caloric food products offering carbohydrate, lipid, protein, and micronutrients in a soft-textured paste used to promote rapid weight gain in malnourished people, particularly children.

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By all accounts, today's total **world food supply** can feed the entire current population. For future supplies to remain ample, the world must cope with forces that threaten the production and distribution of its food.[16]

Threats to the Food Supply

Many forces compound to threaten world food production and distribution, both today and in coming decades.[17] The following list names just some of these threats.

- *Populattion growth*. Every 60 seconds, 109 people die in the world, but in that same 60 seconds 255 are born to replace them.[18] Every year, the Earth gains another 80,000,000 new residents to feed, most born in impoverished areas. By 2050, a billion additional tons of grain will be needed to feed the world's population, but such an increase may not be possible if the human population exceeds the Earth's **carrying capacity**.
- *Loss of food-producing land*. Agriculture uses about half of the world's habitable land. Food-producing land is becoming saltier, eroding, and being paved over. The world's deserts are expanding. As a result, huge natural areas are converted to food production each year
- *Fossil fuel use*. The entire food industry, from production and harvest through processing and delivery, requires 30 percent of all energy used worldwide, contributing significantly to greenhouse gas emissions. Fossil fuel use underlies much world economic growth, with associated pollution of air, soil, and water.
- *Greenhouse gases.* More than 25 percent of the world's **greenhouse gases** come directly from food systems. Agricultural sources include livestock methane production, fossil fuel use, fertilizer manufacture and application, and machinery. Other sources involve food processing, transport, packaging, and retail operations.
- *Rapid, widespread, and intensifying global climate change*. That climate change is occurring is no longer a serious academic debate. Strong evidence indicates that recent warming is largely caused by human activities, especially the release of greenhouse gases through the burning of fossil fuels."[19]

  In every world region, changes to the Earth's climate appear to be occurring much faster than predicted.[20] Many changes already set into motion are unprecedented, and some, such as sea level rise, are irreversible over centuries or millennia.
- *Increasing natural disasters.* Society's slow response to heed the warnings of scientists jeopardizes human life and livelihoods. Bouts of unsurvivable heat and humidity in some coastal subtropical areas now occur twice as often as they did in 1980.[21] Everywhere, heat waves, droughts, fires, violent storms, and floods thwart farmers and destroy crops. Arid deserts are projected to expand by 200 million acres in coming years in sub-Sarahan Africa alone. As ocean heat builds up, ocean food chains are likely to fail. Starting today, strong and sustained reductions in emissions of greenhouse gases could quickly limit some of these effects, but stabilizing global temperatures could take decades.
- *Species extinctions*. Agricultural practices are responsible for 80 percent of extinction threats. Extinctions of species are occurring at an unprecedented and accelerating rate, including extinctions of soil microbes, amphibians, birds, mammals, sea life, plants, and **pollinators** on which food supplies depend. Of an estimated 14,000 potential edible plants, only 150 to 200 are cultivated, leaving the remaining wild species at risk. Wild species may hold keys to climate change resiliency. A drought-resistant wild corn, for example, may contain genes needed to confer drought tolerance on domestic corn. Loss of wild species threatens global food security.
- *Fresh water shortages*. Agriculture uses 70 percent of the world's fresh water. Irrigation and rain wash fertilizers into waterways, polluting them and feeding algae overgrowth in lakes and oceans, causing **dead zones** that kill fish and other marine life.

  Over 2 billion people live in countries experiencing high **water stress**, particularly in the Middle East and Africa. If climate change and population growth

world food supply the quantity of food, including stores from previous harvests, available to the world's people at a given time.

carrying capacity the total number of living organisms that a given environment can support without deteriorating in quality.

greenhouse gases gases that contribute to global climate change by absorbing the sun's infrared radiation and trapping heat; examples of greenhouse gases are carbon dioxide and methane.

pollinators generally, animals or insects that transfer pollen among flowering plants resulting in fertilization, essential for producing fruits, seeds, and young plants. Examples include bees, wasps, moths, butterflies, birds, and bats.

dead zones columns of oxygen-depleted lake or ocean water in which fish and other lake and marine life cannot survive; often caused by algae blooms that occur when fertilizers and waste wash off land areas and enter natural waterways.

water stress the pressure placed on water resources by human activities such as municipal water supplies, industries, power plants, and agricultural irrigation.

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continue on their current course, water supplies in arid and semi-arid places will dry up, forcing tens of millions of people from their homelands in just a decade or two.[22] Figure 15-7 illustrates this threat.

- *Flooding and wildfires*. Crop-damaging localized heavy storms are becoming more frequent and severe, causing flash floods that erode vast acreages of topsoil from parched land. As the climate warms and areas become drier, wildfires burn hotter and sweep through millions of acres of formerly lush forests.
- *Ocean pollution, warming, and acidification*. Ocean pollution of many kinds is killing fish in large "dead zones" that expand as excessive algal growth and decay deplete dissolved oxygen in the water. Ocean water acidity increases as it dissolves excess carbon dioxide from fossil fuel emissions, threatening the acid-base balance and other environmental conditions critical to sea life.[23]

Figure 15–7

Desertification

As groundwater is used up and bare soil is eroded, deserts spread and can no longer support human life.

David Aleksandrowicz/Shutterstock.com

The global problems just described are all related, and, often, so are their solutions. To think positively, this means that any initiative people take to address one problem will help solve many others. To create **sustainable**, resilient food systems will require that everyone play a role, starting today. This chapter's Controversy discusses impacts of dietary choices. An obvious first step is to stop wasting the food already available.

Key Points

- Current food production and distribution methods are damaging the environmental systems and animal and plant species on which future food production depends.
- Future food security is currently threatened by many forces.

Food Loss and Food Waste

In a hungry world, 1.3 billion tons of nourishing food, one-third of total annual production, are lost to spoilage, pests, or waste each year, squandering not just the food but the resources spent to produce, package, and transport it.[24] More than a quarter of all the fresh water used each year is spent producing food that is ultimately wasted. Similarly, about 300 million barrels of oil are spent to produce that wasted food. If this **food loss** and **food waste** go on unchecked, food production will have to increase by at least 70 percent by the year 2050 to feed the predicted world population. This is unlikely to be achievable.

The scope of U.S. food waste is enormous (see Figure 15–8). Discarded food constitutes the single greatest component of municipal waste—even greater than yard waste or plastics. As food waste decomposes, it releases greenhouse gases that contribute to climate change. Reducing food waste would help to reduce total greenhouse gas emissions.

If even half the world's food waste and loss could be prevented, many more people could be nourished without investing a single additional acre of farmland, drop of water, or barrel of oil. To do so, science-based policies are needed to meet specific challenges in each region of the world. In areas where food loss exceeds waste, for example, safer storage, better transportation, and more effective packaging may increase the availability of wholesome food for hungry people.[25] In wealthier nations, where food waste dominates, solutions may include tightening of industrial efficiency and increasing consumer awareness.[26] Figure 15–9 (p. 574) illustrates food recovery methods for food industries, and Table 15–5 (p. 574) provides a guide for individuals who want to cut food waste and save substantial money.

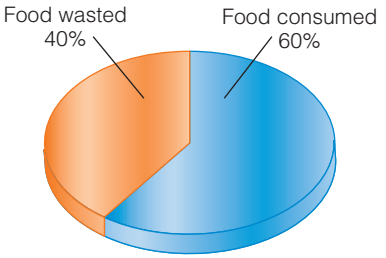
Key Points

- Food loss and waste pose challenges, and reducing them would expand the food supply without additional production inputs.
- Policies to reduce food loss and waste must address regional problems.

Figure 15–8

U.S. Food Waste—Calories Per Capita

About 40 percent of the food produced in the United States each year is wasted. On average, each person wastes a pound of food every day, easily enough to cover the needs of a hungry child.



Source: Data from National Academies of Sciences, Engineering, and Medicine, A National Strategy to Reduce Food Waste at the Consumer Level (Washington, DC: National Academies Press, 2020),doi: 10.17226/25876; USDA, Food waste FAQ, accessed December 2020, www.usda.gov /foodwaste/faqs.

sustainable able to continue indefinitely; the use of resources in ways that maintain both natural resources and human life into the future; the use of natural resources at a pace that allows the Earth to replace them and does not cause pollution to accumulate.

food loss decreases in the food supply due to agricultural or industrial inefficiencies in food production, storage, processing, and distribution.

food waste decreases in the food supply because good-quality retail or wholesale food is discarded, with or without spoilage, for example by consumers, grocery stores, or restaurants.

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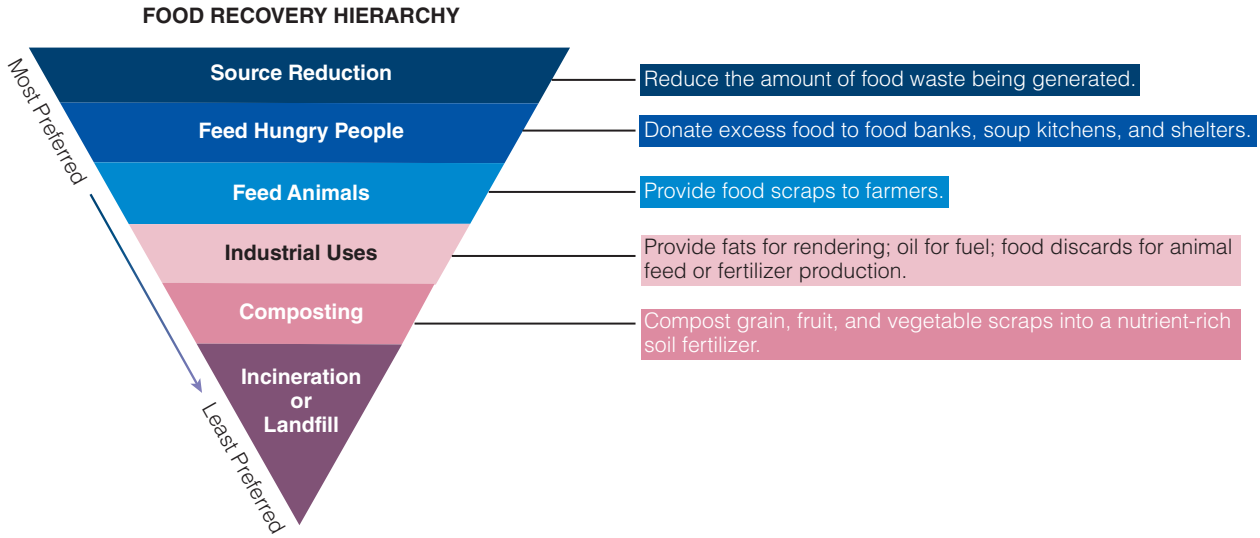
Figure 15–9

Food Recovery Hierarchy



Source: Environmental Protection Agency, Food Recovery Hierarchy, November 2019, available at www.epa.gov.

Table 15–5

How to Reduce Waste and Stretch Food Dollars

Eating well on a budget can pose a challenge, but reducing waste is a good first step. For daily menus and recipes for healthy, thrifty meals, visit the USDA Center for Nutrition Policy and Promotion: www.fns.usda.gov/cnpp.

Plan Ahead

- Plan your menus, write grocery lists, and shop only for foods on your list to avoid expensive "impulse" buying.
- Center meals on whole grains, legumes, and vegetables; use smaller quantities of meat, poultry, fish, or eggs.
- Cook large quantities when time and money allow; freeze portions for convenient later meals.
- Check for sales, and use coupons for products you need; plan meals to take advantage of sale items.

Shop Smart

- Do not shop when hungry.
- Select whole foods instead of convenience foods (raw whole potatoes instead of refrigerated prepared mashed potatoes, for example).
- Try store brands.
- Buy fresh produce in season; buy canned or frozen items at other times.
- Buy large bags of frozen items or dry goods; use as needed and store the remainder.
- Buy cereals to cook, such as oatmeal instead of ready-to-eat breakfast cereals.
- Buy fat-free dry milk; mix and refrigerate quantities needed for a day or two. Buy fresh milk by the gallon or half gallon only if you can use it up before it spoils.
- Buy less red meat. Use inexpensive cuts, such as beef chuck and pork shoulder roasts; cook with liquid long enough to make the meat tender, and add ample vegetables and grains to the meal.
- Buy whole chickens instead of pieces; ask a butcher to show you how to cut them up.
- Frequent discount stores instead of grocery stores for nonfood items such as toilet paper and detergent.

Reduce Waste

- Change your thinking from "what do I want to eat" to "what do I have available to eat." You paid for the food you have on hand, so use it up.
- Buy only the amount of fresh food that you will eat before it spoils. The Food and Drug Administration (FDA) website offers a refrigerator and freezer storage chart to estimate how long fresh foods will last (see https://www.fda.gov/media/74435/download).
- Peel away the tough outer layers from stems of asparagus and broccoli; slice and cook the tender stems or add raw to salads.
- Scrub, but don't peel, potatoes before cooking—the skins add color, texture, and nutrients to the dish.
- Before buying food in bulk, plan how to store it properly. If it spoils before use, you'll throw away your savings.
- If your "bargain" bulk food is more than you can use but is still fresh, donate it to your local food bank or homeless shelter. (It won't save you money, but it will provide a wealth of satisfaction.)
- If space permits, compost fruit and vegetable scraps to feed shrubs and other outdoor plants.

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How Can People Help?

LO 15.5 Outline the steps that governments, private enterprises, and individuals can take to ensure a sustainable food supply.

Today, the keys to solving the world's poverty, hunger, and environmental problems are within the reach of both poor and rich nations—if they muster the will to employ them. Figure 15–10 demonstrates that countries vary in their environmental impacts, and so do the actions needed to reduce them. In this country, the federal government, the states, local communities, big businesses and small companies, educators, and all individuals, including dietitian nutritionists and food service managers, have many opportunities to drive the effort forward.

Government Action

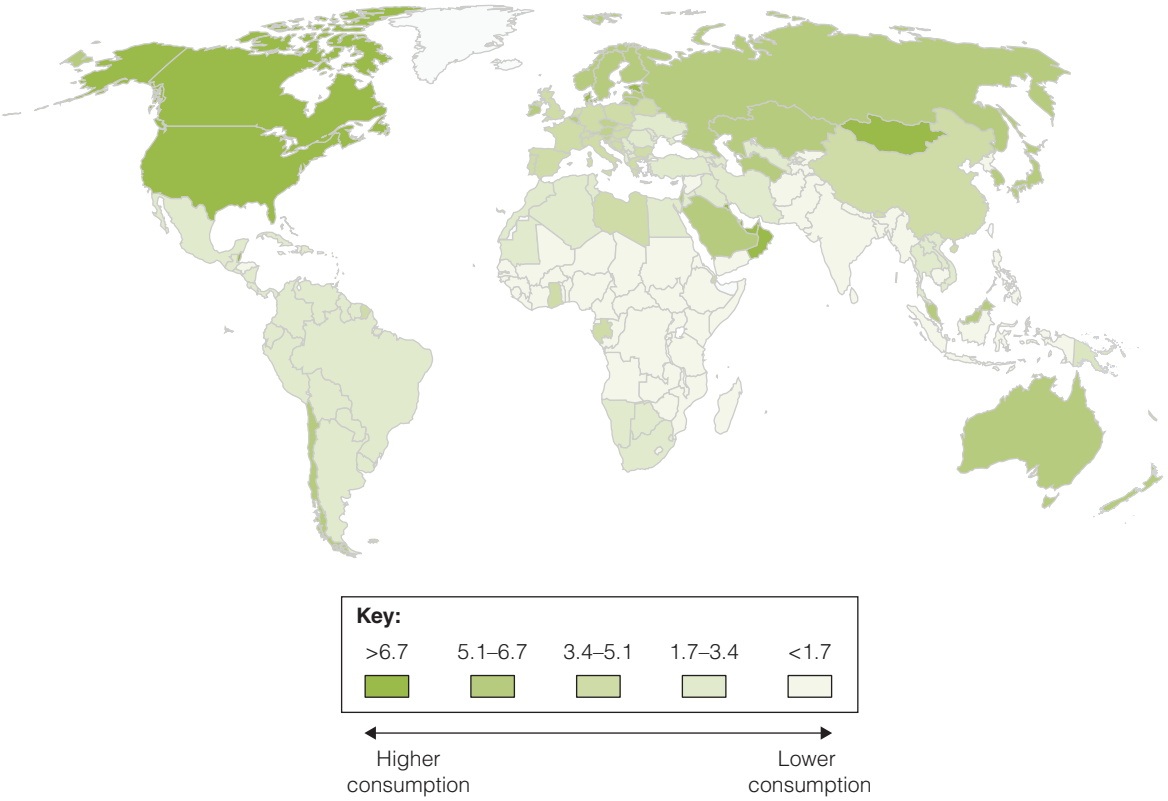
Government policies can change to promote sustainability:

- The 2015 Dietary Guidelines for Americans committee focused on sustainability as an essential element of food security for the U.S. population.[27]
- The U.S. government is currently devoting record amounts of tax dollars to subsidizing conservation programs for agricultural lands.

Figure 15–10

Ecological Footprint by Country

Each country's demands for food, materials, and energy can be expressed as a number, its "ecological footprint." These footprints vary widely around the globe, as do ecological problems and solutions. Every country has its role to play in achieving sustainability. North America is among the world's top consumers. If every country of the world used resources at a similar pace, it would take almost five planet Earths to supply them. To find out more, or to calculate your own ecological impact, visit www.footprintcalculator.org/.



Source: Global Footprint Network National Footprint Accounts, 2019 edition downloaded June 29, 2020 from http://data.footprintnetwork.org.

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Young people around the world are speaking out for change, and world leaders are listening.

- Local and state governments are banning plastic bags and straws and setting goals for 100 percent renewable energy use, among other initiatives.

However, more can be done.

Private and Community Enterprises

Businesses can help to change the nation's ways; some already have—AT&T, Prudential, and Kraft General Foods are major supporters of antihunger programs. Restaurants and other food facilities are planning for less food waste and participating in gleaning efforts by giving their fresh leftover foods to community distribution centers. Food producers are increasingly choosing sustainable methods to meet a growing demand for products produced with integrity.

Educators and Students

Educators, including nutrition educators, have a crucial role to play. The nation and world look to scientists to solve problems and innovate for the future, so a solid science curriculum is critical for students at every level of education. While still learning, students can share the knowledge they gain with families, friends, and communities and take action in their communities and beyond.

Food and Nutrition Professionals

Registered dietitian nutritionists, dietetic technicians, and food service managers can make careful, conservative choices in procurement, reuse, recycling, energy use, water use, leadership, and capital improvements both in business and in their personal lives. In addition, the Academy of Nutrition and Dietetics urges its members to work for policy changes in private and government food assistance programs, to intensify education about hunger and sustainability, and to be advocates on the local, state, and national levels to help end hunger in the United States.

Individuals

All individuals can become involved in these large trends. Many small decisions each day add up to large impacts on the environment. The Consumer's Guide sums up some of these decisions and actions. The Controversy (p. 580) explains how dietary choices can be helpful or harmful in this regard.

Paul Prescott/Shutterstock.com

"We do not inherit the earth from our ancestors, we borrow it from our children."

Ascribed to Chief Seattle, a 19th-century Native American leader

Conclusion

No part of the world is safely insulated against future food shortages. Developed countries may be the last to feel the effects, but they will ultimately go as the world goes. To limit the threat will require no less than a major shift in how the world uses its resources.

Key Point

- Government, business, educators, and individuals have opportunities to promote wise resource use at home and around the world.

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A Consumer's Guide to . . . Making "Green" Choices (Without Getting "Greenwashed")

Concerned consumers want to shop responsibly. How can they know whether label claims about environmental benefits are truthful? Like the word *natural* on food labels, appealing *green* claims, such as *eco-friendly*, have only vague definitions but may give a false impression that using the product could have far-reaching environmental benefits. Such labels amount to **greenwashing**, the shallow use of vague terms or catchy symbols to feign environmental concern and hook unsuspecting consumers.

Honest manufacturers of "green" products make a sincere effort to mitigate environmental harms from their goods. They make specific, valid claims that are easy to spot: "Made with 60 percent recycled material," for example. Such labels may also provide a website or phone number for more information. All products exert impacts on the environment, however—even the "greenest" ones.

Buying Less, Doing More

As it turns out, the most beneficial choices for the environment often involve less buying and more doing, a trade many consumers are reluctant to make. Viewed from a broader perspective, simple green lifestyle actions such as the following are not purely altruistic—they benefit your health and your budget as well as your planet:

- Ride a bike to work or classes instead of joining a gym to save time and money.
- Shop "carless," to save money on gasoline—use public transportation, bicycle, or walk; carpool when buying bulky items.
- Reduce food waste (review Table 15–5, p. 574).
- Carry clean reusable grocery sacks when biking or driving. Even clean plastic sacks from the store can be reused, and recycled when they wear out.
- Use fewer electric gadgets. Mix batters, chop vegetables, and open cans by hand.
- Eat more foods from plants, fewer from animals.

greenwashing using misleading tactics or false statements to bolster the appearance of sustainability to trick consumers into believing, falsely, that a company's products are environmentally sound choices.

Choosing Wisely

- Choose sustainable fish species (for a printable guide, visit http://www.seafoodwatch.org.)
- Choose minimally packaged items; buy bulk items or those with reusable or recyclable packaging. Packaging uses resources to produce, is bulky to store and handle, and adds substantially to the cost of goods.
- Choose reusable pans, dishes, cups, and utensils, and cloth napkins and kitchen towels rather than disposable ones to save cash and reduce trash.
- Buy reusable plastic food storage containers instead of aluminum foil, plastic wraps, or plastic storage bags. The containers quickly pay for themselves in money *not* spent on disposables.
- Choose coffee and other imported food products labeled "Fair Trade," available at many stores. *Fair Trade* indicates that businesses work toward food security, fair wages for workers, and conservation of natural resources.
- Plant a vegetable or herb garden, or join a community garden. Gardening provides physical activity and food, too. Just a few pots of herbs, lettuces, and radishes planted in a sunny spot can provide you with a tasty salad from time to time.
- Shop at farmers' markets and roadside stands for foods grown close to home. Locally grown foods require less transportation, packaging, and refrigeration than shipped foods.
- Try picking produce at local farms—it's fun, it's exercise, and it saves money, too.

Bigger Ideas

- Join organizations of like-minded people who work to make things better. You'll enjoy meeting new people and making a difference.
- Buy the most efficient appliances. ENERGY STAR (see Figure 15–11) appliances rank high for energy

Figure 15–11
ENERGY STAR

By choosing ENERGY STAR–certified products, a typical household can save almost $400 per year in energy costs. ENERGY STAR–certified new homes are designed and built to standards that deliver energy savings of about 30 percent compared with other new homes. Read more at www.energystar.gov/.

(continued)

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efficiency (www.energystar.gov/). These products save money on utility bills year after year, and their use has reduced impacts on the climate.

- Consider buying an electric car for your next vehicle. Savings on gas can make them affordable as well as sustainable.
- Buy from ENERGY STAR–certified manufacturers. They effectively prevent substantial greenhouse gases from entering the air.*
- Insulate your home to save energy and money.
- Consider using solar power, especially to heat water; check with local utilities for reimbursement grants.
- *Reduce*. Save the most money, time, and resources by consuming less. Buy less stuff. Even recycling costs energy.
- *Reuse*. If an item is necessary, go for durable, not disposable.
- *Recycle*. When the last drop of usefulness seems gone, put items into the recycling stream so they can be remade into new useful things.†

** Find ENERGY STAR–certified partners at www.epa.gov.*

Moving Ahead

Beyond daily choices, people can make the greatest impact by teaching others and by volunteering with like-minded people in their communities—in local cleanup efforts, in tree-planting projects, and in community gardens. Local food pantries and gleaners also welcome volunteers. If you take action today, you'll soon see the benefits of a "less buying and more doing" lifestyle begin to emerge.

† To help to find out where to recycle common items in your own community, try this website: www.earth911.com.

Review Questions‡

1. A consumer choosing a product that says "green" or "eco-friendly" on the label can be assured that it is safe for the environment. T F
2. Foods from plants require fewer resources to produce and are generally less expensive to buy than foods from animals. T F
3. Adopting some green lifestyle habits can
   a. save money and benefit personal fitness.
   b. reduce household trash.
   c. help preserve the environment.
   d. all of the above.

‡ Answers to Consumer's Guide review questions are in Appendix G.

What did you decide?

- With our abundant food supply, is anyone in the United States **hungry**?
- Can **one person** make a difference to the world's problems?
- Will the Earth yield **enough food** to feed human populations in the future?
- Is a meal's **monetary price** its only cost?

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Self Check

1. (LO 15.1) Which of the following is a symptom of food insecurity?
   a. You worry about gaining weight but cannot afford "diet" foods.
   b. You cannot always afford to purchase the quality or variety of nutritious foods needed for balanced meals.
   c. You shop daily to get the best prices and use coupons to stretch your budget.
   d. You buy fresh rather than frozen foods to save money.
2. (LO 15.1) Which of these items can be purchased with electronic debit transfer cards from the Supplemental Nutrition Assistance Program?
   a. hot dogs
   b. cigarettes
   c. dishwashing liquid
   d. red wine
3. (LO 15.1) The primary cause of hunger in the United States is
   a. living in food deserts.
   b. a lack of food aid.
   c. a lack of nutrition knowledge.
   d. food poverty.
4. (LO 15.2) Today, famine is most often a result of _____.
   a. global food shortage
   b. drought
   c. political and social causes such as war
   d. flood
5. (LO 15.2) The world's "poorest poor" spend about 80 percent of their income on food.
   T F
6. Malnourished girls often _____
   a. become malnourished mothers who give birth to low-birthweight infants.
   b. can escape poverty through attending school.
   c. can escape poverty through hard work.
   d. none of the above.
7. (LO 15.2) Poverty and hunger drive people to bear more children.
   T F
8. (LO 15.3) The malnutrition of poverty inflicts all of the following except _____.
   a. learning disabilities
   b. mental retardation
   c. deafness
   d. blindness
9. (LO 15.3) Most children who die of malnutrition starve to death.
   T F
10. (LO 15.3) A particularly perilous form of malnutrition, which occurs when food suddenly becomes unavailable, such as in drought or war, is called _____.
    a. severe acute malnutrition (SAM)
    b. chronic malnutrition (CM)
    c. vitamin deficiency malnutrition (VDM)
    d. pericardial abdominal malnutrition (PAM)
11. (LO 15.4) To save a starving child who has a weak heartbeat and low blood pressure, a necessary first step is to quickly administer _____.
    a. protein supplements
    b. vitamin A supplements
    c. oral rehydration therapy (ORT)
    d. ready-to-use therapeutic food (RUTF)
12. (LO 15.4) Which of the following is a threat to the future food supply?
    a. fossil fuel use
    b. water shortages
    c. ocean pollution
    d. all of the above.
13. (LO 15.4) What percentage of its food supply does the United States waste each year?
    a. 20 percent
    b. 30 percent
    c. 40 percent
    d. 50 percent
14. (LO 15.4) Reducing food waste is a great way to save money.
    T F
15. (LO 15.5) Today, the keys to solving the world's poverty, hunger, and environmental problems are within the reach of both poor and rich nations.
    T F
16. (LO 15.5) Only the federal government and large corporations have the resources necessary to make an impact in the fight against poverty, hunger, and environmental degradation.
    T F
17. (LO 15.6) A vegetarian diet requires fewer resources than those needed to produce the average meat-containing diet.
    T F
18. (LO 15.6) For global sustainability, animal protein sources must be eliminated from the human diet around the world.
    T F

Answers to these Self Check questions are in Appendix G.

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Controversy 15 How Can We Feed Ourselves Sustainably?

LO 15.6 List the four criteria that define a sustainable diet.

If predictions hold true, farmers will soon face greater pressures to feed a burgeoning world population while arable lands on which to do so are diminishing. To produce this food will require *more* land, water, and energy, and it must be accomplished while conserving the natural resources that make growing crops and animals possible into the future. What is needed is nothing short of another **green revolution**, except that this one must be doubly green: increasing the productivity of available land while protecting or restoring the environment.[1]* In addition, people today are urged to cut food waste and adopt a **sustainable diet**, to help ensure that resources are conserved as people are fed. Table C15–1 defines relevant terms.

Table C15–1
Terms

- **agroecology** a scientific discipline that combines biological, physical, and social sciences with ecological theory to develop methods for producing food sustainably.
- **aquaculture** the farming of aquatic organisms for food, generally fish, mollusks, or crustaceans, that involves such activities as feeding immature organisms, providing habitat, protecting them from predators, harvesting them, and selling or consuming them.
- **biodiversity** the variety of living organisms in a defined area, such as the world or a particular habitat or ecosystem. (The adjective form is *biodiverse*.)
- **carbon sink** a reservoir, natural or man-made, that accumulates and stores carbon from carbon dioxide in the air, lowering atmospheric carbon dioxide concentration; forests, oceans, and other natural habitats are important global carbon sinks.
- **farm share** an arrangement in which a farmer offers the public a "subscription" for an allotment of the farm's products throughout the season.
- **green revolution** a series of advances in technology made in the last century that dramatically increased farm yields worldwide. The techniques rely heavily on chemical fertilizers and pesticides, along with large farm machinery.
- **sustainable diet** a dietary pattern that meets nutrient needs and supports health at all life stages, protects natural environments and biodiversity, is economically fair and affordable, and reflects societal and cultural values including animal welfare.

The Costs of Current Food Production Methods

Producing food costs the Earth dearly. The environmental impacts of agriculture and the food industry take many forms, including water use and pollution, greenhouse gas emissions, and resource overuse. Related concerns of pressing importance but that exceed the scope of this discussion include the human costs of food production, such as child labor, exposure of farm workers to pesticides, unfair farm labor policies, and other social justice issues associated with agriculture both domestically and around the world.

Soil and Water Depletion

Earth's soil and fresh water are being depleted by today's agricultural practices. Indiscriminate land clearing (deforestation) and overuse by cattle (overgrazing) are major causes. Traditional farming methods that turn over all topsoil each season expose vast areas to the erosive forces of wind and water. Exposed topsoil blows away on the wind (see Figure C15–1) or washes into the sea with rain, leaving unfertile areas behind. Moisture rapidly evaporates from exposed soil, drying it, necessitating more frequent water applications.

Figure C15–1
Erosion and Salinity

Vast areas under the plow are exposed to erosion, and may become infertile and unusable.

Farm Images/Universal Images Group/Getty Images

Such unsustainable agriculture has already destroyed many once-fertile regions where civilizations formerly flourished. The dry, salty deserts of North Africa were once rich soils, the plowed and irrigated wheat fields that fed the mighty Roman Empire. Today, the Earth's remaining rich soil areas are suffering the same mistreatment, causing destruction on an unprecedented scale.

Hidden Costs of Food Production

Clearly, food imposes an additional cost on the environment—a constellation of inputs not simple to grasp by consumers in the grocery store and not reflected in the price tags. For example, to produce 300 calories of canned corn, more than 6,000 calories of fuel are used to produce both corn and can, and then transport it. These other "hidden" costs must be accounted for, so that our food systems can adapt to changing conditions with workable plans to feed future populations.

** Reference notes are in Appendix F.*

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Defining a Sustainable Diet

Not all diets are equally taxing on the environment, and people today can choose to eat a more sustainable diet.[2] A sustainable diet significantly reduces the environmental costs of producing food.[3] Such a diet is higher than the typical U.S. diet in legumes, whole grains, nuts, seeds, fruit, and vegetables and lower in red meats and highly processed foods. Perhaps the greatest reason to choose a sustainable diet is self-interest—its foods are highly nutritious and, with regular consumption, it can reduce the risks of chronic diseases.[4]

Sustainable diets can be diverse in their cultural characteristics, but they all have these things in common. Sustainable diets:

1. Ensure optimal human nutrition and support health at every life stage.
2. Protect the natural environment and **biodiversity**.
3. Achieve fairness in the economics of food production and purchase.
4. Reflect societal and cultural values and protect animal welfare.

These four domains often collide in ways that pose difficulties for decision-makers, particularly when considering individual foods. For example, sugar from beets provides food energy cheaply and supports farm and labor incomes. Processing beet sugar uses little water and emits minimal greenhouse gases. However, sugar fails to meet the primary sustainable criterion—sugar is low in nutrients, and high sugar intakes are linked with dental caries, suboptimal nutrient intakes, and metabolic diseases. Conversely, fresh fruit and vegetables meet the human health criterion superbly, but growing, processing, and delivering them have greater environmental and monetary costs than does beet sugar. In addition, growers and harvesters of fruits and vegetables may work in unfair conditions, problems that must be remedied to meet sustainability criteria.

The Burden of Livestock

Cattle, buffalo, and sheep are ruminants, animals with specialized stomachs that allow them to ferment and absorb energy from fibrous plants, such as grasses, hay, beet fiber (a byproduct of sugar beet processing), and other roughage that people cannot consume directly. The animals convert these fibrous materials into valuable protein that people can eat, digest, and use to build and maintain body tissues and support critical body functions.

Raising livestock, and particularly cattle, in wealthy, food-rich nations takes an enormous toll on land and energy resources. Cattle herds occupy land that once maintained itself in a richly biodiverse natural state. As too many of the same kinds of animals overgraze and trample the same land continuously, it suffers species loss, soil erosion, and water depletion. Livestock use more than 75 percent of agricultural land but produce less than 20 percent of the world's calories and less than 40 percent of the world's protein that people require.[5]

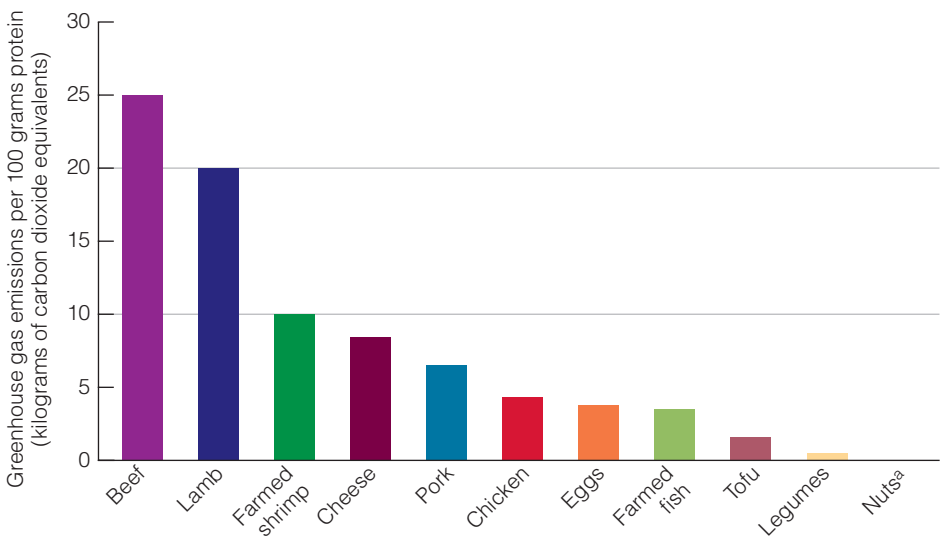
U.S. Meat Production

When animals are raised in concentrated areas such as cattle feedlots or giant hog or chicken "farms," huge masses of manure are produced in these overcrowded, factory-style farms. These masses of manure emit potent greenhouse gases into the air as they decay and, with rain, they leach into local soils and water supplies, polluting them.[6] In addition, fermentation of fibers in the ruminant digestive tract produces methane gas (a highly potent greenhouse gas) as a byproduct. The methane is released into the air, mostly from the animals' mouths.

Food animals themselves must be fed, and grain and soy are grown for them on other land. This often necessitates plowing fields and applying fertilizers, herbicides, pesticides, and irrigation.[7] Fertilizers emit nitrous oxide, a greenhouse gas with 265 times the global warming potential of carbon dioxide. In all, almost 15 percent of yearly global greenhouse gas emissions derive from livestock production. Figure C15–2 compares greenhouse gas emissions associated with various sources of dietary protein.

Figure C15–2

Greenhouse Gas Emissions from Protein Sources



[a]Nut trees remove carbon dioxide from the atmosphere and are often a carbon negative choice for consumers who replace a portion of other protein food in the diet with nuts.

Source: Data from J.Poore and T. Nemecek, Reducing food's environmental impacts through producers and consumers, Science *360 (2018): 987–992.*

(continued)

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Some Benefits of Livestock in the Developing World

In food-stressed areas of the world, where nutrients are in short supply, the benefits of ruminant animals appear to outweigh their environmental costs, at least temporarily. Ruminants help provide needed nourishment to marginally fed women and children, help stabilize local economies, provide income streams to families, and contribute to regional food security.[8] Food animals raised in these areas graze on sparse wild grasses or shrubs that grow mostly on lands unsuitable to other uses, thus converting inedible plants into milk and meat that can be consumed, sold, or traded. To find enough food, these animals must continually travel to new grazing areas, allowing previously grazed areas time to regenerate and grow.

At some point in an area's economic development, incomes rise and so does consumer demand for meat and dairy products, putting greater pressure on ecological systems. In 1999, meat and milk consumption in East Asia was about 100 pounds per person per year; by 2030, yearly consumption will have risen to almost 170 pounds per person.[9] This unsustainable global trend poses a growing threat, particularly when cattle are raised in unsustainable ways. The sheer number of cattle currently on Earth, almost 1 billion, creates a serious environmental impact that is worsening with growing numbers of herds.

Advances in Agroecology

Should plants replace all livestock in U.S. agriculture, then? Would this shift cause nutrient inadequacies in the U.S. diet? Would it achieve sustainability?[10] Answers to these and other pressing questions are emerging from studies in **agroecology**, the field of science focused on the needs of agriculture and the environment. Among their findings are the following.

The Carbon Sink Concept

Unlike animals, living plants act as a **carbon sink**, a sort of carbon storage unit. Green plants growing on land or in oceans capture and remove carbon dioxide from the air. The soil itself, left undisturbed, also indirectly sequesters carbon from atmospheric carbon dioxide. Using photosynthesis, plants incorporate carbon atoms in the carbohydrates that form their tissues and structures (this was illustrated in Figure 4–1, p. 104). Plant roots also release carbon into the soil where it nourishes microbes that form part of a vast, biodiverse community of organisms that enrich the soil, making it more hospitable to growing plants—a beneficial cycle.

Carbon sinks remain intact until some force acts to release their carbon, such as farm tilling that exposes the soil to the air and eliminates plant roots, or applying pesticides that destroy the soil's microbial and animal communities.[11] A principle of agroecology, called "no-till" farming, protects the carbon sink of soils by keeping the soil covered with plants as much as possible and disturbing root systems as little as possible during planting and harvesting of foods. The pesticide-free methods of organic farming and composting also improve soil integrity and foster its carbon sink function by protecting and feeding its living inhabitants.

The Future of Livestock

The problem with cattle may not be the cows themselves as much as the unsustainable techniques used to raise them. In fact, herds can be part of at least one solution. When farmers plant cover crops to let their fields rest, cattle herds can graze those fields, providing extra income for the farmers while keeping the fields trimmed and the soil in good condition for the next year's crops.[12] Rotating herds among various pastures and fields reduces damage, adds nutrients from manure, and allows forage plants to recover and diversify. Another way to minimize ecological impact of livestock is to capture the gases released from cattle, hog, and poultry manure before the gasses enter the atmosphere and the manure runs off into water. The recovered gases can be used as an energy source for electricity, heating, or transportation fuel on the farm.[13] Safely composted manure makes excellent fertilizer.

In truth, changing farming methods on a global scale will take more than scientific discovery. It will require large-scale commitment to adopting new practices, along with strong professional group and government support. So far, progress has been too slow to ensure a sustainable future for our food supply.

Sustainable Protein Choices

Despite advances in agroecology, today's animal protein foods are far more taxing than plant-based proteins on ecological resources and systems. Replacing just one meal of animal protein with plant protein each day can significantly reduce greenhouse emissions and water consumption, while improving diet quality for most people.[14]

Legumes

Producing a meal of beef emits 60 times more greenhouse gas than does producing a nutritionally similar meal of legumes.[15] Legumes enrich soil, too, because they capture nitrogen from the atmosphere and transfer it to nodules on their roots and ultimately to the soil (review Figure 6–18, p. 199). When farmers alternate their cash crops with deep-rooted legumes, the legume plants remove nitrogen and carbon dioxide from the atmosphere, and drive these elements deep into the soil where they stay sequestered until they are taken up and used by the next season's cash crops.

Nuts

Nuts provide valuable protein with little or no environmental impact (look again at Figure C15–2, p. 581). Groves of nut trees absorb carbon dioxide to build their massive roots, trunks, leaves, nuts, and other structures—they are carbon sinks. With the exception of water for trees grown in arid zones, nuts require few inputs, and after initial planting, they bear crops for decades with no soil disturbance.[16] As with all foods, inputs are required for harvesting, processing, and transporting the nuts to market.

Fish and Seafood

Choosing fish and seafood in place of some meat is sensible, too, because fish convert feed to edible protein with relative efficiency.[17] However, some

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species are overfished and in danger of collapse, while some others are raised or harvested unsustainably.[18] Much of the world's fish and seafood today is supplied by **aquaculture**, fish farms stocked with edible species raised in ocean cages or inland pools and fed with fishmeal. Fish meal is often made from wild fish captures, further depleting wild fish stocks, also an unsustainable practice.

Buying sustainable seafood can be tricky; strategies change as fisheries adapt and stocks recover. For up-to-date guidance on how select sustainably raised and harvested seafood, visit Seafood Watch at www.seafoodwatch.org.

Meat Alternatives

For meat-loving but concerned consumers, plant-based meat alternatives that mimic the taste and texture of burgers or chicken may ease the transition from a meat-centered dietary pattern to a plant-based diet. The manufactures claim that, compared with beef, their products require less energy, water, and land, and generate fewer greenhouse gas emissions. Some questions remain about the role of these highly processed foods as part of a healthy and sustainable diet.[19]

Good for You, Good for the Planet

Conscientious consumers are making a difference through the choices they make, and are sending clear signals to growers and manufacturers that they demand more sustainable products. New, fresh ways of thinking about how to obtain foods can also enliven the diet and enrich daily life.

Keeping Local Profits Local

Farmers selling their broccoli, carrots, and apples at city farmers markets and roadside stands often net a higher profit than when selling to wholesalers. Buying local supports farm fairness, too. Farm workers in food-insecure countries earn meager wages to grow and harvest foods shipped to wealthy nations. This keeps food prices low for wealthy consumers but traps the farm workers in inescapable poverty.

The answer is not simply to "buy local." Shopping for local foods makes sense for local economies, but *what* consumers buy rather than *where* may make the greatest environmental impact.[20] A meal of locally grown beef or chicken has a larger ecological cost than legumes or vegetables grown elsewhere and shipped. If "elsewhere" is an area known to pay fair farm wages, this choice supports social justice as well.

Buying in Season

Buying local in-season foods provides several other benefits. Off-season produce, fresh or frozen, must be refrigerated and transported often thousands of miles by jet planes, freighter ships, freight trains, or semitrucks, greatly increasing its ecological impact. In addition, families who buy homegrown produce or grow it themselves tend to eat greater quantities and varieties of fruit and vegetables, and the health benefits of this practice are well known.[21] Alternatively, through a **farm share**, consumers can buy weekly shares of a local farmer's fresh harvest in season.

Conclusion

The problems of providing food for future generations are global in scope, yet the actions of individual people lie at the heart of their solutions. To help size up your own ecological footprint, take the quiz in Table C15–2.

Do what you can to tread lightly on the Earth. Advocate for sustainability and agricultural fairness, and vote with your food purchases. Celebrate changes that are possible today by making them permanent and reap the benefits of increased health, and the promise of sustainability for future generations. Do the same with changes that become possible tomorrow and every day thereafter.

Critical Thinking

1. What do people's choices about food shopping, cooking, storage, and disposal say, if anything, about their awareness of the ecological costs of food?
2. People around the world eat red meat when finances allow it, but red meat is not a necessary component of a healthful diet and high intakes are associated with chronic diseases. What are the reasons people may have for choosing this food? What factors might help shift to more sustainable plant-based protein foods?
3. Often, people growing and harvesting food for wealthy nations are themselves impoverished. How does this unbalanced system affect food costs, profits, and health and well-being of all the involved parties, including end consumers?

Tupungato/Shutterstock.com

Farmers' markets and farm stands often increase profits for local growers.

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Table C15–2

How Big Is Your Ecological Footprint?

This quiz can help you evaluate your impact on the Earth. The higher you score, the smaller your "footprint."

At home, do you

1. Recycle everything you can: newspapers, cans, glass bottles and jars, scrap metal, and used oil?
2. Use cold water in the washer whenever possible?
3. Turn off the tap while you scrub your hands or brush your teeth?
4. Stop using small appliances (such as electric can openers) to do things you can do by hand?
5. Reuse grocery bags to line your wastebasket? Reuse or recycle bread bags, butter tubs, shipping boxes, etc.?
6. Store food in reusable containers rather than plastic wrap, disposable bags and containers, or aluminum foil?

In the yard, do you

7. Pull weeds instead of using herbicides?
8. Fertilize with manure and compost, rather than with chemical fertilizers?
9. Compost your leaves and yard debris, rather than burning them?
10. Return extra plastic and rubber pots to the plant nursery?

On vacation, do you

11. Turn down the heat and turn off the water heater before you leave?
12. Carry reusable cups, dishes, and flatware (and use them)?
13. Dispose of trash appropriately (never litter)?
14. Buy no souvenirs made from wild or endangered animals?
15. Stay on roads and trails, and not trample dunes and fragile undergrowth?

About your car, do you

16. Keep your car tuned up for maximum fuel efficiency?
17. Use public transit whenever possible?
18. Ride your bike or walk whenever possible?
19. Plan to replace your car with a more fuel-efficient model when you can?
20. Recycle your engine oil?

At school or work, do you

21. Recycle used paper?
22. Send electronic text messages, and use scrap paper for writing lists and notes?
23. Print or copy on both sides of the paper?
24. Reuse envelopes and file folders?
25. Use the stairs instead of the elevator whenever you can?

When buying, do you

26. Buy as little plastic and foam packaging as possible?
27. Buy permanent, rather than disposable, products?
28. Buy paper rather than plastic, if you must buy disposable products?
29. Buy fresh produce grown locally?
30. Buy in bulk to avoid unnecessary packaging?

In other areas, do you

31. Volunteer your time to conservation projects?
32. Encourage your family, friends, and neighbors to save resources, too?
33. Write letters to support conservation issues?

Scoring

First, give yourself 4 points for answering this quiz: ____

Then, give yourself 1 point each for all the habits you know people should adopt. This is to give you credit for your awareness, even if you haven't acted on it yet (total possible points = 33): ____

Finally, give yourself 2 more points for each habit you have adopted—or honestly would if you could (total possible points = 66): ____

Total score:

1 to 25: You are a beginner in stewardship of the Earth. Try to improve.

26 to 50: You are on your way and doing better than many consumers.

51 to 75: Good. Pat yourself on the back, and keep on improving.

76 or more: Excellent. You are a shining example for others to follow.

Source: Adapted from Conservation Action Checklist, produced by the Washington Park Zoo, Portland, Oregon, and available from Conservation International, 1015 18th St. N.W., Suite 1000, Washington, D.C. 20036: 1-800-406-2306 (website: www.conservation.org). Call or write for copies of the original or for more information.

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B World Health Organization Guidelines

C Aids to Calculations

D Food Lists for Diabetes and Weight Management

E Eating Patterns to Meet the Dietary Guidelines for Americans

F Notes

G Answers to Chapter Questions

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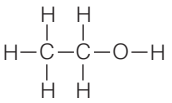
Appendix A Chemical Structures: Carbohydrates, Lipids, and Amino Acids

The chapters of this book use simplified ball-and-stick models to illustrate the structures of molecules. This appendix provides a bit more detail about the chemical notations associated with carbohydrates, lipids, amino acids, and peptides. Note that the four main types of atoms found in molecules of energy nutrients are hydrogen (H), oxygen (O), nitrogen (N), and carbon (C). Each atom has a characteristic number of bonds that it can form with other atoms:

You can count the number of bonds for each atom in the molecule (ethyl alcohol) below: each H has one bond, O has two, and each C has four:

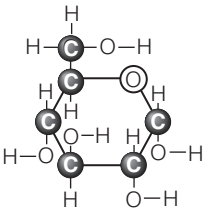


Carbohydrates

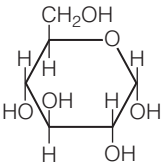
Chapter 4 described the classes of carbohydrates and demonstrated that monosaccharides can join together to form disaccharides and larger polysaccharides. Here are some of these carbohydrate structures, starting with glucose.

Glucose

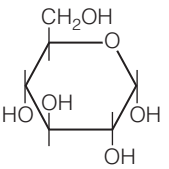
The chemical notation on the left shows all of the bonds of a glucose molecule; the center and right notations show common abbreviations, with fewer illustrated bonds and hydrogen atoms.



Glucose



Glucose



Glucose

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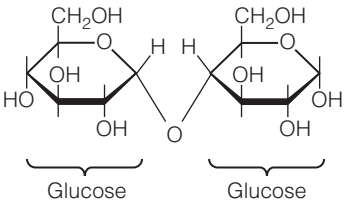
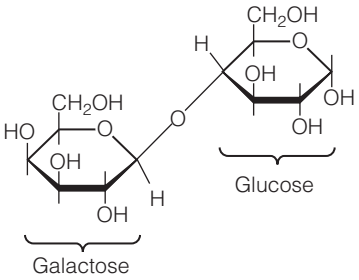
Disaccharides

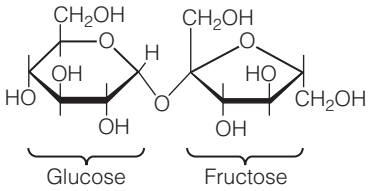
When two monosaccharides are joined together, they form a disaccharide. The abbreviated chemical notations of the three disaccharides are shown below.



Maltose



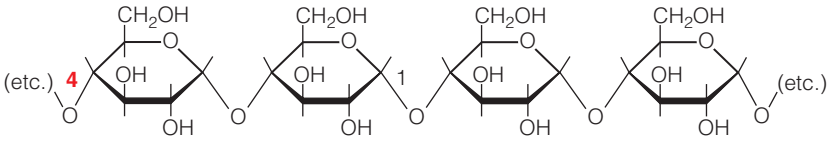
Lactose (alpha form)



Sucrose

Starches

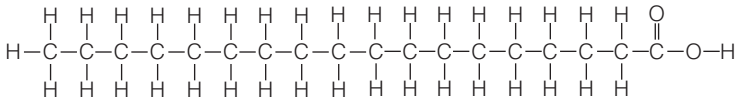
Starch, glycogen, and cellulose are all long chains of glucose molecules linked together. Some starch is branched, but the structure below is an unbranched starch.



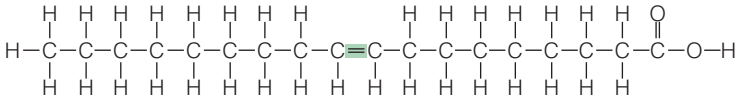
Amylose (unbranched starch)

Lipids

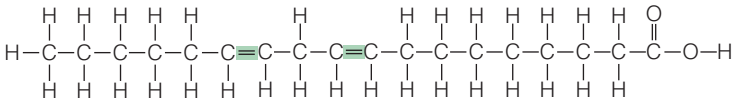
Chapter 5 notes that triglycerides are made up of three fatty acids attached to a glycerol molecule, forming the common fats in food and in the body. Below is a sampling of fatty acids; many others exist.



Stearic acid, an 18-carbon saturated fatty acid



Oleic acid, an 18-carbon monounsaturated fatty acid



Linoleic acid, an 18-carbon polyunsaturated fatty acid

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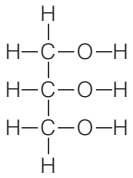
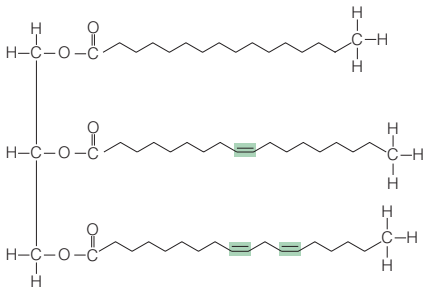
Fatty acids join with a glycerol molecule to make a triglyceride. Glycerol is shown below.



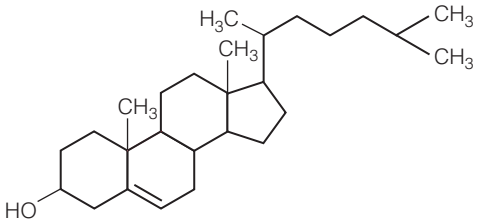
Glycerol

Most triglycerides contain a mixture of more than one type of fatty acid. The simplified notation used below makes it easy to pick out points of unsaturation (double bonds) in the fatty acid structures. Note that the top fatty acid is saturated, the one below it is monounsaturated, and the third is polyunsaturated. This notation makes it appear that all fatty acids are straight-line structures, but in real fats, points of unsaturation add kinks and bends, an effect illustrated in Figure 5–4 (p. 145).



Triglyceride

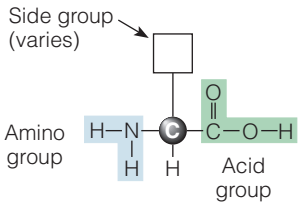
A cholesterol molecule, one of the sterols, differs greatly in structure and function from the triglycerides; a molecule of cholesterol is depicted below.



Cholesterol

Amino Acids

Proteins are formed from amino acids, as Chapter 6 made clear. All amino acids have a central carbon with an amino group (NH_2), an acid group (COOH), a hydrogen (H), and a side group attached. The side group structure (shown as a blank box) varies among amino acids.



Amino acid

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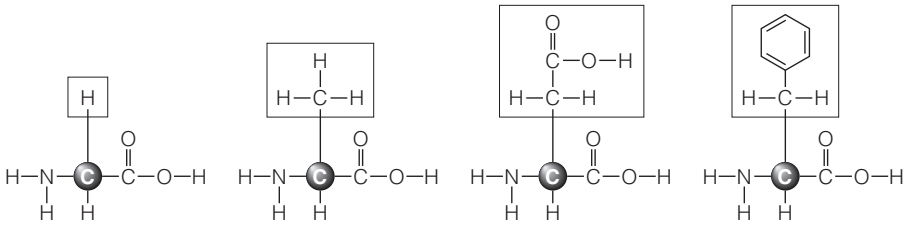
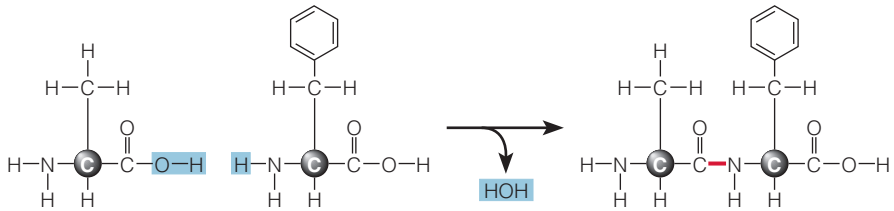
These are just a few of the amino acids (side group structures are shown in boxes to ease comparison):



Glycine Alanine Aspartic acid Phenylalanine

Making dipeptides, tripeptides, and polypeptides requires joining amino acids together with peptide bonds to make a chain.



Amino acid + Amino acid → Dipeptide

An OH group from the acid end of one amino acid and an H atom from the amino group of another join to form a molecule of water.

A peptide bond (shown in red) forms between the two amino acids, creating a dipeptide.

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Appendix B World Health Organization Guidelines

The World Health Organization (WHO) is the source of nutrition guidance for many of the world's populations. These nutrient intake recommendations set the basis for country-specific dietary guidance, and they are listed in Table B–1.

Table B–1 World Health Organization Nutrient Intake Guidelines

The WHO has assessed the relationships between diet and the development of chronic diseases. Its recommendations include:

- Energy: sufficient to support growth, physical activity, and a healthy body weight (BMI between 18.5 and 24.9) and to avoid weight gain greater than 11 lb (5 kg) during adult life
- Total fat: 15% to 35% of total energy
- Saturated fatty acids: <10% of total energy
- Polyunsaturated fatty acids: 6% to 11% of total energy
- Omega-6 polyunsaturated fatty acids: 2.5% to 9% of total energy
- Omega-3 polyunsaturated fatty acids: 0.5% to 2% of total energy
- *Trans*-fatty acids: <1% of total energy
- Total carbohydrate: 55% to 75% of total energy
- Sugars: <10% of total energy (< 5% of total energy would provide additional health benefits)
- Protein: 10% to 15% of total energy
- Cholesterol: <300 mg/day
- Salt (sodium): <5 g salt/day (<2 g sodium/day), appropriately iodized
- Fruits and vegetables: ≥400 g/day (about 1 lb)
- Total dietary fiber: >25 g/day from foods
- Physical activity: 1 hour of moderate-intensity activity, such as walking, on most days of the week

Source: Compiled from tables available at www.who.int/publications/guidelines/nutrition/en/index.html and www.who.int/nutrition/publications/guidelines/sugars_intake/en/.

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Appendix C Aids to Calculations

Mathematical problems have been worked out for you as examples at appropriate places in the text. This appendix aims to help you with the use of the metric system and with those problems not fully explained elsewhere.

Conversion Factors

Conversion factors are useful mathematical tools in everyday calculations, like the ones encountered in the study of nutrition. A conversion factor is a fraction in which the numerator (top) and the denominator (bottom) express the same quantity in different units. For example, 2.2 pounds (lb) and 1 kilogram (kg) are equivalent; they express the same weight. The conversion factor used to change pounds to kilograms or vice versa is:

$$\frac{2.2 \text{ lb}}{1 \text{ kg}} \quad \text{or} \quad \frac{1 \text{ kg}}{2.2 \text{ lb}}$$

Because both factors equal 1, measurements can be multiplied by the factor without changing the value of the measurement. Thus, the units can be changed.

The correct factor to use in a problem is the one with the unit you are seeking in the numerator (top) of the fraction. Following are some examples of problems commonly encountered in nutrition study; they illustrate the usefulness of conversion factors.

Example 1

Convert the weight of 130 pounds to kilograms:

1. Choose the conversion factor in which the unit you are seeking is on top:

$$\frac{1 \text{ kg}}{2.2 \text{ lb}}$$

2. Multiply 130 pounds by the factor:

$$130 \text{ lb} \times \frac{1 \text{ kg}}{2.2 \text{ lb}} = \frac{130 \text{ kg}}{2.2}$$

$$= 59 \text{ kg (rounded off to the nearest whole number)}$$

Example 2

How many grams (g) of saturated fat are contained in a 3-ounce (oz) hamburger?

1. Appendix A shows that a 4-ounce hamburger contains 7 grams of saturated fat. You are seeking grams of saturated fat; therefore, the conversion factor is:

$$\frac{7 \text{ g saturated fat}}{4 \text{ oz hamburger}}$$

2. Multiply 3 ounces of hamburger by the conversion factor:

$$3 \text{ oz hamburger} \times \frac{7 \text{ g saturated fat}}{4 \text{ oz hamburger}} = \frac{3 \times 7}{4} = \frac{21}{4}$$

$$= 5 \text{ g saturated fat (rounded off to the nearest whole number)}$$

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Energy Units

1 calorie* (cal) = 4.2 kilojoules
1 millijoule (MJ) = 240 cal
1 kilojoule (kJ) = 0.24 cal
1 gram (g) carbohydrate = 4 cal = 17 kJ
1 g fat = 9 cal = 37 kJ
1 g protein = 4 cal = 17 kJ
1 g alcohol = 7 cal = 29 kJ

Nutrient Unit Conversions

Sodium

To convert milligrams of sodium to grams of salt:

mg sodium ÷ 400 = g of salt

The reverse is also true:

g salt × 400 = mg sodium

Folate

To convert micrograms (μg) of synthetic folate in supplements and enriched foods to Dietary Folate Equivalents (μg DFE):

μg synthetic folate × 1.7 = μg DFE

For naturally occurring folate, assign each microgram of folate a value of 1 μg DFE:

μg folate = μg DFE

Example 3

Consider a pregnant woman who takes a supplement and eats a bowl of fortified cornflakes, two slices of fortified bread, and a cup of fortified pasta:

1. From the supplement and fortified foods, she obtains synthetic folate:

Supplement	100 μg folate
Fortified cornflakes	100 μg folate
Fortified bread	40 μg folate
Fortified pasta	60 μg folate
	300 μg folate

2. To calculate the DFE, multiply the amount of synthetic folate by 1.7:

300 μg × 1.7 = 510 μg DFE

3. Now add the naturally occurring folate from the other foods in her diet—in this example, another 90 μg of folate.

510 μg DFE + 90 μg = 600 μg DFE

Notice that if we had not converted synthetic folate from supplements and fortified foods to DFE, then this woman's intake would appear to fall short of the 600 μg recommendation for pregnancy (300 μg + 90 μg = 390 μg). But as this example shows, her intake does meet the recommendation.

Throughout this book and in the appendixes, the term calorie is used to mean kilocalorie. Thus, when converting calories to kilojoules, do not enlarge the calorie values—they are kilocalorie values.

Vitamin A

Equivalencies for vitamin A:

1 μg RAE = 1 μg retinol
= 12 μg beta-carotene
= 24 μg other vitamin A carotenoids

1 international unit (IU) = 0.3 μg retinol
= 3.6 μg beta-carotene
= 7.2 μg other vitamin A carotenoids

To convert older retinol equivalents (RE) values to micrograms retinal activity equivalents (RAE):

1 μg RE retinol = 1 μg RAE retinol
6 μg RE beta-carotene = 12 μg RAE beta-carotene
12 μg RE other vitamin A carotenoids = 24 μg RAE other vitamin A carotenoids

International Units (IU)

To convert IU to:

- μg vitamin D: divide by 40 or multiply by 0.025.
- 1 IU natural vitamin E = 0.67 mg alpha-tocopherol.
- 1 IU synthetic vitamin E = 0.45 mg alpha-tocopherol.
- vitamin A, see above.

Percentages

A percentage is a comparison between a number of items (perhaps your intake of energy) and a standard number (perhaps the number of calories recommended for your age and gender—your energy DRI). The standard number is the number you divide by. The answer you get after the division must be multiplied by 100 to be stated as a percentage (percent means "per 100").

Example 4

What percentage of the DRI recommendation for energy is your energy intake?

1. Find your energy DRI value on the inside front cover. We'll use 2,368 calories to demonstrate.
2. Total your energy intake for a day—for example, 1,200 calories.
3. Divide your calorie intake by the DRI value:

1,200 cal (your intake) ÷ 2,368 cal (DRI) = 0.507

4. Multiply your answer by 100 to state it as a percentage:

0.507 × 100 = 50.7 = 51% (rounded off to the nearest whole number)

In some problems in nutrition, the percentage may be more than 100. For example, suppose your daily intake of vitamin A

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is 3,200 and your DRI is 900 μg. Your intake as a percentage of the DRI is more than 100 percent (i.e., you consume more than 100 percent of your recommendation for vitamin A). The following calculations show your vitamin A intake as a percentage of the DRI value:

$$3{,}200 \div 900 = 3.6 \text{ (rounded)}$$

$$3.6 \times 100 = 360\% \text{ of DRI}$$

Example 5

Food labels express nutrients and energy contents of foods as percentages of the Daily Values. If a serving of a food contains 200 milligrams of calcium, for example, what percentage of the calcium Daily Value does the food provide?

1. Find the calcium Daily Value on the inside back cover, page Y.
2. Divide the milligrams of calcium in the food by the Daily Value standard:

$$\frac{200}{1{,}300} = 0.15 \text{ (rounded)}$$

3. Multiply by 100:

$$0.15 \times 100 = 15\% \text{ of the Daily Value}$$

Example 6

This example demonstrates how to calculate the percentage of fat in a day's meals:

1. Recall the general formula for finding percentages of calories from a nutrient:

(one nutrient's calories ÷ total calories) × 100 = the percentage of calories from that nutrient

2. Say a day's meals provide 1,754 calories and 54 grams of fat. First, convert fat grams to fat calories:

$$54 \text{ g} \times 9 \text{ cal per g} = 486 \text{ cal from fat}$$

3. Then apply the general formula for finding percentage of calories from fat:

(fat calories ÷ total calories) × 100 = percentage of calories from fat

$$(486 \div 1{,}754) \times 100 = 27.7 \text{ (28\%, rounded)}$$

Weights and Measures

Length

1 inch (in.) = 2.54 centimeters (cm)
1 foot (ft) = 30.48 cm
1 meter (m) = 39.37 in.

Temperature

	Celsius†	Fahrenheit	
Steam	100°C	212°F	Steam
Body temperature	37°C	98.6°F	Body temperature
Ice	0°C	32°F	Ice

- To find degrees Fahrenheit (°F) when you know degrees Celsius (°C), multiply by 9/5 and then add 32.
- To find degrees Celsius (°C) when you know degrees Fahrenheit (°F), subtract 32 and then multiply by 5/9.

Volume

Used to measure fluids or pourable dry substances such as cereal.

1 milliliter (ml) = ⅕ teaspoon or 0.034 fluid ounce or $\frac{1}{1{,}000}$ liter
1 deciliter (dL) = $\frac{1}{10}$ liter
1 teaspoon (tsp or t) = 5 ml or about 5 grams (weight) salt
1 tablespoon (tbs or T) = 3 tsp or 15 ml
1 ounce, fluid (fl oz) = 2 tbs or 30 ml
1 cup (c) = 8 fl oz or 16 tbs or 250 ml
1 quart (qt) = 32 fl oz or 4 c or 0.95 liter
1 liter (L) = 1.06 qt or 1,000 ml
1 gallon (gal) = 16 c or 4 qt or 128 fl oz or 3.79 L

Weight

1 microgram (μg or mcg) = $\frac{1}{1{,}000}$ milligram
1 milligram (mg) = 1,000 mcg or $\frac{1}{1{,}000}$ gram
1 gram (g) = 1,000 mg or $\frac{1}{1{,}000}$ kilogram
1 ounce, weight (oz) = about 28 g or $\frac{1}{16}$ pound
1 pound (lb) =16 oz (wt) or about 454 g
1 kilogram (kg) =1,000 g or 2.2 lb

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Appendix D Choose Your Foods: Food Lists for Diabetes and Weight Management

Chapter 2 introduces meal-planning systems based on food lists, and this appendix provides details from the 2019 publications *Choose Your Foods: Food Lists for Diabetes* and *Choose Your Foods: Food Lists for Weight Management.* These lists can help people with diabetes manage their blood glucose levels by controlling the amount and kinds of carbohydrates they consume. These lists can also help in planning diets for weight management by controlling calorie intake. In fact, because these lists are based on principles of good nutrition, they can be helpful in planning meals for everyone.

The Food Lists

The food lists sort foods by their proportions of carbohydrate, fat, and protein (Table D-1). Some of the food lists are organized into several groups of foods. For example, the carbohydrates include:

- Starch
- Fruits
- Milk and milk substitutes (fat-free/low-fat, reduced-fat, and whole)
- Nonstarchy vegetables
- Sweets, desserts, and other carbohydrates

The proteins include:

- Lean
- Medium-fat

Table D–1 The Food Lists

Food Lists	Typical Item/Portion Size	Carbohydrate (g)	Protein (g)	Fat (g)	Energy[a] (cal)
Carbohydrates					
Starch[b]	1 slice bread	15	3	1	80
Fruits	1 small apple	15	—	—	60
Milk and milk substitutes					
Fat-free, low-fat (1%)	1 c fat-free milk	12	8	0–3	100
Reduced-fat (2%)	1 c reduced-fat milk	12	8	5	120
Whole	1 c whole milk	12	8	8	160
Nonstarchy vegetables	½ c cooked carrots	5	2	—	25
Sweets, desserts, and other carbohydrates	5 vanilla wafers	15	Varies	Varies	Varies
Proteins					
Lean	1 oz chicken (no skin)	—	7	2	45
Medium-fat	1 oz ground beef	—	7	5	75
High-fat	1 oz pork sausage	—	7	8	100
Plant-based	½ c tofu	Varies	7	Varies	Varies
Fats	1 tsp olive oil	—	—	5	45
Alcohol	12 fl oz beer	Varies	—	—	100

[a]The energy value for each food list represents an approximate average for the group and does not reflect the precise number of grams of carbohydrate, protein, and fat. For example, a slice of bread contains 15 grams of carbohydrate (60 calories), 3 grams of protein (12 calories), and 1 gram of fat (9 calories)—rounded to 80 calories for ease in calculating. A ½ cup of nonstarchy vegetables contains 5 grams of carbohydrate (20 calories) and 2 grams of protein (8 calories), which has been rounded down to 25 calories.

[b]The Starch list includes cereals, grains and pasta, breads, crackers and snacks, starchy vegetables (such as corn, peas, and potatoes), and legumes (beans, peas, and lentils).

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- High-fat
- Plant-based

Choices

The food lists use the term *choice* to describe the specific quantity of each food within a group of similar foods. These quantities have been carefully adjusted and defined so that a choice of any food on a given list provides roughly the same amount of carbohydrate, fat, and protein—and therefore total energy. For example, a person may select 17 small grapes or ½ large grapefruit as one fruit choice and either would provide roughly 15 grams of carbohydrate and 60 calories. A whole grapefruit, however, would count as two fruit choices. In this way, a choice of any food on a list can be traded for a choice of any other food on the same list without significantly affecting the intake of energy nutrients or total calories. Note that some foods may count as choices from more than one group. For example, ½ cup black beans counts as 1 starch choice plus 1 lean protein choice.

To apply the system successfully, users must become familiar with the specified serving sizes. A convenient way to remember the serving sizes and energy values is to keep in mind a typical item from each list (review Table D-1).

The Foods on the Lists

Foods do not always appear on the food lists where you might first expect to find them. They are grouped according to their energy–nutrient contents rather than by their source (such as milks), their outward appearance, or their vitamin and mineral contents. For example, cheeses are found among the meats on the Protein lists (not on the Milk and Milk Substitutes list) because, like meats, cheeses contribute energy from protein and fat but provide negligible carbohydrate. For similar reasons, starchy vegetables such as corn, green peas, and potatoes are found on the Starch list with breads and cereals, not with the Nonstarchy Vegetables. Diet planners learn to view mixtures of foods, such as casseroles and soups, as combinations of foods from different food lists.

Managing Energy, Carbohydrate, Fat, and Sodium

The food lists help people manage their intakes of energy nutrients and total calories by paying close attention to serving sizes of each choice. People wanting to lose weight can easily monitor their energy intake. Similarly, people needing to control blood glucose levels can easily monitor their carbohydrate intake.

The food lists also alert consumers to foods that are unexpectedly high in fat. For example, the Starch list specifies which grain products contain extra fat (such as biscuits, taco shells, and bread stuffing) by marking them with a symbol to indicate extra or added fat (the symbols are presented in the margin and explained in the table keys). In addition, foods on the milk and protein lists are separated into categories based on their fat contents (review Table D-1). The Protein list also includes plant-based proteins, which tend to be rich in fiber. Notice that many of these foods (p. D-9) bear the symbol for "good source of fiber."

People wanting to control the sodium in their diets can begin by eliminating any foods bearing the "high in sodium" symbol. In most cases, the symbol identifies foods that, in one serving, provide 480 milligrams or more of sodium. Foods on the Combination Foods list that bear the symbol provide more than 600 milligrams of sodium.

= Good source of fiber: >3 g per choice

= Extra fat: +1 extra fat choice (+5 grams fat)

= Extra fat: +2 extra fat choices (+10 grams fat)

= High in sodium: ≥480 mg per choice

Planning a Healthy Diet

The number of choices from each food list is based on a person's energy and nutrient needs, which are determined by age, sex, activity levels, and other factors that influence energy needs. The timing and size of meals and snacks can be adjusted to meet an individual's lifestyle and schedule. Take time to explore the food lists in Tables D-2 through D-11. Doing so will provide valuable insights about the amounts of energy nutrients and calories that various foods provide.

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Table D–2 Starch

The Starch list includes breads, cereals, grains (including pasta and rice), starchy vegetables (such as green peas, corn, and potatoes), crackers and snacks, and legumes (beans, peas, and lentils).
1 Starch choice = 15 grams carbohydrate, 3 grams protein, 1 gram fat, and 80 calories.
NOTE: In general, one starch choice is ½ cup of cooked cereal, grain, or starchy vegetable; ⅓ cup of cooked rice or pasta; 1 ounce of bread product, such as 1 slice of bread; ¾ to 1 ounce of crackers or grain-based snack foods.

Food	Serving Size
Bread	
Bagel	¼ large (1 oz)
▲ Biscuit	1 (2½ in. across)
Breads, loaf-type	
white, whole-grain, whole-wheat, French, Italian, pumpernickel, rye, sourdough, unfrosted raisin, or cinnamon	1 slice (1 oz)
gluten-free	1 slice (1 oz)
✔ reduced-calorie, light	2 slices (1½ oz)
Breads, flat-type (flatbreads)	
chapatti	1 oz
ciabatta	1 oz
▲ Indian fry bread	⅛ piece (1 oz)
naan	3¼-in. square (1 oz)
pita (6 in. across)	½
roti	1 oz
✔ sandwich flat buns, whole-wheat	1 (1½ oz)
▲ taco shell	2 (each 5 in. across)
tortilla, corn	1 small (6 in. across)
tortilla, flour (white or whole-wheat)	1 small (6 in. across) or ⅓ large (10 in. across)
Cornbread	1¾-in. cube (1½ oz)
English muffin	½
Hot dog bun or hamburger bun	½ (¾ oz)
Pancake	1 (4 in. across, ¼ in. thick)
Roll, plain	1 small (1 oz)
▲ Stuffing, bread	⅓ cup
Waffle	1 (4-in. square or 4 in. across)
Cereals	
✔ Bran cereal (twigs, buds, or flakes)	½ cup
Cooked cereals (oats, oatmeal)	½ cup
Granola cereal	¼ cup
Grits, cooked	½ cup
Muesli	¼ cup
Puffed cereal	1½ cups
Shredded wheat, plain	½ cup
Sugar-coated cereal	½ cup
Unsweetened, ready-to-eat cereal	¾ cup
Grains (including pasta and rice)[a]	
Amaranth	⅓ cup
Barley	⅓ cup
Bran, dry	
✔ oat	¼ cup
✔ wheat	½ cup
Buckwheat	½ cup
✔ Bulgur	½ cup
Couscous	⅓ cup
Farro	½ cup
Kamut	½ cup
Kasha	½ cup
Millet	⅓ cup
Pasta, white, whole-wheat, multigrain, and gluten-free (all shapes and sizes)	⅓ cup
Polenta	⅓ cup
Quinoa, all colors	⅓ cup
Rice, white, brown, and all colors and types	⅓ cup
Sorghum	⅓ cup
Tabbouleh (tabouli), prepared	½ cup

Food	Serving Size
Wheat germ, dry	3 Tbsp
Wild rice	½ cup
Starchy Vegetables[b]	
Breadfruit	¼ cup
Cassava or dasheen	⅓ cup
Corn	
kernel	½ cup
on cob	3 ⅞- to 4½-in. piece (½ large)
✔ Hominy	¾ cup
✔ Mixed vegetables with corn or peas	1 cup
Marinara, pasta, or spaghetti sauce	½ cup
✔ Parsnips	½ cup
✔ Peas, green	½ cup
Plantain	⅓ cup
Potato	
baked with skin	¼ large (3 oz)
boiled, all kinds	½ cup or ½ medium (3 oz)
▲ hash browns	½ cup
▲ mashed, with milk and fat	½ cup
french-fried (oven-baked)[c]	1 cup (2 oz)
✔ Pumpkin puree, canned, no sugar added	¾ cup
✔ Squash, winter (acorn, butternut)	1 cup
✔ Succotash	½ cup
Yam or sweet potato, plain	½ cup (3½ oz)
Crackers and Snacks	
Crackers	
animal	8
✔ crispbread	2–5 pieces (¾ oz)
graham, 2½-in. square	3
nut and rice	10
oyster	20
▲ round, butter-type	6
saltine-type	6
▲ sandwich-style, cheese or peanut butter filling	3
whole-wheat, baked	5 regular 1½-in. squares or 10 thins (¾ oz)
Granola or snack bar	1 (¾ oz)
Matzoh (matzo), all shapes and sizes	¾ oz
Melba toast	4 (2 in. by 4 in.)
Popcorn	
✔ no fat added	3 cups
▲▲ with butter added	3 cups
Pretzels	¾ oz
Rice cakes	2 (4 in. across)
Snack chips	
baked (potato, pita)	~8 (¾ oz)
▲▲ regular (tortilla, potato)	~13 (1 oz)
Beans, Peas, and Lentils[d]	
The choices on this list count as 1 starch + 1 lean protein.	
✔ Baked beans, canned	⅓ cup
✔ Beans (black, garbanzo, kidney, lima, navy, pinto, white), cooked or canned, drained and rinsed	½ cup
✔ Lentils (any color), cooked	½ cup
✔ Peas (black-eyed and split), cooked or canned, drained and rinsed	½ cup
✔ Refried beans, canned	½ cup

[a]Serving sizes are for cooked grains, unless otherwise noted. [b]Serving sizes are for cooked vegetables. [c]Restaurant-style french fries are on the Combination Foods list (p. G-13). [d]Also found on the Protein list.

Key:

✔ = Good source of fiber: >3 g per choice

▲ = Extra fat: +5 grams fat

▲▲ = Extra fat: +10 grams fat

= High in sodium: ≥480 mg per choice

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Table D–3 Fruits

Fruit[a]

The Fruits list includes fresh, frozen, canned, and dried fruits and fruit juices.

1 Fruit choice = 15 grams carbohydrate, 0 grams protein, 0 grams fat, and 60 calories.

NOTE: In general, one fruit choice is ½ cup of unsweetened canned or frozen fruit; ½ cup of unsweetened fruit juice (100% juice); 1 small fresh fruit (about 2½ inches in diameter); 2 tablespoons of dried fruit.

Food	Serving Size
Apple, unpeeled	1 small (4 oz)
Apples, dried	4 rings
Applesauce, unsweetened	½ cup
Apricots	
canned	½ cup
dried	8 halves
fresh	4 (5½ oz total)
Asian pear, apple pear	1 medium (4 oz)
Banana	1 extra small, ~4 in. long (4 oz)
✔ Blackberries	1 cup
Blueberries	¾ cup
Cantaloupe	1 cup diced
Cherries	
sweet, canned	½ cup
sweet, fresh	12 (3½ oz)
Clementine, mandarin orange	2 small (2½ oz each)
Dates	3 small (deglet noor) or 1 large (medjool)
Dried fruits (blueberries, cherries, cranberries, mixed fruit, raisins)	2 Tbsp
Figs	
dried	3 small
✔ fresh	1½ large or 2 medium (3½ oz total)
Fruit cocktail	½ cup
Grapefruit	
fresh	½ large (5½ oz)
sections, canned	¾ cup
Grapes	17 small (3 oz total)
✔ Guava	2 small (2½ oz total)
Honeydew melon	1 cup diced
Huckleberries, fresh	1 cup
Kiwi	½ cup sliced
Kumquat	5
Loquat	¾ cup cubed
Mandarin oranges, canned	¾ cup
Mango	½ small (5½ oz) or ½ cup
Nectarine	1 medium (5½ oz)
✔ Orange	1 medium (6½ oz)
Papaya	½ (8 oz) or 1 cup cubed
Passion fruit	½ cup
Peaches	
canned	½ cup
fresh	1 medium (6 oz)
Pears	
canned	½ cup
✔ fresh	½ large (4 oz)
Pineapple	
canned	½ cup
fresh	¾ cup
Plantain, extra ripe (black), raw	¼ (2¼ oz)
Plums	
canned	½ cup
dried (prunes)	3
fresh	2 small (5 oz total)
Pomegranate seeds (arils)	½ cup
✔ Raspberries	1 cup
✔ Strawberries	1¼ cup whole
Tamarillo	1 cup
Tangerine	1 large (6 oz)
Watermelon	1¼ cups diced
Fruit Juice	
Apple juice/cider	½ cup
Fruit juice blends, 100% juice	⅓ cup
Grape juice	⅓ cup
Grapefruit juice	½ cup
Orange juice	½ cup
Pineapple juice	½ cup
Pomegranate juice	½ cup
Prune juice	⅓ cup

[a]The weights listed include skin, core, seeds, and rind.

Key:

✔ = Good source of fiber: >3 g per choice

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Table D–4 Milk and Milk Substitutes

The Milk and Milk Substitutes list groups milks and yogurts based on the amount of fat they contain. Cheeses are on the Protein list; butter, cream, and coffee creamers are on the Fats list; and ice cream and frozen yogurt are on the Sweets, Desserts, and Other Carbohydrates list.

1 Fat-free (skim) or low-fat (1%) milk choice = 12 grams carbohydrate, 8 grams protein, 0–3 grams fat, and 100 calories.

1 Reduced-fat (2%) milk choice = 12 grams carbohydrate, 8 grams protein, 5 grams fat, and 120 calories.

1 Whole-milk choice = 12 grams carbohydrate, 8 grams protein, 8 grams fat, and 160 calories.

Some milk foods and milk sustitutes contain mostly carbohydrates and fats:

1 Carbohydrate choice = 15 grams carbohydrate and about 70 calories.

1 Fat choice = 5 grams fat and 45 calories.

NOTE: In general, one milk choice is 1 cup (8 fluid ounces or ½ pint) milk or plain yogurt.

Food	Serving Size	Choices per Serving
Milk, Yogurt, and Milk Substitutes		
Fat-free (skim) or low-fat (1%) milk		
milk, buttermilk, acidophilus milk, lactose-free milk	1 cup	1 fat-free milk
evaporated milk	½ cup	1 fat-free milk
yogurt, plain or Greek; may be flavored with a sugar substitute	⅔ cup	1 fat-free milk
yogurt with fruit, low-fat	⅔ cup	1 fat-free milk + 1 carbohydrate
chocolate milk	1 cup	1 fat-free milk + 1 carbohydrate
Reduced-fat (2%) milk		
milk, acidophilus milk, kefir, lactose-free milk	1 cup	1 reduced-fat milk
yogurt, plain	⅔ cup	1 reduced-fat milk
Whole milk		
milk, buttermilk, goat's milk	1 cup	1 whole milk
evaporated milk	½ cup	1 whole milk
yogurt, plain	1 cup	1 whole milk
chocolate milk	1 cup	1 whole milk + 1 carbohydrate
Eggnog		
fat-free	⅓ cup	1 carbohydrate
low-fat	⅓ cup	1 carbohydrate + ½ fat
whole milk	⅓ cup	1 carbohydrate + 1 fat
Rice milk		
plain, fat-free	1 cup	1 carbohydrate
flavored, low-fat	1 cup	2 carbohydrates
Soy milk		
light or low-fat, plain	1 cup	½ carbohydrate + ½ fat
regular, plain	1 cup	1 carbohydrate + 1 fat
Almond milk		
plain	1 cup	½ carbohydrate + ½ fat
flavored	1 cup	1 carbohydrate + ½ fat
Coconut milk, flavored	1 cup	1 carbohydrate + 1 fat
Nondairy yogurt	1 cup	1 carbohydrate + 2 fats

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Table D–5 Nonstarchy Vegetables

The Nonstarchy Vegetables list includes vegetables that contain small amounts of carbohydrates and few calories; starchy vegetables that contain higher amounts of carbohydrate and calories are found on the Starch list (p. D-4).

1 Nonstarchy vegetable choice = 5 grams carbohydrate, 2 grams protein, 0 grams fat, and 25 calories.

NOTE: In general, one nonstarchy vegetable choice is ½ cup of cooked vegetables; 1 cup of raw vegetables; 3 cups of salad or leafy greens; and ½ cup of vegetable juice.

- Amaranth/Chinese spinach
- Artichoke and artichoke hearts (packed in water)
- Asparagus
- ✔ Baby corn
- Bamboo shoots
- Bean sprouts (alfalfa, mung, soybean)
- Beets
- Bok choy
- Broccoli and Chinese broccoli, broccolini
- ✔ Brussels sprouts
- Cabbage (green, purple, Chinese/Napa)
- ✔ Carrots
- Cauliflower
- Celery
- ✔ Chayote
- Cucumber
- Daikon
- Eggplant and Chinese eggplant
- Fennel
- Gourds (bitter melon, bottle, luffa, snake, white)
- Green beans and wax beans
- Green onions, scallions, chives
- Greens (collard, mustard, turnip)
- Hearts of palm
- ✔ Jicama
- Kale
- 🧂 Kimchi
- Kohlrabi
- Leeks
- Mushrooms, all kinds, fresh
- Nopales
- Okra
- Onions and shallots
- Pea pods
- Pea shoots/pea vines
- Peppers (bell, chile, and other kinds)
- Radishes
- Rutabaga
- Salad or leafy greens (arugula, chicory, endive, escarole, lettuce, radicchio, romaine, and watercress)
- 🧂 Sauerkraut, drained and rinsed
- Seaweed
- Spinach
- Squash (crookneck, summer/yellow)
- Snap peas and snow peas
- Swiss chard
- Tomatoes (fresh and canned)
- 🧂 Tomato sauce
- 🧂 Tomato or vegetable juice
- Turnips
- Water chestnuts
- Zucchini

Key:

✔ = Good source of fiber: >3 g per choice

🧂 = High in sodium: ≥480 mg per choice

Table D–6 Protein

The Protein list groups foods based on the amount of fat they contain.

1 protein choice = 0 grams carbohydrate, about 7 grams protein, and varying amounts of fat and calories.

NOTE: In general, one protein choice is 1 ounce meat, fish, poultry, or hard cheese; serving sizes for meat, fish, and poultry are based on cooked weight after bone and fat have been removed.

Food	Serving Size
Lean Proteins	
1 Lean protein choice = 0 grams carbohydrate, 7 grams protein, 2 grams fat, and 45 calories.	
Beef: Choice or select grades, trimmed of fat such as ground (90% or higher lean/10% or lower fat), roast (chuck, round, rump, sirloin), steak (cubed, flank, porterhouse, T-bone), tenderloin	1 oz
🧂 Beef jerky	½ oz
Cheeses with ≤3 g fat/oz	1 oz
Curd-style cheeses: cottage-type (all kinds); ricotta (fat-free or light)	¼ cup
Egg substitutes, plain	¼ cup
Egg whites	2
Fish	
fresh or frozen, such as catfish, cod, flounder, haddock, halibut, orange roughy, tilapia, trout	1 oz
salmon, fresh or canned	1 oz
sardines, canned	2 small
tuna, fresh or canned in water or oil and drained	1 oz
🧂 smoked herring or salmon (lox)	1 oz
Game: buffalo, ostrich, rabbit, venison	1 oz
Goat: chop, leg, loin	1 oz
Hot dog[a] with ≤3 g fat/oz	1 (1¾ oz)
Lamb: chop, leg, or roast	1 oz
Organ meats: heart, kidney, liver	1 oz
Pork, lean	
🧂 Canadian bacon	1 oz
🧂 ham	1 oz
rib or loin chop/roast, tenderloin	1 oz
Poultry, without skin: chicken; Cornish hen; domestic duck or goose (well drained of fat); turkey; lean ground turkey or chicken	1 oz

[a]May contain carbohydrate.

Key:

🧂 = High in sodium: ≥480 mg per choice

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Table D–6 Protein *(Continued)*

Food	Serving Size
Processed sandwich meats with ≤3 g fat/oz: chipped beef, thin-sliced deli meats, turkey ham, turkey pastrami	1 oz
Sausage with ≤3 g fat/oz	1 oz
Shellfish: clams, crab, lobster, oysters, scallops, shrimp	1 oz
Veal: cutlet (no breading), loin chop, roast	1 oz
Medium-Fat Proteins	
1 Medium-fat protein choice = 0 grams carbohydrate, 7 grams protein, 5 grams fat, and 75 calories.	
Beef trimmed of visible fat: ground beef (85% or lower lean/15% or higher fat), corned beef, meatloaf, prime cuts of beef (rib roast), short ribs, tongue	1 oz
Cheeses with 4–7 g fat/oz: feta, mozzarella, pasteurized processed cheese spread, reduced-fat cheeses	1 oz
Cheese, ricotta (regular or part-skim)	¼ cup (2 oz)
Egg	1
Fish: any fried	1 oz
Lamb: ground, rib roast	1 oz
Pork: cutlet, ground, shoulder roast	1 oz
Poultry with skin: chicken, dove, pheasant, turkey, wild duck, or goose; fried chicken	1 oz
Sausage with 4–7 g fat/oz	1 oz

Food	Serving Size
High-Fat Proteins	
1 high-fat protein choice = 0 grams carbohydrate, 7 grams protein, 8 grams fat, and 100 calories. These foods are high in saturated fat, cholesterol, and calories and may raise blood cholesterol levels if eaten on a regular basis. Try to eat 3 or fewer choices from this group per week.	
Bacon, pork	2 slices (1 oz each before cooking)
Bacon, turkey	3 slices (½ oz each before cooking)
Cheese, regular: American, blue-veined, brie, cheddar, hard goat, Monterey jack, Parmesan, queso, and Swiss	1 oz
Hot dog: beef, pork, or combination	1 (10 per 1 lb-sized package)
Hot dog: turkey or chicken	1 (10 per 1 lb-sized package)
Pork: sausage, spareribs	1 oz
Processed sandwich meats with ≥8 g fat/oz: bologna, hard salami, pastrami	1 oz
Sausage with ≥8 g fat/oz: bratwurst, chorizo, Italian, knockwurst, Polish, smoked, summer	1 oz

Food	Serving Size	Choices per Serving
Plant-Based Proteins		
Beans, peas, and lentils are also on the Starch list (p. D-4); nuts and nut butters in small amounts are on the Fats list (p. D-10). Because carbohydrate content varies among plant-based proteins, read food labels.		
1 Plant-based protein choice = variable grams carbohydrate, 7 grams protein, variable grams fat, and variable calories.		
"Bacon" strips, soy-based	2 (½ oz)	1 lean protein
Beans (black, garbanzo, kidney, lima, navy, pinto, white), cooked or canned, drained and rinsed	½ cup	1 carbohydrate + 1 lean protein
"Beef" or "sausage" crumbles, meatless	1 oz	1 lean protein
"Chicken" nuggets, soy-based	2 (1½ oz)	½ carbohydrate + 1 medium-fat protein
Edamame, shelled	½ cup	½ carbohydrate + 1 lean protein
Falafel (spiced chickpea and wheat patties)	3 patties (~2 in. across)	1 carbohydrate + 1 high-fat protein
Hot dog, meatless	1 (1½ oz)	1 lean protein
Hummus	⅓ cup	1 carbohydrate + 1 medium-fat protein
Lentils, cooked or canned, drained and rinsed	½ cup	1 carbohydrate + 1 lean protein
Meatless burger, soy-based	3 oz	½ carbohydrate + 2 lean proteins
Meatless burger, vegetable- and starch-based	1 patty (~2½ oz)	½ carbohydrate + 1 lean protein
Meatless deli slices	1 oz	1 lean protein
Mycoprotein ("chicken" tenders), meatless	2 oz	½ carbohydrate + 1 lean protein
Nut spreads: almond butter, cashew butter, peanut butter, soy nut butter	1 Tbsp	1 high-fat protein
Peas (black-eyed and split peas), cooked or canned, drained and rinsed	½ cup	1 carbohydrate + 1 lean protein
Refried beans, canned	½ cup	1 carbohydrate + 1 lean protein
"Sausage" breakfast-type patties, meatless	1 (1½ oz)	1 medium-fat protein
Soy nuts, unsalted	¾ oz	½ carbohydrate + 1 medium-fat protein
Tempeh, plain, unflavored	¼ cup (1½ oz)	1 medium-fat protein
Tofu	½ cup (4 oz)	1 medium-fat protein
Tofu, light	½ cup (4 oz)	1 lean protein

Key:

= Good source of fiber: >3 g per choice

= High in sodium: ≥480 mg per choice

= Extra fat: +5 grams fat

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Table D–7 Fats

Fats and oils have mixtures of unsaturated (polyunsaturated and monounsaturated) and saturated fats. Foods on the Fats list are grouped based on the main type of fat they contain.
1 Fat choice = 0 grams carbohydrate, 0 grams protein, 5 grams fat, and 45 calories.
NOTE: In general, one fat choice is 1 teaspoon of oil or solid fat or 1 tablespoon of salad dressing.

Food	Serving Size
Unsaturated Fats—Monounsaturated Fats	
Almond milk (unsweetened)	1 cup
Avocado, medium	2 Tbsp (1 oz)
Nut butters (*trans* fat-free): almond butter, cashew butter, peanut butter (smooth or crunchy)	1½ tsp
Nuts	
almonds	6 nuts
Brazil	2 nuts
cashews	6 nuts
filberts (hazelnuts)	5 nuts
macadamia	3 nuts
mixed (50% peanuts)	6 nuts
peanuts	10 nuts
pecans	4 halves
pistachios	16 nuts
Oil: canola, olive, peanut	1 tsp
Olives	
black (ripe)	8
green, stuffed	10 large
Spread, plant stanol ester-type	
light	1 Tbsp
regular	2 tsp
Unsaturated Fats—Polyunsaturated Fats	
Margarine	
lower-fat spread (30–50% vegetable oil, *trans* fat-free)	1 Tbsp
stick, tub, or squeeze (*trans* fat-free)	1 tsp
Mayonnaise	
reduced-fat	1 Tbsp
regular	1 tsp
Mayonnaise-style salad dressing	
reduced-fat	1 Tbsp
regular	2 tsp
Nuts	
pignolia (pine nuts)	1 Tbsp
walnuts, English	4 halves
Oil: corn, cottonseed, flaxseed, grapeseed, safflower, soybean, sunflower	1 tsp
Salad dressing	
reduced-fat[a]	2 Tbsp
regular	1 Tbsp
Seeds	
flaxseed, ground	1½ Tbsp
pumpkin, sesame, sunflower	1 Tbsp
Tahini or sesame paste	2 tsp
Saturated Fats	
Bacon, cooked, regular or turkey	1 slice
Butter	
reduced-fat	1 Tbsp
stick	1 tsp
whipped	2 tsp
Butter blends made with oil	
reduced-fat or light	1 Tbsp
regular	1½ tsp
Chitterlings, boiled (chitlins)	2 Tbsp (½ oz)
Coconut, sweetened, shredded	2 Tbsp
Coconut milk, canned, thick	
light	⅓ cup
regular	1½ Tbsp
Coconut milk beverage (thin), unsweetened	1 cup
Cream	
half-and-half	2 Tbsp
heavy	1 Tbsp
light	1½ Tbsp
whipped	2 Tbsp
Cream cheese	
reduced-fat	1½ Tbsp (¾ oz)
regular	1 Tbsp (½ oz)
Lard	1 tsp
Oil: coconut, palm, palm kernel	1 tsp
Salt pork	¼ oz
Shortening, solid	1 tsp
Sour cream	
reduced-fat or light	3 Tbsp
regular	2 Tbsp

[a]May contain carbohydrate.

Table D–8 Combination Foods

Many foods—such as casseroles, sandwiches, frozen meals, and fast foods—are made from multiple ingredients. Because combination foods do not fit into any one choice list, this list provides some typical examples.

Food	Serving Size	Choices per Serving
Main Dishes/Entrees		
Bowl, vegetarian (vegetable, tofu, rice)	8–10 oz	3 carbohydrates + 1 lean protein + 1 fat
Bowl with chicken or beef and rice	8–10 oz	2 carbohydrates + 2 lean proteins + 1 fat
Chicken		
breast, breaded and fried[a]	1 (~7 oz)	1 carbohydrate + 6 medium-fat proteins
drumstick, breaded and fried[a]	1 (~2½ oz)	½ carbohydrate + 2 medium-fat proteins
nuggets or tenders	6 (~3½ oz)	1 carbohydrate + 2 medium-fat proteins + 1 fat
thigh, breaded and fried[a]	1 (~5 oz)	1 carbohydrate + 3 medium-fat proteins + 2 fats
wing, breaded and fried[a]	1 wing (~2 oz)	½ carbohydrate + 2 medium-fat proteins
Casserole-type entrees (tuna noodle, lasagna, spaghetti with meatballs, chili with beans, macaroni and cheese)	1 cup (8 oz)	2 carbohydrates + 2 medium-fat proteins
Pizza		
cheese/vegetarian, thin crust	¼ of a 12-in. pizza (4½–5 oz)	2 carbohydrates + 2 medium-fat proteins
meat topping, thin crust	¼ of a 12-in. pizza (5 oz)	2 carbohydrates + 2 medium-fat proteins + 1½ fats
cheese, meat, and vegetable, regularcrust	⅛ of 14-in. pizza (5 oz)	2½ carbohydrates + 2 high-fat proteins
Pot pie (meat or vegetarian)	1 (7 oz)	3 carbohydrates + 1 lean protein + 3 fats
Stews (beef/other meats and vegetables)	1 cup (8 oz)	1 carbohydrate + 1 medium-fat protein + 0–3 fats

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Table D–8 Combination Foods *(Continued)*

Food	Serving Size	Choices per Serving
Salad, main dish (grilled chicken–type, no dressing or croutons)	1 salad (~11½ oz)	1 carbohydrate + 4 lean proteins
Tuna salad or chicken salad	½ cup (3½ oz)	½ carbohydrate + 2 lean proteins + 1 fat
Asian		
Beef/chicken/shrimp with vegetables in sauce	1 cup (~6 oz)	1 carbohydrate + 2 lean proteins + 1 fat
Egg roll, meat	1 egg roll (~3 oz)	1½ carbohydrates + 1 lean protein + 1½ fats
Fried rice, meatless	1 cup	2½ carbohydrates + 2 fats
Brown rice, steamed (rice cooker)	1 cup	3 carbohydrates
Hot-and-sour soup	1 cup	½ carbohydrate + ½ fat
Meat with sweet sauce	1 cup (~6 oz)	3½ carbohydrates + 3 medium-fat proteins + 3 fats
Noodles and vegetables in sauce (chow mein, lo mein)	1 cup	2 carbohydrates + 2 fats
Pad Thai noodles, with chicken	1 cup	3 carbohydrates + 2 lean proteins + 2 fats
Pho: beef broth, rice noodles, and meat	3 cups	4 carbohydrates + 2 medium-fat proteins + 1 fat
Sushi: fish and rice (without soy sauce)	2 pieces	1 carbohydrate + 1 lean protein + 1 fat
California rolls (without soy sauce)	2 pieces	1 carbohydrate + 1 fat
Tikka masala with chicken	1 cup	1 carbohydrate + 3 lean proteins + 2 fats
Mexican		
Burrito with beans and cheese	1 small (~6 oz)	3½ carbohydrates + 1 medium-fat protein + 1 fat
Burrito with beans and beef	1 small (~5 oz)	3 carbohydrates + 1 lean protein + 2 fats
Nachos with cheese	1 small order (~8)	2½ carbohydrates + 1 high-fat protein + 2 fats
Quesadilla, cheese only	1 small order (~5 oz)	2½ carbohydrates + 3 high-fat proteins
Taco, crisp, with meat and cheese	1 small (~3 oz)	1 carbohydrate + 1 medium-fat protein + 1½ fat
Taco salad with chicken and tortilla bowl	1 salad (1 lb including bowl)	3½ carbohydrates + 4 medium-fat proteins + 3 fats
Tostada with beans and cheese	1 small (~5 oz)	2 carbohydrates + 1 high-fat protein
Sandwiches		
Breakfast sandwiches		
breakfast burrito with sausage, egg, cheese	1 (~4 oz)	1½ carbohydrates + 2 high-fat proteins
egg, cheese, meat on an English muffin	1	2 carbohydrates + 3 medium-fat proteins + ½ fat
egg, cheese, meat on a biscuit or croissant	1	2 carbohydrates + 3 medium-fat proteins + 2 fats
Chicken sandwiches		
grilled with bun, lettuce, tomatoes, spread	1 (~7½ oz)	3 carbohydrates + 4 lean proteins
crispy with bun, lettuce, tomatoes, spread	1 (~6 oz)	3 carbohydrates + 2 lean proteins + 3½ fats
pocket sandwich	1 (4½ oz)	3 carbohydrates + 1 lean protein + 1–2 fats
Fish sandwich, breaded, with bun, tartar sauce, cheese, lettuce, and tomato	1 (5 oz)	2½ carbohydrates + 2 medium-fat proteins + 1½ fats
Hamburger		
regular with bun and condiments (catsup, mustard, onion, pickle)	1 small (~3½ oz)	2 carbohydrates + 1 medium-fat protein + 1 fat
4 oz meat with cheese, bun, and condiments (catsup, mustard, onion, pickle)	1 (~8½ oz)	3 carbohydrates + 4 medium-fat proteins + 2½ fats
Hot dog with bun, plain	1 (~3½ oz)	1½ carbohydrates + 1 high-fat protein + 2 fats
Submarine sandwich (no cheese or sauce)		
<6 g fat	1 6-in. sub	3 carbohydrates + 2 lean proteins
regular	1 6-in. sub	3 carbohydrates + 2 lean proteins + 1 fat
Wrap, grilled chicken, vegetables, cheese, and spread	1 small (~4–5 oz)	2 carbohydrates + 2 lean proteins + 1½ fats
Side Dishes		
Coleslaw, creamy	½ cup	1 carbohydrate + 1½ fats
Macaroni/pasta salad	½ cup	2 carbohydrates + 3 fats
Potato salad	½ cup	1½–2 carbohydrates + 1–2 fats
French fries, restaurant (fast food) style		
1 small order	~3½ oz	2½ carbohydrates + 2 fats
1 medium order	~5 oz	3½ carbohydrates + 3 fats
1 large order	~6 oz	4½ carbohydrates + 4 fats
Onion rings	1 serving (8–9 rings, ~4 oz)	3½ carbohydrates + 4 fats
Soups		
Bean, lentil, or split pea soup	1 cup (8 oz)	2 carbohydrates + 1 lean protein
Chowder (made with milk)	1 cup (8 oz)	1 carbohydrate + 1 lean protein + 1½ fats
Cream soup (made with water)	1 cup (8 oz)	1 carbohydrate + 1 fat
Miso soup	1 cup (8 oz)	½ carbohydrate + 1 lean protein
Ramen noodle soup	1 cup (8 oz)	2 carbohydrates + 2 fats
Rice soup/porridge (congee)	1 cup (8 oz)	1 carbohydrate
Tomato soup (made with water), borscht	1 cup (8 oz)	1 carbohydrate
Vegetable beef, chicken noodle, or other broth-type soup (including "healthy"-type soups, such as those lower in sodium and/or fat)	1 cup (8 oz)	1 carbohydrate + 1 lean protein

[a]Definition and weight refer to food with bone, skin, and breading.

Key:

= Good source of fiber: >3 g per choice

= Extra fat: +5 grams fat

= High in sodium: ≥600 mg per choice for main dishes/meals and ≥480 mg per choice for side dishes

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Table D–9 Sweets, Desserts, and Other Carbohydrates

The Sweets, Desserts, and Other Carbohydrates list contains foods with added sugars, added fats, or both.
1 Carbohydrate choice = 15 grams carbohydrate and about 70 calories.
1 Fat choice = 5 grams fat and 45 calories.

Food	Serving Size	Choices per Serving
Beverages, Soda, and Sports Drinks		
Energy drink	1 can (~8 oz)	2 carbohydrates
Food drink or lemonade	1 cup (8 oz)	2 carbohydrates
Hot chocolate, regular		
added to 8 fl oz water	1 envelope (2 Tbsp or ¾ oz)	1 carbohydrate
added to 8 fl oz milk	1 envelope (2 Tbsp or ¾ oz)	2 carbohydrates
Soft drink (soda), regular	1 can (12 oz)	2½ carbohydrates
Sports drink (fluid replacement type)	1 cup (8 oz)	1 carbohydrate
Brownies, Cake, Cookies, Gelatin, Pie, and Pudding		
Biscotti	1 oz	1 carbohydrate + 1 fat
Brownie, small, unfrosted	1¼-in. square, ⅞-in. high (~1 oz)	1 carbohydrate + 1 fat
Cake		
angel food, unfrosted	1/12 of cake (~2 oz)	2 carbohydrates
frosted	2-in. square (~2 oz)	2 carbohydrates + 1 fat
unfrosted	2-in. square (~1 oz)	1 carbohydrate + 1 fat
gluten-free, unfrosted	1/10 of cake (~1 oz)	2½ carbohydrates
Cookies		
100-calorie pack	1 oz	1 carbohydrate + ½ fat
chocolate chip cookies	2 small, 2¼ in. across	1 carbohydrate + 2 fats
gluten-free chocolate chip cookies	2 small	1 carbohydrate + 1 fat
gingersnaps	3 small, 1½ in. across	1 carbohydrate
large cookie	1, 6 in. across (~3 oz)	4 carbohydrates + 3 fats
sandwich cookies with crème filling	2 small (~⅔ oz)	1 carbohydrate + 1 fat
sugar-free cookies	1 large or 3 small (¾ to 1 oz)	1 carbohydrate + 1–2 fats
vanilla wafer	5	1 carbohydrate + 1 fat
Cupcake, frosted	1 small (~1¾ oz)	2 carbohydrates + 1–1½ fats
Flan	½ cup	2½ carbohydrates + 1 fat
Fruit cobbler	½ cup (3½ oz)	3 carbohydrates + 1 fat
Gelatin, regular	½ cup	1 carbohydrate
Pie		
commercially prepared fruit, 2 crusts	⅙ of 8-in. pie	3 carbohydrates + 2 fats
pumpkin or custard	⅙ of 8-in. pie	1½ carbohydrates + 1½ fats
Pudding		
regular (made with reduced-fat milk)	½ cup	2 carbohydrates
sugar-free or sugar- and fat-free (made with fat-free milk)	½ cup	1 carbohydrate
Candy, Spreads, Sweets, Sweeteners, Syrups, and Toppings		
Agave, syrup	1 Tbsp	1 carbohydrate
Blended sweeteners	1½ Tbsp	1 carbohydrate
Candy		
chocolate, dark or milk	1 oz	1 carbohydrate + 2 fats
chocolate "kisses"	5 pieces	1 carbohydrate + 1 fat
hard	3 pieces	1 carbohydrate
Coffee creamer, nondairy type		
dry, flavored	4 tsp	½ carbohydrate + ½ fat
liquid, flavored	2 Tbsp	1 carbohydrate
Fruit snacks, chewy (pureed fruit concentrate)	1 roll (¾ oz)	1 carbohydrate
Fruit spreads, 100% fruit	1½ Tbsp	1 carbohydrate
Honey	1 Tbsp	1 carbohydrate
Jam or jelly, regular	1 Tbsp	1 carbohydrate
Sugar (white granular, molasses, brown sugar packed)	1 Tbsp	1 carbohydrate
Syrup		
chocolate	2 Tbsp	2 carbohydrates
light (pancake-type)	2 Tbsp	1 carbohydrate
regular (pancake-type)	1 Tbsp	1 carbohydrate
Doughnuts, Muffins, Pastries, and Sweet Breads		
Banana nut bread	1-in. slice (2 oz)	2 carbohydrates + 1 fat
Doughnut		
cake, plain	1 medium (1½ oz)	1½ carbohydrates + 2 fats
hole	2 (1 oz)	1 carbohydrate + 1 fat
yeast-type, glazed	1, 3¾ in. across (2 oz)	2 carbohydrates + 2 fats
Muffin		
regular	1 (4 oz)	4 carbohydrates + 2½ fats
gluten-free, blueberry	1 (3 oz)	3 carbohydrates + 2 fats
lower-fat	1 (4 oz)	4 carbohydrates + ½ fat

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Table D–9 Sweets, Desserts, and Other Carbohydrates *(Continued)*

Food	Serving Size	Choices per Serving
Doughnuts, Muffins, Pastries, and Sweet Breads *(Continues)*		
Scone	1 (4 oz)	4 carbohydrates + 3 fats
Sweet roll or Danish	1 (2½ oz)	2½ carbohydrates + 2 fats
Frozen Bars, Frozen Desserts, Frozen Yogurt, and Ice Cream		
Frozen pops	1	½ carbohydrate
Fruit juice bars, frozen, 100% juice	1 (3 oz)	1 carbohydrate
Ice cream		
dairy-free, vegan (almond milk)	½ cup	1 carbohydrate + 2 fats
fat-free	½ cup	1½ carbohydrates
light	½ cup	1 carbohydrate + 1 fat
no-sugar-added	½ cup	1 carbohydrate + 1 fat
regular	½ cup	1 carbohydrate + 2 fats
Sherbet, sorbet	½ cup	2 carbohydrates
Yogurt, frozen		
fat-free	⅓ cup	1 carbohydrate
regular	½ cup	1 carbohydrate + 0–1 fat
Greek, lower-fat or fat-free	½ cup	1½ carbohydrates

Table D–10 Snacks and Extras

Food	Serving Size	Choices per Serving
Carbohydrate Snacks		
Fruit		
fresh fruit	1 small (~2½ in. across)	1 carbohydrate
unsweetened canned fruit	½ cup	1 carbohydrate
unsweetened applesauce	½ cup	1 carbohydrate
fresh fruit salad	½ cup	1 carbohydrate
dried fruit	¼ cup	1 carbohydrate
raisins	2 tbs	1 carbohydrate
Crunchy		
popcorn, air-popped	3 cups	1 carbohydrate
rice or popcorn cakes	2 (4 in. across)	1 carbohydrate
pretzels	20 small (¾ oz)	1 carbohydrate
graham crackers	3 (2½ in. square)	1 carbohydrate
breadsticks, crisp	2 (4 in. long × ½ in. wide)	1 carbohydrate
whole-wheat crackers	4–6	1 carbohydrate
oyster crackers	20	1 carbohydrate
snack chips (baked tortilla, potato, or pita chips)	15–20 (¾ oz)	1 carbohydrate
Sweets		
frozen yogurt, ice milk, or light ice cream	½ cup	1 carbohydrate
sugar-free pudding	½ cup	1 carbohydrate
animal crackers	8	1 carbohydrate
vanilla wafers	5	1 carbohydrate
frozen fruit pop	1	1 carbohydrate
cookies	1–2 small	1 carbohydrate
Protein Snacks		
Lean meat, turkey, or chicken	1 oz	1 protein
Cheese (low-fat types with <5 g fat/oz, such as mozzarella or feta)	1 oz	1 protein
Nuts, such as almonds, walnuts, or peanuts	¼ cup	1 protein
Cottage cheese (part-skim or low-fat)	¼ cup	1 protein
Peanut butter	2 Tbsp	1 protein
Egg (hard-boiled)	1	1 protein
Condiments and Sauces		
Barbecue sauce	3 Tbsp	1 carbohydrate
Cranberry sauce, jellied	¼ cup	1½ carbohydrates
Curry sauce	1 oz	1 carbohydrate + 1 fat
Gravy, canned or bottled	½ cup	½ carbohydrate + ½ fat
Hoisin sauce	1 Tbsp	½ carbohydrate
Hot chile sauce	1 Tbsp	½ carbohydrate
Ketchup	3 Tbsp	1 carbohydrate
Plum sauce	1 Tbsp	½ carbohydrate
Salad dressing, fat-free, cream-based	3 Tbsp	1 carbohydrate
Sweet and sour sauce	3 Tbsp	1 carbohydrate

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Table D–11 Alcohol

Alcohol is not a nutrient, but it provides calories. Calories in alcoholic beverages vary by the amount of alcohol and the carbohydrate calories of mixers. Controversy 3 (p. 93) specifies limits for alcohol intakes.

1 Alcohol equivalent = variable grams carbohydrate, 0 grams protein, 0 grams fat, and 100 calories.

Alcoholic Beverage[a]	Serving Size	Choices per Serving
Beer		
light (4.2% abv)	12 fl oz	½ carbohydrate
regular (~5% abv)	12 fl oz	1 carbohydrate
dark (>5% abv)	12 fl oz	1–1½ carbohydrates
Distilled spirits (80 or 86 proof): vodka, rum, gin, whiskey, tequila, cognac	1½ fl oz	0 carbohydrate
Liqueur		
coffee (53 proof)	1½ fl oz	1 carbohydrate
Irish cream	1 fl oz	½ carbohydrate (+ 1 fat)
herbal liqueur (Jägermeister, 70 proof)	1 fl oz	1 carbohydrate
Sake (~15% abv)	3 fl oz	0 carbohydrate
Wine		
Champagne	4 fl oz	0 carbohydrate
dessert (sherry)	3½ fl oz	1 carbohydrate
red, rosé or white (10% abv)	5 fl oz	0 carbohydrate

[a]The abbreviation "% abv" refers to the percentage of alcohol by volume.

Source: The Food Lists are the basis of a meal planning system designed by a committee of the American Diabetes Association and the Academy of Nutrition and Dietetics. While originally designed for people with diabetes and others who must follow special diets, the Food Lists are based on principles of good nutrition that apply to everyone.

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Appendix E Dietary Patterns to Meet the Dietary Guidelines for Americans

This appendix presents several dietary patterns that meet the ideals of the Dietary Guidelines for Americans. First, Table E–1 lists the USDA Healthy U.S.-Style Eating Pattern for people ages 2 years and older. Table E-2 lists dietary patterns for toddlers ages 12 months through 23 months who are no longer receiving human milk or formula. Next, Tables E–3 and E–4 present the Dietary Approaches to Stop Hypertension, or DASH, Eating Plan. Although it was originally developed to fight high blood pressure, the DASH plan has proved useful for cutting people's risks of many diseases while meeting nutrient needs superbly.

A Healthy Vegetarian adaptation of the Healthy U.S.-Style Pattern, offered in Table E–5, demonstrates the flexibility of the patterns. This table provides guidance for vegetarians and shows how to meet nutrient needs without meat.

A Healthy Mediterranean-Style food intake pattern can also meet the goals of the Dietary Guidelines for Americans. Table E–6 presents the Healthy Mediterranean-Style dietary pattern. Figure E–1 illustrates a Mediterranean food pyramid, and Table E–7 provides tips for choosing healthy Mediterranean-style meals. Two cautions are in order, however: First, Mediterranean-style fat sources, such as olives, olive oil, and nuts, although more healthful than saturated fat sources, are high in calories and contribute to weight gain when overconsumed. Second, beware of meals served in Greek, Italian, or other "Mediterranean" restaurants in this country. They often center on generous portions of meats, cheeses, and other foods rich in saturated fats that appeal to the Western palate, and are not in keeping with a Healthy Mediterranean pattern.

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Table E–1 USDA Healthy U.S.–Style Dietary Patterns for Ages 2 and Older

Recommended daily intake amounts; weekly amounts for vegetable and protein foods subgroups.

Energy Level of Pattern[a,b]	1,000	1,200	1,400	1,600	1,800	2,000	2,200	2,400	2,600	2,800	3,000	3,200
Food Group[c]	**Daily Amount of Food from Each Group** (vegetable and protein foods subgroup amounts are per week)											
Vegetables[d]	1 c	1½ c	1½ c	2 c	2½ c	2½ c	3 c	3 c	3½ c	3½ c	4 c	4 c
	Vegetable Subgroups in Weekly Amounts											
Dark green vegetables (c/wk)	½	1	1	1½	1½	1½	2	2	2½	2½	2½	2½
Red/orange vegetables (c/wk)	2½	3	3	4	5½	5½	6	6	7	7	7½	7½
Beans, Peas, Lentils (c/wk)	½	½	½	1	1½	1½	2	2	2½	2½	3	3
Starchy vegetables (c/wk)	2	3½	3½	4	5	5	6	6	7	7	8	8
Other vegetables (c/wk)	1½	2½	2½	3½	4	4	5	5	5½	5½	7	7
Fruits	1 c	1 c	1½ c	1½ c	1½ c	2 c	2 c	2 c	2 c	2½ c	2½ c	2½ c
Grains[e]	3 oz-eq	4 oz-eq	5 oz-eq	5 oz-eq	6 oz-eq	6 oz-eq	7 oz-eq	8 oz-eq	9 oz-eq	10 oz-eq	10 oz-eq	10 oz-eq
Whole grains	1½ oz-eq	2 oz-eq	2½ oz-eq	3 oz-eq	3 oz-eq	3 oz-eq	3½ oz-eq	4 oz-eq	4½ oz-eq	5 oz-eq	5 oz-eq	5 oz-eq
Refined grains	1½ oz-eq	2 oz-eq	2½ oz-eq	2 oz-eq	3 oz-eq	3 oz-eq	3½ oz-eq	4 oz-eq	4½ oz-eq	5 oz-eq	5 oz-eq	5 oz-eq
Dairy	2 c	2½ c	2½ c	3 c	3 c	3 c	3 c	3 c	3 c	3 c	3 c	3 c
Protein Foods[d]	2 oz-eq	3 oz-eq	4 oz-eq	5 oz-eq	5 oz-eq	5½ oz-eq	6 oz-eq	6½ oz-eq	6½ oz-eq	7 oz-eq	7 oz-eq	7 oz-eq
	Protein Foods Subgroups in Weekly Amounts											
Meat, poultry, eggs (oz eq/wk)	10	14	19	23	23	26	28	31	31	33	33	33
Seafood (oz eq/wk)	3	4	6	8	8	8	9	10	10	10	10	10
Nuts seeds, soy (oz eq/wk)	2	2	3	4	4	5	5	5	5	6	6	6
Oils	15 g	17 g	17 g	22 g	24 g	27 g	29 g	31 g	34 g	36 g	44 g	51g
Limit on Calories for Other Uses (kcal/day)[f]	130	80	90	100	140	240	250	320	350	370	440	580
Limit on Calories for Other Uses (%/day)	13%	7%	6%	6%	8%	12%	11%	13%	13%	13%	15%	18%

[a]Food group amounts shown in cup (c) or ounce equivalents (oz-eq). Oils, solid fats, and added sugars are shown in grams (g).

[b]Dietary patterns at 1,000, 1,200, and 1,400 calories meet the nutritional needs of children ages 2 to 8 years. Patterns from 1,600 to 3,200 calories meet the nutritional needs of children ages 9 years and older and adults. If a child ages 4 to 8 years needs more calories and, therefore, is following a pattern at 1,600 calories or more, the recommended amount from the dairy group can be 2½ cups per day. Children ages 9 years and older and adults should not use the 1,000, 1,200, or 1,400 calorie patterns.

[c]Quantity equivalents for each food group are:

- Grains, 1 ounce equivalent is: ½ cup cooked rice, pasta, or cooked cereal; 1 ounce dry pasta or rice; 1 slice bread; 1 small muffin (1 oz); 1 cup ready-to-eat cereal flakes.
- Fruits and Vegetables, 1 cup equivalent is: 1 cup raw or cooked fruit or vegetable, 1 cup fruit or vegetable juice, 2 cups leafy salad greens.
- Protein Foods, 1 ounce equivalent is: 1 ounce lean meat, poultry, or fish; 1 egg; ¼ cup cooked dry beans or tofu; 1 tbs peanut butter; ½ ounce nuts or seeds.
- Dairy, 1 cup equivalent is: 1 cup milk or yogurt, 1½ ounces natural cheese such as cheddar cheese or 2 ounces of processed cheese.

[d]Vegetable and protein foods subgroup amounts are shown in this table as weekly amounts because it would be difficult for consumers to select foods from all subgroups daily. If consuming up to 2 ounces of seafood per week, children should only be fed cooked varieties from the "Best Choices" list in the FDA/EPA joint "Advice About Eating Fish," available at FDA.gov/fishadvice and EPA.gov/fishadvice. If consuming up to 3 ounces of seafood per week, children should only be fed cooked varieties from the "Best Choices" list that contain even lower methylmercury.

[e]Whole-grain subgroup amounts shown in this table are minimums. More whole grains up to all of the grains recommended may be selected, with offsetting decreases in the amounts of enriched refined grains.

[f]Foods are assumed to be in nutrient-dense forms, lean or low-fat and prepared with minimal added saturated fat, added sugars, refined starches, or salt. If all food choices to meet food group recommendations are in nutrient-dense forms, a small number of calories remain within the overall limit of the pattern (Limit on Calories for Other Uses). The amount of calories depends on the total calorie level of the pattern and the amounts of food from each food group required to meet nutritional goals. Calories up to the specified limit can be used for added sugars, added refined starches, saturated fat, alcohol, or to eat more than the recommended amount of food in a food group.

Source: U.S. Department of Health and Human Services and U.S. Department of Agriculture, 2020–2025 *Dietary Guidelines for Americans*, available at www.dietaryguidelines.gov/sites/default/files/2020-12/Dietary_Guidelines_for_Americans_2020-2025.pdf.

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Table E–2 Healthy U.S.-Style Dietary Pattern for Toddlers Ages 12 Through 23 Months Who Are No Longer Receiving Human Milk or Infant Formula, with Daily or Weekly Amounts from Food Groups, Subgroups, and Components

Calorie Level of Pattern[a]	700	800	900	1,000
FOOD GROUP OR SUBGROUP[b,c]	Daily Amount of Food from Each Group[d] (vegetable and protein foods subgroup amounts are per week)			
Vegetables (cup eq/day)	⅔	¾	1	1
	Vegetable Subgroups in Weekly Amounts			
Dark-green vegetables (cup eq/wk)	1	⅓	½	½
Red and orange vegetables (cup eq/wk)	1	1¾	2½	2½
Beans, Peas, Lentils (cup eq/wk)	¾	⅓	½	½
Starchy vegetables (cup eq/wk)	1	1½	2	2
Other vegetables (cup eq/wk)	¾	1¼	1½	1½
Fruits (cup eq/day)	½	¾	1	1
Grains (ounce eq/day)	1¾	2¼	2½	3
Whole grains (ounce eq/day)	1½	2	2	2
Refined grains (ounce eq/day)	¼	¼	½	1
Dairy (cup eq/day)	1⅔	1¾	2	2
Protein Foods (ounce eq/day)	2	2	2	2
	Protein Foods Subgroups in Weekly Amounts			
Meats, poultry (ounce eq/wk)	8¾	7	7	7¾
Eggs (ounce eq/wk)	2	2¾	2½	2½
Seafood (ounce eq/wk)[e]	2–3	2–3	2–3	2–3
Nuts, seeds, soy products (ounce eq/wk)	1	1	1¼	1¼
Oils (grams/day)	9	9	8	13

[a]Calorie level ranges: Energy levels are calculated based on median length and body weight reference individuals. Calorie needs vary based on many factors. The DRI Calculator for Healthcare Professionals, available at usda.gov/fnic/dri-calculator, can be used to estimate calorie needs based on age, sex, and weight.

[b]Definitions for each food group and subgroup and quantity (i.e., cup or ounce equivalents) are found in Chapter 2.

[c]All foods are assumed to be in nutrient-dense forms and prepared with minimal added sugars, refined starches, or sodium. Foods are also lean or in low-fat forms with the exception of dairy, which includes whole-fat fluid milk, reduced-fat plain yogurts, and reduced-fat cheese. There are no calories available for additional added sugars, saturated fat, or to eat more than the recommended amount of food in a food group.

[d]In some cases, food subgroup amounts are greatest at the lower calorie levels to help achieve nutrient adequacy when relatively small number of calories are required.

[e]**If consuming up to 2 ounces of seafood per week**, children should only be fed cooked varieties from the "Best Choices" list in the Food and Drug Administration (FDA)/Environmental Protection Agency (EPA) joint "Advice About Eating Fish," available at FDA.gov/fishadvice and EPA.gov/fishadvice. **If consuming up to 3 ounces of seafood per week**, children should only be fed cooked varieties from the "Best Choices" list that contain even lower methylmercury: flatfish (e.g., flounder), salmon, tilapia, shrimp, catfish, crab, trout, haddock, oysters, sardines, squid, pollock, anchovies, crawfish, mullet, scallops, whiting, clams, shad, and Atlantic mackerel. If consuming up to 3 ounces of seafood per week, many commonly consumed varieties of seafood should be avoided because they cannot be consumed at 3 ounces per week by children without the potential of exceeding safe methylmercury limits; examples that should not be consumed include: canned light tuna or white (albacore) tuna, cod, perch, black sea bass. For a complete list please see: FDA.gov/fishadvice and EPA.gov/fishadvice.

Source: U.S. Department of Agriculture and U.S. Department of Health and Human Services. *Dietary Guidelines for Americans, 2020–2025*. 9th Edition. December 2020. Available at DietaryGuidelines.gov.

Table E–3 DASH Eating Plan—Number of Daily Food Servings by Calorie Level

Food Group	1,200 Calories	1,400 Calories	1,600 Calories	1,800 Calories	2,000 Calories	2,600 Calories	3,100 Calories
Grains[a]	4–5	5–6	6	6	6–8	10–11	12–13
Vegetables	3–4	3–4	3–4	4–5	4–5	5–6	6
Fruits	3–4	4	4	4–5	4–5	5–6	6
Fat-free or low-fat dairy products[b]	2–3	2–3	2–3	2–3	2–3	3	3–4
Lean meats, poultry, and fish	3 or less	3–4 or less	3–4 or less	6 or less	6 or less	6 or less	6–9
Nuts, seeds, and legumes	3 per week	3 per week	3–4 per week	4 per week	4–5 per week	1	1
Fats and oils[c]	1	1	2	2–3	2–3	3	4
Sweets and added sugars	3 or less per week	3 or less per week	3 or less per week	5 or less per week	5 or less per week	≤2	≤2
Maximum sodium limit[d]	2,300 mg/day	2,300 mg/day	2,300 mg/day	2,300 mg/day	2,300 mg/day	2,300 mg/day	2,300 mg/day

[a]Whole grains are recommended for most grain servings as a good source of fiber and nutrients.

[b]For lactose intolerance, try either lactase enzyme pills with dairy products, lactose-free or lactose-reduced milk, or soy milk fortified with vitamin D and calcium. Other milk-like products may lack protein.

[c]Fat content changes the serving amount for fats and oils. For example, 1 tbs regular salad dressing = one serving; 1 tbs low-fat dressing = one-half serving; 1 tbs fat-free dressing = zero servings.

[d]The DASH eating plan has a sodium limit of either 2,300 mg or 1,500 mg per day.

Source: National Heart, Lung, and Blood Institute; National Institutes of Health; U.S. Department of Health and Human Services, 2018, available at www.nhlbi.nih.gov/health-topics/dash-eating-plan.

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Table E–4 DASH Eating Plan—Serving Sizes, Examples, and Significance

Food Group	Serving Sizes	Examples and Notes	Significance of Each Food Group to the DASH Eating Plan
Grains[a]	1 slice bread 1 oz dry cereal[b] ½ cup cooked rice, pasta, or cereal[b]	Whole-wheat bread and rolls, whole-wheat pasta, English muffin, pita bread, bagel, cereals, grits, oatmeal, brown rice, unsalted pretzels and popcorn	Major sources of energy and fiber
Vegetables	1 cup raw leafy vegetable ½ cup cut-up raw or cooked vegetable ½ cup vegetable juice	Broccoli, carrots, collards, green beans, green peas, kale, lima beans, potatoes, spinach, squash, sweet potatoes, tomatoes	Rich sources of potassium, magnesium, and fiber
Fruits	1 medium fruit ¼ cup dried fruit ½ cup fresh, frozen, or canned fruit ½ cup fruit juice	Apples, apricots, bananas, dates, grapes, oranges, grapefruit, grapefruit juice, mangoes, melons, peaches, pineapples, raisins, strawberries, tangerines	Important sources of potassium, magnesium, and fiber
Fat-free or low-fat dairy products[c]	1 cup milk or yogurt 1½ oz cheese	Fat-free milk or buttermilk; fat-free, low-fat, or reduced fat cheese; fat-free/low-fat regular or frozen yogurt	Major sources of calcium and protein
Lean meats, poultry, and fish	1 oz cooked meats, poultry, or fish 1 egg	Select only lean; trim away visible fats; broil, roast, or poach; remove skin from poultry	Rich sources of protein and magnesium
Nuts, seeds, and legumes	⅓ cup or 1½ oz nuts 2 tbs peanut butter 2 tbs or ½ oz seeds ½ cup cooked legumes (dried beans, peas)	Almonds, filberts, mixed nuts, peanuts, walnuts, sunflower seeds, peanut butter, kidney beans, lentils, split peas	Rich sources of energy, magnesium, protein, and fiber
Fats and oils[d]	1 tsp soft margarine 1 tsp vegetable oil 1 tbs mayonnaise 2 tbs salad dressing	Soft margarine, vegetable oil (canola, corn, olive, safflower), low-fat mayonnaise, light salad dressing	The DASH study had 27% of calories as fat, including fat in or added to foods
Sweets and added sugars	1 tbs sugar 1 tbs jelly or jam ½ cup sorbet, gelatin dessert 1 cup lemonade	Fruit-flavored gelatin, fruit punch, hard candy, jelly, maple syrup, sorbet and ices, sugar	Sweets should be low in fat

[a]Whole grains are recommended for most grain servings as a good source of fiber and nutrients.

[b]Serving sizes vary between ½ cup and 1¼ cups, depending on cereal type. Check the product's Nutrition Facts label.

[c]For lactose intolerance, try either lactase enzyme pills with dairy products, lactose-free or lactose-reduced milk, or soy milk fortified with vitamin D and calcium. Other milk-like products may lack protein.

[d]Fat content changes the serving amount for fats and oils. For example, 1 tbs regular salad dressing = one serving; 1 tbs low-fat dressing = one-half serving; 1 tbs fat-free dressing = zero servings.

Source: National Heart, Lung, and Blood Institute; National Institutes of Health; U.S. Department of Health and Human Services, available at www.nhlbi.nih.gov /health-topics/dash-eating-plan.

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Table E–5 Healthy Vegetarian Eating Patterns for Ages 2 and Older

Vegans can use this pattern by replacing all dairy choices with fortified soy beverages (soymilk) or other fortified plant-based dairy substitutes.

Calorie Level of Pattern[a]	1,000	1,200	1,400	1,600	1,800	2,000	2,200	2,400	2,600	2,800	3,000	3,200
Food Group[b]	**Daily Amount of Food from Each Group[c]** (vegetable and protein foods subgroup amounts are per week)											
Vegetables	1 c-eq	1½ c-eq	1½ c-eq	2 c-eq	2½ c-eq	2½ c-eq	3 c-eq	3 c-eq	3½ c-eq	3½ c-eq	4 c-eq	4 c-eq
Dark-green vegetables (c-eq/wk)	½	1	1	1½	1½	1½	2	2	2½	2½	2½	2½
Red and orange vegetables (c-eq/wk)	2½	3	3	4	5½	5½	6	6	7	7	7½	7½
Beans, Peas, Lentils (c-eq/wk)[d]	½	½	½	1	1½	1½	2	2	2½	2½	3	3
Starchy vegetables (c-eq/wk)	2	3½	3½	4	5	5	6	6	7	7	8	8
Other vegetables (c-eq/wk)	1½	2½	2½	3½	4	4	5	5	5½	5½	7	7
Fruits	1 c-eq	1 c-eq	1½ c-eq	1½ c-eq	1½ c-eq	2 c-eq	2 c-eq	2 c-eq	2 c-eq	2½ c-eq	2½ c-eq	2½ c-eq
Grains	3 oz-eq	4 oz-eq	5 oz-eq	5½ oz-eq	6½ oz-eq	6½ oz-eq	7½ oz-eq	8½ oz-eq	9½ oz-eq	10½ oz-eq	10½ oz-eq	10½ oz-eq
Whole grains[e] (oz-eq/day)	1½	2	2½	3	3½	3½	4	4½	5	5½	5½	5½
Refined grains (oz-eq/day)	1½	2	2½	2½	3	3	3½	4	4½	5	5	5
Dairy	2 c-eq	2½ c-eq	2½ c-eq	3 c-eq	3 c-eq	3 c-eq	3 c-eq	3 c-eq	3 c-eq	3 c-eq	3 c-eq	3 c-eq
Protein Foods	1 oz-eq	1½ oz-eq	2 oz-eq	2½ oz-eq	3 oz-eq	3½ oz-eq	3½ oz-eq	4 oz-eq	4½ oz-eq	5 oz-eq	5½ oz-eq	6 oz-eq
	Protein Foods Subgroups in Weekly Amounts											
Eggs (oz-eq/wk)	2	3	3	3	3	3	3	3	3	4	4	4
Beans, Peas, Lentils (oz-eq/wk)[d]	1	2	4	4	6	6	6	8	9	10	11	12
Soy products (oz-eq/wk)	2	3	4	6	6	8	8	9	10	11	12	13
Nuts and seeds (oz-eq/wk)	2	2	3	5	6	7	7	8	9	10	12	13
Oils	15 g	17 g	17 g	22 g	24 g	27 g	29 g	31 g	34 g	36 g	44 g	51 g
Limit on Calories for Other Uses (kcal/day)	170	140	160	150	150	250	290	350	350	350	390	500
Limit on Calories for Other Uses (%/day)	17%	12%	11%	9%	8%	13%	13%	15%	13%	13%	13%	16%

[a,b,c,e]See Table E–1 notes.

[d]About half of total legumes are shown as vegetables, in cup-eq, and half as protein foods, in oz-eq. Total legumes in the Patterns, in cup-eq, is the amount in the vegetable group plus the amount in protein foods group (in oz-eq) divided by 4.

Table E–6 Healthy Mediterranean-Style Eating Patterns

Calorie Level of Pattern[a]	1,000	1,200	1,400	1,600	1,800	2,000	2,200	2,400	2,600	2,800	3,000	3,200
Food Group[b]	**Daily Amount of Food from Each Group[c]** (vegetable and protein foods subgroup amounts are per week)											
Vegetables	1 c-eq	1½ c-eq	1½ c-eq	2 c-eq	2½ c-eq	2½ c-eq	3 c-eq	3 c-eq	3½ c-eq	3½ c-eq	4 c-eq	4 c-eq
Dark-green vegetables (c-eq/wk)	½	1	1	1½	1½	1½	2	2	2½	2½	2½	2½
Red and orange vegetables (c-eq/wk)	2½	3	3	4	5½	5½	6	6	7	7	7½	7½
Beans, Peas, Lentils (c-eq/wk)	½	½	½	1	1½	1½	2	2	2½	2½	3	3
Starchy vegetables (c-eq/wk)	2	3½	3½	4	5	5	6	6	7	7	8	8
Other vegetables (c-eq/wk)	1½	2½	2½	3½	4	4	5	5	5½	5½	7	7
Fruits	1 c-eq	1 c-eq	1½ c-eq	2 c-eq	2 c-eq	2½ c-eq	2½ c-eq	2½ c-eq	2½ c-eq	3 c-eq	3 c-eq	3 c-eq
Grains	3 oz-eq	4 oz-eq	5 oz-eq	5 oz-eq	6 oz-eq	6 oz-eq	7 oz-eq	8 oz-eq	9 oz-eq	10 oz-eq	10 oz-eq	10 oz-eq
Whole grains[d] (oz-eq/day)	1½	2	2½	3	3	3	3½	4	4½	5	5	5
Refined grains (oz-eq/day)	1½	2	2½	2	3	3	3½	4	4½	5	5	5
Dairy	2 c-eq	2½ c-eq	2½ c-eq	2 c-eq	2 c-eq	2 c-eq	2 c-eq	2½ c-eq	2½ c-eq	2½ c-eq	2½ c-eq	2½ c-eq
Protein Foods	2 oz-eq	3 oz-eq	4 oz-eq	5½ oz-eq	6 oz-eq	6½ oz-eq	7 oz-eq	7½ oz-eq	7½ oz-eq	8 oz-eq	8 oz-eq	8 oz-eq
	Protein Foods Subgroups in Weekly Amounts											
Seafood (oz-eq/wk)[e]	3	4	6	11	15	15	16	16	17	17	17	17
Meats, poultry, eggs (oz-eq/wk)	10	14	19	23	23	26	28	31	31	33	33	33
Nuts, seeds, soy products (oz-eq/wk)	2	2	3	4	4	5	5	5	5	6	6	6
Oils	15 g	17 g	17 g	22 g	24 g	27 g	29 g	31 g	34 g	36 g	44 g	51 g
Limit on Calories for Other Uses (kcal/day)	130	80	90	120	140	240	250	280	300	330	400	540
Limit on Calories for Other Uses (%/day)	13%	7%	6%	8%	8%	12%	11%	12%	12%	12%	13%	17%

[a,b,c,d]See Table E–1, notes a through d.

[e]The FDA and the EPA provide joint guidance regarding seafood consumption for women who are pregnant or breastfeeding and young children. For more information, see the FDA or EPA websites www.FDA.gov/fishadvice; www.EPA.gov/fishadvice.

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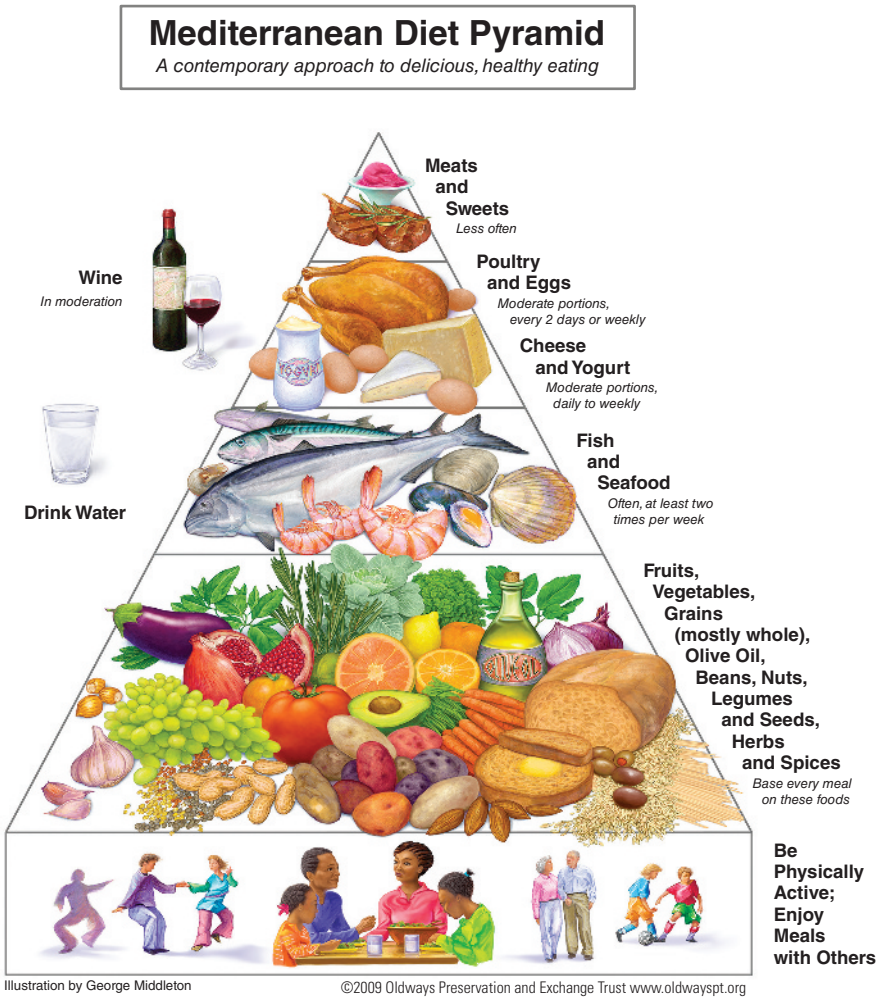

Figure E–1 A Mediterranean Diet Pyramid

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Table E–7 Ideas for Healthy Mediterranean-Style Meals

As a general rule, fill half your plate with vegetables, a fourth with whole grains, and a quarter with protein foods. Eat fish or seafood one to two times a week, and choose baked, steamed, grilled, or poached preparations over fried. One day a week, substitute vegetable proteins for all meats.

Choose this	Instead of this
Breakfast	
Whole fruit pieces; cut fruit or fruit salad without added sugar	Fruit juice; fruit salad with sugars or marshmallows
Low-sugar whole-grain granola (no hydrogenated oils) with nuts and dried fruit; oatmeal (including instant oatmeal) with apples, cinnamon, or a teaspoon of berry or other fruit jam	Commercial high-sugar granola with hydrogenated oils; refined, sugar-sweetened, ready-to-eat cereal
Mediterranean protein foods (peanut butter, hummus, egg, yogurt); turkey, chicken, or soy breakfast sausages	Sausage, bacon, breakfast steak
100% whole-grain toasted bread slice, bagel, or English muffin with hummus, mashed avocado, or nut butter	Refined white toast with butter and jelly
Omelet with sautéed onions, mushrooms, broccoli, or leftover vegetables, or cooked or smoked salmon with a sprinkle of hard cheese, salsa, or olive tapenade	Omelet with sausage or ham and cheese
Smoothies with milk or fortified soy milk, frozen overripe bananas, and berries (a handful of spinach or other greens blends well and adds a fresh flavor and nutrients)	High-sugar commercial smoothies; milkshakes with ice cream, chocolate syrup
Plain yogurt or Greek yogurt with fresh fruit, homemade granola, or a teaspoon of fruit jam or syrup	Commercial sugar-sweetened yogurt
Lunch	
Creative salads with a variety of ingredients: nuts, beans, fish, hard cheese sprinkles, olives, or berries and other fruit	Repetitive, boring lettuce and tomato salads
Canned tuna, sardines, or mackerel (olive oil or water packed) mixed with hummus, lemon juice, and seasonings; add chopped apple or dried cranberries for sweetness	Canned fish salads made with regular mayonnaise and sugar-sweetened pickle relish
Whole-grain crackers, wraps, or breads	Refined flour crackers, wraps, or breads
Whole-grain wheat flour or corn tortillas for burritos, wraps, and quesadillas	Refined flour tortillas
Tapenades, avocado, or hummus spread on sandwiches	Mayonnaise for sandwiches (or choose a mayonnaise made with olive oil)
Broth-based vegetable soups (preferably low-sodium) with whole-grain pasta	Cream-based soups with refined starches
Vegetarian pizza with tomatoes, olives, spinach, artichokes, or other vegetables on whole-grain crust	Sausage, pepperoni, or hamburger pizza on refined flour crust
Supper	
Whole-grain pasta or fortified "extra protein" pasta (½ to 1 c for most adults), with beans or seafood and tomato sauce, garlic, onions, artichokes, frozen peas, or other vegetables to fill in the plate	Refined flour pasta with cream, butter, and cheese sauces
Turkey burgers (made with ground turkey breast and oatmeal); chicken or turkey Italian sausage; serve burgers or sausages with wilted spinach and sliced tomatoes on a whole-grain bun	Ground beef burgers; pork Italian sausage; refined white buns
Prepared salsa for topping potatoes, beans, veggie burgers, rice, or eggs	Creamy, cheesy sauces
Poultry or seafood; limited lean red meat	Frequent use of fatty beef, lamb, or pork

Source: Many of these ideas and more can be found at http://oldwayspt.org/.

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Appendix F Notes

Chapter 1

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Appendix G Answers to Chapter Questions

Answers to Consumer's Guide Review and Self-Check Questions

Chapter 1

Consumer's Guide Review

1. d
2. b
3. b

Self Check Questions

1. False. Heart disease and cancer are influenced by many factors with genetics and diet among them.
2. c
3. False. Some diseases are almost purely genetic while others are purely dietary, with many in-between.
4. a
5. a
6. T
7. c
8. b
9. False. The choice of where, as well as what, to eat is often based more on taste and economic considerations than on nutrition judgments.
10. b
11. a
12. d
13. a
14. T
15. False. A person collecting information is in precontemplation.
16. b
17. a
18. d
19. False. In this nation, profiteers selling diplomas and certificates make it easy to obtain a bogus nutrition credential.

Chapter 2

Consumer's Guide Review

1. False. Restaurant portions are not held to standards and should not be used as a guide for choosing portion sizes.
2. T
3. False. Most consumers overestimate both the calories and fat in restaurant foods.

Self Check Questions

1. b
2. d
3. T
4. False. The DRI are estimates of the needs of healthy people only. Medical problems alter nutrient needs.
5. c
6. T
7. c
8. d
9. False. People who choose to eat no meats or products taken from animals can use the USDA Dietary Patterns to make their diets adequate.
10. a
11. False. A properly planned diet should include healthy snacks as part of the total daily food intake, if so desired.
12. c
13. T
14. T
15. T
16. d
17. T
18. False. Although they are natural constituents of foods, phytochemicals have not been proven safe to consume in large amounts.

Chapter 3

Self Check Questions

1. a
2. False. Each gene is a blueprint that directs the production of one or more of the body's proteins, such as an enzyme.
3. c
4. a
5. b
6. T
7. c
8. d
9. False. Absorption of the majority of nutrients takes place across the specialized cells of the small intestine.
10. d
11. a
12. c
13. False. The kidneys straddle the cardiovascular system and filter the blood.
14. b

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15. T
16. a
17. False. Alcohol is a natural toxin that can cause severe damage to the liver, brain, and other organs, and can be lethal in high enough doses.

Chapter 4

Consumer's Guide Review

1. b
2. b
3. a

Self Check Questions

1. b
2. a
3. T
4. T
5. c
6. T
7. b
8. a
9. False. Ketosis is the result of too little carbohydrate in the body tissues.
10. False. The liver's glycogen storage is limited to about 2,000 calories' worth.
11. T
12. c
13. T.
14. T.
15. d
16. T
17. T
18. a

Chapter 5

Consumer's Guide Review

1. False. Methylmercury is a highly toxic industrial pollutant concentrated in the flesh of certain species of fish, and it is unaffected by cooking.
2. False. Children and pregnant or lactating women should strictly follow recommendations set for them and choose fish species that are rich in omega-3 fatty acids *and* lower in mercury.
3. False. Cod provides little EPA and DHA.

Self Check Questions

1. c
2. False. In addition to providing abundant fuel, fat cushions tissues, serves as insulation, forms cell membranes, and serves as raw material, among other functions.
3. b
4. False. In general, vegetable and fish oils are excellent sources of polyunsaturated fats.
5. c
6. T
7. b
8. d
9. T
10. T
11. False. Chylomicrons are produced in small intestinal cells.
12. False. Consuming large amounts of saturated fatty acids elevates serum LDL cholesterol and thus *raises* the risk of heart disease and heart attack.
13. d
14. False. Fish, not supplements, is the recommended source of fish oil.
15. T
16. b
17. b
18. d
19. T
20. T
21. d

Chapter 6

Consumer's Guide Review

1. False. Evidence does not support taking protein supplements such as commercial shakes and energy bars to lose weight.
2. T
3. False. In high doses, tryptophan may cause nausea and skin disorders as unwanted side effects.

Self Check Questions

1. b
2. c
3. a
4. a
5. b
6. T
7. T
8. d
9. a
10. T
11. T
12. d
13. False. Excess protein in the diet may have adverse effects, such as worsening kidney disease.
14. a
15. a
16. T
17. d
18. T
19. c
20. False. Fried banana or vegetable chips are often high in calories and saturated fat, and are best reserved for an occasional treat.

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Chapter 7

Consumer's Guide Review

1. False. Many kinds of food processing make nutritious foods more accessible or safer to consume.
2. T
3. T

Self Check Questions

1. b
2. c
3. T
4. a
5. d
6. T
7. T
8. d
9. a
10. c
11. T
12. c
13. b
14. a
15. False. No study to date has conclusively demonstrated that vitamin C can prevent colds or reduce their severity.
16. d
17. c
18. T
19. a
20. b
21. b
22. False. The FDA has little control over supplement sales.

Chapter 8

Consumer's Guide Review

1. a
2. d
3. d

Self Check Questions

1. d
2. False. Water intoxication occurs when too much plain water floods the body's fluids and disturbs their normal composition.
3. b
4. a
5. d
6. c
7. d
8. False. After about age 40, the bones typically begin to lose density.
9. T
10. c
11. b
12. a
13. False. Calcium is the most abundant mineral in the body.
14. False. The Academy of Nutrition and Dietetics, among others, recommends the consumption of fluoridated water.
15. False. Butter, cream, and cream cheese contain negligible calcium, being almost pure fat. Some vegetables, such as broccoli, are good sources of available calcium.
16. T
17. T
18. b

Chapter 9

Consumer's Guide Review

1. False. A diet book that addresses eicosanoids and adipokines may or may not present accurate nutrition science or effective diet advice.
2. False. Limiting calories is a key strategy for weight loss.
3. T

Self Check Questions

1. d
2. T
3. b
4. False. The thermic effect of food is believed to have negligible effects on total energy expenditure.
5. T
6. c
7. d
8. d
9. a
10. b
11. False. Genomic researchers have identified multiple genes likely to play roles in obesity development but have not so far identified a single genetic cause of common obesity.
12. T
13. d
14. a
15. T
16. T
17. b
18. False. Over-the-counter (OTC) drugs and dietary supplements for weight loss most often present risk without benefit.
19. b
20. c
21. False. Disordered eating behaviors in early life set a pattern that likely continues into young adulthood.

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G

Chapter 10

Consumer's Guide Review

1. a
2. a
3. b

Self Check Questions

1. b
2. d
3. False. Athletes who wish to excel in sports must develop muscle power, quick reaction time, and agility.
4. False. Muscle cells and tissues respond to a physical activity overload by altering the structures and metabolic equipment needed to perform the work.
5. c
6. c
7. a
8. T
9. T
10. a
11. d
12. T
13. a
14. False. Frequent nutritious between-meal snacks can provide extra calories to help maintain body weight.
15. T
16. d
17. a
18. b
19. d

Chapter 11

Consumer's Guide Review

1. False. Chronic diseases weaken immunity and worsen the outcome of infectious diseases.
2. False. Taking nutrient supplements is ineffective for preventing infectious diseases in well-fed people.
3. T

Self Check Questions

1. False. Chronic diseases have risk factors that show correlations with disease development but are not distinct causes.
2. d
3. False. Atherosclerosis is an accumulation of lipids within the artery wall, and it also involves a complex response of the artery to tissue damage and inflammation.
4. T
5. False. Men do have more heart attacks than women, but CVD kills more women than any other cause of death.
6. a
7. c
8. T
9. T
10. c
11. False. For managing type 2 diabetes, regular physical activity can help by reducing excess body fat and increasing tissue sensitivity to insulin.
12. T
13. d
14. False. The DASH diet is designed for helping people with hypertension to control the disease.
15. d
16. d

Chapter 12

Consumer's Guide Review

1. d
2. T
3. a

Self Check Questions

1. T
2. a
3. c
4. d
5. c
6. False. Today, the chance of getting a foodborne illness from eating produce is similar to the chance of becoming ill from eating meat, eggs, and seafood.
7. T
8. a
9. b
10. T
11. False. Nature has provided many plants used for food with natural poisons to fend off diseases, insects, and other predators.
12. False. The EPA and FDA warn of unacceptably high methylmercury levels in certain fish species and advise all pregnant women to eat fish species with lower methylmercury levels.
13. T
14. c
15. c
16. c
17. d
18. T
19. b
20. b
21. T

Chapter 13

Consumer's Guide Review

1. False. Despite convincing advertising, no commercial formula can fully match the benefits of human milk.

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2. False. Only about 35 percent of infants are still breastfeeding at 1 year of age.
3. False. Lactation consultants are employed by hospitals to help new mothers establish healthy breastfeeding relationships with their newborns and to help ensure successful long-term breastfeeding.

Self Check Questions

1. c
2. T
3. b
4. d
5. d
6. T
7. b
8. False. The American Academy of Pediatrics urges all women to stop drinking as soon as they plan to become pregnant, and to abstain throughout the pregnancy.
9. a
10. T
11. d
12. T
13. a
14. a
15. d
16. d
17. False. There is no proof for the theory that "stuffing the baby" at bedtime will promote sleeping through the night.
18. T
19. False. In light of the developmental needs of one-year-olds, parents should discourage unacceptable behaviors, such as standing at the table or throwing food.
20. c

Chapter 14

Consumer's Guide Review

1. T
2. False. Taking supplements of vitamin A has no effect on acne and vitamin B_{12} supplements may worsen it.
3. False. Research on diet and acne is advancing and may yield effective medical nutrition therapies.

Self Check Questions

1. c
2. b
3. T
4. d
5. b
6. False. Research to date does not support the idea that food allergies or intolerances cause hyperactivity in children, but studies continue.
7. c
8. c
9. b
10. b
11. d
12. a
13. T
14. False. Vitamin A absorption appears to increase with aging.
15. False. To date, no proven benefits are available from herbs or other remedies.
16. b
17. a
18. False. Most single elderly people would love an invitation to join someone for a meal.
19. c

Chapter 15

Consumer's Guide Review

1. False. The terms *green* and *eco-friendly* are meaningless without scientific evidence to back them up.
2. T
3. d

Self Check Questions

1. b
2. a
3. d
4. c
5. T
6. a
7. T
8. c
9. False. Most children who die of malnutrition do not starve to death—they die because their health has been compromised by dehydration from infections that cause diarrhea.
10. a
11. c
12. d
13. c
14. T
15. T
16. False. The federal government, the states, local communities, big business and small companies, educators, and all individuals, including dietitians and food service managers, have many opportunities to make an impact in the fight against poverty, hunger, and environmental degradation.
17. T
18. False. Wealthy nations can help by reducing animal protein intakes and altering their choices. In areas of food scarcity, grazing animals convert inedible plant forage into needed protein foods for families and bolster local economies.

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Appendix H Physical Activity Levels and Energy Requirements

Chapter 9 described how to calculate ranges of the estimated energy requirement (EER) for an adult by using an equation that accounts for age and gender alone. This appendix offers a way of establishing estimated calorie needs per day by age, sex, and physical activity level, as endorsed by the *Dietary Guidelines for Americans*, and based on the equations of the Committee on Dietary Reference Intakes.

Table H–1 describes activity levels for three groups of people: sedentary, moderately active, and active. Once you have identified an activity level that approximates your own, find your daily calorie need in Table H–2.

Table H–3 specifies the American College of Sports Medicine's Guidelines for Physical Fitness. These guidelines are more demanding and also more specific than USDA's Physical Activity Guidelines of Chapter 10. Table H–4 offers a sample workout program that meets both sets of recommendations.

Table H–1 Sedentary, Moderately Active, and Active People

Sedentary	A lifestyle that includes only the light physical activity associated with typical day-to-day life.
Moderately active	A lifestyle that includes physical activity equivalent to walking about 1.5 to 3 miles per day at 3 to 4 miles per hour in addition to the light physical activity associated with typical day-to-day life.
Active	A lifestyle that includes physical activity equivalent to walking more than 3 miles per day at 3 to 4 miles per hour in addition to the light physical activity associated with typical day-to-day life.

Source: U.S. Department of Agriculture and U.S. Department of Health and Human Services, Dietary Guidelines for Americans 2010, (reaffirmed 2015) available at www.dietaryguidelines.gov.

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Table H–2 Estimated Calorie Needs per Day by Age, Sex, and Physical Activity Level (Detailed)

Estimated amounts of calories needed to maintain calorie balance for various sex and age groups at three different levels of physical activity.[a] The estimates are rounded to the nearest 200 calories. An individual's calorie needs may be higher or lower than these average estimates.

	Male/ Sedentary	Male/ Moderately Active	Male/Active	Female[b]/ Sedentary	Female[b]/ Moderately Active	Female[b]/Active
Age (years)						
2	1,000	1,000	1,000	1,000	1,000	1,000
3	1,200	1,400	1,400	1,000	1,200	1,400
4	1,200	1,400	1,600	1,200	1,400	1,400
5	1,200	1,400	1,600	1,200	1,400	1,600
6	1,400	1,600	1,800	1,200	1,400	1,600
7	1,400	1,600	1,800	1,200	1,600	1,800
8	1,400	1,600	2,000	1,400	1,600	1,800
9	1,600	1,800	2,000	1,400	1,600	1,800
10	1,600	1,800	2,200	1,400	1,800	2,000
11	1,800	2,000	2,200	1,600	1,800	2,000
12	1,800	2,200	2,400	1,600	2,000	2,200
13	2,000	2,200	2,600	1,600	2,000	2,200
14	2,000	2,400	2,800	1,800	2,000	2,400
15	2,200	2,600	3,000	1,800	2,000	2,400
16	2,400	2,800	3,200	1,800	2,000	2,400
17	2,400	2,800	3,200	1,800	2,000	2,400
18	2,400	2,800	3,200	1,800	2,000	2,400
19–20	2,600	2,800	3,000	2,000	2,200	2,400
21–25	2,400	2,800	3,000	2,000	2,200	2,400
26–30	2,400	2,600	3,000	1,800	2,000	2,400
31–35	2,400	2,600	3,000	1,800	2,000	2,200
36–40	2,400	2,600	2,800	1,800	2,000	2,200
41–45	2,200	2,600	2,800	1,800	2,000	2,200
46–50	2,200	2,400	2,800	1,800	2,000	2,200
51–55	2,200	2,400	2,800	1,600	1,800	2,200
56–60	2,200	2,400	2,600	1,600	1,800	2,200
61–65	2,000	2,400	2,600	1,600	1,800	2,000
66–70	2,000	2,200	2,600	1,600	1,800	2,000
71–75	2,000	2,200	2,600	1,600	1,800	2,000
76+	2,000	2,200	2,400	1,600	1,800	2,000

[a]Based on estimated energy requirements (EER) equations, using reference heights (average) and reference weights (healthy) for each age-sex group. For children and adolescents, reference height and weight vary. For adults, the reference man is 5 feet 10 inches tall and weighs 154 pounds. The reference woman is 5 feet 4 inches tall and weighs 126 pounds. EER equations are from the Institute of Medicine, *Dietary Reference Intakes for Energy, Carbohydrate, Fiber, Fat, Fatty Acids, Cholesterol, Protein, and Amino Acids (Washington, DC National Academies Press, 2002).*

[b]Estimates for females do not include women who are pregnant or breastfeeding.

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Table H–3 American College of Sports Medicine's Guidelines for Physical Fitness

Type of Activity	Aerobic activity that uses large-muscle groups and can be maintained continuously	Resistance activity that is performed at a controlled speed and through a full range of motion	Stretching activity that uses the major muscle groups
Frequency	5 to 7 days per week	2 to 3 nonconsecutive days per week	2 to 7 days per week
Intensity	Moderate (equivalent to walking at a pace of 3 to 4 mph)[a]	Enough to enhance muscle strength and improve body composition	Enough to feel tightness or slight discomfort
Duration	At least 30 minutes per day	2 to 4 sets of 8 to 12 repetitions involving each major muscle group	2 to 4 repetitions of 15 to 30 seconds per muscle group
Examples	Running, cycling, dancing, swimming, inline skating, rowing, power walking, cross-country skiing, kickboxing, water aerobics, jumping rope; sports activities such as basketball, soccer, racquetball, tennis, volleyball	Pull-ups, push-ups, planks, weightlifting, pilates	Yoga

NOTE: On a relative scale, moderate intensity aerobic activity is a level of effort of 5 or 6 on a scale of 0 to 10, where 0 is the level of effort of sitting, and 10 is maximal effort. Relatively vigorous-intensity activity begins at a 7 or 8 on this scale.

[a]For those who prefer vigorous-intensity aerobic activity such as walking at a very brisk pace (>4.5 mph) or running (≥5 mph), a minimum of 20 minutes per day, 3 days per week is recommended.

Table H–4 A Sample Balanced Fitness Program

Monday	Tuesday	Wednesday	Thursday	Friday	Saturday or Sunday
5-min warm-up[a]	5-min warm-up[a]	5-min warm-up[a]	5-min warm-up[a]	5-min warm-up[a]	
Resistance training: chest, back, arms, and shoulders 15–45 min[b]	Resistance training: legs, core (abdomen/lower back) 15–45 min		Resistance training: chest, back, arms, and shoulders 15–45 min	Resistance training: legs, core (abdomen/lower back) 15–45 min	Active leisure pursuits: Sports, walking, hiking, biking, swimming
Moderate aerobic activity: 15–30 min[b]	Moderate aerobic activity: 15–30 min	Moderate aerobic activity: 15–30 min	Moderate aerobic activity: 15–30 min	Moderate aerobic activity: 15–30 min	
Stretching: 5 min	Stretching: 5 min	Stretching: 5 min	Stretching: 5 min	Stretching: 5 min	

NOTE: On a relative scale, moderate intensity aerobic activity is a level of effort of 5 or 6 on a scale of 0 to 10, where 0 is the level of effort of sitting, and 10 is maximal effort. Relatively vigorous-intensity activity begins at a 7 or 8 on this scale.

[a]The warm-up consists of a slower or less-intense version of the activity ahead and may count toward the week's total activity requirement if it is performed at moderate intensity.

[b]Lower-intensity exercise requires more time; higher-intensity exercise requires less time.

Source: P. Spencer Webb, MS, RDN, CSCS, PES, Human Performance Specialist, U.S. Military.

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Glossary

A

A1C test a blood test for type 2 diabetes that measures the percentage of hemoglobin (a blood protein) with glucose attached to it. The test reflects blood glucose control over the previous few months. Also called *glycosylated hemoglobin test* or *HbA1C test* (*Hb* stands for *hemoglobin*).

absorb to take in, as nutrients are taken into the intestinal cells after digestion; the main function of the digestive tract with respect to nutrients.

Academy of Nutrition and Dietetics (AND) the professional organization of dietitians in the United States (formerly the American Dietetic Association). The Canadian equivalent is the Dietitians of Canada (DC), which operates similarly.

acceptable daily intake (ADI) the estimated amount of a sweetener that can be consumed daily over a person's lifetime without any adverse effects.

Acceptable Macronutrient Distribution Ranges (AMDR) values for carbohydrate, fat, and protein expressed as percentages of total daily caloric intake; ranges of intakes set for the energy-yielding nutrients that are sufficient to provide adequate total energy and nutrients while minimizing the risk of chronic diseases.

accredited approved; in the case of medical centers or universities, certified by an agency recognized by the U.S. Department of Education.

acetaldehyde (ass-et-AL-deh-hide) a substance to which ethanol is metabolized on its way to becoming harmless waste products that can be excreted.

acid–base balance equilibrium between acid and base concentrations to maintain a proper pH in the body fluids.

acidosis (acid-DOH-sis) the condition of excess acid in the blood, indicated by a below-normal pH (*osis* means "too much").

acid reducers prescription and over-the-counter drugs that reduce the acid output of the stomach; effective for treating severe, persistent forms of heartburn but not for neutralizing acid already present. Also called *acid controllers*.

acids compounds that release hydrogens in a watery solution.

acne chronic inflammation of the skin's follicles and oil-producing glands characterized by comedones (pimples) and other skin lesions; usually associated with the maturation of young people.

acupuncture (AK-you-punk-chur) a technique that involves piercing the skin with long, thin needles at specific anatomical points to relieve pain or illness.

added sugars sugars and syrups added to a food for any purpose, such as to add sweetness or bulk or to aid in browning (baked goods). Also called *carbohydrate sweeteners*, they include concentrated fruit juice, glucose, fructose, high-fructose corn syrup, sucrose, and other sweet carbohydrates.

addiction a chronic, relapsing brain disease that is characterized by compulsive drug seeking and use, despite harmful consequences; addiction is classified as a brain disease because addictive drugs change the brain's structure and functioning.

additives substances that are added to foods but are not normally consumed by themselves as foods.

adequacy the dietary characteristic of providing all of the essential nutrients, fiber, and energy in amounts sufficient to maintain health and body weight.

Adequate Intakes (AI) nutrient intake goals for individuals set when scientific data are insufficient to allow establishment of an RDA value and assumed to be adequate for healthy people.

adipokines (AD-ih-poh-kynz) protein hormones made and released by adipose tissue (fat) cells.

adipose tissue the body's fat tissue, consisting of masses of fat-storing cells and blood vessels to nourish them. Adipose tissue performs several functions, including the synthesis and secretion of the hormone leptin, which is involved in appetite regulation.

adiposity-based chronic disease a clinical name used in diagnosing obesity. *Adiposity* refers to fat cells and tissues, identifying them as the source of the disease.

adolescence the period from the beginning of puberty until maturity.

a drink any alcoholic beverage that delivers 0.6 ounce of pure ethanol.

advertorials lengthy advertisements in newspapers and magazines that read like feature articles but are written for the purpose of touting the virtues of products and may or may not be accurate.

aerobic (air-ROH-bic) requiring oxygen.

aerobic activity physical activity that involves the body's large muscles working at light to moderate intensity for a sustained period of time. Brisk walking, running, swimming, and bicycling are examples. From the Greek, *aero* meaning "air" + *bios,* "life." Also called *endurance activity*.

aflatoxin (af-lah-TOX-in) a toxin from a mold that grows on corn, grains, peanuts, and tree nuts stored in warm, humid conditions; a cause of liver cancer prevalent in tropical developing nations.

agave syrup a carbohydrate-rich sweetener made from a Mexican plant; a high fructose content gives some agave syrups a greater sweetening power per calorie than sucrose.

agility nimbleness; the ability to quickly change directions.

agroecology a scientific discipline that combines biological, physical, and social sciences with ecological theory to develop methods for producing food sustainably.

alcohol abuse see *problem drinking*.

alcoholism dependency on alcohol characterized by compulsive, uncontrollable drinking with negative effects on physical health, family relationships, and social health.

alcohol-related birth defects (ARBD) malformations in the skeletal and organ systems (heart, kidneys, eyes, ears) associated with prenatal alcohol exposure.

alcohol-related neurodevelopmental disorder (ARND) behavioral, cognitive, or central nervous system abnormalities associated with prenatal alcohol exposure.

alcohols chemical compounds that consist of a carbon atom or chain of carbons to which a hydroxyl (oxygen-hydrogen) group is attached. The alcohol of alcoholic beverages is ethanol, which has two carbon atoms.

alkalosis (al-kah-LOH-sis) the condition of excess base in the blood, indicated by an

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above-normal blood pH (*alka* means "base"; *osis* means "too much").

allergies immune reactions to foreign substances, such as components of foods. Also called *hypersensitivities* by researchers.

alpha-lactalbumin (lact-AL-byoo-min) the chief protein in human breast milk.

amine (a-MEEN) **group** the nitrogen -containing portion of an amino acid.

amino (a-MEEN-o) **acids** the building blocks of protein. Each has an amine group at one end, an acid group at the other, and a distinctive side chain.

amniotic (AM-nee-OTT-ic) **sac** the "bag of waters" in the uterus in which the fetus floats.

anabolic steroid hormones chemical messengers related to the male sex hormone testosterone that stimulate the building up of body tissues (*anabolic* means "promoting growth"; *sterol* refers to compounds chemically related to cholesterol). In drug form, steroids have serious side effects and are banned in sports.

anaerobic (AN-air-ROH-bic) not requiring oxygen.

anaphylactic (an-ah-feh-LACK-tick) **shock** a life-threatening whole-body allergic reaction to an offending substance.

androgen any of a number of steroid hormones that promote male features and play roles in metabolism, reproductive functioning, and other functions. Both males and females produce androgens.

androstenedione (AN-droh-STEEN-die-own) a precursor of testosterone that elevates both testosterone and estrogen in the blood of both males and females. Often called *andro*, its drug form is sold with claims of producing increased muscle strength, but controlled studies disprove such claims.

anecdotal evidence information based on interesting and entertaining, but not scientific, personal stories.

anemia a blood condition in which red blood cells, the body's oxygen carriers, are inadequate or impaired and so cannot meet the oxygen demands of the body.

anencephaly (an-en-SEFF-ah-lee) an uncommon and always fatal neural tube defect in which the brain fails to form.

aneurysm (AN-you-rism) the ballooning out of an artery wall at a point that is weakened by deterioration.

anorexia nervosa an eating disorder characterized by extreme restriction of energy intake relative to requirements leading to a dangerously low body weight, and a disturbed perception of body weight and shape; seen (usually) in teenage girls and young women (*anorexia* means "without appetite"; *nervos* means "of nervous origin").

Antabuse a drug that increases acetaldehyde, which produces such misery in combination with alcohol that a drinker will refrain from drinking after taking it. (Acetaldehyde is a product formed during alcohol metabolism.) The generic form is *disulfiram*.

antacids medications that react directly and immediately with the acid of the stomach, neutralizing it. Antacids are most suitable for treating occasional heartburn.

antibiotic-resistant bacteria bacterial strains that cause increasingly common and potentially fatal infectious diseases that do not respond to standard antibiotic therapy. An example is MRSA (pronounced MER-suh), a multidrug-resistant *Staphyloccocus aureus* bacterial strain.

antibodies (AN-te-bod-ees) large proteins of the blood, produced by the immune system in response to an invasion of the body by foreign substances (antigens). Antibodies combine with and inactivate the antigens.

anticarcinogens compounds in foods that act in any of several ways to oppose the formation of cancer.

antidiuretic (AN-tee-dye-you-RET-ick) **hormone** a hormone of the brain that signals the kidneys to conserve water in response to dehydration.

antigen a microbe or substance foreign to the body that elicits the formation of antibodies or an inflammation reaction from immune system cells. Food antigens are usually large proteins. Inflammation consists of local swelling and irritation and attracts white blood cells to the site.

antioxidant nutrients vitamins and minerals that oppose the effects of oxidants on human physical functions. The antioxidant vitamins are vitamin E, vitamin C, and beta-carotene. The mineral selenium also participates in antioxidant activities.

antioxidants (an-tee-OX-ih-dants) compounds that protect other compounds from damaging reactions involving oxygen by themselves reacting with oxygen (*anti* means "against"; *oxy* means "oxygen"). *Oxidation* is a potentially damaging effect of normal cell chemistry involving oxygen.

aorta (ay-OR-tuh) the large artery that conducts oxygenated blood away from the heart to the rest of the circulatory system.

appendicitis inflammation and/or infection of the appendix. (The appendix is a sac about 4 inches long, protruding from the large intestine. It may become infected if fragments of the intestinal contents become trapped within it.)

appetite the psychological desire to eat; a learned motivation and a positive sensation that accompanies the sight, smell, or thought of appealing foods.

appliance thermometer a thermometer that verifies the temperature of an appliance. An *oven thermometer* verifies that the oven is heating properly; a *refrigerator/freezer thermometer* tests for proper refrigerator temperature (<40°F, or <4°C) or freezer temperature (0°F, or −17°C).

aquaculture the farming of aquatic organisms for food, generally fish, mollusks, or crustaceans, that involves such activities as feeding immature organisms, providing habitat, protecting them from predators, harvesting them, and selling or consuming them.

aquifer a section of porous rock or sediment that is saturated with groundwater originating from precipitation that seeps through the soil.

arachidonic (ah-RACK-ih-DON-ik) **acid** an omega-6 fatty acid derived from linoleic acid.

arsenic a poisonous metallic element. In trace amounts, arsenic is believed to be an essential nutrient in some animal species. Arsenic is often added to insecticides and weed killers and, in tiny amounts, to certain animal drugs.

arteries blood vessels that carry blood containing fresh oxygen supplies from the heart to the tissues.

arthritis a usually painful inflammation of joints caused by many conditions, including infections, metabolic disturbances, or injury; usually results in altered joint structure and loss of function.

artificial fats zero-energy fat replacers that are chemically synthesized to mimic the sensory and cooking qualities of naturally occurring fats but that are totally or partially resistant to digestion.

ascorbic acid one of the active forms of vitamin C (the other is *dehydroascorbic* acid); an antioxidant nutrient.

-ase (ACE) a suffix meaning *enzyme*. Categories of digestive and other enzymes and individual enzyme names often contain this suffix.

atherogenic diet a diet that promotes atherosclerosis—that is, a diet that is high in saturated fats and trans fats and low in vegetables, fruit, and whole grains. *Atherogenic* means able to initiate or promote atherosclerosis

atherosclerosis (ath-er-oh-scler-OH-sis) the most common form of cardiovascular disease; characterized by plaque along the inner walls of the arteries (*scleros* means "hard"; *osis* means "too much"). The term *arteriosclerosis* is often used to mean the same thing.

athlete a competitor in any sport, exercise, or game requiring physical skill; for the purpose of this book, anyone who trains at a high level of physical exertion, with or without competition. From the Greek *athlein*, meaning "to contend for a prize."

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atrophy (AT-tro-fee) reduction in size (e.g., of a muscle) because of disuse.

autoimmune disorder a disease in which the body develops antibodies against its own proteins and then proceeds to destroy cells containing these proteins. Examples are type 1 diabetes and lupus.

B

baby water ordinary bottled water treated with ozone to make it safe but not sterile.

balance the dietary characteristic of providing foods of a number of types in proportion to each other, such that foods rich in some nutrients do not crowd out the diet foods that are rich in other nutrients.

balance study a laboratory study in which a subject is fed a controlled diet and the intake and excretion of a nutrient are measured. Balance studies are valid only for nutrients such as calcium (chemical elements) that do not change while they are in the body.

basal metabolic rate (BMR) the rate at which the body uses energy to support its basal metabolism.

basal metabolism the sum total of all the involuntary activities that are necessary to sustain life, including circulation, respiration, temperature maintenance, hormone secretion, nerve activity, and new tissue synthesis, but excluding digestion and voluntary activities. Basal metabolism is the largest component of the average person's daily energy expenditure.

bases compounds that accept hydrogens from solutions.

beetroot the root portion of the ordinary beet plant; the root vegetable, beet. Beetroot extracts may be sold as ergogenic aids.

behavior modification alteration of behavior using methods based on the theory that actions can be controlled by manipulating the environmental factors that cue, or trigger, the actions.

beriberi (berry-berry) the thiamin-deficiency disease; characterized by loss of sensation in the hands and feet, muscular weakness, advancing paralysis, and abnormal heart action.

beta-alanine a nonessential amino acid that enhances the buffering capacity of skeletal muscle.

beta-carotene an orange pigment with antioxidant activity; a vitamin A precursor made by plants, present in many colorful fruits and vegetables, and stored in human fat tissue.

bicarbonate a common alkaline chemical; a secretion of the pancreas. (Sodium bicarbonate is baking soda.)

bile a cholesterol-containing digestive fluid made by the liver, stored in the gallbladder, and released into the small intestine when needed. It emulsifies fats and oils to ready them for enzymatic digestion.

binge drinking consuming five or more drinks on the same occasion for men, or four or more drinks on the same occasion for women. Also called *heavy episodic drinking*.

binge eating disorder an eating disorder whose criteria are similar to those of bulimia nervosa, excluding purging or other compensatory behaviors.

bioaccumulation the accumulation of a contaminant in the tissues of living things at higher and higher concentrations along the food chain.

bioactive having chemical or physical properties that affect the functions of the body tissues.

bioactive food components compounds in foods, either nutrients or phytochemicals, that alter physiological processes.

biodiversity the variety of living organisms in a defined area, such as the world or a particular habitat or ecosystem.

bioengineering (BYE-oh-en-jeh-NEER-ing) the direct, intentional manipulation of the genetic material of living things in order to obtain some desirable inheritable trait not present in the original organism. Also called *genetic engineering*.

biofilm a layer of microbes mixed with a sticky, protective coating of proteins and carbohydrates exuded by certain bacteria.

biotechnology the science of manipulating biological systems or organisms to modify their products or components or create new products; biotechnology includes recombinant DNA technology and traditional and accelerated selective breeding techniques.

biotin (BY-o-tin) a B vitamin; a coenzyme necessary for fat synthesis and other metabolic reactions.

bladder the sac that holds urine until time for elimination.

blind experiment an experiment in which the subjects do not know whether they are members of the experimental group or the control group. In a *double-blind experiment*, neither the subjects nor the researchers know to which group the members belong until the end of the experiment.

blood the fluid of the cardiovascular system; composed of water, red and white blood cells, other formed particles, nutrients, oxygen, and other constituents.

body composition the proportions of muscle, bone, fat, and other tissue that make up a person's total body weight.

body fat distribution the pattern of fat deposition in various body areas.

body mass index (BMI) an indicator of health risk from obesity or underweight in people older than 20 years, calculated by dividing the weight of a person by the square of the person's height.

body system a group of related organs that work together to perform a function. Examples are the circulatory system, respiratory system, and nervous system.

bone density a measure of bone strength, the degree of mineralization of the bone matrix.

bone meal or powdered bone crushed or ground bone preparations intended to supply calcium to the diet. Calcium from bone is not well absorbed and is often contaminated with toxic materials such as arsenic, mercury, lead, and cadmium.

botanical pertaining to or made from plants; any drug, medicinal preparation, dietary supplement, or similar substance obtained from a plant.

bottled water drinking water sold in single-use or reusable bottles commonly ranging in size from 5 ounces to 5 gallons.

botulism an often fatal foodborne illness caused by the botulinum toxin, a toxin produced by the *Clostridium botulinum* bacterium, which grows without oxygen in nonacidic canned foods.

bovine growth hormone a hormone (somatotropin) produced naturally in the pituitary gland of the brain in cattle that promotes growth and milk production; the drug form is produced industrially by genetically engineered bacteria.

bovine spongiform encephalopathy (BOH-vine SPUNJ-ih-form en-SEH-fal-AH-path-ee) **(BSE)** an often fatal illness of the nerves and brain observed in cattle and wild game and in people who consume affected meats. Also called *mad cow disease*.

BPA (bisphenol A) a compound that hardens plastic and a component of epoxy resin. BPA can leach from some plastic containers into the foods and beverages contained inside.

bran the protective fibrous coating around a grain; the chief fiber constituent of a grain.

broccoli sprouts the sprouted seed of *Brassica italica*, or the common broccoli plant; believed to be a functional food by virtue of its high phytochemical content.

brown adipose tissue (BAT) a type of adipose tissue abundant in hibernating animals and human infants and recently identified in human adults. Abundant pigmented enzymes of energy metabolism give BAT a dark appearance under a microscope; the enzymes release heat from fuels without accomplishing other work. Also called *brown fat*.

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brown bread bread containing ingredients such as molasses that lend a brown color; these breads may be made with any kind of flour, including white flour.

brown sugar white sugar with molasses added, 95 percent pure sucrose.

buffers molecules that can help keep the pH of a solution from changing by gathering or releasing H ions.

built environment the buildings, roads, utilities, homes, fixtures, parks, and all the other man-made entities that form the physical characteristics of a community.

bulimia (byoo-LEEM-ee-uh) **nervosa** recurring episodes of binge eating combined with a morbid fear of becoming fat; usually followed by self-induced vomiting, misuse of laxatives or diuretics, fasting, or excessive exercise.

C

caffeine a naturally occurring stimulant found in many common foods and beverages, including chocolate, coffee, and tea, that can produce alertness and reduce reaction time when used in small doses but that causes headaches, trembling, an abnormally fast heart rate, and other undesirable effects in high doses.

caffeine water bottled water with caffeine added.

calcium compounds the simplest forms of purified calcium. They include calcium carbonate, citrate, gluconate, hydroxide, lactate, malate, and phosphate. These supplements vary in the amount of calcium they contain, so read the labels carefully. A 500-milligram tablet of calcium gluconate may provide only 45 milligrams of calcium, for example.

calorie control the dietary characteristic of controlling energy intake; a feature of a sound diet plan.

calories units of energy. In nutrition science, the unit used to measure the energy in foods is a kilocalorie (also called *kcalorie* or *Calorie*): it is the amount of heat energy necessary to raise the temperature of a kilogram (a liter) of water 1 degree Celsius. This book follows the common practice of using the lowercase term *calorie* (abbreviated *cal*) to mean the same thing.

cancer a group of diseases characterized by the uncontrolled growth and spread of abnormal cells.

capillaries minute, weblike blood vessels that connect arteries to veins and permit transfer of materials between blood and tissues.

carbohydrase (car-boh-HIGH-drace) any of a number of enzymes that break the chemical bonds of carbohydrates.

carbohydrates compounds composed of single or multiple sugars. The name means "carbon and water," and a chemical shorthand for carbohydrate is CHO, signifying carbon (C), hydrogen (H), and oxygen (O).

carbon sink a reservoir, natural or man-made, that accumulates and stores carbon from carbon dioxide in the air, lowering atmospheric carbon dioxide concentration; forests, oceans, and other natural habitats are important global carbon sinks.

carbonated water water that contains carbon dioxide gas, either naturally occurring or added, that causes bubbles to form in it; also called bubbling or sparkling water. Seltzer, soda, and tonic waters are legally soft drinks and are not regulated as water.

carcinogen (car-SIN-oh-jen) a cancer-causing substance; asbestos and tobacco smoke are examples of carcinogens.

carcinogenesis the process of cancer development (*carcin* means "cancer"; *gen* means "gives rise to").

cardiac output the volume of blood discharged by the heart each minute.

cardiorespiratory endurance the ability of the heart, lungs, and metabolism to sustain large-muscle exercise of moderate to high intensity for prolonged periods.

cardiovascular disease (CVD) a general term describing diseases of the heart and/or blood vessels. Examples of CVD include hypertension, coronary heart disease, and stroke.

carnitine nonessential nutrient that functions in cellular activities.

carotenoids (CARE-oh-ten-oyds) members of a group of pigments in foods that range in color from light yellow to reddish orange and are chemical relatives of beta-carotene. Many have a degree of vitamin A activity in the body.

carrying capacity the total number of living organisms that a given environment can support without deteriorating in quality.

case study a study of a single individual. When in clinical settings, researchers can observe treatments and their apparent effects. To prove that a treatment has produced an effect requires simultaneous observation of an untreated similar subject (a *case control*).

catalyst a substance that speeds the rate of a chemical reaction without itself being permanently altered in the process. All enzymes are catalysts.

cataract (CAT-uh-ract) clouding of the lens of the eye that can lead to blindness. Cataracts can be caused by injury, viral infection, toxic substances, genetic disorders, and possibly some nutrient deficiencies or imbalances.

cathartic a strong laxative.

celiac (SEE-lee-ack) **disease** a disorder characterized by an abnormal immune response, weight loss, and intestinal inflammation on exposure to the dietary protein gluten; also called *gluten-sensitive enteropathy* or *celiac sprue*.

cell differentiation (dih-fer-en-she-AY-shun) the process by which immature cells are stimulated to mature and gain the ability to perform functions characteristic of their cell type.

cells the smallest units in which independent life can exist. All living things are single cells or organisms made of cells.

cellulite (CELL-yoo-light) a term popularly used to describe dimpled fat tissue on the thighs and buttocks; not recognized in science.

central obesity excess fat in the abdomen and around the trunk.

certified diabetes educator (CDE) a health-care professional who has completed an intensive professional training program and examination to earn a certificate attesting to the attainment of knowledge and skill in educating people with diabetes to help them manage their disease through medical and lifestyle means.

certified lactation consultant a health-care provider, often a registered nurse or a registered dietitian nutritionist, with specialized training and certification in breast and infant anatomy and physiology who teaches the mechanics of breastfeeding to new mothers.

certified specialist in sports dietetics (CSSD) a Registered Dietitian Nutritionist with special credentials and expertise to deliver safe, effective, evidence-based nutrition assessments and guidance for health and performance to athletes and other physically active people.

cesarean (see-ZAIR-ee-un) **section** surgical childbirth, in which the infant is taken through an incision in the woman's abdomen.

chelates (KEY-lates) compounds of minerals (such as calcium) combined with amino acids in a form that favors their absorption. A chelating agent is a molecule that binds to another molecule and can then either promote or prevent its movement from place to place (*chele* means "claw").

chelating agents (KEY-late-ing) molecules that attract or bind with other molecules and are therefore useful in either preventing or promoting movement of substances from place to place.

chlorophyll the green pigment of plants that captures energy from sunlight for use in photosynthesis.

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cholesterol (koh-LESS-ter-all) a member of the group of lipids known as sterols; a soft, waxy substance made in the body and also found in animal-derived foods.

choline (KOH-leen) a nutrient used to make the phospholipid lecithin and other molecules.

chromosomes structures of mostly coiled DNA and proteins, housed in the nucleus of every cell. The DNA carries the genes for making cellular proteins; the protein and other constituents influence the configuration and functioning of the DNA.

Chronic Disease Risk Reduction Intakes (CDRR) levels of nutrient intake associated with low risks of chronic diseases. For sodium, the level above which intake reduction is expected to reduce chronic disease risk within an apparently healthy population.

chronic diseases degenerative conditions or illnesses that progress slowly, are long in duration, and lack immediate cures. Chronic diseases limit functioning, productivity, and the quality and length of life. Examples include heart disease, cancer, and diabetes.

chronic hypertension in pregnant women, hypertension that is present and documented before pregnancy; in women whose prepregnancy blood pressure is unknown, the presence of sustained hypertension before 20 weeks of gestation.

chronic malnutrition malnutrition caused by long-term food deprivation; characterized in children by short height for age (*stunting*).

chylomicrons (KYE-low-MY-krons) lipoproteins formed when lipids from a meal cluster with carrier proteins in the cells of the intestinal lining. Chylomicrons transport food fats through the watery body fluids to the liver and other tissues.

chyme (KIME) the fluid resulting from the actions of the stomach upon a meal.

cirrhosis (seer-OH-sis) advanced liver disease, often associated with alcoholism, in which liver cells have died, hardened, turned an orange color, and permanently lost their function. An earlier stage is fatty liver.

clone an individual created asexually from a single ancestor, such as a plant grown from a single stem cell; a group of genetically identical individuals descended from a single common ancestor, such as a colony of bacteria arising from a single bacterial cell; in genetics, a replica of a segment of DNA, such as a gene, produced by bioengineering.

coconut sugar a granulated sugar composed of sucrose, glucose, and fructose; made by evaporating the sap of the flower buds of coconut palm trees.

coconut water the fluid inside a young green coconut; heavily marketed for its substantial potassium content, it also provides about 45 calories per cup and little or no fat.

coenzyme (co-EN-zime) a small molecule that works with an enzyme to promote the enzyme's activity. Many coenzymes have B vitamins as part of their structure (*co* means "with").

cognitive behavioral therapy psychological therapy aimed at changing undesirable behaviors by changing underlying thought processes contributing to these behaviors; in anorexia, a goal is to replace false beliefs about body weight, eating, and self-worth with health-promoting beliefs.

collagen (COLL-a-jen) the chief protein of most connective tissues, including scars, ligaments, and tendons, and the underlying matrix on which bones and teeth are built.

colon the large intestine.

colostrum (co-LAHS-trum) a milklike secretion from the breasts during the first day or so after delivery before milk appears; rich in protective factors.

competitive foods unregulated meals, including fast foods, that compete side by side with USDA-regulated school lunches.

complementary and alternative medicine (CAM) a group of diverse medical and health-care systems, practices, and products that are not considered to be a part of conventional medicine. Examples include acupuncture, biofeedback, chiropractic, faith healing, and many others.

complementary foods nutrient- and energy-containing solid or semisolid foods (or liquids) fed to infants in addition to breast milk or infant formula.

complementary proteins two or more proteins whose amino acid assortments complement each other in such a way that the essential amino acids missing from one are supplied by the other.

complex carbohydrates long chains of sugar units arranged to form starch or fiber; also called *polysaccharides*.

concentrated fruit juice sweetener a concentrated sugar syrup made from dehydrated, deflavored fruit juice, commonly grape juice; used to sweeten products that can then claim to be "all fruit."

conditionally essential amino acid an amino acid that is normally nonessential but must be supplied by the diet in special circumstances when the need for it exceeds the body's ability to produce it.

confectioner's sugar finely powdered sucrose, 99.9 percent pure.

constipation infrequent, difficult, bowel movements, generally fewer than three per week, often caused by diet, inactivity, dehydration, or medication.

control group a group of individuals who are similar in all possible respects to the group being treated in an experiment but who receive a sham treatment instead of the real one. Also called *control subjects*.

controlled clinical trial an experiment in which one group of subjects (the *experimental group*) receives a treatment and a comparable group (the *control group*) receives an imitation treatment and outcomes for the two are compared. Ideally, neither subjects nor researchers know who receives the treatment and who gets the placebo (a double-blind study).

cornea (KOR-nee-uh) the transparent hard, outer covering of the front of the eye.

corn sweeteners corn syrup and sugar solutions derived from corn.

corn syrup a syrup, mostly glucose, partly maltose, produced by the action of enzymes on cornstarch. Includes corn syrup solids.

coronary heart disease a chronic, progressive disease characterized by obstructive blood flow in the coronary arteries; also called *coronary artery disease*. The coronary arteries are those that feed the heart muscle itself. See also *peripheral artery disease*.

correlation the simultaneous change of two factors, such as the increase of weight with increasing height (a *direct* or *positive* correlation) or the decrease of cancer incidence with increasing fiber intake (an *inverse* or *negative* correlation). A correlation between two factors suggests that one may cause the other but does not rule out the possibility that both may be caused by chance or by a third factor.

cortex the outermost layer of something. The brain's cortex is the part of the brain where conscious thought takes place.

cortical bone the ivorylike outer bone layer that forms a shell surrounding trabecular bone and that comprises the shaft of a long bone.

country of origin label (COOL) the required label stating the country of origination of certain imported fish and shellfish, certain other perishable foods, certain nuts, peanuts, and ginseng. Meats and poultry are no longer subject to COOL labeling.

creatine a nitrogen-containing compound that combines with phosphate to form a high-energy compound stored in muscle. Some studies suggest that creatine enhances energy and stimulates muscle growth, but long-term studies are lacking; digestive side effects may occur.

cretinism (CREE-tin-ism) severe mental and physical retardation of an infant caused by the mother's iodine deficiency during pregnancy.

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critical thinking the mental activity of rationally and skillfully analyzing, synthesizing, and evaluating information.

critical period a finite period during development in which certain events may occur that will have irreversible effects on later developmental stages. A critical period is usually a period of cell division in a body organ.

cross-contamination the contamination of food through exposure to utensils, hands, or other surfaces that were previously in contact with contaminated food.

cruciferous vegetables vegetables with cross-shaped blossoms, members of the cabbage family. Intakes of these vegetables are associated with low cancer rates in human populations. Examples are broccoli, Brussels sprouts, cabbage, cauliflower, rutabagas, and turnips.

cuisines styles of cooking.

cultural competence having an awareness and acceptance of one's own and others' cultures and abilities, leading to effective interactions with all kinds of people.

D

Daily Values nutrient standards used on food labels and on grocery store and restaurant signs.

dead zones columns of oxygen-depleted lake or ocean water in which fish and other lake and marine life cannot survive; often caused by algae blooms that occur when fertilizers and waste wash off land areas and enter natural waterways.

dehydration loss of water. The symptoms progress rapidly, from thirst to weakness to exhaustion and delirium, and end in death.

denaturation the irreversible change in a protein's folded shape brought about by heat, acids, bases, alcohol, salts of heavy metals, or other agents.

dental caries decay of the teeth (*caries* means "rottenness"). Also called *cavities*.

dextrose, anhydrous dextrose forms of glucose.

DHEA (dehydroepiandrosterone) a hormone made in the adrenal glands that serves as a precursor to the male hormone testosterone; recently banned by the U.S. Food and Drug Administration (FDA) because it poses the risk of life-threatening diseases, including cancer. Falsely promoted to burn fat, build muscle, and slow aging.

diabetes (dye-uh-BEET-eez) metabolic diseases that impair a person's ability to regulate blood glucose.

dialysis (die-AL-ih-sis) a medical treatment for failing kidneys in which a person's blood is circulated through a machine that filters out toxins and wastes and returns cleansed blood to the body; more properly called *hemodialysis*, meaning "dialysis of the blood."

diarrhea frequent, watery bowel movements usually caused by diet, stress, or irritation of the colon. Severe, prolonged diarrhea robs the body of fluid and certain minerals, causing dehydration and imbalances that can be dangerous if left untreated.

diet the foods (including beverages) a person usually eats and drinks.

dietary antioxidants compounds typically found in plant foods that counteract the adverse effects of oxidation on living tissues. The major antioxidant vitamins are vitamin E, vitamin C, and beta-carotene. Many phytochemicals are also antioxidants.

dietary folate equivalent (DFE) a unit of measure expressing the amount of folate available to the body from naturally occurring sources. The measure mathematically equalizes the difference in absorption between less absorbable food folate (folic acid) and highly absorbable synthetic folate added to enriched foods and found in supplements.

dietary nitrate a compound composed of one nitrogen and three oxygen atoms, often concentrated in extracts of vegetables, particularly beetroot, celery, and spinach; nitrate releases oxygen as it undergoes chemical conversions in the body.

dietary pattern the combination of foods and beverages that constitute an individual's complete dietary intake over time; a person's usual diet. Also called *eating pattern*.

Dietary Reference Intakes (DRI) a set of five lists of values for measuring the nutrient intakes of healthy people in the United States and Canada. The lists are Estimated Average Requirements (EAR), Recommended Dietary Allowances (RDA), Adequate Intakes (AI), Tolerable Upper Intake Levels (UL), and Acceptable Macronutrient Distribution Ranges (AMDR).

dietary supplements pills, liquids, or powders that contain purified nutrients or other ingredients.

dietetic technician see *nutrition and dietetics technician, registered*.

dietitian a person trained in the science of nutrition and dietetics. See also *Registered Dietitian Nutritionist*.

digest to break molecules into smaller molecules; a main function of the digestive tract with respect to food.

digestive system the body system composed of organs that break down complex food particles into smaller, absorbable products. The *digestive tract* and *alimentary canal* are names for the tubular organs that extend from the mouth to the anus. The whole system, including the pancreas, liver, and gallbladder, is sometimes called the *gastrointestinal*, or GI, system.

dipeptides (dye-PEP-tides) protein fragments that are two amino acids long (*di* means "two").

diploma mill an organization that awards meaningless degrees without requiring students to meet educational standards. Diploma mills are not the same as diploma forgers (providing fake diplomas and certificates bearing the names of real, respected institutions). Although visually indistinguishable from authentic diplomas, forgeries can be unveiled by checking directly with the institution.

disaccharides pairs of single sugars linked together (*di* means "two").

distilled water water that has been vaporized and recondensed, leaving it free of dissolved minerals.

diuretic (dye-you-RET-ic) a compound, usually a medication, causing increased urinary water excretion; a "water pill."

diverticula (dye-ver-TIC-you-la) sacs or pouches that balloon out of the intestinal wall, caused by weakening of the muscle layers that encase the intestine. The painful inflammation of one or more of the diverticula is known as *diverticulitis*.

DNA an abbreviation for deoxyribonucleic (dee-OX-ee-RYE-bow-nu-CLAY-ick) acid, the thread-like molecule that encodes genetic information in its structure; DNA strands coil up densely to form the chromosomes.

dolomite a compound of minerals (calcium magnesium carbonate) found in limestone and marble. Dolomite is powdered and is sold as a calcium-magnesium supplement but may be contaminated with toxic minerals, is not well absorbed, and interacts adversely with absorption of other essential minerals.

dopamine (DOH-pah-meen) a neurotransmitter that facilitates many important functions in the brain, including cognition, pleasure, motivation, mood, sleep, and others.

drink see *a drink*.

drug any substance that, when taken into a living organism, modifies one or more of its functions.

dual-energy X-ray absorptiometry (ab-sorp-tee-OM-eh-tree) a noninvasive method of determining total body fat, fat distribution, and bone density by passing two low-dose X-ray beams through the body. Also used in evaluation of osteoporosis. Abbreviated DEXA.

E

eating disorder a disturbance in eating behavior that jeopardizes a person's physical or psychological health.

eclampsia (eh-CLAMP-see-ah) a severe complication during pregnancy in which seizures occur.

edamame fresh green soybeans, a source of phytoestrogens.

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edema (eh-DEEM-uh) swelling of body tissue caused by leakage of fluid from the blood vessels; seen in protein deficiency (among other conditions).

eicosanoids (eye-COSS-ah-noyds) biologically active compounds that regulate body functions.

electrolytes compounds that partly dissociate in water to form ions, such as the potassium ion (K^+) and the chloride ion (Cl^-).

elemental diets diets composed of purified ingredients of known chemical composition; intended to supply, to the greatest extent possible, all essential nutrients to people who cannot eat foods.

embolism the event in which an embolus lodges in an artery and suddenly cuts off the blood supply to a part of the body. See also *thrombosis.*

embolus (EM-boh-luss) a clot that travels through the circulatory system (*embol* means "to insert").

embryo (EM-bree-oh) the stage of human gestation from the third to the eighth week after conception.

emergency kitchens programs that provide prepared meals to those who need them. Mobile emergency kitchens can be dispatched to wherever the need is greatest; permanent facilities are often called *soup kitchens* or *congregate meal sites*.

emetic (em-ETT-ic) an agent that causes vomiting.

empty calories calories provided by added sugars and fats with few or no other nutrients. Other empty calorie sources include alcohol, and highly refined starches, such as corn starch or potato starch, often found in ultra-processed foods.

emulsification the process of mixing lipid with water by adding an emulsifier.

emulsifier (ee-MULL-sih-fire) a compound with both water-soluble and fat-soluble portions that mixes with both fat and water and permanently disperses the fat in the water, forming an emulsion.

emulsion a mixture of two liquids that do not usually mix, in which tiny particles of one liquid are held suspended in the other.

endemic common or prevalent in a particular area or group of people.

endocrine organ (EN-doh-krin) any of a number of body organs that synthesize and secrete hormones that travel in body fluids to other organs where they influence diverse critical functions, such as glucose metabolism, growth and development, and food intake.

endosperm the bulk of the edible part of a grain, the starchy part.

energy the capacity to do work. The energy in food is chemical energy; it can be converted to mechanical, electrical, thermal, or other forms of energy in the body. Food energy is measured in calories.

energy availability the amount of food energy consumed in a day minus the energy expended in physical activity; measured in calories per kilogram of lean body mass.

energy density a measure of the energy provided by a food relative to its weight (calories per gram).

energy drinks and energy shots sugar-sweetened beverages in various concentrations with supposedly ergogenic ingredients, such as vitamins, amino acids, caffeine, guarana, carnitine, ginseng, and others. Regulations of these drinks by the FDA is lax, and they are often high in caffeine or other stimulants.

energy reservoir a system of high-energy compounds that hold, store, and release energy derived from the energy-yielding nutrients and transfer it to cell structures to fuel cellular activities.

energy-yielding nutrients the nutrients the body can use for energy: carbohydrate, fat (also called *lipids*), and protein. These also may supply building blocks for body structures.

enriched foods and fortified foods foods to which nutrients have been added. If the starting material is a whole, basic food such as milk or whole grain, the result may be highly nutritious. If the starting material is a concentrated form of sugar or fat, the result is less nutritious.

enriched, fortified refers to the addition of nutrients to a refined food product. As defined by U.S. law, these terms mean that specified levels of thiamin, riboflavin, niacin, folate, and iron have been added to refined grains and grain products. The terms *enriched* and *fortified* can refer to the addition of more nutrients than just these five; read the label.

enterotoxins poisons that act on mucous membranes, such as those of the digestive tract.

environmental tobacco smoke the combination of exhaled smoke (mainstream smoke) and smoke from lighted cigarettes, pipes, or cigars (sidestream smoke) that enters the air around smokers and may be inhaled by other people. Also called *secondhand smoke*.

enzymes (EN-zimes) working proteins that speed up specific chemical reactions, such as releasing energy from nutrient molecules, without themselves being altered in the process.

EPA, DHA eicosapentaenoic (EYE-cossa-PENTA-ee-NO-ick) acid, docosahexaenoic (DOE-cossa-HEXA-ee-NO-ick) acid; omega-3 fatty acids made from linolenic acid in the tissues of fish.

epidemiological studies studies of populations; often used in nutrition to search for correlations between dietary habits and disease incidence; a first step in seeking nutrition-related causes of diseases.

epigenetics (ep-ih-gen-EH-tics) the science of heritable changes in gene function that occur without changes in the DNA sequence.

epigenome (ep-ih-GEE-nohm) a collection of molecules associated with chromosomes that modulate protein replication at the level of the genes. *Epi* is a Greek prefix, meaning "above" or "on." *Epi* is a Greek prefix, meaning "above" or "on."

epinephrine (epp-ih-NEFF-rin) a hormone of the adrenal gland that counteracts anaphylactic shock by opening the airways and maintaining heartbeat and blood pressure. Also called *adrenaline.*

epiphyseal (eh-PIFF-ih-seal) **plate** a thick, cartilage-like layer that forms new cells that are eventually calcified, lengthening the bone (*epiphysis* means "growing" in Greek).

epithelial (ep-ith-THEE-lee-ull) **tissue** the layers of the body that serve as selective barriers to environmental factors. Examples are the cornea, the skin, the respiratory tract lining, and the lining of the digestive tract.

ergogenic (ER-go-JEN-ic) **aids** products that supposedly enhance performance, although few actually do so; the term *ergogenic* implies "energy giving" (*ergo* means "work"; *genic* means "give rise to").

erythrocyte (eh-REETH-ro-sight) **hemolysis** (HEE-moh-LIE-sis, hee-MOLL-ih-sis) rupture of the red blood cells that can be caused by vitamin E deficiency (*erythro* means "red"; *cyte* means "cell"; *hemo* means "blood"; *lysis* means "breaking"). The anemia produced by the condition is *hemolytic* (HEE-moh-LIT-ick) *anemia*.

essential amino acids amino acids that either cannot be synthesized at all by the body or cannot be synthesized in amounts sufficient to meet physiological need.

essential fatty acids fatty acids that the body needs but cannot make and so must be obtained from the diet.

essential nutrients the nutrients the body cannot make for itself (or cannot make fast enough) from other raw materials; nutrients that must be obtained from food to prevent deficiencies.

Estimated Average Requirements (EAR) the average daily nutrient intake estimated to meet the requirement of half of the healthy individuals in a particular life stage and gender group.

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Estimated Energy Requirement (EER) the average dietary energy intake predicted to maintain energy balance in a healthy adult of a certain age, sex, weight, height, and level of physical activity consistent with good health.

ethanol the alcohol of alcoholic beverages, often called simply *alcohol*; a drug.

ethnic foods foods associated with particular cultural subgroups within a population.

euphoria (you-FOR-ee-uh) a state of intense happiness induced by an extremely pleasurable experience or by a drug such as ethanol.

evaporated cane juice raw sugar from which impurities have been removed.

excess postexercise oxygen consumption (EPOC) a measure of increased metabolism (energy expenditure) that continues for minutes or hours after cessation of exercise.

exclusive breastfeeding an infant's consumption of human milk with no supplementation of any type (no water, no juice, no nonhuman milk, and no foods) except for vitamins, minerals, and medications.

exercise planned, structured, and repetitive bodily movement that promotes or maintains physical fitness.

experimental group the people or animals participating in an experiment who receive the treatment under investigation. Also called *experimental subjects*.

extracellular fluid fluid residing outside the cells that transports materials to and from the cells.

extra virgin olive oil minimally processed olive oil produced by mechanical means, such as pressing (not chemical extraction), to preserve phytochemicals, green color, and flavor from the original olives. The highest grade of olive oil.

extrusion processing techniques that transform grains, legumes, and other foods into fine particles that are cooked, shaped, colored, flavored, and often puffed, producing snacks, breakfast cereals, and other products.

F

famine widespread and extreme scarcity of food that causes starvation and death in a large portion of the population in an area.

farm share an arrangement in which a farmer offers the public a "subscription" for an allotment of the farm's products throughout the season.

fast foods restaurant foods that are available within minutes after customers order them—traditionally, hamburgers, French fries, and milkshakes; more recently, salads and other vegetable dishes as well. These foods may or may not meet people's nutrient needs, depending on the selections provided and on the energy allowances and nutrient needs of the eaters.

fasting plasma glucose test a blood test that measures the current blood glucose concentration in a person who has not ingested caloric beverages for at least 8 hours; the test can detect both diabetes and prediabetes. *Plasma* is the fluid part of whole blood.

fat cells cells that specialize in the storage of fat and form the fat tissue. Fat cells also produce fat-metabolizing enzymes; they also produce hormones involved in appetite and energy balance.

fat replacers ingredients that replace some or all of the functions of fat and may or may not provide energy.

fats lipids that are solid at room temperature (70°F or 21°C).

fatty acids organic acids composed of carbon chains of various lengths. Each fatty acid has an acid end and hydrogens attached to all of the carbon atoms of the chain.

fatty liver an early stage of liver deterioration seen in several diseases, including nonalcoholic and alcoholic liver diseases, in which fat accumulates in the liver cells.

fatty streaks deposits of fat on the inner surfaces of arteries, an early stage in the formation of plaques.

FDA Food Safety Modernization Act (FSMA) a law enacted in 2016 to build a new system of domestic and international controls for the detection, prevention, and correction of microbial contamination of the U.S. food supply.

feces waste material remaining after digestion and absorption are complete; eventually discharged from the body.

Fellow of the Academy of Nutrition and Dietetics (FAND) members of the academy who are recognized for their outstanding service and integrity in the dietetics profession.

female athlete triad a potentially fatal triad of medical problems seen in female athletes: low energy availability (with or without disordered eating), menstrual dysfunction, and low bone mineral density.

fermentation the anaerobic (without oxygen) breakdown of carbohydrates by microorganisms that releases small organic compounds along with carbon dioxide and energy.

fertility the capacity of a woman to produce a normal ovum periodically and of a man to produce normal sperm; the ability to reproduce.

fetal alcohol spectrum disorders (FASD) a spectrum of physical, behavioral, and cognitive disabilities caused by prenatal alcohol exposure.

fetal alcohol syndrome (FAS) the cluster of symptoms including brain damage, growth restriction, mental retardation, and facial abnormalities seen in an infant or child whose mother consumed alcohol during her pregnancy.

fetus (FEET-us) the stage of human gestation from eight weeks after conception until the birth of an infant.

fiber a collective term for various indigestible plant materials, many of which bear links with human health.

fibers the indigestible parts of plant foods, largely nonstarch polysaccharides that are not digested by human digestive enzymes, although some are digested by resident bacteria of the colon. Fibers include cellulose, hemicelluloses, pectins, gums, mucilages, and a few non-polysaccharides such as lignin.

fight-or-flight reaction the body's instinctive hormone- and nerve-mediated reaction to danger. Also known as the *stress response*.

fitness the characteristics that enable the body to perform physical activity; more broadly, the ability to meet routine physical demands with enough reserve energy to rise to physical challenges and withstand stress.

fitness water lightly flavored bottled water enhanced with vitamins, supposedly to enhance athletic performance.

flavored waters lightly flavored beverages with few or no calories, but often containing vitamins, minerals, herbs, or other unneeded substances. Not superior to plain water for athletic competition or training.

flexibility the capacity of the joints to move through a full range of motion; the ability to bend and recover without injury.

flexitarian a predominantly plant-based diet, with occasional inclusions of meat, poultry, or fish. Also called partial vegetarian or semi-vegetarian.

fluid and electrolyte balance maintenance of the proper amounts and kinds of fluids and minerals in each compartment of the body.

fluid and electrolyte imbalance failure to maintain the proper amounts and kinds of fluids and minerals in every body compartment; a medical emergency.

fluorapatite (floor-APP-uh-tight) a crystal of bones and teeth, formed when fluoride displaces the "hydroxy" portion of hydroxyapatite. Fluorapatite resists being dissolved back into body fluid.

fluorosis (floor-OH-sis) discoloration of the teeth due to ingestion of too much fluoride

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during tooth development. *Skeletal fluorosis* is characterized by unusually dense but weak, fracture-prone, often malformed bones, caused by excess fluoride in bone crystals.

foam cells foamy-looking cells formed during plaque formation: they develop from white blood cells that, while clearing fat from plaques, become engorged with it.

folate (FOH-late) a B vitamin that acts as part of a coenzyme important in the manufacture of new cells. The form added to foods and supplements is *folic acid*.

food scientifically, materials, usually of plant or animal origin, that contain essential nutrients, such as carbohydrates, fats, proteins, vitamins, or minerals, and that are ingested and assimilated by an organism to produce energy, stimulate growth, and maintain life; socially, a more limited number of such materials defined as acceptable by a culture.

food aversion an intense dislike of a food, biological or psychological in nature, resulting from an illness or other negative experience associated with that food.

food banks facilities that collect and distribute food donations to authorized organizations feeding the hungry.

foodborne illness illness transmitted to human beings through food; caused by an infectious agent (*foodborne infection*) or a poisonous substance arising from microbial toxins, poisonous chemicals, or other harmful substances (*food intoxication*). Also commonly called *food poisoning*.

food contaminant any substance occurring in food by accident; any food constituent that is not normally present.

food crisis a steep decline in food availability with a proportional rise in hunger and malnutrition at the local, national, or global level.

food deserts low-income communities where many people do not own cars and live more than a mile from a supermarket or large grocery store (in rural areas, more than 10 miles).

food forests areas planted with fruit or nut-bearing trees and shrubs that are freely accessible to the public.

food group plan a diet-planning tool that sorts foods into groups based on their nutrient content and then specifies that people should eat certain minimum numbers of servings of foods from each group.

food intolerance an adverse reaction to a food or food additive not involving an immune response.

food justice the concept that all people should have sufficient access to nutritious, culturally significant foods.

food loss food loss decreases in the food supply due to agricultural or industrial inefficiencies in food production, storage, processing, and distribution.

food neophobia (NEE-oh-FOE-beeah) the fear of trying new foods, common among toddlers.

food pantries community food collection programs that provide groceries to be prepared and eaten at home.

food poverty hunger occurring when enough food exists in an area but some of the people cannot obtain it because they lack money, are being deprived for political reasons, live in a country at war, or suffer from other problems such as lack of transportation.

food recovery collecting wholesome surplus food for distribution to people who lack food.

foodways the sum of a culture's habits, customs, beliefs, and preferences concerning food.

food waste decreases in the food supply because good-quality retail or wholesale food is discarded, with or without spoilage, for example by consumers, grocery stores, or restaurants.

fork thermometer a utensil combining a meat fork and an instant-read food thermometer.

fraud or quackery the promotion, for financial gain, of devices, treatments, services, plans, or products (including diets and supplements) claimed to improve health, well-being, or appearance without proof of safety or effectiveness. (The word *quackery* comes from the term *quacksalver*, meaning a person who quacks loudly about a miracle product—a lotion or a salve.)

free radicals atoms or molecules with one or more unpaired electrons that make the atom or molecule unstable and highly reactive.

fructose (FROOK-tose) a monosaccharide; sometimes known as fruit sugar (*fruct* means "fruit"; *ose* means "sugar").

fructose, galactose, glucose the monosaccharides important in nutrition.

fruitarian a diet that includes only raw or dried fruit, seeds, and nuts in the diet; nutrient deficiencies and dental caries are associated with such diets.

fufu a low-protein staple food that provides abundant starch energy to many of the world's people; fufu is made by pounding or grinding root vegetables or refined grains and cooking them to a smooth, semisolid consistency.

functional foods a marketing term for foods that contain bioactive food components believed to provide health benefits, such as reduced disease risks, beyond the benefits that their nutrients confer. However, all nutritious foods can support health in some ways.

G

galactose (ga-LACK-tose) a monosaccharide; part of the disaccharide lactose (milk sugar).

gastric juice the digestive secretion of the stomach.

gastroesophageal (GAS-tro-eh-SOFF-ahjeel) **reflux disease (GERD)** severe and chronic splashing of stomach acid and enzymes into the esophagus, throat, mouth, or airway that causes injury to those organs. Untreated GERD may increase the risk of esophageal cancer; treatment may require surgery or management with medication.

gatekeepers with respect to nutrition, key people who control other people's access to foods and thereby affect their nutrition profoundly. Examples are a spouse who buys and cooks the food, a parent who feeds the children, and a caregiver in a day-care center.

gelatin a protein product of collagen breakdown. In foods, it confers structure, such as in gelatin desserts; in nutrition, it supplies low-quality protein that lacks many essential amino acids.

gene editing a method of bioengineering that employs CRISPR technology to alter an organism by adding, removing, or substituting molecules or sequences, or activating genes, within a DNA strand. The acronym *CRISPR* refers to a particular DNA sequence employed in the method.

generally recognized as safe (GRAS) list a list, established by the FDA, of food additives long in use and believed to be safe.

genes units of a cell's inheritance; sections of the larger genetic molecule DNA (deoxyribonucleic acid). Each gene directs the making of one or more of the body's proteins.

genetically modified organism (GMO) popular term referring to an organism produced by bioengineering.

genistein (GEN-ih-steen) a phytoestrogen found primarily in soybeans that both mimics and blocks the action of estrogen in the body; a type of flavonoid.

genome (GEE-nome) the full complement of genetic information in the chromosomes of a cell. In human beings, the genome consists of about 35,000 genes and supporting materials.

germ the nutrient-rich inner part of a grain.

gestation the period of about 40 weeks (three trimesters) from conception to birth; the term of a pregnancy.

gestational diabetes abnormal glucose tolerance appearing during pregnancy.

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gestational hypertension high blood pressure that develops in the second half of pregnancy and usually resolves after childbirth.

ghrelin (GREL-in) a hormone released by the stomach that signals the brain's hypothalamus and other regions to stimulate eating.

glands body organs that produce and release needed compounds, such as sweat, saliva, and hormones.

glucagon (GLOO-cah-gon) a hormone from the pancreas that stimulates the liver to release glucose into the blood when necessary to raise its concentration.

glucose (GLOO-cose) a single sugar used in both plant and animal tissues for energy; sometimes known as blood sugar or *dextrose*.

glucose polymers compounds that supply glucose not as single molecules but linked in chains somewhat like starch. The objective is to attract less water from the body into the digestive tract.

gluten (GLOO-ten) a type of protein in certain grain foods that triggers a damaging immune response in the small intestine of a person with celiac disease.

glycemic index (GI) a ranking of foods according to their potential for raising blood glucose relative to a standard food such as glucose.

glycerol (GLISS-er-all) an organic compound, three carbons long, of interest here because it serves as the backbone for triglycerides.

glycogen (GLY-co-gen) a storage form of carbohydrate energy (glucose); a highly branched polysaccharide that is made and held in liver and muscle tissues as a storage form of glucose. Glycogen is not a significant food source of carbohydrate and is not counted as one of the complex carbohydrates in foods.

goiter (GOY-ter) enlargement of the thyroid gland due to an iodine deficiency is *goiter*; enlargement due to an iodine excess is *toxic goiter*.

grams (g) metric units of weight. About 28 grams equal an ounce. A *milligram* is one-thousandth of a gram. A *microgram* is one-millionth of a gram.

granulated sugar common table sugar, crystalline sucrose, 99.9 percent pure.

granules small grains. Starch granules are packages of starch molecules. Various plant species make starch granules of varying shapes.

greenhouse gases gases that contribute to global climate change by absorbing the sun's infrared radiation and trapping heat; examples of greenhouse gases are carbon dioxide and methane.

greenwashing using misleading tactics or false statements to bolster the appearance of sustainability to trick consumers into believing, falsely, that a company's products are environmentally sound choices.

green revolution a series of advances in technology made in the last century that dramatically increased farm yields worldwide. The techniques rely heavily on chemical fertilizers and pesticides, along with large farm machinery.

groundwater water that comes from underground aquifers.

growth spurt the marked rapid gain in physical size usually evident around the onset of adolescence.

H

hangover a delayed, usually morning-after, reaction to drinking too much alcohol too fast the night before, characterized by a headache and sometimes nausea.

hard liquor a beverage that is made by distilling a product such as wine or beer, which arose from fermentation; one that contains a higher percentage of alcohol. Examples are brandy, gin, rum, vodka, and whiskey.

hard water water with high calcium and magnesium concentrations.

hazard a state of danger; referring to any circumstance in which harm is possible under normal conditions of use.

Hazard Analysis Critical Control Point (HACCP) plan a systematic plan to identify and correct potential microbial hazards in the manufacturing, distribution, and commercial use of food products. HACCP may be pronounced "HASS-ip."

health claims FDA-approved food label statements that link food constituents with disease or health-related conditions. Examples: "Soluble fiber from daily oatmeal in a diet low in saturated fat and trans fat may reduce the risk of heart disease," or "A diet low in total fat may reduce the risk of some cancers."

heart attack sudden, unexpected cessation of the heartbeat, respiration, and consciousness, usually caused by a clot lodging in a coronary artery (thrombosis). If not quickly reversed, this is followed by death. Also called *cardiac arrest* or *myocardial infarction* (*myo* means "muscle"; *infarction* means "block of blood supply").

heartburn a burning sensation in the chest (in the area of the heart) caused by backflow of stomach acid into the esophagus.

heat cramps painful cramps of the abdomen, arms, or legs, often occurring hours after exercise; associated with inadequate intake of fluid or electrolytes or heavy sweating.

heat stroke an acute and life-threatening reaction to heat buildup in the body.

heavy drinking drinking five or more drinks on each of five or more days per month.

heavy metal any of a number of mineral ions such as mercury and lead, so called because they are of relatively high atomic weight; many heavy metals are poisonous.

heme (HEEM) the iron-containing portion of the hemoglobin and myoglobin molecules.

hemoglobin (HEEM-oh-globe-in) the oxygen-carrying protein of the blood; found in the red blood cells (*hemo* means "blood"; *globin* means "spherical protein").

hemolytic-uremic (HEEM-oh-LIT-ic you-REEM-ick) **syndrome** a severe result of infection with Shiga toxin-producing *E. coli*, characterized by abnormal blood clotting with kidney failure, damage to the central nervous system and other organs, and death, especially among children.

hemorrhage (HEM-orr-age) uncontrolled bleeding.

hemorrhoids (HEM-or-oids) swollen, hardened (varicose) veins in the rectum, usually caused by pressure resulting from constipation.

hepcidin (HEP-sid-in) a hormone secreted by the liver in response to elevated blood iron. Hepcidin reduces iron's absorption from the intestine and its release from storage.

herbal medicine the use of herbs and herbal preparations to prevent or cure diseases or to relieve symptoms.

hernia a protrusion of an organ or part of an organ through the wall of the body chamber that normally contains the organ. An example is a *hiatal* (high-AY-tal) *hernia*, in which part of the stomach protrudes up through the diaphragm into the chest cavity, which contains the esophagus, heart, and lungs.

hiccups spasms of both the vocal cords and the diaphragm, causing periodic, audible, short, inhaled coughs. These can result from irritation of the diaphragm, indigestion, or other causes. Hiccups usually resolve in a few minutes but can have serious effects if prolonged. Breathing into a paper bag (inhaling carbon dioxide) or dissolving a teaspoon of sugar in the mouth may stop them.

high-carbohydrate energy drinks flavored commercial beverages used to restore muscle glycogen after exercise or as pregame beverages.

high-carbohydrate gels semisolid, easy-to-swallow supplements of concentrated carbohydrate, commonly with potassium and sodium added; not a fluid source.

high-density lipoproteins (HDL) lipoproteins that return cholesterol from the tissues to the liver for dismantling and disposal; contain a large proportion of protein.

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high food security no reported indications of food access problems or limitations.

high-fructose corn syrup (HFCS) a commercial sweetener used in many foods, including soft drinks, made by adding enzymes to cornstarch to convert some glucose to sweet-tasting fructose. Composed almost entirely of the monosaccharides fructose and glucose, its sweetness and caloric value are similar to sucrose.

high-quality proteins dietary proteins containing all the essential amino acids in relatively the same amounts that human beings require. They may also contain nonessential amino acids.

high-risk pregnancy a pregnancy characterized by risk factors that make it likely the birth will be complicated by premature delivery, difficult birth, retarded growth, birth defects, and early infant death. A *low-risk pregnancy* has none of these factors.

histamine a substance that participates in causing inflammation; produced by cells of the immune system as part of a local immune reaction to an antigen.

histones (HISS-tones) proteins that lend structural support to the chromosome structure and that help activate or silence gene expression.

homogenization a process by which milk fat is evenly dispersed within fluid milk; under high pressure, milk is passed through tiny nozzles to reduce the size of fat droplets and reduce their tendency to cluster and float to the top as cream.

honey a concentrated solution composed primarily of glucose and fructose, produced by enzymatic digestion of the sucrose in nectar by bees.

hormones chemicals that are secreted by glands into the blood in response to conditions in the body that require regulation. These chemicals serve as messengers, acting on other organs to maintain constant conditions.

hourly sweat rate the amount of weight lost plus fluid consumed during exercise per hour.

hunger (1) a consequence of food insecurity; physical discomfort, illness, weakness, or pain beyond a mild uneasy sensation arising from a prolonged involuntary lack of food.

hunger (2) the physiological need to eat, experienced as a drive for obtaining food; an unpleasant sensation that demands relief.

husk the outer, inedible part of a grain.

hydrochloric acid a strong, corrosive acid of hydrogen and chloride atoms, produced by the stomach to assist in digestion.

hydrogenation (high-dro-gen-AY-shun) the process of adding hydrogen to unsaturated fatty acids to make fat more solid and resistant to the chemical change of oxidation. A partially hydrogenated polyunsaturated fat tends to form more *trans*-fatty acids than those that are fully hydrogenated, and so are banned from the U.S. food supply.

hydrolyzed (HIGH-druh-lyzed) protein a commercial protein ingredient made by way of hydrolysis, a type of chemical reaction that splits molecules into smaller fragments and attaches water components to make the split possible. *Hydro* 5 water, *lysis* 5 to cleave.

hydroxyapatite (hi-DROX-ee-APP-uh-tight) the chief crystal of bone and teeth, formed from calcium and phosphorus.

hyperactivity (in children) a syndrome characterized by inattention, impulsiveness, and excess motor activity; usually diagnosed before age 7, lasts 6 months or more, and usually does not entail mental illness or mental retardation. Properly called *attention-deficit/hyperactivity disorder (ADHD).*

hypertension higher than normal blood pressure.

hypertrophy (high-PURR-tro-fee) an increase in size (e.g., of a muscle) in response to use.

hypoglycemia (HIGH-poh-gly-SEE-mee-ah) an abnormally low blood glucose concentration, often accompanied by symptoms such as anxiety, rapid heartbeat, and sweating.

hyponatremia (HIGH-poh-nah-TREE-mee-ah) an abnormally low concentration of sodium in the blood.

hypothalamus (high-poh-THAL-uh-mus) a part of the brain that senses a variety of conditions in the body, such as temperature, glucose content, salt content, and others. It signals other parts of the brain or body to adjust those conditions when necessary.

hypothermia a below-normal body temperature.

I

immune system a large system of tissues and organs that defend the body against microbes or foreign materials that have penetrated the skin or body linings.

immunity protection from or resistance to a disease or infection by the development of antibodies and by the actions of cells and tissues in response to a threat.

implantation the stage of development, during the first two weeks after conception, in which the fertilized egg (fertilized ovum or zygote) embeds itself in the wall of the uterus and begins to develop.

inborn error of metabolism a genetic variation present from birth that may result in disease.

incidental additives substances that can get into food not through intentional introduction but as a result of contact with the food during growing, processing, packaging, storing, or some other stage before the food is consumed. Also called *accidental* or *indirect additives*.

infectious diseases diseases that are caused by bacteria, viruses, parasites, and other microbes and that can be transmitted from one person to another through air, water, or food; by contact; or through vector organisms such as mosquitoes and fleas.

inflammation (in-flam-MAY-shun) an immune response to cellular injury that produces an increase in white blood cells, redness, heat, pain, and swelling. Chronic inflammation accompanies many diseases.

infomercials feature-length television commercials that follow the format of regular programs but are intended to convince viewers to buy products and not to educate or entertain them.

initiation an event, probably occurring in a cell's genetic material, caused by radiation or by a chemical carcinogen, that gives rise to cancer.

inositol (in-OSS-ih-tall) a nonessential nutrient found in cell membranes.

insoluble fibers the tough, fibrous structures of fruit, vegetables, and grains; indigestible food components that do not dissolve in water.

instant-read thermometer a thermometer that, when inserted into food, measures its temperature within seconds; designed to test temperature of food at intervals.

insulin a hormone secreted by the pancreas in response to a high blood glucose concentration. It assists cells in drawing glucose from the blood.

insulin resistance a condition in which a normal or high concentration of circulating insulin produces a subnormal glucose-uptake response in muscle, liver, and adipose tissues; thought to be a metabolic consequence of obesity.

intensity in exercise, the degree of effort required to perform a given physical activity.

intermittent fasting patterns of consuming no or little food energy during some portion of a 24-hour day, interspersed with days of normal eating.

intervention studies studies of populations in which observation is accompanied by experimental manipulation of some population members—for example, a study in which half of the subjects (the *experimental subjects*) follow diet advice to reduce fat intakes, while

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the other half (the *control subjects*) do not, and both groups' heart health is monitored.

intestinal flora intestinal bacteria.

intestine the body's long, tubular organ of digestion and the site of nutrient absorption.

intoxication a condition of diminished mental and physical ability, hyperexcitability, or stupor induced by intake of alcohol or other drug; a state of physical harm caused by a toxin; poisoning.

intracellular fluid fluid residing inside the cells that provides the medium for cellular reactions.

intrinsic factor a factor made by the stomach that is necessary for absorption of vitamin B_{12}.

invert sugar a mixture of glucose and fructose formed by the splitting of sucrose in an industrial process. Sold only in liquid form and sweeter than sucrose, invert sugar forms during certain cooking procedures and works to prevent crystallization of sucrose in soft candies and sweets.

ions (EYE-ons) electrically charged particles, such as sodium (positively charged) or chloride (negatively charged).

iron deficiency the condition of having depleted iron stores, which, at the extreme, causes iron-deficiency anemia.

iron-deficiency anemia a form of anemia caused by a lack of iron and characterized by red blood cell shrinkage and color loss. Accompanying symptoms are weakness, apathy, headaches, pallor, intolerance to cold, and inability to pay attention. (For other anemias, see the index.)

iron overload the state of having more iron in the body than it needs or can handle, usually arising from a hereditary defect. Also called *hemochromatosis*.

irradiation the application of ionizing radiation to foods to reduce insect infestation or microbial contamination or to slow the ripening or sprouting process. Also called *cold pasteurization*.

irritable bowel syndrome (IBS) intermittent disturbance of bowel function, especially diarrhea or alternating diarrhea and constipation, often with abdominal cramping or bloating; managed with diet, physical activity, or relief from psychological stress. The cause is uncertain, but inflammation is often involved, and a role for altered intestinal microbiota is suspected. IBS does not permanently harm the intestines or lead to serious diseases.

IU (international units) a measure of fat-soluble vitamin activity sometimes used in food composition tables and on supplement labels.

J

jaundice (JAWN-dis) yellowing of the skin due to spillover of the bile pigment bilirubin (bill-ee-ROO-bin) from the liver into the general circulation.

K

kefir (KEE-fur) a liquid form of yogurt, based on milk, probiotic microorganisms, and flavorings.

keratin (KERR-uh-tin) the normal protein of hair and nails.

keratinization accumulation of keratin in a tissue; a sign of vitamin A deficiency.

ketone (kee-tone) bodies acidic, water-soluble compounds that arise during the breakdown of fat when carbohydrate is not available. Also called by the broader term *ketones*, although some of these compounds vary chemically.

ketosis (kee-TOE-sis) an undesirably high concentration of ketone bodies, such as acetone, in the blood or urine.

kidneys a pair of organs that filter wastes from the blood, make urine, and release it to the bladder for excretion from the body.

kwashiorkor (kwash-ee-OR-core, kwash-ee-or-CORE) severe malnutrition characterized by failure to grow and develop, edema, changes in the pigmentation of hair and skin, fatty liver, anemia, and apathy.

L

laboratory studies studies that are performed under tightly controlled conditions and are designed to pinpoint causes and effects. Such studies often use animals as subjects.

lactase the intestinal enzyme that splits the disaccharide lactose to monosaccharides during digestion.

lactate an energy-yielding compound produced during the breakdown of glucose in anaerobic metabolism; with training, muscles gain efficiency in using lactate as fuel.

lactation production and secretion of breast milk for the purpose of nourishing an infant.

lactoferrin (lack-toe-FERR-in) a factor in breast milk that binds iron and keeps it from supporting the growth of the infant's intestinal bacteria.

lacto-ovo vegetarian a diet that includes dairy products, eggs, vegetables, grains, legumes, fruit, and nuts; excludes flesh and seafood.

lactose a disaccharide composed of glucose and galactose; sometimes known as milk sugar (*lact* means "milk"; *ose* means "sugar").

lactose intolerance impaired ability to digest lactose due to reduced amounts of the enzyme lactase.

lactose, maltose, sucrose the disaccharides important in nutrition.

lacto-vegetarian a diet that includes dairy products, vegetables, grains, legumes, fruit, and nuts; excludes flesh, seafood, and eggs; (*lacto* means "milk").

lapses periods of returning to old habits.

large intestine the portion of the intestine that completes the absorption process.

lean body mass the weight of the body's lean tissues; body weight, minus fat tissue.

learning disability a condition resulting in an altered ability to learn basic cognitive skills such as reading, writing, and mathematics.

leavened (LEV-end) literally, "lightened" by yeast cells, which digest some carbohydrate components of the dough and leave behind bubbles of gas that make the bread rise.

lecithin (LESS-ih-thin) a phospholipid manufactured by the liver and also found in many foods; a major constituent of cell membranes.

legumes (leg-GOOMS, LEG-yooms) plants of the bean, pea, and lentil family that have roots with nodules containing special bacteria. These bacteria can trap nitrogen from the air in the soil and convert it into a form that becomes part of the plant's seeds. The seeds are rich in protein compared with those of most other plant foods.

leptin an appetite-suppressing hormone produced in the fat cells that conveys information about body fat content to the brain; believed to be involved in the maintenance of body composition (*leptos* means "slender").

leucine one of the essential amino acids; it is of current research interest for its role in stimulating muscle protein synthesis.

levulose an older name for fructose.

license to practice permission under state or federal law, granted on meeting specified criteria, to use a certain title (such as *dietitian*) and to offer certain services. Licensed dietitians may use the initials LD after their names.

life expectancy the average number of years lived by people in a given society.

life span the maximum number of years of life attainable by a member of a species.

limiting amino acid an essential amino acid that is present in dietary protein in an insufficient amount, thereby limiting the body's ability to build protein.

linoleic (lin-oh-LAY-ic) **acid** an essential polyunsaturated fatty acid of the omega-6 family.

linolenic (lin-oh-LEN-ic) **acid** an essential polyunsaturated fatty acid of the omega-3 family. The full name of linolenic acid is *alpha-linolenic acid*.

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lipase (LYE-pace) any of a number of enzymes that break the chemical bonds of fats (lipids).

lipid (LIP-id) a family of organic (carbon-containing) compounds soluble in organic solvents but not in water. Lipids include triglycerides (fats and oils), phospholipids, and sterols.

lipoic (lip-OH-ic) **acid** a nonessential nutrient.

lipoproteins (LYE-poh-PRO-teens, LIH-poh-PRO-teens) clusters of lipids associated with protein, which serve as transport vehicles for lipids in blood and lymph. The major lipoproteins include chylomicrons, VLDL, LDL, and HDL.

listeriosis a serious foodborne infection that can cause severe brain infection or death in a fetus or a newborn; caused by the bacterium *Listeria monocytogenes*, which is found in soil and water.

liver a large, lobed organ that lies just under the ribs. It filters the blood, removes and processes nutrients, manufactures materials for export to other parts of the body, and destroys toxins or stores them to keep them out of the circulatory system.

longevity long duration of life.

low birthweight a birthweight of less than 5½ pounds (2,500 grams); used as a predictor of probable health problems in the newborn and as a probable indicator of poor nutrition status of the mother before and/or during pregnancy. Low-birthweight infants may be born prematurely, or, if born at full term may be small for gestational age because they suffered growth failure in the uterus.

low-density lipoproteins (LDL) lipoproteins that transport lipids from the liver to other tissues such as muscle and fat; contain a large proportion of cholesterol.

low food security reports of reduced quality, variety, or desirability of diet. Little or no indication of reduced food intake.

lymph (LIMF) the fluid that moves from the bloodstream into tissue spaces and then travels in its own vessels, which eventually drain back into the bloodstream.

lymphocytes (LIM-foh-sites) white blood cells that participate in the immune response.

M

macronutrients another name for the energy-yielding nutrients: carbohydrate, fat, and protein.

macular degeneration a common, progressive loss of function of the part of the retina that is most crucial to focused vision. This degeneration often leads to blindness.

major minerals essential mineral nutrients required in the adult diet in amounts greater than 100 milligrams per day. Also called *macrominerals*.

malnutrition any condition caused by excess or deficient food energy or nutrient intake or by an imbalance of nutrients. Nutrient or energy deficiencies are forms of undernutrition; nutrient or energy excesses are forms of overnutrition.

maltose a disaccharide composed of two glucose units; sometimes known as malt sugar.

malt syrup a sweetener made from sprouted barley.

maple syrup a concentrated solution of sucrose derived from the sap of the sugar maple tree. This sugar was once common but is now usually replaced by sucrose and artificial maple flavoring.

marasmic kwashiorkor a particularly lethal form of severe acute malnutrition, in which a child's dangerously reduced lean body tissue is masked by edema, making the condition harder to detect.

marasmus (ma-RAZ-mus) severe malnutrition characterized by poor growth, dramatic weight loss, loss of body fat and muscle, and apathy. From the Greek word meaning "dying away."

marginal food security one or two reported indications of problems—typically of anxiety over food sufficiency or shortage of food in the house. Little or no indication of changes in diets or food intake.

margin of safety in reference to food additives, a zone between the concentration normally used and that at which a hazard exists.

medical foods foods specially manufactured for use by people with medical disorders and administered on the advice of a physician.

medical nutrition therapy (MNT) evidence-based nutrition services administered by registered dietitian nutritionists in the treatment of injury, illness, or other conditions; includes assessment of nutrition status and dietary intake and corrective applications of diet, counseling, and other nutrition services.

meta-analysis a computer-driven statistical summary of evidence gathered from multiple previous studies.

metabolic syndrome a combination of characteristic factors—high fasting blood glucose or insulin resistance, central obesity, hypertension, low blood HDL cholesterol, and elevated blood triglycerides—that greatly increase a person's risk of developing CVD. Also called *insulin resistance syndrome*.

metabolic water water generated in the tissues during the chemical breakdown of the energy-yielding nutrients in foods.

metabolism the sum of all physical and chemical changes taking place in living cells; includes all reactions by which the body obtains and spends the energy from food.

metastasis (meh-TASS-ta-sis) the migration of cancer cells from the original site to invade other sites in the body. (The cancer is said to be metastasizing.)

methyl (METH-il) **groups** molecular fragments consisting of one carbon and three hydrogen atoms that, among their many roles, can alter gene expression when attached by enzymes to strands of DNA.

methylmercury any toxic compound of mercury to which a characteristic chemical structure, a methyl group, has been added, usually by bacteria in aquatic sediments. Methylmercury is readily absorbed from the intestine and causes nerve damage in people.

microbes bacteria, viruses, fungi, or other organisms invisible to the naked eye, some of which cause diseases. Also called *microorganisms*.

microbiome the collective genes of a specific bacterial sample; for example, the particular array of genes in the bacterial species present in an individual's fecal sample.

microbiota any collection of microbes; for example, all of the bacteria, fungi, and viruses present in the human digestive tract.

micronutrients nutrients required in very small amounts: the vitamins and most minerals.

microplastics particles of plastic debris of less than 5 mm in size that contaminate water and soil. Microplastics arise from breakdown of disposable consumer plastic products, such as water and soda bottles, and industrial or other plastic waste.

microvilli (MY-croh-VILL-ee, MY-croh-VILL-eye) tiny, hairlike projections on each cell of every villus that greatly expand the surface area available to trap nutrient particles and absorb them into the cells (*singular*: microvillus).

milk anemia iron-deficiency anemia caused by drinking so much milk that iron-rich foods are displaced from the diet.

mindfulness training a meditation technique of behavior change therapy that focuses awareness on the present moment with an attitude of curiosity, openness, and acceptance rather than judgment and control.

minerals naturally occurring, inorganic, homogeneous substances; chemical elements.

mineral water water from a spring or well that typically contains at least 250 parts per million (ppm) of naturally occurring minerals. Minerals give water a distinctive flavor. Many mineral waters are high in sodium.

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miso fermented soybean paste used in Japanese cooking. Soy products are considered to be functional foods.

moderate drinking drinking no more than one drink per day (for a woman) or no more than two drinks per day (for a man) and behaving normally while drinking.

moderation the dietary characteristic of providing constituents within set limits, not to excess.

modified atmosphere packaging (MAP) a technique used to extend the shelf life of perishable foods; the food is packaged in a gas-impermeable container from which air is removed or to which an oxygen-free gas mixture, such as carbon dioxide and nitrogen, is added to deprive microbes of oxygen.

molasses a thick brown syrup left over from the refining of sucrose from sugar cane. The major micronutrient in molasses is iron, a contaminant from the machinery used in processing it.

monoglycerides (mon-oh-GLISS-er-ides) products of the digestion of lipids; a monoglyceride is a glycerol molecule with one fatty acid attached (*mono* means "one"; *glyceride* means "a compound of glycerol").

monosaccharides (mon-oh-SACK-ah-rides) single sugar units (*mono* means "one"; *saccharide* means "sugar unit").

monounsaturated fats triglycerides in which most of the fatty acids have one point of unsaturation (are monounsaturated).

monounsaturated fatty acid a fatty acid containing one point of unsaturation.

MSG symptom complex the acute, temporary, and self-limiting reactions, including burning sensations or flushing of the skin with pain and headache, experienced by sensitive people upon ingesting large doses of MSG.

mucus (MYOO-cus) a slippery coating of the digestive tract lining (and other body linings) that protects the cells from exposure to digestive juices (and other destructive agents). The adjective form is *mucous* (same pronunciation). The digestive tract lining is a *mucous membrane*.

multigrain a term used on food labels to indicate a food made with more than one kind of grain. Not an indicator of a whole-grain food.

muscle endurance the ability of a muscle to contract repeatedly within a given time without becoming exhausted. This muscle characteristic develops with increasing repetition rather than increasing workload and is associated with cardiorespiratory endurance.

muscle fatigue diminished force and power of muscle contractions despite consistent or increasing conscious effort to perform a physical activity.

muscle power the efficiency of a muscle contraction, measured by force and time.

muscle strength the ability of muscles to overcome physical resistance. This muscle characteristic develops with increasing workload rather than repetition and is associated with muscle size.

myoglobin (MYE-oh-globe-in) the oxygen-holding protein of the muscles (*myo* means "muscle").

myokines (MY-oh-kynz) signaling proteins secreted by working skeletal muscles that contribute to widespread beneficial effects of exercise on body systems (*myo* = muscle).

N

National Health and Nutrition Examination Surveys (NHANES) a program of studies designed to assess the health and nutritional status of adults and children in the United States by way of interviews and physical examinations.

natural foods a term that has no legal definition but is often used to imply wholesomeness.

naturally occurring sugars sugars that are not added to a food but are present as its original constituents, such as the sugars of fruit or milk.

nectars concentrated juice and pulp of peach, pear, or other fruit.

nephrons (NEFF-rons) the working units of the kidneys, consisting of intermeshed blood vessels and tubules.

neural tube the embryonic tissue that later forms the brain and spinal cord.

neural tube defects (NTD) a group of abnormalities of the brain and spinal cord apparent at birth and caused by interruption of the normal early development of the neural tube.

neurotoxins poisons that act on the cells of the nervous system.

neurotransmitters chemicals that are released at the end of a nerve cell when a nerve impulse arrives there. They diffuse across the gap to the next cell and alter the membrane of that second cell to either inhibit or excite it.

niacin a B vitamin needed in energy metabolism. Niacin can be eaten preformed or made in the body from tryptophan, one of the amino acids. Other forms of niacin are *nicotinic acid*, *niacinamide*, and *nicotinamide*.

niacin equivalents (NE) the amount of niacin present in food, including the niacin that can theoretically be made from its precursor tryptophan that is present in the food.

night blindness slow recovery of vision after exposure to flashes of bright light at night; an early symptom of vitamin A deficiency.

night eating syndrome a disturbance in the daily eating rhythm associated with obesity, characterized by eating more than half of the daily calories after 7 p.m., awakening frequently at night to eat, and overconsuming calories.

nitrogen balance the amount of nitrogen consumed compared with the amount excreted in a given time period.

non-celiac gluten sensitivity a poorly defined collection of digestive symptoms that improves with elimination of gluten from the diet.

nonheme iron dietary iron not associated with hemoglobin; the iron of plants and other sources.

nonnutritive sweeteners sweet-tasting synthetic or natural food additives that offer sweet flavor but with negligible or no calories per serving; also called *artificial sweeteners, intense sweeteners, noncaloric sweeteners*, and *very low-calorie sweeteners*.

norepinephrine (NOR-EP-ih-NEFF-rin) a compound related to epinephrine that helps elicit the stress response.

nutraceutical a term that has no legal or scientific meaning but that is sometimes used to refer to foods, nutrients, or dietary supplements believed to have medicinal effects. Often used to sell unnecessary or unproven supplements.

nutrient claims FDA-approved food label statements that describe the nutrient levels in food. Examples: "fat free" or "less sodium."

nutrient density a measure of nutrients provided per calorie of food. A *nutrient-dense food* provides needed nutrients with relatively few calories.

nutrients components of food that are indispensable to the body's functioning. They provide energy, serve as building material, help maintain or repair body parts, and support growth. The nutrients include water, carbohydrate, fat, protein, vitamins, and minerals.

nutrition the study of the nutrients in foods and in the body; sometimes also the study of human behaviors related to food.

nutrition and dietetics technician, registered (NDTR) a dietetics professional who has completed an academic degree from an accredited college or university and an approved dietetic technician program. This professional has also passed a national examination and maintains registration through continuing professional education.

nutritional equivalents the portion sizes of various foods needed to deliver similar amounts of any of the nutrients that characterize a particular food group.

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nutritional genomics the science of how food components, such as nutrients, interact with the body's genetic material.

nutritionally enhanced beverages flavored beverages that contain any of a number of nutrients, including some carbohydrate, along with protein, vitamins, minerals, herbs, or other unneeded substances. Such "enhanced waters" may not contain useful amounts of carbohydrate or electrolytes to support athletic competition or training.

Nutrition Facts on a food label, the panel of nutrition information required to appear on almost every packaged food. Grocers may also provide the information for fresh produce, meats, poultry, and seafood.

nutritionist someone who studies or advises others on nutrition, and who may or may not have an academic degree in nutrition. In states with responsible legislation, the term applies only to people who have master of science (MS) or doctor of philosophy (PhD) degrees from properly accredited institutions.

O

obesity excess body fatness associated with increased risks of mortality and chronic diseases; a body mass index of 30 or higher.

oils lipids that are liquid at room temperature (70°F or 21°C).

olestra a noncaloric artificial fat made from sucrose and fatty acids; formerly called *sucrose polyester*.

omega-3 fatty acid a polyunsaturated fatty acid with its endmost double bond three carbons from the end of the carbon chain. Linolenic acid is an example.

omega-6 fatty acid a polyunsaturated fatty acid with its endmost double bond six carbons from the end of the carbon chain. Linoleic acid is an example.

omnivorous people who eat foods of both plant and animal origin, including animal flesh.

100 percent whole grain see *whole grain*.

oral rehydration therapy (ORT) oral fluid replacement for children with severe diarrhea caused by infectious disease. A simple recipe for ORT: ½ L boiled water, 4 tsp sugar, ½ tsp salt.

organic carbon containing. Four of the six classes of nutrients are organic: carbohydrate, fat, protein, and vitamins. Organic compounds include only those made by living things and do not include compounds such as carbon dioxide, diamonds, and a few carbon salts.

organic foods to be labeled *organic,* foods must meet strict USDA production regulations; in chemistry, however, all foods are made mostly of organic (carbon-containing) compounds.

organic gardens gardens grown with techniques of *sustainable agriculture*, such as using fertilizers made from composts (decayed organic materials) and introducing predatory insects to control pests, in ways that have minimal impact on soil, water, and air quality.

organs discrete structural units made of tissues that perform specific jobs. Examples are the heart, liver, and brain.

osteomalacia (OS-tee-o-mal-AY-shuh) the adult expression of vitamin D–deficiency disease, characterized by an overabundance of unmineralized bone protein (*osteo* means "bone"; *mal* means "bad"). Symptoms include bending of the spine and bowing of the legs.

osteopenia (OS-tee-oh-PEE-nee-ah) a condition of low bone mass that often progresses to osteoporosis.

osteoporosis (OSS-tee-oh-pore-OH-sis) a reduction of the bone mass of older people in which the bones become porous and fragile (*osteo* means "bones"; *poros* means "porous") ; also known as *adult bone loss*.

outbreak two or more cases of a disease arising from an identical organism acquired from a common food source within a limited time frame. Government agencies track and investigate outbreaks of foodborne illnesses, but tens of millions of individual cases go unreported each year.

outcrossing the unintended breeding of a domestic crop with a related wild species.

oven-safe thermometer a thermometer designed to remain in the food to give constant readings during cooking.

overload an extra physical demand placed on the body; an increase in the frequency, duration, or intensity of an activity. A principle of training is that for a body system to improve, it must be worked at frequencies, durations, or intensities that increase by increments.

overweight body weight above a healthy weight; BMI 25 to 29.9.

ovo-vegetarian a diet that includes eggs, vegetables, grains, legumes, fruit, and nuts, and excludes flesh, seafood, and milk products (*ovo* means "egg").

ovum the egg, produced by the mother, that unites with a sperm from the father to produce a new individual.

oxidants compounds (such as oxygen itself) that oxidize other compounds. Compounds that prevent oxidation are called *antioxidants*, whereas those that promote it are called *prooxidants* (*anti* means "against"; *pro* means "for").

oxidation interaction of a compound with oxygen; in this case, a damaging effect by a chemically reactive form of oxygen.

oxidative stress a theory of disease causation involving cell and tissue damage that arises when free radical reactions exceed the capacity of antioxidants to quench them.

oyster shell a product made from the powdered shells of oysters that is sold as a calcium supplement but is not well absorbed by the digestive system.

P

paleo diet a popular diet intended for weight loss that promotes meat, fish, eggs, certain fruits and vegetables, nuts, and seeds, but prohibits processed, ultraprocessed, and refined foods, and products of agriculture. *Paleo* is from *Paleolithic*, or the Old Stone Age.

pancreas a gland that produces the hormones insulin and glucagon, which regulate blood glucose concentrations. It also produces digestive enzymes, which it releases through a duct into the small intestine.

pancreatic juice fluid secreted by the pancreas that contains both enzymes to digest carbohydrates, fats, and proteins and sodium bicarbonate, an acid-neutralizing agent.

pantothenic (PAN-to-THEN-ic) **acid** a B vitamin and part of a critical coenzyme needed in energy metabolism, among other roles.

pasteurization the treatment of milk, juices, or eggs with heat sufficient to kill certain pathogenic (disease-causing) microbes commonly transmitted through these foods; not a sterilization process. Pasteurized products retain bacteria that cause spoilage.

pathogens bacteria, viruses, fungi, and other microbes capable of causing illness. *Pathogenic* is the adjective form.

PCBs (polychlorinated biphenyls) stable, oily synthetic chemicals, once used in hundreds of U.S. industrial operations, that persist today in underwater sediments and contaminate fish and shellfish. Now banned from use in the United States, PCBs circulate globally from areas where they are still in use. PCBs cause cancer, nervous system damage, immune dysfunction, and a number of other serious health effects.

peak bone mass the highest bone density attained by an individual; developed during the first three decades of life.

pellagra (pell-AY-gra) the niacin-deficiency disease (*pellis* means "skin"; *agra* means "rough"). Symptoms include the "4 Ds": diarrhea, dermatitis, dementia, and, ultimately, death.

peptide bond a bond that connects one amino acid with another, forming a link in a protein chain. A peptide is a strand of amino acids.

performance nutrition an area of nutrition science that pertains to maximizing physical performance in athletes, firefighters, military personnel, and others who must perform

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at high levels of physical ability. Also called *sports nutrition*.

peripheral artery disease any disease or disorder that affects the peripheral arteries, those that carry blood to the body's organs other than the heart. See also *coronary artery disease*.

peristalsis (per-ri-STALL-sis) the wavelike muscular squeezing of the esophagus, stomach, and small intestine that pushes their contents along.

pernicious (per-NISH-us) **anemia** a vitamin B_{12}–deficiency disease, caused by lack of intrinsic factor and characterized by large, immature red blood cells and damage to the nervous system (*pernicious* means "highly injurious or destructive").

persistent of a stubborn or enduring nature; with respect to food contaminants, the quality of remaining unaltered and unexcreted in plant foods or in the bodies of animals and human beings.

pesticides chemicals used to control insects, diseases, weeds, fungi, and other pests on crops and around animals. Used broadly, the term includes *herbicides* (to kill weeds), *insecticides* (to kill insects), and *fungicides* (to kill fungi).

pH a measure of acidity on a point scale. A solution with a pH of 1 is a strong acid; a solution with a pH of 7 is neutral; a solution with a pH of 14 is a strong base.

phenylketonuria (PKU) an inborn error of metabolism that interferes with the body's handling of phenylalanine (from dietary protein) and, left untreated, results in serious harm to the brain and nervous system.

phospholipids (FOSS-foh-LIP-ids) one of the three main classes of dietary lipids. These lipids are similar to triglycerides, but each has a phosphorus-containing acid in place of one of the fatty acids. Phospholipids are present in all cell membranes.

photosynthesis the process by which green plants make carbohydrates from carbon dioxide and water using the green pigment chlorophyll to capture the sun's energy (*photo* means "light"; *synthesis* means "making").

physical activity bodily movement produced by the contraction of skeletal muscle that significantly increases energy expenditure and, when performed regularly, can enhance physical and mental health.

phytates (FYE-tates) compounds present in plant foods (particularly whole grains) that bind iron and may prevent its absorption.

phytochemicals (FYE-toe-KEM-ih-cals) bioactive compounds in plant-derived foods (*phyto,* pronounced FYE-toe, means "plant").

phytoestrogens (FYE-toe-ESS-troh-gens) phytochemicals structurally similar to the female sex hormone estrogen. Phytoestrogens weakly mimic estrogen or modulate hormone activity in the human body.

pica (PIE-ka) a craving and intentional consumption of nonfood substances. Also known as *geophagia* (gee-oh-FAY-gee-uh) when referring to clay eating and *pagophagia* (pag-oh-FAY-gee-uh) when referring to ice craving (*geo* means "earth"; *pago* means "frost"; *phagia* means "to eat").

placebo a sham treatment often used in scientific studies; an inert, harmless medication. The *placebo effect* is the healing effect that the act of treatment, rather than the treatment itself, often has.

placenta (pla-SEN-tuh) the organ of pregnancy in which maternal blood and fetal blood circulate in close proximity and exchange nutrients and oxygen (flowing into the fetus) and wastes (picked up by the mother's blood).

plant-based diet an eating style consisting largely of healthful vegetables, grains, legumes, fruit, and nuts; it may or may not include limited amounts of animal products, such as fish, poultry, and dairy.

plant pesticides substances produced within plant tissues that kill or repel attacking organisms.

plant sterols phytochemicals that resemble cholesterol in structure but that lower blood cholesterol, possibly by interfering with cholesterol absorption in the intestine. Plant sterols include sterol esters and stanol esters, formerly called *phytosterols*.

plaque a mass of microorganisms and their deposits on the surface of the teeth, a forerunner of dental caries and gum disease.

plaques (placks; *singular*, plaque) mounds of lipid material mixed with smooth muscle cells and calcium that develop in the artery walls in atherosclerosis (*placken* means "patch").

plasma the cell-free fluid part of blood and lymph.

platelets tiny cell-like fragments in the blood, important in blood clot formation (*platelet* means "little plate").

point of unsaturation a site in a molecule where the bonding is such that additional hydrogen atoms can easily be attached.

polypeptide (POL-ee-PEP-tide) a protein fragment of about 10 to 50 amino acids bonded together (*poly* means "many").

polyphenols (polly-FEEN-ols) the largest phytochemical group. In foods, polyphenols contribute bitterness, astringency, color, flavor, odor, or oxidative stability. In the body, they may have health effects but their absorption is limited. *Poly* means "many"*; phenol* refers to "ring structure." Other phytochemical groups include carotenoids, isothiocyanates, and alkaloids.

polysaccharides another term for complex carbohydrates; compounds composed of long strands of glucose units linked together (*poly* means "many"). Also called *complex carbohydrates*.

polyunsaturated fats triglycerides in which most of the fatty acids have two or more points of unsaturation (are polyunsaturated).

polyunsaturated fatty acid (PUFA) a fatty acid with two or more points of unsaturation.

pop-up thermometer a disposable timing device commonly used in turkeys. The center of the device contains a spring that "pops up" when food reaches the right temperature.

potable (POH-teh-bul) safe and suitable for drinking.

prebiotic a substance that may not be digestible by the host, such as fiber, but that serves as food for probiotic bacteria and thus promotes their growth.

precursors compounds that serve as starting materials for other compounds. In nutrition, vitamin precursors are compounds that can be converted into active vitamins. Also called *provitamins*.

prediabetes a condition in which the blood glucose concentration is above normal, but not high enough to be diagnosed as diabetes; a major risk factor for diabetes and cardiovascular diseases.

preeclampsia (PRE-ee-CLAMP-see-ah) a potentially dangerous condition during pregnancy characterized by hypertension and protein in the urine.

pregame meal the meal consumed in the hours before prolonged or repeated athletic training or competition, typically designed to boost the glycogen stores of endurance athletes.

premenstrual syndrome (PMS) a cluster of symptoms that some women experience prior to and during menstruation. They include, among others, abdominal cramps, back pain, swelling, headache, painful breasts, and mood changes.

prenatal (pree-NAY-tal) before birth.

prenatal supplements nutrient supplements specifically designed to provide the nutrients needed during pregnancy —particularly folate, iron, and calcium —without excesses or unneeded constituents.

pressure ulcers damage to the skin and underlying tissues as a result of unrelieved compression and poor circulation to the area; also called *bed sores*.

prion a disease agent consisting of an unusually folded protein that disrupts normal cell functioning. Prions cannot be controlled or killed by cooking or disinfecting, and the disease they cause cannot be treated. Prevention is the only form of control.

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probiotic a live microorganism that, when administered in adequate amounts, alters the bacterial colonies of the body in ways believed to confer a health benefit on the host.

problem drinking (alcohol abuse) drinking behavior that causes social, emotional, family, job-related, or other problems because of alcohol overuse; a step on the way to alcoholism.

processed foods foods subjected to any process, such as milling, alteration of texture, addition of additives, cooking, or others. Depending on the starting material and the process, a processed food may or may not be nutritious.

processed meats a general term for meat products preserved by smoking, curing, salting, or adding chemical preservatives—for example, ham, bacon, jerky, hot dogs (including chicken and turkey), luncheon meats, salami and other sausages, SPAM, and Vienna sausages.

Produce Safety Rule a set of science-based standards that minimize microbial hazards during commercial growing, harvesting, packing, and holding of fruit and vegetables intended for U.S. consumption.

promoters factors such as certain hormones that speed up cancer development.

proof the percentage of alcohol in a beverage; a term used on labels. Water is the main ingredient in alcoholic beverages; proof equals twice the percentage of alcohol.

prooxidant a compound that triggers reactions involving oxygen.

protease (PRO-tee-ace) any of a number of enzymes that break the chemical bonds of proteins.

proteins compounds composed of carbon, hydrogen, oxygen, and nitrogen and arranged as strands of amino acids. (Some amino acids also contain the element sulfur.)

protein-sparing action the action of carbohydrate and fat in providing energy that allows protein to be used for purposes it alone can serve.

protein turnover the continuous breakdown and synthesis of body proteins involving the recycling of amino acids.

public health nutritionist a dietitian or other person with an advanced degree in nutrition who specializes in public health nutrition.

purified water water that has been treated by distillation or other physical or chemical processes that remove dissolved solids. Because purified water contains no minerals or contaminants, it is useful for medical and research purposes.

pyloric (pye-LORE-ick) **valve** the flap of muscle tissues of the lower stomach that regulates the flow of partly digested food into the small intestine and prevents backflow. Also called *pyloric sphincter*.

R

raw sugar the first crop of crystals harvested during sugar processing. Raw sugar cannot be sold in the United States because it contains too much filth (dirt, insect fragments, and the like). Sugar sold as U.S. "raw sugar" is actually evaporated cane juice.

reaction time the interval between stimulation and response.

ready-to-use therapeutic food (RUTF) highly caloric food products offering carbohydrate, lipid, protein, and micronutrients in a soft-textured paste used to promote rapid weight gain in malnourished people, particularly children.

recombinant DNA (rDNA) technology a technique of bioengineering whereby scientists directly manipulate the genes of living things; includes methods of removing genes, doubling genes, introducing foreign genes, and changing gene positions to influence the growth and development of organisms.

Recommended Dietary Allowances (RDA) nutrient intake goals for individuals; the average daily nutrient intake level that meets the needs of nearly all (97 to 98 percent) healthy people in a particular life stage and gender group.

recovery drinks flavored beverages that contain protein, carbohydrate, and often other nutrients; intended to support postexercise recovery of energy fuels and muscle tissue.

red meats flesh foods that appear red when raw due to the iron-containing compounds in muscle; meat from cattle, pigs, sheep, goats, deer, and other large animals.

reference dose an estimate of the intake of a substance over a lifetime that is considered to be without appreciable health risk; for pesticides, the maximum amount of a residue permitted in a food. Formerly called *tolerance limit*.

refined refers to the process by which the coarse parts of food products are removed. For example, the refining of wheat into white enriched flour involves removing three of the four parts of the kernel—the chaff, the bran, and the germ—leaving only the endosperm, which is composed mainly of starch and a little protein.

refined grains grains and grain products from which the bran, germ, or other edible parts of whole grains have been removed; not a whole grain. Many refined grains are low in fiber and are enriched with vitamins, as required by U.S. regulations.

Registered dietitian nutritionist (RDN) food and nutrition expert who has earned at least a bachelor's degree from an accredited college or university with a program approved by the Academy of Nutrition and Dietetics. The dietitian must also serve in an approved internship or coordinated program, pass the registration examination, and maintain professional competency through continuing education. Many states also require licensing of practicing dietitians. Also called *registered dietitian (RD)*.

registration listing with a professional organization that requires specific course work, experience, and passing of an examination.

requirement the amount of a nutrient that will just prevent the development of specific deficiency signs; distinguished from the DRI value, which is a generous allowance with a margin of safety.

residues whatever remains; in the case of pesticides, those amounts that remain on or in foods when people buy and use them.

resistance training physical activity that develops muscle strength, power, endurance, and mass. Resistance can be provided by free weights, weight machines, other objects, or the person's own body weight. Also called *weight training, resistance exercise*, or *strength exercise*.

resistant starch the fraction of starch in a food that is digested slowly, or not at all, by human enzymes.

responsive feeding an interactive feeding process in which a young child signals hunger and satiety vocally, through facial expressions, and through motor actions; the caregiver recognizes these cues and responds promptly in an emotionally supportive and developmentally appropriate manner. In this way, the child experiences a predictable response to hunger and satiety signals that supports healthy eating behaviors.

resveratrol (rez-VER-ah-trol) a phytochemical of grapes under study for potential health benefits.

retina (RET-in-uh) the layer of light-sensitive nerve cells lining the back of the inside of the eye.

retinol one of the active forms of vitamin A made from beta-carotene in animal and human bodies; an antioxidant nutrient. Other active forms are *retinal* and *retinoic acid*.

retinol activity equivalents (RAE) a new measure of the vitamin A activity of beta-carotene and other vitamin A precursors that reflects the amount of retinol that the body will derive from a food containing vitamin A precursor compounds.

rhodopsin (roh-DOP-sin) the light-sensitive pigment of the cells in the retina; it contains vitamin A (*opsin* means "visual protein").

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riboflavin (RIBE-o-flay-vin) a B vitamin active in the body's energy-releasing mechanisms.

rickets the vitamin D–deficiency disease in children; characterized by abnormal growth of bone and manifested in bowed legs or knock-knees, outward-bowed chest deformity (pigeon chest), and knobs on the ribs.

risk factors traits, conditions, or lifestyle habits that increase people's chances of developing diseases; factors known to be correlated with diseases but not proven to be causal.

RNA (ribonucleic acid) cellular nucleic acids that play key roles in the process and control of protein synthesis.

S

safety the practical certainty that injury will not result from the use of a product or substance.

salts compounds composed of charged particles (ions). An example is potassium chloride (K^+Cl^-).

sarcopenia (SAR-koh-PEE-nee-ah) age-related loss of skeletal muscle mass, muscle strength, and muscle function.

satiation (SAY-she-AY-shun) the perception of fullness that builds throughout a meal, eventually reaching the degree of fullness and satisfaction that halts eating. Satiation generally determines how much food is consumed at one sitting.

satiety (sah-TIE-eh-tee) the feeling of fullness or satisfaction that people experience after meals. Satiety generally determines the length of time between meals.

saturated fats triglycerides fats that are high in saturated fatty acids and usually solid at room temperature. Saturated fats are found naturally in most animal foods and tropical oils, and also arise when vegetable oils are hydrogenated.

saturated fatty acid a fatty acid carrying the maximum possible number of hydrogen atoms (having no points of unsaturation). A saturated fat is a triglyceride with three saturated fatty acids.

screen time sedentary time spent using an electronic device, such as a television, computer, or video game player.

scurvy the vitamin C–deficiency disease.

selective breeding a technique of genetic modification whereby organisms are chosen for reproduction based on their desirability for human purposes, such as high growth rate, high food yield, or disease resistance, with the intention of retaining or enhancing these characteristics in their offspring.

self-efficacy a person's belief in his or her ability to succeed in an undertaking.

senile dementia the loss of brain function beyond the normal loss of physical adeptness and memory that occurs with aging.

serotonin (SER-oh-TONE-in) a compound related in structure to (and made from) the amino acid tryptophan, with the help of vitamin B_6. It serves as one of the brain's principal neurotransmitters.

set-point theory a theory stating that the body's regulatory controls tend to maintain a particular body weight (the set point) over time, counteracting efforts to lose weight by dieting.

severe acute malnutrition (SAM) life-threatening malnutrition caused by recent severe food restriction; characterized in children by underweight for height (*wasting*).

severe obesity clinically severe overweight, presenting very high risks to health; the condition of having a BMI of 40 or above; also called *morbid obesity*.

Shiga toxin any of a group of protein toxins produced as certain bacteria strains multiply; when absorbed Shiga toxins cause severe illness.

shortening a semisolid fat made from vegetable oil commonly used for frying foods, or in baked goods to achieve a "short," or flaky, texture.

side chain the unique chemical structure attached to the backbone of each amino acid that distinguishes one amino acid from another.

sickle-cell disease a genetic form of anemia characterized by abnormal sickle- or crescent-shaped red blood cells, which interfere with oxygen transport and blood flow.

simple carbohydrates sugars, including both single sugar units and linked pairs of sugar units. The basic sugar unit is a molecule containing six carbon atoms, together with oxygen and hydrogen atoms.

single-use temperature indicator a disposable instant-read thermometer that changes color to indicate temperature. This type is often used in commercial food establishments to eliminate cross-contamination.

skinfold test measurement of the thickness of a fold of skin and subcutaneous fat on the back of the arm (over the triceps muscle), below the shoulder blade (subscapular), or in other places, using a caliper; also called *fatfold test*.

small intestine the 20-foot length of small-diameter intestine, below the stomach and above the large intestine, which is the major site of food digestion and nutrient absorption.

smoking point the temperature at which fat gives off an acrid blue gas.

soft water water with a high sodium concentration.

soluble fibers food components that readily dissolve in water, become viscous, and often impart gummy or gel-like characteristics to foods. An example is pectin from fruit, which is used to thicken jellies.

solvent a substance that dissolves another and holds it in solution.

soy milk a milklike beverage made from soybeans.

Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) a USDA program designed to assist families with low-incomes by offering pregnant and lactating women and those with infants or preschool children coupons redeemable for specific foods that supply the nutrients deemed most necessary for growth and development.

sphincter (SFINK-ter) a circular muscle surrounding, and able to constrict, a body opening.

spina bifida (SPY-na BIFF-ih-duh) one of the most common types of neural tube defects, in which gaps occur in the bones of the spine. Often the spinal cord bulges and protrudes through the gaps, resulting in a number of motor and other impairments.

sports drinks flavored beverages designed to help athletes replace fluids and electrolytes and to provide carbohydrate before, during, and after physical activity, particularly endurance activities.

spring water water originating from an underground spring or well. It may be bubbly (carbonated) or "flat" or "still," meaning not carbonated. Brand names that include words such as "Spring" and "Pure" do not ensure that the water comes from a spring.

staple foods foods used frequently or daily—for example, rice (in East and Southeast Asia) or potatoes (in Ireland). Many of these foods are sufficiently nutritious to provide a foundation for a healthful diet.

starch a plant polysaccharide composed of glucose. After cooking, starch is highly digestible by human beings; raw starch often resists digestion.

stem cell an undifferentiated cell that can mature into any of a number of specialized cell types. A stem cell of bone marrow may mature into one of many kinds of blood cells, for example.

sterols (STEER-alls) one of the three main classes of dietary lipids. Sterols have a structure similar to that of cholesterol.

stomach a muscular, elastic, pouchlike organ of the digestive tract that grinds and churns swallowed food and mixes it with acid and enzymes, forming chyme.

stone-ground refers to a milling process using limestone to grind any grain, including refined grains, into flour.

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stone-ground flour flour made by grinding kernels of grain between heavy wheels made of limestone, a kind of rock derived from the shells and bones of marine animals. As the stones scrape together, bits of the limestone mix with the flour, enriching it with calcium.

stroke the shutting off of the blood flow to a part of the brain by a thrombus, an embolus, or the bursting of a blood vessel; these events are termed *cerebral thrombosis*, *cerebral embolism*, and *cerebral hemorrhage*, respectively. (The *cerebrum* is part of the brain.)

stroke volume the volume of oxygenated blood ejected from the heart toward body tissues at each beat.

structural proteins non-enzyme proteins of cells, such as the proteins of the cell membrane and of its interior structures.

structure-function claims legal but largely unregulated statements permitted on labels of foods and dietary supplements, describing the effect of a substance on the structure or function of the body, but that omit references to diseases. Examples: "Supports immunity and digestive health" or "Builds strong bones."

stunting low height for age, indicating limited growth in children due to chronic malnutrition.

subclinical deficiency a nutrient deficiency that has no outward clinical symptoms. Also called *marginal deficiency*.

subcutaneous (sub-cue-TAY-nee-us) located beneath the skin.

subcutaneous fat fat stored directly under the skin (*sub* means "beneath"; *cutaneous* refers to the skin).

sucrose (SOO-crose) a disaccharide composed of glucose and fructose; sometimes known as table, beet, or cane sugar and, often, as simply *sugar*.

sudden infant death syndrome (SIDS) the unexpected and unexplained death of an apparently well infant; the most common cause of death of infants between the second week and the end of the first year of life; also called *crib death.*

sugar alcohols sugarlike compounds in the chemical family *alcohol* derived from fruit or manufactured from sugar dextrose or other carbohydrates; sugar alcohols are absorbed more slowly than sugars, are metabolized differently, and do not elevate the risk of dental caries. Also called *polyols*.

sugars simple carbohydrates; that is, molecules of either single sugar units or pairs of those sugar units bonded together. By common usage, *sugar* most often refers to sucrose.

surface water water that comes from lakes, rivers, and reservoirs.

sushi a Japanese dish that consists of vinegar-flavored rice, seafood, and colorful vegetables, typically wrapped in seaweed. Some sushi contains raw fish; other sushi contains only cooked ingredients.

sustainable able to continue indefinitely; the use of resources in ways that maintain both natural resources and human life into the future; the use of natural resources at a pace that allows the Earth to replace them and does not cause pollution to accumulate.

sustainable diet a dietary pattern that meets nutrient needs and supports health at all life stages, protects natural environments and biodiversity, is economically fair and affordable, and reflects societal and cultural values including animal welfare.

T

tannins compounds in tea (especially black tea) and coffee that bind iron. Tannins also denature proteins.

telomere (TELL-oh-meer) structures of DNA and protein that cap the ends of each chromosome and function to maintain genomic stability; telomeres shorten with cell replication throughout life, losing function over time. From the Greek *télos,* meaning *end.*

textured vegetable protein processed soybean protein used in products formulated to look and taste like meat, fish, or poultry.

thermic effect of food the body's speeded-up metabolism in response to having eaten a meal; also called *diet-induced thermogenesis*.

thermogenesis the generation and release of body heat associated with the breakdown of body fuels. *Adaptive thermogenesis* describes adjustments in energy expenditure related to changes in environment such as cold and to physiological events such as underfeeding or trauma.

thiamin (THIGH-uh-min) a B vitamin involved in the body's use of fuels.

thrombosis the event in which a thrombus grows large enough to close off a blood vessel. and gradually cuts off the blood supply to a part of the body. See also *embolism*.

thrombus a stationary blood clot in the circulatory system.

thyroxine (thigh-ROX-in) a principal peptide hormone of the thyroid gland that regulates the body's rate of energy use.

tissues groups of cells working together to perform specialized tasks. Examples are muscles, nerves, blood, and bone.

tocopherol (tuh-KOFF-er-all) a kind of alcohol. The active form of vitamin E is alpha-tocopherol.

tofu (TOE-foo) a curd made from soybeans that is rich in protein, often enriched with calcium, and variable in fat content; used in many Asian and vegetarian dishes in place of meat.

Tolerable Upper Intake Levels (UL) the highest average daily nutrient intake levels that are likely to pose no risk of toxicity to almost all healthy individuals of a particular life stage and gender group.

toxicity the ability of a substance to harm living organisms. All substances, even pure water or oxygen, can be toxic in high enough doses.

trabecular (tra-BECK-you-lar) **bone** the weblike structure composed of calcium-containing crystals inside a bone's solid outer shell. It provides strength and acts like a calcium storage bank.

trace minerals essential mineral nutrients required in the adult diet in amounts less than 100 milligrams per day. Also called *microminerals*.

training regular practice of an activity, which leads to physical adaptations of the body with improvement in flexibility, strength, and/or endurance.

trans fats fats that contain any number of unusual fatty acids—*trans*-fatty acids—formed during processing.

***trans*-fatty acids** fatty acids with unusual shapes that can arise when hydrogens are added to the unsaturated fatty acids of polyunsaturated oils (a process known as *hydrogenation*).

transgenic organism an organism resulting from the growth of an embryonic, stem, or germ cell into which a new gene has been inserted.

triglycerides (try-GLISS-er-ides) one of the three main classes of dietary lipids and the chief form of fat in foods and in the human body. A triglyceride is made up of three units of fatty acids and one unit of glycerol (*fatty acids* and *glycerol* are defined later).

trimester a period representing one-third of the term of gestation. A trimester is about 13 to 14 weeks.

tripeptides (try-PEP-tides) protein fragments that are three amino acids long (*tri* means "three").

turbinado (ter-bih-NOD-oh) **sugar** raw sugar from which the filth has been washed; legal to sell in the United States.

type 1 diabetes the type of diabetes in which the pancreas produces no or very little insulin; often diagnosed in childhood, although some cases arise in adulthood. Formerly called *juvenile-onset* or *insulin-dependent diabetes.*

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type 2 diabetes the type of diabetes in which the pancreas makes plenty of insulin but the body's cells resist insulin's action; often diagnosed in adulthood. Formerly called *adult-onset* or *non-insulin-dependent diabetes*.

U

ulcer an eroded spot in the topmost, and sometimes underlying, layers of cells that form a lining. Ulcers of the digestive tract commonly form in the esophagus, stomach, or upper small intestine.

ultra-high temperature a process of sterilizing food by exposing it for a short time to temperatures above those normally used in processing.

ultra-processed foods and beverages highly palatable manufactured food and beverage products often high in industrial ingredients, such as sugars, refined starches, modified protein, hydrogenated fats, salt, and additives intended to disguise or improve undesirable sensory qualities of the final product. Additives may include colorants, flavorings, moisturizers, sweeteners, and many others.

umbilical (um-BIL-ih-cul) cord the ropelike structure through which the fetus's veins and arteries reach the placenta; the route of nourishment and oxygen into the fetus and the route of waste disposal from the fetus.

unbleached flour a beige-colored refined endosperm flour with texture and nutritive qualities that approximate those of regular white flour.

underweight body weight below a healthy weight; BMI below 18.5.

unsaturated fatty acid a fatty acid that lacks some hydrogen atoms and has one or more points of unsaturation. An unsaturated fat is a triglyceride that contains one or more unsaturated fatty acids.

urban legends stories, usually false, that may travel rapidly throughout the world via the Internet, gaining the appearance of validity solely on the basis of repetition.

urea (yoo-REE-uh) the principal nitrogen-excretion product of protein metabolism; generated mostly by removal of amine groups from unneeded amino acids or from amino acids being sacrificed for energy.

uterus (YOO-ter-us) the womb, the muscular organ within which the infant develops before birth.

V

variety the dietary characteristic of providing a wide selection of foods—the opposite of monotony.

vegan a diet that includes only food from plant sources: vegetables, grains, legumes, fruit, seeds, and nuts. Also called strict vegetarian.

vegetarian any of several diets that include plant-based foods and eliminates some or all animal-derived foods.

vegetarians people who exclude from their diets animal flesh and possibly other animal products such as milk, cheese, and eggs.

veins blood vessels that carry blood, with the carbon dioxide it has collected, from the tissues back to the heart.

very-low-density lipoproteins (VLDL) lipoproteins that transport triglycerides and other lipids from the liver to various tissues in the body.

very low food security reports of multiple indications of disrupted dietary patterns and reduced food intake.

villi (VILL-ee, VILL-eye) fingerlike projections of the sheets of cells lining the intestinal tract. The villi make the surface area much greater than it would otherwise be (*singular*: villus).

visceral fat fat stored within the abdominal cavity in association with the internal abdominal organs; also called *intra-abdominal fat* or *visceral adipose tissue*.

viscous (VISS-cuss) having a sticky, gummy, or gel-like consistency that flows relatively slowly.

vitamin B_{12} a B vitamin that helps to convert folate to its active form and also helps maintain the sheath around nerve cells. The vitamin's scientific name, not often used, is *cyanocobalamin*.

vitamin B_6 a B vitamin needed in protein metabolism. Its three active forms are *pyridoxine*, *pyridoxal*, and *pyridoxamine*.

vitamins organic compounds that are vital to life and indispensable to body functions but that are needed only in minute amounts; essential, noncaloric nutrients.

vitamin water bottled water with a few vitamins added; does not replace vitamins from a balanced diet and may worsen overload in people receiving vitamins from enriched food, supplements, and other enriched products such as "energy" bars.

VO_{2max} the maximum rate of oxygen consumption by an individual (measured at sea level).

voluntary activities intentional activities (such as walking, sitting, or running) conducted by voluntary muscles.

W

waist circumference a measurement of abdominal girth that indicates visceral fatness.

wasting the progressive, relentless loss of the body's tissues that accompanies certain diseases and shortens survival time; in malnutrition, thinness for height, indicating recent rapid weight loss or failure to gain, often from severe acute malnutrition.

water balance the balance between water intake and water excretion, which keeps the body's water content constant.

water intoxication a dangerous dilution of the body's fluids resulting from excessive ingestion of plain water. Symptoms are headache, muscular weakness, mental confusion, seizures, and coma; fatalities can occur.

water stress the pressure placed on water resources by human activities such as municipal water supplies, industries, power plants, and agricultural irrigation.

wean to gradually replace breast milk with infant formula or other foods.

weight cycling repeated rounds of weight loss and subsequent regain that may pose health risks; also called *yo-yo dieting*.

Wernicke-Korsakoff (VER-nik-ee KOR-sah-koff) **syndrome** a cluster of symptoms involving nerve damage arising from a deficiency of the vitamin thiamin in alcoholism. Characterized by mental confusion, disorientation, memory loss, jerky eye movements, and staggering gait.

wheat bread bread made with any wheat flour, including refined enriched white flour.

wheat flour any flour made from wheat, including refined white flour.

white flour an endosperm flour that has been refined and bleached for maximum softness and whiteness.

white sugar granulated sucrose, produced by dissolving, concentrating, and recrystallizing raw sugar. Also called *table sugar*.

white wheat a wheat variety developed to be paler in color than common red wheat (most familiar flours are made from red wheat). White wheat is similar to red wheat in carbohydrate, protein, and other nutrients, but it lacks the dark and bitter, but potentially beneficial, phytochemicals of red wheat.

whole foods dairy products; meats and similar foods such as fish and poultry; vegetables, including dried beans and peas; fruits; and grains. These foods are generally considered to form the basis of a nutritious diet. Also called *basic foods*.

100 percent whole grain a label term for food in which the grain is entirely whole grain, with no added refined grains.

whole grains grains or foods made from them that contain all the parts and naturally occurring nutrients of the entire grain seed, except the inedible husk.

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whole-wheat flour flour made from whole-wheat kernels; a whole-grain flour. Also called *graham flour*.

world food supply the quantity of food, including stores from previous harvests, available to the world's people at a given time.

World Health Organization (WHO) an agency of the United Nations charged with improving human health and preventing or controlling diseases in the world's people.

X

xerophthalmia (ZEER-ahf-THALL-me-uh) progressive hardening of the cornea of the eye in advanced vitamin A deficiency that can lead to blindness (*xero* means "dry"; *ophthalm* means "eye").

xerosis (zeer-OH-sis) drying of the cornea; a symptom of vitamin A deficiency.

Z

zygote (ZYE-goat) the product of the union of ovum and sperm; a fertilized ovum.

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